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1 **Climatology of Philippine Tropical Cyclone Activity: 1945-2011**

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3 *Short title:* Philippine Tropical Cyclone Climatology

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36 **ABSTRACT**

37 The Philippine region occupies the southwestern Western North Pacific (WNP) Ocean,
38 between 5°N-25°N and 115°E-135°E. About 70% of WNP tropical cyclones (TCs) formed in or
39 entered the Philippine region during 1945-2011. Here, a climatology of Philippine TC metrics is
40 developed, including mean annual frequencies, landfalls, TC days, season lengths, season earliest
41 and latest start/end dates, genesis locations, and tracks. Two distinct TC seasons, the less active
42 (LAS; January 1-May 31) and more active (MAS; June 1-December 31) seasons, are evident.
43 Philippine TC annual median LAS frequency is 2 (interquartile range (IQR) is 2); median
44 landfalling frequency is 1. The annual median MAS frequency is 15 (IQR is 4.5), and median
45 landfalling frequency is 6. About 55% of Philippine TCs reach typhoon (TY) intensity. The
46 interannual variability of the annual average lifetime maximum intensity (LMI) for all TCs and
47 landfalling TCs decreased slightly during the satellite era (the years since 1980). The TC annual
48 average latitude of LMI in the satellite era exhibits a poleward migration; however, for
49 landfalling TCs it is equatorward.

50 In El Niño years, TCs frequently recurve or decay before reaching the Philippine region,
51 producing *below normal* numbers and landfalls in LAS and MAS. In La Niña years, TC numbers
52 and landfalls are *below normal* in January-March and July-September, but *above normal* in
53 April-June and October-December. A quiescent (TC-free) period occurs between LAS and MAS,
54 ranging from 2 days-5 months (median 1.2 months) for LAS/MAS transitions, and 6 days-7
55 months (median 2.85 months) for MAS/LAS transitions. Wavelet analysis shows El Niño
56 Southern Oscillation (ENSO) as the dominant mode affecting Philippine TCs, consistent with
57 other studies. The wavelet analysis also indicates possible decadal and multi-decadal modes.

58 The climatology developed here has social and economic relevance: allowing planning,
59 providing early risk assessment, and mitigating impacts through timely preparation and
60 management.

61 KEY WORDS: tropical cyclones, tropical cyclone metrics, Philippines, climatology, western
62 North Pacific Ocean, El Niño Southern Oscillation

63 **1. Introduction**

64 The Philippine region lies in the tropical cyclone (TC) belt of the southwestern sector of the
65 western North Pacific (WNP) Ocean, the most active of the world's TC basins (McBride, 1995).
66 About 26 TCs form annually over the WNP (Ritchie and Holland, 1999), and the threat of TCs in
67 the WNP possibly is increasing (Park *et al.*, 2014). Over the 67-year period 1945-2011, about
68 70% (18) of these WNP TCs passed near or crossed the Philippines. The Philippines is located
69 close to where most WNP TCs reach their maximum intensity (Gray, 1968; Xue and Neumann,
70 1984). TCs are the Philippines' worst natural hazard, in terms of human casualties, socio-
71 economic consequences, and also cause extensive damage to vegetation (e.g., Marler and
72 Ferreras, 2014; 2015). Destructive winds, storm surges, landslides and extensive flooding are TC
73 impacts affecting the Philippines. For example, in November 1991, Tropical Storm Thelma
74 demonstrated that TCs even below typhoon intensity are devastating, causing over 5,000 deaths.
75 Figure 1a shows tropical storm Thelma, before landfall, on November 4, 1991. Figure 1b shows
76 Thelma's track, which produced massive flooding over mountainous central sections of the
77 Philippines, in areas largely deforested for agriculture. In 2013, Typhoon Haiyan became the
78 strongest and deadliest landfalling typhoon in the Philippines, with over 6,000 deaths and more
79 than 4 million made homeless. Typhoon Haiyan is the strongest TC in recorded history to make
80 landfall anywhere on the globe. More recently, slow-moving Typhoon Koppu (Lando) made
81 landfall on Luzon, on October 18, 2015, bringing with it local rainfall totals exceeding a meter.

82 Hence, there is a clear need for a more complete understanding of Philippine TC activity,
83 and its variability, than is currently available. The aim of this study is to develop a
84 comprehensive climatology that extends the existing studies of Philippines TCs (e.g., Brand and
85 Brelloch, 1973; Shoemaker, 1991; Chan, 2000; Wu *et al.*, 2004; Chan and Xu, 2009; Kubota and

86 Chan, 2009; Lyon and Camargo, 2008; Zhang *et al.*, 2012; David *et al.*, 2013; Corporal-
87 Lodangco *et al.*, 2016; Corporal-Lodangco and Leslie, 2016; Cinco *et al.*, 2016). Except for the
88 earlier and more recent work, the available studies mostly consider the Philippines in the larger
89 context of the WNP, rather than focusing solely on individual Pacific islands and island clusters
90 (e.g., Marler 2014, 2015), very notably those islands forming the Philippines. The present
91 climatology is intended to provide increased social and economic planning, particularly before
92 each more active season (MAS), defined here as June 1 to December 31. It also can assist in
93 timely risk assessment and mitigation of TC impacts.

94 Chan (2000) examined WNP TC activity, to assess variations occurring prior to, during
95 and after El Niño Southern Oscillation (ENSO) phases. His results suggest that Philippine region
96 TC activity is above normal prior to an El Niño year, and below normal in October and
97 November of an El Niño year. Furthermore, one year after an El Niño event, TC activity in the
98 Philippines is below normal. Chan (2000) also found that Philippine TC activity is above normal
99 in the year preceding a La Niña year, in September and October of La Niña years, and in the year
100 after a La Niña event. Importantly, Chan (2000) suggested that El Niño and La Niña effects are
101 most likely not the only factors determining WNP TC activity. The study of Corporal-Lodangco
102 *et al.* (2016) focused on the interseasonal and interannual variability of Philippine TC activity
103 associated with the various ENSO phases, and emphasized that for various reasons, such as
104 geographical location, the characteristics of Philippine TCs are somewhat different from those of
105 other WNP regions. To better understand the behavior of the Philippine TCs, a cluster analysis
106 algorithm was applied to the genesis locations, tracks, and decay locations (Corporal-Lodangco
107 and Leslie, 2016). These three characteristics of TC all affect the Philippine TC activity. The
108 analysis identified the distinctive properties of each cluster. That study, especially the monthly

109 analyses therein, revealed dominant clusters and showed clearly different behavior between the
110 clusters.

111 The Philippine region is one of four areas assessed by Wu *et al.* (2004) for ENSO
112 impacts on landfalling WNP TCs. Relative to Neutral years, in the September- November quarter
113 during El Niño years, fewer TCs make landfall in the Philippines, unlike the September-
114 November quarter of La Niña years when more TCs make landfall. They attributed reduced
115 Philippine region TC landfalls in El Niño years to the *eastward shift* in mean TC genesis
116 locations, along with a weaker subtropical ridge, whereas they associated increased landfalls in
117 La Niña years with a *westward shift* in mean genesis position and a stronger subtropical ridge.
118 The tendency for stronger and longer-lived TCs in El Niño years over the entire WNP also has
119 been documented in several studies (e.g. Wang and Chan, 2002; Clark and Chu, 2002 and
120 Camargo and Sobel, 2005). Chan and Xu (2009) divided East Asia into sub-regions to examine
121 variations in annual numbers of landfalling WNP TCs. The Philippines was included as part of
122 the south region. They found that landfalling TC frequencies in the south TC region have large
123 interannual (2-8 years), interdecadal (8-16 years) and even multidecadal (16-32 years) variations,
124 with the interannual oscillation being dominant.

125 Kubota and Chan (2009) defined Philippine TC landfall as having occurred when a TC
126 passed through any part of the Philippine region. They identified that interdecadal variability in
127 Philippine TC activity related to ENSO phases and the Pacific Decadal Oscillation (PDO), and
128 showed that low PDO phases decrease Philippine TC frequencies during El Niño years but
129 increase TC frequencies in La Niña years. However, the effect of high PDO phases on Philippine
130 TCs becomes indeterminate in different ENSO phases. Kubota and Chan (2009) also noted that
131 ENSO effects on Philippine TCs occur on both intra-annual and interannual time scales. Zhang

132 *et al.* (2012) examined June-October landfalling TCs in East Asia, during central Pacific (CP) El
133 Niño phases, comparing them with landfalling frequencies during eastern Pacific (EP) El Niño
134 and La Niña phases. They found that Philippine TC landfall numbers decrease in June-October
135 of CP and EP El Niño years, but increase during EP La Niña years.

136 **2. Data and methods**

137 The Philippine TC region is defined here as being located between latitudes 5°N to 25°N and
138 longitudes 115°E to 135°E, shown in Figure 2 (black inset), adapted from Corporal-Lodangco *et*
139 *al.* (2016). The red line in Figure 2 defines the official domain of the Philippine Atmospheric,
140 Geophysical and Astronomical Services Administration (PAGASA) for TC responsibility, and
141 the Philippine region used in this study is chosen to be very similar to that of PAGASA.

142 2.1. Data source

143 There are several TC centers with data applicable to this study: the PAGASA, the Japan
144 Meteorological Agency (JMA), and the Joint Typhoon Warning Center (JTWC). TC records
145 from PAGASA, JMA and JTWC were analyzed and compared, to select the most appropriate TC
146 data archive for this study. The JTWC data, known as the “best track”, includes mean sea level
147 pressure (MSLP) that provides an important advantage over the PAGASA data, and the TC data
148 extend back to 1945, providing the most comprehensive coverage. Chan (2008) also stated that
149 JTWC best track dataset likely gives a better estimate of the number of intense TCs in the WNP.
150 Moreover, from 1951 to 1980, JMA did not include the actual values of maximum sustained
151 winds, instead only the intensity classifications were recorded. JMA based the measurement of
152 maximum sustained winds on wind speeds at 10 meters height sampled for 10 minutes and then

153 averaged. JMA began recording the maximum sustained winds in 1981, but only winds of at
154 least 35 knots were included; lighter winds were set to zero knots.

155 All 1199 TCs that were recorded by JTWC in the Philippine domain for 1945-2011 are
156 included in this study, and the Philippine TC metrics were calculated from the JTWC best track
157 data (http://www.usno.navy.mil/NOOC/nmfc-ph/RSS/jtwc/best_tracks/). Best track data for TCs,
158 at 6-hour intervals, includes: latitude-longitude position, maximum sustained surface wind speed,
159 and minimum central pressure. TCs were counted if any parts of their tracks were within the
160 Philippines TC domain. The TC frequency is the monthly number of TCs that developed or
161 moved into the Philippine domain, and the mean and median provide the climatology. Genesis
162 locations and tracks of TCs also are from JTWC.

163 2.2. SST and ENSO index data

164 The Oceanic Niño Index was obtained from the National Oceanic and Atmospheric
165 Administration Climate Prediction Center (NOAA CPC, <http://www.cpc.ncep.noaa.gov/>). The
166 Oceanic Niño Index is the 3-month running mean of extended reconstructed sea surface
167 temperature (ERSST) Niño 3.4 (5°N-5°S; 120°-170°W) anomalies, relative to the 1981-2010
168 Philippines TC climatology. The World Meteorological Organization (WMO) definitions of El
169 Niño and La Niña conditions were adopted in this study. For El Niño, a three-month running
170 mean of departures from normal SSTs in the Niño 3.4 region is $\geq +0.5^{\circ}\text{C}$. Similarly, La Niña is
171 defined as any three-month running mean of departures from normal SSTs in Niño 3.4 region of
172 $\leq -0.5^{\circ}\text{C}$. A Neutral phase is defined in this study as when the departures from normal SSTs in
173 the Niño 3.4 region fall within the range of $<+0.5^{\circ}\text{C}$ to $> -0.5^{\circ}\text{C}$.

174 2.3. Data Analysis Methods

175 Tropical cyclone activity in a TC region typically is expressed as a set of mean TC measures of
176 frequencies, landfalls, intensities, TC days, earliest and latest season start/end dates, season
177 lengths, genesis locations and tracks, similar to those examined by Ramsay *et al.* (2008) and
178 Goebbert and Leslie (2010). A distinctive aspect of this study is the partitioning of TC activity
179 in the Philippines initially into two seasons: a less active season (LAS) and a more active season
180 (MAS), based on the mean monthly TC counts. The LAS, runs from January 1 to May 31, has
181 less than one TC on average per month whereas all monthly MAS TC averages are above one
182 (Fig. 3). The MAS which is defined in this study to span the period from June 1 to December
183 31. Aside from different TC statistics between these two seasons, there are different
184 thermodynamic and dynamic environmental conditions. A unique aspect is the nature of the
185 transition periods found between the LAS and the MAS. Statistical measures, such as medians,
186 means, interquartile range (IQR) and linear trends were used to define TC activity. Statistics
187 were compiled for 3, 5, 7, and 12-monthly periods, corresponding to the individual quarters,
188 LAS, MAS and the calendar year, respectively. The time series generating these statistics are the
189 monthly TCs counts. Three-year and five-year running means smoothed year-to-year variability.

190 2.4. Quarterly periods

191 TC activity in the Philippines cannot be fully demonstrated using just the LAS and MAS
192 classification, as variations occur in the yearly quarters. Accordingly, quarterly periods, January-
193 March, April-June, July-September, and October-December, also are needed to capture detailed
194 changes in intra-annual TC variations. The TC metrics all vary distinctively when grouped by
195 quarter years. The summer (southwest) monsoon and winter (northeast) monsoon winds

196 influence the motion and tracks of the Philippine TCs and other systems also affect the region,
197 peaking in particular months. To investigate the ENSO impacts, quarter years were used for TCs
198 entering the Philippine domain, and also for landfalling TCs. Quarterly SST indices are used to
199 classify quarters as Neutral, El Niño or La Niña phases of ENSO. The quarterly TC time series
200 were standardized, by subtracting 1981-2010 long-term means from individual TC counts, and
201 dividing the difference by standard deviation (Corporal-Lodangco and Leslie, 2016), to provide
202 representative TC counts for different ENSO phases. The numbers of TCs during Neutral phases
203 are greater than during El Niño and La Niña phases, because the time that ENSO is in Neutral
204 periods dominates that of the El Niño and La Niña phases. This dominance would lead to false
205 claims, without standardization, about the impact of ENSO phases on Philippine TCs. Simply
206 put, the standardized TC counts indicate how many standard deviations an observation is above
207 or below the mean.

208 **3. Results and Discussion**

209 3.1. TC Statistics

210 TC activity is observed in every month in the Philippine region. For 1945-2011, the Philippine
211 region had 1,199 TCs, with an annual long-term mean of 17.9. Tables 1 and 2 summarize TC
212 activity in the Philippine region. The TC monthly count clearly suggests the existence of two
213 distinct seasons, the LAS and the MAS. The LAS represents the relatively quiet phase of TC
214 activity, with the monthly mean and median both less than one TC. In contrast, the MAS has all
215 monthly means greater than one. February has the lowest TC frequency, and January-March is
216 the least active TC quarter. Lander (1994) found similar TC behavior over the entire WNP
217 basin, and related it to less frequent WNP cyclogenesis. In the LAS, the mean and median for

218 TC number are almost equal, with 2.16 and 2.0, respectively. Similarly, the mean and median
219 for TC landfall are 0.96 and 1.0, correspondingly. The monthly MAS TC median ranges from 1
220 to 3, and the MAS accounts for ~89% of the mean annual numbers of TCs affecting the
221 Philippines. The MAS TC mean is 15.73 and the median is 15. The mean for TC landfall is 5.55
222 and the median is 6.0. The peak months are July-September, with August the most active month
223 of the year (Fig. 3). Neumann (1993) indicated that the WNP peak TC season includes summer
224 and fall, encompassing the MAS.

225 The annual number of TCs ranges from a minimum of 10 in 1946 to a maximum of 28 in
226 1993 (Fig. 4a). Comparing the annual number of TCs with the number of TCs during the LAS
227 and MAS, the mean annual total is dominated by TCs from the MAS. The LAS TC IQR is 2, and
228 the landfall IQR also is 2. The MAS has a TC IQR of 4.5 and the landfall IQR is 3. These LAS
229 and MAS findings are consistent with Gray (1985), confirming the global frequency of TC varies
230 on an interseasonal time scale with alternating active and inactive periods. The linear trend lines
231 for the LAS, MAS and annual TCs in Figure 4a all suggest increases in the numbers of TCs for
232 the period 1945-2011. However, when the satellite era, defined here as beginning in 1980 and
233 ending in 2011 because the dataset used in the study is only available until 2011, is considered
234 separately (Fig. 4b), it was found that there is a decreasing trend in both the MAS and the annual
235 numbers of TCs. There is no change in the trend of LAS number of TCs during the satellite era.
236 The decreasing trend in the annual numbers of TCs is consistent with the trend in WNP TCs
237 (Moon *et al.*, 2015).

238 3.2. Season start/end dates and lengths

239 The year-to-year variation of TC activity in the Philippine region is shown in Fig. 5. The TC
240 frequency over the region varies on an interseasonal time scale, with alternating LAS, quiescent

241 periods, and MAS. The season start date is the day during a season when the first TC is located
242 in the Philippine region. The season end date is defined as the day when the last TC is inside the
243 domain. The season start date is the value at the lowest tip of the bar, and the season end date is
244 the value at the upper tip of the bar in Fig. 5. The mean start and end dates for the LAS are
245 March 6 and May 5, respectively, whereas for the MAS, the mean start and end dates are June 20
246 and December 10, respectively. The season length is from the first day there is a TC in the
247 Philippine region to the last day there is a TC in the region. The annual season length is the total
248 length of blue and red bars. For the entire period of 1945-2011, there is an increasing trend in
249 the LAS length whereas a decreasing trend is seen in MAS length. Typically, the LAS last TC
250 days are within the season period. However, there are years when LAS end dates occur in June,
251 which is part of the MAS. After the LAS ends, it takes a mean of 1.5 months and a median of 1.2
252 months before the MAS commences. The gap between the LAS and MAS is as brief as 2 days
253 and as long as ~5 months. The gap between the two seasons is referred to here as the
254 “quiescent” period that, as far as the authors are aware, has never previously been mentioned.
255 The quiescent periods confirm the distinct division of the year into two seasons, the LAS and the
256 MAS. After the MAS, the quiescent period again is observed and is much longer than the
257 quiescent period occurring after the LAS. It ranges from 6 days to just over 7 months (Table 1),
258 with a mean and a median of ~3 months.

259 Figures 6-7 describe the characteristics of LAS and MAS in detail. A 5-year running
260 mean is applied to all analyses to smooth the short-term fluctuations and highlights the long-term
261 trend. The yearly LAS length, as shown in Figure 6a, is the length of the blue bars and varies
262 widely from zero days, in years when no TCs affect the Philippines, to 155 days in 1953. The
263 LAS length is much shorter than the MAS with a mean length of 52 days. The LAS median

264 length is 38 days. The 25th and 75th percentiles of the LAS length are 3.5 and 95.5 days,
265 respectively, and the IQR is 92 days. There are 11 LAS years with no TCs. The LAS TCs begin
266 to form in or enter the Philippine domain as early as January 2nd and as late as May 31st. The
267 mean LAS start date is March 4th and the median is February 28th. However, the LAS ends as
268 early as January 11th and as late as June 6th. The mean LAS end date is May 6th and the median
269 end date is May 20th. Interannual variability is very high in the LAS length time series. The
270 LAS length has two maxima in 1955 and 1987 and a minimum in 1972 (Fig. 6b). The earliest
271 LAS start date from the 5-year running mean occurs in 1986, and the latest is in 1979 (Fig. 6c).
272 The earliest LAS end date is in 1972 and the latest is in 1997 (Fig. 6d). The trend lines for the
273 LAS length and the 5-yr running mean of LAS length both show an increasing trend during the
274 period 1945-2011, and the season start and end dates becoming later (Fig. 6a-d). When only the
275 satellite era is considered for LAS lengths (Figs. 6e, f), the trend reverses because the season
276 start dates are later as seen in the substantially sharper trend slope (Fig. 6g). The trend line for
277 the season end dates indicates an almost flat trend (Fig. 6h).

278 For the MAS (Fig. 7a), the earliest start date is June 1st and the latest is July 30th, with
279 June 20th and June 18th as the mean and median start dates, respectively. The MAS ends as early
280 as September 10th and as late as January 5th the following year, with a mean (median) MAS end
281 date of December 10 (December 16th). The mean MAS length is 174 days and the median is
282 176 days, with no TC-free years. The MAS length has a minimum of 96 days in 2002 and a
283 maximum of 209 days in 1950. The 25th, 50th and 75th percentiles of MAS length are 161.5, 176,
284 and 189.5 days, respectively. The IQR MAS length is 28 days. The 5-year running mean of the
285 MAS length is shown in Fig. 7b. The longest MAS lengths are in 1950 and 1987, and the
286 minima occur in 1955, 1971 and 2000. Similarly, the maxima in the 5-year running means of

287 start dates (Fig. 7c) and end dates (Fig. 7d) indicate the latest start/end dates, whereas the minima
288 indicate the earliest start/end dates. Unlike the LAS, which has an irregular annual season
289 length, the MAS length varies less (Fig. 7a), consistent with the IQR (Table 1). Both 1945-2011
290 and 1980-2011 periods indicate a decreasing trend in MAS lengths (Figs. 7a,b and 7e,f). The
291 MAS start dates in 1945-2011 period shows a flat trend (Fig. 7c). However, the trend in MAS
292 start dates in satellite era appears to be increasing, which means the season start dates are
293 becoming later or a later onset of the MAS (Fig. 7g). For 1945-2011 period, the trend line in the
294 MAS end dates indicates a slight decreasing trend, which means the MAS ends a little earlier
295 (Fig. 7d). However, in the 1980-2011 period, the trend line suggests a more rapid decrease,
296 which means the season end dates are becoming much earlier (Fig. 7h). The slopes of the trend
297 lines in MAS length and start/end dates are considerably sharper during the satellite era.

298 3.3. TC days

299 A TC day has at least one TC in the Philippine domain. A TC day can vary from an hour up to
300 24 hours. The number of LAS TC days ranges from 0 to 25 days (Fig. 8a). The LAS mean TC
301 days is 9 and the median is 8. The 25th and 75th percentiles of LAS TC days are 2.5 and 13.5
302 days, respectively, with an IQR of 11 days. The number of MAS TC days far exceeds LAS TC
303 days. The MAS TC days range from 22 to 102 (Fig. 8b). The MAS mean TC days is 55 and the
304 median is 51. The 25th and 75th percentiles of MAS TC days are 44 and 64 days, respectively,
305 and an IQR of 20 days. The annual TC days count is the sum of LAS and MAS TC days (Fig.
306 8c). The annual TC days range from 23-114, the mean TC days is 64, and the median is 61 days.
307 The 25th and 75th percentiles of annual TC days are 50 and 76 days, with an IQR of 26 days.

308 3.4. Landfalling TCs

309 TC landfall is defined in this study as occurring when the TC circulation center reaches the
310 Philippine coastline. The Philippine archipelago occupies just 6% of the WNP and, of 1199 TCs
311 that occurred during the study period, many (435, or 36%) struck the Philippines and more came
312 close to making landfall. The annual long-term mean of TC landfalls in the Philippine domain is
313 6.5, with a median of 7.0. Quarterly statistics for TC counts and landfalls are in Table 2. July-
314 September has more TC activity, with 48.8% of the TC count. In July-September, the
315 environmental elements necessary for TC genesis are more likely to be present. October-
316 December has the largest percentage of quarterly landfalling TCs, with 53% of October-
317 December TCs, and 45% of total TC landfalls. Although July-September has the highest mean
318 TC occurrence in the Philippine domain, the October-December season has the greatest mean
319 number of landfalling TCs, attributable to the weak monsoon westerlies, strong trade wind
320 easterlies and an intense subtropical anticyclone north of the Philippines, producing more TCs
321 with straight line tracks and thereby increasing the likelihood of TCs making landfall in the
322 Philippines. TCs are more intense when straight-moving TCs remain at lower latitude. There are
323 more recurving TCs in July-September, coinciding with the peak of summer (southwest)
324 monsoon, weak trade wind easterlies, a deep monsoon trough and a subtropical anticyclone well
325 northeast of the Philippines, causing the TC tracks to recurve and thereby reducing landfalling
326 TCs compared with the mean number of October-December landfalls. The conditions conducive
327 for straight-moving and recurving TCs are cited in the work of Chen *et al.* (2009).

328 3.5. Intensity

329 The TC intensity categories used in this study are based on observed maximum sustained winds
330 near the center, at 6-hourly intervals. Here, Philippine TC activity comprises three TC
331 categories, namely, tropical depression (TD), tropical storm (TS) and typhoon (TY). A TD has
332 maximum sustained winds from 9.73 m s^{-1} to 17.9 m s^{-1} , a TS has sustained winds from 18.0 m s^{-1}
333 to 32.78 m s^{-1} , and a TY has sustained winds exceeding 32.78 m s^{-1} . Figure 9a shows quarterly
334 TC frequency for the three intensity categories. Of these, TDs are least likely in each quarter,
335 with ~16% of the total TCs. The 345 TSs during 1945-2011, were ~29% of all TCs, and were the
336 second most frequent TC intensity category in each quarter. About 55% of Philippines TCs are
337 TYs with an annual average of 10, a high percentage compared with other TC basins (e.g.
338 NOAA Hurricane Research Division 2014). July-September has the highest frequency of TYs
339 but, in October-December, TYs are most likely to occur, with ~ 59% of October-December TCs
340 reaching TY intensity. Figure 9b shows quarterly landfall intensities. Of 435 total TC landfalls,
341 103 (24%) are TDs, 132 (30%) are TSs and 201 (46%) are TYs. Most (~36%) of January-March
342 TCs are TDs. The majority of the April-June, July-September and October-December TCs are
343 TYs. October-December has the highest frequency of landfalling TCs and mostly of TY
344 intensity.

345 The interannual variability of the Philippine TC intensities has been examined. Figure 10
346 shows the annual average lifetime maximum intensity (LMI; Kossin *et al.*, 2014) of all TCs (Fig.
347 10a) and the annual average LMI of landfalling TCs (Fig. 10b). Both all TCs and landfalling
348 TCs annual average LMI imply a slight decreasing trend during the satellite era. The results
349 suggest a weakening trend in the maximum sustained winds of Philippine TCs. This observation
350 is not consistent with most climate change predictions of an increase in the frequency of intense

351 TCs in a warming world scenario. However, Chan (2009) found that not all TC basins respond
352 uniformly under climate change. Hence, further research in the future is needed to resolve such
353 conflicting results.

354 3.6 Variability

355 Interannual and interdecadal variations in the frequency of Philippine TCs and landfalling TCs
356 for 1945-2011 are shown in Fig. 11. Since 1945, large amplitude variations are apparent in the
357 time series of the annual number of TCs. In Fig. 11a, the green line is the long-term mean of
358 TCs in the Philippine domain whereas, the pink line is the 3-year running mean, and the orange
359 line is the long-term linear trend line. The 3-yr running mean preserves short-term fluctuations,
360 such as the interannual variability. Years with 3-year running means below the long-term mean
361 of 17.9 are in the below mean period (BMP), and all years with above mean TCs are in the above
362 mean period (AMP). The BMP (yellow areas) ranges from 1 to 10 years, whereas the AMP
363 (blue areas) ranges from 1 to 15 years. Significant variations from the mean occur in the time
364 series, including long-term cycles in 1954-1963 and 1982-1996 periods for BMP and AMP,
365 respectively. Short-term cycles (1945-1951, 1954-1963, 1966-1970, 1973, 1976-1977, 1981,
366 1997-1998, 2001-2002, 2006-2007, and 2009-2011 for BMP; 1952-1953, 1964-1965, 1971-
367 1972, 1974-1975, 1978-1980, 1982-1996, 1999-2000, 2003-2005, and 2008 for AMP) also are
368 evident in the 3-year TC count running means. Figure 11b is similar, but for annual landfalls in
369 the Philippine domain, and the long-term mean is 6.5 (green line). The landfall time series also
370 shows year-to-year variability. The AMP ranges from 1 to 10 years and the BMP from 2 to 15
371 years. The Philippines is influenced by environmental factors identified by Chan (2005), who
372 attributed interannual variability in WNP TC activity to changes in planetary-scale flow patterns.
373 SST changes in the central and eastern equatorial Pacific are associated with ENSO. TC

374 variability also is related to the quasi-biennial oscillations phases due to its modification of the
375 vertical wind shear. Interdecadal variability in annual TC and landfalling TC counts are related
376 to PDO but also to the location, strength and size of the North Pacific subtropical high. The trend
377 lines for all TCs and landfalling TCs during the 1945-2011 period both indicate increasing trend.
378 When only the satellite era is considered, the trend in the numbers of all TCs reverses (Fig. 12a)
379 which is consistent with the trend in the frequency of WNP TCs (Moon *et al.*, 2015). Figure 12b
380 also shows decreasing trend in the number of landfalling TCs.

381 The interannual variability and trends of the annual average latitude of annual average
382 LMI for all TCs and landfalling TCs during the satellite era have also been investigated. Figure
383 13a shows the annual average latitude of the annual average LMI for all TCs where the trend line
384 implies a poleward migration of LMI annual average latitude, consistent with the observed
385 poleward shift of intense storms in WNP (Kossin *et al.*, 2014 and Moon *et al.*, 2015). But when
386 the interannual variability of landfalling TCs is examined, the trend reverses. There is a slight
387 negative trend, which suggests that the annual average latitude of LMI of landfalling TCs is
388 slightly going equatorward (Fig. 13b).

389 3.7. Genesis and tracks

390 Over 80% of TCs in the WNP form between the Equator and within 20°N (Frank and Roundy,
391 2006). Briegel and Frank (1997) used the studies of Gray (1968, 1979, 1985) to define the
392 climatological conditions necessary for tropical cyclogenesis. These include sea surface
393 temperatures above ~26.5°-27.0°C coupled with a relatively deep oceanic mixed layer, cyclonic
394 low-level relative vorticity and planetary vorticity, weak (preferably easterly) vertical wind
395 shear, and organized deep convection in an area of large-scale ascending motion and high

396 midlevel humidity. In the Philippine region, these necessary conditions are satisfied all year,
397 especially in the MAS, so TCs can form in all months.

398 Philippine TC genesis locations and tracks exhibit regular monthly spatial progression.
399 Genesis locations are the latitude-longitude positions where a TC is initially recorded by JTWC,
400 even if it is outside the defined domain at the time of genesis. Depending on time of the year,
401 genesis locations range widely from 2.5°N to 27.5°N, as far west as 107°E, and eastward to
402 179.5°E. In January-March, TC genesis locations are confined from 3°N to 16°N, and from
403 123°E to 179.5°E (Fig. 14a), and no TCs develop in the western side of the Philippines or in the
404 South China Sea. Both large-scale and synoptic-scale circulations influence track type (Harr and
405 Elsberry, 1991). Most (~76%) tracks are straight moving, although some recurve (24%, Fig.
406 14e). Like genesis locations, TC tracks are confined to lower latitudes, making landfall at
407 <23°N. Some TC tracks reach the South China Sea. April-June is marked by an increase
408 (~250%) in the genesis numbers illustrated in Fig. 14b as denser genesis points. Genesis
409 locations extend farther north to 22°N, about 6° latitude higher than January-March genesis
410 locations but the southern boundary does not change. Their longitudinal extent is <166°E and
411 some TCs form in the South China Sea, reaching as far west as 109°E. As the genesis locations
412 move north, the tracks extend up to 46°N (Fig. 14f). TC formation increases rapidly in July-
413 September (a 213% increase over April-June), the quarter with the highest frequency of TC
414 genesis (Fig. 14c). The latitudinal and longitudinal extent of TC genesis also is greatest in July-
415 September with the genesis locations extending farthest north, to 27°N, about 5°latitude beyond
416 April-June, and its longitudinal extent is from 111°E to 177°E, 11°longitude farther eastward
417 than April-June. Again, the southern limit of the genesis locations is similar. July-September TC
418 tracks extend farther northeast, beyond 55°N (Fig. 14g). TC tracks also reach main land China.

419 The October-December quarter has reduced TC genesis relative to July-September (Fig. 14d).
420 TC genesis locations in October-December reach almost to 25°N and extend from 107°E to
421 178°E. TCs in October-December have both recurving and straight-moving tracks and reach
422 53°N (Fig. 14h). Quarterly genesis locations of Philippine landfalling TCs are in Figs. 14i-14l.
423 About 36% of Philippine region TCs make landfall, with fewer genesis points compared with
424 Figs. 14a-14d. Landfalling TCs, depending on the quarter season, have a mean westward to
425 west-north-westward direction, but straight moving landfalling TCs especially those in lower
426 latitudes originating from South China Sea can move eastward (Figs. 14m-14p). Genesis
427 locations of landfalling TCs have narrower latitudinal and longitudinal bounds, closer to the
428 Philippines, particularly in July-September.

429 3.8. The role of ENSO

430 WNP TC activity has interannual variability (Landsea 2000), linked to ENSO (e.g., Chan 1985,
431 Dong 1988, Lander 1993, 1994) and is attributed to the longitudinal shift of the Walker
432 circulation (Chan 1985, Wu and Lau, 1992). This is not necessarily the case for the entire WNP.
433 For example, in the South China Sea, Goh and Chan (2010) found no clear link between TC
434 frequency and El Niño and La Niña phases. Gray (1968) suggested that monthly and seasonal
435 variations in TC activity are related to large-scale deviations from climatology. The Philippines,
436 situated in the WNP, is strongly affected by ENSO. A wavelet analysis performed in this study
437 confirms that ENSO is the major global mode influencing Philippine TC activity (Fig. 15a). The
438 most significant mode is the approximately 2-7 year ENSO signal, as shown in the global
439 wavelet spectrum (Fig. 15b). There is a suggestion that ENSO is more active every 20 years, in
440 the 1950s, 1970s, and 1990s. There are 2 other peaks in the global power spectrum, at
441 approximately 10 and 30 years, corresponding to decadal and multidecadal periods. However,

442 they are not significant at the 95% confidence level, likely due to the limited length of the time
443 series. Notably, Kubota and Chan (2009) found decadal and multidecadal signals in their
444 wavelet analysis of a much longer Philippine TC time series, from 1902-2005.

445 The seasonal variability of the Philippine TC activity during Neutral, El Niño and La
446 Niña phases of ENSO is shown in Fig. 16, adopted from Corporal-Lodangco *et al.* (2016). The
447 green, red and blue bars represent Neutral, El Niño and La Niña phases, respectively. The
448 standardized quarterly TC counts during each ENSO phase are in Fig. 16a. Remarkably,
449 Philippine TC activity during the Neutral phase, relative to El Niño and La Niña phases, is
450 always above normal from January-March to October-December. Below normal TC activity
451 occurs all year during El Niño phases. Consistent with Chan (2000), in La Niña events, April-
452 June and October-December have above normal TC activity unlike the below normal TC activity
453 in January-March and July-September. Philippine TC landfall numbers were examined for
454 different ENSO phases (Fig. 16b). TC landfalls in Neutral phases are above normal in all
455 quarters. Although below normal landfalling TCs occurs all year during El Niño phases, there is
456 a notable marginally negative TC landfalls in July-September. The sharp drop in TC landfalls
457 during October-December, in El Niño episodes, supports the findings of Wu *et al.* (2004).
458 January-March and July-September have below average La Niña phase TC landfalls, contrasting
459 with above normal landfalls in La Niña April-June and October-December quarters. High TC
460 landfall counts in October-December also match the results of Wu *et al.* (2004). Chan (1985,
461 2000) and Wang and Chan (2002) emphasized large-scale climate factors, such as ENSO, in
462 determining genesis and preferred TC tracks, due to monsoon trough displacement, and changes
463 in vertical wind shear near the dateline (Lander 1994, 1996; Clark and Chu, 2002) on landfalling

464 TCs. They also suggest that ENSO impacts on WNP TCs depend on the strength of ENSO
465 phases. For example, suppression of landfalls is greater in strong El Niño years.

466 **4. Conclusions**

467 A detailed climatology was generated of the Philippine TC region, which has the highest annual
468 median number of TCs (17) of any TC sub-basin on the globe. Two distinct Philippine TC
469 seasons, the LAS (January 1- May 31) and MAS (June 1-December 31) are readily identified.
470 The LAS and MAS seasons differ notably in a number of key TC metrics, such as TC frequency
471 and landfall counts, season length, TC days, TC genesis locations, and tracks. Large amplitude
472 variations are present in annual TC numbers, with the LAS being relatively inactive, and
473 February is the least active month of the entire calendar year. In the Philippine domain, January-
474 March has the lowest quarterly TC frequency, and TCs in February and March generally also are
475 weaker. More TCs affect the Philippine region during the MAS because the environmental
476 conditions favor TC development. July-September is the most active quarter and August is the
477 most active month in the Philippine domain; both are periods when TC activity in the entire
478 WNP is greatest. Observed LAS, MAS, and the quiescent periods separating the LAS and MAS,
479 result from interseasonal large-scale circulation variability driving changes in TC activity.

480 Through the year, TC genesis locations and tracks move northward, reaching their
481 highest latitudes during July-September, then regress in October-December. Straight-moving
482 TCs are confined to lower latitudes, whereas recurving TCs occupy relatively higher latitudes
483 and follow a northeast direction after recurving. The most common track is straight-line, and is
484 observed year-round. Over 60% of TCs have long, straight track originating farther east from the
485 Philippine region. Thus they have longer duration times over warm tropical SSTs and, because

486 of climatologically low vertical wind shear, are more likely to become TYs than TCs that recurve
487 northeastward.

488 This study showed that ENSO is the dominant global mode influencing Philippine TC
489 activity, confirmed by a wavelet analysis. Philippine TC activity is above normal in Neutral
490 phases. The impact of El Niño events on Philippine TCs is to reduce the number of TCs year-
491 round, whereas La Niña events support above normal TC activity in April-June and October-
492 December, and below normal activity in January-March and July-September. The difference in
493 TC genesis locations during El Niño and La Niña phases affects the numbers of TCs entering the
494 Philippine domain. The eastward displacement of cyclogenesis during El Niño phases typically
495 causes TCs to recurve or dissipate before entering the Philippines, with fewer TCs entering the
496 region. The frequency of TC landfalls in the Philippine domain also varies with ENSO phases.
497 Above normal TC landfalls occur in Neutral phases. In El Niño years, TC landfalls are below
498 normal, although only marginally in July-September. The January-March and July-September
499 quarters of La Niña phases have below normal TC landfalls but above normal in April-June and
500 October-December. The above normal numbers of TCs and landfalling TCs in April-June and
501 October-December is attributed to the significant westward shift in mean genesis position of the
502 Philippine TCs during La Niña phases (Corporal-Lodangco *et al.*, 2016), and the presence of a
503 strong subtropical ridge generating a steering flow toward the west northwest. Other dynamics in
504 WNP are responsible for the variability of Philippine region TC activity. For example, TCs
505 preferentially occur in convective phases of the Madden-Julian Oscillation (e.g., Liebmann *et al.*,
506 1994).

507 Finally, further analysis is required to explain the quiescent periods identified between
508 the LAS and MAS and conversely. This phenomenon will be investigated as part of continued

509 research on Philippine TCs, specifically attempting to identify the possible reasons for the
510 existence of the quiescent period, and examining the heaviest rainfall events resulting from
511 Philippine TCs as part of an extreme events study.

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516 advice.

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626 **List of Tables**

627 Table 1. The metrics of the Philippine TC activity during the two distinct seasons: less active
 628 season (LAS) and more active season (MAS).

Tropical Cyclone Metrics	Less Active Season	More Active Season	Tropical Cyclone Metrics	Less Active Season	More Active Season
Season TC Mean	2.16	15.73	Season Earliest Start Date	1/2	6/1
Season TC Median	2	15	Season Latest Start Date	5/31	7/30
Season Landfall Mean	0.96	5.55	Season Mean Start Date	3/4	6/20
Season Landfall Median	1	6	Season Median Start Date	2/28	6/18
Season TC Number IQR*	2	4.5	Season Earliest End Date	1/11	9/10
Season Landfall IQR*	2	3	Season Latest End Date	6/6	1/5
Quiescent Period Mean	1.5 months	2.83 months	Season Mean End Date	5/6	12/10
Quiescent Period Median	1.2 months	2.85 months	Season Median End Date	5/20	12/16
Quiescent Period Minimum	2 days	6 days	Season Mean Length	52 days	174 days
Quiescent Period Maximum	5 months	7 months	Season Median Length	38 days	176 days
Quiescent Period IQR*	1.5 months	3 months	Season Length IQR*	92 days	28 days
Median TC Days	8 days	51 days	TC Days IQR*	11 days	20 days

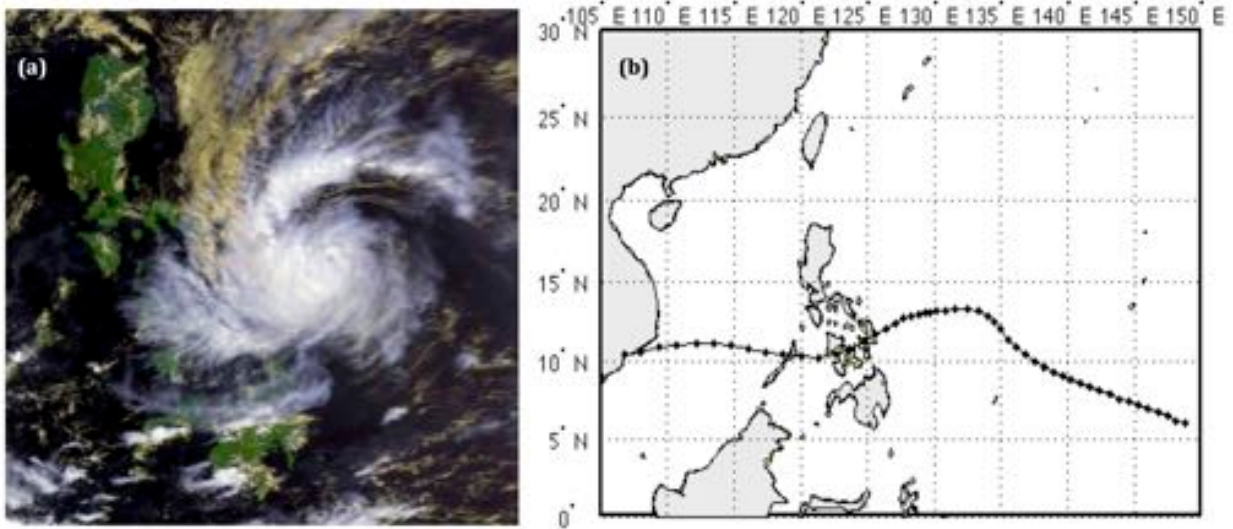
629

630 Table 2. The statistics of Philippine tropical cyclones (TCs) and landfalls are presented in quarters.
 631 ALL is the sum of 4 quarters. The bottom row is the total and percentage of all tropical cyclones
 632 and landfalls for the period 1945-2011. The numbers in red are the maximum values.

Quarter	Number of TCs	Percentage of TCs	Number of Landfalling TCs	Percentage of Landfalling TCs
January-March	54	4.5%	25	46%
April-June	187	15.6%	70	37%
July-September	585	48.8%	143	24%
October-December	373	31.1%	197	53%
ALL	1199	100%	435	36%

633

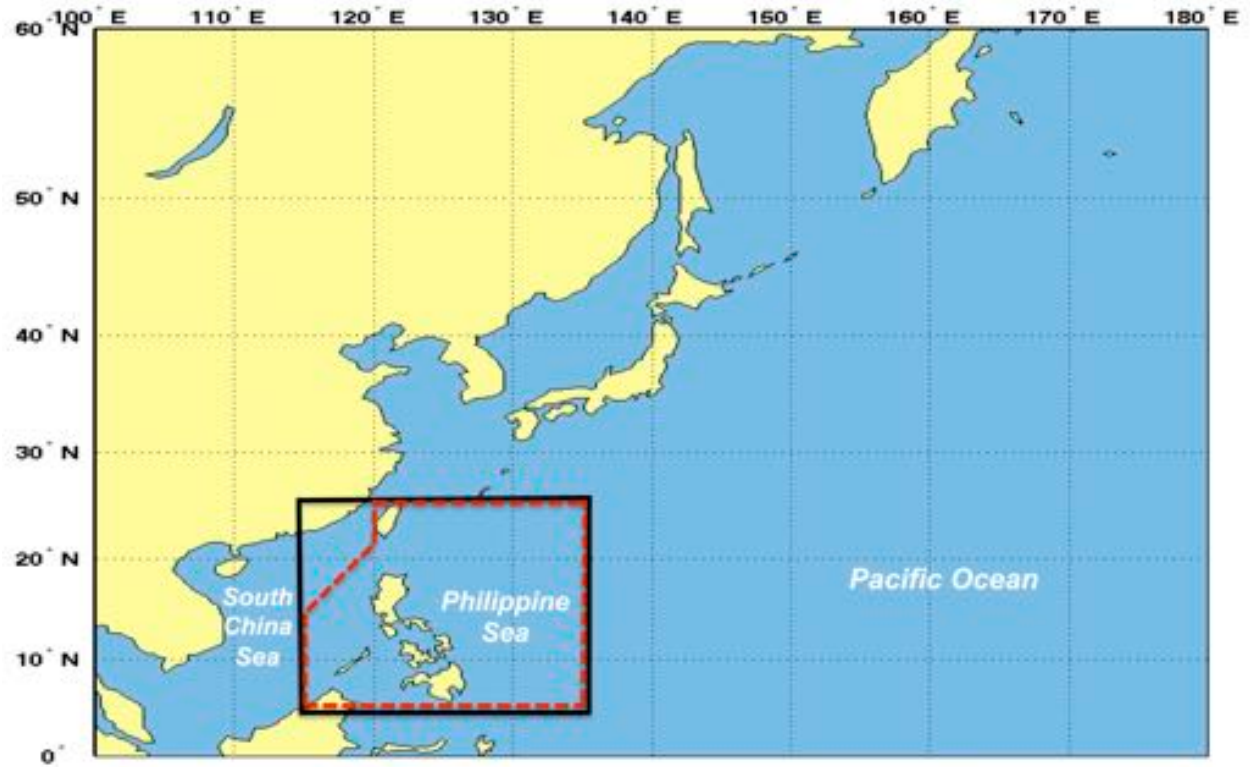
634 **List of Figures**



635

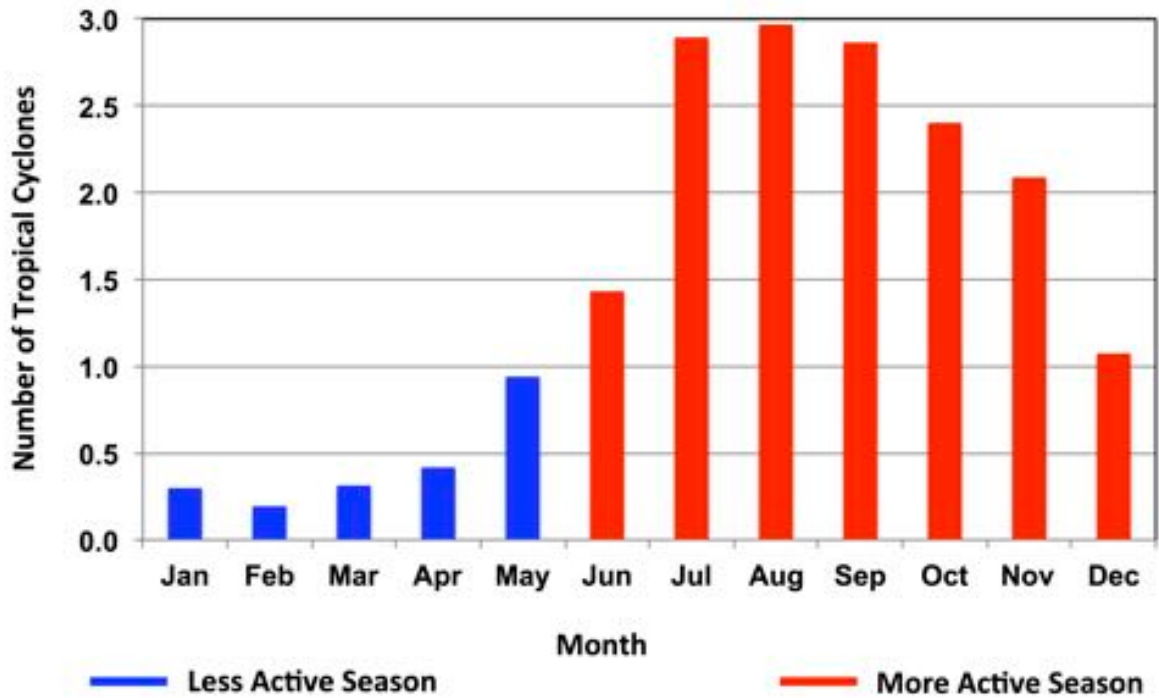
636 Figure 1. (a) Tropical Storm Thelma just before landfall in the Philippines on November 4, 1991.

637 Source: <http://www.noaa.gov> (b) Track of Tropical Storm Thelma (6-hourly positions).



638

639 Figure 2. The study region covers latitudes 5°-25°N and longitudes 115°-135°, shown as the
 640 black inset and referred to here as the Philippine region, or domain. The irregular box (red
 641 broken line) shows the Philippine Atmospheric, Geophysical and Astronomical Services
 642 Administration (PAGASA) area of responsibility for tropical cyclones (TCs). PAGASA monitors
 643 and forecasts TCs that affect the Philippines. This figure is adopted from Corporal-Lodangco *et*
 644 *al.* (2016).



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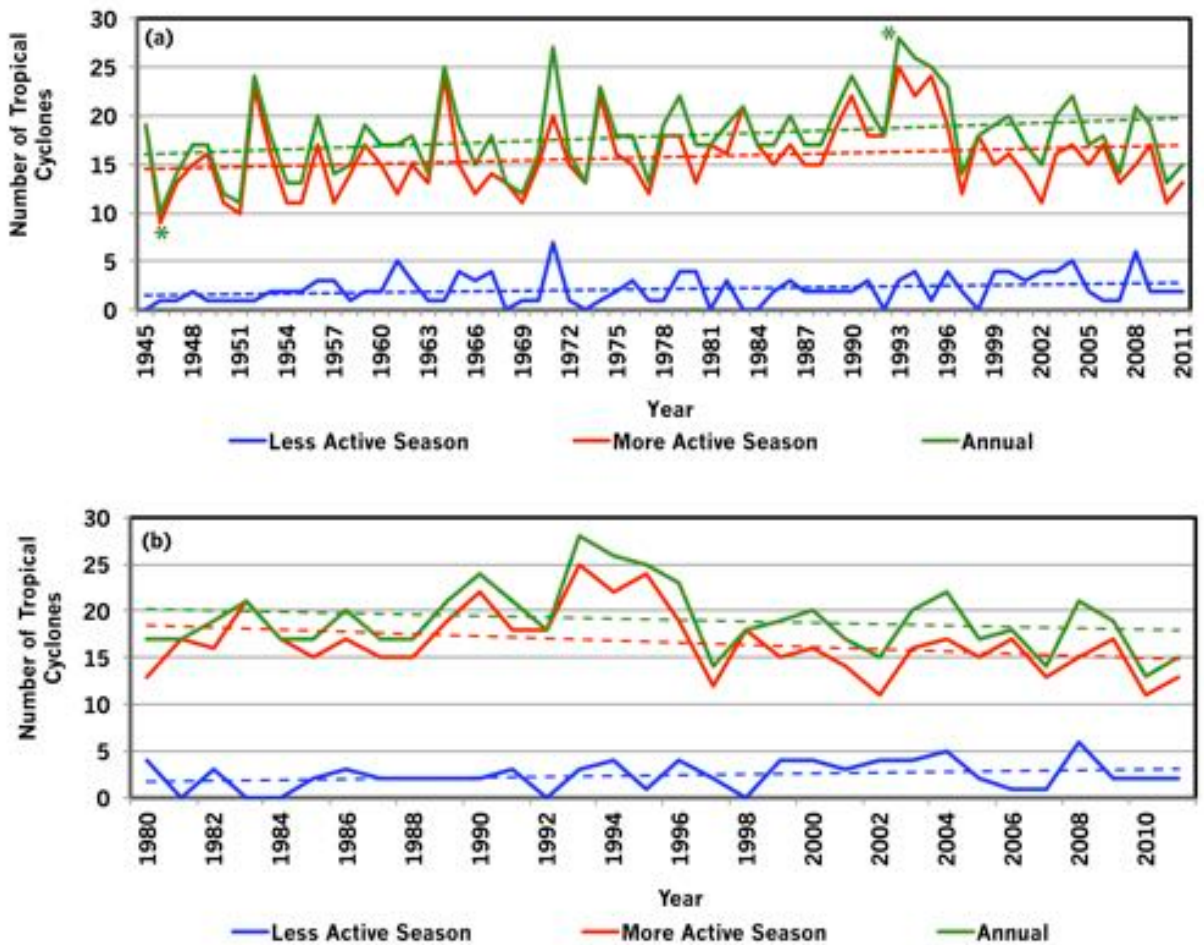
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Figure 3. Mean monthly tropical cyclone (TC) counts in the Philippine region. The less active season runs from January 1 to May 31, representing the relatively quiet phase of tropical cyclone activity in the Philippine domain, during which there is a mean of less than one TC per month. The more active season is from June 1 to December 31, with all monthly means exceeding one.



651

652 Figure 4. (a) Time series of annual number of tropical cyclones (green line) plotted against the

653 less active season (LAS – in blue line) and the more active season (MAS - in red line) TCs

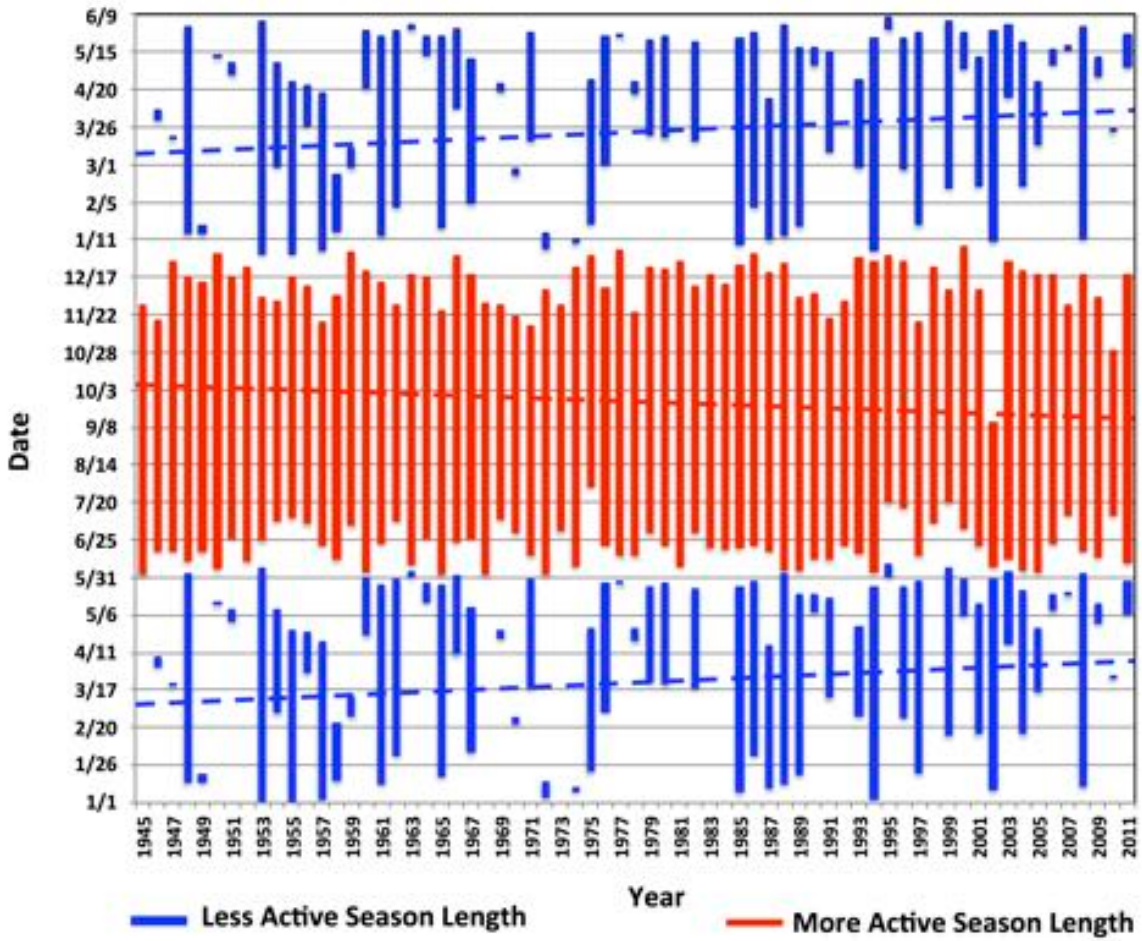
654 during the period 1945-2011. The MAS dominates the mean annual TC numbers, accounting for

655 about 89% of the total. The linear trend lines are shown as dashed lines. The asterisks are the

656 minimum and maximum number of TCs during the period. (b) Same as 4a but for the satellite

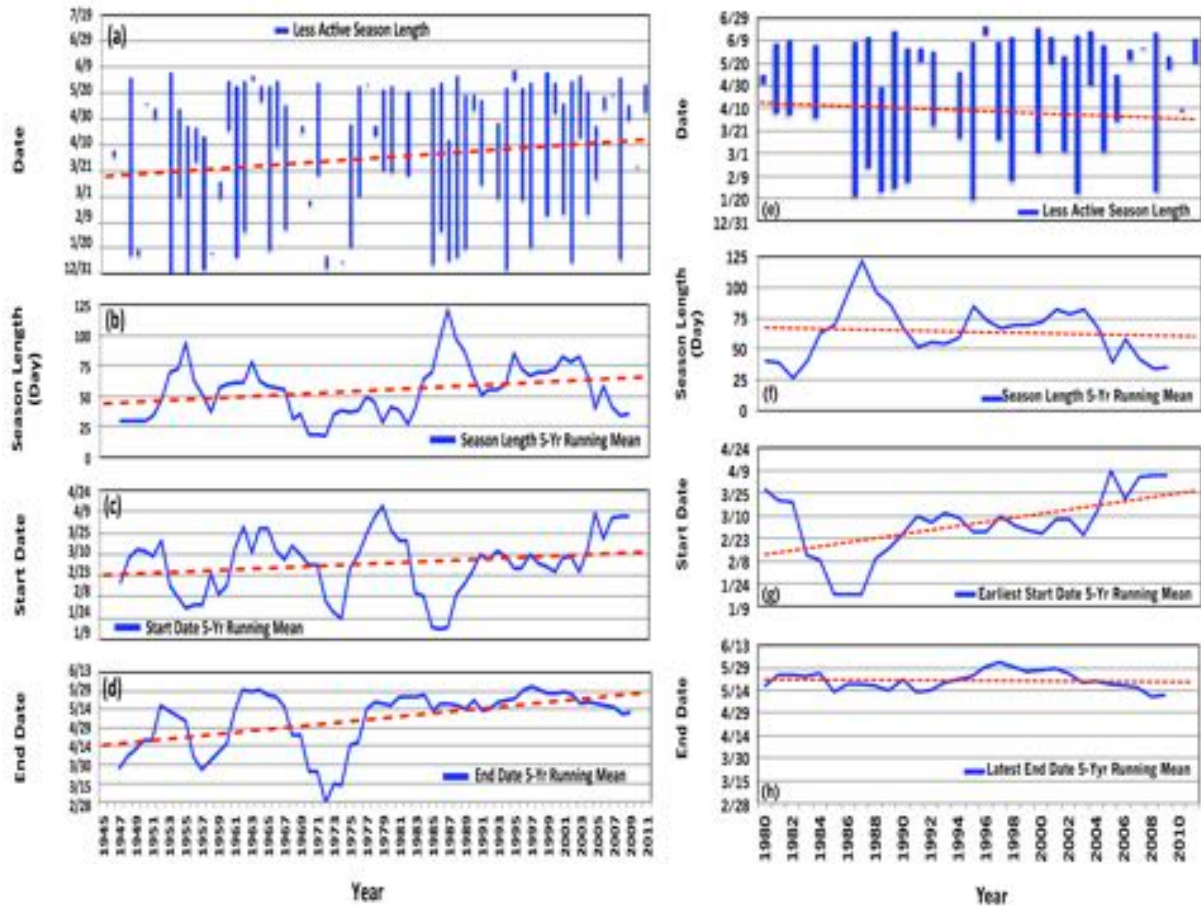
657 era, defined here as 1980-2011.

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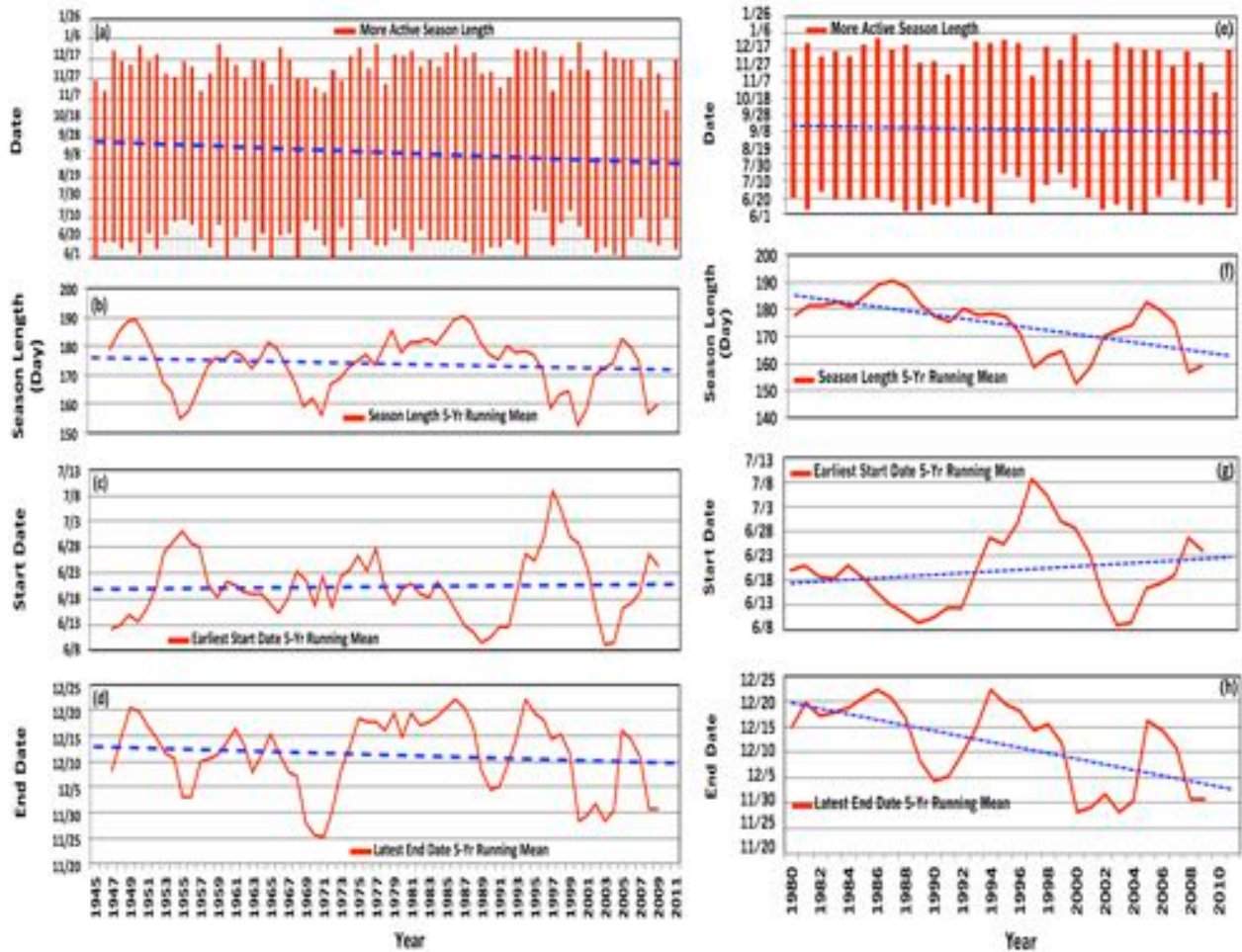
659

660 Figure 5. The year-to-year TC activity in the Philippine region showing alternating less active
 661 season (LAS), quiescent periods, and more active season (MAS). The blue and red bars denote
 662 the yearly season length of the less active season and the more active season, respectively. The
 663 season start date (lower tip of the bar) is the day when the first TC is within the Philippine
 664 domain. The end date of the season (upper tip of the bar) is defined as the day when the last TC
 665 is inside the domain. The season length is end date minus start date. Dashed lines are linear trend
 666 lines.



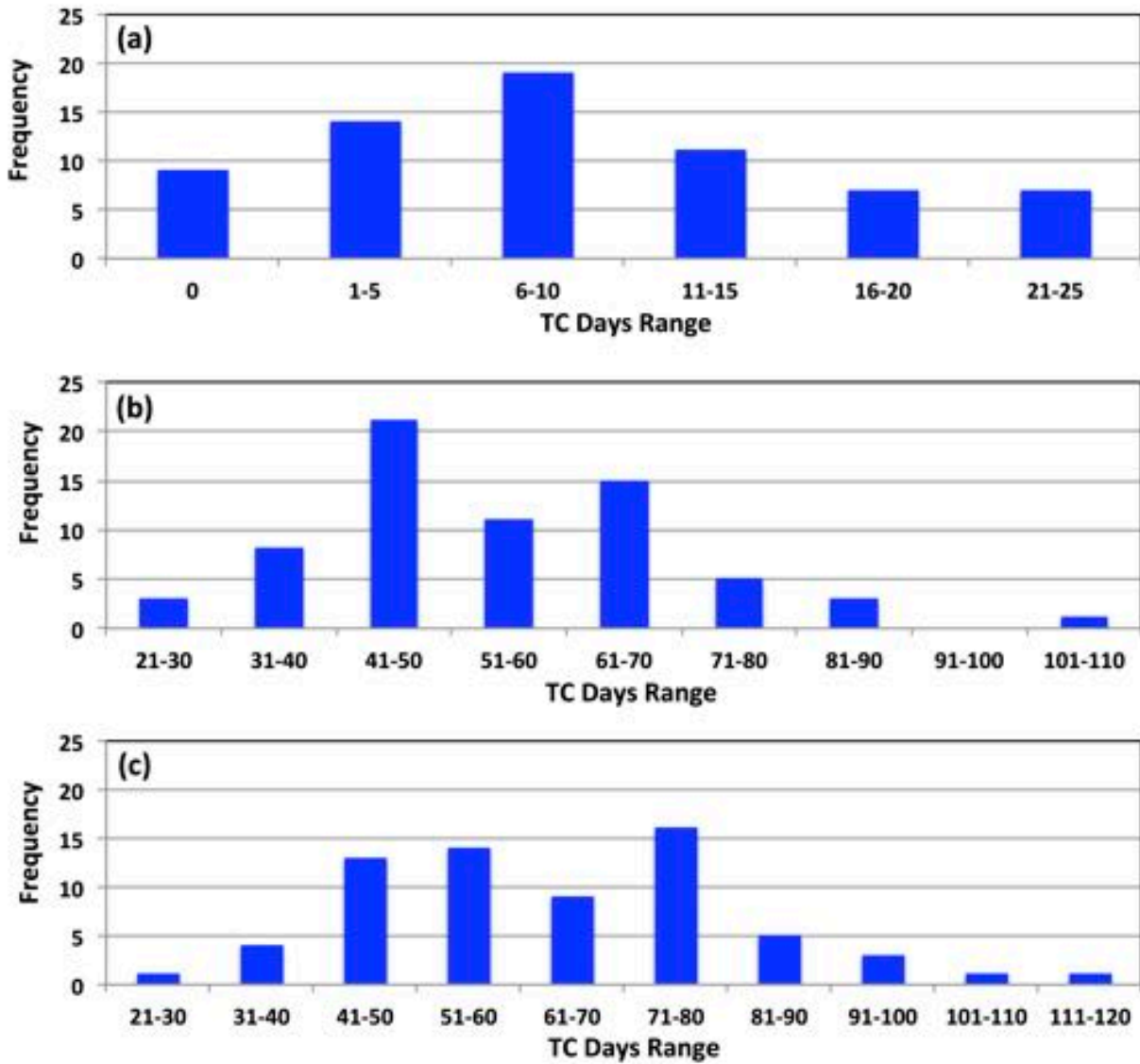
667

668 Figure 6. (a-d) The less active season (LAS) time series during the period 1945-2011. (a) The
 669 length of LAS is the length of the bar in each year, (b) the 5-year running mean of LAS length,
 670 (c) the 5-year running mean of LAS earliest start date, and (d) the 5-year running mean of LAS
 671 latest end date. Dashed lines are linear trend lines. (e-h), same as (a-d), but during the satellite
 672 era 1980-2011.



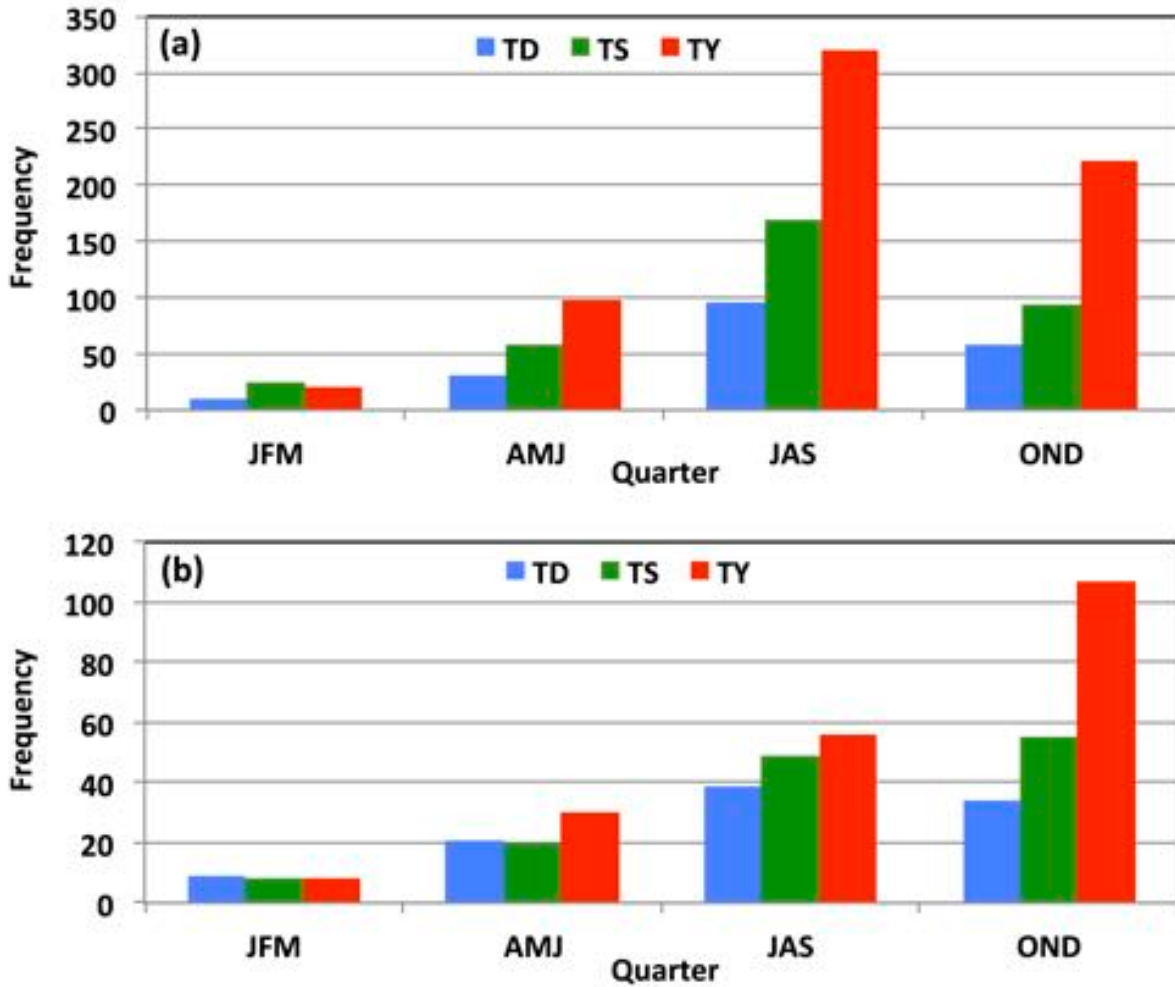
673

674 Figure 7. (a-d) The more active season (MAS) time series during the period 1945-2011. (a) The
 675 yearly MAS length as represented by the length of each bar, (b) the 5-year running mean of MAS
 676 length, (c) the 5-year running mean of MAS yearly earliest start date, and (d) the 5-year running
 677 mean of MAS yearly latest end date. The dashed line for each graph is the trend line. (e-h), same
 678 as (a-d), but during the satellite era 1980-2011.

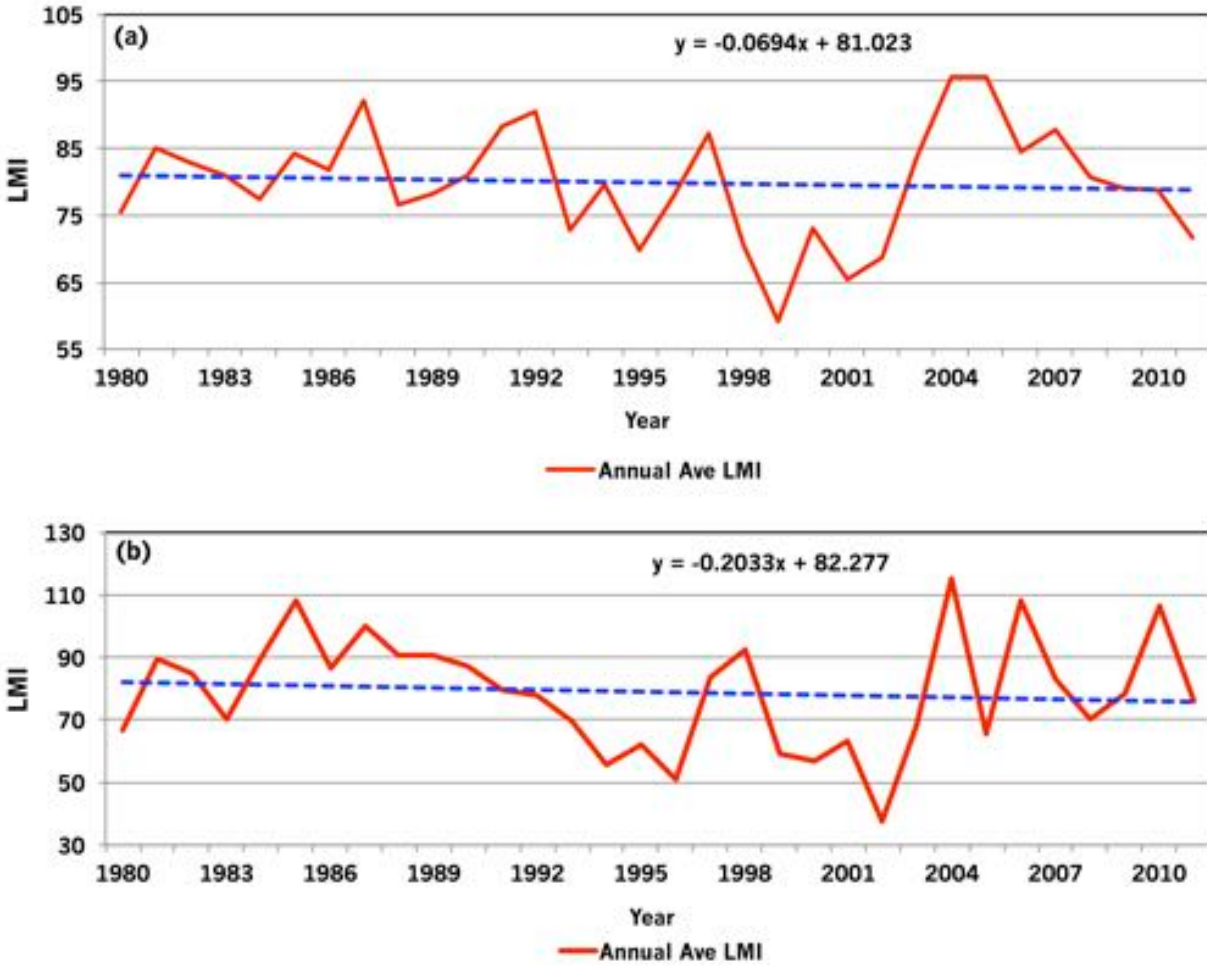


679

680 Figure 8. Frequency distribution of numbers of tropical cyclone (TC) days for (a) Less active
 681 season (LAS), (b) More active season (MAS), and (c) annual total. A TC day is defined as
 682 having at least one TC in the Philippine domain. The annual number of TC days is the sum of the
 683 TC days from both the LAS and the MAS.



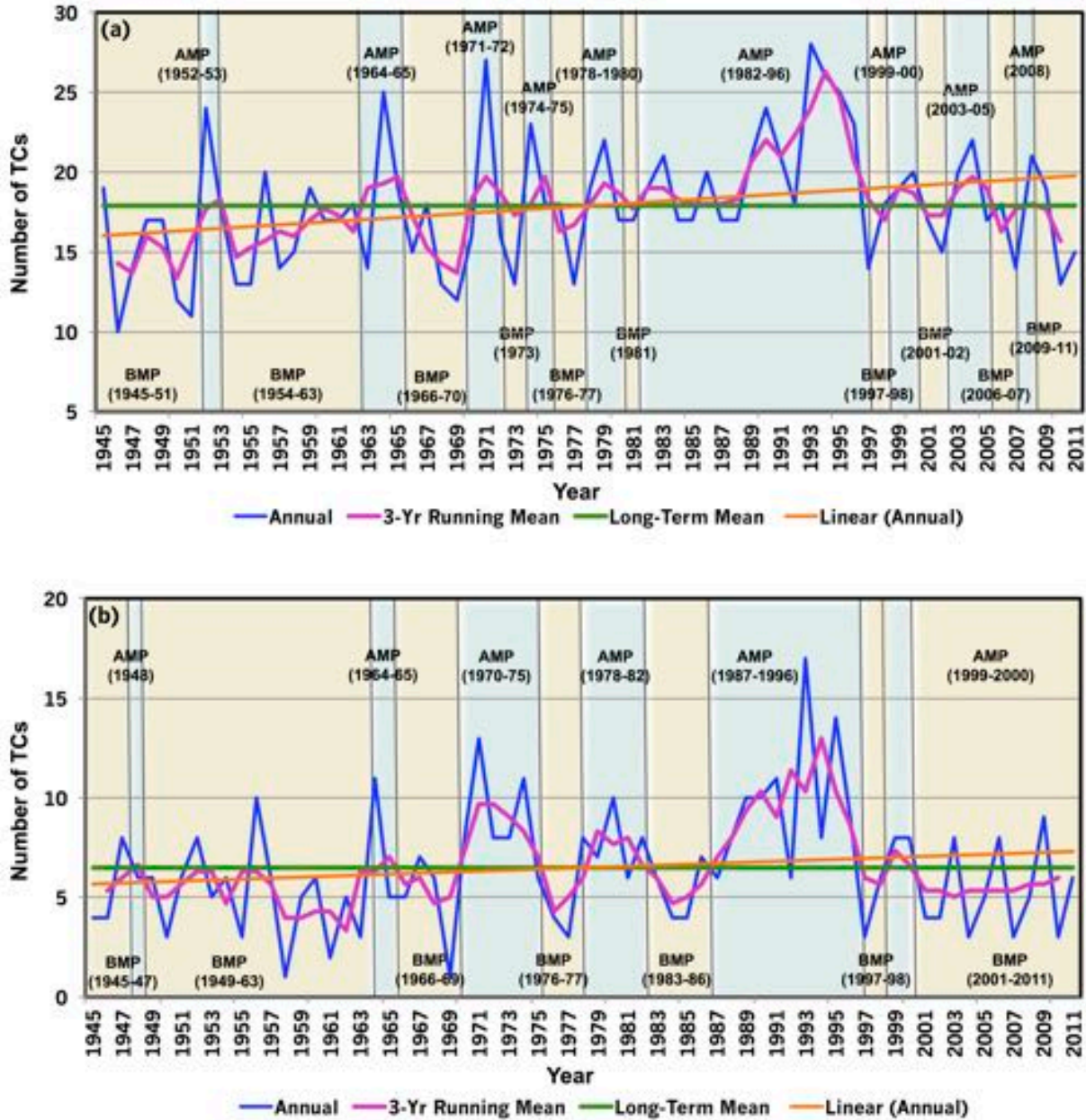
684
 685 Figure 9. (a) Tropical cyclone intensity classification by quarter; tropical depression (TD),
 686 tropical storm (TS), and typhoon (TY), and (b) Same as (a), but for landfall quarterly intensity
 687 classification count.



688

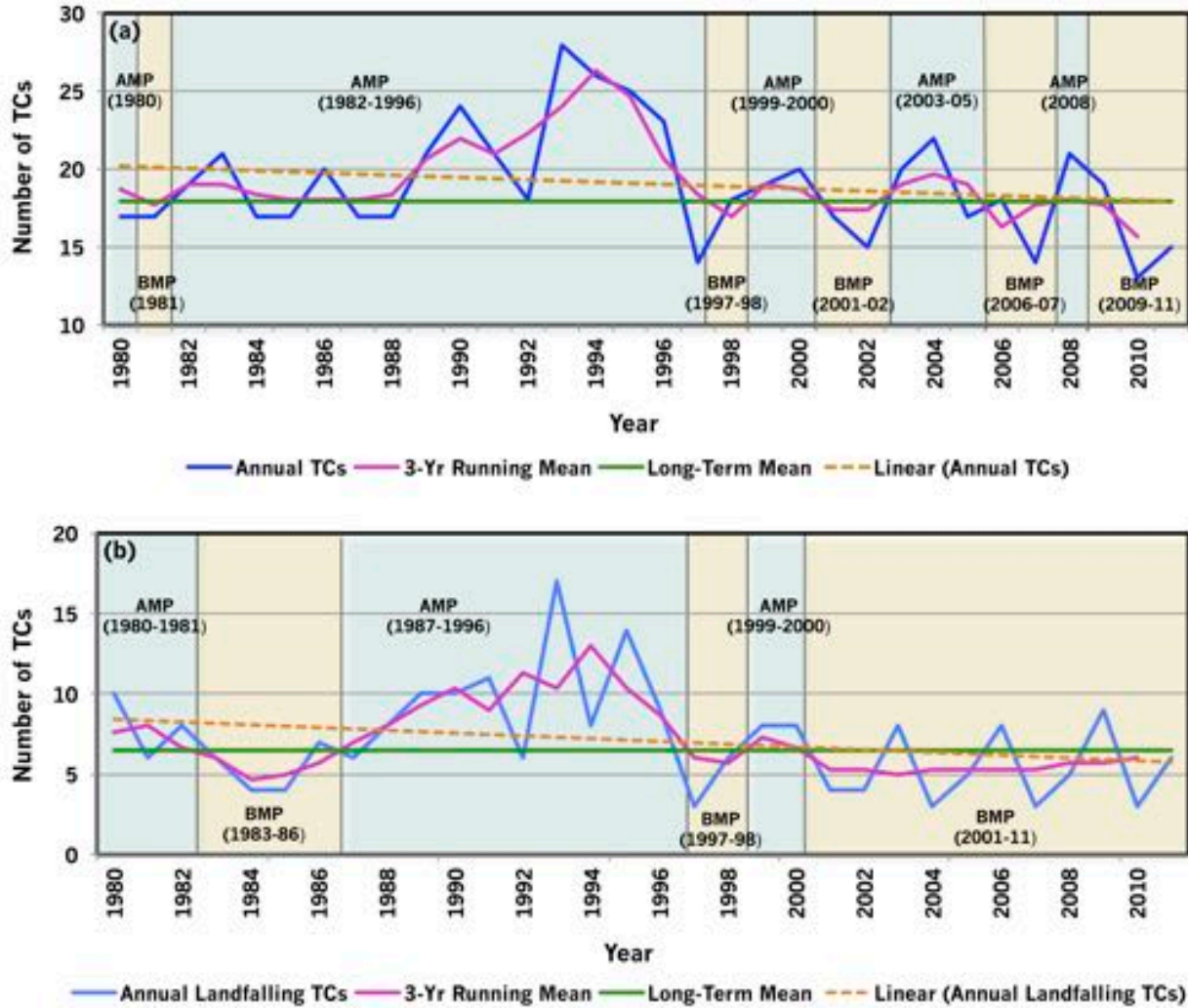
689 Figure 10. (a) Annual average of lifetime maximum intensities for all tropical cyclones (TCs)

690 during the satellite era. (b) Same as (a) but for landfalling TCs.



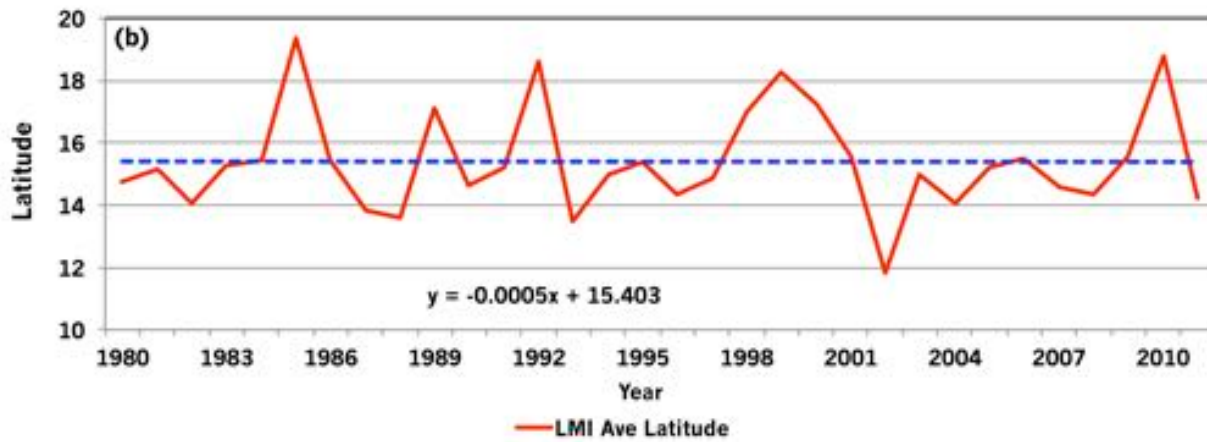
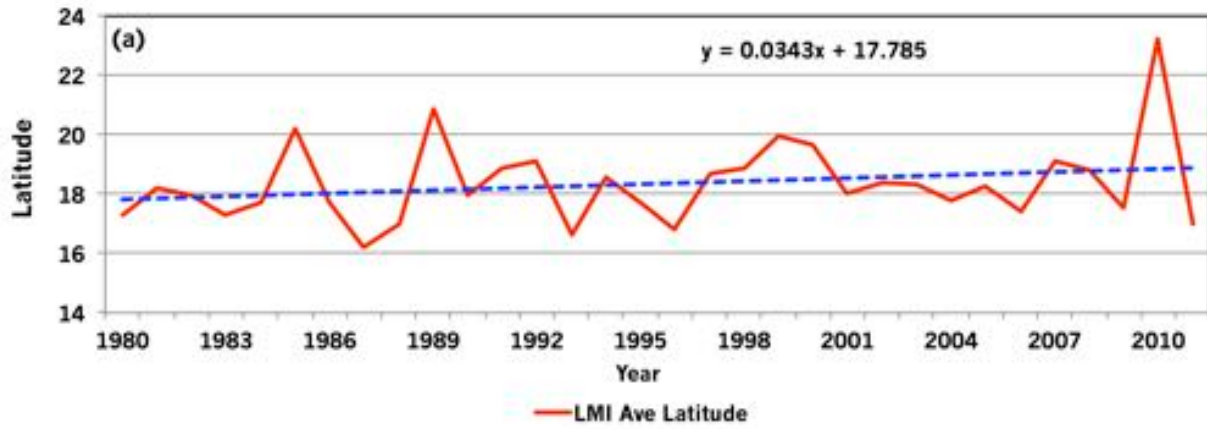
691

692 Figure 11. Philippine interannual and interdecadal variations in the frequencies of (a) all tropical
 693 cyclones (TCs) and (b) landfalling TCs, over the 1945-2011 period. Years with 3-year running
 694 means below the long-term mean of 17.9 (6.5) for all TCs (landfalls) are part of the below mean
 695 period (BMP), and all years with 3-year running means above the long-term mean are included
 696 in the above mean period (AMP). Orange line is a linear trend line.



697

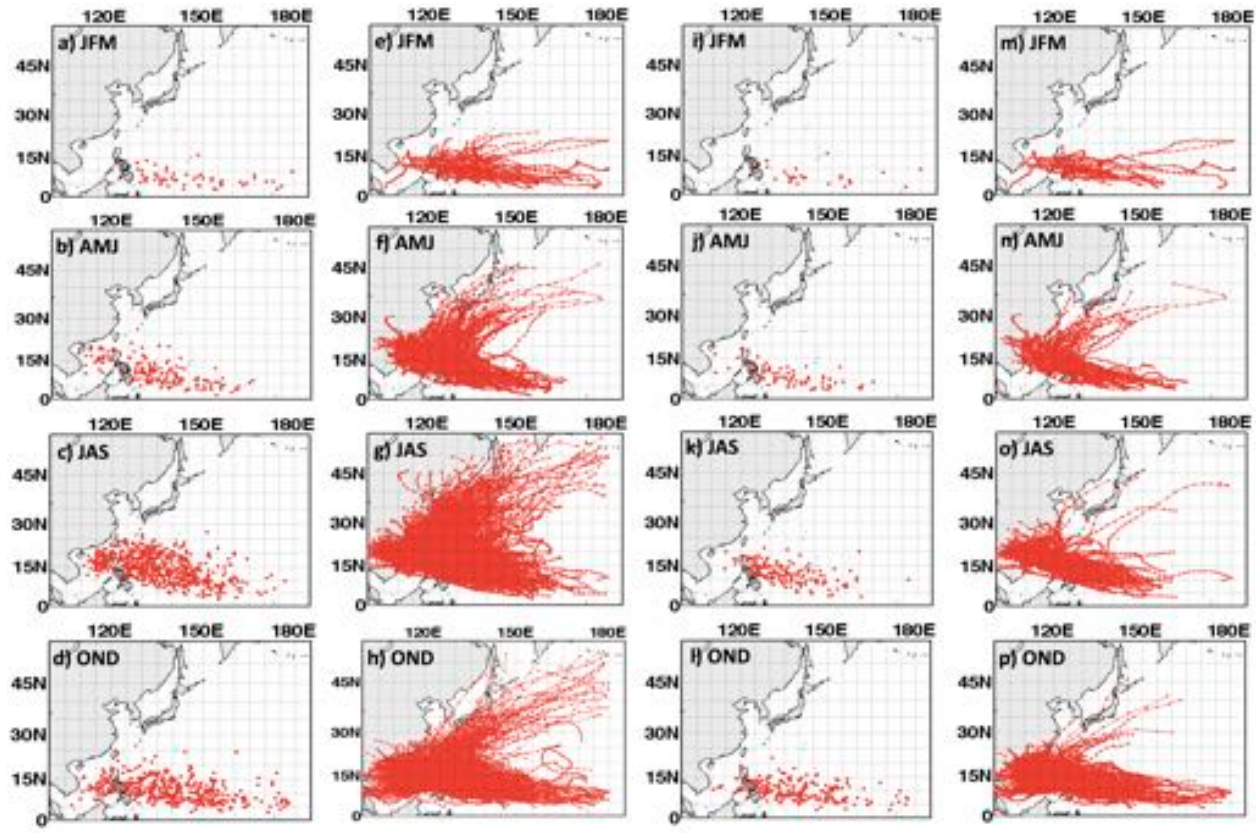
698 Figure 12. Interannual and interdecadal variations in the frequencies of (a) all Philippine tropical
 699 cyclones (TCs) and (b) landfalling TCs during the satellite era 1980-2011.



700

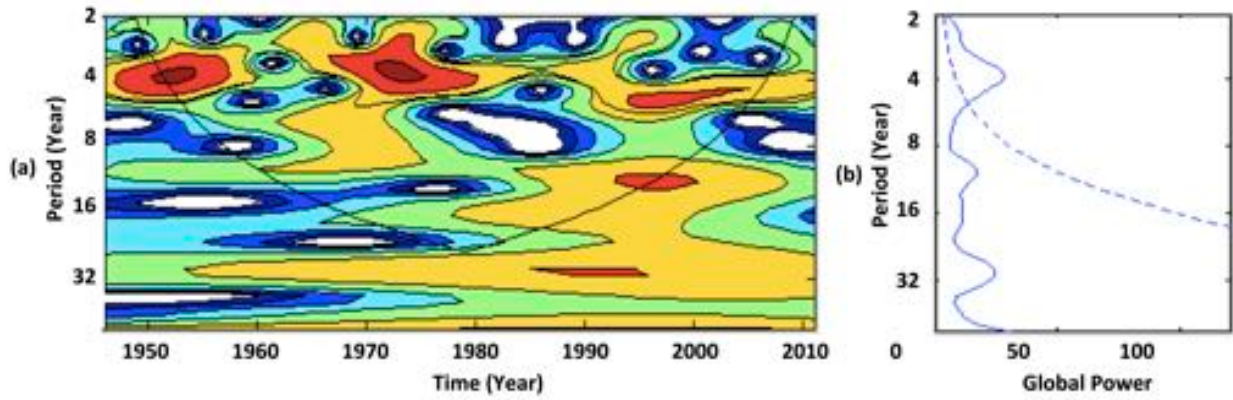
701 Figure 13. (a) Annual average latitude of lifetime maximum intensity (LMI) during the satellite

702 era for all tropical cyclones (TCs). (b) Same as (a) but for landfalling TCs.



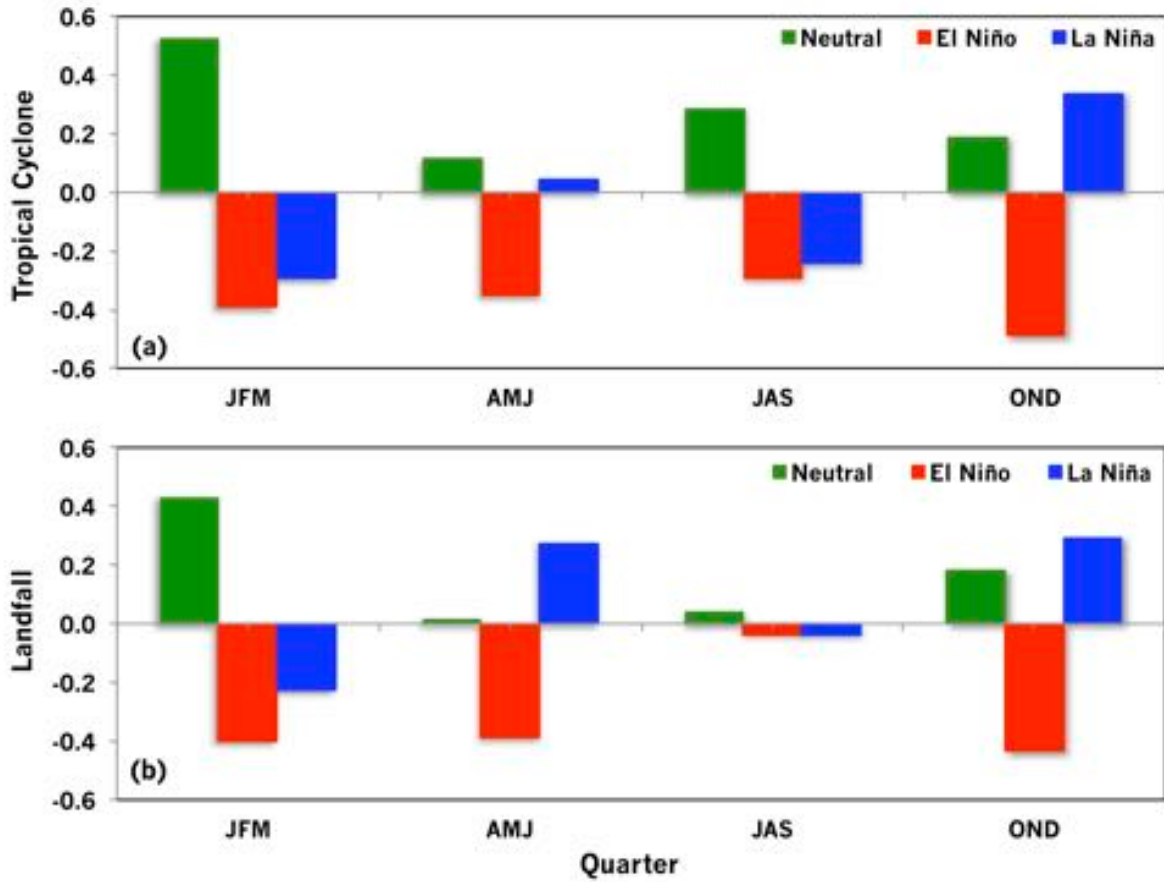
703

704 Figure 14. (a-d) Quarterly variations in genesis positions of all tropical cyclones (TCs), (e-h)
 705 quarterly tracks of all TCs, (i-l) quarterly genesis positions of landfalling TCs, and (m-p)
 706 quarterly tracks of landfalling TCs.



707

708 Figure 15. (a) Wavelet analysis of the Philippine tropical cyclone time series; the region above
 709 the black curve indicates the 95% level of confidence. (b) The corresponding global wavelet
 710 spectrum; the dashed blue line is the 95% level of confidence. Note the peaks in the 2-7 year,
 711 10-12 year and 28-32 year periods.



712

713 Figure 16. The quarterly tropical cyclone-El Niño Southern Oscillation relationship (a)
 714 Standardized quarterly TC mean during Neutral, El Niño and La Niña phases, (b) Same with (a)
 715 but for standardized TC landfall mean. This figure is adopted from Corporal-Lodangco *et al.*
 716 (2016).

717