



1 **Concentrating underground brine by FO process: Influence**  
2 **of membrane types and spacer on membrane scaling**

3 Gang Chen<sup>1,2</sup>, Zhouwei Wang<sup>1</sup>, Xue-Mei Li<sup>\*1</sup>, Jianfeng Song<sup>1,3</sup>, Baolong Zhao<sup>1</sup>,  
4 Sherub Phuntsho<sup>5</sup>, Ho Kyong Shon<sup>5</sup>, Tao He <sup>\*1,4</sup>  
5

6 <sup>1</sup>Laboratory for Membrane Materials and Separation Technology, Shanghai Advanced  
7 Research Institute, Chinese Academy of Sciences, Shanghai, 201210, China

8 <sup>2</sup>State Key Laboratory for Modification of Chemical Fibers and Polymer Materials,  
9 Donghua University

10 <sup>3</sup>University of Chinese Academy of Sciences, Beijing 100049, China

11 <sup>4</sup>School of Physical Science and Technology, ShanghaiTech University, Shanghai,  
12 201210, China

13 <sup>5</sup>Centre for Technology in Water and Wastewater, School of Civil and Environmental  
14 Engineering, University of Technology, Sydney (UTS), P.O. Box 123, 15 Broadway,  
15 NSW 2007, Australia  
16

17 Corresponding authors: het@sari.ac.cn, afmgroup@126.com

18 Tel: +86-21-20325162; Fax: 0086-21-20350925  
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23 Highlights:

- 24 • FO process could be suitable for the concentration of underground brine.
- 25 • Membrane scaling occurred due to inorganic crystallization.
- 26 • The spacer in the FO cell enhanced membrane scaling.
- 27 • TFC membrane with rougher surface was prone to be scaled.

28

29

30

31 **Abstract**

32 Forward osmosis (FO) is a low energy process when recovery of the draw solutes  
33 is not necessary. This study focused on the performance of the FO process for  
34 concentrating underground brine (UGB) with saturated sodium chloride as draw  
35 solution (DS) using two membranes: commercialized flat sheet cellulose triacetate  
36 (CTA) membrane and tailor-made thin film composite (TFC) FO membrane. Energy  
37 dispersive X-ray spectroscopy (EDS) and powder X-ray diffractometry (XRD)  
38 analysis indicate that, majority of the scaling components were calcium sulfate and  
39 sodium chloride crystals formed both through surface and bulk crystallization. The  
40 spacer in the FO test cell also promoted scaling. Without spacer, a sharp flux decline  
41 of TFC membrane occurred at a higher concentration factor while no sharp flux drop  
42 was observed for CTA membrane. It was hypothesized that the rough TFC membrane  
43 surface may initiate nucleation and aggregation of the crystals in the active surface,  
44 and eventually resulting in scaling.

45

46 **Keywords:** forward osmosis; underground brine; scaling; surface morphology; brine  
47 concentration

48

## 49 **1. Introduction**

50 Forward osmosis (FO) is an osmotically driven membrane process, where the  
51 chemical potential difference acts as the driving force for the transfer of water (or any  
52 solvent) across a semi-permeable membrane [1]. As a result of water transfer across  
53 the membrane, the feed solution (FS, with a lower osmotic pressure, i.e. a higher  
54 chemical potential) becomes concentrated, and the draw solution (DS) diluted [1, 2].  
55 This spontaneous natural process has been reported for various potential applications  
56 such as treatment of brackish water [3, 4], liquid food concentration [5], medical and  
57 pharmaceutical applications [6], treatment of produced waters from oil and gas  
58 exploitation industry [2, 7], desalination for irrigation [8], and power generation [9,  
59 10]. In comparison to RO and nanofiltration processes, FO process alone is a low  
60 energy process that can tolerate a wider range of feed water salinity or total dissolved  
61 solids (TDS) [11-13]. But the recovery of the draw solutes from the diluted draw  
62 solution is energetically unfavorable [14], which limits the applications of the FO  
63 process. However, when the recovery of draw solutes is not necessary, FO process  
64 may become energetically favorable or even potentially carbon neutral. The  
65 exploration of such applications is the key for the success of FO.

66 Underground brine (UGB) is often found in sedimentation basins, and usually  
67 contains a high concentration of salts. As an important natural source, UGB can be  
68 used for the production of various inorganic chemicals including  $\text{Na}_2\text{CO}_3$ . [15]. The  
69 salinity of UGB is in general much higher than seawater. Evaporation ponds have  
70 been used to concentrate the UGB up to the saturation point to obtain crude salts. This

71 conventional dewatering process is very slow and characterized by a very large  
72 footprint. Also, to harvest the crude salts is energy intensive because the salt crystals  
73 have to be collected over a very large area and transported to the central treatment  
74 points. The salts are then dissolved in fresh water as a saturated solution and supplied  
75 to the refining production line for the production of  $\text{Na}_2\text{CO}_3$  and others. Considering  
76 that in the evaporation process water has to be removed while in the dissolution step a  
77 large quantity of fresh water has to be supplied, a new process would be desirable that  
78 can utilize the removed water for the desalination of crude salts. Based on the process  
79 characteristics, FO may become an energy-efficient process to concentrate the UGB in  
80 place of evaporation pond using the crude salts as DS, where FO can both intensify  
81 the evaporation process, and reduce fresh water demand for the crude salts dissolution.  
82 This process has not been described in literature, the process characteristics,  
83 especially membrane scaling might be a significant problem, which needs systematic  
84 investigation.

85 The aim of this work is therefore to investigate the performances of the FO  
86 process in concentrating UGB, using both tailor-made flat sheet polyamide thin film  
87 composite (TFC) FO membrane and the commercialized cellulose triacetate (CTA)  
88 FO membranes in terms of water flux behavior and membrane scaling. The results  
89 from this study is expected to provide enhanced understanding of the likely challenges  
90 and issues for the application of FO process for the treatment of high salinity water by  
91 FO process.

92

## 93 **2. Materials and methods**

### 94 **2.1 Chemicals and Membranes**

95 NaCl (AR grade), triethyl amine (TEA, AR grade), and camphorsulfonic acid  
96 (CSA, AR grade), polyethylene glycol (PEG, Mw 400 Da.) and dimethyl acetamide  
97 (DMAc) were supplied by Sinopharm Chemical Reagent Co., Ltd.  
98 *m*-phenylnenediamine (MPD, 99%) and trimesoyl chloride (TMC, 98%) were  
99 supplied by Sigma (AR). The chemicals were used without further purification.  
100 Polysulfone (P-3500 NT) was purchased from Solvay (Shanghai, China). Sulfonated  
101 polyether ether ketone (SPEEK) was supplied by Shanghai Erane Tech. Co. Ltd, with  
102 a sulfonation degree of 64.4%. Commercial CTA membranes were supplied by  
103 Hydration Technologies, Inc. (Albany, OR, USA). Natural UGB was kindly provided  
104 by Shandong Haihua Group Co., LTD. Deionized (DI) water was used wherever  
105 necessary for the experiments.

### 106 **2.2 Preparation of flat sheet TFC membranes**

#### 107 **2.2.1 PSf support membrane**

108 PSf/SPEEK/PEG-400/DMAc (17.1 /0.9/8 /73.6) was mixed in a dry three-neck  
109 flask at 65 °C overnight till a clear solution was obtained. The polymer solution was  
110 filtered, de-aerated in an oven at 60 °C overnight, and cast on a dry glass plate at 150  
111 μm by an automatic casting apparatus (Elcometer 4340, Elcometer Asia Pte. Ltd). The  
112 nascent cast was then immersed into a water bath (30 °C) for precipitation. Resulting  
113 PSf membrane was washed thoroughly and stored in DI water.

#### 114 **2.2.2 Flat sheet TFC membrane**

115 The formation of polyamide active layer on the PSf support layer was performed  
116 by interfacial polymerization of MPD in the aqueous phase with TMC in hexane. The  
117 composition of aqueous phase was prepared according to previous studies [16] at a pH  
118 of 11.2. The top surface of the PSf membrane was dried with an air knife and brought  
119 into contact with aqueous phase for 2 min. The excessive aqueous solution was  
120 decanted and the membrane surface was blown dry using a dry clean nitrogen gas  
121 stream. Afterwards, the membrane was brought into contact with an organic phase  
122 (TMC/hexane solution, 0.15 wt%) for 1 min. The membrane was then dried at  
123 ambient for 2 min and cured in an oven at 100 °C for 3 min. The membranes were  
124 stored in DI water before further test.

### 125 **2.3 Pure water permeability and salt rejection of the FO membranes.**

126 The pure water permeability ( $A$ ), solute permeability coefficient ( $B$ ), salt  
127 rejection ( $R_s$ ), structural parameters ( $S$ ) of the membranes were characterized  
128 following previously published procedures [17]. The water permeability coefficient ( $A$ )  
129 was obtained using a bench scale cross-flow RO test setup (Sterlitech Corporation)  
130 under a pressure of 10 bar. The salt rejection ( $R_s$ ) was determined based on  
131 conductivity of the permeate and feed solution (1000 ppm NaCl) at a flow velocity of  
132 0.25 m/s under pressure of 10 bar.  $B$  was calculated based on equation (1).

$$133 \quad B = J_w^{NaCl} \left( \frac{1 - R_s}{R_s} \right) \exp \left( - \frac{J_w^{NaCl}}{k} \right) \quad (1)$$

134 where  $J_w^{NaCl}$  is water flux of NaCl solution,  $R_s$  is salt rejection,  $k$  represents the mass  
135 transfer coefficient for the cross-flow cell, and was calculated from correlation for a  
136 rectangular cell geometry and laminar flow [18]



137 The membrane structural parameter  $S$  is a product of membrane resistance to  
138 diffusion ( $K_m$ ) and solute diffusivity ( $D$ ), and its relationship with the membrane  
139 tortuosity ( $\tau$ ), membrane thickness ( $t_s$ ), and membrane porosity ( $\varepsilon$ ) is given as follows:

$$140 \quad S = K_m D = \frac{t_s \tau}{\varepsilon} \quad (2)$$

141 The resistance of support layer to solute diffusion  $K_m$  is calculated (AL-FS mode:  
142 active layer against the feed solution) as follows:

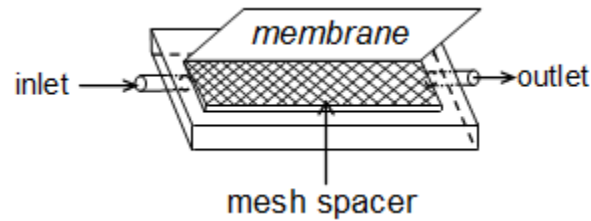
$$143 \quad J_v = K_m \ln \left( \frac{A \pi_{draw} + B}{A \pi_{feed} + J_v + B} \right) \quad (\text{AL-FS}) \quad (3)$$

144 where  $J_v$  refers to the water flux in the FO process using 0.5 M NaCl as DS and DI  
145 water as FS under the AL-FS mode of membrane orientation.  $\pi_{draw}$  and  $\pi_{feed}$  refer to  
146 the osmotic pressures of the DS and FS respectively, and here  $\pi_{feed}$  was taken as zero  
147 because of DI water as feed for equation (3).

## 148 **2.4 FO module configuration and lab-scale process experimental setup for** 149 **concentrating UGB**

150 The test module consisted of two half-cells of the same dimensions 30 mm × 100  
151 mm × 4 mm in width, length, and height, respectively. A mesh spacer was used to  
152 enhance flow turbulence, reduce the external concentration polarization, and also  
153 provide support to the membrane. Before placing the FO membrane in the FO  
154 channels, a polymeric mesh spacer was inserted in the middle of the channel or in  
155 contact with the membrane. The mesh spacer was the same size as the membrane used  
156 in this work: its pores measured 4.5 mm × 4.5 mm and it had a thickness of 1 mm. As

157 shown in Fig. 1 is the configuration means of polymeric mesh spacer in the FO  
158 membrane module. The effect of spacer on membrane fouling was investigated in  
159 detail (see section 3.5).



160

161 Fig. 1 Channel with the membrane and polymeric spacer in the FO membrane  
162 module.

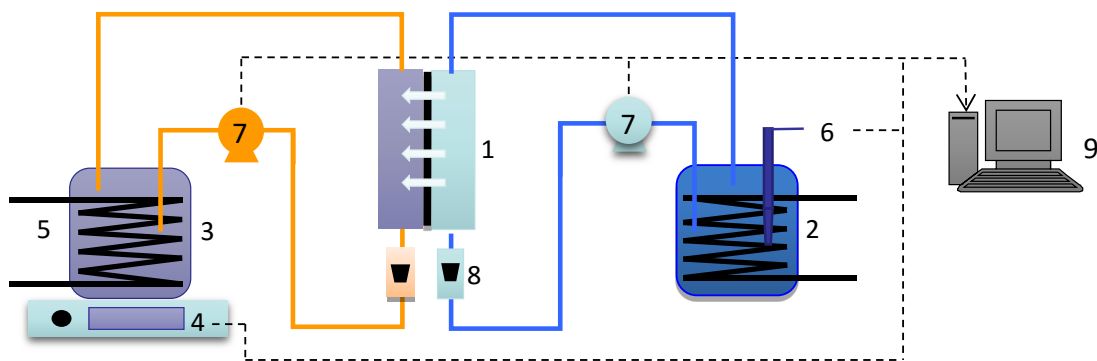
163 UGB was pre-filtered using a paper filter with a nominal pore size of 20  $\mu\text{m}$  to  
164 remove large particles. A saturated NaCl solution containing extra undissolved salt  
165 was used as the DS. Figure 2 shows the schematic layout of the lab-scale FO  
166 experimental setup used in this study. Two magnetically driven gear pumps  
167 (WT3000-1FA, Baoding Longer Precision Pump Co., Ltd) were used to control the  
168 flow velocities of both feed and DS. The flow velocity of both the feed and DS was  
169 set at 4.2 cm/s for all the FO experiments with UGB. The temperature of both feed  
170 and DS was maintained at 20°C. Membranes were tested in the AL-FS mode of  
171 membrane orientation with the active layer facing the feed solution. The weight  
172 change in the feed tank (initial volume of 1 L) was tracked using a digital mass scale  
173 (CP4202C, Ohaus Corporation) connected with a computer for data recording. The  
174 FO water flux,  $J_v$ , was calculated based on the change in the volume of the feed tank  
175 ( $\Delta V$ , L) at unit time divided by the effective membrane surface area ( $A$ ), considering  
176 the density of water is 1.0 kg/L:

177 
$$J_v = \frac{\Delta V}{A \times t} \quad (4)$$

178 The concentration factor (CF) is defined as the ratio between the initial feed volume  
 179 ( $V_0$ ) and the feed volume ( $V_t$ ) at time  $t$ , (which represents the increase in the feed  
 180 concentration as the FO process was operated in a batch mode where both the DS and  
 181 FS are recycled continuously) according to (5). Water recovery was calculated by  
 182 dividing the overall volume of permeate (calculated from the total weight decrease of  
 183 the feed solution) by initial volume of feed solution.

184 
$$CF = \frac{V_0}{V_t} \quad (5)$$

185



186 **Fig. 2** Schematic of the forward osmosis test setup (1. membrane module; 2. feed  
 187 water tank; 3. draw solution tank; 4. balance; 5. thermostatic bath; 6. conductivity  
 188 transmitter; 7. gear pump; 8. flow meter; 9. data collection system).  
 189

190

## 191 2.5 Analytical methods

192 Water quality parameters, including pH, electric conductivity, turbidity, and total  
 193 hardness, of UGB (before and after pretreatment) and product water were tested  
 194 following standard methods [19]. Ion concentrations were determined by inductively  
 195 coupled plasma-atomic emission spectroscopy (ICP-AES) (ICPE-9000, Shimadzu,

196 Kyoto) and ion chromatography (LC20AT, Shimadzu, Kyoto), respectively. The  
197 ICP-AES utilizes the wavelength and intensity of electromagnetic emission to  
198 determine the concentration of each target element. Calibration was conducted prior  
199 to each batch of analysis. The linear regression coefficients ( $R^2$ ) for all calibration  
200 curves were greater than 0.99. Foulants on the membrane surfaces were analyzed by  
201 scanning electron microscopy equipped with energy dispersive X-ray spectroscopy  
202 (EDS) (Hitachi S-4800, Japan). X-ray diffraction patterns were collected by X-ray  
203 powder diffractometry (Bruker D8 Advance).

204

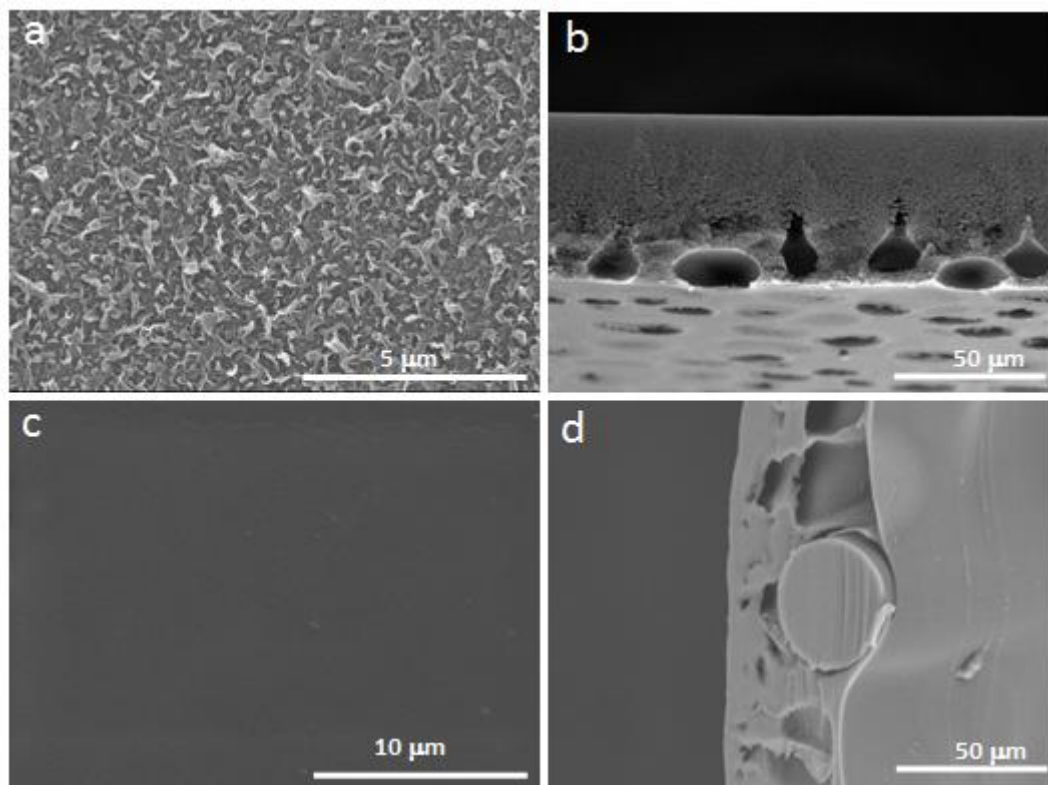
### 205 **3. Results and discussion**

#### 206 **3.1 Characteristics of the FO membranes**

207 SEM images of the virgin TFC and CTA membranes are shown in Fig. 3. The flat  
208 sheet TFC membrane shows a typical ridge-valley surface morphology (Fig. 3a) with  
209 sponge-like support structure and macrovoids close to the bottom surface (Fig. 3b).  
210 The CTA membrane (Fig. 3c) has a much smoother surface than the TFC membranes,  
211 reinforced by embedded woven mesh (Fig. 3d).

212 Other characteristics of the two membranes used in this study are listed in  
213 Table 1. The pure water permeability of flat sheet TFC membrane was about 2.2 L/  
214 ( $\text{m}^2 \cdot \text{h} \cdot \text{bar}$ ) and 0.79 L/ ( $\text{m}^2 \cdot \text{h} \cdot \text{bar}$ ) for the CTA membrane, which agrees to literature  
215 results [1, 10]. The observed FO flux,  $J_v$ , of the flat sheet TFC membrane was 10.5  
216 L/ $\text{m}^2 \cdot \text{h}$ , about 20% higher than that of the CTA membrane. In terms of NaCl salt  
217 rejection, the flat sheet TFC FO membrane showed much higher NaCl salt rejection

218 (98.8%) than the CTA membrane (89%), which indicated that the interfacial  
219 polymerized TFC membranes are less permeable to salt. This is further supported by  
220 the significantly lower  $J_s/J_w$  values observed for TFC FO membrane than CTA  
221 membrane. The  $J_s/J_w$  value, termed as specific reverse solute flux measures the extent  
222 of DS salt that is expected to be lost by reverse diffusion through the membrane  
223 towards the FS which has both economic interest and the quality of feed concentrate.  
224 Nevertheless, the TFC membrane has a twice as high a structural parameter, indicative  
225 of higher degree of internal concentration polarization (ICP), as will be illustrated in  
226 later paragraphs.



227  
228 **Fig. 3** SEM images of the FO membrane surfaces from the experiment. a) and b)  
229 SEM images of top surface and cross-section of flat sheet TFC membrane; c) and d)  
230 SEM images of the top and cross-section of flat sheet CTA membrane.

231

232 **Table 1** Properties of synthesized TFC FO membranes and commercial CTA  
 233 membranes

FO membranes	Pure water permeability (L/m <sup>2</sup> ·h·bar)	NaCl rejection (%)	Jv-FO mode (L/m <sup>2</sup> ·h)	Js/Jv (g/L)	B (L/m <sup>2</sup> ·h)	S (μm)
TFC	2.25	98.8	10.5	0.44	0.2	799
CTA	0.79	89	8.5	1.17	0.87	412

234

### 235 3.2 UGB water characteristics

236 The UGB sample used in this study was obtained from coastal region of eastern  
 237 China. The detail characteristics of the UGB are presented in Table 2. The  
 238 conductivity of UGB was 136 ms/cm, and the turbidity of 11.4 NTU. Sodium was the  
 239 main cation with a concentration of 12.64 g/L followed by magnesium (9.33 g/L) and  
 240 calcium (4.93 g/L). The major anions were chloride (43.80 g/L) and sulfate (9.88 g/L).  
 241 The TDS of the UGB was 120347 mg/L (or 120.35 g/L).

242

243 **Table 2.** Characteristics of pre-filtered UGB

Analytes	UGB
Conductivity (mS/cm)	136
Turbidity (NTU)	11.4
pH	7.2
Sodium (mg/L)	12640
Calcium (mg/L)	4930

Potassium (mg/L)	1064
Magnesium (mg/L)	9327
Arsenic (mg/L)	72.6
Lead (mg/L)	68.2
Strontium (mg/L)	63.4
Chloride (mg/L)	43800
Sulfate (mg/L)	9875.4
Bicarbonate (mg/L)	246.5
Total ions (mg/L)	120347

244

### 245 **3.3 Performance of the FO process during UGB concentration**

246 Because of the relatively high salt content, UGB FO concentration was carried  
247 out using saturated NaCl solution with extra solids. Fig. 4 shows the water flux and  
248 recovery patterns for the two FO membranes as a function of concentration factor (CF)  
249 in the AL-FS mode. The initial flux of the CTA and TFC membrane was 9.0 and 8.2  
250 L/m<sup>2</sup>·h, respectively. Gradual water flux decline and recovery increase were observed  
251 during the FO concentration process for both membranes. A flux transition was  
252 observed in a CF range of 1.65 - 1.70 where a sharp flux decline was observed and  
253 subsequently the water flux turned to nearly zero at about CF of 1.8. It was observed  
254 that solution appeared highly turbid at the flux transition point, indicating that the  
255 solution might be close to crystallization. Similar results phenomenon was reported by  
256 other studies [20]. The water recovery of TFC and CTA membranes at the CF of 1.8  
257 and 1.9 is 52.8% and 56.5%, respectively.

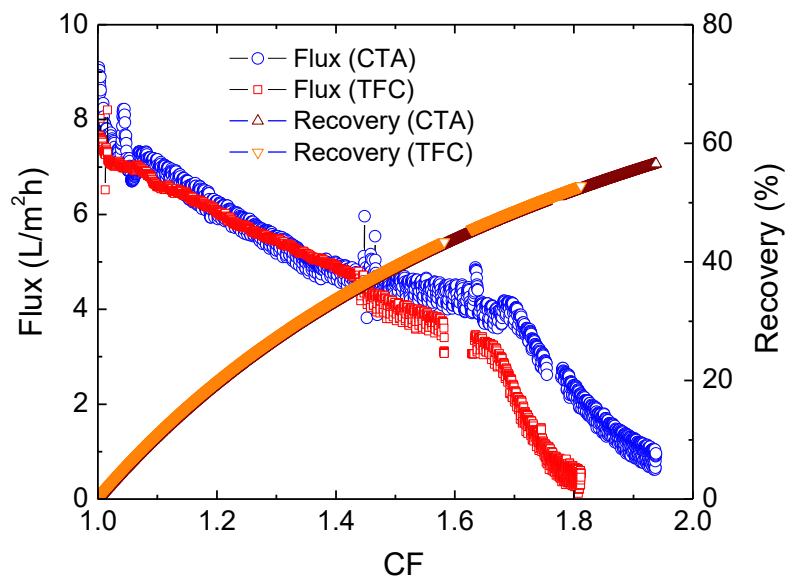
258 The difference of initial flux for both membranes when concentrating UGB was not in

259 line with the flux difference as shown in Table 1, where a higher water flux was  
260 expected for the TFC membrane other than the CTA membrane. However, this is not  
261 surprising when we examine the structural parameter of the two membranes. The TFC  
262 membranes has shown a twice as high a S value as the CTA membranes, indicating  
263 that it will suffer severe dilutive ICP in the support layer. The degree of ICP is directly  
264 related to the solution concentration [21, 22], where higher concentration suffers more  
265 seriously. The lower initial flux of the TFC membrane is thus ascribed to the higher  
266 structural parameter and consequently higher degree of ICP than the CTA membrane.  
267 The gradual flux decline was expected because of the gradual increase in the feed  
268 concentration due to the concentration of the feed during the continuous FO operation  
269 process, leading to decreased osmotic driving force across the membrane. With the  
270 increase of concentration factor, the appearance of flux transition was interesting to  
271 note. The transition in the FO water flux for TFC membrane occurred at CF of 1.65  
272 following which the water flux dropped to zero at CF of about 1.8. While for the CTA  
273 membrane, the transition started at a CF of 1.7 reaching zero flux at CF 2.0. As noted  
274 above, the flux transition was visually linked to the turbidity of the feed streams.  
275 Therefore, this flux transition is most probably caused by the saturation/crystallization  
276 of the salts in the feed streams. After dismantling the membrane test cell, a lot of  
277 crystals are found on the spacer mesh as shown in Fig 5. In addition, crystals were  
278 also found on the membrane surface, which are shown in Fig 6. These observations  
279 confirm that the flux transition is caused by the crystallization and scaling of the  
280 membranes.



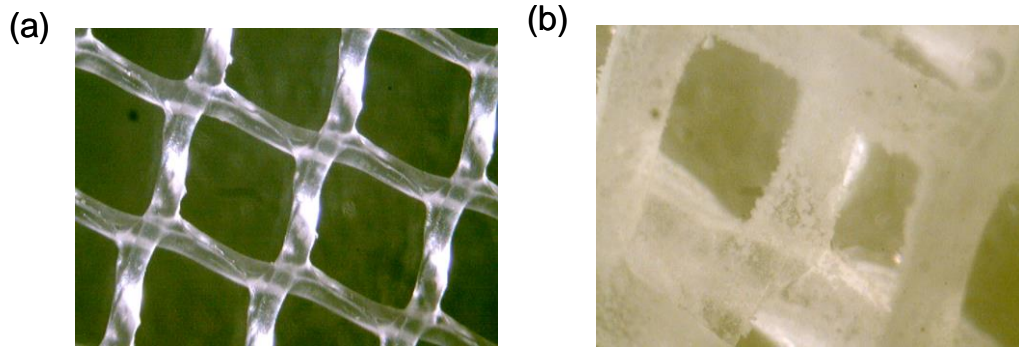
281 With the much earlier occurrence of flux transition for TFC membrane than the  
 282 CTA membrane indicates that, crystallization with TFC membrane occurs at low CF  
 283 than with the CTA membrane. This difference might be caused by the different surface  
 284 morphology of the membranes. More specifically, it is likely that the rougher surface  
 285 of the TFC membranes provided more surface area and stronger adhesion force for the  
 286 crystals to aggregate than a smooth one, similar to the colloidal fouling formation[23].  
 287 Therefore, the water flux for the CTA membrane appeared to be slightly higher than  
 288 TFC membranes.

289



290

291 **Fig. 4** Water flux and recovery patterns of flat CTA and TFC FO membranes against  
 292 concentration ratio (UGB and saturated sodium chloride were used as the feed and  
 293 draw solution, respectively; experiments were conducted under temperature of  $20 \pm$   
 294  $1^\circ\text{C}$ , the flow velocity and Reynolds number for both feed and DS were maintained at  
 295  $4.2 \text{ cm/s}$  and  $324$ , respectively).

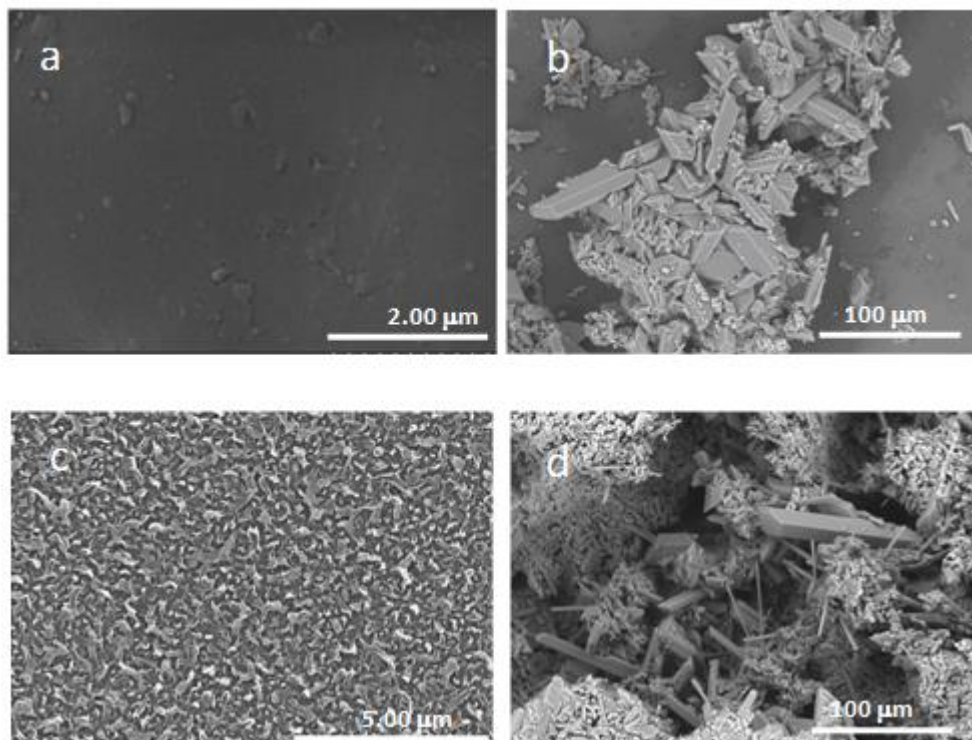


296 **Fig. 5** Optical photographs of the mesh spacer before (a) and after (b) UGB FO

297  
298 concentration (UGB and saturated NaCl solution were used as feed and draw solution,  
299 respectively; experiments were conducted under temperature of  $20\pm 1^\circ\text{C}$  and flow  
300 velocities of feed and draw solution were maintained at 4.2 cm/s)

### 301 302 **3.4 Analysis of the crystals**

303 Fig. 3c shows the fresh clean CTA membranes surface characterized by a smooth  
304 top surface however, for the used membrane, significant amounts of deposits in the  
305 form of single crystals and crystal aggregates, sporadically appeared in the fouled  
306 membrane surface (Fig. 6b). For the flat sheet TFC membrane, a typical ridge and  
307 valley surface morphology was observed for the clean membranes (Fig. 6c), however,  
308 for the used membrane, piles of deposits were observed after the FO process (Fig. 6d).  
309 The crystals were observed to exist in various shapes such as needle-like,  
310 parallelepiped, and irregular. Similar crystals deposition has been reported in other  
311 studies before [24, 25]. More crystal deposits were found for the flat sheet TFC  
312 membrane than the CTA membrane, probably indicating, TFC membrane is slightly  
313 more prone to scaling.



314

315

**Figure 6** SEM images of the top surfaces of flat CTA and TFC membranes

316

before (a, c) and after (b, d) concentrating UGB.

317

Energy dispersive X-ray spectroscopy (EDX) was adopted to analyze the

318

elemental composition of the crystal deposits on the membrane surface. As shown in

319

Figure 7a, only C and O elements were observed on the clean CTA membrane surface

320

as anticipated while for the scaled CTA membrane, substantial amount of Mg, Ca, Na,

321

Cl, and S were observed (Figure 7b). Likewise, the fresh TFC membrane showed

322

peaks for C, O, and S elements (Figure 7c). For the fouled TFC membrane, Mg, Ca,

323

Na, Cl and S were observed similar to the scaled CTA membrane. According to Table

324

1, the main components in the UGB are sodium and chloride, followed by Ca, Mg and

325

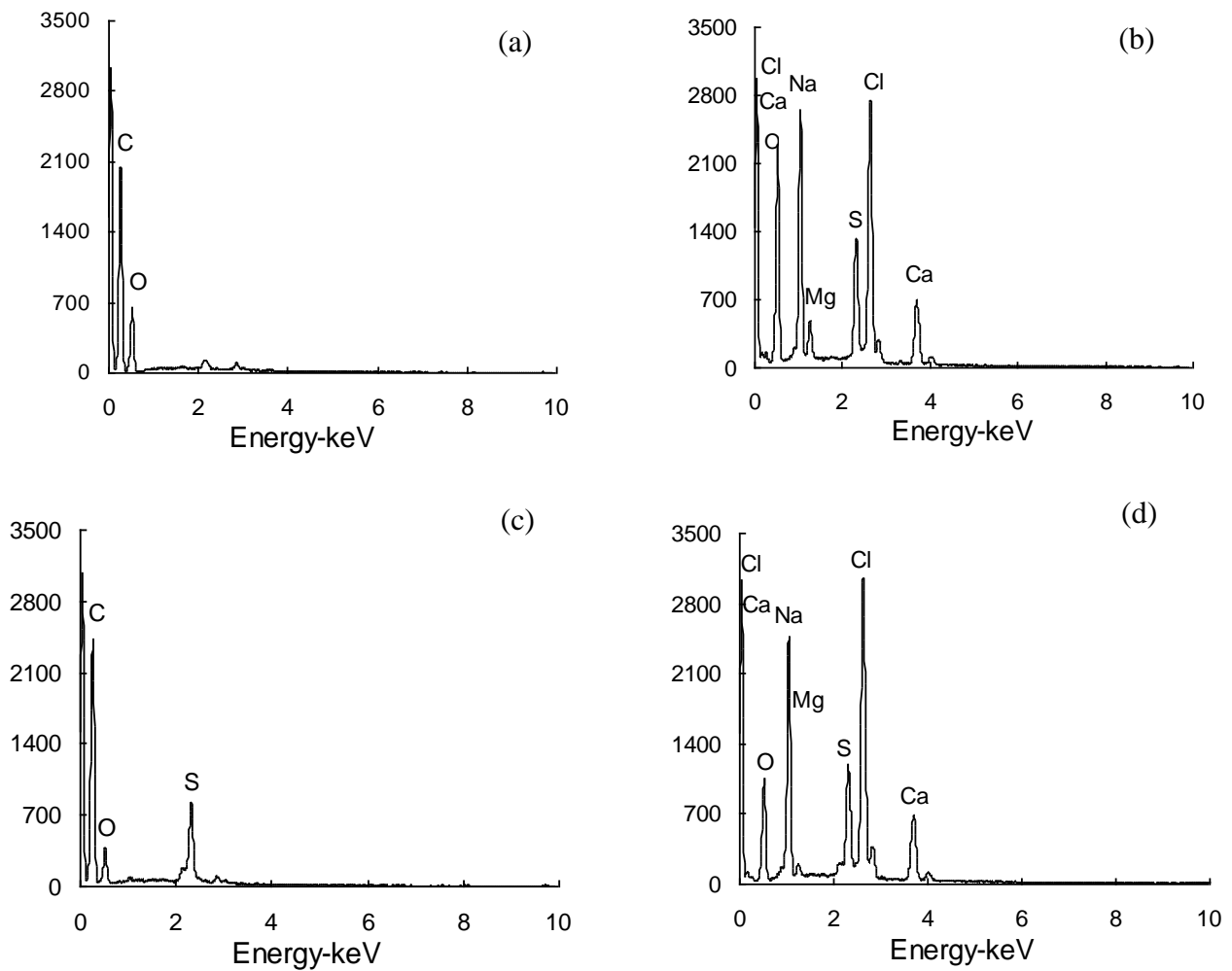
$\text{SO}_4^{2-}$ . Thus, it is evident that, the membrane fouling/scaling is mainly caused by the

326

crystallization and deposition of the inorganic components from the UGB onto the

327

membrane surface.

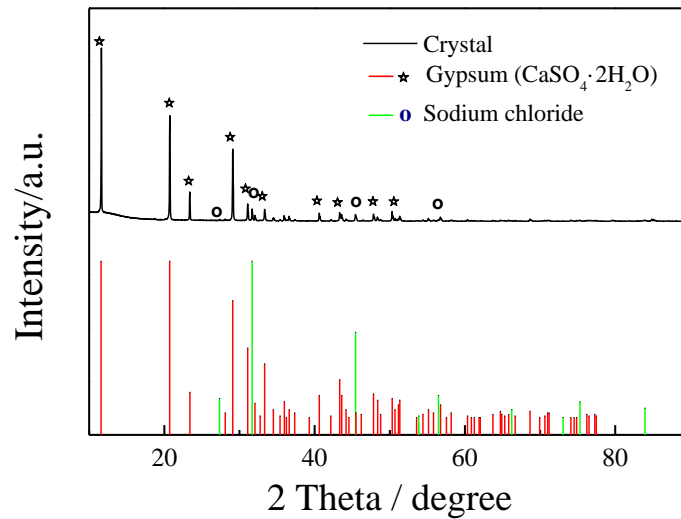


329 **Figure 7.** EDX graphs of CTA (a, b) and TFC FO (c,d) membranes before (a, c) and  
 330 after (b, d) concentrating UGB.

331

332 The XRD patterns of the deposits, collected from the FO membrane surfaces,  
 333 and patterns of standard calcium sulfate ( $\text{CaSO}_4 \cdot 2\text{H}_2\text{O}$ ) and sodium chloride ( $\text{NaCl}$ ),  
 334 are compared as shown in Figure 8. The characteristic peaks of the inorganic foulants  
 335 mixtures are consistent with the standard XRD patterns of both salts. Moreover, it was  
 336 obvious that the intensity of characteristic peaks of  $\text{CaSO}_4 \cdot 2\text{H}_2\text{O}$  was higher than that  
 337 of sodium chloride, indicating that the majority of the crystallites in the membrane

338 scales is  $\text{CaSO}_4 \cdot 2\text{H}_2\text{O}$ . This result is also logical since  $\text{CaSO}_4 \cdot 2\text{H}_2\text{O}$  has a much lower  
339 solubility than NaCl and is often observed as one of the major scaling component in  
340 various salt rejecting membrane processes [24].



341

342 **Figure 8** XRD patterns of crystal collected from the FO membrane surfaces (above)  
343 and standard patterns (bottom) of gypsum and sodium chloride.

344

### 345 3.5 Membrane scaling mechanism

346 Spacer is often embedded in membrane modules to enhance the turbulence on  
347 the membrane surface, thereby reducing concentration polarization [26, 27]. From Fig.  
348 5 we can see clearly that the mesh spacer was completely covered by the crystal  
349 deposits. How the spacers influenced the membrane scaling during the concentration  
350 of UGB by FO process is examined in further paragraphs.

351 The mesh spacer (Figure 1) was used in FO membrane cell to enhance flow  
352 turbulence on both sides of the FO membrane. At the end of the FO experiments, the  
353 scaling pattern was observed on the membrane surface correlated well with the pattern  
354 of the mesh spacer used in the FO cell as shown in Figure 5b indicating that the mesh  
355 spacer may provide a favorable condition as nucleation sites for the crystallization. As

356 the UGB solution reaches the saturation concentration, nuclei tend to form and grow  
357 more rapidly. Both membrane surface and the spacer mesh likely become preferential  
358 sites for scale deposition. Particularly, the crystals formed on the spacer mesh may  
359 progressively aggregate and grow, resulting in the formation of crystals on the  
360 membrane surface, termed as surface crystallization. Surface crystallization usually  
361 occurs due to the super-saturation of scaling ions in the feed solution as permeate is  
362 extracted and the salts are rejected by the FO membrane. Nucleation and growth of  
363 inorganic scales on the membrane surface is a common issue for all the RO systems  
364 [28] and also have been reported for the FO process too [29]. As the UGB  
365 concentrating process continued, the formation of crystal covered up the whole  
366 membrane surfaces, eventually leading to a sudden flux decline (as shown in Figure  
367 4). Thus, the presence of spacer mesh, promotes the formation of flow turbulence  
368 within the fluid channel however, it also acts as a favorable nucleation site for the  
369 scaling. Therefore, besides the membrane morphology, the contribution of spacer to  
370 scaling must be appropriately considered for the design of FO membrane module  
371 especially for the application of FO process for high salinity water such as UGB.

372

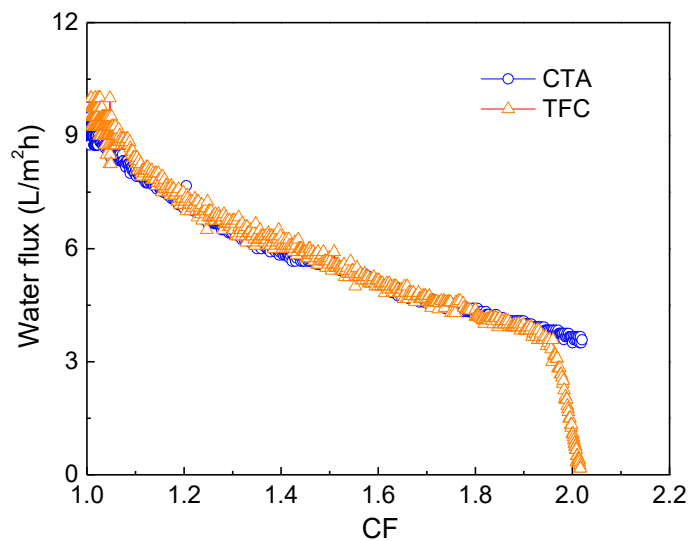
373 To further verify the influence of spacer to scaling for UGB concentration, FO  
374 experiments on UGB concentration were conducted without using spacers. As shown  
375 in Fig. 9, without the spacers, the water flux of the CTA membrane did not show any  
376 transition point in contrast to our earlier results in Figure 4 where the flux transition  
377 occurred at CF of 1.65. However, the flux pattern did not change significantly as  
378 compared to earlier results in Figure 3 for the TFC membrane, although the CF of the  
379 flux transition occurred this time at slightly higher CF of 1.92 than 1.7. After the FO

380 tests, both the CTA and TFC membranes were analyzed using an optical microscope  
381 as shown in Fig. 10. The crystals were found mainly at the edge of the CTA  
382 membrane (outside the red lines as shown in Fig. 10 (A)) while there was no definite  
383 noticeable scaling pattern in the middle section of the membrane. This indicates that  
384 in the absence of mesh spacer, the scaling of the CTA membrane might occur less  
385 gradually than in the presence of mesh spacer, thus preventing the FO process from  
386 showing sudden sharp decline. For the TFC membrane however, the whole membrane  
387 surface was covered by white crystals (rectangle area shown in Fig. 10 (b)) and this  
388 likely increases the resistance to transport resulting in FO flux decline to zero soon  
389 after the transition point.

390 By comparing the flux patterns of CTA membrane with spacer (Fig. 4) and  
391 without spacer (Fig. 9), we have confirmed that the sudden flux decline due to scaling  
392 most probably originated from the spacer. The spacer-induced scaling for CTA  
393 membrane could be interpreted that the crystals formed in the solution might be  
394 blocked by the spacer thereby further promoting the aggregation of the crystals  
395 around the spacer (Fig. 5 b) and thus gradually covering the whole membrane surface  
396 with scaled salts. Without spacer, for a smooth CTA membrane surface, the  
397 aggregation of crystals might not be as strong as in the presence of spacer. However,  
398 the distribution of the flow velocity within the fluid channel in the FO module might  
399 not have been homogeneous, which might also have led to the aggregation of scalants  
400 at the outlet of the module as well as the corners of the cell. Since this aggregation  
401 proceeded gradually, the corresponding coverage of the CTA membrane surface was  
402 continuous and a gradual decline in FO flux was observed instead of sudden and sharp  
403 flux decline. Based on the analysis of water flux patterns and scaling distribution on

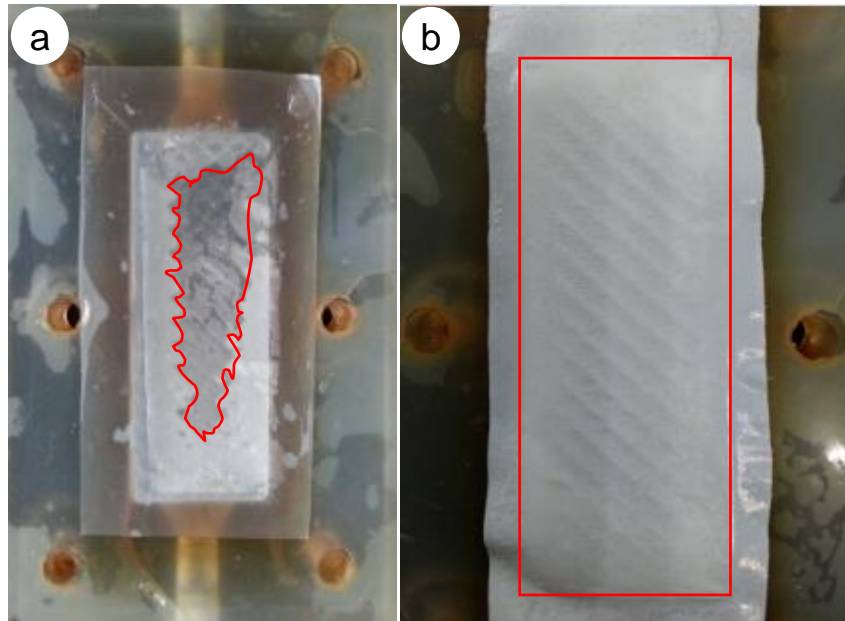
404 the CTA membrane surface above, it is evident that CTA membrane scaling during  
405 UGB concentration by the FO process is derived from surface crystallization on  
406 membrane surface and also induced by the presence of spacer in FO cell.

407 Nevertheless, in case of TFC membrane, the rough active surface behaved  
408 differently from smooth surface CTA membrane upon scaling. Without spacer, the  
409 spacer-induced scaling was avoided. However, the rough surface tends to aggregate  
410 small crystals which further induced formation of larger aggregation of scales.  
411 Therefore, the flux transition was delayed although it still remained (Fig 4 and Fig. 9).  
412 These results indicate that TFC membrane scaling during UGB concentration by the  
413 FO process is caused by crystallization as a result of the synergistic effects of the  
414 spacers in the FO cell and rough active surface of the active layer of the TFC  
415 membrane.



416  
417 **Figure 9** Water flux of UGB FO concentration using flat sheet CTA and TFC FO  
418 membranes without spacer in the FO cell (UGB and saturated sodium chloride were  
419 used as the feed and draw solution, respectively. Experiments were carried out under  
420 temperature of  $20 \pm 1^\circ\text{C}$  and flow velocities of feed and draw solution were  
421 maintained at 4.2 cm/s).





423

424 **Figure 10** Optical images of top surface of CTA (a) and TFC (b) membranes after

425 UGB FO concentration without spacer in the FO cell (UGB and saturated NaCl

426 solution were used as feed and draw solution, respectively. The flow velocities of feed

427 and draw solution were maintained at 4.2 cm/s, and the experimental temperature was

428 controlled at  $20 \pm 1^\circ\text{C}$ )

429

430 **4. Conclusions**

431 The application of forward osmosis (FO) process was investigated for

432 concentrating underground brine (UGB), based on the concept of harvesting water

433 from brine for the recovery of valuable salts for further refining. The water flux

434 patterns, membrane scaling propensity and performance of flat sheet TFC and CTA

435 membranes were evaluated. Negligible flux difference was observed for the two FO

436 membranes, in contrast to significantly different performances in the membrane

437 characterization test. Flat sheet TFC and CTA membranes both experienced sharp flux

438 declines due to inorganic scaling on the FO membrane surface due to both surface  
439 crystallization and bulk crystallization. Detail surface analysis indicates that, scaling  
440 was also induced by the spacers used in the FO cell and also due to the rough active  
441 layer surface morphology of the TFC membrane. The results from this study show  
442 that FO is feasible for the concentration of UGB however, the selection of membrane,  
443 membrane module and operation conditions needs further attention in order to avoid  
444 scaling/fouling problems especially when high salinity feed water is used for the FO  
445 process. Given the role of spacers in enhancing membrane scaling by both CTA and  
446 TFC flat sheet FO membranes, it is worthy to investigate in the future whether hollow  
447 fiber TFC FO membrane (as no spacer is used) would be more suitable for UGB  
448 concentration by FO process than the flat sheet membranes.

449

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