Nonlinear response via intrinsic rotation in metamaterials

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(Received 7 November 2012; published 20 June 2013)

We propose and experimentally verify a way to achieve strong nonlinear coupling between the electromagnetic and elastic properties in metamaterials. This coupling is provided through a novel degree of freedom in metamaterial design: the internal rotation within structural elements. Our meta-atoms have high sensitivity to electromagnetic wave power, and the elastic and electromagnetic properties can be independently designed to optimize the response. We demonstrate a rich range of nonlinear phenomena including self-tuning and bistability, and provide a comprehensive experimental demonstration of the predicted effects.

DOI: 10.1103/PhysRevB.87.235126 PACS number(s): 81.05.Xj, 05.45.—a, 42.65.Pc, 78.67.Pt

I. INTRODUCTION

Metamaterials research has grown rapidly over the past decade, exhibiting a wide variety of new wave phenomena.1,2 Being initially conceived in the domain of electromagnetics,3–5 the metamaterial concept also proved to be fruitful in other areas of physics.6–8 Until recently, however, direct interplay between different types of physical effects within the same metamaterial was not considered, although mechanical control over electromagnetic metamaterial properties was employed in structural tuning.9,10

It turns out that introducing a mechanical degree of freedom into electromagnetic metamaterials leads to an interesting range of nonlinear effects, giving rise to a new class of magnetoelastic metamaterials11 and to wide-band operation.12 The range of possible effects achievable in this way promises to be richer than in the prominent area of optomechanics,13 because the greater flexibility in metamaterial design overcomes the limits of available material functionalities and offers wider possibilities for optimization. At the same time, the implementation of magnetoelastic metamaterials11 remains challenging and in some cases, such as the conformational nonlinearity in resonant spirals,14 remains inaccessible for optics. The reason for this is that the magnetic forces, employed in the initial designs, are relatively weak, so such materials require either high power or extremely small elastic restoring forces, which poses a considerable manufacturing challenge.

We recall, however, that earlier research on structurally tunable metamaterials9 indicated that near-field interaction may significantly improve the tunability range, leading to various effects associated with near-field coupling.15 In particular, changing the mutual orientation between the neighboring elements has a profound effect on the resonator coupling and the structure of their modes.16,17

We therefore expect that the most efficient approach to implement dynamic coupling between electromagnetic and mechanical effects should rely on the near-field interaction, which can have a powerful influence even for subtle changes in the mutual orientation. Instead of a considerable displacement of an entire array,9 it is sufficient to move the crucial parts of the resonant particles with respect to each other—for example, the gaps of the two coupled split-ring resonators—which involves a more gentle geometric alteration.
we utilize an efficient analytical model based on the single orientation of the gaps with respect to the incident electric direction, having a linear polarization with the electric field along $x$ and magnetic field along $y$. The induced electromagnetic torque between the resonators changes the mutual twist angle $\theta$ between the rings, connected by an elastic wire.

EM torque, so that we can control the twist angle (and thus the resonance) by changing the external field.

An additional feature of the proposed design is that the nonlinear dynamics of the rotatable particle not only depend on the parameters of the wire, but also on the EM mode initially excited in the resonators, which is determined by the starting angle between the gaps. The latter can be made arbitrary, and an implementation has the possibility to deliberately adjust it, introducing tunability to the system.

The above design, therefore, offers a tunable resonant nonlinear system with elastic feedback and, as we show below, yields a rich pattern of nonlinear response including self-tuning and nonlinear bistability, which are much stronger than those provided by using nonlinear semiconductor components. Below, we present a detailed theoretical analysis of rotational meta-atoms. We then proceed to the experimental results those provided by using nonlinear semiconductor components.

III. THEORETICAL ANALYSIS

A. Semianalytical model

To start with, we use a semianalytical model to study the dynamics of an isolated meta-atom in free space. It will subsequently be demonstrated that this model explains all qualitative features of an array. As shown in Fig. 1, the two coaxial identical SRRs are offset by a distance $s$ in the $z$ direction, and the twist angle between them is $\theta$. The incident wave propagates along the $y$ direction, with its magnetic field in the $z$ direction and electric field in the $x$ direction. The orientation of the gaps with respect to the incident electric field polarization is described by the angle $\Phi$ between the azimuth of the gap of the bottom ring as seen from the ring axis and $y$ axis.

To study the nonlinear behavior of the rotatable meta-atom, we utilize an efficient analytical model based on the single mode approximation and the near-field interaction. This model can provide a reasonable prediction of the EM response as well as the optomechanical properties of the structures. As our previous studies showed, the current and charge of the SRR can be separated into frequency-dependent mode amplitudes and spatially dependent distributions: $J(\mathbf{r},\omega) = -j\omega Q(\omega)\mathbf{j}(\mathbf{r})$ and $\rho(\mathbf{r},\omega) = Q(\omega)\varphi(\mathbf{r})$. The mode amplitudes $Q_{1,2}$ can be obtained after solving the coupled equations:

$$Q_1 = (\mathbf{E}_2 F_m - \mathbf{E}_1 F_s)/(F_s^2 - F_m^2),$$

$$Q_2 = (\mathbf{E}_1 F_m - \mathbf{E}_2 F_s)/(F_s^2 - F_m^2),$$

where $\mathbf{E}_1$ and $\mathbf{E}_2$ correspond to the effective voltage applied to the lower (index 1) and top (index 2) SRRs by the external fields:

$$\mathbf{E}_1 = -\mathbf{E}_{\text{ext}} \cdot \mathbf{l}_e \cdot e^{jkz\rho \cos \Phi} + j\omega \mathbf{B}_{\text{ext}} \cdot \mathbf{u}_e \cdot e^{ik \omega \rho \cos \Phi}$$

and

$$\mathbf{E}_2 = -\mathbf{E}_{\text{ext}} \cdot \mathbf{l}_e \cdot e^{jkz\rho \cos(\Phi+\theta)} + j\omega \mathbf{B}_{\text{ext}} \cdot \mathbf{u}_e \cdot e^{ik \omega \rho \cos(\Phi+\theta)}.$$
while the internal torque can be expressed as

$$\mathbf{M}_{\text{int},2} = \frac{1}{2} \text{Re} \left[ \int \mathbf{E}_{\text{int}}(\mathbf{r}_2) \times dV_2 \right]$$

with

$$\mathbf{E}_{\text{int}}(\mathbf{r}_2) = -\nabla \phi(\mathbf{r}_2) - \frac{\partial}{\partial t} \mathbf{A}(\mathbf{r}_2)$$

$$= -\int V_i \frac{\rho(\mathbf{r}_1) \epsilon_0 \mathbf{E}_0}{4\pi} \frac{e^{jk|\mathbf{r}_2 - \mathbf{r}_1|}}{|\mathbf{r}_2 - \mathbf{r}_1|} + \frac{\partial}{\partial t} \left[ \mathbf{J}(\mathbf{r}_1) e^{jk|\mathbf{r}_2 - \mathbf{r}_1|} \right] \frac{4\pi e_0}{|\mathbf{r}_2 - \mathbf{r}_1|} dV_1.$$

Finally, we arrive at

$$\mathbf{M}_{\text{int},2} = \frac{1}{2} \text{Re} \left\{ \frac{Q_1(\omega) Q_2^*(\omega)}{4\pi \epsilon_0} \int \frac{q^*(\mathbf{r}_1) e^{jk|\mathbf{r}_2 - \mathbf{r}_1|}}{|\mathbf{r}_1 - \mathbf{r}_2|^2} q(\mathbf{r}_1) \mathbf{r}_1 \times \mathbf{r}_2 + k^2 \mathbf{r}_2 \times \mathbf{j}(\mathbf{r}_1) \right\} \times dV_1 dV_2.$$

For a cylindrical wire that can be used to suspend the rotatable SRR, the restoring torque can be estimated as $M_R = -\pi a^2 G(\theta - \theta_0)/(2d)$, where $a$ and $d$ are the radius and the length of the wire, respectively. $G$ is the shear modulus and $\theta_0$ is the initial twist angle of the structure. A series of equilibrium twist angles can be found by solving the nonlinear equation

$$M_{\text{EM}}(\theta, P_I) + M_R(\theta, \theta_0) = 0.$$

### B. Numerical example

We model a pair of twisted SRRs, with radius $r = 6$ mm and vertical spacing $s = 2$ mm. The size of the slit in each ring can be described by the angle at which the gap is visible from the ring center, $\alpha_0 = 10^\circ$. The elastic coupling is provided with a wire of radius $a = 50 \mu$m and of length $d = 100$ mm, made from rubber with a shear modulus of $G = 0.6$ MPa.

Figures 2(a) and 2(b) depict the mode amplitude $Q_2$ and the total EM torque $M_{\text{EM}} = M_{\text{ext}} + M_{\text{int}}$ experienced by the top SRR as functions of frequency and twist angle $\theta$. In our dimer meta-atom, changing $\Phi$ generally leads to some difference in the coupling efficiency between the input plane wave and the eigenmodes, but does not cause any substantial qualitative difference such as the direction of EM torque, since it is a property governed by the symmetry of the mode profiles. Without loss of generality, we have assumed $\Phi = 0$ for the calculations. Since radiation losses are taken into account in the model, we are able to accurately describe the evolution of the mode amplitudes, phases and line shapes of the resonances. As expected, this chiral meta-atom supports two hybrid resonances, which can be characterized as symmetric (lower frequency branch) and antisymmetric (higher frequency branch) modes, according to the symmetry of the $H_z$ component.

The directions of the EM torque at these two resonances are also opposite. For the symmetric mode, $\theta = 0^\circ$ corresponds to the configuration of highest potential energy (unstable point), and thus the two repel each other once $\theta > 0^\circ$, until they come to the stable state at $\theta = 180^\circ$, while the reverse is true for the antisymmetric mode. The evaluated external torque is about one order of magnitude smaller than the internal torque, and the total torque is of the order of $10^{-10}$ Nm when the structure is pumped with a power density $P_I = 1 \text{ mW/mm}^2$. This is confirmed by the full-wave simulation (CST Microwave Studio) followed by calculation based on the Maxwell stress tensor, which yields the EM torque through a surface integral of the field components around the object.

The overall mechanism of achieving a nonlinear effect is presented in Figs. 2(c) and 2(d). As an example, we choose a pump frequency (3.5 GHz) at the symmetric mode [regime denoted by the black dashed line in Fig. 2(b)]. It can be seen that $M_{\text{EM}}$ is a Lorentz-like function of the twist angle, while the restoring torques $M_R$ under different initial twist angles $\theta_0$ are approximated by linear functions (Hooke’s law). The intersections of these two functions, $M_{\text{EM}}(\theta, P_I) + M_R(\theta, I) = 0$, correspond to the equilibrium angles $\theta_*$. However, only the angles with $\frac{\partial}{\partial \theta_0} [M_{\text{EM}}(\theta_0) + M_R(\theta_0)] < 0$ are stable. As the pump power $P_I$ increases from zero to maximum and then reduces, the stable angles also change accordingly. With this method, we can numerically find a sequence of stable angles under different pump powers.

Since the EM torque is a nonlinear function of twist angle, it naturally leads to nonlinear solutions. As shown in Fig. 2(d), the power-dependent twist angles under different initial angles demonstrate the evolution from smooth nonlinear to bistable response as $\theta_0$ departs from the angle of maximum EM torque. In principle, as $\theta_0$ moves further away from the resonance, more noticeable rotation and hysteresis effects are expected, but higher pump power is required (see the case for $\theta_0 = 45^\circ$). Such evolution of the power-dependent nonlinear response can...
also be observed by fixing the initial twist angle but changing the pump frequency, as will be demonstrated in the experiment below.

IV. EXPERIMENTAL VERIFICATION

A. Experimental setup and measurement

To confirm the feasibility of the proposed nonlinear rotatable meta-atoms, we carry out a pump-probe microwave experiment. To experimentally realize a strong nonlinear or even bistable effect, the restoring torque from the wire has to be sufficiently small so that the structure can be twisted by a large enough angle within the maximum available power. We found that rubber is a good candidate, since the shear modulus of rubber is of the order of 0.2–2.4 MPa,23 which is at least three orders of magnitude smaller than it is for other polymers. We have used two separated copper SRRs (inner radius \( r = 3.2 \) mm, track width 1 mm, copper thickness 0.035 \( \mu \)m, and slit width \( g = 0.2 \) mm) printed on Rogers R4003 substrates \( (\epsilon_r = 3.5, \text{ loss tangent } 0.0027, \text{ substrate thickness } 0.5 \) mm). The lower SRR is fixed and positioned at the center of a WR229 rectangular waveguide with \( \Phi = 0^\circ \), and the top SRR is suspended with a thin rubber wire (radius \( a = 50 \mu \)m, length \( d = 20 \) mm), so that it can rotate about the common axis. The two SRRs are aligned coaxially, with a face to face distance of 0.75 mm, separated by air. The horizontal positions of the SRRs are carefully adjusted and the initial twist angle \( \theta_0 \) is set at around 70°. The mass of the suspended sample is 101 mg, which leads to a 6.1% elongation of the wire. The Young’s modulus is thus estimated as 2.06 MPa, and the shear modulus follows as \( G \approx 0.69 \) MPa.

Since the mechanism of nonlinear response is the dynamic coupling between electromagnetic and elastic properties, the experimental setup exhibits the same physics as the conceptual schematic although it is slightly different. In the experiment, it was not necessary to fix the bottom end of the wire to the substrate, because the maximum forces emerging in the lateral directions \((\sim 0.1 \mu \text{N according to our calculations})\) can only produce a negligible swing angle \((0.056^\circ)\) since they are much smaller than the weight of the sample \((\sim 980 \mu \text{N})\). The calculated torque is a Lorentz-like function and the maximum is around 0.8 nNm. The maximum attractive force between the two SRRs is around 2 \( \mu \)N, which leads to a negligible elongation of the wire \((0.012\%); \) while the force in the lateral direction is around 0.1 \( \mu \)N, about 1000 times smaller than the gravitational force exerted on the sample, and thus it only gives rise to a tiny swing angle \((0.056^\circ)\).

The transmission spectrum measurements are performed by a vector network analyzer (Rohde and Schwarz ZVB-20). The CW pump signal is generated by a signal generator (HP 8673B) and is further amplified by a power amplifier (HP 83020A) before being sent into the waveguide. Three pump frequencies \((3.18, 3.21, \text{ and } 3.23 \text{ GHz})\) are chosen in order to capture the evolution of the nonlinear response at different frequencies from the initial resonance. The pump power is increased in 1-dB steps; for each step, the sample reaches steady state after 30 s. The mechanical rotation is quite significant and can be visually observed in the experiment, thus ruling out other possible nonlinear mechanisms such as heating.

The experimentally observed transmission spectra are shown in Fig. 4, and the corresponding resonant frequencies are depicted in Figs. 5(a), 5(c), and 5(e), where the predicted evolution from bistability to smooth nonlinearity is clearly shown. The initial resonance (symmetric mode) without pump is located around 3.256 GHz, and it redshifts as the pump power increases, which indicates that the twist angle is increased. When the pump frequency is at the red tail of the resonance, a large spectral “jump” (about three times of the resonance linewidth) can be observed when the pump power passes a certain threshold value [see Fig. 5(a)]. The thresholds are different for increasing and decreasing pump powers. As
the pump frequency approaches to the initial resonance, the spectral “jump” becomes smaller [see Fig. 5(c)] and finally disappears [see Fig. 5(e)]. We also observed similar effects (not shown) when the pump frequency is at the red tail of the antisymmetric mode, in which case the two resonances approach each other due to the opposite direction of the EM torque.

B. Comparison with simulation and discussion

To further validate the observed effect, we numerically simulated the exact experimental geometry by taking into account the effect of the waveguide. The field distribution is calculated numerically using CST Microwave Studio, and the EM torque exerted on the top SRR is calculated with the Maxwell stress tensor, as shown in Fig. 6(a). Once the twist angle-dependent torque is known, the power-dependent twist angle can be found by solving the nonlinear equation (11). The estimated optimum initial twist angle is around 72.5°, and the maximum twist angles obtained for the three pump frequencies (3.18, 3.21, and 3.23 GHz) are around 90°, 85°, and 82°, respectively. Finally, we remap these angles back to the corresponding resonant frequencies according to the simulation spectra. We found excellent agreement between the measurement and simulation [see Figs. 5(b), 5(d), and 5(f)], thus confirming that our numerical model has reproduced the experimental configuration with acceptable accuracy.

Due to the formation of image currents within the waveguide walls, a single meta-atom within a waveguide has virtual neighbors. Just as in an array, there will be near-field interaction with these virtual neighbors, with some alteration due to the mirror reflections. This suggests that the waveguide system is strongly analogous to an array, at least for relatively dilute lattice spacing. To verify this, we numerically model the electromagnetic torque of the system for both the waveguide and array geometries. As shown in Fig. 6, the qualitative agreement between the two is very good.

FIG. 6. (Color online) Mutual EM torque as a function of twist angle under different pump frequencies. (a) Single chiral meta-atom in waveguide and (b) periodic array of chiral meta-atoms, with periodicity 45 mm in the lateral direction and one layer in the propagating direction of EM wave. The torques shown correspond to a 1-W power pumped into the waveguide or one unit cell.
Since the nonlinearity arises from individual rotatable meta-atoms, the behavior in an array will not show qualitative difference from a single meta-atom as predicted in the analytical model, as long as the neighbor interaction is relatively weak. We use full numerical simulation to model an array with thickness of a single cell and periodicity of 45 mm in the transverse directions, arranged as in Fig. 1. The parameters of the meta-atoms used in the simulation are the same as in the experiment. The array and the waveguide experiment show quite good qualitative agreement [see Figs. 7(b), 7(d), and 7(f) for the power-dependent resonance and Fig. 6 for the EM torque], thus justifying that the nonlinear behavior observed in the waveguide experiment is similar to that of the analogous array system.

V. CONCLUSION

We proposed and verified experimentally an interesting concept for achieving strong nonlinear coupling between the electromagnetic and elastic properties in metamaterials. The nonlinear “meta-atom” described in this work proved to possess a sensitive elastic feedback bringing nonlinearity to the interaction of EM modes of the resonators. The resulting nonlinearity and bistability of the response were successfully observed in experiments and it turns out that these results can be accurately predicted with theoretical modeling. We also note that this structure is chiral (except in the high symmetry cases of 0° and 180° angle between the rings), it should also exhibit nonlinear optical activity, an effect which is relatively weak in natural media,24 but can be quite strong in metamaterials.25

Although the experimental demonstration in this work was performed in the microwave frequency range, we expect that the general principle of operation is valid at any frequency where a resonant response can be excited in such or similar metamaterials elements, e.g., in the recently developed plasmonic chiral molecules based on DNA templates,26 and the way to analyze the same phenomena in THz or optical range is conceptually the same. We believe that this work provides a substantial contribution to the emerging area of optomechanical and magnetoelastic metamaterials, and offers an efficient and convenient design for practical applications.

ACKNOWLEDGMENTS

This work was supported by Australian Research Council. The authors are grateful to A. A. Sukhorukov for helpful discussions.