"The most powerful form of activism is just the way you live": grassroots intentional communities and the sustainability of everyday practice

#### **Matthew Daly**

Institute for Sustainable Futures University of Technology Sydney

Thesis submitted for the award of Doctor of Philosophy

July 2018

## **Abstract**

Changing household consumption patterns may be the fastest pathway for reducing the currently unsustainable levels of resource consumption in the developed world. Between 50% and 80% of global land, water and material consumption and greenhouse gas emissions can ultimately be traced to household consumption, contributing substantially to environmental degradation. Changing household consumption practices therefore presents an opportunity to significantly reduce this negative environmental impact. This thesis has explored 'intentionally sustainable communities', such as ecovillages and cohousing communities, as sites where significant changes to household consumption are occurring. These communities are niche sites of grassroots innovation; crucibles in which new arrangements of potentially innovative and sustainable household practices are formed that may (or may not) be usefully transferred to mainstream households.

This research examines the extent to which these intentionally sustainable communities have reduced their environmental impacts. It then explores the environmentally beneficial household consumption practices that have been established and sustained, the role of the intentionally sustainable community in enabling members to change their practices, and the potential for these communities to have wider influence.

A mixed method approach was adopted, first undertaking a systematic literature review of ecological and carbon footprint studies of intentional communities globally. Second, two Australian case study communities; a rural land sharing cooperative (Bundagen), and an urban cohousing community (Murundaka), explored the practices and elements of practice that residents perceived as significant for their everyday sustainability. Finally, the potential for Murundaka to influence household consumption practices on a wider scale was considered.

The systematic review found strong but limited evidence that many intentional communities are achieving substantial reductions in environmental footprints. Empirical insights from the case studies revealed that a broad range of community-led interventions across many domains of practice were improving household sustainability in the communities. Key elements discussed include explicit and shared meanings from creating a community vision, the impacts of shared spaces, infrastructures and resources, and the role of community dynamics in circulating competences through effective social learning. The research highlights the key role played by non-mainstream practices such as the intentional, resident-driven creation of community and community-scale governance. These practices enable community members to act as both policy makers and practitioners, with greater scope and reflexivity to intervene in the systems of practice which shape their daily lives. Finally, pathways through which intentionally sustainable communities may be able to influence the practices of wider society were discussed, through an exploration of the influence of Murundaka on its broader community.

i

**CERTIFICATE OF ORIGINAL AUTHORSHIP** 

I certify that the work in this thesis has not previously been submitted for a degree nor has it been

submitted as part of requirements for a degree except as part of the collaborative doctoral degree

and/or fully acknowledged within the text.

I also certify that the thesis has been written by me. Any help that I have received in my research

work and the preparation of the thesis itself has been acknowledged. In addition, I certify that all

information sources and literature used are indicated in the thesis.

Signature of Student:

Production Note:

Signature removed prior to publication.

Date: 01/07/2018

This research is supported by an Australian Government Research Training Program Scholarship.

ii

## Acknowledgements

There are many people who provided invaluable support, guidance and sustenance to me throughout my research. Firstly, thanks to my supervisors Professor Chris Riedy and Associate Professor Jason Prior. Your guidance, knowledge and encouragement have made this research experience one that I will carry with me forever. I am also indebted to Dr Bill Metcalf for his advice and encouragement since I commenced.

This research would not have been possible without all of the research participants who took the time to share their thoughts and experience with me. I am grateful to everyone for inviting me into their homes but wish to especially thank Jamie and Rejane at Bundagen and Heidi and Giselle at Murundaka for all the help in hosting me during my visits, and logistical support in communicating with everyone else throughout.

I would also like to deeply thank all the students and staff in the postgraduate program at the Institute for Sustainable Futures, variously run by Professor Cynthia Mitchell, Dr Kumi Abeysuriya, Professor Juliet Willets, Professor Chris Riedy and Associate Professor Jason Prior through my research. The annual retreats, the Groups for Accountability and Support, the writing groups, the futsal teams, all have enriched my experience and expanded the horizons of my research.

I am very grateful for the love and support of my family and friends throughout the PhD journey. Thanks to my wonderful fiancée, Ingrid, and our daughter, Amelie, without whose support, tolerance and love this thesis would not have been possible. Huge thanks to Tom Lowe and Sharon Flynn for your knowledge and time in editing the whole thesis. Thanks to Mum and Dad for their continuous support, encouragement, proofreading and babysitting skills. Finally, Dan, I've enjoyed the countless coffees and phone calls breaking down the process of crafting a PhD.

## Table of Contents

Abstrac	t	i
Chapter	1. Introduction	1
1.1	The Anthropocene – The Global Context	1
1.2	Sustainable consumption and social justice	4
1.3	Rationale for research	6
1.4	Research Agenda	10
1.5	Thesis outline	11
Chapter	2. Household consumption and intentionally sustainable communities	17
2.1	The global environmental impact of household consumption	17
2.2	Policy approaches to sustainable consumption	21
2.3	Sustainable Consumption: factors and frameworks	29
2.4	Grassroots innovative niches in sustainability transitions	35
2.5	Intentional communities as grassroots innovation	41
2.6	Implications for research: Intentional communities as niche sites of sustainable consu 58	ımption
Chapter	•	
3.1	Understanding Social Practice Theory	64
3.2	Social practice theory and sustainable consumption	
3.3	The spread and transformation of social practice	72
3.4	Governing practice change	75
3.5	Inter-relationship between social practice theory and transitions theories	80
3.6	Summary	82
Chapter	4. Research Design	83
4.1	Introduction	_
4.2	Research paradigm	84
4.3	Research agenda	86
4.4	Methodology	92
4.5	Methods	107
4.6	Data Analysis and reporting	113
4.7	Ethical considerations	115
4.8	Summary	116
Chapter commu	<ol> <li>Quantifying the environmental impact of ecovillages and co-h nities 117</li> </ol>	nousing
5.1	Introduction	117
5.2	Quantifying the environmental impact of ecovillage and co-housing communities	118
5.3	Supplement – Bundagen ecological footprint	138
5.4	Ecological footprinting and social practices	141
5.5	Summary	141

Chapte	r 6. Sustainable consumption practices at Bundagen Cooperative Comm	unity145
6.1	Introduction	145
6.2	Creating home and community	148
6.3	Governing home and community	164
6.4	Dwelling the house	173
6.5	Food provisioning	195
6.6	Summary	207
Chapte	r 7. Sustainable consumption practices at Murundaka Cohousing Comm	unity 209
7.1	Introduction	209
7.2	Creating home and community	211
7.3	Governing home and community	225
7.4	Dwelling the house	233
7.5	Food provisioning	245
7.6	Mobility and Transport	253
7.7	Conclusion	258
Chapte commu	r 8. Interventions for sustainable practices: the intentionally inities' perspective	
8.1	Introduction	260
8.2	Analysing sustainable consumption interventions in practice	262
8.3	Key interventions in the elements of practice	266
8.4	Systems of interlinking practices at Bundagen and Murundaka	280
8.5	Practices for sustainability interventions	285
8.6	Summary	296
Chapte	r 9. Influencing sustainable household practices on a wider scale	299
9.1	Introduction	299
9.2	How does Murundaka influence sustainable practice on a community scale? (Dire 304	ect influence)
9.3	How can Murundaka influence professional practices (indirect)	311
9.4	Murundaka as a grassroots niche: regime influence and diffusion pathways	317
9.5	Ideas for the more effective spread of sustainable consumption from intentional of 323	communities
Chapte	r 10. Conclusion	329
10.1	Main findings of the research	330
10.2	Research contribution	338
10.3	Implications for policy and practice groups	342
10.4	Limitations of the research	345
10.5	Next steps: Questions for future research	347
10.6	Closing thoughts	348

Appendices	3	351
Appendix A.	Initiative mapping database shortlist	353
Appendix B.	Research Information Sheet: Grassroots sustainable housing initiatives in Austra 355	alia
Appendix C.	Participant Consent Forms	357
Appendix D.	Interview Discussion Guide	361
Appendix E.	Bundagen Ecological Footprint Survey 2014	368
Appendix F.	Ecological Footprint Survey Results	383
Appendix G.	Additional information about Bundagen Cooperative Community	385
Appendix H.	Additional information about Murundaka Cohousing Community	393
Appendix I.	Extract from an interview	401
Appendix J.	Murundaka architectural drawings	405
References	4	÷07

# Table of Figures

Figure 1-1 - Global Ecological Footprint by component (Global Footprint Network 2017b)
Figure 1-2: A safe and just space for humanity to thrive in (Raworth 2012)
Figure 1-3: Schematic outline of thesis structure
Figure 2-1: Consumption categories where households can make a difference (Spangenberg & Lorek 2002)
Figure 2-2: Relationship between subjective well-being (SWB) and economic development (a measured by per capita GDP) for various global societies (Inglehart et al. 2008)
Figure 2-3: Scales of technological efficiency based on diagram by Bruce Coldham (Meltzer 2005
Figure 2-4: Recreation of Meltzer's findings of progressive change in pro-environmental behaviou over time (Meltzer 2005)56
Figure 3-1: The elements of practice (modified from Shove et al. (2012))66
Figure 3-2: Re-crafting practices (Spurling et al. 2013)
Figure 3-3: Substituting practices (Spurling et al. 2013)
Figure 3-4: Changing how practices interlock (Spurling et al. 2013)79
Figure 4-1: Aerial view of Bundagen looking towards the coast (Bundagen Community 2016a) 100
Figure 4-2: Aerial photo of Bundagen land (outlined in red) surrounded by National Park. The 1: villages are clustered within the cleared areas (source: SIXmaps - 14/08/2017)
Figure 4-3: Murundaka Cohousing location in context with Melbourne CBD (Source maps.google.com - 14/08/2017) and the suburban street frontage
Figure 4-4: Murundaka plan layout
Figure 4-5: Aerial view before and after the development of Murundaka. Before (left) showing three houses spread over separate blocks (Source: whereis.com 2/03/2016) and after (right) showing two apartment wings arranged around a central communal building and shared backyard (Source: maps.google.com on 2/03/2016)
Figure 5-1: Bundagen footprint compared with regional, state and national averages (sourced from Australian Conservation Foundation consumption atlas (ACF 2007)
Figure 5-2: Variance in the footprint and footprint components of all respondents within Bundager
Figure 5-3: The ecological footprints of intentional communities worldwide compared with relevant regional averages, including Bundagen
Figure 5-4: Visual representation of the ecological footprints of the intentional communities from the systematic review compared with relevant regional averages, including Bundagen 142
Figure 5-5: Visual representation of the carbon footprints of the intentional communities from the systematic review compared with relevant regional averages
Figure 6-1: A selection of photos of Bundagen14;

Figure 6-2: The domain of intentional community creation and the practices discussed in this section149
Figure 6-3: Layout of House and Village Zones in Bundagen as of 201215
Figure 6-4: The domain of governing home and community and the specific practices discussed in this section
Figure 6-5: The practices of dwelling the house discussed within this section
Figure 6-6: Trevor's solar panel array, constructed by addition over a number of years
Figure 6-7: Mudbrick composting toilet 'block', with toilets. Note the raised level of the building to allow the composting chambers, which can be accessed from the hatches on the side 188
Figure 6-8: Relative contributions by component to the average Bundagen community membe ecological footprint19
Figure 6-9: Food provisioning practices to be discussed in this section196
Figure 6-10: Large garden shared by a couple of residents
Figure 7-1: A selection of photos of Murundaka, both indoor and outdoor210
Figure 7-2: The domain of creating home and community, and the practices discussed in this section
Figure 7-3: The practices of governing home and community discussed in this section229
Figure 7-4: Practices of dwelling the house discussed within this section23
Figure 7-5: The living area of Murundaka's common house from the mezzanine level library (Photo Anne Garland)236
Figure 7-6: Food provisioning practices to be discussed in this section245
Figure 7-7: Garden space at Murundaka with compost bins in the foreground246
Figure 7-8: Mobility and transport practices to be discussed in this section25
Figure 8-1: Diagrammatic representation of the practices and elements interacting within Bundagen (the dashed lines indicated elements linked to a specific practice)28
Figure 8-2: Diagrammatic representation of the practices and elements interacting with Murundaka
Figure 9-1: Schematic of the conceptual framework of Murundaka within local and wider contexts  Contexts refers to both the planning and development regimes, and the spectrum o  mainstream practice
Figure 9-2: Schematic of interactions and circulation of elements between Murundaka, the local community context and the wider community context324
Figure G-1: The Bundagen Decision-making process (Rejane 2017, pers. comms., 17 June)39:
Figure H-1: Murundaka vision statement as displayed on the wall of the common house39
Figure J-1: ESD, Circulation, Community and Landscape sketch layouts of Murundaka community405
Figure J-2: Typical Murundaka floor plans406

## Table of Tables

Table 2-1: Per Capita GHG Footprint of selected Nations in 2001, and breakdown by contribution from different consumption categories (modified from Hertwich & Peters (2009)20
Table 2-2: Factors explaining the varying environmental impacts from consumption of different households
Table 2-3: New Economics indicators for sustainable consumption (Seyfang, 2009 p.62)32
Table 2-4: Mitigation strategies and sub-strategies and resulting impact on greenhouse gase emission (Schanes, Giljum & Hertwich 2016)32
Table 2-5: Comparing the characteristics of market-based and grassroots innovations (Seyfang 8 Smith 2007)
Table 2-6: Characteristics of the four types of community by the degree of withdrawal based or locational, ideological, economic and social factors. Ordered from most withdrawn (religious to least (practical) (Meijering, Huigen & Van Hoven 2007).
Table 2-7: Comparison between ecovillages and cohousing — adapted from Meltzer (2010) 47
Table 2-8: Overview of academic research regarding ecovillages
Table 3-1: Key elements in the understandings of practice (Gram-Hanssen 2011)67
Table 4-1: Summary of the research framework
Table 4-2: Sustainability related household practices grouped according to the four clusters (modified from (Waitt et al. 2012))90
Table 4-3: Existing online resources for grassroots sustainable housing initiatives (web addresses and number of initiatives were current at the time of database creation in 2013)96
Table 4-4: Murundaka vision statement (Murundaka Cohousing 2016)
Table 4-5: Similarities and differences between Bundagen and Murundaka
Table 4-6: Research participants from Bundagen, indicating nature of the participation in the research and length of involvement with the community.
Table 4-7: Research participants from Murundaka, indicating nature of the participation in the research and length of involvement with the community
Table 4-8: Planning, housing and urban development professional interview participants113
Table 6-1: Key practices and elements in the creation of Bundagen Community Cooperative 163
Table 6-2: Key practices and elements in the management of Bundagen Community Cooperative
Table 6-3: Key practices and elements of dwelling the house at Bundagen Community Cooperative
Table 6-4: Average percentage of organic food consumption within Bundagen203
Table 6-5: Key food provisioning practices and elements at Bundagen Community Cooperative 206
Table 6-6: Practices and domains of practice discussed at Bundagen207
Table 7-1: Mix of apartment sizes218

Table 7-2: Key practices and elements in the creation and ongoing governance of Murundaka         Cohousing Community       223
Table 7-3: Key practices and elements in the creation and ongoing governance of Murundaka  Cohousing Community232
Table 7-4: Key practices and elements in the practices of dwelling the house within Murundaka  Cohousing Community243
Table 7-5: Key practices and elements in the provision of food in Murundaka Cohousing Community      252
Table 7-6: Key practices and elements in the provision of transport and mobility at Murundaka Cohousing Community257
Table 7-7: Practices and domains of practice discussed at Murundaka258
Table 8-1: Sustainability Practices and domains of practice at Bundagen and Murundaka261
Table 8-2: 'Direct' Sustainable consumption practices and elements by priority area 264
Table 8-3: Key elements for sustainability in circulation at Murundaka and Bundagen267
Table 8-4: Sustainable consumption activities at Bundagen and Murundaka with reference to the         New Economics framework (Seyfang 2009)
Table 9-1: Examples of Murundaka community engagement with the wider community from September 2013 to September 2014 (Foyster 2014b)303
Table 9-2: High-level assessment of Australian intentionally sustainable communities (niche) and         Murundaka Cohousing (niche project) development318
Table 9-3: High-level assessment of niche diffusion from Murundaka cohousing community (niche project), and Australian intentionally sustainable communities (niche)321
Table 10-1: Sustainability practices and domains of practice at Bundagen and Murundaka 331
Table 10-2: Common elements contributing to sustainable practice within the case study communities
Table 10-3: Research objectives338

## List of Publications by Author

#### **Journal Articles**

Daly, M. 2017, 'Quantifying the environmental impact of ecovillages and cohousing communities: A systematic literature review', *Local Environment: The International Journal of Justice and Sustainability*, vol. 22, no. 11, pp. 1358–77.

### Conference papers – peer-reviewed

Daly, M. 2015, 'Practicing sustainability: Lessons from a sustainable cohousing community', in P. Burton & H. Shearer (eds), *State of Australian Cities Conference 2015: Refereed Proceedings*, State of Australian Cities Research Network.

## **Book Chapters / Thinking Notes**

- Royston, S., Daly, M. & Foulds, C., 2014. Know-how, practices and sustainability. In C. Foulds & C. L. Jensen, eds. *Practices, the Built Environment and Sustainability A Thinking Note Collection*. Cambridge, Copenhagen, London: GSI, DIST, BSA, CCSG, pp. 7–9.
- Macrorie, R., Daly, M. & Spurling, N., 2014. Can "systems of practice" help to analyse wide-scale socio-technical change? In C. Foulds & C. L. Jensen, eds. *Practices, the Built Environment and Sustainability A Thinking Note Collection*. Cambridge, Copenhagen, London: GSI, DIST, BSA, CCSG.
- Macrorie, R., Royston, S. & Daly, M., 2014. The role of "Communities of Practice" within the built environment. In C. Foulds & C. L. Jensen, eds. *Practices, the Built Environment and Sustainability A Thinking Note Collection*. Cambridge, Copenhagen, London: GSI, DIST, BSA, CCSG.

#### Conference presentations – peer-reviewed abstract

- Daly, M. 2017, "The most powerful form of activism is just the way you live': creating sustainable everyday practice in our cities', *Ecocity World Summit 2017*, 12th 14th July 2017, Melbourne, Australia.
- Daly, M. 2016, 'Sustainable intentional communities: Niche sites transforming everyday household consumption practices', 7th International Sustainability Transitions Conference 2016, 6th-9th September 2016, Wuppertal, Germany.

Daly, M. 2013, 'Ecological Footprinting in Intentional Communities', *Australian Intentional Communities Conference 2013*, 6-8 December 2013, Moora Moora Cooperative Community, Victoria, Australia.

### Poster displays - peer-reviewed abstract

Daly, M. 2015, 'Ecovillages and cohousing as grassroots innovations: Exploring the sustainability of everyday consumption practices within the Australian niche', *GEN+20 Summit: Living the New Story*, 6th - 9th July 2015, Findhorn Community, Scotland.