

Click here to view linked References

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

A Modeling Approach to Direct Interspecies Electron Transfer Process in Anaerobic Transformation of Ethanol to Methane

Yiwen Liu^{a,1}, Yaobin Zhang^{b,1}, Zhiqiang Zhao^b, Huu Hao Ngo^{a*}, Wenshan Guo^a,
Junliang Zhou^a, Lai Peng^c, Bing-Jie Ni^{d*}

^a Centre for Technology in Water and Wastewater, School of Civil and Environmental
Engineering, University of Technology Sydney, Sydney, NSW 2007, Australia

^b Key Laboratory of Industrial Ecology and Environmental Engineering, Ministry of
Education, School of Environmental Science and Technology, Dalian University of
Technology, Dalian 116024, China

^c Advanced Water Management Centre, The University of Queensland, St. Lucia,
Brisbane, QLD 4072, Australia

^d State Key Laboratory of Pollution Control and Resources Reuse, College of
Environmental Science and Engineering, Tongji University, Shanghai 200092, PR
China

¹ These two authors contribute equally to this work.

***Corresponding authors:**

Prof Huu Hao Ngo, Tel.: +61 2 9514 2745; Fax: +61 2 9514 2633; E-mail
ngohuuhao121@gmail.com

Prof Bing-Jie Ni, Tel.: +86 21 65986849; Fax: +86 21 65983602; E-mail
bjni@tongji.edu.cn

Abstract

Recent studies have shown that direct interspecies electron transfer (DIET) plays an important part in contributing to methane production from anaerobic digestion. However, so far anaerobic digestion models that have been proposed only consider two pathways for methane production, namely acetoclastic methanogenesis, and hydrogenotrophic methanogenesis via indirect interspecies hydrogen transfer, which lacks an effective way for incorporating DIET into this paradigm. In this work, a new mathematical model is specifically developed to describe DIET process in anaerobic digestion through introducing extracellular electron transfer as a new pathway for methane production, taking anaerobic transformation of ethanol to methane as an example. The developed model was able to successfully predict experimental data on methane dynamics under different experimental conditions, supporting the validity of the developed model. Modeling predictions clearly demonstrated that DIET plays an important role in contributing to overall methane production (up to 33%) and conductive material (i.e., carbon cloth) addition would significantly promote DIET through increasing ethanol conversion rate and methane production rate. The model developed in this work will potentially enhance our current understanding on syntrophic metabolism via DIET.

Keywords: Direct interspecies electron transfer (DIET); anaerobic digestion; ethanol; methane production; syntrophy; mathematical model.

Introduction

Anaerobic conversion of organic matter to methane has been widely recognized as an efficient technology for simultaneous waste treatment and energy generation (Batstone and Viridis 2014; Choi et al. 2013; Holm-Nielsen et al. 2009; Khan et al. 2016; Liu et al. 2011; Mackie and Bryant 1995; Nasir et al. 2012; Tada et al. 2006; Vandevoorde and Verstraete 1987). **Anaerobic** digestion usually undergoes several steps: disintegration, hydrolysis, fermentation and methanogenesis (Chan et al. 2009). Among them, syntrophic metabolism of fermentation products exists as a crucial step in anaerobic digestion, where an interaction must be maintained in balance between reducing power produced by fermentative bacteria and electron sink provided by methanogenic archaea (Shen et al. 2016). Disruptions in the syntrophic associations between bacteria and methanogens can result in system instabilities during anaerobic digestion. Therefore, effective interspecies electron transfer is critical in anaerobic digestion process.

Previous studies of syntrophic electron exchange in methanogenic systems have focused on indirect interspecies electron transfer, in which hydrogen or formate serves as the electron carriers between microbial species in anaerobic soils and sediments (Conrad 1999; Großkopf et al. 1998). In fact, recent studies have demonstrated direct interspecies electron transfer (DIET) between cells would be an alternative way and also metabolically advantageous transfer mode in methane production compared to interspecies electron transfer through hydrogen or formate (Rotaru et al. 2014b; Storck et al. 2015). DIET has been observed in co-cultures of *Geobacter* species (Chen et al. 2014b; Summers et al. 2010) and in co-cultures of *Geobacter metallireducens* and *Methanosaeta/Methanosarcina* species (Chen et al. 2014a; Liu et al. 2012; Rotaru et al. 2014a; Rotaru et al. 2014b). The potential of

1 interspecies hydrogen/formate transfer mode has been excluded due to the incapacity of
2 hydrogen/formate uptake by these species. Further, much direct evidence also suggested the
3 predominance of DIET over interspecies hydrogen/formate transfer in anaerobic brewery digesters
4 (Morita et al. 2011; Rotaru et al. 2014b; Shrestha et al. 2014; Zhao et al. 2016; Zhao et al. 2015a).
5

6 DIET can be accelerated through addition of non-biological conductive materials. For example,
7 granular activated carbon (Liu et al. 2012), biochar (Chen et al. 2014b) and carbon cloth (Chen et al.
8 2014a) have been found to be able to stimulate DIET in defined co-cultures, but not to promote
9 metabolism of co-cultures performing interspecies hydrogen/formate transfer. Promotion of microbial
10 attachment on these materials were excluded as the syntrophic metabolism was not enhanced with
11 poorly conductive carbon cloth (Chen et al. 2014a). Further study has demonstrated the promotion
12 effect of these conductive materials during long-term operation of up-flow anaerobic sludge reactors
13 (Zhao et al. 2015b). Essentially, addition of conductive materials can short the initial adaptation time of
14 DIET, allowing the distant syntrophic partners to attach to conductive materials for cell-to-cell bio-
15 electrical transfers to be possible.
16
17
18
19
20

21 Mathematical modeling of DIET in conversion of organic matter to methane is of great value to
22 understand of mechanisms involved in this system and to optimize its further applications (Liu et al.
23 2015a). The well-established Anaerobic Digestion Model No.1 (ADM1) has been widely applied to
24 describe methane production process (Batstone et al. 2002). It contains multiple processes to simulate
25 biochemical reactions within anaerobic digestion, namely disintegration, hydrolysis, acidogenesis,
26 acetogenesis, acetoclastic and hydrogenotrophic methanogenesis, further with several extensions
27 developed to describe processes such as homoacetogenesis (Ni et al. 2011), denitrification (Tugtas et al.
28 2006; Tugtas et al. 2010) and sulfate reduction (Liu et al. 2015b; Liu et al. 2015c). However, none of
29 these currently available model structures specifically considered the important role of DIET on
30 methane production.
31
32
33
34
35

36 The aim of this work is to propose a new model to understand and describe DIET process between
37 functional microorganisms as well as the effects of conductive materials (taking carbon cloth as an
38 example) on DIET through integrating extracellular electron transfer as a new pathway for methane
39 production. The validity of the model is verified through comparison of model predictions and
40 experimental data on methane generation profiles of four independent studies under different
41 conditions. The developed model in this work will potentially enhance our current understanding on
42 DIET.
43
44
45
46
47

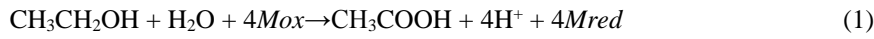
48 **Materials and Methods**

49 **Model development**

50 The model proposed in this study incorporates DIET into syntrophic metabolism of fermentation
51 products through introduction of electrons as a new component in ADM1, **which can well predict DIET
52 process with relatively simple model structure and limited number of model parameters. Such
53 simplification will not only reduce model calibration efforts but also ensure the model to be easily
54 integrated with existing anaerobic digestion models for more comprehensive simulations, and in turn
55 make the DIET model more practically applicable.** Specifically, *Mred* (the reduced mediators) and *Mox*
56
57
58
59
60
61
62
63
64
65

(the oxidized mediators), defined as respective reduced and oxidized extracellular electron mediators, are considered as state variables in the developed model. The electron transfer is modeled by a recirculation loop between $Mred$ and Mox ($Mred \rightleftharpoons Mox + e^-$), i.e., an increase in $Mred$ being balanced by a decrease in Mox and vice versa, with the total amount of mediators (C_{tot}) to be constant ($S_{Mred} + S_{Mox} = C_{tot}$).

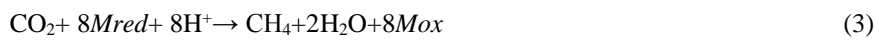
Taking anaerobic transformation of ethanol to methane as an example, ethanol is first oxidized to acetate, with four electrons produced through reduction of Mox to $Mred$ (Equation 1).



Acetoclastic methanogens then utilize acetate for methane production (Equation 2)



Meanwhile, methane is produced by acetoclastic methanogens via DIET using electrons generated from ethanol oxidation (Equation 3).



In addition, methanogenesis can be carried out in the presence of hydrogenotrophic methanogens through indirect interspecies hydrogen transfer route (Equations 4 and 5)



The detailed kinetics and stoichiometry of the developed model are listed in Table 1. The Michaelis-Menten equation is used to describe kinetics of these biological reaction rates. Each reaction rate is described as a function of the concentration of substrates (i.e., ethanol, acetate, Mox , $Mred$ or hydrogen) involved in the reaction. An example kinetics equation of syntrophic metabolism between ethanol degradation (Equation 1) and DIET methane production (Equation 3) is provided as below, i.e., Equations 6 and 7, respectively. Microbial growth and decay are also considered in the model. Model components and parameter values are showed in Tables 2 and 3.

$$r1 = k_1 \frac{S_{eth}}{S_{eth} + K_{eth}} \frac{S_{Mox}}{S_{Mox} + K_{Mox}} X_{eth} \quad (6)$$

$$r3 = k_3 \frac{S_{Mred}}{S_{Mred} + K_{Mred}} X_{ac} \quad (7)$$

where K_{eth} , K_{Mox} , and K_{Mred} are the half saturation constants for ethanol, Mox and $Mred$, and k_1 and k_3 are the maximum specific uptake rates of Equations 1 and 3, respectively. S_{eth} is the ethanol concentration, while S_{Mox} and S_{Mred} are the concentrations of the oxidized and reduced mediators involved in direct electron transfer. X_{eth} and X_{ac} are the biomass concentrations of ethanol-metabolizing bacteria and acetoclastic methanogens, respectively.

The DIET model was formulated and implemented in AQUASIM 2.1d (Reichert 1998). This program offers a flexible definition of the kinetic model, flow scheme, and process control strategies; it also provides support for graphic display of the support of the simulation results, corresponding experimental data, and communication with spreadsheet programs (Reichert 1998). In order to integrate the differential equations of the model, as a first step, the partial differential equations are discretized in space. Then the spatially discretized partial differential equations together with the ordinary differential equations and the algebraic equations are integrated numerically in time with the algorithm which is based on the implicit variable-step variable-order Gear integration technique.

Experimental data for model evaluation

Experimental data of four case studies under different conditions studying DIET during biotransformation of ethanol to methane were applied to exam the model prediction capacities.

Case I (Rotaru et al. 2014b): Ethanol-adapted *Geobacter metallireducens* (ethanol-metabolizing microorganisms) and acetate-grown *Methanosaeta harundinacea* (acetoclastic methanogens, incapacity of using hydrogen/formate for methane production) were grown separately with their own specific medium in the anaerobic pressure tube, prior to co-cultivation. Co-culture batch test was carried out with *Geobacter metallireducens* (0.5 mL) and *Methanosaeta harundinacea* (1 mL) grown in a 50-mL fresh water medium containing 20 mM ethanol and CO₂. Samples were taken regularly with N₂:CO₂ flushed hypodermic syringe for analyzing the dynamics of ethanol, acetate and methane during 85-day cultivation. More details on system operation and measurement can be found at Rotaru et al. (2014b).

Case II (Rotaru et al. 2014a): Ethanol-adapted *Geobacter metallireducens* and acetate-grown *Methanosaeta barkeri* (also acetoclastic methanogens, incapacity of using hydrogen/formate for methane production) were also cultivated separately with their own specific medium, prior to initiating co-cultures. Co-culture cultivation was initiated with the inoculum of *Geobacter metallireducens* (0.5 mL) and the inoculum of *Methanosaeta harundinacea* (0.5 mL) added in 9-mL modified DSM 120 medium containing 20 mM ethanol, with CO₂ as the only electron acceptor. Then, 10% inoculum of initiated co-cultures was transferred to 45-ml medium to start batch test. Samples were taken regularly to analyze ethanol, acetate and methane during 31 days. More details on system operation and measurement can be found at Rotaru et al. (2014a).

Case III (Chen et al. 2014a): Similarly, ethanol-adapted *Geobacter metallireducens* and acetate-grown *Methanosaeta barkeri* were cultivated separately as mentioned in *Case II*. Before batch tests, cotton cloth (control, without conductive materials) or carbon cloth (experimental, with conductive materials) strips of 0.1 – 0.2 g per tube in the culture medium were autoclaved in pressure tubes under a N₂:CO₂ atmosphere for 30 min. After that, 5% inoculum of initiated co-cultures (*Geobacter metallireducens* and *Methanosaeta barkeri*) was added to each cloth present tube containing 10 mM ethanol as only electron donor. In both tests, samples were taken regularly to analyze ethanol and methane during 30 days. More details on system operation and measurement can be found at Chen et al. (2014a).

Case IV (Zhao et al. 2015b): Two identical 1-L up-flow anaerobic sludge reactors, one packed with carbon cloth pieces (1 × 1 cm² per piece, 2.5 g in total) and one in the absence of carbon cloth as control after 10-d start-up, were continuously operated. The seed sludge was collected from an anaerobic digester at a municipal wastewater treatment plant. 0.5 L sludge was added into each reactor at the beginning. A synthetic wastewater (4110 mg COD/L) with ethanol as carbon source was used as the influent for both reactors. The initial hydraulic retention time (HRT) were 24 hours then decreased to 18 hours after 14-d operation. Effluent acetate and ethanol were sampled and analyzed daily. Methane production rate was calculated through measuring gas volume in the gas collection bag and methane concentration in the bag every 8 h. More details on system operation and measurement can be found in Zhao et al. (2015b).

Testing the model prediction ability

The developed model contains 15 kinetic and stoichiometric parameters (Table 3). Among them, 12 parameter values have been well established previously. Therefore, literature reported values were applied on them. The rest 3 parameters, maximum ethanol uptake rate (k_1), maximum acetate uptake rate (k_2), and maximum reaction rate of DIET methanogenesis (k_3), are the key parameters relating to DIET process and thus calibrated with experimental data.

For Cases I, II and III, Equations 4 and 5 were not considered in the model. The reasons are (Shrestha et al. 2013; Summers et al. 2010): (1) evidence has shown that *Geobacter metallireducens* is incapable of releasing electrons generated from ethanol oxidation as hydrogen or formate, but able to transfer these electrons to *Methanosaeta* species; (2) *Methanosaeta* species are acetoclastic methanogens and not able to use hydrogen/formate for methane production. Thus, it should be noted that conventional ADM1 models only consider acetoclastic and hydrogenotrophic methanogenesis will fail in describing DIET process.

C_{tot} (the sum of S_{Mox} and S_{Mred}) was set with a value of 0.01 mmol/L and $S_{Mox} = S_{Mred}$ at the initial stage based on previously reported literature (Pan et al. 2013). This is acceptable as the absolute value of C_{tot} is not critical for model simulation calibration and prediction. For Cases I to III with pure cultures, the initial concentrations of microbes were set based on experimental measurements. For Case IV, the initial biomass concentrations were set based on convergence simulation of the continuous-flow reactor. Such approach will not affect k values (Ni et al. 2015).

Parameter estimation were carried out by minimizing the sum of squares from the deviations between the experimental data and model predictions, using the secant function in AQUASIM 2.1d (Reichert 1998). The best-fit parameter values (k_1 , k_2 and k_3) for each case are summarized in Table 4.

Results

Model evaluation using experimental data from Case I

Firstly, the proposed model is tested to predict the experimental results of Case I. The simulated ethanol, acetate and methane results with the developed model are shown in Figure 1, together with experimental data. At the beginning 40 d, both ethanol oxidation and methane production rates were relatively low, showing a lag phase likely due to the microbial adaption. After initial adaption of *Geobacter* and *Methanosaeta* species to DIET, both rates increased significantly in the following period, indicating the coupling of ethanol oxidation and methane production via DIET. Eventually, ca. 1.66 mmol methane was produced from ca. 1.15 mmol ethanol, further confirming the presence of DIET since theoretical methane production from only acetoclastic pathway should be the same amount as initial ethanol concentration. The developed model well predicted these trends. The good match between model predictions and measured data confirmed that the established model captures the dynamics of ethanol, acetate and methane in the presence of DIET. The calibrated parameter values providing the best model fittings with the experimental results are summarized in Table 4.

Model evaluation using experimental data from Case II

1 The experimental data of *Case II* were then applied to exam the established DIET model for the
2 prediction capacity on ethanol, acetate and methane dynamics. The obtained values of the estimated
3 parameters (k_1 , k_2 and k_3) using experimental data from this case are summarized in **Table 4**. Substrate
4 dynamics (Figure 2) were similar to *Case I*, except for the much shorter initial lag phase (i.e., 7 vs. 40
5 days), due to the better adaption of co-cultures in ethanol metabolism. The model reasonably predicted
6 these substrate trends, with higher reaction rates (k values) than those of *Case I* (**Table 4**) likely
7 because of better syntrophic metabolism **that an interaction is maintained in balance between reducing**
8 **power produced by ethanol-metabolizing bacteria and electron sink provided by acetoclastic**
9 **methanogens**. However, there were differences between model predictions and experimental measured
10 results on methane on day 26 and 31, likely caused by the unexpected experimental errors as per mass
11 balance.
12
13
14
15
16

17 **Model evaluation using experimental data from Case III**

18 The established model were further tested with experimental data of ethanol and methane from
19 *Case III*, in both absence and presence of conductive carbon cloth, respectively (Figure 3). Both
20 ethanol oxidation and methane production rates were enhanced significantly (Figure 3b) as the addition
21 of carbon cloth intensified the DIET. The model outputs matched the experimental data well. k_1
22 (maximum ethanol uptake rate) and k_3 (maximum reaction rate of DIET methanogenesis) in the
23 experimental batch were ca. 1.5 times and 4 times higher than those values in control batch without
24 carbon cloth addition (**Table 4**). k_2 (maximum acetate uptake rate) was not increased as conductive
25 materials only enhance syntrophic extracellular electron transfer (i.e., Equations 1 and 3), rather than
26 Equation 2. These results indicated that the developed model is capable of predicting enhanced DIET
27 with the presence of conductive materials.
28
29
30
31
32
33
34
35

36 **Model evaluation using experimental data from Case IV**

37 In addition, the experimental data from up-flow anaerobic sludge reactors in both absence and
38 presence of conductive carbon cloth were applied to evaluate the established model (Figure 4). Similar
39 to *Case III*, k_1 (maximum ethanol uptake rate) and k_3 (maximum reaction rate of DIET methanogenesis)
40 in the experimental reactor were both increased significantly compared to those in control reactor
41 without carbon cloth addition (**Table 4**), in agreement with the observation of enhanced DIET, i.e.,
42 higher ethanol consumption (lower effluent ethanol concentrations) and methane production rates, in
43 the experimental reactor packed with carbon cloth. Enhanced DIET would further lead to the increase
44 in the abundance of acetoclastic methanogens, in turn resulting in higher acetate consumption (lower
45 acetate concentrations in the effluent) despite of the unchanged k_2 (maximum acetate uptake rate). The
46 good match between model predictions and measured data further suggested that the developed model
47 can also be used to predict DIET in mix-culture conditions.
48
49
50
51
52
53
54
55

56 **Discussion**

57 Anaerobic digestion is the most widely applied and effective strategy to recover bioenergy of
58 methane from organic waste (Appels et al. 2011). Ethanol, an organic compound that is commonly
59
60
61
62
63
64
65

1 detected in wastewater discharged from chemical units, brewery factories, or pharmaceutical factories
2 with a substantially high concentration (Kaksonen et al. 2003; Nagpal et al. 2000), can be efficiently
3 treated with anaerobic digestion (Agler et al. 2008). Increasing evidence has shown that cell-to-cell
4 electron transfer via DIET is a metabolically better pathway in terms of high energy conservation than
5 that of interspecies hydrogen/formate transfer in conversion of methane from ethanol (Morita et al.
6 2011; Rotaru et al. 2014b; Shrestha et al. 2014; Zhao et al. 2016; Zhao et al. 2015a). However, current
7 mathematical models for predicting methane production in anaerobic digestion (i.e., ADM1 and its
8 extensions) only consider acetoclastic and hydrogenotrophic methanogenesis pathways (Batstone et al.
9 2002), which would fail to describe the methane dynamics in the presence of DIET.

10 In this study, a new mathematical model describing DIET process in anaerobic methane
11 production is developed, which is of great importance for better understanding and modeling the
12 rate/extent of methane conversion during anaerobic digestion. The key feature of the developed model
13 is the introduction of electron transfer concept through a pool of extracellular electron mediators.
14 Model validity was confirmed using four independent case study reports (Chen et al. 2014a; Rotaru et
15 al. 2014a; Rotaru et al. 2014b; Zhao et al. 2015b). The set of best-fit parameter (k_1 , k_2 and k_3) values are
16 summarized in Table 4 and they vary in a relative small range (i.e., less than one order magnitude in
17 the absence of carbon cloth). Values of k_2 (maximum acetate uptake rate) and k_3 (maximum reaction
18 rate of DIET methanogenesis) are comparable, further confirming the important role of DIET. The
19 obtained model parameter values were robust to predict DIET under different experimental conditions
20 (i.e., co-cultures or mixed-cultures, batch cultivation or digester operation, as well as the absence or
21 presence of conductive materials), indicating the wide applicability of the model. Despite the
22 concentration dynamics of *Mred* and *Mox* were not directly validated due to the lacking of analytical
23 method (Rotaru et al. 2014b), our current approach that assumes a value of C_{tot} (the sum of S_{Mox} and
24 S_{Mred}) based on literature report has been verified in previous study (Pan et al. 2013). Also, the
25 verification based on experimentally obtained concentrations of ethanol, acetate and CH_4 has indeed
26 indicated that our new model can reasonably describe DIET, as the modeling results match well with
27 experimental dynamics that acetoclastic methanogens not only convert the acetate produced from
28 ethanol to methane but also consume the additional electrons available from the conversion of ethanol
29 to acetate for methane production.

30 Effective interspecies electron transfer in the syntrophic associations between bacteria and
31 methanogens is crucial to the efficient function of methanogens (Morita et al. 2011). Since
32 *Methanosaeta* species, capable of DIET, are ubiquitous and abundant in a wide range of methanogenic
33 environments, promoting interspecies electron transfer to *Methanosaeta* via DIET can enhance
34 methane production during anaerobic digestion. As model predicted in Cases III and IV, conductive
35 carbon cloth addition significantly improve k_1 (maximum ethanol uptake rate) and k_3 (maximum
36 reaction rate for DIET methanogenesis), leading to better methanogenic performance. Better syntrophic
37 metabolism when DIET was enhanced also resulted in a favorable condition for the growth of
38 acetoclastic methanogens despite of the same k_2 (maximum acetate uptake rate). Since the main
39 objective in this study is to validate our model applicability under different conditions using
40 experimental data batch or continuous tests, and with or without carbon cloth addition, the effect of
41

1 carbon cloth concentration on k values was not included in the current model. But it can be easily
2 incorporated in future work if studying the impact of its concentration is the main goal.

3 It should be noted that *Mred* and *Mox* are two lumped parameters used in the model. In reality,
4 DIET is a syntrophic metabolism which electrons flow from cell to cell without being shuttled by
5 mediators. The use of such two lumped parameters reduces the complexity of the model.
6

7 The possible existence of different groups of ethanol-metabolizing bacteria and methanogens
8 were not specifically considered for model simplification, which were lumped together in our model.
9 This assumption can be revised later when more information about kinetics of different ethanol-
10 metabolizing and methanogenic microorganisms becomes available. Also, disintegration, hydrolysis
11 and acidogenesis were not presented in the current model as this study focuses on DIET during
12 anaerobic transformation of ethanol to methane. The impact of biofilm matrix and diffusion on DIET
13 was not considered in this study as all experimental systems are under completely mixed conditions. In
14 fact, it has been reported that the biofilm thickness can affect extracellular electron transfer process
15 (Renslow et al. 2013; Strycharz et al. 2011). This is expected because the current produced via
16 diffusion-based extracellular electron transfer consists entirely of electrons delivered to the electrode
17 and half of the electrons produced and subsequently accepted by mediators are lost to the bulk solution.
18 Also, the conductivity of the biofilm can influence the transmission of electrons through a biofilm
19 matrix (Strycharz-Glaven et al. 2011), as it reduces the requirement for high mediator concentrations
20 and limits mediator losses (Renslow et al. 2013). Our simplification over the complicated reaction-
21 diffusion-electrochemical approach (Storck et al. 2015) can well predict DIET with relatively simple
22 model structure and limited number of model parameters, which can make the implementation,
23 application, and comprehension of the model easier. However, these processes are readily to be
24 incorporated into our model to describe substrate and methane dynamics in complex systems.
25
26
27
28
29
30
31
32
33
34
35

36 Conclusion

37 In this study, a new mathematical model is proposed to describe DIET process in anaerobic
38 transformation of ethanol to methane. The proposed model was successfully used to reproduce
39 experimental results from four independent cases with different conditions and indicated the wide
40 applicability of the proposed model. Modeling results indicated that DIET plays an important role in
41 contributing to methane production during anaerobic digestion, i.e., comparable k_2 (maximum acetate
42 uptake rate) and k_3 (maximum reaction rate for DIET methanogenesis), and the addition of conductive
43 carbon cloth would significantly improve k_1 (maximum ethanol uptake rate) and k_3 (maximum reaction
44 rate for DIET methanogenesis), leading to better the methanogenic performance. This DIET model can
45 be further incorporated into well-established ADM1 model to provide insights on process design and
46 optimization during anaerobic digestion of ethanol wastewater.
47
48
49
50
51
52
53
54

55 Acknowledgements

56 This work was supported by the Recruitment Program of Global Experts and the Natural Science
57 Foundation of China (No. 51578391). Yiwen Liu acknowledges the support from the UTS Chancellor's
58 Postdoctoral Research Fellowship. The authors are grateful to research collaboration among University
59
60
61
62
63
64
65

of Technology Sydney, Dalian University of Technology, and Tongji University.

References

- Agler MT, Garcia ML, Lee ES, Schlicher M, Angenent LT (2008) Thermophilic anaerobic digestion to increase the net energy balance of corn grain ethanol. *Environ Sci Technol* 42:6723-6729.
- Appels L, Lauwers J, Degrève J, Helsen L, Lievens B, Willems K, Van Impe J, Dewil R (2011) Anaerobic digestion in global bio-energy production: potential and research challenges. *Renew Sustainable Energy Rev* 15:4295-4301.
- Batstone DJ, Keller J, Angelidaki I, Kalyuzhnyi SV, Pavlostathis SG, Rozzi A, Sanders WTM, Siegrist H, Vavilin VA (2002) The IWA Anaerobic Digestion Model No 1(ADM 1). *Water Sci Technol* 45:65-73.
- Batstone DJ, Virdis B (2014) The role of anaerobic digestion in the emerging energy economy. *Curr Opin Biotechnol* 27:142-149.
- Chan YJ, Chong MF, Law CL, Hassell DG (2009) A review on anaerobic-aerobic treatment of industrial and municipal wastewater. *Chem Eng J* 155:1-18.
- Chen S, Rotaru A-E, Liu F, Philips J, Woodard TL, Nevin KP, Lovley DR (2014a) Carbon cloth stimulates direct interspecies electron transfer in syntrophic co-cultures. *Bioresour Technol* 173:82-86.
- Chen S, Rotaru A-E, Shrestha PM, Malvankar NS, Liu F, Fan W, Nevin KP, Lovley DR (2014b) Promoting interspecies electron transfer with biochar. *Sci Rep* 4:5019.
- Choi W-H, Shin C-H, Son S-M, Ghorpade PA, Kim J-J, Park J-Y (2013) Anaerobic treatment of palm oil mill effluent using combined high-rate anaerobic reactors. *Bioresour Technol* 141:138-144.
- Conrad R (1999) Contribution of hydrogen to methane production and control of hydrogen concentrations in methanogenic soils and sediments. *FEMS Microbiol Ecol* 28:193-202.
- Großkopf R, Janssen PH, Liesack W (1998) Diversity and structure of the methanogenic community in anoxic rice paddy soil microcosms as examined by cultivation and direct 16S rRNA gene sequence retrieval. *Appl Environ Microbiol* 64:960-969.
- Holm-Nielsen JB, Al Seadi T, Oleskowicz-Popiel P (2009) The future of anaerobic digestion and biogas utilization. *Bioresour Technol* 100:5478-5484.
- Kaksonen AH, Franzmann PD, Puhakka JA (2003) Performance and ethanol oxidation kinetics of a sulfate-reducing fluidized-bed reactor treating acidic metal-containing wastewater. *Biodegradation* 14:207-217.
- Khan MA, Ngo HH, Guo WS, Liu YW, Zhou JL, Zhang J, Liang S, Ni BJ, Zhang XB, Wang J (2016) Comparing the value of bioproducts from different stages of anaerobic membrane bioreactors. *Bioresour Technol* 214:816-825.
- Liu F, Rotaru A-E, Shrestha PM, Malvankar NS, Nevin KP, Lovley DR (2012) Promoting direct interspecies electron transfer with activated carbon. *Energy Environ Sci* 5:8982-8989.
- Liu Y, Wang Q, Zhang Y, Ni B-J (2015a) Zero valent iron significantly enhances methane production from waste activated sludge by improving biochemical methane potential rather than hydrolysis rate. *Sci Rep* 5:8263.
- Liu Y, Zhang Y, Ni B-J (2015b) Evaluating enhanced sulfate reduction and optimized volatile fatty acids (VFA) composition in anaerobic reactor by Fe (III) addition. *Environ Sci Technol* 49:2123-2131.
- Liu Y, Zhang Y, Ni B-J (2015c) Zero valent iron simultaneously enhances methane production and sulfate reduction in anaerobic granular sludge reactors. *Water Res* 75:292-300.
- Liu Y, Zhang Y, Quan X, Chen S, Zhao H (2011) Applying an electric field in a built-in zero valent iron-anaerobic reactor for enhancement of sludge granulation. *Water Res* 45:1258-1266.
- Mackie RI, Bryant MP (1995) Anaerobic digestion of cattle waste at mesophilic and thermophilic temperatures. *Appl Microbiol Biotechnol* 43:346-350.
- Morita M, Malvankar NS, Franks AE, Summers ZM, Giloteaux L, Rotaru AE, Rotaru C, Lovley DR (2011) Potential for direct interspecies electron transfer in methanogenic wastewater digester aggregates. *MBio* 2:e00159-11.
- Nagpal S, Chuichulcherm S, Livingston A, Peeva L (2000) Ethanol utilization by sulfate-reducing bacteria: An experimental and modeling study. *Biotechnol Bioeng* 70:533-543.
- Nasir IM, Ghazi TIM, Omar R (2012) Production of biogas from solid organic wastes through anaerobic digestion: a review. *Appl Microbiol Biotechnol* 95:321-329.
- Ni B-J, Batstone D, Zhao B-H, Yu H-Q (2015) Microbial Internal Storage Alters the Carbon Transformation in Dynamic Anaerobic Fermentation. *Environ Sci Technol* 49:9159-9167.

- 1 Ni B-J, Liu H, Nie Y-Q, Zeng RJ, Du GC, Chen J, Yu H-Q (2011) Coupling glucose fermentation and
2 homoacetogenesis for elevated acetate production: experimental and mathematical
3 approaches. *Biotechnol Bioeng* 108:345-353.
- 4 Pan Y, Ni B-J, Yuan Z (2013) Modeling electron competition among nitrogen oxides reduction and
5 N₂O accumulation in denitrification. *Environ Sci Technol* 47:11083-11091.
- 6 Reichert P (1998) AQUASIM 2.0—user manual. Swiss Federal Institute for Environmental Science
7 and Technology Dübendorf, Switzerland
- 8 Renslow R, Babauta J, Kuprat A, Schenk J, Ivory C, Fredrickson J, Beyenal H (2013) Modeling
9 biofilms with dual extracellular electron transfer mechanisms. *PCCP* 15:19262-19283.
- 10 Rotaru A-E, Shrestha PM, Liu F, Markovaite B, Chen S, Nevin KP, Lovley DR (2014a) Direct
11 interspecies electron transfer between *Geobacter metallireducens* and *Methanosarcina barkeri*.
12 *Appl Environ Microbiol* 80:4599-4605.
- 13 Rotaru A-E, Shrestha PM, Liu F, Shrestha M, Shrestha D, Embree M, Zengler K, Wardman C, Nevin
14 KP, Lovley DR (2014b) A new model for electron flow during anaerobic digestion: direct
15 interspecies electron transfer to *Methanosaeta* for the reduction of carbon dioxide to methane.
16 *Energy Environ Sci* 7:408-415.
- 17 Shen L, Zhao Q, Wu X, Li X, Li Q, Wang Y (2016) Interspecies electron transfer in syntrophic
18 methanogenic consortia: From cultures to bioreactors. *Renew Sustainable Energy Rev*
19 54:1358-1367.
- 20 Shrestha PM, Malvankar NS, Werner JJ, Franks AE, Elena-Rotaru A, Shrestha M, Liu F, Nevin KP,
21 Angenent LT, Lovley DR (2014) Correlation between microbial community and granule
22 conductivity in anaerobic bioreactors for brewery wastewater treatment. *Bioresour Technol*
23 174:306-310.
- 24 Shrestha PM, Rotaru A-E, Summers ZM, Shrestha M, Liu F, Lovley DR (2013) Transcriptomic and
25 genetic analysis of direct interspecies electron transfer. *Appl Environ Microbiol* 79:2397-
26 2404.
- 27 Storck T, Viridis B, Batstone DJ (2015) Modelling extracellular limitations for mediated versus direct
28 interspecies electron transfer. *ISME J* 10:621-631.
- 29 Strycharz SM, Malanoski AP, Snider RM, Yi H, Lovley DR, Tender LM (2011) Application of cyclic
30 voltammetry to investigate enhanced catalytic current generation by biofilm-modified anodes
31 of *Geobacter sulfurreducens* strain DL1 vs. variant strain KN400. *Energy Environ Sci* 4:896-
32 913.
- 33 Strycharz-Glaven SM, Snider RM, Guiseppi-Elie A, Tender LM (2011) On the electrical conductivity
34 of microbial nanowires and biofilms. *Energy Environ Sci* 4:4366-4379.
- 35 Summers ZM, Fogarty HE, Leang C, Franks AE, Malvankar NS, Lovley DR (2010) Direct exchange
36 of electrons within aggregates of an evolved syntrophic coculture of anaerobic bacteria.
37 *Science* 330:1413-1415.
- 38 Tada C, Tsukahara K, Sawayama S (2006) Illumination enhances methane production from
39 thermophilic anaerobic digestion. *Appl Microbiol Biotechnol* 71:363-368.
- 40 Tugtas AE, Tezel U, Pavlostathis SG (2006) An extension of the Anaerobic Digestion Model No. 1 to
41 include the effect of nitrate reduction processes. *Water Sci Technol* 54:41-50.
- 42 Tugtas AE, Tezel U, Pavlostathis SG (2010) A comprehensive model of simultaneous denitrification
43 and methanogenic fermentation processes. *Biotechnol Bioeng* 105:98-108.
- 44 Vandevoorde L, Verstraete W (1987) Anaerobic solid state fermentation of cellulosic substrates with
45 possible application to cellulase production. *Appl Microbiol Biotechnol* 26:479-484.
- 46 Zhao Z, Zhang Y, Quan X, Zhao H (2016) Evaluation on direct interspecies electron transfer in
47 anaerobic sludge digestion of microbial electrolysis cell. *Bioresour Technol* 200:235-244.
- 48 Zhao Z, Zhang Y, Wang L, Quan X (2015a) Potential for direct interspecies electron transfer in an
49 electric-anaerobic system to increase methane production from sludge digestion. *Sci Rep*
50 5:11094.
- 51 Zhao Z, Zhang Y, Woodard T, Nevin K, Lovley D (2015b) Enhancing syntrophic metabolism in up-
52 flow anaerobic sludge blanket reactors with conductive carbon materials. *Bioresour Technol*
53 191:140-145.
- 54
55
56
57
58
59
60
61
62
63
64
65

Table Captions

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Table 1. Stoichiometric Matrix and Process Kinetic Rate Equations for the Biological Reaction Model

Table 2. Definition of Components in the Model

Table 3. Kinetic Parameter Values of the Developed Model for Case IV

Table 4. Best-Fit Parameters with 95% Confidence Intervals Describing DIET in Four Case studies

Figure Captions

Figure 1. Model evaluation with experimental data on ethanol, acetate and methane from batch test in *Case I* (Rotaru et al. 2014b).

Figure 2. Model evaluation with experimental data on ethanol, acetate and methane from batch test in *Case II* (Rotaru et al. 2014a).

Figure 3. Model evaluation with experimental data on ethanol and methane from batch tests in *Case III* (Chen et al. 2014a): (a) in the absence of conductive carbon cloth; (b) in the presence of conductive carbon cloth.

Figure 4. Model evaluation with experimental data on effluent ethanol, acetate and methane production from continuous reactor operation in *Case IV* (Zhao et al. 2015b): (a) without carbon cloth addition; (b) with carbon cloth addition. HRT was decreased from 24 h to 18 h on day 14.

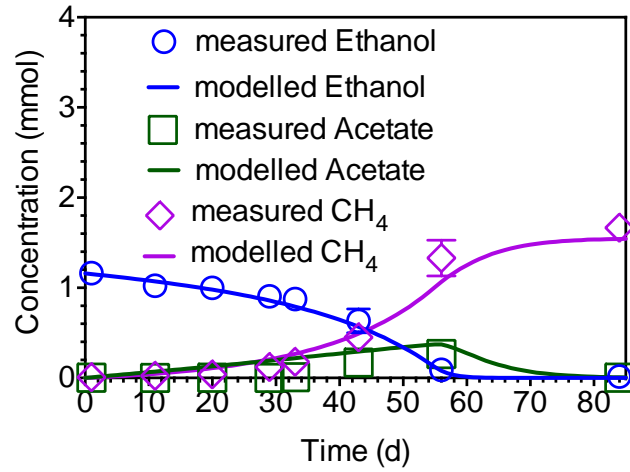


Figure 1. Model evaluation with experimental data on ethanol, acetate and methane from batch test in *Case I* (Rotaru et al. 2014b).

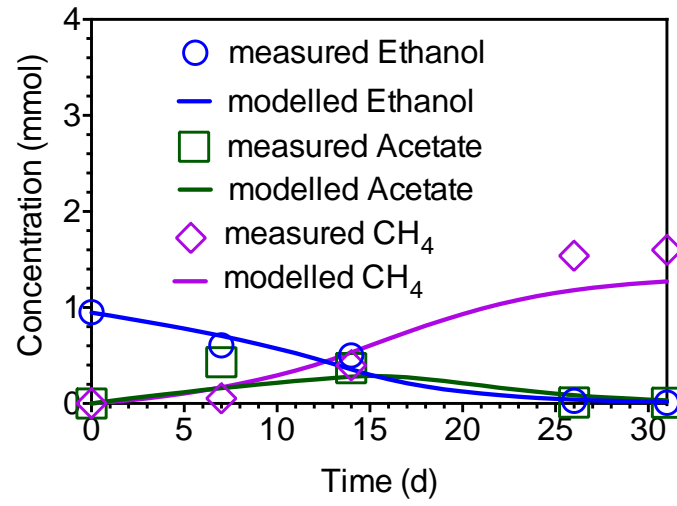


Figure 2. Model evaluation with experimental data on ethanol, acetate and methane from batch test in *Case II* (Rotaru et al. 2014a).

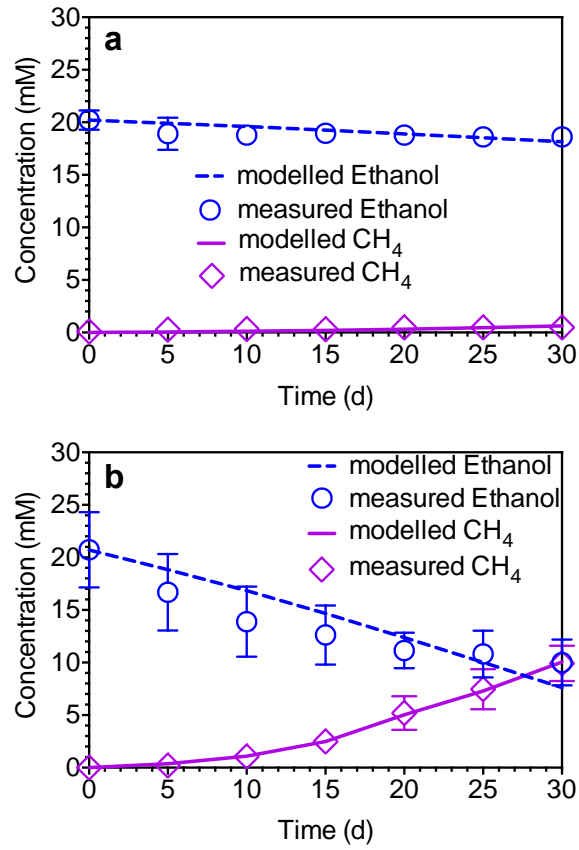


Figure 3. Model evaluation with experimental data on ethanol and methane from batch tests in *Case III* (Chen et al. 2014a): (a) in the absence of conductive carbon cloth; (b) in the presence of conductive carbon cloth.

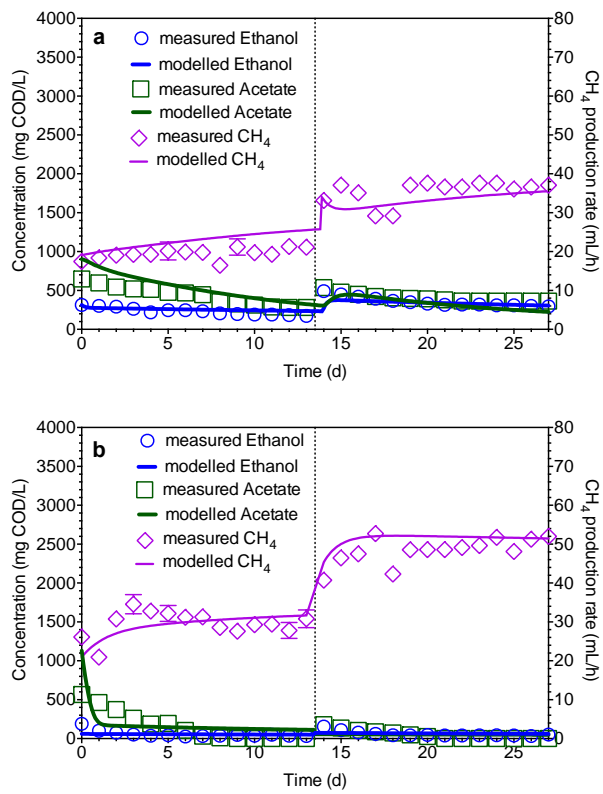


Figure 4. Model evaluation with experimental data on effluent ethanol, acetate and methane production from continuous reactor operation in *Case IV* (Zhao et al. 2015b): (a) without carbon cloth addition; (b) with carbon cloth addition. HRT was decreased from 24 h to 18 h on day 14.

Table 1. Stoichiometric Matrix and Process Kinetic Rate Equations for the Biological Reaction Model

Variable Process	S _{CH4} COD	S _{eth} COD	S _{ac} COD	S _{h2} COD	S _{Mox} mM	S _{Mred} mM	X _{eth} COD	X _{ac} COD	X _{h2} COD	X _I COD	Kinetics rate expressions
1. Ethanol degradation		-1	$-\frac{2(1 - Y_{eth})}{3}$		$-\frac{1 - Y_{eth}}{24}$	$\frac{1 - Y_{eth}}{24}$	Y_{eth}				$k_1 \frac{S_{eth}}{S_{eth} + K_{eth}} \frac{S_{Mox}}{S_{Mox} + K_{Mox}} X_{eth}$
2. Acetoclastic methanogenesis	$1 - Y_{ace}$		-1					Y_{ace}			$k_2 \frac{S_{ac}}{S_{ac} + K_{ace}} X_{ac}$
3. Methanogenesis via DIET	$1 - Y_{ace}$				$\frac{1}{8}$	$-\frac{1}{8}$		Y_{ace}			$k_3 \frac{S_{Mred}}{S_{Mred} + K_{Mred}} X_{ac}$
4. Hydrogen production				$1 - Y_{eth}$	$\frac{1}{8}$	$-\frac{1}{8}$	Y_{eth}				$k_1 \frac{S_{Mred}}{S_{Mred} + K_{Mred}} X_{eth}$
5. Hydrogenotrophic methanogenesis	$1 - Y_{h2}$			-1					Y_{h2}		$k_{hydro.ch4} \frac{S_{h2}}{S_{h2} + K_{h2}} X_{h2}$
6. Decay of ethanol-metabolizing bacteria					-1		-1			f_I	$k_{dec} X_{eth}$
7. Decay of acetoclastic methanogens								-1		f_I	$k_{dec} X_{ac}$
8. Decay of hydrogenotrophic methanogens									-1	f_I	$k_{dec} X_{h2}$

Table 2. Definition of Components in the Model

Number	Component	Definition	Unit
1	S_{eth}	Ethanol	g COD m ⁻³
2	S_{ac}	Acetate	g COD m ⁻³
3	S_{Mox}	Reduced mediator	mM
4	S_{Mred}	Oxidized mediator	mM
5	S_{CH4}	Methane	g COD m ⁻³
6	S_{h2}	Hydrogen	g COD m ⁻³
7	X_{eth}	Ethanol-metabolising bacteria	g COD m ⁻³
8	X_{ac}	Acetoclastic methanogens	g COD m ⁻³
9	X_{h2}	Hydrogenotrophic methanogens	g COD m ⁻³
10	X_I	Inert, non-biodegradable organics	g COD m ⁻³

Table 3. Kinetic Parameter Values of the Developed Model for Case IV

Parameter	Definition	Value	Unit	Source
k_1	Maximum reaction rate of Process 1	0.37	g COD/(g COD × h)	(1)
K_{eth}	Half saturation constant for S_{eth}	300	g COD/m ³	(2)
k_2	Maximum reaction rate of Process 2	0.97	g COD/(g COD × h)	(1)
K_{ace}	Half saturation constant for S_{ac}	150	g COD/m ³	(2)
k_3	Maximum reaction rate of Process 3	0.43	g COD/(g COD × h)	(1)
k_{hydro_ch4}	Maximum reaction rate of Process 5	1.68	g COD/(g COD × h)	(2)
K_{h2}	Half saturation constant for S_{h2}	0.018	g COD/m ³	(2)
K_{Mox}	Half saturation constant for S_{Mox}	0.0001	mmol/L	(3)
K_{Mred}	Half saturation constant for S_{Mred}	0.001	mmol/L	(3)
C_{tot}	The sum of S_{Mox} and S_{Mred}	0.01	mmol/L	(3)
k_{dec}	Decay rate	0.005	g COD/(g COD × h)	(2)
Y_{eth}	Yield coefficient for X_{eth}	0.06	g COD/g COD	(2)
Y_{ace}	Yield coefficient X_{ac}	0.05	g COD/g COD	(2)
Y_{h2}	Yield coefficient for X_{h2}	0.06	g COD/g COD	(2)
f_I	Fraction of X_I in biomass decay	0.10	g COD/g COD	(2)
Sources: (1) This study; (2) Batstone et al., 2002; (3) Pan et al., 2013.				

Table 4. Best-Fit Parameters with 95% Confidence Intervals Describing DIET in Four Case studies

	Case I	Case II	Case III ^a	Case III ^p	Case IV ^a	Case IV ^p
k_1	0.72±0.08	0.81±0.14	0.30±0.03	0.46±0.04	0.37±0.06	1.70±0.11
k_2	4.80±0.01	6.90±0.20	0.99±0.02	---	0.97±0.05	---
k_3	0.40±0.05	0.68±0.10	0.48±0.01	1.91±0.02	0.43±0.09	4.51±0.30

^a indicates in the absence of conductive carbon cloth while ^p means in the presence of conductive carbon cloth.