

1 **Maternal high-fat diet induces hypothalamic metabolic stress responses and autophagy in**
2 **the offspring**

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16 Short title: maternal obesity & hypothalamic stress responses

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18 Key words: Maternal obesity, hypothalamus, ER stress, autophagy, mitophagy

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20 Word count: 6212

21

22 **Abstract**

23 Maternal obesity has been shown to increase the risk of obesity and related disorders in the
24 offspring. The molecular responses to metabolic stress in the hypothalamus, the central region
25 of appetite regulation, may underline this mechanism. To test this hypothesis, female Sprague-
26 Dawley rats (8 weeks old) were fed a high-fat diet (HFD) for 6 weeks prior to mating and
27 throughout gestation and lactation. From postnatal day 4, half of the pups in each litter were
28 treated with 4-phenyl butyrate (PBA), a chemical chaperone of protein folding. At weaning,
29 offspring from the HFD-fed dams (MHF) showed significantly increased body weight and
30 glucose intolerance, adiposity and plasma triglyceride level. Hypothalamic mRNA levels of
31 both orexigenic neuropeptide Y (NPY) and anorexigenic pro-opiomelanocortin (POMC) were
32 significantly upregulated. mRNA expression of unfolded protein response markers spliced X-
33 box binding protein (sXBP)1, protein levels of autophagy-related genes Atg5 and Atg7, as well
34 as mitophagy marker Parkin, were significantly upregulated; while Protein kinase B/Mammalian
35 target of rapamycin (Akt/mTOR) signalling was suppressed in the MHF offspring. PBA
36 administration in the offspring significantly reduced their body weight, fat deposition, and
37 normalised their hypothalamic levels of NPY, POMC, Akt, mTOR and mitophagy markers,
38 which were associated with improved sXBP1 and Microtubule-associated protein light chain 3
39 (LC3)-II/LC3-I ratio. These results suggest that cellular metabolic stress responses are likely to
40 contribute to the transgenerational effects of maternal obesity on appetite and energy
41 homeostasis.

42 **Introduction**

43 Obesity is a metabolic disorder characterised by a long lasting positive energy balance,
44 conservatively affecting 600 million people worldwide (WHO 2015). It is a critical factor
45 leading to the development of various comorbidities such as hypertension and type 2 diabetes
46 mellitus (Guh *et al.* 2009), ultimately resulting in approximately 2.8 million deaths every year
47 worldwide (WHO 2015). Multiple factors can contribute to the development of obesity, among
48 which is the transgenerational effects of maternal obesity. It has been shown in animal models
49 and humans that offspring born to obese mothers tend to have higher risk of obesity and related
50 complications (O'Reilly & Reynolds 2013). Such effect has been partially attributed to
51 dysregulated appetite and energy metabolism in the offspring (Samuelsson *et al.* 2008a, Nivoit
52 *et al.* 2009, Chen *et al.* 2009).

53

54 The hypothalamus is the central regulator of appetite and energy homeostasis. It consists of
55 neurons in the arcuate nucleus (ARC) that react to metabolic hormones such as ghrelin, insulin,
56 and leptin to orchestrate feeding behaviours and energy expenditure (Yeo & Heisler 2012). In
57 individuals with increased adiposity, the level of leptin secreted by adipose tissues into
58 circulation is increased (Shah & Braverman 2012). The binding of leptin to leptin receptors on
59 hypothalamic neurons leads to the downregulation of orexigenic neuropeptides Agouti-related
60 peptide (AgRP) and Neuropeptide Y (NPY), as well as upregulation of anorexigenic pro-
61 opiomelanocortin (POMC). As a result, hyperphagia is limited. Studies in rat models of
62 maternal obesity have shown that offspring exposed to maternal and postnatal high-fat diet
63 (HFD) exhibited increased density of orexigenic peptide-expressing neurons in the
64 hypothalamus (Chang *et al.* 2008). Additionally, hypothalamic mRNA expression of orexigenic
65 neuropeptides overreact to fasting compared with control offspring (Férezou-Viala *et al.* 2007,
66 Page *et al.* 2009, Chen *et al.* 2008). These results suggest that dysregulation of hypothalamic

67 homeostatic circuitry is a key factor leading to hyperphagia and adiposity by maternal HFD
68 consumption.

69

70 The endoplasmic reticulum (ER) is a Ca^{2+} -rich intracellular membrane network that is required
71 for protein synthesis, folding and post-translational modifications, as well as lipogenesis. During
72 pathophysiological conditions including obesity, the metabolic stress induced by excess glucose
73 and lipid influx can cause an imbalance between ER workload and capacity, leading to the
74 accumulation of misfolded proteins in the ER lumen (Verfaillie *et al.* 2010). This condition,
75 namely ER stress, subsequently triggers a series of adaptive responses known as unfolded
76 protein response (UPR) to limit protein translation, improve protein folding capacity, as well as
77 activating autophagy machinery for disposal of misfolded molecules (Yorimitsu *et al.* 2006).
78 Interestingly, hypothalamic ER stress has been suggested to result in leptin resistance,
79 upregulation of NPY and AgRP, and disrupted post-translation of POMC derived peptide in
80 diet-induced obese mice (Ozcan *et al.* 2009, Çakir *et al.* 2013). Moreover, improving ER
81 function by means of *in vitro* overexpression of spliced X-box binding protein 1 (sXBP1, a
82 fundamental transcription factor of chaperones for protein folding), or administration of 4-
83 phenyl butyrate (PBA, a FDA approved chemical chaperone) in *ob/ob* and dietary obese mice
84 was able to rescue leptin sensitivity to reduce the level of adiposity (Ozcan *et al.* 2009).

85 Acting in concert with UPR, autophagy is also an adaptive response to metabolic stress (Senft &
86 Ronai 2015), which can be classified into macroautophagy, microautophagy and chaperone-
87 mediated autophagy. Macroautophagy, the most-studied type of autophagy, is composed of a
88 series of ubiquitination-like reactions to create a vesicle-like structure for engulfment and bulk
89 degradation of misfolded proteins and impaired organelles. When the target organelle is
90 mitochondrion, the process is specifically termed mitophagy. Autophagy-related gene/protein
91 (Atg) 7 is one of the most important proteins in this process, where it acts as an E1-like

92 activating enzyme to initiate two main branches: one for autophagosome formation via Atg12-
93 Atg5 complex and the other for autophagosome maturation by lipidation of Microtubule-
94 associated protein light chain 3 (LC3). The fusion of autophagosome and lysosome for
95 degradation is then facilitated by the binding of sequestome 1 (p62) to LC3 (Pankiv *et al.* 2007).
96 Hypothalamic autophagy in particular, has been linked to leptin sensitivity and appetite
97 stimulation following the elevation of fatty acids in the blood stream (Quan *et al.* 2012). A
98 hypothalamic autophagy defect has been shown to mediate leptin resistance and hyperphagia in
99 animal model of diet-induced obesity via the I κ B kinase β (IKK β)/NF- κ B pathway (Meng & Cai
100 2011), which is activated following ER stress/UPR elevation (Zhang *et al.* 2008, Lim *et al.*
101 2014, Meng & Cai 2011). ARC specific inhibition of such pathways was able to attenuate
102 obesity related phenotypes (Benzler *et al.* 2015). As such, we hypothesised that central ER
103 stress and autophagy are important factors implicated in the transgenerational effects of
104 maternal obesity.

105

106 **Materials and methods**

107 *1. Animals*

108 The study was approved by the Animal Care and Ethics Committee of the University of
109 Technology Sydney (ACEC# 2009-350), and followed the 'Australian code of practice for the
110 care and use of animals for scientific purposes' (NHM&RC, Australia). Randomly selected
111 female Sprague-Dawley rats (8 weeks) were fed HFD (20 kJ/g, 43.5% calorie as fat, Specialty
112 Feed, WA, Australia) or standard rodent chow (11 kJ/g, 14% calorie as fat, Gordon's Speciality
113 Stockfeeds, NSW, Australia) for 6 weeks before mating, throughout gestation and lactation
114 (Chen *et al.* 2014). On postnatal day (P) 1, litter size was adjusted to 10 pups/litter (sex ratio
115 1:1). As the impact of maternal obesity on metabolic disorders in offspring has been shown to
116 be more prominent in females (Bayol *et al.* 2008), only female pups were selected for this study.
117 From postnatal day 4-16, half of the female pups from each dam were randomly selected and

118 treated with 4-phenylbutyrate (PBA, 250mg/kg/day, s.c, Scandinavian Formulas, USA); and the
119 other half with vehicle (vegetable oil). The dose was determined according to (Özcan *et al.*
120 2006, Nogueira *et al.* 2011). This yielded four experimental groups, Chow-fed dam's offspring
121 receiving vehicle (MChow-VEH), Chow-fed dam's offspring receiving PBA (MChow-PBA),
122 HFD-fed dam's offspring receiving vehicle (MHF-VEH), and HFD-fed dam's offspring
123 receiving PBA (MHF-PBA). At weaning (P20), all pups were sacrificed under fasting. Blood
124 was collected via cardiac puncture after anaesthesia (Pentothal®, 0.1mg/g, i.p., Abbott
125 Australasia Pty Ltd, NSW, Australia). Retroperitoneal fat, gonadal fat, mesenteric fat, and liver
126 were weighed. The total fat was reported as the sum of these 3 fat pads. The whole
127 hypothalamus was dissected, snap frozen, and stored at -80°C for later analysis. Insulin was
128 measured in the plasma using an ELISA kit (Millipore, MA, USA). All animals were assigned a
129 cull ID and all the samples were analysed in a blind manner. The data were only grouped
130 according to the cull IDs when the statistical analysis was performed.

131
132 The Homeostatic model assessment and insulin resistance (HOMA-IR) was calculated using
133 fasting insulin and glucose as previously described (Chen *et al.* 2009). Power calculation was
134 used to determined the sample size. The primary comparison of interest is the difference in
135 mean fat mass between 2 groups; i. female offspring from the control dams, and ii. offspring
136 from the HFD-fed dams. Based on our previous studies, the body of control offspring was
137 30.18g with a standard deviation 1.02 at weaning, while the body of offspring from HFD-fed
138 dam was 39.35g with a standard deviation of 4.51. Using a t-test at a 2-sided 0.05 level, 8 mice
139 per group will provide 73% power, and 12 mice per group will provide 88% power. Therefore a
140 minumn sample size of 8 was determined for each group, and minimum one pup was randomly
141 selected from each litter for each experimental group to ensure genetic variation.

142

143 *2. Intraperitoneal glucose tolerance test (IPGTT)*

144 At P19, the animals were weighed and fasted for 5 hours prior to IPGTT (Chen et al. 2009), then
145 a glucose solution (50%) was injected (2g/kg, ip). Tail blood glucose level was recorded prior to
146 glucose injection, at 15, 30, 60, and 90 min post injection using a glucometer (Accu-Chek®
147 glucose meter; Roche Diagnostics, Nutley, USA). The area under the curve (AUC) was
148 calculated for each animal. The rats were excluded if the blood glucose level failed to double at
149 15 minutes post glucose injection.

150

151 *3. Quantitative real time PCR (qRT-PCR)*

152 Total RNA was isolated from all hypothalamus using Tri Reagent (Sigma Aldrich, VIC,
153 Australia) according to the manufacturer's instructions. The purified total RNA was used as a
154 template to generate first-strand cDNA using M-MLV Reverse Transcriptase, RNase H-, Point
155 Mutant Kit (Promega, WI, USA). Pre-optimised TaqMan primers (Applied Biosystems, CA,
156 USA, sequence of the probes in Table 1) were used for qRT-PCR (Eppendorf Realplex 2,
157 Eppendorf AG, Hamburg, Germany). To determine appetite control, orexigenic neuropeptides
158 NPY and AgRP, anorexigenic neuropeptide POMC, NPY Y1 receptor (NPY-1R) and
159 melanocortin-4 receptor (MC4R), single minded gene (Sim)1, and suppressor of cytokine
160 signalling (SOCS)3 were measured in hypothalamic RNA extracts. To assess ER stress,
161 immunoglobulin-binding protein (BiP), unspliced and spliced X-box binding protein 1 (XBP1 and
162 sXBP1), and C/EBP homologous protein (CHOP) were examined for mRNA expression. The
163 probes for target genes other than sXBP-1 were labelled with FAM and the housekeeping gene
164 18s were labelled with VIC. sXBP1 (f: CTG AGT CCG AAT CAG GTG CAG; r: ATC CAT
165 GGG AAG ATG TTC TGG) was amplified with SYBR Green probes. Gene expression was
166 quantified in a single multiplexing reaction, where target genes were standardized to 18s rRNA.
167 The average of the control group was arbitrarily assigned as a calibrator against which all other
168 samples were expressed as fold difference.

170 *4. Western Blot*

171 Frozen hypothalamus tissues were homogenised in HEPES buffer (20 mM, pH 7.2, containing 1
172 mM EGTA, 210 mM mannitol, and 70 mM sucrose) using TissueRuptor (Qiagen, Hilden,
173 Germany), followed by centrifugation at 1,500 g for 5 min to pellet down the nucleus and cell
174 debris. The supernatant was collected and centrifuged again at 10,000 g for 15 min to isolate
175 cytosolic and mitochondrial fractions. Alternatively, the tissues were homogenised in RIPA
176 Lysis and Extraction Buffer (Thermo Fisher Scientific, MA, USA) to extract total protein.
177 Protein concentrations were determined and stored at -80°C for further analysis. Proteins were
178 electrophoresed and electroblotted onto the Hybond nitrocellulose membrane (Amersham
179 Pharmacia Biotech, Amersham, UK), which was then incubated with a primary antibody at 4°C
180 overnight. Antibodies against Atg12-Atg5 complex, Atg7, LC3, Protein kinase B (Akt),
181 phosphorylated Akt (pAkt), Mammalian target of rapamycin (mTOR), phosphorylated mTOR
182 (pmTOR) (1:2000), and Parkin (Prk8, 1:500) are from Cell Signalling (MA, USA). p62, PTEN-
183 induced putative kinase (PINK)1 and Dynamin-related protein (Drp)1 (1:2000) are from Novus
184 Biologicals (CO, USA). Mitochondrial oxidative phosphorylation (OXPHOS) complex Rodent
185 Western Blotting Antibody Cocktail (1:2000) is from Abcam (VIC, Australia). For
186 housekeeping proteins, COX IV (1:4000, Novus Biologicals, CO, USA) was used to determine
187 mitochondrial proteins; while α -Tub (1:10000, Sigma-Aldrich, MO, USA) was used to
188 determine proteins in the cytosolic and total protein extracts. All primary antibodies were
189 derived from rabbit except Prk8 and α -Tub antibodies, which are from mouse. Then the
190 membrane was incubated with a horseradish peroxidase-conjugated secondary antibody (goat
191 anti-mouse for α -Tub, otherwise goat anti-rabbit). The immunoblots were developed by adding
192 the Luminata Western HRP Substrates (Millipore, MA, USA) to the membrane and exposed for
193 an appropriate duration using ImageQuant LAS 4000 (Fujifilm, Tokyo, Japan). ImageJ

194 (National Institutes of Health, USA) was used for densitometry. Randomly selected samples
195 were used for the representative blots.

196

197 *5. Statistical analysis*

198 The results are expressed as mean \pm SEM. Data of IPGTT were analysed by ANOVA with
199 repeat measures followed by Turkey post hoc tests. The other data sets were analysed by Two-
200 way ANOVA, followed by Turkey post hoc tests if there were significant interactions between
201 the maternal and PBA effects. If there was no significant interaction, conditional t test was
202 performed between the treated and non-treated litter mates within the same maternal group. $P <$
203 0.05 was considered significant.

204

205 **Results**

206 **Body weight, adiposity, food intake and glucose intolerance in the offspring were increased** 207 **by MHF and reduced by PBA**

208 At mating, HFD-fed dams had significantly greater body weight, fat mass, liver mass and food
209 intake compared to chow-fed dams, and their adiposity persisted until the pups weaned ($P <$
210 0.05, unpaired t test, Table 2). At weaning, the offspring of the HFD-fed dams showed
211 significantly greater body weight than those born to chow-fed dams ($P <$ 0.01, maternal effect,
212 Table 3). The net and relative mass of the adipose tissues sampled (retroperitoneal, gonadal and
213 mesenteric) as well as liver was significantly greater in the offspring of HFD-fed dams
214 compared to that of the chow-fed dams ($P <$ 0.01, maternal effect, Table 3. Fasting BGL and
215 plasma triglyceride (TG) levels were significantly increased in offspring of HFD-fed dams ($P <$
216 0.01, maternal effect, Table 3), in consistence with their impaired glucose clearance during
217 IPGTT ($P <$ 0.05, maternal effect, Figure 1A, B). Plasma insulin levels and HOMA-IR indexes
218 were also significantly higher in MHF offspring, reflecting insulin resistance (Table 1, $P <$
219 0.05).

220

221 Overall, PBA treatment during the suckling period significantly reduced body weight ($P < 0.01$,
222 PBA effect, Table 3). Similarly, the net and percentage of fat mass (total, retroperitoneal and
223 gonadal) in PBA-treated rats was also significantly smaller compared to that of the VEH-treated
224 littermates ($P < 0.05$, PBA effect, Table 3), suggesting adiposity was reduced by PBA regardless
225 of the maternal diet. Liver weight was significantly decreased by PBA (PBA effect, $P < 0.05$).
226 The percentage reduction in organ weight by PBA was more pronounced among the offspring of
227 the HFD-fed dams than those from chow-fed dams. Additionally, there was a significant
228 interaction between maternal HFD and PBA treatment in reducing retroperitoneal fat (post hoc
229 $P < 0.01$ MHF-VEH vs MChow-VEH, $P < 0.05$ MHF-PBA vs MHF-VEH). The AUC value in
230 MHF-PBA group was 22% lower than MHF-VEH group and nearly normalised to MChow-
231 VEH level (conditional t test $P < 0.05$ MHF-PBA vs MHF-VEH, Figure 1B).

232

233 **Upregulated NPY and POMC expression in MHF offspring was normalised by PBA**

234 Consistent with previous studies, rat offspring born to HFD-fed dams showed increased
235 hypothalamic mRNA expression of orexigenic peptide NPY ($P < 0.01$, Figure 2A). However, no
236 significant difference in the mRNA expression of its receptor NPY1R and its orexigenic partner
237 AgRP was detected (Figure 2B, 2C). Regarding anorexigenic signalling, POMC mRNA was
238 significantly upregulated ($P < 0.01$, Figure 2D), whereas the expression of its receptor MC4R
239 was not significantly changed (Figure 2, Figure 2D). Interestingly, the administration of PBA in
240 MHF neonates nearly normalised the hypothalamic mRNA levels of both NPY and POMC
241 (conditional t test $P < 0.05$, MHF-PBA vs MHF-VEH, Figure 2A,B). The treatment caused no
242 change in the AgRP and Sim1 mRNA expression levels (Figure 2C, F).

243

244 **Selective regulation of hypothalamic markers of unfolded protein response in the** 245 **offspring by MHF and PBA**

246 To determine the effects of maternal obesity on offspring hypothalamic ER stress, we examined
247 several downstream markers of UPR, including BiP, XBP1, sXBP1 and CHOP. The first three
248 are positive regulators of protein stability, and CHOP is known as a pro-apoptotic marker
249 associated with severe ER stress (Schönthal 2012). Our results showed that hypothalamic
250 mRNA expression of XBP1, sXBP1 and CHOP were significantly upregulated in the offspring
251 of the HFD-fed dams at weaning ($P < 0.05$ and $P < 0.001$, respectively, Figure 3A). The protein
252 levels of sXBP1 showed a non-significant trend of increase while CHOP protein levels are not
253 different among the groups (Figure 3B).

254
255 PBA administration significantly increased hypothalamic mRNA expression of BiP, XBP1 and
256 sXBP1 independent of maternal diet ($P < 0.05$, Figure 3A). The combination of maternal HFD
257 and PBA treatment in the offspring led to a further upregulation of hypothalamic sXBP1 mRNA
258 expression in the offspring ($P < 0.05$, Figure 3A). By contrast, the protein level of sXBP1 was
259 normalised to the level of MChow-VEH offspring (Figure 3B). Hypothalamic CHOP protein
260 level was unchanged by PBA treatment (Figure 3B).

261 262 **Regulation of hypothalamic autophagy markers in the offspring by MHF and PBA**

263 Hypothalamic protein levels of Atg7 and Atg12-Atg5 complex were significantly increased in
264 offspring of HFD-fed dams ($P < 0.05$ and $P < 0.01$ respectively) compared to those of chow-fed
265 dams (Figure 4A,B). LC3-I protein level was significantly reduced due to maternal HFD
266 consumption ($P < 0.05$ maternal effect, Figure 4C). LC3-II/LC3-I ratio and p62 level were not
267 significantly changed (Figure 4D). PBA administration in the MHF offspring did not change the
268 hypothalamic levels of any Atg proteins examined, but significantly reduced LC3-I and
269 increased the LC3-II/LC3-I ratio ($P < 0.05$, Figure 4C, 4E). In the MChow-PBA offspring, only
270 p62 protein level was significantly reduced ($P < 0.05$, Figure 4F), while other autophagy

271 markers including Atg7 and LC3-II showed a trend of increase ($P = 0.12$ and $P = 0.14$
272 respectively, Figure 4A, 4D).

273

274 **Regulation of hypothalamic Akt/mTOR signalling in offspring by MHF and PBA**

275 Akt and mTOR are essential nutrient sensors which stimulate protein synthesis and cellular
276 growth, with Akt lying upstream of mTOR. It has been shown that during ER stress, Akt/mTOR
277 signalling is downregulated, mediating the upregulation of autophagy (Qin *et al.* 2010). Indeed,
278 our study demonstrates that in association with elevated expression of the ER stress markers,
279 hypothalamic protein expression of both Akt and mTOR was significantly reduced in the
280 offspring of MHF dams ($p < 0.05$, Figure 5A and $p < 0.01$, Figure 5C respectively). In addition,
281 the level of phosphorylated mTOR (pmTOR) was also downregulated by maternal HFD
282 consumption ($p < 0.05$, Figure 5D), suggesting reduced activity of the signalling pathway. PBA
283 administration in the MHF offspring normalised hypothalamic Akt, pAkt and mTOR levels but
284 did not significantly reverse the level of pmTOR (Figure 5). By contrast, in the MChow
285 offspring, PBA significantly reduced the levels of pmTOR ($P < 0.001$, Figure 5D).

286

287 **Regulation of hypothalamic mitophagy by MHF and PBA**

288 Mitophagy has important roles in maintaining mitochondrial structure and function. Our result
289 indicated that overall, maternal HFD consumption increased the hypothalamic protein
290 expression of mitophagy markers PINK1 (Figure 6A) and Prk8 (Figure 6B) in the offspring.
291 PBA administration, on the other hand, significantly reversed such maternal effect ($P < 0.05$,
292 Figure 6A and $P < 0.01$, Figure 6B respectively). Additionally, mitochondrial fission marker
293 Drp1 protein expression was also significantly reduced by PBA administration in the offspring
294 of HFD-fed dams ($P < 0.01$, Figure 6C).

295

296 **Regulation of mitochondrial OXPHOS complexes by MHF and PBA**

297 The OXPHOS complexes catalyse electron transport chain for the production of ATP.
298 Consistent with the increase in mitophagy markers in MHF offspring, the levels of
299 mitochondrial OXPHOS complex III (Figure 7C) and V (Figure 7E) on mitochondrial
300 membrane were also suppressed ($P < 0.05$, maternal effect), while no change was observed in
301 the other complexes (Figure 7A, B, D). PBA administration did not improve the hypothalamic
302 levels of these complexes in MHF offspring (Figure 7), although it increased the level of
303 OXPHOS complex I in the MChow offspring (Figure 7A).

304

305 **Discussion**

306 Maternal obesity due to long-term HFD consumption is associated with an increased risk of
307 obesity in the offspring, partially attributed to fetal programming of metabolic and appetite
308 control by the hypothalamus (Chen et al. 2009, Nivoit et al. 2009). The current study showed
309 that these programming effects are associated with multiple metabolic stress responses in the
310 hypothalamus of the offspring, including unfolded protein response (UPR), autophagy and
311 mitophagy. In addition, early administration of PBA, a chemical chaperone shown to relieve ER
312 stress, can attenuate these adverse effects induced by maternal obesity in female offspring.

313

314 Consistent with previous studies (Chen et al. 2008, Chan *et al.* 2015), the offspring from obese
315 dams gained more weight and fat at weaning, reflecting the effects of maternal HFD
316 consumption on nutrient influx especially lipid influx during gestation and lactation (Zhu *et al.*
317 2010). In association, hypothalamic levels of the orexigenic peptide NPY were increased in the
318 MHF offspring, in line with increased milk intake observed in such offspring in previous studies
319 (Bergen *et al.* 1999, Chen & Morris 2009). Interestingly, the anorexigenic peptide POMC was
320 also upregulated, which is opposite to what we have previously demonstrated in male offspring
321 (Bergen et al. 1999, Chen & Morris 2009). Female offspring tend to have lower fat mass
322 compared to male offspring due to the effect of maternal obesity (Samuelsson *et al.* 2008b).

323 Since POMC suppresses feeding, the distinct regulation of its hypothalamic expression between
324 the two genders may be a contributing factor for such gender difference in adiposity. Although
325 the dysregulation of NPY and POMC is generally linked to impaired leptin signalling in obese
326 animals (Friedman & Halaas 1998), our previous study indicated that during the suckling
327 period, central leptin sensitivity was not affected by HFD-consumption in rat dams (Chan et al.
328 2015). Supporting this finding, the current study found no significant change in hypothalamic
329 leptin receptor (lep-R) expression in the MHF offspring (Supplementary data S1). Additionally,
330 lep-R expression was upregulated by PBA administration in control animals, in line with a
331 previous study (Ozcan et al. 2009). This effect was diminished by maternal HFD consumption
332 (Supplementary data S1), suggesting the impairment of hypothalamic PBA-mediated actions by
333 maternal HFD consumption.

334

335 Together with the alteration in appetite and metabolic markers in the MHF offspring,
336 hypothalamic ER stress markers sXBP1 and CHOP were upregulated. sXBP1 is a positive
337 transcriptional factor of ER chaperones known to assist in protein folding (Hetz & Mollereau
338 2014). The elevation of hypothalamic sXBP1 at both transcriptional and translational levels in
339 the female offspring is likely to reflect an adaptive response to increased ER protein load due to
340 maternal obesity. In addition, sXBP1 can also bind to CHOP promoter to improve its
341 transcription, which explains why CHOP mRNA expression was concomitantly increased.
342 Although increased CHOP abundance is considered detrimental to neuronal survival due to its
343 induction of apoptosis (Oyadomari & Mori 2003), the protein has also been demonstrated to be
344 essential in preventing hypoxic injuries (Halterman *et al.* 2010). Despite increased mRNA
345 expression, CHOP protein level was unchanged, suggesting that the level of hypothalamic ER
346 stress induced by maternal HFD consumption in the offspring was not severe. Collectively,
347 maternal HFD-consumption can induce ER stress in the offspring hypothalamus, which may
348 play a role in the development of childhood obesity (Melo *et al.* 2014).

349

350 Autophagy machinery closely interacts with UPR to rescue cells from the accumulation of non-
351 functional misfolded proteins and metabolic stress (Senft & Ronai 2015). As such, it is expected
352 to observe an increase in autophagy components in the setting of increased ER stress . Indeed,
353 Atg7 and Atg12-Atg5 complex, two major constituents of the autophagosome, were
354 significantly increased in offspring of obese dams. As LC3-I/II and p62 expression remained
355 normal, autophagosome degradation was likely to be unaffected. Similar to UPR, enhanced
356 autophagy activity is likely to be an adaption against the accumulation of cellular
357 misfolded/damaged proteins in the hypothalamus due to maternal obesity. In addition,
358 hypothalamic autophagy has also been linked to increased food intake (Kaushik *et al.* 2011),
359 which aligns with the increased NPY mRNA expression in the MHF offspring.

360

361 The Akt/mTOR signalling pathway stimulates protein synthesis and inhibits protein
362 degradation. In this study, it was downregulated in the MHF offspring. Together with the
363 elevation of UPR and autophagy markers, such change suggests possible interactions among
364 these pathways to prevent misfolding protein accumulation in the hypothalamic cells of the
365 MHF offspring. Indeed, it has been shown that upon ER stress and UPR activation, mTOR
366 signalling is inhibited, leading to the initiation of autophagy (Qin *et al.* 2010). Given the
367 established role of hypothalamic mTOR as a nutrient sensor (Cota *et al.* 2006), its correlation
368 with UPR and autophagy markers further supports the implication of the latter two in
369 hypothalamic regulation of energy homeostasis.

370

371 Mitophagy is the autophagy mechanism specific for the disposal of dysfunctional/depolarised
372 mitochondria. During this process, PINK1 and Prk8 are recruited to the outer membrane of the
373 impaired mitochondrion, leading to ubiquitination, autophagosomal engulfment and
374 degradation. Dysfunctional mitochondria undergo mitochondrial fission (Youle & Van Der

375 Blied 2012), which is mediated by a number of fission factors including Drp1. Similar to
376 autophagy, hypothalamic levels of mitophagy markers PINK1 and Prk8 were also moderately
377 upregulated in the offspring of obese dams, suggesting that more hypothalamic mitochondria
378 were damaged and hence became more susceptible to autophagosomal engulfment. Indeed,
379 hypothalamic mitochondrial OXPHOS complexes III and V were found to be reduced in the
380 MHF offspring, suggesting functional impairment (Figure 7). This is well-supported by a
381 previous study in *ob/ob* mice where reduced OXPHOS complex III and V was associated with
382 impaired mitochondrial respiration rate (Boudina *et al.* 2005). These changes in mitophagy and
383 mitochondrial complexes might in turn disturb energy metabolism, leading to the dysregulation
384 of metabolic markers. Supporting this hypothesis, Prk8 knockout mice exhibited resistance to
385 weight gain and improved insulin sensitivity with reduced hepatic fat uptake and adipocyte
386 differentiation, although without significant change in the intake of HFD (Kim & Sack 2012).
387 To our knowledge, this is the first study to show a link between hypothalamic
388 autophagy/mitophagy and obesity-related phenotypes in the offspring due to maternal obesity.

389

390 In this study, PBA treatment during the suckling period significantly improved metabolic
391 phenotypes in the offspring of obese dams, including improved fat and glucose metabolism.
392 Normalised appetite regulator expression and neuronal responses to metabolic stress by PBA
393 may be a key mechanism, although the peripheral actions of PBA can not be excluded
394 (Kawasaki *et al.* 2012). As PBA is also a histone deacetylase inhibitor, it has the potential to
395 modify the neonatal metabolism through epigenetic regulation. Although a recent study
396 suggested that the primary acting of PBA in neuronal ER stress is as a chemical chaperone
397 rather than histone deacetylase inhibitor (Mimori *et al.* 2013), the possibility of its implication in
398 intergenerational epigenetic modifications by maternal obesity still needs to be examined in
399 future studies.

400

401 PBA administration increased hypothalamic mRNA expression of BiP and sXBP1. As both BiP
402 and sXBP1 are positive regulator of protein stability, their increase can assist protein folding
403 and relieve ER stress (Bertolotti *et al.* 2000). Despite the increase in mRNA level, the protein
404 level of sXBP1 in the MHF-PBA offspring was comparable to baseline. This is most probably
405 due to the fact that sXBP-1 protein has a short life (Calfon *et al.* 2002) and its abundance has a
406 negative effect on the regulation of sXBP-1 mRNA stability (Majumder *et al.* 2012). Hence, in
407 early stress responses, sXBP1 protein synthesis is repressed at baseline so that sXBP1 mRNA
408 molecules can accumulate. In the later phase, the relief of such repression results in a boost of
409 sXBP1 protein level as part of the stress response program (Majumder *et al.* 2012). In this study,
410 the mismatch between sXBP1 mRNA and protein levels in the MHF-PBA offspring may
411 therefore imply early UPR.

412

413 Regarding autophagy, PBA significantly increased the LC3-II/LC3-I ratio, suggesting an overall
414 increase in the turnover rate of misfolded proteins and impaired cellular organelles. However,
415 the lack of concomitant elevation in hypothalamic expression of Atg7 and Atg12-Atg5 complex
416 implies that autophagosome formation rate may not be altered. As ER stress is one of the main
417 drivers of autophagy (Yorimitsu *et al.* 2006), the subtle change of LC3-II/LC3-I ratio in the
418 MHF-PBA group could be due to the effect of PBA which was shown ER stress in the
419 offspring.

420

421 In contrast to the moderate elevation of autophagy markers, mitophagy markers (PINK1, Prk8,
422 and Drp1) were significantly attenuated by PBA administration in the offspring of obese dams.
423 This may imply that mitochondrial homeostasis, together with UPR and autophagy, form a
424 network in hypothalamic regulation of energy homeostasis (Zorzano & Claret 2015).

425

426 **Conclusion**

427 Maternal obesity altered metabolic homeostasis in the offspring inducing weight gain, adiposity,
428 glucose tolerance and insulin sensitivity. These changes were not only associated with alteration
429 in appetite regulators, but also markers of metabolic stress response mechanisms such as UPR,
430 autophagy and mitophagy in the hypothalamus, which could represent some of the earliest
431 metabolic changes that mediate the development of obesity later in life. Administration of PBA
432 from postnatal day 4 to day 16, a critical developmental period for hypothalamic neural
433 projection in neonates, is likely to have positive effects on hypothalamic metabolic stress
434 response and its regulation of energy homeostasis, reflected by improved metabolic outcomes in
435 the offspring. Whether such treatment can have long-term impacts on the health outcome of
436 such offspring requires further investigation.

437

438 **Declaration of interest**

439 The authors declare that there is no conflict of interest that could be perceived as prejudicing the
440 impartiality of the research reported.

441 **Funding**

442 Long Nguyen was supported by Sydney Medical School's ECR PhD Scholarship and Amgen
443 research scholarship. This study and Dr Yi Tan were supported by a Gift Grant Awarded to Dr
444 Hui Chen from Perpetual Ramaciotti Foundation, Australia.

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Figure legends:

Figure 1. Glucose tolerance test in offspring at weaning. A. BGL at different time points post glucose injection. B. Area under the curve (AUC) of A. Results are expressed as means \pm SEM (n = 8-10). Results in A were analysed by one-way ANOVA with repeat measures, followed by Turkey post hoc *P<0.01, ***P<0.001 (MHF-VEH vs MChow-VEH). Results in B were analysed by Two-way ANOVA followed by conditional t test. a (P<0.05, overall maternal effect); b (P<0.05, overall PBA effect); c (P<0.05, interaction between MHF and PBA); *P<0.05 (vs MChow-VEH) , †P<0.05 (vs MHF-VEH). MChow-VEH: Offspring of Chow-fed dams, treated with vehicles; MChow-PBA: Offspring of Chow-fed dams, treated with PBA; MHF-VEH: Offspring of HFD-fed dams, treated with vehicles; MHF-PBA: Offspring of HFD-fed dams, treated with PBA.

Figure 2. Hypothalamic mRNA expression of appetite regulators in the offspring at weaning. Orexigenic regulators (A, B, C) and anorexigenic regulators (D, E, F). Results are expressed as means \pm SEM (n = 8 - 12), and analysed by Two-way ANOVA, followed by conditional t-test; a (P<0.05, overall maternal effect); b (P<0.05, overall PBA effect); *P <0.05, **P<0.01 (vs MChow-VEH) , †P<0.05, ††P<0.01 (vs MHF-VEH). MChow-VEH: Offspring of Chow-fed dams, treated with vehicles; MChow-PBA: Offspring of Chow-fed dams, treated with PBA; MHF-VEH: Offspring of HFD-fed dams, treated with vehicles; MHF-PBA: Offspring of HFD-fed dams, treated with PBA. NPY (Neuropeptide Y), NPY1R (Y1 receptor); POMC (Pro-opiomelanocortin); MC4R (melanocortin-4 receptor); AgRP (Agouti-related peptide); Sim1 (Single-minded homolog 1)

Figure 3. Hypothalamic mRNA and protein levels of ER markers in the offspring at weaning. A. mRNA expression (n = 8 – 12). B. Protein expression (n = 5). Results are expressed as means \pm SEM and analysed by Two-way ANOVA followed by conditional t-test; a (P<0.05, overall

maternal effect); b ($P < 0.05$, overall PBA effect); * $P < 0.05$, *** $P < 0.001$ (vs MChow-VEH), † $P < 0.05$ (vs MHF-VEH). MChow-VEH: Offspring of Chow-fed dams, treated with vehicles; MChow-PBA: Offspring of Chow-fed dams, treated with PBA; MHF-VEH: Offspring of HFD-fed dams, treated with vehicles; MHF-PBA: Offspring of HFD-fed dams, treated with PBA. BiP (immunoglobulin binding protein), XBP1 (X-box binding protein 1), sXBP1 (spliced XBP1) and CHOP (C/EBP homologous protein).

Figure 4. Hypothalamic protein levels of autophagy markers in the offspring at weaning. Results are expressed as means \pm SEM ($n = 4 - 6$) and analysed by Two-way ANOVA followed by conditional t-test; a ($P < 0.05$, overall maternal effect); b ($P < 0.05$, overall PBA effect); * $P < 0.05$, *** $P < 0.001$ (vs MChow-VEH) , † $P < 0.05$ (vs MHF-VEH). MChow-VEH: Offspring of Chow-fed dams, treated with vehicles; MChow-PBA: Offspring of Chow-fed dams, treated with PBA; MHF-VEH: Offspring of HFD-fed dams, treated with vehicles; MHF-PBA: Offspring of HFD-fed dams, treated with PBA. Atg (Autophagy related gene), LC3 (Microtubule-associated protein 1A/1B-light chain 3), p62 (Sequestosome 1).

Figure 5. Hypothalamic Akt/mTOR signalling in the offspring at weaning, Results are expressed as means \pm SEM ($n = 4$) and analysed by Two-way ANOVA followed by Turkey (if P -interaction < 0.05) or conditional t-test; c ($P < 0.05$, interaction between MHF and PBA); * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ (vs MChow-VEH), †† $P < 0.01$ (vs MHF-VEH). Akt (Protein kinase B), mTOR (mechanistic target of rapamycin), pAkt (phosphorylated Akt), pmTOR (phosphorylated mTOR).

Figure 6. Hypothalamic protein levels of mitophagy markers in the offspring at weaning. Results are expressed as means \pm SEM ($n = 4 - 6$) and analysed by Two-way ANOVA followed by Turkey (if P -interaction < 0.05) or conditional t-test; b ($P < 0.05$, overall PBA effect); c

($P < 0.05$, interaction between MHF and PBA); * $P < 0.05$ (vs MChow-VEH), † $P < 0.05$, †† $P < 0.01$ (vs MHF-VEH). MChow-VEH: Offspring of Chow-fed dams, treated with vehicles; MChow-PBA: Offspring of Chow-fed dams, treated with PBA; MHF-VEH: Offspring of HFD-fed dams, treated with vehicles; MHF-PBA: Offspring of HFD-fed dams, treated with PBA. Prk8 (Parkin), PINK1 (PTEN-induced putative kinase 1), Drp1 (Dynamin-related protein 1).

Figure 7. Hypothalamic protein expression of mitochondrial oxidative phosphorylation (OXPHOS) complex I-V in the offspring at weaning. Results are expressed as means \pm SEM (n = 4 - 6) and analysed by Two-way ANOVA followed by Turkey (if P-interaction < 0.05) or conditional t-test; b ($P < 0.05$, overall PBA effect); c ($P < 0.05$, interaction between MHF and PBA); * $P < 0.05$ (vs MChow-VEH), † $P < 0.05$, †† $P < 0.01$ (vs MHF-VEH). MChow-VEH: Offspring of Chow-fed dams, treated with vehicles; MChow-PBA: Offspring of Chow-fed dams, treated with PBA; MHF-VEH: Offspring of HFD-fed dams, treated with vehicles; MHF-PBA: Offspring of HFD-fed dams, treated with PBA.

Tables

Table 1. TaqMan probe sequence (Applied Biosystem, Foster City, USA) used for real time-PCR

Gene name	NCBI gene references	FAM-labeled Probes (5'→ 3')	Assay ID
AgRP	XM_574228.2, AF206017.1	GCAGAGGTGCTAGATCCAC AGAACC	Rn01431703_g1
NPY	NM_012614.1	GCCCGCCCGCCATGATGCTA GGTAA	Rn00561681_m1
POMC	NM_139326.2	AAGCAACCTGCTGGCTTGC ATCCGG	Rn00595020_m1
Y1 receptor	NM_001113357.1, Z11504.1	TTCATATGCTACTTCAAGAT ATACG	Rn01402912_g1
MC4R	NM_013099.2	AGCAGAAGCCTGATTCCAC TGTTTA	Rn01491866_s1
Sim 1	XM_228329.4, NM_001107641.1	CCTGGACTCCAGGGTAGCA GAGCTG	Rn01440876_g1
XBP-1	NM_001004210.1, BC079450.1	CCTCTTCAGATTCTGAGTCT GATAT	Rn01752572_g1
BiP	NM_013083.1, M14050.1, BC062017.1	AACAATCAAGGTCTACGAA GGTGAA	Rn00565250_m1
CHOP	NM_001109986.1, NM_024134.2, XM_006241444.2, XM_006241445.2	AGGAAACGAAGAGGAAGA ATCAAAA	Rn00492098_g1

Table 2. Body weight, food intake and organ mass of dams fed with Chow or HFD

	Chow-fed dam (n=9)	HFD dam (n=9)
Body weight prior to diet (g)	179 ± 2.28	183 ± 2.44
Body weight at mating (g)	250 ± 4.66	287 ± 5.67 *
Body weight at weaning (g)	320 ± 15.7	362 ± 10.4 *
Food intake (KJ/rat/day)	208 ± 15.56	281 ± 23.37 *
Retroperitoneal fat (g)	5.62± 1.17	11.9 ± 1.35 *
Retroperitoneal fat %	1.71 ±0.27	3.31 0.38 *
Epididymal fat (g)	5.92 ± 0.61	6.23 ± 0.34
Epididymal fat %	1.92 ± 0.16	1.73 ±0.12
Mesenteric fat (g)	4.44 ± 0.19	5.50 ± 0.53 *
Mesenteric fat %	1.39 ± 0.05	1.52 ± 0.14
Liver (g)	10.5 ± 0.60	15.1 ± 0.63 *
Liver %	3.29 ± 0.09	4.19 ± 0.15 *

Results are expressed as means ± SEM. Data were analysed by student t-test. *P < 0.05

Table 3. Effects of maternal HFD and PBA treatment on offspring body weight, organ mass, blood glucose, insulin and triglyceride levels at weaning.

	MChow-VEH	MChow-PBA	MHF-VEH	MHF-PBA	P value		
	n = 8	n = 10	n = 10	n = 12	Maternal	PBA	Interaction
Body weight (g)	50.69 ± 1.83	45.57 ± 1.45	61.87 ± 2.38***	57.75 ± 1.00 [†]	<0.001 ^a	<0.01 ^b	0.919
Fasting BGL (mM)	5.69 ± 0.23	5.67 ± 0.18	7.51 ± 0.14***	6.85 ± 0.17	<0.001 ^a	0.070	0.089
Plasma insulin	2.59 ± 1.10	2.94 ± 1.15	10.48 ± 2.98**	5.62 ± 2.27	<0.01 ^a	0.227	0.166
HOMA-IR	0.94 ± 0.42	1.04 ± 0.41	4.44 ± 1.56*	1.96 ± 0.44	<0.05 ^a	0.150	0.182
Plasma triglyceride	0.23 ± 0.02	0.27 ± 0.03	0.60 ± 0.08***	0.72 ± 0.06	<0.001 ^a	0.118	0.466
Total fat (g)	0.53 ± 0.03	0.42 ± 0.04	1.09 ± 0.09***	0.99 ± 0.05 ^{††}	<0.001 ^a	<0.01 ^b	0.312
Total fat %	1.05 ± 0.06	0.93 ± 0.05	1.83 ± 0.07***	1.61 ± 0.07 [†]	<0.001 ^a	0.016	0.465
Retroperitoneal fat (g)	0.04 ± 0.01	0.03 ± 0.00	0.26 ± 0.03***	0.18 ± 0.02 [†]	<0.001 ^a	0.020 ^b	0.046 ^c
Retroperitoneal fat %	0.07 ± 0.01	0.07 ± 0.01	0.41 ± 0.03***	0.31 ± 0.03 ^{††}	<0.001 ^a	0.038 ^b	0.058
Epididymal fat (g)	0.04 ± 0.01	0.03 ± 0.00	0.23 ± 0.04***	0.15 ± 0.01 ^{††}	<0.001 ^a	0.020 ^b	0.101
Epididymal fat %	0.08 ± 0.02	0.06 ± 0.01	0.37 ± 0.05***	0.27 ± 0.02 ^{††}	<0.001 ^a	0.023 ^b	0.129
Mesenteric fat (g)	0.45 ± 0.02	0.37 ± 0.03	0.65 ± 0.05***	0.60 ± 0.03	<0.001 ^a	0.068	0.684
Mesenteric fat %	0.89 ± 0.05	0.80 ± 0.05	1.05 ± 0.06	1.04 ± 0.05	<0.001 ^a	0.376	0.455
Liver (g)	2.16 ± 0.09	1.90 ± 0.08	2.90 ± 0.19***	2.62 ± 0.06	<0.001 ^a	0.026 ^b	0.924
Liver %	4.26 ± 0.14	4.16 ± 0.07	4.65 ± 0.16	4.54 ± 0.08	0.003 ^a	0.363	0.965

Results are expressed as means ± SEM. Data were analysed by Two-way ANOVA followed by Turkey post hoc test or conditional t-test.

a (maternal effect; P < 0.05), b (PBA effect; P < 0.05), c (interaction between MHF and PBA; P < 0.05), *P < 0.05, **P < 0.01, ***P < 0.001 (vs MChow-VEH) , [†]P < 0.05 (vs VEH controls), ^{††}P < 0.01 (vs VEH controls). BGL (blood glucose level).

Figure 1

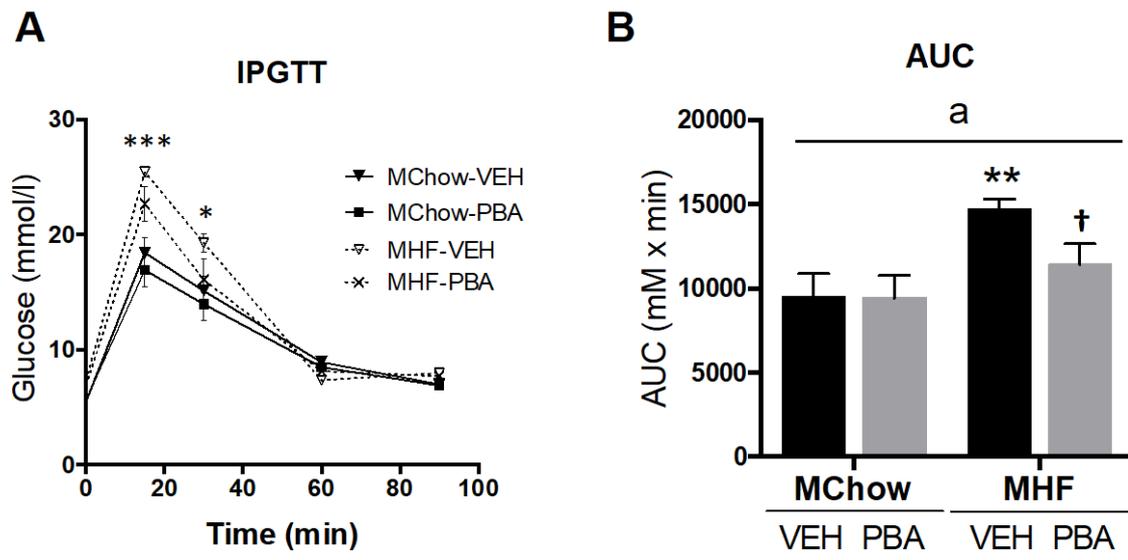


Figure 2

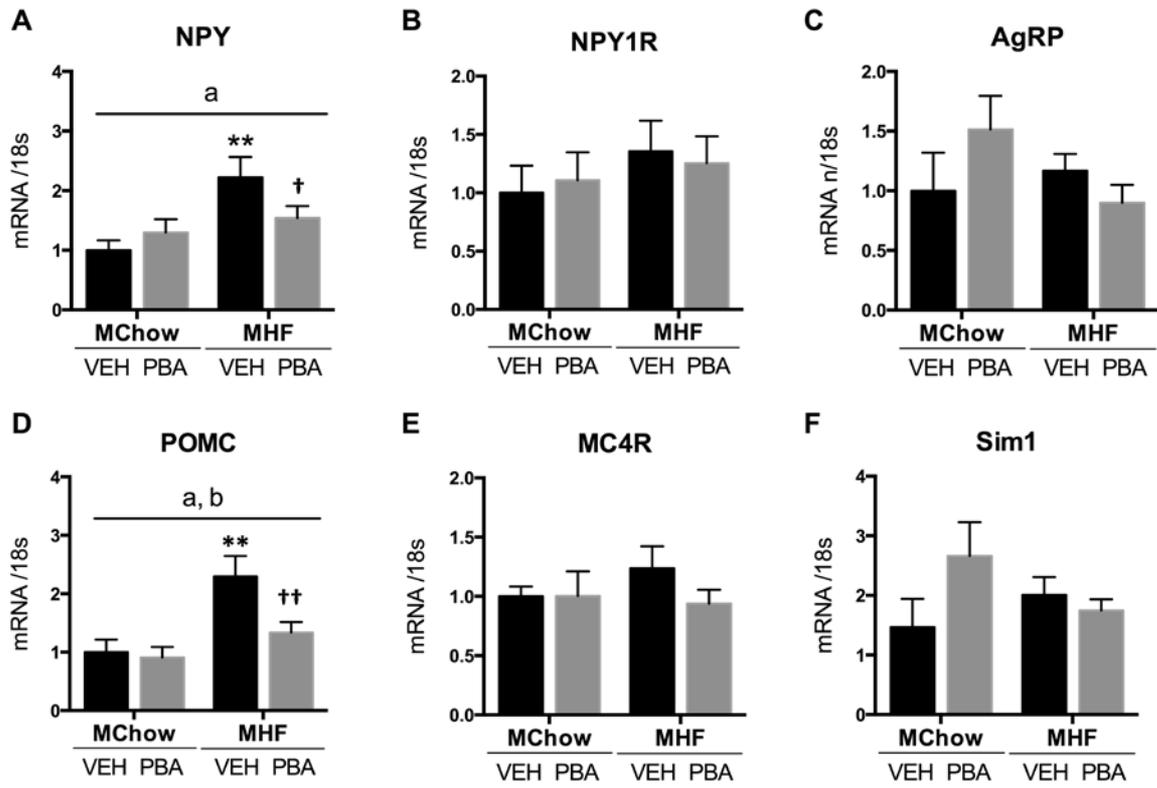


Figure 3

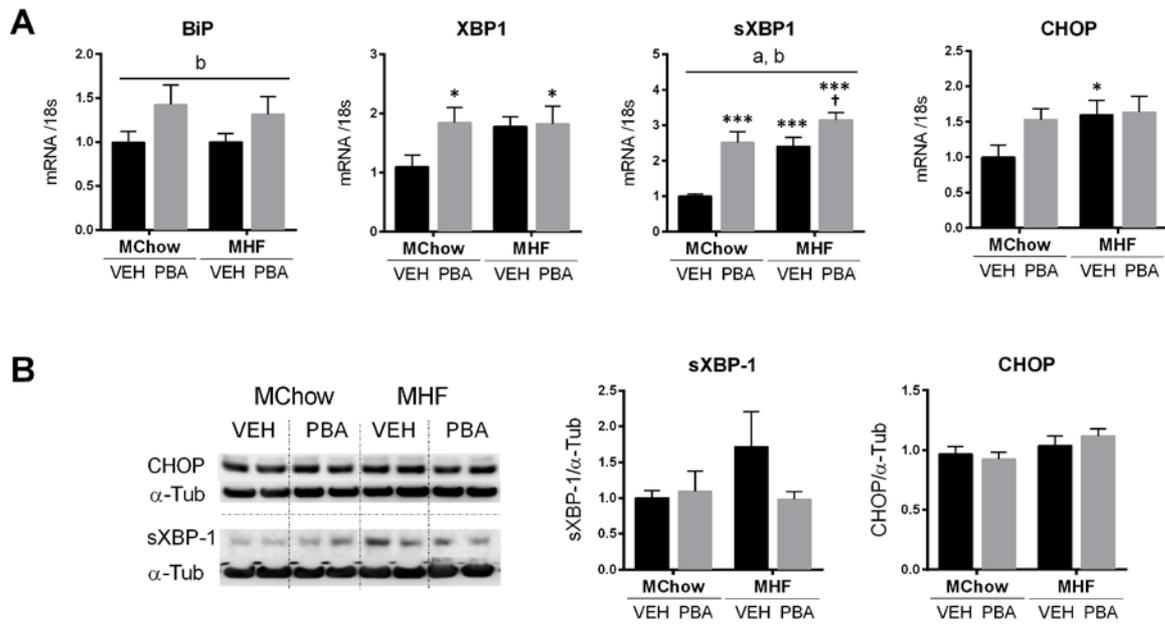


Figure 4

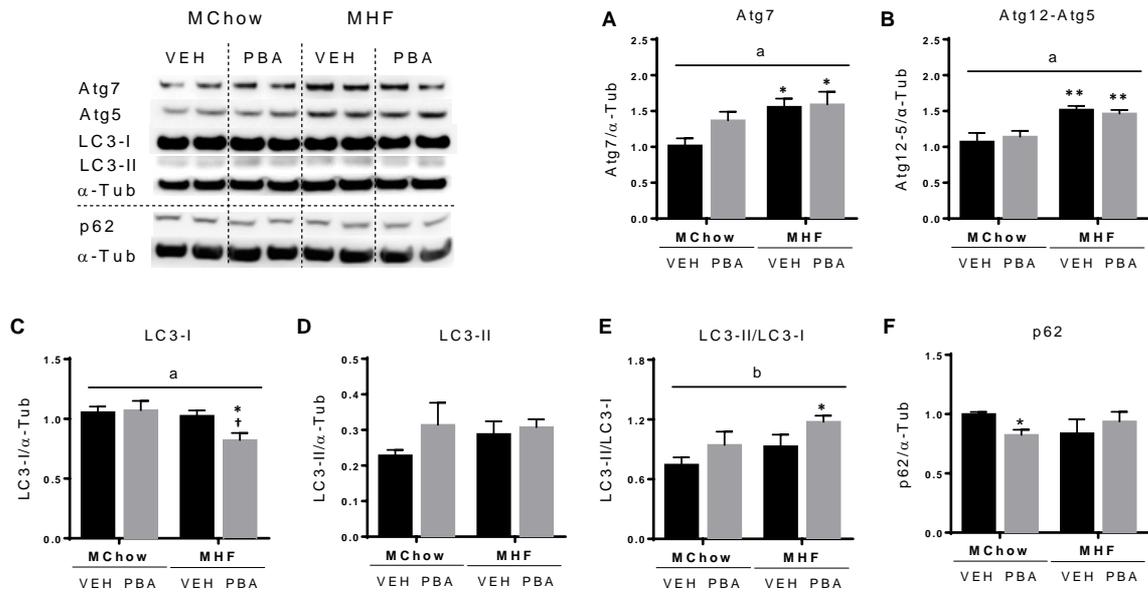


Figure 5

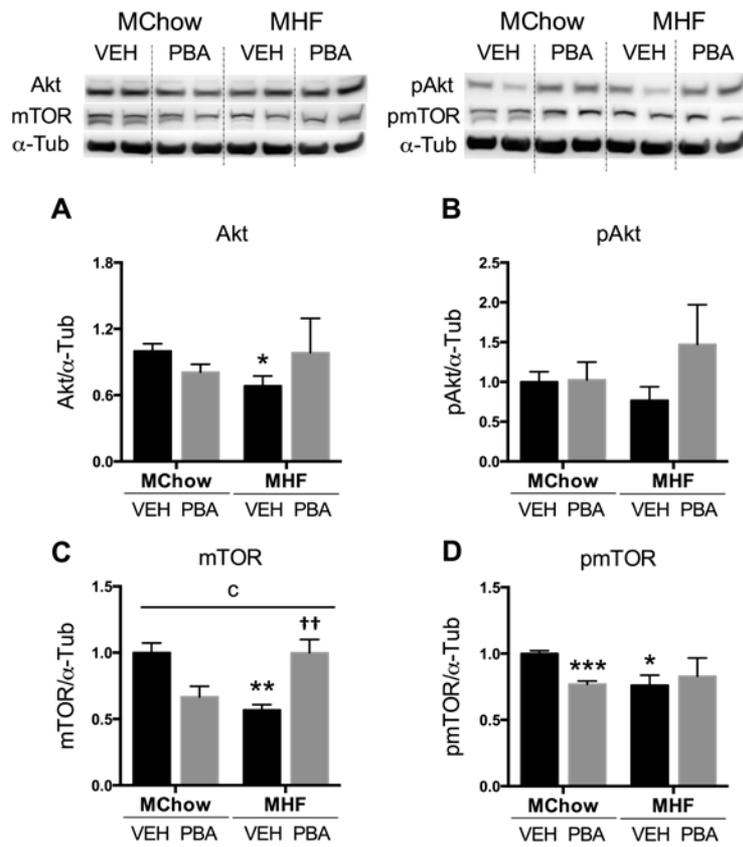


Figure 6

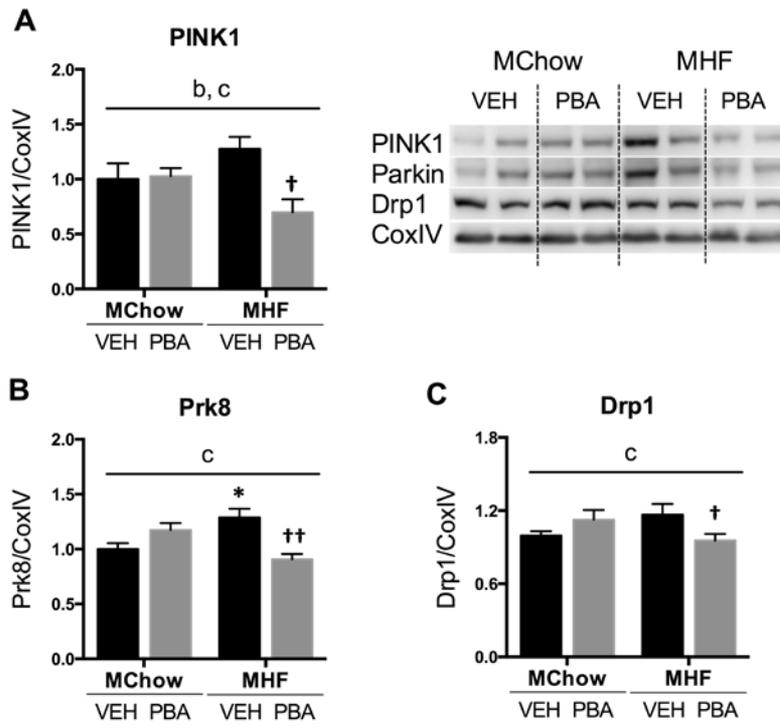


Figure 7

