

1 **Gold Nanoparticles Improve Metabolic Profile of Mice Fed a High-Fat Diet**

2
3 Hui Chen ^{1,2*}, Jane PM Ng ^{1*}, Yi Tan ¹, Kristine McGrath ^{1,2}, David P Bishop ³, Brian Oliver ^{1,2}, Yik
4 Lung Chan ¹, Michael B Cortie ⁴, Bruce K Milthorpe ^{1,2}, Stella M Valenzuela ^{1,2#}

5
6 1. Molecular Biosciences Team, School of Life Sciences, Faculty of Science, University of
7 Technology Sydney. NSW 2007, Australia

8 2. Centre for Health Technology, University of Technology Sydney. NSW 2007, Australia

9 3. School of Mathematical and Physical Sciences, Faculty of Science, University of Technology
10 Sydney, NSW, 2007, Australia

11 4. Institute for Nanoscale Technology, University of Technology Sydney. NSW 2007, Australia

12
13 * These authors contributed equally to this work.

14 15 **#Corresponding Author**

16 Associate Professor Stella M Valenzuela

17 Molecular Biosciences Team, School of Life Sciences, Faculty of Science, University of Technology
18 Sydney. NSW 2007, Australia.

19 Phone: 61 2 9514 1917. Fax: 61 2 9514 8206. E-mail: Stella.Valenzuela@uts.edu.au

20
21 **Running title:** Gold-nanoparticles for treating obesity

22 **Keywords:** obesity, gold nanoparticles, inflammation, lipid metabolism, glucose intolerance

27 **Abstract**

28 **Background:** Obesity is a high risk for multiple metabolic disorders due to excessive influx of energy,
29 glucose and lipid, often from a western based diet. Low-grade inflammation plays a key role in the
30 progression of such metabolic disorders. The anti-inflammatory property of bulk gold has been used
31 in treating rheumatoid arthritis in the clinic, not its form at nanoscale. Previously we found that pure
32 gold nanoparticles (AuNPs, 21nm) also possess anti-inflammatory effects on the retroperitoneal fat
33 tissue following intraperitoneal injection, by downregulating tumor necrosis factor (TNF) α . However,
34 whether such an effect can change the risk of metabolic disorders in the obese has not been well
35 studied.

36 The study employed C57BL/6 mice fed a pellet high fat diet (HFD, 43% as fat) that were treated daily
37 with AuNPs [low (HFD-LAu) or high (HFD-HAu) dose] via intraperitoneal injection for 9 weeks. In
38 the *in vitro* study, RAW264.7 macrophages and 3T3-L1 adipocytes were cultured with low and high
39 concentrations of AuNPs alone or together.

40
41 **Results:** The HFD-fed mice showed a significant increase in fat mass, glucose intolerance,
42 dyslipidemia, and liver steatosis. The HFD-LAu group showed an 8% reduction in body weight,
43 ameliorated hyperlipidemia, and normal glucose tolerance; while the HFD-HAu group had a 5%
44 reduction in body weight with significant improvement in their glucose intolerance and
45 hyperlipidemia. The underlying mechanism may be attributed to a reduction in adipose and hepatic
46 local proinflammatory cytokine production, eg. TNF α . *In vitro* studies of co-cultured murine
47 RAW264.7 macrophage and 3T3-L1 adipocytes supported this proposed mechanism.

48
49 **Conclusion:** AuNPs demonstrate a promising profile for potential management of obesity related
50 glucose and lipid disorders and are useful as a research tool for the study of biological mechanisms.

51

52

53 **Background**

54 Obesity is an important risk factor for multiple metabolic disorders, including glucose intolerance
55 and hyperlipidemia. The current global surge in obesity has seen a staggering 800% increase in
56 demand for weight-loss surgical procedures over the last decade, as a means of controlling these
57 metabolic disorders (1). This increase is also driven by the disappointingly low success rate of
58 weight-loss medications and interventions, as well as the difficulties faced by individuals trying to
59 maintain ideal body weight following initial weight loss. For example, in a recent trial, the latest
60 approved injectable weight loss medication, Liraglutide (Saxenda) has been shown to induce ~6% of
61 total body weight loss after 56 weeks of treatment (2). However, this weight loss effect required daily
62 adherence to a strict low-caloric diet and ongoing support by dietitians, making its implementation
63 difficult to achieve outside of a closely controlled environment (2). Therefore, there still remains an
64 urgent and growing need for effective strategies to deal with the global obesity pandemic. Herein, we
65 present intriguing evidence that gold nanoparticles (AuNPs) may serve as a novel therapeutic agent
66 in the treatment and control of obesity and its related blood glucose and lipid disorders.

67

68 There is already historical precedence for the use and application of bulk gold and gold salts within
69 clinical practice (3). It is now becoming evident that AuNPs share similar therapeutic potentials (4).
70 Nanomaterials have been widely applied in medicine as biochemical sensors, contrast agents in
71 imaging, and drug delivery vehicles revolutionizing current disease treatment and diagnosis (4).
72 However, the function and toxicity of AuNPs differ substantially depending on the size and shape with
73 AuNPs larger than 15 nm comparatively nontoxic (5).

74

75 Previously, we injected unmodified spherical AuNPs of 21 nm diameter into chow-fed lean mice (6).
76 The AuNPs accumulated rapidly in the abdominal fat tissue after a single intraperitoneal (IP) injection.
77 AuNP-treated mice showed significant reduction in abdominal fat mass compared to non-treated
78 control mice, along with reduced mRNA expression of the pro-inflammatory cytokines, tumor

79 necrosis factor (TNF)- α , in the abdominal fat tissue (6). This is of great interest, as TNF- α has been
80 frequently linked to the comorbidities related to obesity (7). In chronic obesity, excess triglyceride
81 storage in the fat tissue can up-regulate adipose triglyceride lipase (ATGL) to increase basal lipolysis
82 (8). Consequently, adipose tissue macrophage (ATM) infiltration and accumulation into the fat tissue
83 is also increased, which promotes inflammatory responses in the adipose tissue by directly engaging
84 toll-like receptors (TLR) to induce production of cytokines, such as TNF α (9). For these reasons,
85 TNF α expression is positively correlated with body mass index, hyperlipidemia, insulin resistance,
86 and glucose intolerance (10, 11). Either reducing ATM recruitment or inhibiting ATM cytokine
87 release can lead to fat loss and improved insulin sensitivity in obese mice (9, 12). This highlights the
88 essential roles of ATM-related cytokines in the development of metabolic disorders in obesity. The
89 down-regulation of pro-inflammatory cytokines in our previous study was linked to reduced ATM
90 activity, rather than reduced cell number (6). In addition, the abdominal fat loss induced AuNP
91 treatment was also of interest for its potential to treat obesity.

92

93 Although the anti-inflammatory property of bulk gold and AuNPs has been clinically used for treating
94 rheumatoid arthritis (3), the injectable AuNP preparation has not been reported for managing
95 adiposity and metabolic disorders in obesity. Therefore, in the current study we IP injected AuNPs
96 into mice fed a high-fat diet (HFD) for 9 weeks to examine the effect on fat accumulation and obesity
97 related metabolic disorders. In addition, our *in vitro* studies investigated the direct impact of the
98 AuNPs on adipocyte and macrophage interactions. The knowledge gained from this study will serve
99 to inspire new, original and more effective therapeutic approaches that involve direct targeting of
100 intracellular pathways in adipocytes and/or macrophage cells.

101

102

103

104 **Methods:**

105 **Animal experiments:**

106 Male C57Bl/6 mice (8 weeks, Animal Resource Centre, WA, Australia) were then randomly divided
107 into 4 groups ($n=20$, Table 1). Control group (Chow-C) were fed chow (Gordon's Specialty
108 Stockfeeds, NSW, Australia) and injected with vehicle; HFD group (HFD-C) was fed a HFD (20 kJ/g,
109 43% fat, Cat. SF03-020, Specialty Feeds, WA, Australia) *ad libitum* and injected with vehicle; low
110 dose AuNP (HFD-LAu) group fed a HFD and received AuNP (0.785 μ g Au/g, IP); and high dose
111 AuNP (HFD-HAu) group fed a HFD and received AuNP (7.85 μ g Au/g, IP) determined according to
112 our previous study (6). The HFD has been repeatedly used to induce obesity in rodents by us (13-18).
113 The chow-fed mice treated with AuNP was not adopted in this study as we have shown the fat loss
114 effect in lean mice (6) and lean humans rarely requires weight loss treatment. AuNPs were prepared
115 as previously described (6), and injection was performed at 10 am daily for nine weeks. Food intake
116 and body weight was monitored weekly. IP glucose tolerance test (IPGTT) was performed at 8 weeks
117 in randomly selected mice from each group as previously described (15). The area under the curve
118 (AUC) of glucose levels was calculated for each mouse. Tissues were harvested at 9 weeks after
119 Pentothal (0.1 mg/g, IP, Abbott Diagnostics, NSW, Australia) anesthesia. Blood glucose was
120 measured (Accu-Check®, Roche, CA, USA) and plasma was stored at -80°C. Heart, spleen, kidneys,
121 liver, and abdominal fat pads were weighed and either fixed in 10% formalin or snap frozen in liquid
122 nitrogen and stored at -80°C. All tissue analysis was performed in a blind manner and the results were
123 only identified before data analysis.

124

125 **In vitro experiments see supplementary materials**

126

127 **Biochemical analysis:**

128 Plasma and cell supernatant triglycerides were measured using an in house assay using glycerol
129 standards (Sigma-Aldrich, MO, USA) and triglyceride reagent (Roche Diagnostics, NJ, USA).
130 Nonesterified free fatty acid (NEFA) was measured using a NEFA kit (WAKO, Osaka, Japan) (19).

131 Plasma alanine aminotransferase (ALT) and aspartate aminotransferase (AST) were measured using
132 commercial kits (Dialab Ltd., Vienna, Austria) as an indicator of liver cell damage. Plasma
133 cholesterol concentration was measured using the Cholesterol CHOD-PAP with ATCS kit (Dialab
134 Ltd., Vienna, Austria).

135

136 **Quantitative real-time PCR:**

137 Total RNA was isolated ($n=5-10$ randomly selected from each group, cells $n=8-10$) using TRI reagent
138 (Sigma-Aldrich, MO, USA). First-strand cDNA was synthesised using M-MLV Reverse
139 Transcriptase, RNase H Minus, Point Mutant Kit (Promega, WI, USA) (20, 21). Pre-optimized
140 TaqMan® probe/primers (Supplementary Tables S1, Life Technologies, CA, USA) and SYBR®
141 Green premiers (Supplementary Table S2, Bio-Rad, CA, USA) (22) were used for the real-time PCR
142 (Eppendorf Realplex², Hamburg, Germany). The genes of interest were normalized against the
143 housekeeping gene 18s rRNA (Table S1). The average value of the control was assigned as the
144 calibrator, against which all other samples are expressed as a fold difference.

145

146 **Immunohistochemistry:**

147 Formalin fixed liver and abdominal fat samples ($n=5$) were embedded in paraffin and sectioned (4
148 μm). To explore F4/80 positive cells sections were incubated with a rabbit anti-mouse F4/80 (Abcam,
149 Cambridge, UK) primary antibodies, and visualised using the horseradish peroxidase anti-rabbit
150 Envision system (Dako Cytochemistry, Tokyo, Japan). The sections were then counterstained with
151 haematoxylin. Three images from each section were captured and used for analysis. The F4/80-
152 expressing cells were counted and expressed as the percentage of total cell number for a sample total
153 number of nuclei and the number of nuclei of for each field.

154

155

156 **Statistical analysis:**

157 The results were expressed as mean \pm standard error of the mean (S.E.M). The data was analyzed
158 using one-way ANOVA, followed by *post hoc* Bonferroni tests (Statistica 10. StatSoft Inc. OK, USA),
159 if normally distributed. If the data was not normally distributed, they were log transformed to achieve
160 normality of distribution before they were analyzed. The glucose levels during IPGTT were analyzed
161 using one-way ANOVA with repeat measures followed by *post hoc* Bonferroni test. $P < 0.05$ was
162 considered significant.

163

164 **Results**

165 **1. *In vivo* Animal Study**

166 **1.1. Anthropometry**

167 Four groups of mice started with similar body weight (Table 1). At 9 weeks post-treatment, the HFD-
168 fed (HFD-C) was 35% heavier than the Control (Chow-C) group, with significantly increased organ
169 and fat masses, as well as blood lipid cholesterol and NEFA concentrations ($P < 0.05$, Table 1).
170 Adipocyte size was more than doubled in the HFD-C group ($P < 0.01$ vs. Chow-C, Supplementary
171 Figure S1). Plasma ALT and AST levels were ~ 3 and 5 times higher in the HFD-C group ($P < 0.05$ vs.
172 Chow-C, Table 1). Blood glucose levels during IPGTT were also significantly higher in the HFD-C
173 group than the Chow-C, from 15–90 min post glucose injection ($P < 0.05$, Figure 1a), with 60% greater
174 AUC value ($P < 0.05$, Figure 1b).

175

176 The two groups of mice treated with AuNPs consumed more energy than the Chow-C and HFD-C
177 groups ($P < 0.05$, Table 1). However, the body weights of the HFD-LAu and HFD-HAu groups were
178 8% and 5% smaller than the HFD-C mice, respectively ($P < 0.05$). Smaller fat masses were observed
179 in the AuNP-treated mice ($P < 0.05$ retroperitoneal, HFD-C vs. HFD-HAu; mesenteric, HFD-C vs.
180 HFD-LAu and HFD-HAu, Table 1). However, the fat cell size was larger in the HFD-LAu group, but
181 smaller in the HFD-HAu group (both $P < 0.01$ vs. HFD-C, Figure S1). Both AuNP-treated groups had
182 significantly lower blood lipid levels than the HFD-C group ($P < 0.05$) with nearly normalized liver

183 AST and ALT levels ($P<0.05$, Table 1). These results suggest a lipid lowering effect by the AuNPs
184 and long-term safety and benefit to the liver. During IPGTT (Figure 1a), the HFD-LAu group did not
185 develop glucose intolerance; while the HFD-HAu group had significantly improved glucose
186 clearance at 60–90 min ($P<0.05$ vs. HFD-C, Figure 1a). AUC showed similar changes as the blood
187 glucose levels in all three HFD groups (Figure 1b).

188

189 **1.2 Organ distribution of the AuNPs**

190 After 9 weeks, trace amounts of gold were detected in the Chow-C and HFD-C mice (Supplementary
191 Table S3) by inductively-coupled plasma-mass spectrometry (Supplementary material), which has
192 also been observed in humans (3). In both the HFD-LAu and HFD-HAu groups, the highest
193 concentration of gold was found in the abdominal fat tissue, followed by the spleen and the liver
194 ($P<0.05$ vs. Chow-C and HFD-C, Supplementary Table S3). In the HFD-LAu group, gold was
195 negligible in the kidney, brain and heart (Supplementary Table S3). In the HFD-HAu group, gold was
196 still detectible in the kidney and brain, but not the heart ($P<0.05$ vs. Chow-C, HFD-C and HFD-LAu
197 groups, Supplementary Table S3).

198

199 **1.3 mRNA expression of inflammatory and metabolic markers, and the percentage of** 200 **macrophages in the fat and liver**

201 In the retroperitoneal fat, TNF α and TLR-4 mRNA levels were significantly up-regulated following
202 long-term HFD consumption ($P<0.05$ vs. Chow-C, Figure 2a, b). On the other hand, serum amyloid
203 A (SAA)-1 level was more than 5 times that of the control mice, however without statistical
204 significance (Figure 2c). However, the percentage of macrophages was not changed by HFD
205 consumption (Figure 2d). In the HFD-LAu group, TNF α and SAA-1 mRNA expression levels were
206 significantly down-regulated ($P<0.05$ vs. HFD-C, Figure 2a,c); as was TLR-4 level by ~50% however
207 without statistical significance (Figure 2b). In the HFD-HAu group, both TLR-4 and SAA-1
208 expression levels were significantly reduced ($P<0.05$ vs. HFD-C, Figure 2b,c). The percentage of

209 macrophages was halved in HFD-LAu group although without statistical significance, which was not
210 altered in HFD-HAu group (Figure 2d).

211

212 In the liver, HFD consumption alone significantly up-regulated TNF α mRNA expression ($P<0.05$ vs.
213 Chow-C, Figure 2e). SAA-1 mRNA levels were nearly doubled in the HFD-C group however without
214 statistical significance (Figure 2g). The percentage of macrophages was significantly increased by
215 HFD consumption ($P<0.05$ HFD-C vs. Chow-C, Figure 2h). Both TNF α and TLR-4 mRNA
216 expression levels were significantly reduced by HFD-LAu treatment; however TLR-4 and SAA-1
217 expression levels were increased in HFD-HAu group ($P<0.05$ vs. HFD-C, Figure 2). AuNP-treatment
218 normalized the percentage of macrophages relative to control animals ($P<0.05$ vs. HFD-C, Figure 2h).

219

220 In the fat tissue, mRNA levels of glucose transporter (GLUT)4 and adiponectin were significantly
221 reduced; while ATGL, carnitine palmitoyl transferase (CPT-1 α), and leptin were significantly
222 increased following HFD consumption ($P<0.05$ vs. Chow-C, Figure 3a,b,c,g). Conversely, HFD-LAu
223 treatment significantly lowered CPT-1 α mRNA expression ($P<0.05$ vs. HFD-C, Figure 3c); while
224 HFD-HAu treatment significantly down-regulated leptin, but increased adiponectin mRNA
225 expression ($P<0.05$ vs. HFD-C, Figure 3e,g).

226

227 In the liver, GLUT4 and Sterol regulatory element-binding transcription factor (SREBP)-1c mRNA
228 expression levels were significantly up-regulated; while CPT-1 α mRNA expression was significantly
229 down-regulated following HFD consumption ($P<0.05$ vs. Chow-C, Figure 4a,c,d). Although fatty
230 acid synthase (FASN) was increased by 35% and forkhead box O1 (FOXO1) expression was up-
231 regulated by more than 50%, neither was significant (Figure 4e,f). HFD-LAu group had significantly
232 reduced SREBP-1c and FASN mRNA expression ($P<0.05$ vs. HFD-C, Figure 4d,e). The HFD-HAu
233 group had significantly increased GLUT4 ($P<0.05$ vs. HFD-C, Figure 4a), and higher levels of
234 FOXO1 compared to the Chow-C group ($P<0.05$, Figure 4f).

235

236 **2 *In vitro* studies**

237 **2.1 Effects of AuNPs on MΦ cell lines**

238 Low concentration of AuNPs reduced cell viability at 24h and 72h post incubation ($P<0.05$ vs. MΦ-
239 C, Supplementary Figure S2b,c). Cell viability was reduced in the MΦ-HAu group across all three
240 time points ($P<0.05$, 0.01 vs. MΦ-C, Supplementary Figure S2a–c). Reactive oxygen species (ROS)
241 levels were only significantly increased in the MΦ-HAu group at 24h ($P<0.01$ vs. MΦ-C,
242 Supplementary Figure S2e).

243

244 TNFα mRNA expression was only significantly reduced in the MΦ-HAu group at 1h ($P<0.05$ vs.
245 MΦ-C, Supplementary Figure S3a), but was significantly increased in both MΦ-LAu and MΦ-HAu
246 groups at 24h ($P<0.05$ vs. MΦ-C, Supplementary Figure S3b). TLR-4 mRNA expression was
247 significantly reduced in the MΦ-HAu group at both 1h and 72h ($P<0.05$ vs. MΦ-C, Supplementary
248 Figure S3d,f). However, TLR-4 and TNFα protein levels were not changed by AuNPs, which were
249 significantly increased in the positive control LPS incubated cells ($P<0.05$ vs. MΦ-C, Supplementary
250 Figure S4a–c). However, AuNPs cannot suppress LPS induced increase in TLR-4 and TNFα protein
251 levels (data not shown).

252

253 **2.2 Effects of AuNPs on 3T3-L1 adipocytes**

254 Cell viability of the mature 3T3-L1 adipocytes (Supplementary Figure S5a–c), and 3T3-L1
255 differentiation from fibroblast (data not shown) were not affected by AuNPs. ROS production was
256 increased in the AD-HAu group at 24h ($P<0.05$ vs. AD-C, Supplementary Figure S5e). Lipid
257 accumulated was significantly reduced in the AD-HAu group at 1h ($P<0.05$ vs. AD-C, Supplementary
258 Figure S5g); it was significantly increased by 9% in this group at 72h ($P<0.01$ vs. AD-C,
259 Supplementary Figure S5i). In addition, adipocyte cell size was increased in the AD-LAu group at
260 24h, however it was reduced in the AD-HAu group at 72 h ($P<0.05$ vs. AD-C, Supplementary Table

261 S4). Triglycerides levels secreted into the culture media were similar between the three groups at all
262 time points (Supplementary Table S4).

263

264 GLUT-4 mRNA levels were significantly down-regulated in both AD-LAu and AD-HAu at 72h
265 ($P<0.01,0.05$ vs. AD-C, Supplementary Figure S6c). ATGL was significantly reduced in the AD-
266 LAu group at 24h ($P<0.05$ vs. AD-C, Supplementary Figure S6e). Under low ambient glucose
267 concentration, glucose uptake was significantly reduced in the AD-HAu group at 60min ($P<0.05$ vs.
268 AD-C, Supplementary Figure S7a); whereas under high ambient glucose concentration, glucose
269 uptake was significantly increased at 5min in the AD-LAu group ($P<0.05$ vs. AD-C, Supplementary
270 Figure S7b).

271

272 **2.3 Effects of AuNPs on adipocytes and macrophages in co-culture (MΦ+AD)**

273 In this co-culture system, cell viability and ROS production were similar among the groups at all
274 three time points (Supplementary Figure S8). TLR-4 was significantly increased at 24h in the
275 (MΦ+AD)-HAu group ($P<0.05$ vs. (MΦ+AD)-C, Supplementary Figure S9e). For the metabolic
276 markers, at 24 h GLUT-4 and ATGL mRNA was significantly up-regulated in both (MΦ+AD)-LAu
277 and (MΦ+AD)-HAu groups ($P<0.05$ vs. (MΦ+AD)-C, Supplementary Figure 9b,e). CPT-1α mRNA
278 levels were up-regulated 1.3-fold in the (MΦ+AD)-HAu group versus the control group at 24 h
279 ($P<0.05$ vs. (MΦ+AD)-C, Supplementary Figure 9h).

280

281 **Discussion**

282 In HFD-fed mice, AuNPs slowed down the development of obesity with significantly improved lipid
283 metabolic profile. It also provided a marked protective effect against the development of glucose
284 intolerance, which is recognized as a first step towards type 2 diabetes. In particular, the lower dose
285 provided better outcomes. A reduction in local inflammation within the adipose tissue and the liver
286 may service as the underlying mechanism; while the *in vitro* co-culturing data support AuNP's

287 regulation of cellular interactions between macrophages and adipocytes as orchestrating these anti-
288 inflammatory events.

289

290 In the current study, the males are not affected by periodical changes in sex hormones and are
291 therefore used for this study to prove the concept. The mice fed a HFD *ad libitum* for 9 weeks showed
292 a significant increase in their fat mass and developed glucose intolerance, dyslipidemia, and liver
293 steatosis, which are consistent with our previous studies (15, 21, 23). Liver enzyme levels were also
294 increased by several folds in the HFD-fed mice, suggesting liver cell damage. However, daily AuNP
295 injection significantly ameliorated such effects by HFD consumption, with significant improvement
296 in glucose and lipid metabolism. Liver enzyme changes may suggest a liver protection of AuNPs
297 against dietary lipid influx induced liver damage.

298

299 Clinical research suggests that loss of as little as 5% of total body weight can reduce the risk of
300 developing type 2 diabetes by 58% (24). This benefit was well supported by the current study. The
301 HFD-LAu group showed 8% less body weight and demonstrated normal glucose clearance during
302 IPGTT, while the HFD-HAu group, with 5% less body weight, demonstrated significantly improved
303 glycaemic control. It needs to be noted that this effect was achieved under the condition of free access
304 to HFD without any restriction that employed by the human clinical trial (2). Their daily caloric intake
305 was even higher than non-treated mice consuming HFD. This may be an adaptation to their reduced
306 fat mass; where smaller fat mass may be due to increased CPT-1 α expression to increase fatty acid
307 oxidation for energy synthesis. Therefore, it can be postulated that combining the AuNP treatment
308 with restricted energy intake to the level of the Chow-C group may exert more pronounced weight
309 loss effect. This is yet to be confirmed by future studies. The low concentration of AuNP seems to
310 exert a better effect than the high contraction. This may be due to the aggregative nature of the AuNPs
311 at high concentration, which results in less free monodispersed AuNPs entering the tissue and the
312 circulation, as well as impacting on the cells. The effects of AuNP are well known to be highly

313 dependent on particle size (25). As this was the first study to show the anti-obesity effect of the
314 AuNPs, ip injection was chosen as it is the most convenient method of AuNP delivery. In future
315 studies, we will test the efficacy of subcutaneous injection and oral delivery, which are the common
316 administration method in humans. In addition, for unknown reasons, the lower dose AuNPs seems
317 to stimulate insulin secretion, which may have contributed to normalized glycaemic control in this
318 group. This result warrants further investigation of the interaction between AuNPs and β -cells.

319

320 Increased macrophage infiltration has been suggested to contribute to the low-grade inflammation
321 state commonly associated with obesity (26). During HFD consumption, excessive fat accumulation
322 in the abdominal fat tissue increases the recruitment of ATMs (27), producing pro-inflammatory
323 cytokines (e.g. $\text{TNF}\alpha$), which in turn drives obesity-related metabolic disorders (28, 29), (27, 30, 31).
324 $\text{TNF}\alpha$ is known to reduce free fatty acid transporter and extracellular lipoprotein lipase activity,
325 thereafter inhibit the uptake of fatty acids into fat cells, leading to hyperlipidemia and ectopic lipid
326 storage (eg. in the liver); while local lipid accumulation is a key contributor to insulin resistance (32).
327 $\text{TNF}\alpha$ itself can also interrupt insulin signaling, causing reduced glucose uptake (33). In this study,
328 F4/80 expressing macrophages were increased in the liver following HFD consumption, and this was
329 reduced by the treatment with AuNPs, demonstrating a direct anti-inflammatory effect. The
330 percentage of F4/80 positive macrophages were not increased by HFD consumption in the abdominal
331 fat tissue. Longer HFD feeding duration may be need to observe increased macrophages in the fat
332 tissue as shown in the other study, while the macrophages are not the only immune cells in the fat
333 causing inflammatory responses (34). We think that the increase in the liver and not in the fat
334 represents different recruitment dynamics in this model. Irrespective of macrophage accumulation,
335 $\text{TNF}\alpha$ and upstream TLR-4 mRNA expression were both increased. As such, fat derived adiponectin
336 (insulin sensing promotor) and GLUT4 (insulin dependent glucose transporter) were significantly
337 down-regulated in the HFD-C mice, resulting in glucose intolerance. The up-regulation of ATGL,
338 CPT-1 α and leptin in the fat tissue reflects an increase in lipid influx into the adipocytes, while

339 increased ATGL may contribute to nearly doubled blood NEFA levels following HFD consumption.
340 Similar changes in TNF α were seen in the liver, resulting from excessive liver lipid storage which
341 would activate the Kupffer cells (liver macrophage-like cells)(35). This inflammatory response in
342 turn stimulates SREBP-1c which further activates FASN activity to increase lipogenesis (36), leading
343 to a fatty liver (36, 37). This study strongly points to an anti-inflammatory effect by the AuNPs, via
344 suppressing pro-inflammatory cytokine production in both the fat and liver tissues, regardless of the
345 impact on macrophage numbers.

346

347 Interestingly, the changes in metabolic markers were not consistent in the HFD-LAu and HFD-HAu
348 groups, suggesting different working mechanisms. In the HFD-LAu group, increased fat CPT-1 α may
349 increase lipid oxidation, resulting in a better blood lipid profile and smaller fat mass (38). Upon AuNP
350 treatment, liver lipogenesis appeared to be suppressed with a synchronized down-regulation of
351 SREBP-1c and FASN mRNA levels. Based on these observations, we propose that low dose AuNP
352 could reduce hepatic ectopic lipid deposition to impede the development of obesity-associated fatty
353 liver disease. In the HFD-HAu group, increases in fat GLUT4 and adiponectin is suggestive of an
354 improved insulin response and glucose uptake. There was a drastic increase in GLUT4 by AuNP
355 treatment in this group, which may contribute to significantly improved glucose clearance during
356 IPGTT.

357

358 The *in vitro* study allowed us to examine the impact of AuNPs on individual cell types, as well as
359 their interactions via the use of a contact co-culture system. Interestingly, AuNPs induced
360 inflammatory responses in macrophages cultured alone as foreign objectives; however this response
361 seemed to be suppressed when grown in the presence of adipocytes. Increased oxidative stress has
362 been suggested to be the major cause of organ toxicity (39). Increased ROS production appeared in
363 macrophages treated with high concentration of AuNP in line with reduced cell viability; however
364 such changes diminished with the co-culture with adipocytes suggesting unknown antioxidative

365 mechanism due to the interaction between these two cell types. Similarly, AuNP treatment of
366 adipocytes cultured alone did not change their differentiation rate into mature adipocytes, nor
367 metabolic markers. However, it did result in reduced lipid droplet size, which may contribute to slow-
368 down fat accumulation during HFD consumption. On the other hand, AuNP treatment of adipocytes
369 co-cultured with macrophages resulted in metabolic marker change that may potentially improve lipid
370 metabolism as well as glucose uptake. Given that the co-cultured adipocyte and macrophage more
371 closely resembles conditions *in vivo*, this suggests that the same interactions may be occurring within
372 the mice treated with AuNPs. These studies also highlight the limitation of using single-cell culture
373 systems. Additionally, these changes were more prominent at 24h, suggesting daily administration of
374 the AuNPs is desired to exert a continuous and more refined metabolic effect.

375

376 Neutralization of circulating TNF α alone has been shown to increase insulin sensitivity and glucose
377 uptake in peripheral tissues, although to date, such approaches have not been successfully translated
378 into humans (27, 30, 31). This is perhaps due to the involvement of other pro-inflammatory cytokines
379 yet to be defined. Therefore, altering macrophage responses may be the key to inhibit systemic
380 inflammatory processes. AuNPs emerge as highly suitable candidates to carry out this task, with both
381 TNF α and TLR-4 down-regulated upon AuNP administration, consistent with our previous acute
382 study in lean mice (6). The uptake and elimination of the gold from tissues is still a key issue when
383 considering long-term treatments. In line with previous studies, AuNPs were taken up into the
384 surrounding abdominal fat after repeated IP administration, which were then able to enter the blood
385 stream, from which they then distribute and accumulate within other organs (6, 40).

386

387 **Conclusions**

388 In conclusion, the alterations in the local pro-inflammatory cytokine environment by AuNPs may be
389 the key underlying mechanism for the weight reduction in HFD-fed mice. Specifically, AuNP-treated
390 mice were protected against the development of HFD-induced glucose intolerance as well as

391 hyperlipidemia. AuNPs may serve as a new paradigm to inspire treatments for weight loss and the
392 prevention of obesity-related metabolic disorders and as a useful research tool to probe biological
393 mechanisms.

394

395

396

397

398

399

400

401

402

403

404

405

406

407

408

409

410

411

412

413

414

415 **Declarations**

416 **Ethics Approval**

417 All procedures were approved by the Animal Care and Ethics Committee at the University of
418 Technology Sydney (ACEC#2011-403A), and carried out following the Guidelines for the Care and
419 Use of Laboratory Animals of the National Health and Medical Research Council.

420

421 **Consent for publication** N/A

422

423 **Availability of data and materials**

424• All data generated or analysed during this study are included in this published article [and its
425 corresponding supplementary information file].

426

427 **Competing Interests**

428 The authors declare that they have no competing interests.

429

430 **Funding**

431 This work was supported by the Centre for Health Technology, Faculty of Science, University of
432 Technology Sydney and the Institute for Nanoscale Technology, University of Technology Sydney.

433

434 **Authors' contributions**

435 HC., JPMN., SMV., conceived and designed the experiments; Performed experiments: H.C.,

436 JPMN., KM., YT., DPB, YLC. Analyzed the data: HC., JPMN., KM., YT., DPB, YLC, BO.

437 Contributed reagents/materials/analysis tools: HC., KM., DPB., MBC., BKM., SMV. BO.

438 Wrote the paper: HC., JPMN., SMV., KM., DPB., MBC.

439 All authors read and approved the final manuscript.

440

441 **Acknowledgements**

442 Authors would like to thank A/Prof O'Brien (School of Life Sciences) at University of Technology

443 Sydney for the RAW264.7 macrophage cells, Dr. Weihua Fei (at the School of Biotechnology and
444 Biomolecular Sciences) at University of New South Wales for the pre-adipocyte cell line, and Ms
445 Jacqueline Loyola-Echeverria for her assistance with tissue preparation for histology analysis.

446 **References**

- 447 1. Australian Bureau of Statistics, Smoking, risky drinking, and obesity.
448 <http://www.abs.gov.au/AUSSTATS/abs@.nsf/Lookup/4102.0Main+Features30Dec+2009#e1> 2009
- 449 2. Wadden TA, Hollander P, Klein S, Niswender K, Woo V, Hale PM, et al. Weight
450 maintenance and additional weight loss with liraglutide after low-calorie-diet-induced weight loss:
451 The SCALE Maintenance randomized study. *Int J Obes.* 2013;37(11):1443-51.
- 452 3. Thakor AS, Jokerst J, Zavaleta C, Massoud TF, Gambhir SS. Gold nanoparticles: a revival
453 in precious metal administration to patients. *Nano Letters.* 2011;11(10):4029-36.
- 454 4. Cortie MB, Nafea EH, Chen H, Valenzuela SM, Ting SS, Sonvico F, et al. Nanomedical
455 research in Australia and New Zealand. *Nanomedicine (Lond).* 2013;8(12):1999-2006.
- 456 5. Pan Y, Neuss S, Leifert A, Fischler M, Wen F, Simon U, et al. Size-Dependent Cytotoxicity
457 of Gold Nanoparticles. *Small.* 2007;3(11):1941-9.
- 458 6. Chen H, Dorrigan A, Saad S, Hare DJ, Cortie MB, Valenzuela SM. In vivo study of
459 spherical gold nanoparticles: inflammatory effects and distribution in mice. *PLoS One.*
460 2013;8(2):e58208.
- 461 7. Kershaw EE, Flier JS. Adipose tissue as an endocrine organ. *The Journal of Clinical*
462 *Endocrinology & Metabolism.* 2004;89(6):2548-56.
- 463 8. Gaidhu MP, Anthony NM, Patel P, Hawke TJ, Ceddia RB. Dysregulation of lipolysis and
464 lipid metabolism in visceral and subcutaneous adipocytes by high-fat diet: role of ATGL, HSL, and
465 AMPK. *American Journal of Physiology - Cell Physiology.* 2010;298(4):C961-C71.
- 466 9. Kosteli A, Sogari E, Haemmerle G, Martin JF, Lei J, Zechner R, et al. Weight loss and
467 lipolysis promote a dynamic immune response in murine adipose tissue. *The Journal of Clinical*
468 *Investigation.* 2010;120(10):3466-79.
- 469 10. Hu FB, Meigs JB, Li TY, Rifai N, Manson JE. Inflammatory markers and risk of developing
470 type 2 diabetes in women. *Diabetes.* 2004;53(3):693-700.
- 471 11. Steinberg GR, Michell BJ, van Denderen BJW, Watt MJ, Carey AL, Fam BC, et al. Tumor
472 necrosis factor α -induced skeletal muscle insulin resistance involves suppression of AMP-kinase
473 signaling. *Cell Metabolism.* 2006;4(6):465-74.
- 474 12. Schenk S, Saberi M, Olefsky JM. Insulin sensitivity: modulation by nutrients and
475 inflammation. *The Journal of Clinical Investigation.* 2008;118(9):2992-3002.
- 476 13. Chan YL, Saad S, Simar D, Oliver B, McGrath K, Reyk Dv, et al. Short term exendin-4
477 treatment reduces markers of metabolic disorders in female offspring of obese rat dams.
478 *International Journal of Developmental Neuroscience.* 2015;46:67-75.
- 479 14. Chen H, Simar D, Morris MJ. Maternal obesity impairs brain glucose metabolism and neural
480 response to hyperglycemia in male rat offspring. *Journal of Neurochemistry.* 2014;129(2):297-303.
- 481 15. Chen H, Simar D, Pegg K, Saad S, Palmer C, Morris M. Exendin-4 is effective against
482 metabolic disorders induced by intrauterine and postnatal overnutrition in rodents. *Diabetologia.*
483 2014;57(3):614-22.
- 484 16. Chen H, Simar D, Ting JHY, Erkelens JRS, Morris MJ. Leucine Improves Glucose and
485 Lipid Status in Offspring from Obese Dams, Dependent on Diet Type, but not Caloric Intake. *J*
486 *Neuroendocrinology* 2012;24(10):1356-64.
- 487 17. Glastras SJ, Chen H, McGrath RT, Zaky AA, Gill AJ, Pollock CA, et al. Effect of GLP-1
488 Receptor Activation on Offspring Kidney Health in a Rat Model of Maternal Obesity. *Scientific*
489 *Reports.* 2016;6:23525.

- 490 18. Glastras SJ, Wong MG, Chen H, Zhang J, Zaky A, Pollock CA, et al. FXR expression is
491 associated with dysregulated glucose and lipid levels in the offspring kidney induced by maternal
492 obesity. *Nutrition & Metabolism*. 2015;12(1):1-13.
- 493 19. Chen H, Simar D, Ting JHY, Erkelens JRS, Morris MJ. Leucine improves glucose and lipid
494 status in offspring from obese dams, dependent on diet type, but not caloric intake. *Journal of*
495 *Neuroendocrinology*. 2012;24(10):1356-64.
- 496 20. Chen H, Iglesias MA, Caruso V, Morris MJ. Maternal cigarette smoke exposure contributes
497 to glucose intolerance and decreased brain insulin action in mice offspring independent of maternal
498 diet. *PLoS One*. 2011;6(11):e27260.
- 499 21. Chen H, Simar D, Morris MJ. Hypothalamic neuroendocrine circuitry is programmed by
500 maternal obesity: interaction with postnatal nutritional environment. *PLoS ONE*. 2009:e6259. .
- 501 22. McGrath KC, Li XH, Whitworth PT, Kasz R, Tan JT, McLennan SV, et al. High density
502 lipoproteins improve insulin sensitivity in high-fat diet-fed mice by suppressing hepatic
503 inflammation. *J Lipid Res*. 2014;55(3):421-30.
- 504 23. Morris MJ, Chen H. Established maternal obesity in the rat reprograms hypothalamic
505 appetite regulators and leptin signaling at birth. *Int J Obes*. 2009;33(1):115-22.
- 506 24. Anderson JW, Kendall CWC, Jenkins DJA. Importance of weight management in type 2
507 diabetes: review with meta-analysis of clinical studies. *Journal of the American College of*
508 *Nutrition*. 2003;22(5):331-9.
- 509 25. Chithrani BD, Ghazani AA, Chan WCW. Determining the Size and Shape Dependence of
510 Gold Nanoparticle Uptake into Mammalian Cells. *Nano Letters*. 2006;6(4):662-8.
- 511 26. Wellen KE, Hotamisligil GS. Obesity-induced inflammatory changes in adipose tissue. *The*
512 *Journal of Clinical Investigation*. 2003;112(12):1785-8.
- 513 27. Hotamisligil GS. Inflammation and metabolic disorders. *Nature*. 2006;444(7121):860-7.
- 514 28. Kosteli A, Sugaru E, Haemmerle G, Martin JF, Lei J, Zechner R, et al. Weight loss and
515 lipolysis promote a dynamic immune response in murine adipose tissue. *J Clin Invest*.
516 2010;120(10):3466-79.
- 517 29. Tilg H, Moschen AR. Inflammatory mechanisms in the regulation of insulin resistance. *Mol*
518 *Med*. 2008;14(3 - 4):222 - 31.
- 519 30. Hotamisligil GS, Shargill NS, Spiegelman BM. Adipose expression of tumor necrosis
520 factor-alpha: direct role in obesity-linked insulin resistance. *Science*. 1993;259(5091):87-91.
- 521 31. Jung UJ, Choi MS. Obesity and its metabolic complications: the role of adipokines and the
522 relationship between obesity, inflammation, insulin resistance, dyslipidemia and nonalcoholic fatty
523 liver disease. *Int J Mol Sci*. 2014;15(4):6184-223.
- 524 32. Olefsky JM, Glass CK. Macrophages, inflammation, and insulin resistance. *Annual Review*
525 *of Physiology*. 2010;72:219-46.
- 526 33. Arner E, Ryden M, Arner P. Tumor necrosis factor alpha and regulation of adipose tissue.
527 *The New England journal of medicine*. 2010;362(12):1151-3.
- 528 34. Sun S, Ji Y, Kersten S, Qi L. Mechanisms of Inflammatory Responses in Obese Adipose
529 Tissue. *Annual review of nutrition*. 2012;32:261-86.
- 530 35. Reddy JK, Rao MS. Lipid metabolism and liver inflammation. II. Fatty liver disease and
531 fatty acid oxidation. *American Journal of Physiology-Gastrointestinal and Liver Physiology*.
532 2006;290(5):G852-G8.
- 533 36. Shimomura I, Bashmakov Y, Horton JD. Increased levels of nuclear SREBP-1c associated
534 with fatty livers in two mouse models of diabetes mellitus. *Journal of Biological Chemistry*.
535 1999;274(42):30028-32.
- 536 37. Shimomura I, Hammer RE, Ikemoto S, Brown MS, Goldstein JL. Leptin reverses insulin
537 resistance and diabetes mellitus in mice with congenital lipodystrophy. *Nature*. 1999;401(6748):73-
538 6.
- 539 38. McGarry JD, Brown NF. The mitochondrial carnitine palmitoyltransferase system—from
540 concept to molecular analysis. *European Journal of Biochemistry*. 1997;244(1):1-14.

- 541 39. Sophie L, Jorge B. Biomedical Applications and Potential Health Risks of Nanomaterials:
542 Molecular Mechanisms. *Current Molecular Medicine*. 2006;6(6):651-63.
- 543 40. Balasubramanian SK, Jittiwat J, Manikandan J, Ong C-N, Yu LE, Ong W-Y. Biodistribution
544 of gold nanoparticles and gene expression changes in the liver and spleen after intravenous
545 administration in rats. *Biomaterials*. 2010;31(8):2034-42.
- 546

547 **Figure legends**

548 **Figure 1** (a) intraperitoneal glucose tolerance test (IPGTT, glucose 2g/kg), (b) area under the curve
549 (AUC) of the (a), at 8 weeks of treatment. Data are expressed in mean \pm S.E.M. IPGTT difference in
550 (a) were analyzed using one-way ANOVA with repeat measures followed by *post hoc* Bonferroni
551 test. * $P < 0.05$, Chow-C and HFD-LAu vs. HFD-C at 15 min; † $P < 0.05$, Chow-C and HFD-LAu vs.
552 HFD-C at 30 min; ‡ $P < 0.05$, Chow-C, HFD-LAu, and HFD-HAu vs. HFD-C at 60 min; γ $P < 0.05$,
553 Chow-C, HFD-LAu, and HFD-HAu vs. HFD-C at 90 min. AUC difference in (b) were analyzed using
554 one-way ANOVA followed by *post hoc* Bonferroni test. * $P < 0.05$ vs. Chow-C group; † $P < 0.05$ vs.
555 HFD-C group; $n=6$.

556

557 **Figure 2** Retroperitoneal fat and liver mRNA expression of (a, e) TNF α , (b, f) TLR-4, (c, g) and
558 SAA-1 in the Chow-C, HFD-C, HFD-LAu, and HFD-HAu mice at 9 weeks of treatment. The
559 percentage of macrophage number and representative image of macrophage number in the abdominal
560 fat (d) and liver (h) tissues by immunohistochemistry (IHC) staining at the same time point. Results
561 are expressed as mean \pm S.E.M, relative to 18s. Data were analyzed by one-way ANOVA followed
562 by *post hoc* Bonferroni test. * $P < 0.05$ vs. Chow-C; ** $P < 0.01$ vs. Chow-C; † $P < 0.05$ vs. HFD-C; ††
563 $P < 0.01$ vs. HFD-C. $n=5-10$.

564

565 **Figure 3** Retroperitoneal fat mRNA expression of (a) GLUT-4, (b) ATGL, (c) CPT-1 α , (d) SREBP-
566 1c, (e) adiponectin, (f) FOXO1, and (g) leptin in Chow-C, HFD-C, HFD-LAu, and HFD-HAu mice
567 at 9 weeks of treatment. Results are expressed as mean \pm S.E.M, relative to 18s. Data were analyzed
568 by one-way ANOVA followed by *post hoc* Bonferroni test. * $P < 0.05$ vs. Chow-C; ** $P < 0.01$ vs.
569 Chow-C; † $P < 0.05$ vs. HFD-C; †† $P < 0.01$ vs. HFD-C; $n=5-10$.

570

571 **Figure 4** Liver mRNA expression of (a) GLUT-4, (b) ATGL, (c) CPT-1 α , (d) SREBP-1c, (e) FASN,
572 and (f) FOXO1 in Chow-C, HFD-C, HFD-LAu, and HFD-HAu mice at 9 weeks of treatment. Results

573 are expressed as mean \pm S.E.M, relative to 18s. Data were analyzed by one-way ANOVA followed
574 by *post hoc* Bonferroni test. * $P < 0.05$ vs. Chow-C; ** $P < 0.01$ vs. Chow-C; † $P < 0.05$ vs. HFD-C; ††
575 $P < 0.01$ vs. HFD-C; $n = 5-10$.

576