

Title Page

Maternal E-cigarette exposure results in cognitive and epigenetic alterations in offspring in a mouse model

Key words

Anxiety, DNA methylation, E-cig, memory, vaping

Authors

Nguyen, Tara; University of Technology Sydney, School of Life Sciences

Li, Gerard E; University of Technology Sydney, School of Life Sciences

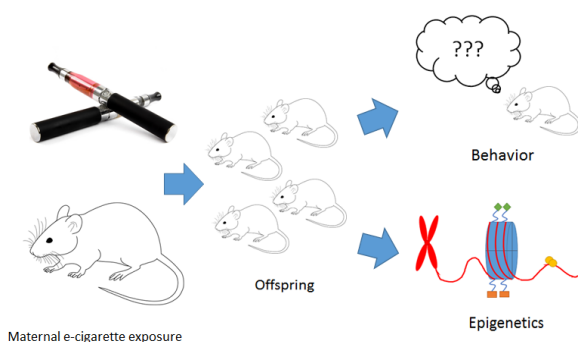
Chen, Hui; University of Technology Sydney, School of Life Sciences

Cranfield, Charles G; University of Technology Sydney, School of Life Sciences

McGrath, Kristine C; University of Technology Sydney, School of Life Sciences

Gorrie, Catherine A; University of Technology Sydney, School of Life Sciences*

Table of Contents (TOC) graphic



Abstract

Electronic cigarette (e-cigarette) use is on the rise worldwide and is particularly attractive to young people and as a smoking substitute by pregnant woman. There is a perception in pregnant woman and women of child-bearing age that the use of e-cigarettes (vaping) is safer than smoking tobacco cigarettes during pregnancy. However, there is little evidence to support this perception. Here, we examined the offspring from mouse dams that had been exposed during and after pregnancy to ambient air (sham) (n=8), e-cigarette aerosols with nicotine (n=8) or e-cigarette aerosols without nicotine (n=8). Offspring underwent cognitive testing at 12 weeks of age and epigenetic testing of brain tissues at 1 day, 20 days and 13 weeks after birth. The findings showed deficits in short-term memory, reduced anxiety and hyperactivity in offspring using the novel object recognition and elevated plus maze tests. In addition, global DNA methylation was increased in the brains of offspring soon after birth. Using a quantitative-PCR array specific to chromatin modification enzymes on genomic DNA and histones, 13 key genes were identified to be significantly altered in the offspring brains from the e-cigarette groups compared to the non-exposed groups. The changes to genes *Aurka*, *Aurkb*, *Aurkc*, *Kdm5c*, *Kdm6b*, *Dnmt3a*, *Dnmt3b* and *Atf2*, all associated with modulating neurological activity were validated using RT-qPCR. In conclusion, in a mouse model, maternal exposure to e-cigarette aerosols resulted in both cognitive and epigenetic changes in offspring. This suggests that the use of e-cigarettes during pregnancy may have hitherto undetected neurological consequences on newborns.

Introduction

Smoking tobacco cigarettes is the leading cause of preventative disease worldwide. Prenatal exposure to tobacco cigarette smoke has been linked to a number of pathological changes in the offspring, such as lower birth weight^{1,2}, respiratory complications^{3,4} and sudden infant death syndrome^{5,6}. Concerningly, maternal smoking has also been linked to psychological changes such as attention deficit hyperactive disorder, aggressiveness, decrease social behaviour and susceptibility to mental health illnesses such as depression, anxiety and anti-social behavior in children⁷⁻⁹. Moreover, DNA methylation studies, one of the most studied epigenetic modification factors, have shown changes to DNA methylation in fetal cord blood¹⁰ and placenta^{11,12} following maternal cigarette smoking¹³.

To aid the delivery of nicotine whilst eliminating exposure to harmful chemicals in tobacco smoke, electronic cigarettes (e-cigarettes) were introduced in 2003 as an electronic nicotine delivery system (ENDS). E-cigarettes are battery-powered devices that convert an oily-flavoured liquid (e-liquid) into an aerosol using a super-heated coil. Due to its non-combustible property, wide range of flavours (over 7000)¹⁴ and aggressive marketing, e-cigarette use is on the rise, particularly amongst young people. In the United States, the use of e-cigarettes in young people has been reported to be as high

as 5.3% in middle school and 16% in high schools¹⁵. Pregnant women are another vulnerable group where e-cigarette use is increasing with a recent report showing 13% of pregnant women reported using e-cigarettes during their pregnancy¹⁶.

There is a perception in women of child-bearing age, as well as pregnant women, that e-cigarettes are less harmful than smoking tobacco cigarettes¹⁷⁻¹⁹. However, studies investigating the effects of e-cigarette exposure in mice models of pregnancy are limited²⁰⁻²³. Although there are numerous studies that focus on offspring from maternal smoking in humans and animals^{1, 7, 10, 24, 25}, the effects of maternal exposure to e-cigarette aerosols on offspring behavior and epigenetics are lacking and epidemiological data is not yet available. In this study, we aimed at investigating the effects of maternal e-cigarette aerosol exposure on offspring in a mouse model. Murine offspring underwent behavioural assessments and brain tissues were collected to assess if e-cigarette affects global DNA methylation.

Experimental procedures (materials and methods)

Animals and treatment

All animal experiments were conducted in accordance to the guidelines described by the Australian National Health and Medical Research Council code of conduct for animals with approval from the institutional Animal Care and Ethics Committee (ACEC#ETH15-0025). Balb/C female mice (n=24) were obtained from the Animal Resource Center (Perth, WA, Australia). Mice were housed in groups of four with ad libitum food and water and a 12:12 hour light dark cycle. All animals were provided with environmental enrichment as part of the housing conditions.

Delivery of e-cigarette aerosols matched similar protocols used to deliver cigarette smoke reported previously²⁰. Animals were randomly divided into 3 groups (n=8) and exposed to the following conditions for 6 weeks before pregnancy, during pregnancy and lactation; ambient air (sham), e-cigarette aerosols with nicotine [Ecig(+nic)] and e-cigarette aerosols without nicotine [Ecig(-nic)]. Mice in each group were placed in a 9L chamber filled with e-cigarette aerosols twice daily for thirty minutes (2 x 15 minutes' exposure with a 5-minute aerosol-free washout interval). The amount of nicotine exposure used in this study is equivalent to smoking two tobacco cigarettes twice daily based on our previous maternal smoking studies^{25, 26})²⁰. The KangerTech NEBOX™ e-cigarette device (KangerTech, Shenzhen, China) was used for all experiments. A commercially sourced E-cigarette liquid was used that consisted of 50% propylene glycol, 50% vegetable glycerin tobacco flavour additives with or without nicotine at a concentration of 18mg/mL. The nicotine dosage that was used for this study was adopted by previous maternal smoking experiments^{25, 27}. Offspring were randomly divided into 3 groups for culling at postnatal day (D) 1, D20 (weaning), and Week 13.

Behavioral assessments

At 12 weeks of age the offspring underwent behavioral assessments. Mice were acclimatized to each of the testing apparatus for 5 minutes a day for 5 days prior to the assessment. All testing sessions were conducted between 0900 and 1400 hours and were recorded.

The *novel object recognition* (NOR) test has been used in many studies to measure short-term memory²⁸. It consists of a *familiarization phase* where the animal is placed in a container with two identical objects for 5 minutes. The animals are then removed for an interval of 1 hour before a *test phase* where the animal was placed back into the container with one 'familiar' object and one 'novel' object. The total time spent investigating each object was recorded. The outcome measure is shown as a recognition Index calculated from the time spent on the novel object divided by the time spent on both objects.

$$113 \quad \text{Recognition Index} = \frac{\text{Novel (sec)}}{\text{Novel (sec)} + \text{Familiar (sec)}}$$

114 Typically, an unimpaired animal spends equal time exploring two identical objects (RI = 0.5) and,
 115 after an interval, spends more time exploring a novel object compared to a familiar object (RI >0.5).
 116 Statistical significance between the familiarisation and test phase were calculated using an unpaired
 117 two-tailed *t*-test.

118 The *elevated plus maze* (EPM) is used as a test for anxiety²⁹⁻³². Each animal was placed in the same
 119 position at the center of the EPM and allowed to freely move for two minutes. The time spent
 120 investigating the open arms was recorded. In addition, the amount of head dips and whole body
 121 stretches in the open arm (unprotected) and close arm (protected arm) was also recorded. Finally,
 122 the number of times each animal crosses the centre of the EPM was recorded.

123 Tissue collection, DNA and RNA extraction

124 Pups were euthanized at three time points: at D1 (postnatal day 1), D20 (weaning), and Week 13.
 125 Behavioural assessments were performed on Week 13 pups a week before euthanasia. For this
 126 study, we were specifically interested in the changes occurring in the hippocampus but it was
 127 technically challenging to accurately dissect the hippocampus in the earlier time points. Therefore,
 128 at D1 and D20, whole brains were collected and snap frozen for epigenetic analysis. The
 129 hippocampus were micro-dissected from Week 13 pups before being snap frozen and stored at -
 130 80°C. The following time points were used to investigate neurological changes during gestation (D1),
 131 lactation (D20) and at maturity (Week 13). Genomic DNA and total RNA were extracted from frozen
 132 brain tissue using Isolate II RNA/DNA/protein kit (Bioline, MA, USA) following the manufacturer's
 133 protocol. The concentration of the extracted DNA and total RNA was then quantified with the
 134 Nanodrop™ 2000 Spectrophotometer (Thermo fisher scientific, MA, USA).

135 Global DNA methylation assay

136 DNA was assessed for global DNA methylation levels using a DNA 5-methylcytosine (5-mC)
 137 methylation ELISA kit (Zymo Research, Irvine, CA, USA). DNA samples (100 ng) were denatured at
 138 98°C for 5 minutes before incubation in the 5-mC coated well plates provided for an hour at 37°C.
 139 Wells were washed with 5-mC ELISA buffer before a mixture of anti-5-methylcytosine and
 140 secondary antibody was added to the wells. Horseradish peroxidase reagent was then added and the
 141 absorbance was measured between 405-450 nm using the Infinite M1000 PRO Microplate Reader
 142 (Tecan Group Ltd, Männedorf, Switzerland). Global DNA methylation was determined using a
 143 standard curve generated using a positive control that had been previously methylated.

144 Epigenetic PCR array and gene expression

145 RNA Integrity of the total RNA (1 µg) isolated from brain tissue was assessed using the Experion RNA
 146 StdSens Analysis Kit (Biorad, CA, USA) before they were reverse transcribed. The cDNA generated
 147 was then added as templates to the Mouse Epigenetic Chromatin Modification Enzymes RT²
 148 Profiler™ PCR Array (Table 1) according to manufacturer's protocol (Qiagen, CA, USA). PCR arrays
 149 were performed in the QuantStudio™ 12K Flex Real-Time 384 well-plate (Applied Biosystems, CA,
 150 USA) and relative changes in mRNA levels were determined by the $\Delta\Delta C_t$ method using the Qiagen
 151 Excel-based PCR array data analysis center ([http://www.qiagen.com/shop/genes-and-](http://www.qiagen.com/shop/genes-and-pathways/data-analysis-center-overview-page/#Excel)
 152 [pathways/data-analysis-center-overview-page/#Excel](http://www.qiagen.com/shop/genes-and-pathways/data-analysis-center-overview-page/#Excel)). Fold-change calculations were performed by
 153 methods established on the Qiagen website. Fold change between each test group is considered
 154 significantly different by a fold change of more than two. Ct cut-off was set to 35.

Total RNA (1 µg) was reverse transcribed to cDNA using Tetro cDNA synthesis kit (Bioline, MA, USA) following the manufacturer's protocol. cDNA was amplified by real-time PCR in reaction mixtures containing primers (20 pmol each) and SensiFast™ SYBR® No-ROX kit (Bioline, CA, USA). Amplification was performed in a CFX96™ Real-Time System (BioRad, CA, USA) using the following protocol: 95°C for 5 secs, T_m of specific primer set for 15 secs. Relative changes in mRNA gene expression were determined by the $\Delta\Delta C_t$ method³³ using glyceraldehyde 6-phosphate dehydrogenase (GAPDH) as the reference gene. All primer pair's sequences are listed in Table 2.

Statistical analysis

Data are expressed as mean \pm SD. For the analysis of the NOR test, the familiarisation and test phase was compared by using an unpaired two-tailed t-test. For the EPM, DNA methylation and gene expression, treatment groups were compared using one-way ANOVA with *Bonferroni's post-test analysis* to determine statistical significance.

Results

E-cigarette aerosol exposure

The experiments were undertaken without incident and the dams tolerated the aerosol exposure without discomfort. Litter sizes of 3-6 offspring were obtained from each dam and all male offspring were included in this study (n=126). There was no difference in birth weights or morbidity between the exposure status of the dams.

Maternal exposure to e-cigarette aerosol with nicotine causes short-term memory deficits

To test for short-term memory deficits, each offspring was assessed by the NOR test. The expected NOR result for a unimpaired animal is that there will be a significant increase in the recognition index in the *test phase* ($p < 0.05$) as shown for the sham mice (Figure 1A) and the Ecig(-nic) group (Figure 1C). However, offspring from Ecig(+nic) group did not show an increase in the recognition index during the test phase ($p = 0.535$, Figure 1B) indicating that offspring from maternal exposure to e-cigarette aerosols with nicotine may have short-term memory deficits.

Maternal exposure to e-cigarette aerosols causes anxiety and hyperactivity

To observe anxiety and exploratory activity, each offspring was assessed in the EPM test. The expected EPM result for an unimpaired animal is shown for the sham mice where only a small percentage of time is spent in the open arms of the maze (Figure 2). This provides a baseline level of anxiety and exploratory behaviour in our study. Both the Ecig(+nic) group ($p < 0.05$) and Ecig(-nic) group ($p < 0.05$) group spent a higher percentage of time in the open arms compared to the sham group indicating reduced anxiety levels.

Head dipping and whole body stretches are measures of exploration and a sensitive measure of anxiety in rodents^{34, 35}. These characteristics can be considered as risk assessment responses as they indicate the tendency of a mouse to remove itself from its safe surroundings and enter a space that is 'risky'. The sham offspring showed little exploratory behaviour (Figure 3A-D) whereas the Ecig(+nic) group had an increased number of both protected ($p < 0.05$) and unprotected head dips ($p < 0.05$) compared to the sham group (Figure 3A-B). There were no significant differences in protected stretches (Figure 3C) between test groups. However, there was a significant increase in the number of unprotected stretches (Figure 3D) in the Ecig(-nic) group compared to the sham group ($p < 0.05$).

The number of times an animal completely crosses the center platform of the EPM is a measure of exploration and locomotor activity. The sham mice crossed the center relatively infrequently (Figure

4) providing a baseline level of motor activity and exploration in this study. Both the Ecig(+nic) group ($p < 0.05$) and Ecig(-nic) group ($p < 0.05$), however, showed an increase in the number of center crosses compared to the sham group (Figure 4).

E-cigarette aerosols without nicotine increases global DNA methylation at D1 and D20

DNA methylation plays an important role in controlling gene expression that can subsequently affect the developmental changes observed. As cigarette smoking has been associated with modification of DNA methylation³⁶, we next assessed whether e-cigarettes also affects global DNA methylation levels in the brain. D1 Ecig(-nic) and D20 Ecig(-nic) samples showed significantly higher global DNA methylation compared to the sham group ($p < 0.05$ and $p < 0.05$, respectively; Figure 5A&B). At week 13, global DNA methylation was investigated only in the hippocampus and we observed no significant global DNA methylation change between the control and treatment groups in these older-aged mice (Figure 5C).

E-cigarettes aerosols induce changes to mRNA expression profile of chromatin modification enzyme-related genes

The changes in global DNA methylation in the whole brain indicated that e-cigarette aerosols induce epigenetic changes. Therefore, we investigated whether exposure to e-cigarette aerosols affects the gene expression of key chromatin modification enzymes that have been known, or are predicted to modify, the epigenome in the offspring brain. From our PCR array, we have identified 13 genes that showed significant change by a fold change of more than two (Figure 6&7). In the Ecig(+nic) group at D1, gene expression of Aurkc, Setd3, Smyd1 showed significant fold changes of 3.9, 29.7 and -2.2 respectively compared to the sham group ($p < 0.05$) (Figure 6). In the Ecig(-nic) group at D1, gene expression of Ash1l, Atf2, Aurka, Aurkc, Carm1, Asco1, Setd3 had a significant fold changes of 2.2, 3.0, 2.1, 3.5, 2.3, 2.2, 30.4 respectively compared to the sham group ($p < 0.05$) (Figure 6). However, at Week 13 in the hippocampus, gene expression of Aurkb, Aurkc, Csrp2bp, Dnmt3a, Dnmt3b, Kdm5c, Smyd1 had significant fold changes of -2.1, 3.7, 31.1, 2.2, 2.4, 2.7, 2.5 respectively compared to the sham group ($p < 0.05$) (Figure 7). In addition, in the Week 13 Ecig(-nic) group, genes expression of Aurkb, Aurkc, Csrp2bp had significant fold changes of -9.2, -2.1 and 16.4, respectively, compared to the sham group ($p < 0.05$) (Figure 7). The gene expression levels of enzymes involved in epigenetic changes included those responsible for DNA methylation in the D1 whole brains and Week 13 hippocampus samples. These PCR array results were confirmed by RT-qPCR (Table 3).

Although we did not see significant changes in DNA methylation in the hippocampus, this does not necessarily mean that we are not seeing any epigenetic changes elsewhere. Therefore, we analysed epigenetic changes in the hippocampus of the brain in Week 13 offspring and the whole brains at D1 and D20 since hippocampus collection at these time points would not provide sufficient RNA yield to investigate epigenetic changes in this study.

Maternal exposure to e-cigarette aerosol affects offspring DNA methyltransferases

DNA methyltransferases (Dnmt3a, Dnmt3b) are involved in *de novo* DNA methylation which determines whether a gene is expressed or not³⁷. Validation of PCR array results by RT-qPCR shows that for Dnmt3a gene expression, a significant decrease of 41.0 ($p = 0.036$) was shown in the Ecig(+nic) group compared to the sham group at D20 (Table 3). In addition, Dnmt3a gene expression at D20 showed a significant increase of 73.9% ($p < 0.005$) in the Ecig(-nic) compared to the Ecig(+nic) group. No changes were observed in offspring at D1 and Week 13 (Table 3). For Dnmt3b gene expression, a significant decrease of 46.2 ($p < 0.005$) and 34.0% ($p = 0.006$) were observed in the Ecig(+nic) and Ecig(-nic) groups respectively, compared to the sham group. No change in Dnmt3b gene expression was observed in offspring at D1.

Maternal exposure to e-cigarette aerosol effects offspring histone-lysine demethylases

Histone-lysine demethylases (KDM) control the access of transcriptional enzymes to parts of the DNA and to remove a methyl group from histones³⁸. In addition, KDMs are known to be important in embryogenesis in zebra fish³⁹. Validation of PCR array results by RT-qPCR shows that for Kdm5c gene expression, a significant increase of 46.4% ($p = 0.021$) in Ecig(-nic) compared to the Ecig(+nic) group at D20 (Table 3). Kdm5c gene expression was significantly decrease of 38.8% ($p = 0.018$) and 40.4% ($p = 0.018$) in Ecig(+nic) and Ecig(-nic) respectively compared to the sham group at Week 13. No changes were observed in offspring at D1. For Kdm6b gene expression, a significant increase of 47.1% ($p = 0.007$) was shown in the Ecig(+nic) group compared to the Ecig(-nic) group at D20. No change in Kdm5c gene expression was observed at D1 and Week 13 (Table 3).

Maternal exposure to e-cigarette aerosol effects offspring histone acetyltransferases but not histone deacetylase

Activating transcription factor-2 (Atf2) is a histone acetyltransferase that activates transcription in a sequence-specific manner and is important in neuronal development in the brain⁴⁰⁻⁴². Validation of PCR array results by RT-qPCR shows that for Atf2 gene expression, a significant decrease of 19.7% ($p < 0.005$) and 24.1% ($p < 0.005$) was shown in Ecig(+nic) and Ecig(-nic) groups, respectively, compared to the sham group at D1 (Table 3). At D20, Atf2 gene expression was significantly increased by 26.6% ($p = 0.343$) in the Ecig(-nic) group compared to the Ecig(+nic) group. At Week 13, Atf2 gene expression was significantly decreased by 7.6% ($p < 0.005$) and 12.8% ($p < 0.005$) in the Ecig(+nic) and Ecig(-nic) group, respectively, compared to the sham group. No change in histone deacetylase (Hdac1) gene expression was observed in offspring at any time point.

Maternal exposure to e-cigarette aerosol effects offspring histone phosphorylation

Aurora kinases (Aurka, Aurkb, Aurkc) are involved in chromatin condensation, alignment and segregation during mitosis by phosphorylation of histones⁴³. Validation of PCR array results by RT-qPCR shows that Aurka gene expression was significantly decreased by 50.8% ($p < 0.005$) and 31.8% ($p < 0.005$) in the Ecig(-nic) group compared to the sham and Ecig(+nic) group respectively. Similarly, at D20, Aurka expression was significantly decreased by 38.1% ($p < 0.005$) in the Ecig(-nic) group compared to the sham group. No change in Aurka gene expression was observed in offspring at Week 13. At D1, gene expression of Aurkb was significantly decreased by 38.3% ($p < 0.005$) and 37.3% ($p < 0.005$) in the Ecig(+nic) and Ecig(-nic) group, respectively, compared to the sham group. At D20, Aurkb gene expression was significantly decreased by 19.7% ($p < 0.005$) in the Ecig(+nic) group compared to the sham group. No change in Aurkb gene expression was observed in offspring at Week 13. For Aurkc gene expression, we found a significant decrease by 22.7% ($p < 0.005$) in the Ecig(+nic) group compared to the sham group at D20. In addition, Aurkc gene expression was significantly increased by 30.1% ($p = 0.023$) in the Ecig(-nic) group compared to the Ecig(+nic) group. No change in Aurkc gene expression was observed in offspring at D1 and Week 13.

Discussion

E-cigarettes are generally considered safer than conventional tobacco cigarettes, however little is known about the effects of maternal exposure to e-cigarette aerosols on offspring. In this study, we used a mouse model to investigate behavioral (memory, anxiety and hyperactivity) and epigenetic changes occurring in the brains of offspring after maternal exposure to e-cigarette aerosols with and without nicotine. The offspring from mothers exposed to e-cigarette aerosols showed short-term memory deficits, reduced anxiety and hyperactivity in adulthood. In addition to the neurological changes observed, global DNA methylation and alterations in chromatin modification enzymes were found in all stages of the developing offspring.

The present study is the first to show that offspring from mothers exposed to e-cigarette aerosols with nicotine showed short-term memory deficits, while those without nicotine did not. Short-term memory deficits have been previously identified in the offspring from smoke-exposed dams²⁵ and in mice exposed to nicotine via drinking water⁴⁴ suggesting that the memory deficits seen here in the offspring are solely due to the intrauterine nicotine exposure.

The EPM test revealed that offspring from dams exposed to e-cigarette aerosols with and without nicotine had reduced anxiolytic-like behavior. These offspring tended to take more risks exploring new environments and were more hyperactive. This change in behavior might be due to other constituents within the e-cigarette aerosols apart from nicotine. E-cigarette aerosols are produced by superheating e-liquids containing propylene glycol, vegetable glycerin, flavourings and different concentrations of nicotine. The effects of propylene glycol have been investigated by Smith and colleagues and they have found that offspring showed a significant increase in head dipping from animals exposed to propylene glycol and nicotine compared to pre- and postnatal exposure to ambient air²³. In addition, intraperitoneal injections of propylene glycol in the developing mouse brain showed neuronal apoptosis and degeneration at D7⁴⁵. Although propylene glycol was approved by the Food and Drug Administration to be used as a solvent for pharmaceuticals, cosmetics and food, studies have reported airway and ocular irritability from acute propylene glycol 'mist' exposure in the entertainment and aviation industry^{46, 47}. Given that the e-liquids tested in this study has propylene glycol as one of the main ingredients (50%), this suggests that propylene glycol could be potentially toxic to the central nervous system in offspring from dams exposed to e-cigarette aerosols. This is reflected in the Nor and EPM tests. The other component, vegetable glycerine, makes up the other 50% in the e-liquid tested but not much is known about the effects of vegetable glycerin inhalation.

There are over 7000 different flavoured e-liquids available on the market. In addition, e-cigarette users can make their own concoction of e-liquids. There is very little regulation surrounding the content and manufacture of e-cigarette flavorings. Moreover, the exact chemical makeup of these fluids vary from product to product. There are examples of respiratory issues arising in cohorts of people working in certain food industries that have been linked to flavouring products e.g. *bronchiolitis obliterans* has been linked to 'popcorn' lung disease which is effected by the flavourant *diacetyl* which imparts a buttery flavour⁴⁸. Moreover, there are 34 substances that are listed as 'high priority' substances that may cause respiratory hazards in flavour-manufacturing workplaces⁴⁹. Potentially hazardous chemicals that are on the list include acetaldehyde, acetoin, and diacetyl; all of which have been present in numerous e-liquids⁵⁰⁻⁵². While it is possible, and even likely, that some of the chemicals in e-liquids may be detrimental when heated and inhaled, we cannot attribute any of the neurological deficits seen in the offspring in the current study directly to the tobacco flavorings, although this is certainly an area that needs more investigation.

It has been reported that maternal tobacco smoking causes changes to DNA methylation in the offspring in cord blood⁵³, and placenta^{11, 12, 24}. We report here for the first time that e-cigarette exposure in a mice model of pregnancy resulted in changes in global DNA methylation in offspring brain. This finding is in line with our recent study that showed a global DNA methylation in the lungs of mice offspring after maternal exposure to e-cigarette aerosols²⁰. Although, global DNA methylation does not specifically show which genes are methylated, it provides a snapshot of epigenetic changes that occur during a specific time. Our data showed that DNA hypermethylation were observed in the brain of offspring from maternal exposure to e-cigarette aerosols without nicotine right after birth and weaning but was no longer detected as the offspring reaches adulthood. In the early stages of life, offspring were housed with their mothers during lactation. This

suggests that substances from the e-cigarette aerosol are being transferred in the breastmilk which may be causing DNA hypermethylation only at these young ages. This also correlates with why we are not seeing similar changes in adulthood once the offspring were weaned from their dam. There are many drugs that can be transferred in the breastmilk which includes, but not limited to, nicotine, caffeine, alcohol and cocaine.⁵⁴⁻⁵⁷.

We have shown that DNA hypermethylation in offspring from maternal exposure to e-cigarette aerosol. This can potentially induce changes to the epigenome that may lead to imprinting of genes that can play a role in the development of pathophysiological conditions later in life. For example, other studies have shown changes to the epigenome is associated with risk of late-onset Alzheimer's disease⁵⁸⁻⁶⁰. Moreover, this effect was independent of nicotine. Therefore, future directions for this study are to determine which regions of the brain have alterations in DNA methylation and pinpoint which genes, if any, are being methylated.

Further exploration of our DNA methylation result observed significant changes in chromatin modification enzymes in the offspring brains. Moreover, gene expression levels of the epigenetic enzyme family, namely DNA methyltransferase, histone demethylase, histone acetyltransferase and histone phosphorylation, were significantly altered in offspring brain after birth, weaning and into adulthood.

DNA methyltransferases, Dnmt3a and Dnmt3b, have been shown to play a key role in neurogenesis and are dynamically present in different regions of the brain such as the cortex, cerebellum and hippocampus⁶¹. From our results, maternal exposure to e-cigarette aerosols, with or without nicotine, resulted in a trend towards an overall reduced Dnmt3a/Dnmt3b gene expression level, particularly in adulthood. Okano and colleagues generated Dnmt3-deficient mice and found the mice with heterozygous expression of Dnmt3a and Dnmt3a gene were 'grossly normal'³⁷. However, a homozygous Dnmt3a deletion resulted in death by 4 weeks and a homozygous Dnmt3b gene deletion was lethal at birth³⁷. In addition, mouse embryonic stem cell studies by Li and colleagues suggested that ablation of Dnmt3a and Dnmt3b results in a significant reduction in genes Oct4 and Nanog, both important in the regulating development⁶². This suggests that maternal exposure to e-cigarettes could result in DNA methylation changes in the epigenome that can lead to developmental changes in the offspring.

Histone demethylase plays an important role in gene activation and suppression⁶³, although the functional role of histone demethylases is not well understood. However, mutations of the histone demethylase Kdm5c gene may cause mental retardation in humans^{64, 65}. Here we demonstrated that mice dams exposed to e-cigarette aerosols before and during pregnancy causes a reduction in Kdm5c gene expression in adult offspring. Iwase and colleagues reported Kdm5c knockdown in mice showed learning deficits as well as a reduction in dendritic spine density⁶⁶. In addition, Kdm5c knockdown in zebrafish resulted in neuronal loss, abnormal neural tube restructuring and decreases in lengths of granule neuronal dendrites in the cerebellum⁶⁷. From the ample links between Kdm5c and the brain, our data suggest maternal exposure to e-cigarette aerosols may result in a delay in mental development and, therefore, working memory. This is consistent with the NOR results from offspring from mothers exposed to aerosols containing nicotine (Figure 1).

Histone acetyltransferases and histone deacetylases are important in the turnover of acetyl-groups that control gene transcription within the genome⁶⁸. Histone acetyltransferase Atf2 have been found to be expressed in granule cells in hippocampal neurons, cerebral cortex, substantia nigra and the cerebellum^{41, 69}. Our results show an overall decrease in Atf2 gene expression in mice offspring directly after birth, at weaning and at adulthood. Down-regulation of Atf2 has been shown in

neurodegenerative diseases such as Alzheimer's disease and Parkinson's disease⁴¹. Our results may indicate that mothers exposed to e-cigarettes may alter the development of granule cells in these particular regions of the brain which ultimately can lead to neurodegenerative conditions.

Histone phosphorylation enzymes such as those in the family of aurora kinases, are essential in the regulation of cell division and embryonic development. Here we show an overall decrease in gene expression of the aurora kinases in offspring brain directly after birth, at weaning and at adulthood. *In vitro* studies have shown that the knockdown of AurkB reduces telomere activity which is important in cell mitosis^{70, 71}. siRNA knockdown of Aurka has been shown to cause multiple mitotic defects such as instability in microtubules⁷², improper completion of cytokinesis⁷³ and chromosome condensation delays⁷⁴. Moreover, a depletion in Aurka expression in zebrafish resulted in developmental retardation⁷⁵. This may suggest that a reduction in aurora kinases in offspring from dams exposed to e-cigarette aerosols may cause instability in cell division that could lead to neurological changes that can carry on into adulthood. This is consistent with our behavioural data.

We conclude that the exposure of mouse dams to e-cigarette aerosol leads to behavioral changes in the adult offspring. The effect may be due to inhaling aerosols containing nicotine or inhaling aerosols alone. We also demonstrated significant changes in global DNA methylation that was associated, in part, with significant changes in chromatin modification enzymes in the brains of the offspring. Whether these epigenetic changes can potentially cause developmental delays and cognitive deficits in offspring requires further study. Future research should therefore include investigating downstream genes that are affected by DNA methyltransferases, histone demethylase, acetyltransferase and phosphorylation, and to precisely determine the contributing chemical factors found in e-cigarette aerosols and their mechanism of interaction with the brain. Overall, this study highlights our limited understanding of the potential neurological risks that e-cigarettes can have if mothers use e-cigarettes during their pregnancy.

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Abbreviations

ANOVA, analysis of variance; D, postnatal day; E-cigarettes, electronic cigarettes; EPM, elevated plus maze; nic, nicotine; NOR, novel object recognition

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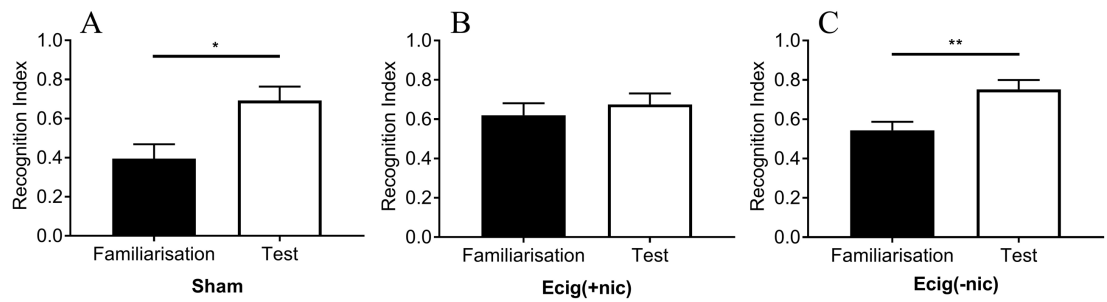
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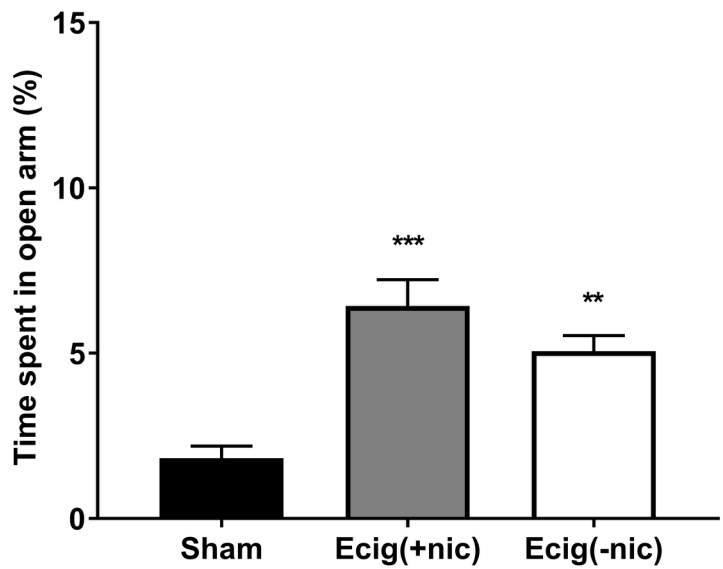
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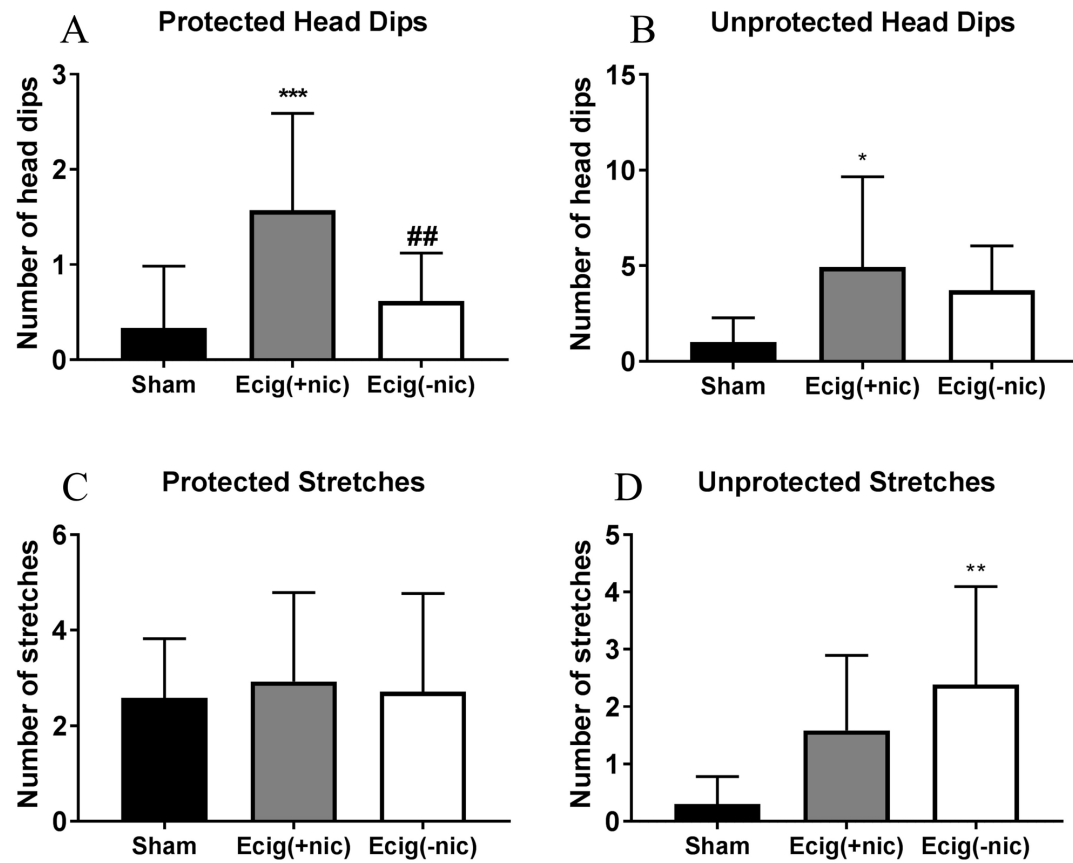
667

668 **Figure 1.** Novel object recognition (NOR) test. Recognition index for Week 13 offspring from dams
669 exposed to A) ambient air (sham) (n=14), B) Ecig(+nic) (n=14) and C) Ecig(-nic) groups (n=14) in the
670 familiarization phase and test phase. Data represents average \pm standard deviation, *p<0.05,
671 **p<0.01. Ecig = Electronic cigarette, \pm nic = \pm nicotine.



672

673 **Figure 2.** Elevated plus maze (EPM) test –closed versus open arms. The percentage of time spent in
674 the open arm for Week 13 offspring from dams exposed to ambient air (sham) (n=14), Ecig(+nic)
675 (n=14) and Ecig(-nic) group (n=14). Data represents average \pm standard deviation, **p<0.01,
676 ***p<0.001. Ecig = Electronic cigarette, \pm nic = \pm nicotine.



677

678 **Figure 3.** Elevated plus maze (EPM) test – head dips and body stretches. Total number of A)
 679 protected head dips, B) unprotected head dips, C) protected stretches and D) unprotected stretches
 680 observed in the elevated plus maze for Week 13 offspring from dams exposed to ambient air (sham)
 681 (n=14), Ecig(+nic) (n=14) and Ecig(-nic) (n=14). Data represents average \pm standard deviation,
 682 *p<0.05, **p<0.01, ***p< 0.001 vs. sham, ##p<0.01 vs. Ecig(+nic). Ecig = Electronic cigarette, \pm nic =
 683 \pm nicotine.

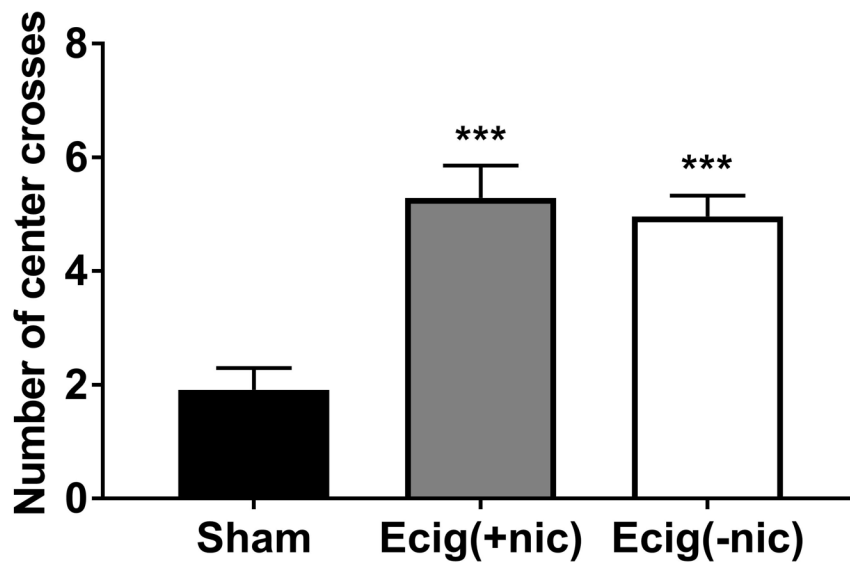


Figure 4. Elevated plus maze (EPM) test – center crosses. Number of center crosses on the elevated plus maze for Week 13 offspring from dams exposed to ambient air (sham) (n=14), Ecig(+nic) (n=14) and Ecig(-nic) group (n=14). Data represents average \pm standard deviation, ***p<0.001. Ecig = Electronic cigarette, \pm nic = \pm nicotine.

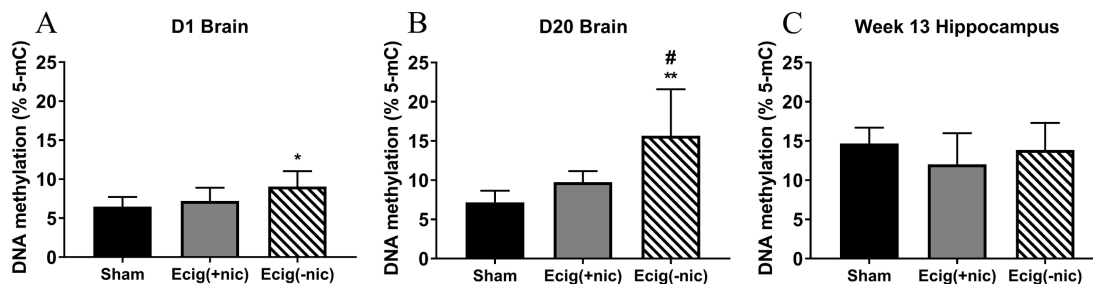


Figure 5. Percentage of global DNA methylation in the brains of offspring from dams exposed to ambient air (sham) (n=8), Ecig(+nic) (n=6) and Ecig(-nic) (n=8) at A) postnatal day 1 (D1), B) postnatal day 20 (D20) and C) in the hippocampus at Week 13. Data represents average \pm standard deviation, *p<0.05, **p<0.01 vs. sham, #p<0.05 vs. Ecig(+nic). Ecig = Electronic cigarette, \pm nic = \pm nicotine.

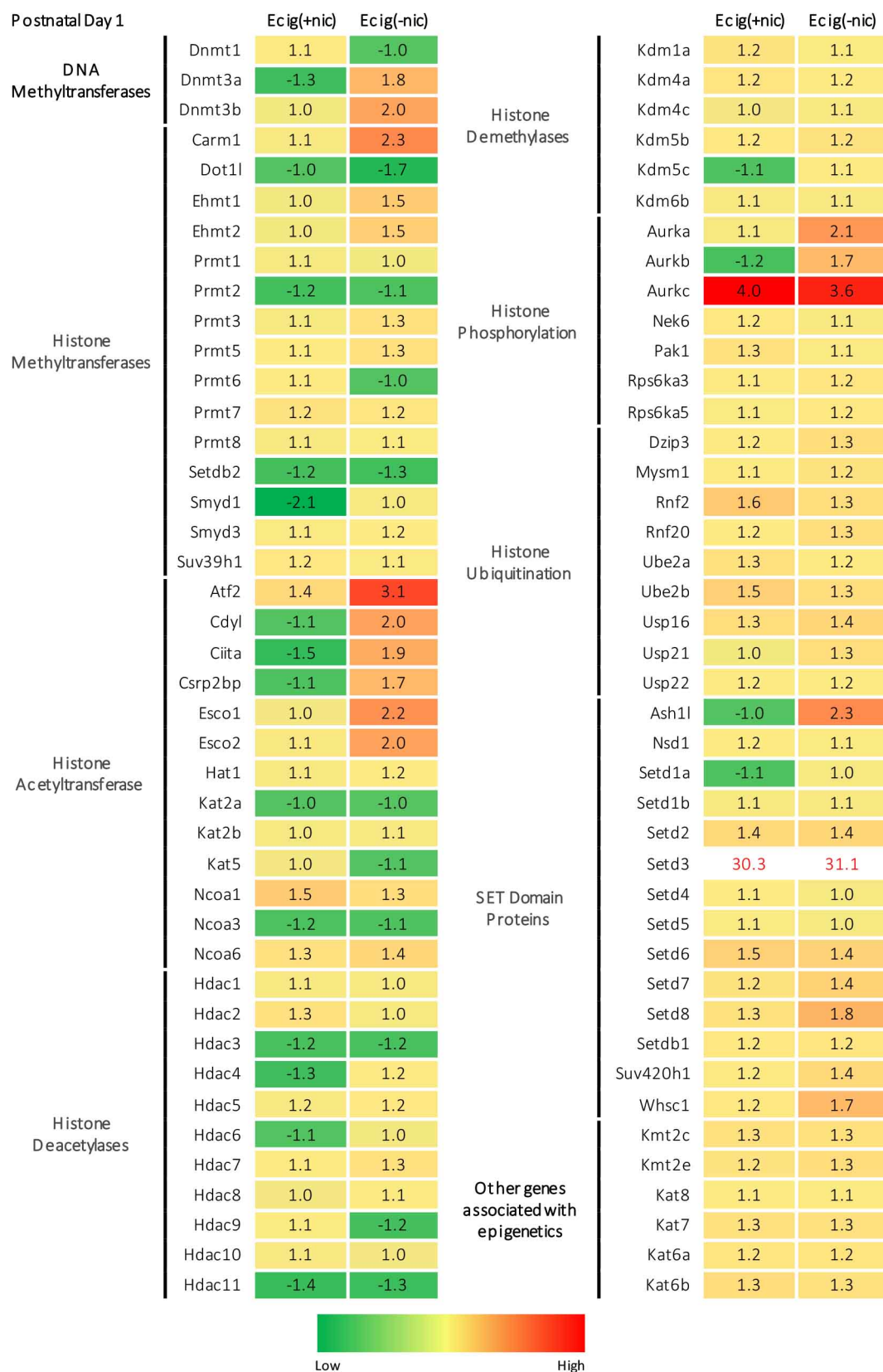


Figure 6. Heat map of epigenetic genes fold changes in Ecig(+nic) (n=3) and Ecig(-nic) group (n=3) normalized to the sham group (n=3) at Postnatal Day 1. Fold changes of greater than two were considered significantly changed.

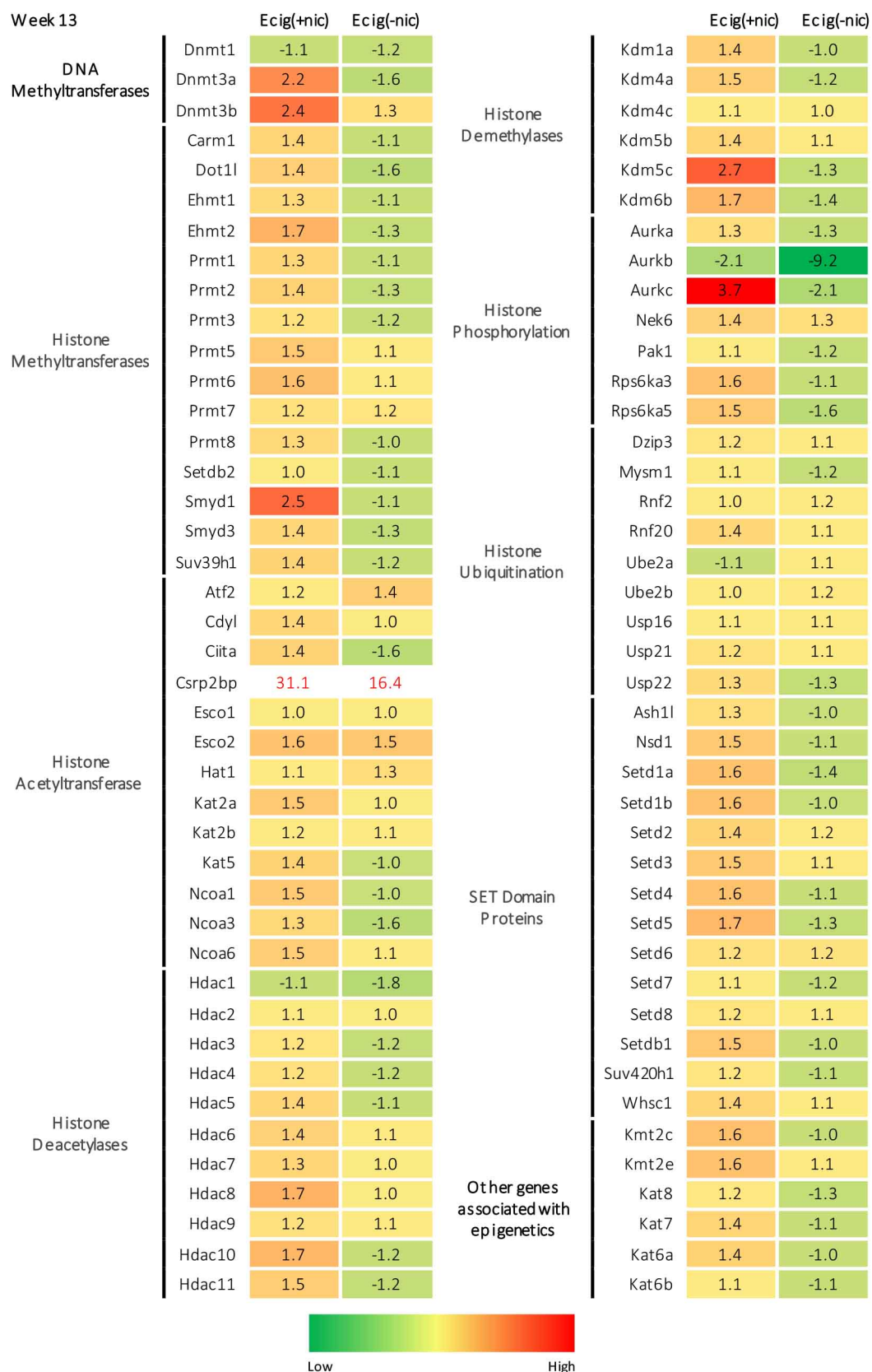


Figure 7. Heat map of epigenetic genes fold changes in Ecig(+nic) (n=3) and Ecig(-nic) group (n=3) normalized to the sham group (n=3) at Week 13. Fold changes of greater than two were considered significantly changed.

Class	Gene
DNA Methyltransferases	Dnmt1, Dnmt3a, Dnmt3b
Histone Methyltransferases	Carm1, Dot1l, Ehmt1, Ehmt2, Mll3, Prmt1, Prmt2, Prmt3, Prmt5, Prmt6, Prmt7, Prmt8, Setdb2, Smyd1, Smyd3, Suv39h1
Histone Demethylases	Kdm1a, Kdm5b, Kdm5c, Kdm4a, Kdm4c, Kdm6b
Histone Acetyltransferases	Atf2, Cdy1, Ciita, Csrp2bp, Esco1, Esco2, Hat1, Kat2a, Kat2b, Kat5, Myst1, Myst2, Myst3, Myst4, Ncoa1, Ncoa3, Ncoa6
Histone Deacetylases	Hdac1, Hdac2, Hdac3, Hdac4, Hdac5, Hdac6, Hdac7, Hdac8, Hdac9, Hdac10, Hdac1
Histone Phosphorylation	Aurka, Aurkb, Aurkc, Nek6, Pak1, Rps6ka3, Rps6ka5
Histone Ubiquitination	Dzip3, Mysm1, Rnf2, Rnf20, Ube2a, Ube2b, Usp16, Usp21, Usp22
SET Domain Proteins	Ash1l, Mll5, Nsd1, Setd1a, Setd1b, Setd2, Setd3, Setd4, Setd5, Setd6, Setd7, Setd8, Setdb1, Suv420h1, Whsc1

702

703 **Table 1.** Genes associated or predicted to influence epigenetic modification enzymes and gene
704 expression included in the PCR array kit, listed by class.

Gene ID	Description	F/R	Primer sequence (5'→3')
Atf2	Activating transcription factor 2	Forward	CTTCACTGATAAAACACGAC
		Reverse	TGTTTATGGACAGCCAAATG
AurkA	Aurora kinase A	Forward	CAGAGAACAGCTACTTACATC
		Reverse	GTCTGCAATTCTTCAACTCTC
AurkB	Aurora kinase B	Forward	AAGTCTCAGATTGAGAAGGAG
		Reverse	GAAGGATGTTGGGATGTTTC
AurkC	Aurora kinase C	Forward	TCTCTCAGGAGAAAGACAATG
		Reverse	AAACTTAAAATCCACCTGGC
Dnmt3a	DNA methyltransferase 3a	Forward	ACCAGAAGAAGAGAAGAATCC
		Reverse	CAATGATCTCCTTGACCTTAG
Dnmt3b	DNA methyltransferase 3b	Forward	GACTTCATGGAAGAAGTGAC
		Reverse	TATCATCCTGATACTCTGTGC
Kdm5c	Lysine (K)-specific demethylase 5c	Forward	AATGCCAGTTTATTGAGTC
		Reverse	TAATTGTCATCACAGCCATC
Kdm6b	Lysine (K)-specific demethylase 6b	Forward	CTGGCATGTTGAAGTAATCAG
		Reverse	TCAAGATGATCAAGTCTGC
Hdac1	Histone deacetylase 1	Forward	CAATCTGACCATCAAAGGAC
		Reverse	CCGGTCCAAAGTATTCAAAG

705

706 **Table 2.** PCR primer sequences.

Time point	Encoded protein	Sham	Ecig(+nic)	Ecig(-nic)
Postnatal Day 1	Dnmt3a	1.1 ± 0.5	0.8 ± 0.1	0.7 ± 0.1
	Dnmt3b	1.0 ± 0.4	1.2 ± 0.2	1.1 ± 0.2
	Kdm5c	1.0 ± 0.2	1.0 ± 0.3	0.7 ± 0.2
	Kdm6b	1.0 ± 0.2	1.0 ± 0.1	0.9 ± 0.2
	Atf2	1.0 ± 0.1	0.8 ± 0.1**	0.8 ± 0.1***
	Hdac1	1.0 ± 0.2	0.9 ± 0.1	0.8 ± 0.2
	Aurka	1.0 ± 0.2	0.8 ± 0.2	0.5 ± 0.1**†
	Aurkb	1.0 ± 0.1	0.6 ± 0.1***	0.6 ± 0.0***
	Aurkc	1.1 ± 0.5	2.2 ± 1.3	3.5 ± 1.7
Postnatal Day 20	Dnmt3a	1.0 ± 0.2	0.6 ± 0.2*	1.3 ± 0.3†††
	Dnmt3b	1.0 ± 0.2	0.6 ± 0.1***	0.8 ± 0.1
	Kdm5c	1.0 ± 0.3	1.0 ± 0.2	1.4 ± 0.3†
	Kdm6b	1.0 ± 0.2	0.8 ± 0.2	1.2 ± 0.2††
	Atf2	1.0 ± 0.2	1.0 ± 0.2	1.3 ± 0.1†
	Hdac1	1.0 ± 0.2	0.8 ± 0.1	1.1 ± 0.4
	Aurka	1.0 ± 0.2	0.9 ± 0.1	0.6 ± 0.2**
	Aurkb	1.0 ± 0.1	0.8 ± 0.1**	0.6 ± 0.3
	Aurkc	1.0 ± 0.2	0.8 ± 0.1**	1.1 ± 0.4†
Week 13	Dnmt3a	1.0 ± 0.1	0.9 ± 0.1	0.9 ± 0.1
	Dnmt3b	1.0 ± 0.1	0.7 ± 0.1**	0.8 ± 0.2
	Kdm5c	1.0 ± 0.3	0.6 ± 0.1*	0.6 ± 0.1**
	Kdm6b	1.0 ± 0.2	0.8 ± 0.1	0.9 ± 0.1
	Atf2	1.0 ± 0.1	0.9 ± 0.1*	0.9 ± 0.0**
	Hdac1	1.0 ± 0.1	0.8 ± 0.1	0.9 ± 0.1
	Aurka	1.0 ± 0.1	0.8 ± 0.1	1.0 ± 0.1
	Aurkb	1.0 ± 0.2	0.7 ± 0.2	0.8 ± 0.1
	Aurkc	1.0 ± 0.3	0.8 ± 0.3	1.2 ± 0.3

707

708 **Table 3.** Confirmation of genes associated or predicted to influence epigenetic modification enzymes
709 in postnatal day 1 brains, postnatal day 20 brains, and Week 13 micro-dissected hippocampus
710 tissues by RT-qPCR. Ecig(+nic) (n=6) and Ecig(-nic) (n=6) data is expressed as the percentage gene
711 expression normalised to the sham group (n=6). Data represents average ± standard deviation,
712 *p<0.05, **p<0.01, ***p<0.001 vs. sham, †p<0.05, ††p<0.01, †††p<0.001 vs. Ecig(+nic).