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1 **Characterization of soluble microbial products in a partial**  
2 **nitrification sequencing batch biofilm reactor treating high**  
3 **ammonia nitrogen wastewater**

4 Jibin Li <sup>a</sup>, Jinglin Wei <sup>a</sup>, Huu Hao Ngo <sup>b</sup>, Wenshan Guo <sup>b</sup>, Haibao Liu <sup>a</sup>, Bin Du <sup>a</sup>,  
5 Qin Wei <sup>c</sup>, Dong Wei\* <sup>a</sup>

6 <sup>a</sup> *School of Resources and Environment, University of Jinan, Jinan 250022, PR China*

7 <sup>b</sup> *School of Civil and Environmental Engineering, University of Technology Sydney, Broadway, NSW*  
8 *2007, Australia*

9 <sup>c</sup> *Key Laboratory of Interfacial Reaction & Sensing Analysis in Universities of Shandong, School of*  
10 *Chemistry and Chemical Engineering, University of Jinan, Jinan 250022, PR China*

11 **Abstract**

12 In present study, the characterization of soluble microbial products (SMP) was  
13 evaluated in a partial nitrification sequencing batch biofilm reactor (SBBR). During  
14 the stable operation of SBBR, the NH<sub>4</sub><sup>+</sup>-N removal efficiency and nitrite  
15 accumulation ratio were 96.70 ± 0.41% and 93.77 ± 1.04%, respectively. According to  
16 excitation-emission matrix (EEM), the intensities of protein-like substances were  
17 reduced under anoxic and aerobic phases, whereas humic-like substances had little  
18 change during the whole cycle. Parallel factor analysis (PARAFAC) further  
19 indentified two components and their fluorescence intensity scores were both reduced.  
20 Synchronous fluorescence spectra revealed that the fluorescence intensity of  
21 protein-like fraction decreased with reaction time. Two-dimensional correlation  
22 spectroscopy (2D-COS) further demonstrated that protein-like fraction might decrease  
23 earlier than the other fractions. The information obtained in present study is of

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\* Corresponding author. Tel: +86 531 8276 7873; fax: +86 531 8276 7873.  
E-mail address: weidong506@163.com (D. Wei).

24 fundamental significance for understanding the key components in SMP and their  
25 changes in partial nitrification system by using a spectral approach.

26 **Keywords:** Partial nitrification; Soluble microbial products; Parallel factor analysis;  
27 Synchronous fluorescence; Two-dimensional correlation spectroscopy.

## 28 **1. Introduction**

29 Biological nitrogen removal is commonly applied for the treatment of both  
30 domestic and industrial wastewater (Ma et al., 2016). In traditional N-removal process,  
31 ammonia is oxidized to nitrate in aerobic nitrification process and nitrate is reduced to  
32 molecular nitrogen in anoxic denitrification process (Zhou et al., 2017). As a  
33 cost-effective N-removal technology, partial nitrification has been widely developed  
34 in recent years based on the fact that nitrite is an intermediate compound in both  
35 nitrification and denitrification steps (Ciudad et al., 2005). It is generally accepted that  
36 partial nitrification via nitrite could save approximately 25% oxygen in nitrification  
37 stage and 40% carbon source in denitrification stage (Guo et al., 2009). The key  
38 control strategy to achieve partial nitrification is the enrichment of ammonia oxidizing  
39 bacteria (AOB) and limitation-inhibition-washout of nitrite oxidizing bacteria (NOB).  
40 Till now, it has been successfully achieved by appropriate regulating operational  
41 parameters, such as dissolved oxygen (DO), sludge retention time, pH value,  
42 temperature and free ammonia (FA) etc. (Ciudad et al., 2007; Peng and Zhu, 2006).

43 Soluble microbial products (SMP) are defined as a pool of organic compounds  
44 that are derived from the substrate metabolism (usually with biomass growth) and  
45 biomass decay during complete mineralization of supplying nutrients (Jarusutthirak  
46 and Amy, 2007). Indeed, SMP are one kind of heterogeneous mixtures containing  
47 various complex organic materials, such as proteins, polysaccharides, humic acids,

48 fulvic acids and organic acids etc. SMP have been drawn intensive attention in the  
49 field of wastewater treatment process due to its adverse impact on the effluent quality  
50 and treatment efficiency in wastewater treatment plant (WWTP). Many published  
51 literatures have confirmed that SMP constitute a major part of organic component in  
52 effluent from biological wastewater treatment (Wu et al., 2016; Xie et al., 2012).  
53 Additionally, some SMP may cause further environmental hazard to the receiving  
54 water after the sewage treatment systems, such as toxicity and metal chelating  
55 properties (Kunacheva et al., 2017; Liang et al., 2007). The presence of SMP may also  
56 affect the viscosity, flocculating and other physical characters of sludge (Kim et al.,  
57 2016; Zhou et al., 2009). Moreover, SMP have a certain effect on the composition  
58 changes of microbial community in bioreactor (Kunacheva and Stuckey, 2014). Hence,  
59 it is essential to clearly identify the key components of SMP for better understanding  
60 the fundamental mechanisms of biological activities. However, till now, most  
61 researches are related to the full nitrification process in activated sludge reactor, and  
62 little information is available on the production of SMP in a partial nitrification  
63 system.

64 To date, a series of advanced analytical methods have been applied to explore the  
65 specific components in SMP produced in biological treatment process (Guo et al.,  
66 2013). Three-dimensional excitation-emission matrix (3D-EEM) has been widely  
67 utilized for determining SMP owing to its better selectivity, higher sensitivity, and  
68 more simple and convenient operation. Combined with 3D-EEM, parallel factor  
69 analysis (PARAFAC) is commonly used to interpret the fluorescence spectra by  
70 decomposing the complete map into independent components (Zhang et al., 2016).  
71 Although PARAFAC is always applied to explore the further information of  
72 fluorescence spectra, it is not able to identify the mutual relationships between

73 different components (Xu and Jiang, 2013). Two-dimensional correlation  
74 spectroscopy (2D-COS) could be applied as a versatile tool to express the specific  
75 variation order of any slight changes. It has a great advantage in solving the problem  
76 of overlapping peaks occurred in the original spectra by extending the overlapped  
77 bands in second dimension (Noda, 2006). Therefore, it is of great significant to  
78 provide a comprehensive analytical method for explaining the SMP formation in  
79 partial nitrification system. However, there is little information available regarding to  
80 this point in previous literature.

81 Based on the above discussion, the objective of present study was to evaluate  
82 SMP production in a stable partial nitrification sequencing batch biofilm reactor  
83 (SBBR) treating high ammonia nitrogen wastewater. A spectroscopic analysis based  
84 on the combination of 3D-EEM, PARAFAC, synchronous fluorescence and 2D-COS  
85 was used to characterize SMP samples in various reaction times. The results could  
86 provide insightful information on understanding the formation of SMP in a partial  
87 nitrification system.

## 88 **2. Methods and Materials**

### 89 ***2.1. Experimental set-up***

90 The experiment was carried out in a cylindrical SBBR with a working volume of  
91 3.4 L. The height and inner diameter were 30 and 12 cm, respectively. Cylindrical  
92 carriers (K3, plastic media) were applied as biomass support with a packing rate of  
93 40% (v/v). The diameter and height of each carrier were 25 and 15 mm, respectively.  
94 The specific gravity and the specific surface area of each carrier were 110 kg/m<sup>3</sup> and  
95 500 m<sup>2</sup>/m<sup>3</sup>, respectively.

96 The SBBR was operated sequentially in 8h for each cycle, consisting of 5 min

97 for influent filling, 85 min for anoxic stage, 360 min for aeration, 15 min for settling  
98 and 15 min for effluent and idle. Aeration was provided by using an air pump and  
99 controlled through a gas flow meter. The exchange volume of SBBR was 50% for  
100 each cycle. Influent wastewater was prepared in a water tank and pumped into the  
101 bottom of biofilm system.

## 102 **2.2. Synthetic wastewater and seed sludge**

103 The influent high-strength nitrogen wastewater was shown as follows: COD (as  
104  $C_6H_{12}O_6$ ), 600 mg/L;  $NH_4^+$ -N (as  $NH_4Cl$ ), 200 mg/L; P (as  $K_2HPO_4$ ), 15 mg/L;  
105  $MgSO_4 \cdot 2H_2O$ , 20 mg/L;  $CaCl_2$ , 40 mg/L;  $FeSO_4 \cdot 2H_2O$ , 20 mg/L and trace element  
106 solution 1.0 ml/L. The compositions of trace element could be found from previous  
107 literature (Tay et al., 2002). The influent pH value was adjusted to 8.0 by using  
108  $NaHCO_3$  and HCl.

109 Seed sludge for SBBR was collected from a lab-scale SBR of 17 L and mixed  
110 liquid suspended solids (MLSS) was controlled at about 3.0 g/L. In present study,  
111 high pH value (>8.0) and ammonia (200 mg/L) was controlled in the influent  
112 wastewater, which may provide a feasible influent FA inhibition (high of 70 mg/L) on  
113 the activity of NOB, as similarly reported in our previous literature (Wei et al., 2017).  
114 After approximately 60 days operation, partial nitrification biofilm was successfully  
115 achieved, and the biomass concentration increased to 6.0 g/L.

## 116 **2.3. Fluorescence analysis**

117 SMP samples were obtained from partial nitrification system at various reaction  
118 time from 0 to 420 min. Each sample was centrifuged at 8000 rpm for 5 min to  
119 separate out the solids. The supernatant was regarded as SMP. Fluorescence spectra  
120 were measured by using a Luminescence spectrometer (LS-55, Perkin-Elmer Co.,

121 USA). 3D-EEM was obtained by subsequently scanning emission from 220 to 400 nm  
 122 at 10 nm increments by varying the excitation wavelength from 280 to 550 nm at 0.5  
 123 nm increments. PARAFAC analysis was obtained by using MATLAB 7.6 (Mathworks,  
 124 Natick, MA, USA) with the N-way toolbox for the further analysis of EEM data (Yu  
 125 et al., 2010). Synchronous fluorescence spectra were determined by ranging the  
 126 excitation wavelengths from 250 to 550 nm with a constant offset ( $\Delta\lambda$ ) of 60 nm. All  
 127 samples were scanned at a speed of 1200 nm/min. 2D-COS was applied to  
 128 synchronous fluorescence spectra, and detailed mathematical procedure could be  
 129 found elsewhere (Noda, 2006).

#### 130 **2.4. Analytical methods**

131 COD,  $\text{NH}_4^+\text{-N}$ ,  $\text{NO}_2^-\text{-N}$  and  $\text{NO}_3^-\text{-N}$  concentrations were determined according  
 132 to their respective standard methods (APHA, 2005). Total nitrogen (TN) was based on  
 133 the sum of  $\text{NH}_4^+\text{-N}$ ,  $\text{NO}_2^-\text{-N}$  and  $\text{NO}_3^-\text{-N}$  rather than an independent TN test. The DO  
 134 and pH values were measured by using on-line probes (3420i, WTW Company,  
 135 Germany). Nitrite accumulation ratio (NAR) of partial nitrification system was  
 136 calculated according to the following equation (1) reported by Wei et al. (2015):

$$137 \quad \text{NAR (\%)} = \frac{\text{NO}_2^-\text{-N}}{\text{NO}_2^-\text{-N} + \text{NO}_3^-\text{-N}} \times 100\% \quad (1)$$

138 Since the COD measurement was based on oxidation by potassium dichromate, it  
 139 was influenced by nitrite (oxidized into nitrate). Thus COD values were corrected in  
 140 order to estimate the oxygen demand only due to the organic substances.

$$141 \quad \text{COD} = \text{COD}_{\text{total}} - \text{COD}_{\text{nitrites}} = \text{COD}_{\text{total}} - 0.5(\text{NO}_2^-\text{-N})M_{\text{O}_2}/M_{\text{N}} \quad (2)$$

### 142 **3. Results and discussion**

### 143 3.1. Performance of partial nitrification SBBR

144 Table 1 summarizes the contaminant removal performance of the SBBR under  
145 stable operation. The influent and effluent COD were  $594.2 \pm 2.26$  and  $43.3 \pm 5.22$  mg/L,  
146 respectively, resulting in the COD removal efficiency was high of  $92.71 \pm 0.85$  %. The  
147 influent  $\text{NH}_4^+$ -N concentration was kept at  $190.84 \pm 2.52$  mg/L, while the effluent  
148  $\text{NH}_4^+$ -N concentration was  $6.29 \pm 0.71$  mg/L. The results implied that the removal  
149 efficiency of  $\text{NH}_4^+$ -N was high of  $96.70 \pm 0.41$  % with a good nitrification performance.  
150 Nitrite was the main nitrogen species in SBBR effluent based on the fact that the  
151 presence of partial nitrification resulted in the accumulation of  $\text{NO}_2^-$ -N rather than  
152  $\text{NO}_3^-$ -N. More detailed,  $\text{NO}_2^-$ -N and  $\text{NO}_3^-$ -N concentrations were  $80.97 \pm 2.53$  and  
153  $5.38 \pm 0.94$  mg/L, respectively, resulting in a high NAR of  $93.77 \pm 1.04$ %. TN  
154 removal efficiency of partial nitrification SBBR was  $52.67 \pm 1.75$ %. Data implied that  
155 a better nitrogen removal performance in partial nitrification SBBR was obtained  
156 under stable operation.

157 Fig.1 shows the variations of nitrogen compound, DO and pH values in partial  
158 nitrification SBBR during one typical cycle. As shown in Fig. 1A, the decrease of  
159  $\text{NH}_4^+$ -N and  $\text{NO}_2^-$ -N concentrations were mainly attributed to dilution and  
160 pre-denitrification in anoxic stage (5-90 min). In aeration stage (90-450 min),  $\text{NH}_4^+$ -N  
161 concentration significantly decreased from 97.44 to 6.12 mg/L, whereas  $\text{NO}_2^-$ -N  
162 concentration increased from 0.70 to 80.16 mg/L. Moreover, there was no obvious  
163 accumulation of  $\text{NO}_3^-$ -N during the whole typical cycle. Although the DO  
164 concentration was maintained at about 6 mg/L in aerobic stage (Fig. 1B), high NAR  
165 ( $93.77 \pm 1.04$ %) was observed in the effluent. And the detailed inhibition mechanism  
166 might due to the co-existence of free ammonia (FA) and free nitrous acid (FNA), as  
167 similarly reported by Wei et al (2014). Additionally, pH value gradually decreased



168 from 8.62 to 8.08 and next increased to 8.24 during aeration stage. The reason might  
169 be based on the fact that ammonium was converted to nitrite, which led to the increase  
170 of hydrogen ion concentration. After that, the increase in pH values might be due to  
171 the completion of nitrification and the stripping of carbon dioxide (Peng and Zhu,  
172 2006; Wei et al., 2017).

### 173 **3.2. 3D-EEM spectra**

174 Fig. 2 shows the 3D-EEM spectra of SMP samples corresponding to reaction  
175 time in a typical cycle. According to the sampling time, Fig. 2A represented the SMP  
176 sample after influent filling, whereas Fig. 2B-D and Fig. 2E-I represented for anoxic  
177 and aeration stage, respectively. It was found that three major peaks (Peak A, B, C)  
178 were identified in the SMP spectra. Peak A was observed at the excitation/emission  
179 (Ex/Em) wavelengths of 280/350 nm, which was related to tryptophan protein-like  
180 substances. Peak B and Peak C were located at Ex/Em of 230/335-355 nm and  
181 330-350/420-431 nm, which represented aromatic protein-like substances and  
182 humic-like substances, respectively (Chen et al., 2003).

183 It was clearly observed that Peak A and Peak B in Fig. 2A had the highest  
184 fluorescence intensities, and generally decreased with different trends to reaction time,  
185 in despite of anoxic and aerobic stages. The rapid SMP formation at 5 min suggested  
186 that the influent stimulated activated microorganism led to the release of SMP (Fig.  
187 2A). Afterwards, the fluorescence intensities of peak A and peak B significantly  
188 decreased from 470.59 and 770.09 a.u. to 319.30 and 463.78 a.u. at 90 min,  
189 respectively. Therefore, protein-like substances in SMP may be utilized as carbon  
190 source for microbial denitrification process. At subsequent aerobic stage, the intensity  
191 of Peak A generally reduced to 112.07 a.u. at 420 min, whereas Peak B was generally  
192 disappeared at 120 min. The changes in Peak A and Peak B intensities suggested that

193 protein-like substances may be easily bio-degradable during aerobic condition, as  
194 similarly reported by Wu et al. (2016). In contrast, the intensity of peak C had little  
195 change during the typical cycle. Previous literature has been reported that humic-like  
196 substances were representative of the non-biodegradable component (Wang et al.,  
197 2009). Moreover, an obvious blue-shift in terms of emission wavelength was observed  
198 in Peak A, implying the changed chemical composition during nitrogen treatment  
199 process

### 200 **3.3. PARAFAC analysis**

201 PARAFAC could decompose complex EEM data into independent fluorescence  
202 components, which represent groups of similar fluorophores (Ishii and Boyer, 2012).  
203 It was found from PARAFAC model that the fluorescent SMP samples from partial  
204 nitrification system could be indentified into two components (Fig.3). Two peaks were  
205 exhibited in component 1 (Ex/Em of 280/342.5 nm and Ex/Em of 230/342.5 nm),  
206 which were corresponded to tryptophan protein-like substances and aromatic  
207 protein-like substances, respectively (Phong and Hur, 2015). Component 2 was  
208 characterized three main peaks that represented the presence of humic-like substances  
209 (Ex/Em of 350/427 nm and 250/433 nm) and fulvic-like substances (Ex/Em of  
210 220/433 nm) (Wu et al., 2011).

211 PARAFAC also provides additional information to quantitatively describe the  
212 variations of two components, as displayed in Fig. 4. It was found that the  
213 fluorescence intensity scores of the two components decreased from 0.67 and 0.66 to  
214 0.06 and 0.17 during the typical cycle, respectively. In addition, the decreased degree  
215 of component 1 was greater than that of component 2, indicating that protein-like  
216 substances changed to a much higher extent than those of humic-like substances and  
217 fulvic-like substances. PARAFAC coupled with 3D-EEM has been widely used to

218 characterize SMP or DOM in wastewater treatment process. Sanchez et al. (2013)  
219 found that the loadings of PARAFAC sample exhibited a higher association with the  
220 total EEM signal in the raw and treated water samples compared with alternative  
221 analysis techniques. The study reported by Ou et al. (2014) indicated that  
222 EEM-PARAFAC not only can rapidly identify fluorescent matter characteristics, but  
223 also can indirectly reflect the variations of representative contaminants. The analysis  
224 results showed that EEM-PARAFAC could be used as a promising monitoring tool for  
225 tracking the trends of fluorescence components in a partial nitrification process.

#### 226 **3.4. Synchronous fluorescence spectra**

227 Synchronous fluorescence spectra have the advantages of higher selectivity and  
228 sensitivity in the assessment of multi-component materials. As shown in Fig. 5, three  
229 fluorescence regions (protein-like, fulvic-like and humic-like fractions) could be  
230 assigned to the wavelength ranges of 250-300, 300-380 and 380-550 nm, respectively  
231 (Chen et al., 2015). The data showed that the fluorescence intensity of SMP samples  
232 gradually decreased in the whole range of wavelengths with the reaction time from 0  
233 to 420 min. In addition, a major peak corresponding to protein-like fluorescence  
234 fraction was identified at the wavelength of 260 nm. The observation of protein-like  
235 fluorescence fraction as the main component in SMP was consistent with the  
236 evaluation of 3D-EEM.

#### 237 **3.5. 2D-COS**

238 In order to resolve the overlapping peaks problem, 2D-COS was conducted to  
239 enhance the spectral resolution by distributing spectral intensity trends over a second  
240 dimension. In synchronous 2D-COS map (Fig. 6A), one positive auto-peak along the  
241 diagonal line was identified at 282 nm, suggesting that protein-like fraction was more  
242 susceptible than other fractions. All peaks identified from synchronous 2D-COS map

243 are positive, indicating that the decrease of fluorescence intensity proceed in the same  
244 direction, as similarly with the observation reported by Hur and Lee (2011).

245 Asynchronous 2D-COS map was used to determine the response order of  
246 different molecules or groups along a given external perturbation. As shown in Fig.  
247 6B, two main positive cross-peaks at 291/349.5, 291/366 nm and two negative  
248 cross-peaks at 264/291, 282.5/291 nm were observed upper the diagonal line of  
249 asynchronous map. According to Noda's rule (2005), the variation of fluorescence to  
250 reaction time took place sequentially in the order: 264 and 282.5>291>349.5 and 366  
251 nm. The results demonstrated that protein-like fraction might occur earlier than the  
252 other fractions.

#### 253 **4. Conclusions**

254 In summary, a partial nitrification lab-scale SBBR was achieved by controlling  
255 the influent high pH value and  $\text{NH}_4^+\text{-N}$ . It was found that the reactor expressed better  
256 organic matter and nitrogen removal efficiencies during stable operation. A combined  
257 use of EEM, PARAFAC, synchronous fluorescence and 2D-COS was conducted to  
258 characterize SMP samples in the typical cycle of partial nitrification system.  
259 EEM-PARAFAC indentified three fluorescence peaks and two components in SMP  
260 samples. Synchronous fluorescence spectra and 2D-COS analysis demonstrated that  
261 protein-like fraction was the dominant components in SMP and took place earlier than  
262 the other fractions with reaction time.

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- 381

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383 **Figure captions**

384 **Fig. 1** Variations of nitrogen compound, DO and pH values in partial nitrification  
385 SBBR during one typical cycle.

386 **Fig. 2** 3D-EEM spectra of SMP samples corresponding to reaction time in typical  
387 cycle: (A) 5 min; (B) 30 min; (C) 60 min; (D) 90 min; (E) 120 min; (F) 150 min; (G)  
388 210 min; (H) 330 min. (I) 420 min.

389 **Fig. 3** Fluorescence components of SMP samples identified by PARAFAC based on  
390 EEM spectra: (A) Component 1 (B) Component 2.

391 **Fig. 4** Fluorescence intensity scores of two PARAFAC-derived components in SMP  
392 samples.

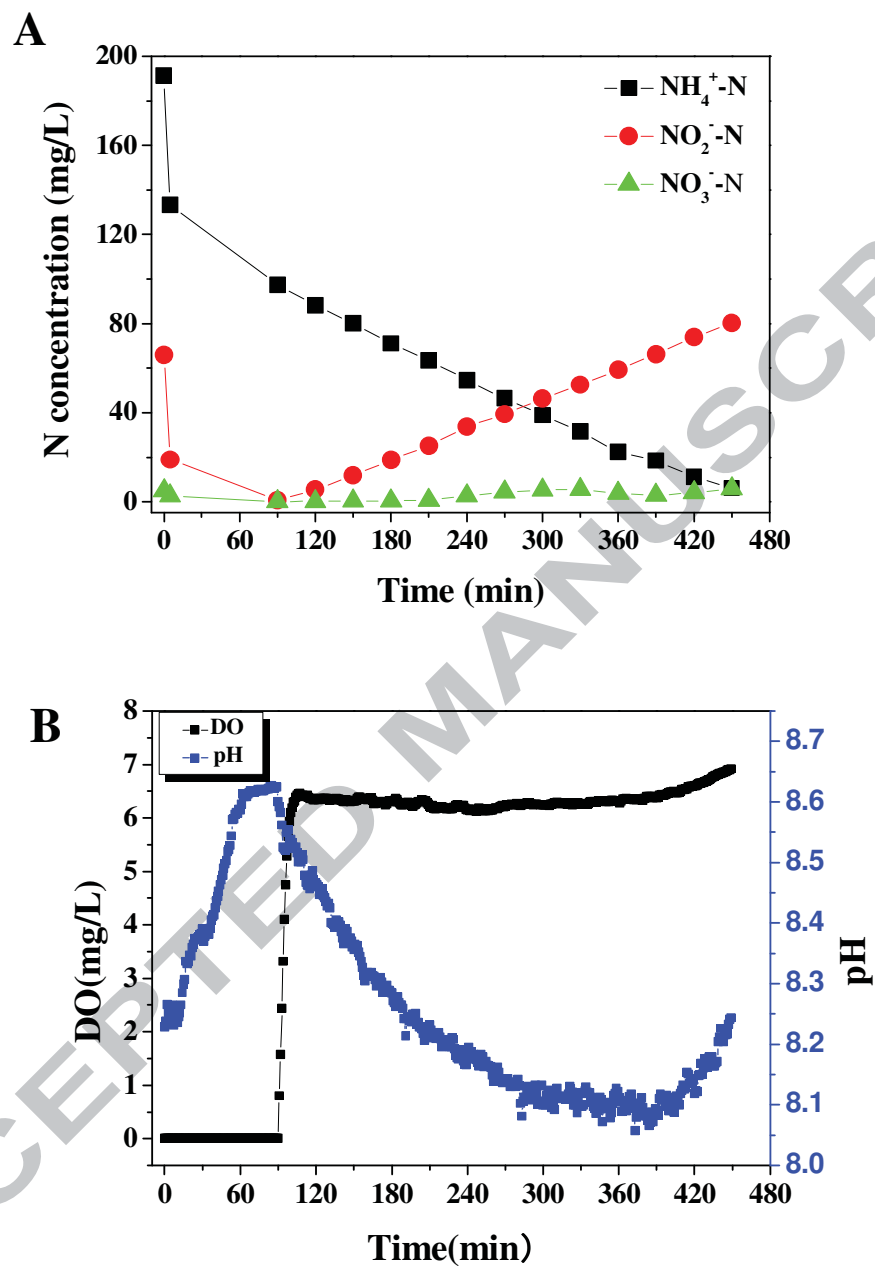
393 **Fig. 5** Changes in synchronous fluorescence spectra of SMP corresponding to reaction  
394 time.

395 **Fig. 6** Synchronous (A) and asynchronous (B) 2D-COS maps from synchronous  
396 fluorescence spectra.

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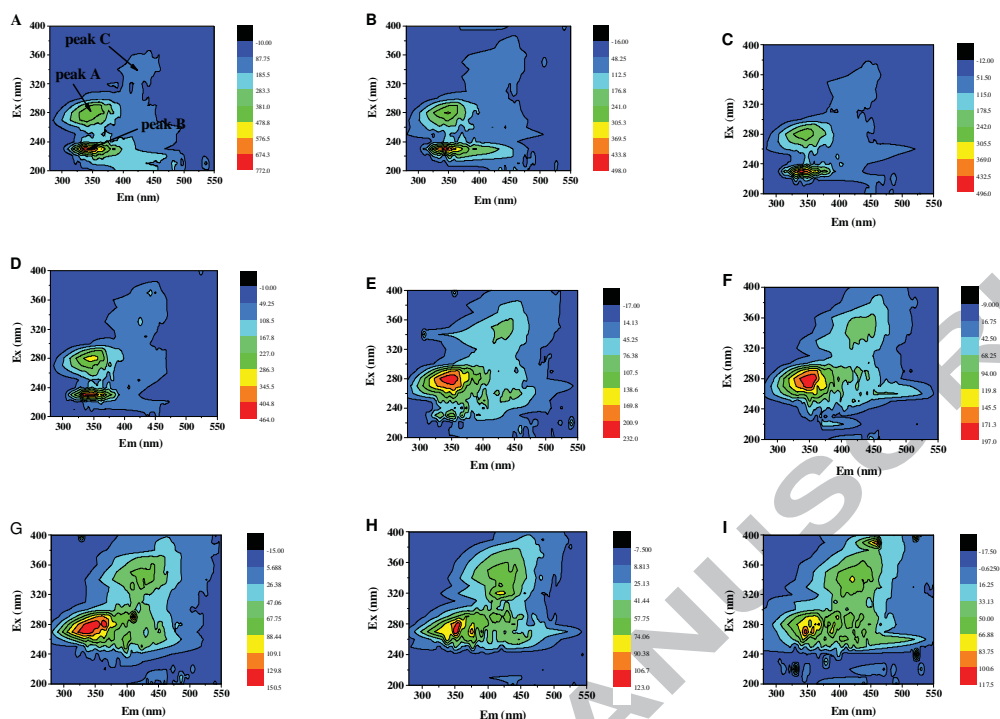
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401 SBBR during one typical cycle.

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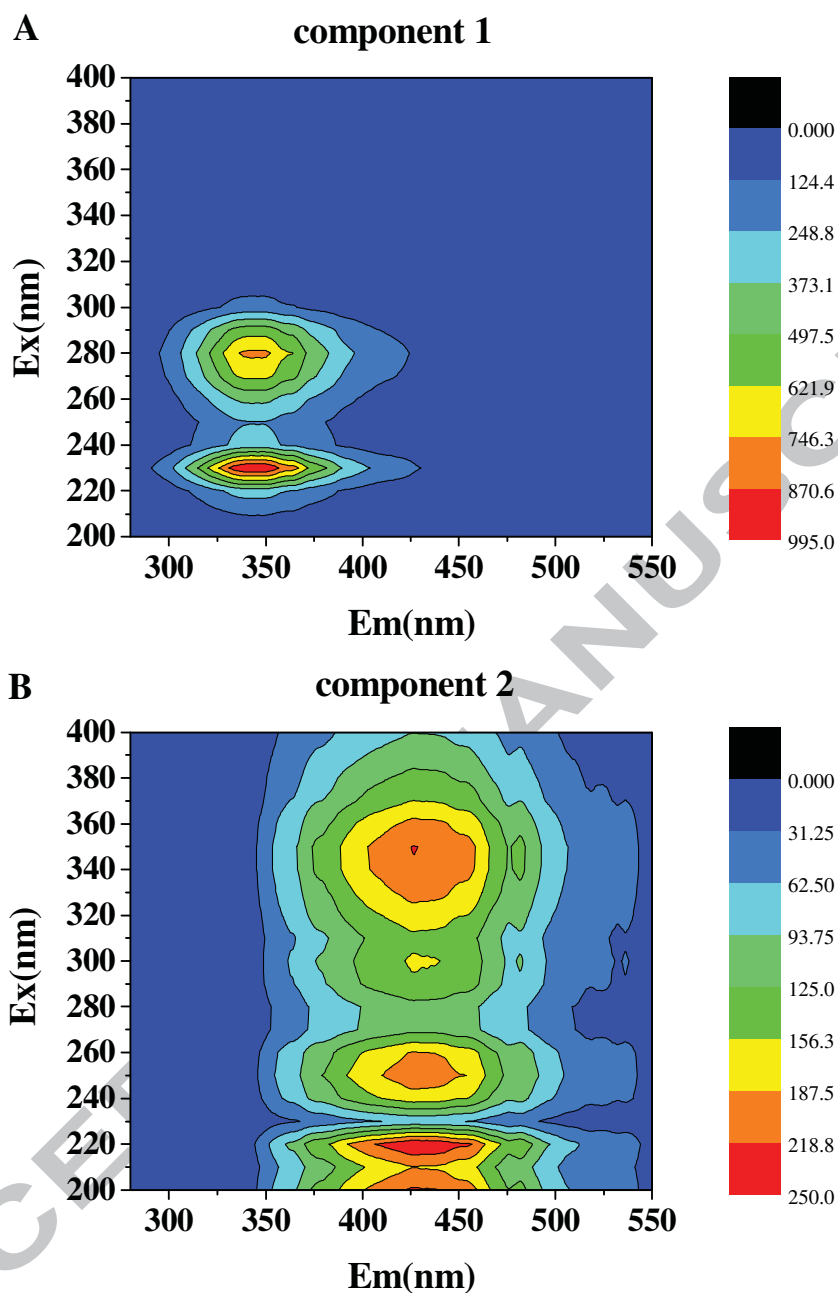


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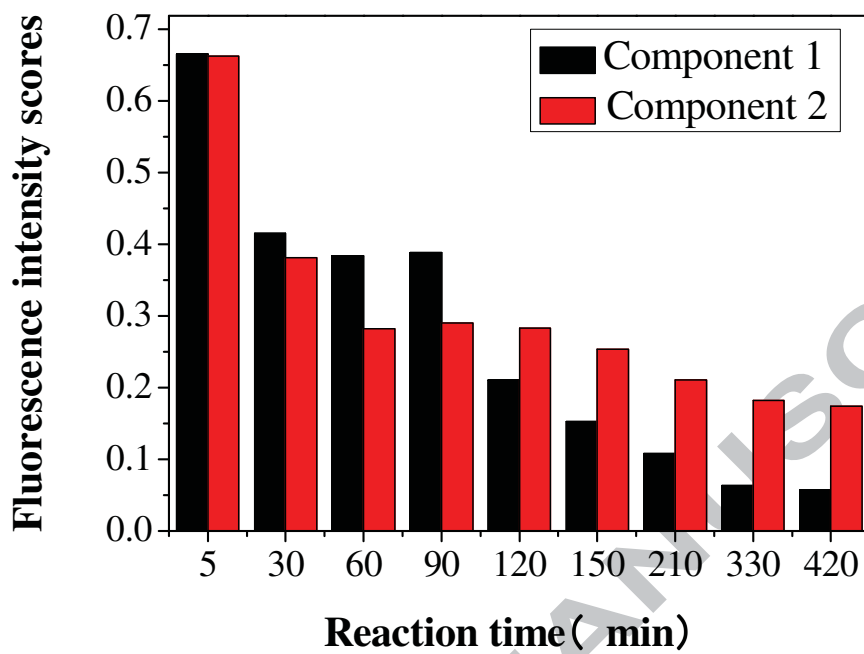
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412 EEM spectra: (A) Component 1(B) Component 2.

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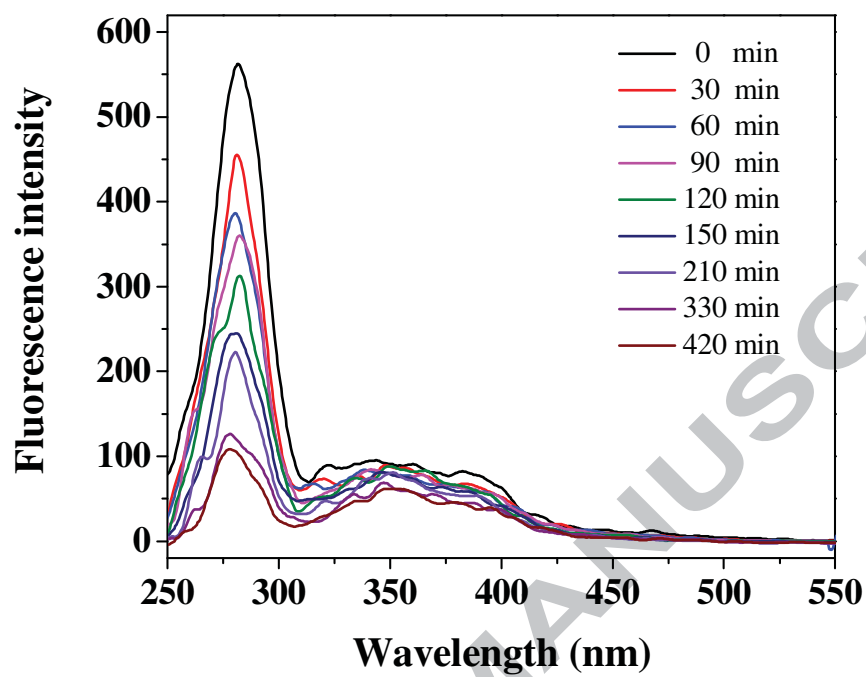
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416 **Fig. 4** Fluorescence intensity scores of two PARAFAC-derived components in SMP

417 samples.

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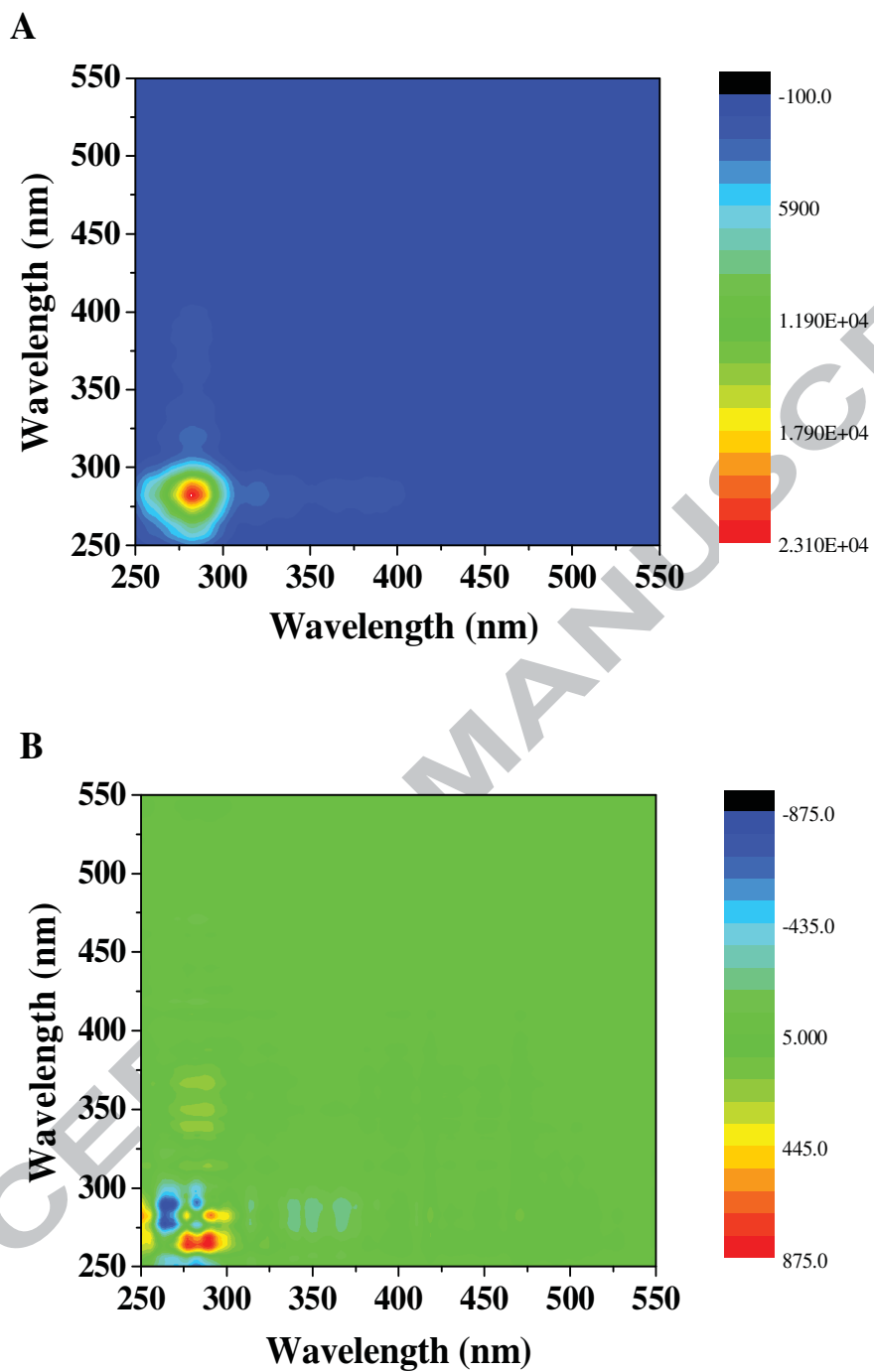
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422 time.

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426 **Fig. 6** Synchronous (A) and asynchronous (B) 2D-COS maps from synchronous

427 fluorescence spectra.

428

429 **Table.1** The contaminant removal performance of SBBR under stable operation.

Parameters	Influent (mg/L)	Effluent (mg/L)	Removal Efficiency (%)
COD	594.2±2.26	43.3±5.22	92.71±0.85
NH <sub>4</sub> <sup>+</sup> -N	190.84±2.52	6.29±0.71	96.70±0.41
NO <sub>2</sub> <sup>-</sup> -N		80.97±2.53	
NO <sub>3</sub> <sup>-</sup> -N	4.94±0.24	5.38±0.94	
TN	195.78±2.71	92.64±2.75	52.67±1.75
NAR (%)		93.77±1.04	

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