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Direct Storage Hybrid (DSH) Inverter: A New Concept of Intelligent Hybrid Inverter

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Abstract—This paper proposes a new configuration of a single-phase hybrid inverter with an integrated battery energy storage, which is suitable for residential households to maximize local consumption of solar energy and thus reduce dependency on grid support. The hybrid inverter is called Direct Storage Hybrid (DSH) Inverter. A transformer-less topology such as HERIC, operating at low frequency to generate a three-level rectangular output voltage, is adopted to connect a photovoltaic (PV) panel to the load and/or the grid. A series active filter is employed to compensate the high harmonic components from the rectangular voltage and provide a sinusoidal voltage. A bidirectional dc/dc converter connects the battery to the PV panel to control the battery state of charge (SoC) and optimize the PV panel operation during both off-grid and grid-connected modes. The DSH inverter can let the battery bypass the dc/dc converter and connect directly to the inverter stage, leading to a significant improvement in throughput efficiency in battery utilization. This paper discusses the operation and loss analysis of the DSH inverter in off-grid mode.

Index Terms—smart hybrid inverter, battery charger, battery storage system, throughput efficiency

I. INTRODUCTION

Renewable energies, like PV and wind, hold great promise as future solutions for our energy needs. However, their fluctuating nature harms electricity grids, and options that make grids smarter or improve their stability have long been research trends such as integrating battery storage solutions into existing grids to maximise the capacity, efficiency and use of renewable energies [1]–[5]. An example of this is stand-alone PV inverter or intelligent hybrid inverter with energy storage capability. An intelligent hybrid inverter stores energy only when necessary and maximizes self-consumption of PV energy. Such storage capability becomes more and more important when the price of solar energy sold to grid keeps getting cheaper [6]. Intelligent hybrid inverter is therefore predicted to be the future of PV installation, according to the French Electric Network.

There are two common configurations for the intelligent hybrid inverter. The first system consists of a PV inverter and a separated battery inverter operating in parallel. They are connected to an ac bus via a multi-winding transformer [7], [8] or transformer-less topologies [9]. The battery inverter stores the energy via the ac bus [10], [11]. The second system uses a common dc bus to link the solar panel, battery through a bidirectional dc/dc power converter and then adds a dc/ac inverter to interface with the ac grid [12]–[16]. Alternatively, uncommon configurations such as Z-source inverter [17]–[19], multi-

port ac link inverter [20], or switched-battery inverter [21], [22] were also proposed. However, it is difficult to achieve a high overall efficiency with these methodologies because there are many conversion paths and/or devices needed which would introduce more losses to the whole system. More importantly, none of the existing methods is capable of providing an optimized efficient path for PV–battery–load energy flow to maximize the battery usage and achieve the best throughput efficiency. This throughput efficiency is particularly important because the battery is the most expensive component in the system.

This paper proposes a new concept of high-efficient single phase intelligent hybrid inverter called Direct Storage Hybrid (DSH) inverter with an optimal throughput path for battery energy storage. The DSH inverter is applicable to residential households to maximize the local consumption of solar energy and thus reduce the stress on grid. The DHS inverter is equipped with an integrated power management system, which optimises the battery performance in terms of operating cost, and can operate in both off-grid and grid-connected modes.

This paper presents the operation of the DSH inverter in off-grid mode and analyses its power losses to prove its superior throughput efficiency via circuit simulation. The DSH inverter has an independent battery charging path which can be optimized separately. It also has an excellent performance when discharging the battery to support the load at night. As a result, the DSH inverter can cover a wide range of operation with an expected throughput efficiency of more than 96%.

II. CIRCUIT CONFIGURATION

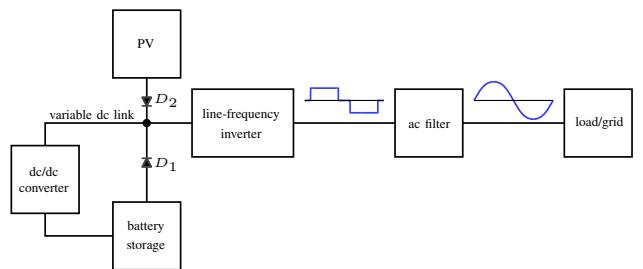


Fig. 1. General construction of the DSH Inverter.

Fig. 1 illustrates a general structure of the DSH inverter. A variable dc link is connected to a PV and a battery via diodes D_1 and D_2 . A dc/dc converter (battery charger) is used to charge/discharge the battery via the dc link. When

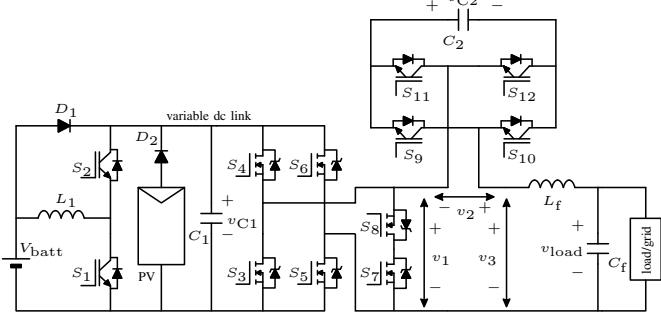


Fig. 2. Example circuit configuration of the DSH Inverter.

the PV is not operated, the battery can bypass the dc/dc converter via diode D_1 and connect directly to the dc link. A line-frequency inverter converts the dc link to a three-level rectangular voltage. Then, an ac filter is applied in series to reshape the rectangular voltage to sinusoidal and supply the load and/or grid.

Fig. 2 shows an example circuit configuration of the proposed DSH inverter. A battery is connected to a dc link capacitor C_1 via a bidirectional dc/dc converter, e.g. a half bridge structure. The diode D_1 is used to bypass the dc/dc converter and connect the battery directly to the inverter unit. A PV panel is connected to the same dc link capacitor via the other diode D_2 which blocks current from flowing backward. The dc/dc converter can also act as a maximum power point tracking (MPPT) device. The two diodes could be replaced by relays to reduce conduction loss. A transformer-less topology such as HERIC [23] with 6 active switches S_3-S_8 is used to connect the dc link capacitor C_1 to the grid, but only operated at line frequency to generate a rectangular output voltage. A series active filter with 4 high-frequency switches S_9-S_{12} and an LC filter (L_f , C_f) are employed to compensate the high harmonic voltages from the rectangular one [24]–[26]. The output is connected to a load and/or to an ac grid and operated in either off-grid mode or grid-connected mode.

The bidirectional dc/dc converter connects the battery to the PV panel to charge/discharge the battery and support the PV panel operations. After sunset, the battery bypasses the boost converter and connects directly to the inverter, leading to a significant improvement in throughput efficiency and reduction in operational cost of battery.

Switches S_3-S_8 only operate at low frequency, i.e. 50-Hz line frequency, therefore they have negligible switching losses and can be MOSFETs to lower the conduction losses. The other switches can be IGBTs to perform switching at high frequency.

III. OPERATING PRINCIPLE

The operating principle of the DHS inverter is similar to that of a ZCC converter [25], but has the extra capability to connect a battery storage directly to the dc link terminals. Fig. 3 shows the theoretical waveforms in the proposed DSH inverter. To ensure a successful PWM operation in the active filter, the

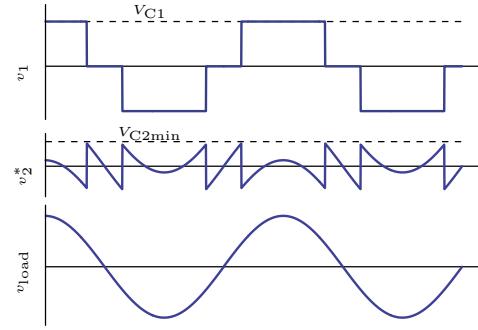


Fig. 3. Theoretical voltage waveforms of the DSH inverter.

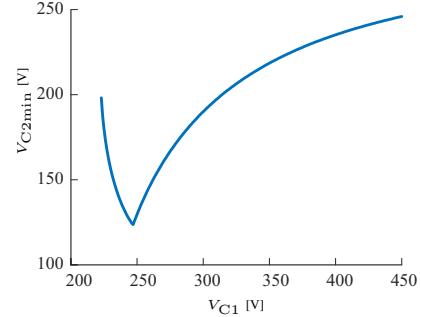


Fig. 4. Theoretical relation between input voltage V_{C1} and the minimum required voltage of the capacitor C_2 , $V_{C2\min}$.

capacitor voltage v_{C2} must be higher than the maximum value of the reference voltage v_2^* :

$$v_{C2} > v_2^*. \quad (1)$$

Fig. 4 illustrates a theoretical relation between V_{C1} and the minimum required voltage of the capacitor C_2 in an ideal case of constant capacitor voltages. It can be seen that the minimum required capacitor voltage $V_{C2\min}$ varies under different input voltage, V_{C1} , from the variable dc link. The required voltage $V_{C2\min}$ reaches its minimum of 125 V at $V_{C1} \approx 250$ V. Other than that, $V_{C2\min}$ is still less than 250 V even when the input voltage reaches 450 V. The reason is that the active filter only compensates a part of the rectangular output v_1 . Most of the cases, this required voltage is much less than a conventional dc link voltage of solar inverter which is usually 400 V. If a controller is installed to reduce v_{C2} to the minimal requirement $V_{C2\min}$, the switching loss of the active filter will be optimized. Since the required dc voltage of the active filter is reduced, its switching loss is expected to be lower than the conventional inverter.

Considering that the DSH inverter supplies power to a load, the output ac voltage v_{load} is the output of the LC filter and thus becomes sinusoidal. Its rms voltage $V_{load} = 200$ Vac represents a normal grid:

$$v_{load} = \sqrt{2}V_{load} \cos \omega t. \quad (2)$$

The low frequency transformer-less inverter and the high frequency active filter are connected in cascade to form the desired sinusoidal output v_{load} . As shown in Fig. 3, the inverter

outputs a 3-level rectangular output voltage v_1 with a pulse width δ under line switching frequency of 50 Hz:

$$v_1 = v_{C1} f(\delta), \quad (3)$$

where $f(\delta)$ is a 3-level rectangular function given by:

$$f(\delta) = \begin{cases} 1 & , -\delta/2 < \omega t + 2n\pi < \delta/2 \\ -1 & , -\delta/2 < \omega t + (2n+1)\pi < \delta/2, \forall n \in N \\ 0 & , \text{otherwise.} \end{cases} \quad (4)$$

Since this voltage provides the power needed at the load, the fundamental rms component of v_1 must equal V_{load} :

$$V_{1f} = \frac{1}{\sqrt{2}} \int_0^{\frac{2\pi}{\omega}} v_1 \cos \omega t dt = V_{\text{load}}. \quad (5)$$

Substitute (3) and (4) to (5) and assume that v_{C1} is constant at its average value V_{C1} , we can derive the pulse width δ as

$$\delta = \sin^{-1} \frac{\pi V_{\text{load}}}{\sqrt{2} V_{C1}}. \quad (6)$$

According to (6), the calculation of δ is only possible when

$$V_{C1} \geq \frac{\pi}{\sqrt{2}} V_{\text{load}} \approx 223 \text{ V}. \quad (7)$$

Fig. 4 also indicates that the minimal voltage at the PV terminal should be at least 223 V to keep the DSH inverter working. If the solar energy is not enough, i.e. low sunlight, the battery can provide more power to the variable dc link and keep the DSH inverter running smoothly without drawing power from the grid. It also means that the operating voltage of the battery must start from 223 V.

In reality, the capacitor voltage v_{C1} has non-negligible ripple and a controller must be implemented to compensate for that. On the other hand, the active filter has an output voltage v_2 which does not generate active power theoretically. However, due to losses in each device, the active filter capacitor voltage v_{C2} degrades over times and needs to be maintained by injecting some active power to the active filter.

Assuming that the output ac current i is sinusoidal with a phase difference ϕ compared to the output voltage v_{load} , the average power provided to the active filter can be calculated from its fundamental rms voltage V_{2f} and the output rms current I as follows:

$$P_{C2} = -V_{2f} I \cos \phi. \quad (8)$$

As shown in Fig. 3, the active filter operates at high frequency, such as 20 kHz, to avoid acoustic noise, using pulse width modulation (PWM) to create voltage v_2 with reference value v_2^* which is designed to compensate the high frequency components in v_1 so that:

$$v_2^* = v_{\text{load}} - v_1. \quad (9)$$

As a result, their fundamental rms values follow the same relation as:

$$V_{2f} = V_{\text{load}} - V_{1f}. \quad (10)$$

Substitute (10) to (8), we have

$$P_{C2} = (V_{1f} - V_{\text{load}}) I \cos \phi. \quad (11)$$

The active power P_{C2} charges/discharges the capacitor C_2 and compensates the loss in the active filter. Therefore, the capacitor voltage v_{C2} can be adjusted by controlling V_{1f} via the pulse width δ and/or phase angle ϕ .

IV. CONTROL METHOD

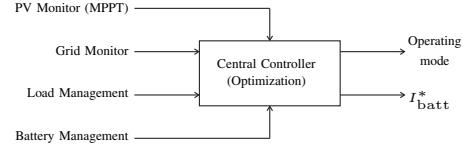


Fig. 5. Concept of management and control for the DSH inverter.

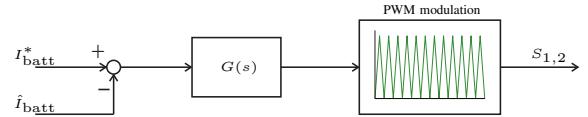
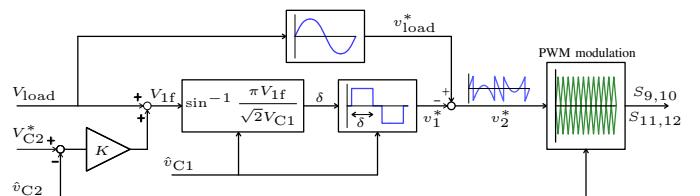


Fig. 6. Current control scheme for the dc/dc converter unit.

Fig. 5 shows an example of how to integrate energy management into a central controller for the DSH inverter. The conditions of PV (including MPPT), grid, load and battery are monitored and managed by an optimization algorithm to determine the operating modes (grid-connected or off-grid) for the DSH inverter and the charge/discharge schedule of the battery [27], [28], so that the system can maximize local renewable energy consumption or optimize the operating cost of the PV storage system. The battery state of charge (SoC) is controlled via the battery current reference I_{batt}^* which influences the operation of the dc/dc converter unit S_1-S_2 (battery charger).

Fig. 6 shows a simple current control block for the dc/dc converter unit. A PI controller $G(s)$ adjusts the battery current to meet its reference I_{batt}^* via PWM signals to S_1-S_2 . The reference I_{batt}^* can be positive during charging cycle or negative to discharge the battery. The central controller may disable the dc/dc converter to let the battery current go through diode D_1 and directly connect to the variable dc link, e.g. when operating at night.

Fig. 7 illustrates a simple voltage control block diagram of the proposed DSH inverter. The capacitor voltage v_{C2} is controlled to meet its reference value V_{C2}^* , which is slightly



higher than the theoretical value shown in Fig. 4 due to realistic ripple on the capacitor C_2 during operation. A proportional gain K is applied to estimate the needed fundamental rms voltage V_{1f} , which is then used to find δ according to (6), and thus determine the switching patterns of S_3-S_8 to create the rectangular waveform v_1 at the line frequency. After that, the reference value v_2^* is calculated based on (9) and used for PWM generation in S_9-S_{12} . A three-level PWM method is adopted to reduce the effective switching frequency. Then, the switching ripples is filtered out by the LC filter, creating a near sinusoidal output at the load terminals. Here, a bus swing technique can be adopted to reduce the THD of the output current [29], [30].

V. SIMULATION WAVEFORMS

A. Circuit parameters

TABLE I
CIRCUIT PARAMETERS.

dc/dc converter unit	
operating frequency	$f_{sw1} = 20$ kHz
switching devices	S_1, S_2
dc inductor	$L_1 = 1000 \mu\text{H}$
battery	$V_{\text{batt}} = 225$ V
HERIC unit	
operating frequency	$f_0 = 50$ Hz
switching devices	S_3-S_8
dc capacitor	$C_1 = 920 \mu\text{F}$
EZP-E50107MTA	
ALS70A821DF550	
active filter unit	
operating frequency	$f_{sw2} = 20$ kHz
switching devices	S_9-S_{12}
dc capacitor	$C_2 = 920 \mu\text{F}$
ac inductor	$L_f = 200 \mu\text{H}$
ac capacitor	$C_f = 4.7 \mu\text{F}$
EZP-E50107MTA	
ALS70A821DF550	

The performance of the DSH inverter is carried out with circuit simulation. Table I shows the circuit parameters used for the simulation. DC link capacitors C_1 and C_2 are chosen so that the voltage ripples are limited to 10% of the rated ones. In order to reduce capacitor loss, they are made by paralleling a $100\mu\text{F}$ film capacitor and $820 \mu\text{F}$ electrolytic capacitor. Inductor L_1 is modelled to limit the current ripple to $\pm 20\%$ of its rated value. Filter L_f, C_f are chosen to obtain an output voltage with THD below 5%. The battery voltage is chosen to be 225 V, half of the maximum dc link voltage V_{C1} and close to the minimal requirement of 223 V according to (7). Those parameters are used for preliminary study only and are not yet optimized.

B. Various operating modes

As the central controller monitors the whole system, the DSH inverter needs to be able to perform power flow control among PV, battery and load/grid, including MPPT. This session reveals the operating waveforms of the the DSH inverter in off-grid mode, supplying power to a 3-kW resistive load.

Fig. 8(a) illustrates the operation of the DSH inverter at night when PV energy is not available. The battery provides

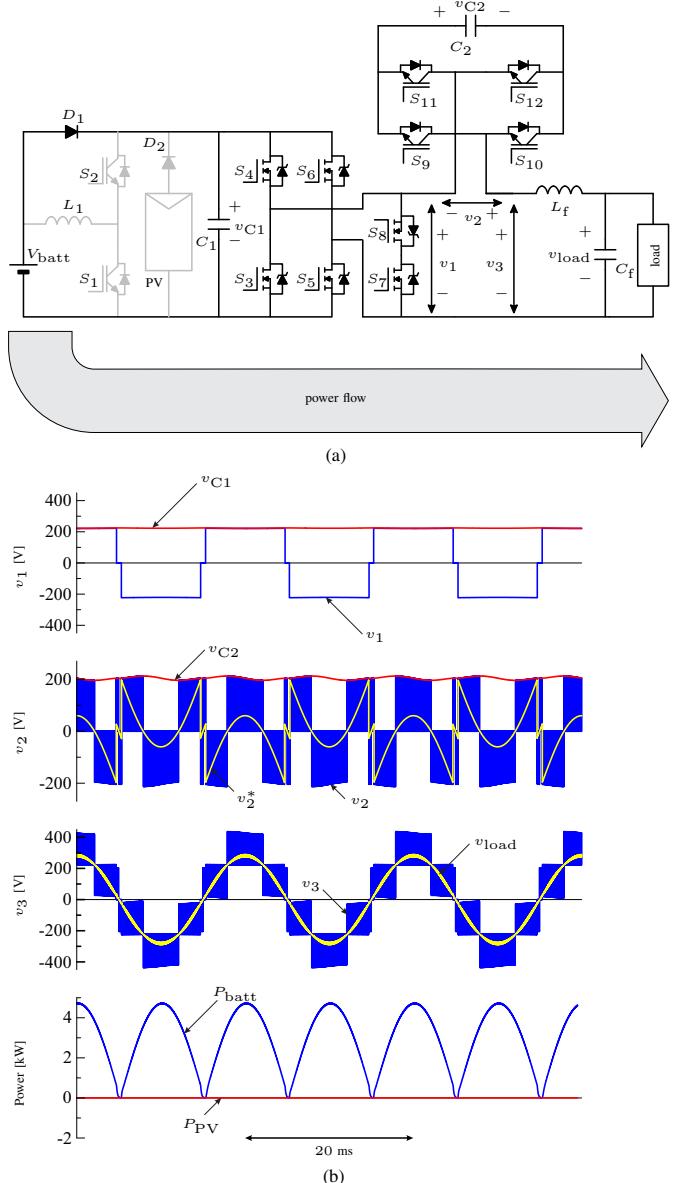
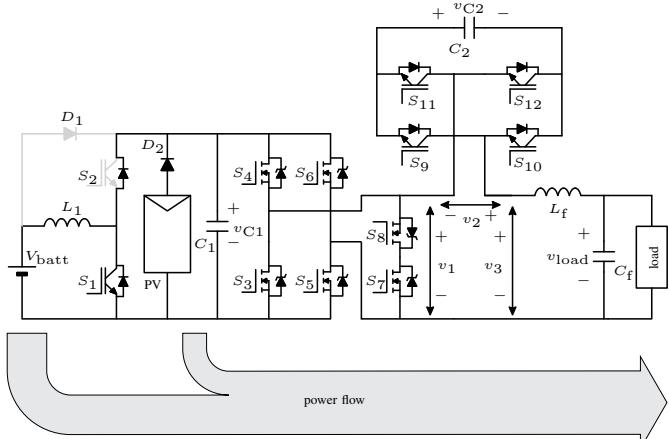


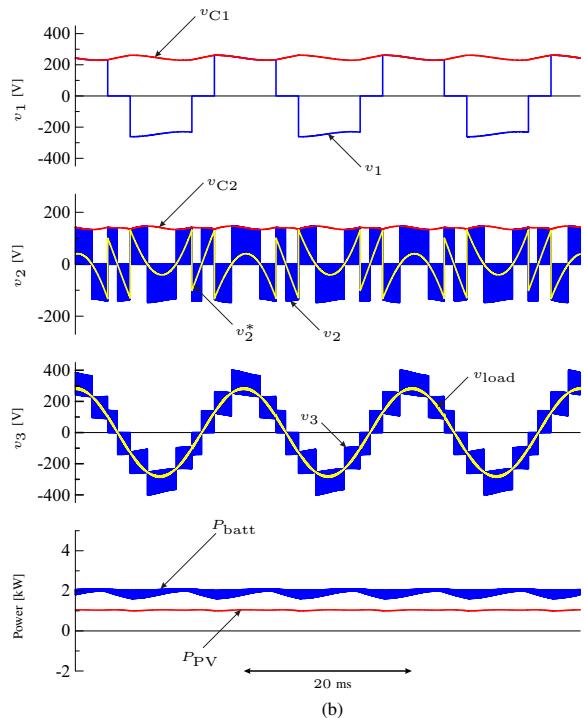
Fig. 8. DSH inverter operation at night, when only battery provides power to load: (a) circuit operation including power flow, and (b) its simulated waveforms.

power directly to the dc link, resulting in a significant loss reduction because the dc/dc converter stage is bypassed via diode D_1 . Fig. 8(b) shows its waveforms during night operation. Since the battery voltage is near the limit 223 V, the pulse width δ is almost full at 100%. There is almost no fluctuation at C_1 because it is connected directly to the battery. Therefore, the battery power is determined by the load condition, i.e. 3 kW and its ac power behaviour with a 100-Hz fluctuation.

When there is enough sunlight, the PV panel will kick in and support a part of the load power as shown in Fig. 9(a). Due to the direction of the power flow in the dc/dc converter, only S_1 is operated, while S_2 is turned off and thus its anti-parallel diode conducts. In Fig. 9(b), the battery charger performs MPPT to maximize the PV output and thus



(a)

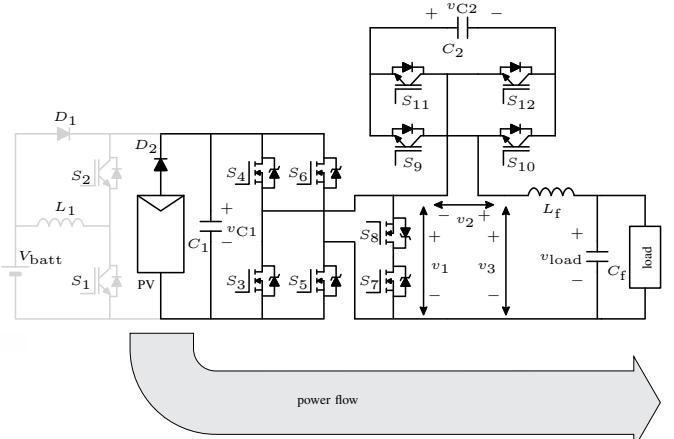


(b)

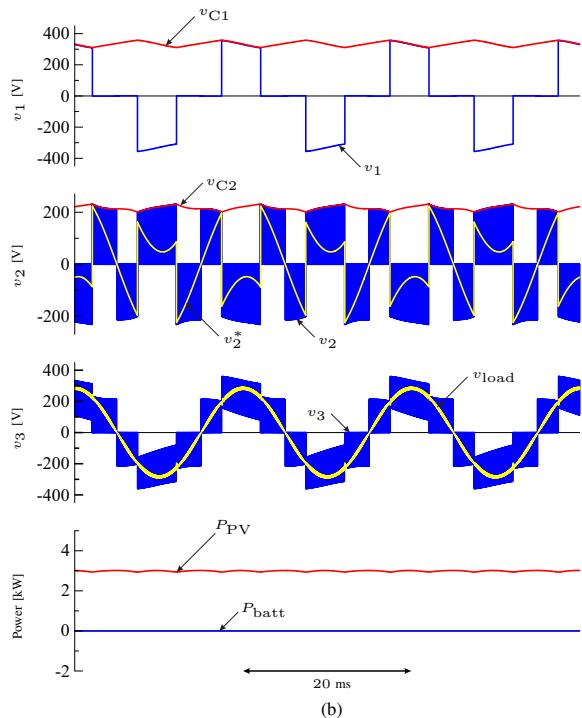
Fig. 9. DSH inverter operation under weak sun light, where both PV and battery supply power to the load: (a) circuit operation including power flow, and (b) its simulated waveforms.

boost the voltage v_{C1} higher to the optimal position of the PV panel, which is around 250 V. At this input voltage level, the voltage v_{C2} was controlled to meet its minimal reference value of approximately 140 V according to Fig. 4. The 100-Hz fluctuation in load power now reflects on the capacitor voltage v_{C1} .

When the PV panel has enough power to support the load, the battery does not need to provide power anymore and the boost converter is shut down as shown in Fig. 10(a). Another possibility is that the PV power could be more than what the load needs, but the battery is already full. Therefore, the battery power is zero and the PV power provides 3 kW, equal to the load consumption as shown in Fig. 10(b). MPPT operation is not needed in this case unless the grid-connected



(a)



(b)

Fig. 10. DSH inverter operation when PV power is sufficient and battery storage is not in operation: (a) circuit operation including power flow, and (b) its simulated waveforms.

mode is enabled so that the excess PV power can be sent to the grid.

Under strong sun light, the excess solar energy can be used to charge the battery when its SoC is not full as shown in Fig. 11(a). The battery charger operates as a buck converter with S_2 performs switching while S_1 is off and its anti-parallel diode conducts. In Fig. 11(b), the MPPT brings the voltage v_{C1} higher to a new optimal position of the PV panel. Since the battery is charged, its power becomes negative. Because the capacitor voltage v_{C2} in the active filter reaches a higher value when the input voltage is near 450 V as explained by Fig. 4, this case has the highest output ripple on v_3 and hence the design of passive filters L_f , C_f had to consider this case as the worst scenarios.

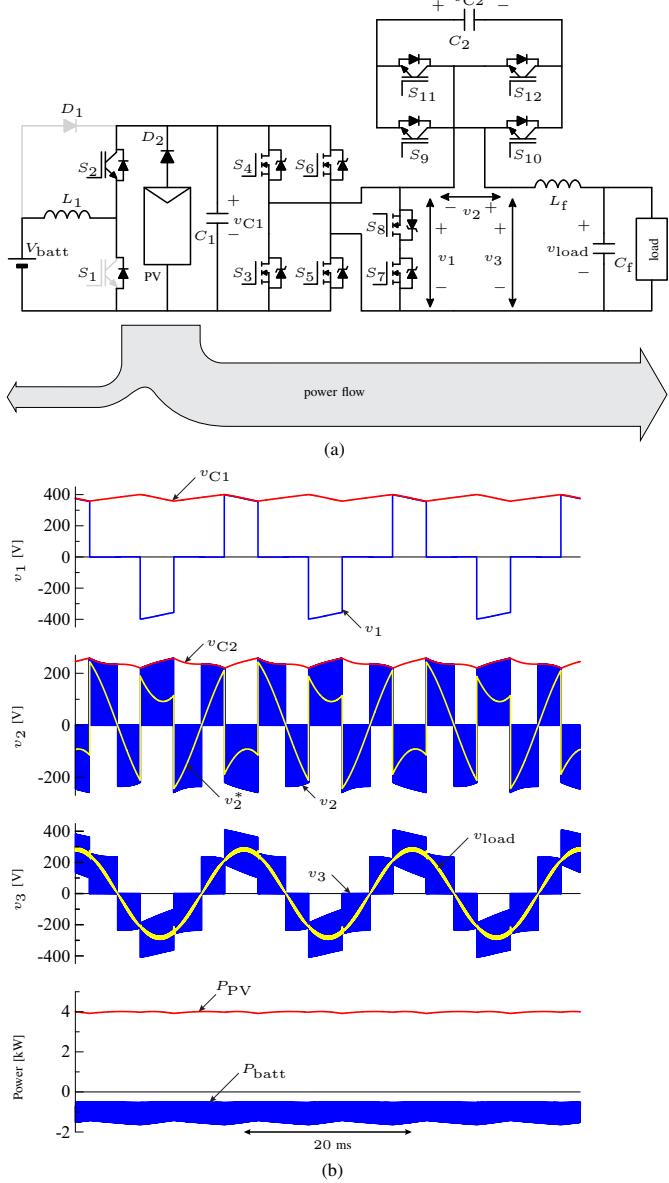


Fig. 11. DSH inverter operation under strong sun light, battery stores the excess energy: (a) circuit operation including power flow, and (b) its simulated waveforms.

VI. PERFORMANCE ANALYSIS

A. Loss calculation

The loss analysis is based on the available data sheets of the circuit parameters. All switching devices are assumed to operate at the worst case junction temperature of $T_j = 150^\circ\text{C}$. The IGBTs are driven by a recommended gate resistance $R_G = 23 \Omega$. The IGBT switching performance is estimated by PLECS simulation with the switching and conduction losses given by the available measurement given in its data sheet and interpolation method.

Among S_3 – S_8 in the HERIC unit, there are always two MOSFETs conducting at a time, thus the conduction loss of

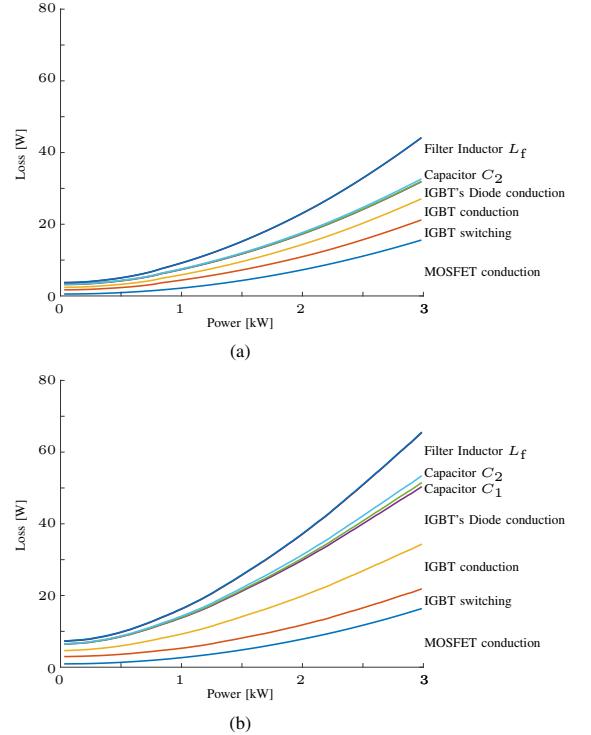


Fig. 12. Loss breakdown of the DSH inverter: (a) at night when $V_{C1} = 225$ V, and (b) under strong sun light when $V_{C1} = 450$ V.

all MOSFETs can be expressed by

$$P_{\text{MOSFET}} = 2R_{\text{DS(on)}}I_{\text{Lf}}^2, \quad (12)$$

where $R_{\text{DS(on)}}$ is the estimated worst case on-resistance of the MOSFETs at 150°C , and I_{Lf} is the rms current going through the filter inductor L_f connected in series with the HERIC unit. The switching loss of the MOSFETs is neglected due to the low switching frequency.

The losses in the inductors are considered as their conduction losses only, assuming the resistance given by Table I. The loss in the dc capacitors is similarly defined as

$$P_{\text{loss}} = ESR \times I_{\text{ripple}}^2, \quad (13)$$

where ESR is the worst case equivalent series resistance of the film capacitor and I_{ripple} is the capacitor rms ripple current. According to the data sheet, it is 2.5 times the typical ESR and equals $12 \text{ m}\Omega$. Since most of the ripple current passes through the film capacitor, the loss in the electrolytic capacitor can be neglected. Finally, the loss in the ac capacitor C_f is also calculated in a similar way but results in negligible value.

This simple loss estimation does not include ohmic loss, auxiliary devices such as controller and gate drivers. The diodes D_1 and D_2 are not considered for loss calculation because they can be replaced by mechanical switches with negligible loss. However, even if they are taken into account, a voltage drop of approximately 1 V will appear and thus result in an efficiency drop of less than 0.4%.

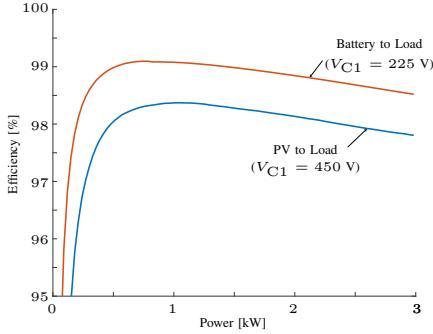


Fig. 13. Efficiency of the DSH inverter.

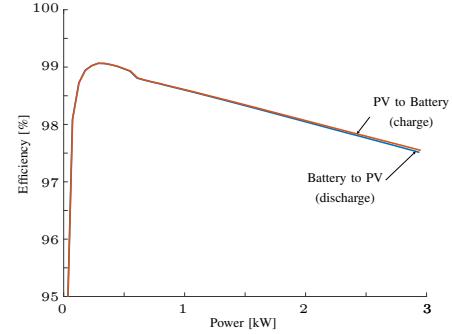


Fig. 15. Efficiency of the dc/dc converter at $V_{C1} = 450$ V.

B. Performance of the inverter

The key performance of the DSH inverter depends on the HERIC structure and the active AC filter as they are used by both the PV and battery to support the load/grid.

Fig. 12 shows the loss breakdown of the DSH inverter when the input voltage to HERIC unit, v_{C1} takes the lowest and highest values. It can be seen that the MOSFET loss are almost the same because the output currents to the load are similar in both cases. However, because the active filter needs to operates at higher voltage under strong sunlight, its losses become higher. It is also noted that the capacitor losses are very small. The only significant loss in capacitor is in C_2 where the active filter operates at high frequency.

The efficiency of the DSH inverter is estimated to be higher than 98% when operating at night, approximately 1% higher than the operation in strong sun light condition where the dc link voltage is highest as shown in Fig. 13. Since the battery is the most expensive component in the system, the superior performance during night mode operation presents a clear benefit because the energy stored inside the battery at night is considered more valuable compared to the abundant PV energy during the day.

C. Performance of the dc/dc converter

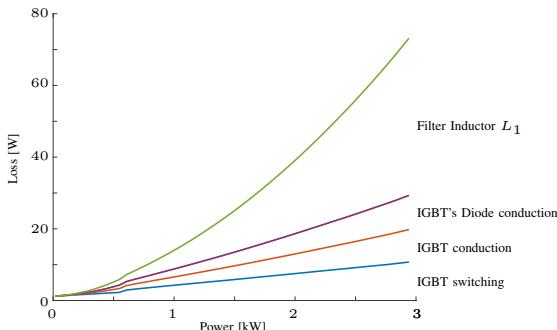


Fig. 14. Loss breakdown of the dc/dc converter, at $V_{C1} = 450$ V.

The dc/dc converter or battery charger has a similar performance in charging and discharging modes in case of boosting ratio equals 2. Here, the duty cycle of S_1 and S_2 is around 50%. Fig. 14 shows the loss breakdown of the battery charger unit when the dc link voltage, v_{C1} , takes the highest values of 450 V, twice of the battery voltage. If v_{C1} is lower than

450 V, the loss will be lower because of lower voltage stress and lower current ripple, hence this is the worst case analysis of the battery charger. It can be seen that there is a sudden increase in IGBT switching loss when battery power reaches 600 W. This is due to a change from discontinuous conduction mode (DCM) to continuous conduction mode (CCM) where the IGBT receives extra turn-on loss.

It can be seen that the semiconductor and passive losses rise fast and become more than 70 W in total at the rated power. This results in rather low efficiency of around 97% at 3 kW as shown in Fig. 15. On the other hand, the efficiency of the battery charger can be 98% – 99% when operating at medium-low power range. For very low power operation where the efficiency drop sharply, burst mode can be applied to bring the efficiency to the peak value of 99%. Although the losses in charging and discharging operations are similar, there is still a small difference appeared in the calculated efficiency because the battery power changed from output to input.

This peak performance at low power actually indicates a good design because it is important that the battery can charges efficiently at low power when solar energy is lacking, e.g. during winter time. On the other hand, when the solar energy is abundant, most of the solar energy will be fed into the grid at low price [6]. Moreover, this path does not involve the battery charger. Therefore, the charger operation at high power is not as important and hence, can be set to a low priority when designing the system or even ignored. In fact, it is acceptable to adopt a dc/dc converter with a lower power rating to save cost without affecting the ability of the DSH inverter of supporting a 3-kW load at night.

D. Throughput efficiency

After storing solar energy during the day with the efficiency of > 98% due to medium-low power operation of the battery charger as shown in Fig. 15, the battery bypasses the dc/dc stage and discharges at night to support the load via the inverter unit and the active filter as explained in Fig. 8(a). During this operating mode, the majority efficiency from battery to load is still higher than 98% as shown in Fig. 13. Therefore the throughput efficiency of the whole path PV–battery–load is estimated to be higher than $98\% \times 98\% = 96\%$.

VII. CONCLUSION

This paper proposed a new concept of single-phase intelligent hybrid inverter called Direct Storage Hybrid (DSH) inverter which can optimize the throughput path of a battery storage. Simulation results demonstrated the feasibility of the DSH inverter, showing stable operations under various PV conditions. It was proven that the DSH inverter has the potential to achieve a very good throughput efficiency of 96% or more. This superior performance is particular important to maximize the local consumption, reduce grid dependency, and increase benefits for PV–battery system at residential households.

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