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Despite the obvious health benefits of the sanitary revolution and construction of sewered systems, there are increasing doubts about the long term sustainability of centralised, water-based sanitation. Growing uncertainties such as rapid population growth, emergence of new pollutants, changing hydrological conditions in relation to climate change and global economic instability will require systems to be more open to 'flexible and reflexive approaches'[1] in meeting future sanitation needs. The highly inflexible nature of existing sanitation systems burdened with over a century of capital infrastructure investment and assets that require 30-50 years to pay back, make centralised sanitation both economically unsustainable and institutionally rigid. Social practices associated with water-borne sanitation have been embedded within western society for over a century making 'radical' system change and the introduction of alternative technologies and habits of practice challenging. Change therefore cannot be brought about through technological innovations alone; it requires mutually reinforcing institutional and socio-cultural transformations.[2] This has important implications for the relation between design and technological innovation. Design can be understood as a practice involving the deliberate planning of socio-technical change, yet the relational dynamics of change have not traditionally played a part in design biased toward a 'technological fix'.[3]

Analysing the historical development of water-borne sanitation in Sydney in the mid nineteenth century is one way of gaining insights into potential challenges for a transition towards more sustainable sanitation options. By highlighting the co-evolution of technology and society where novel technologies, institutions, associations and user practices have emerged out of processes of socio-technical alignment, an analysis of how system change has occurred in the past provides useful insights into how more sustainable sanitation systems may potentially be designed and developed in the future.

There is also much to gain in analysing the relationship between society, social ideals and changing concepts of cleanliness in the mid nineteenth century on the one hand and technological innovation on the other. Even though social behaviours and cultural norms of the mid nineteenth century have changed significantly in relation to contemporary society, a historical analysis of socio-technical change provides a means of reflecting on past transitions not just in relation to technology but also society.

The complexity of system innovation raises the benchmark for design's contribution to the transition to more sustainable socio-technical systems. Design must go well beyond the traditional focus of product-oriented, market-driven, technical efficiency which produces finite 'solutions' to complex multi-faceted problems. These solutions tend to be based on obvious technical performance criteria such as an operational reduction in water requirements, but more often than not, the design is disconnected from the context in which it has to operate. The evolutionary design of the flush toilet, for example, has significantly reduced water consumption, by lowering 'flush' volumes, from single flush (12L), dual flush (6/3L), low flush (4.5/3L) to ultra-low flush (3/1.5L) but sanitary systems challenged by the effects of climate change, rapid population growth and economic instability will require more than technical innovation for a transition toward sustainability.

The development of water-borne sanitation in many western countries, including Australia, occurred within the Victorian era which, according to Thomas and Ford,[4] was characterised by a culture of technical innovation of highly engineered, linear, 'end of pipe' solutions to the problem of wastewater management. There is little doubt that the development of centralised wastewater management in Sydney during the mid-nineteenth century enabled dense population growth by reducing fatalities from water-borne diseases such as dysentery, and, as a consequence, contributed to rapid economic growth in the city.

The transformation of sanitation from the use of cesspools[5] to sewer systems was a radical change and can be characterised as a transition[6] whereby both the technical and socio-cultural dimensions of the system changed drastically. In the transition, society and technology became highly interrelated, forming what has been described as a 'seamless web' of mutual dependency.[7] The contemporary implications of this early socio-technical transformation of society suggest that any deliberate change toward a system of sustainable sanitation will require a nuanced understanding of both the social and technical dimensions that contribute to change.

Rip and Kemp's multi-level model of innovation is regarded as useful in analysing historical transitions in socio-technical systems. It views socio-technical transitions as interactive processes of change that occur on three conceptual levels[8] demonstrating how the co-evolution of technology and society occurs. At the core of the multi-level framework is the dynamic concept of the socio-technical regime (meso level). Rip and Kemp explain a technological regime as:

'the rule set or grammar embedded in a complex of engineering practices, production process technologies, product characteristics, skills and procedures, ways of handling relevant artefacts and persons, ways of defining problems: all of them embedded within institutions and infrastructures' [9].

This definition suggests that rules are embedded in human actors, technical systems and artefacts which enforce stability and path dependency in the technological regime. This description of a regime helps to explain why most technological change is incremental rather than radical.

Complementary to the regime and implicated in socio-technical change is the niche (micro level) in which human actors experiment with radical innovation in a protected environment. New technologies, product development and user practices emerge at the micro level and are radically different from mainstream practices and technologies. The broader landscape (macro-level) represents slow changing factors such as socio-cultural norms, political coalitions, long term economic developments and accumulated environmental problems' [10] that may contribute to change under stable conditions. Sudden crises such as climate change, wars or fuel shortages may change the landscape quickly and create opportunities for innovation across multiple levels. This multi-level model has been proven to be useful for contextualizing spatial and historical factors in socio-technical change[11]. A number of historical case studies of socio-technical change have been analysed using this model, including transitions in energy,[12] aviation,[13] waste management[14] and personal hygiene.[15]

This basic explanation of how socio-technical systems change is interesting to consider in regard to design's potential for contributing to a transition toward sustainability. While design might identify with the regime level characterisation presented above, it could also be understood as operating across these levels, particularly in terms of the co-evolution of artefacts and human practices.[16] This has implications for how design may conceive of its sphere of influence. In relation to the multi-level framework, designers have historically contributed to incremental change in 'technical systems' by designing within the boundaries of the 'socio-technical regime (meso level)', therefore reinforcing existing practices and stabilizing existing systems. Innovation studies have shown that new technologies designed for specific markets are generally variations of existing technologies which require little change in habits of behaviour and utilise existing infrastructures. This approach to design therefore contributes to path dependency and 'lock-in' of existing systems, making the introduction of technological alternatives to the norm challenging.

The disincentive of system innovation or radical transformation of society and technology is that the benefits of system change have historically occurred over a much longer timeframe (25 years or a generation) than a quick 'technological fix' (potentially 5 years). There is debate as to whether factor 10 efficiencies[17] can be achieved in much shorter time frames if design is understood in relation to a socio-technical framework. However there are examples which suggest that appropriate, contextually-relevant design may contribute to accelerated change. For example the European bike share scheme Vélo'v, which began in Lyon, France in 2005 is a deliberate system design integrating a network of people, products, services and infrastructures that is characterised by what Ezio Manzini has characterised as 'low material-energy intensity' but a 'high degree of context quality'.[18] The end-user has access to an open and flexible system which consists of robust and comfortable bikes, a dense network of bike stations installed across the city and surrounding suburbs with all trips under half an hour available for free. Bike tracking and diagnostics are managed by sophisticated information technology which remotely checks that bikes are functioning optimally. The system has been highly successful in realising bike share as a legitimate and contextually appropriate alternative to cars for short urban trips with each bike shared by 7 and 15 people a day.[19] The success of this product service system (PSS) led to the launch of 'Vélib' bike share' in Paris and is now considered the largest system of its kind in the world. The bike share scheme is an exemplar of practical multi-criteria learning which has addressed various socio-technical problems evident in previous schemes in order to enhance the competency of the system to meet future demands.[20]

For design to achieve its potential for contributing to a transition toward sustainability, requires reconsideration of design as fundamentally social and 'made of' people and practises as much as it is 'made of' technologies, artefacts and infrastructures.[21] 'Radical change' in a system toward sustainability requires innovation across social, cultural, institutional and technological domains which are relationally co-dependent. As we shall soon see, it was not the emergence of a 'technological fix' that drove system innovation in sanitation in Sydney in the mid nineteenth century; technological innovation was mutually supported and reinforced by factors such as rules and regulations, an alignment with changing social practices, cultural values and beliefs about the technology and system. For transitions to occur the regime needs to be open or adaptable enough to accept radical innovations; transition happens when there is pressure from the landscape for change and when radical innovations have emerged in niche environments to take advantage of the opportunities for change. The multi-level perspective (MLP) therefore offers design a strategic analytical tool for reflecting on the complexity of systems, temporality of change and importantly the 'social' nature of technology, and the 'technical' nature of social practices.

It becomes obvious when considering the MLP, that slow moving factors located at the landscape (macro level) such as economic crisis and effects of climate change are resistant to efforts to radically change them. Whereas the regime (meso level) can cope well with incremental changes in technology, designers have limited influence in changing the set of rules that guide technological innovation along a particular trajectory. Where designers have the most influence in creating change toward sustainability is by utilising knowledge available on a niche level where it has been identified that innovative technologies and social practices first emerge in protected spaces and mature through experimentation and learning by a broad range of stakeholders and actor networks.[22] As the Vélo'v example illustrates, by offering a highly visible and accessible alternative mode of personal transport, design may have an important role in showing what change looks like at a human (micro) level.

With this in mind, let us return to the origins of centralised water-borne sanitation in mid nineteenth century Sydney and consider how multi-level developments were implicated in the transition from the use of cesspools to sewer systems. In the past there have been a number of historical transitions in socio-technical systems driven by 'crises' at the macro level. The 'sanitary revolution' of the mid nineteenth century was in part driven by the widespread outbreak of dysentery (macro level) destabilising existing practices and leading to regulatory reform (at the meso level) which stimulated innovation in wastewater management (at the micro level). Transformation occurred across multiple areas of the system, with technical changes in water supply, sewer systems and housing, behavioural changes in washing and bathing, policy changes making voting more inclusive and cultural changes in everyday practices.[23]

Analysing the transition from a niche perspective highlights the fact that niche developments first emerged in the protected arena of the upper class, where reticulated water supply became available in 1844[24] to a minority of households which could afford the connection to piped water. Water closets[25] although rare in Sydney during this time, were emerging in mansions of the upper classes and first class hotels but very few houses had drains to discharge the large volume of diluted wastewater which overflowed from cesspools, leaking into ground water and flowing into water sources increasing incidents of water-borne disease.[26]

Niche developments were not only associated with technical innovation during this time but also new everyday practices. The Victorian social doctrine, that physical well-being and a clean environment were connected to social progress, fuelled the sanitary movement in Britain, subsequently in Sydney and many other industrialised cities of the world. Sanitary reformers in the United States had similar beliefs about insanitary conditions and its connection to moral degradation.[27] Although these beliefs are macro-social developments, when they were coupled with greater access to piped water, the beliefs created new practices of cleanliness (originally in the protected environment of the upper class) which led to a reconfiguration of social ideals. The adoption and diffusion of the water closet and adjoining sewer systems are inseparably connected to perceptions of cleanliness. When cleanliness became linked to the prevention of epidemics, "dirtiness became the problem in the city and cleanliness was the solution."[28] Technical infrastructures and artefacts such as sewers, piped water and the water closet were seen as the means to solve the problem. The very emergence of these niche developments were related to global changes on a macro level where the industrial revolution and insanitary conditions of the lower class divided the population into 'clean and dirty', 'high and low' and 'rich and poor'.[29] New behavioural patterns in relation to cleanliness emerged within the protected sphere of the wealthy; therefore social habits of 'washing, scrubbing and flushing' emphasised the distinction between the upper class and the unwashed lower class. With the widespread availability of piped water and a greater understanding of the connection between washing and disease prevention, the niche practices of the upper class became more widely accepted and diffused throughout all classes of society.

The 'sanitary revolution' was preceded by a number of political revolutions and upheavals fuelled by the social impacts of industrialisation. From a macro level perspective, many industrialised cities of the Victorian era experienced similar global drivers in the adoption of a centralised sewer system. Industrialisation in particular was a global phenomenon which led to rapid population growth and crowded, insanitary living conditions.

Macro-environmental perspective

From a biophysical perspective Sydney, in particular, had contextual macro-drivers specific to the region. Highly erratic annual rainfall and sudden, lengthy and severe droughts, unheard of in the experience of British immigrants, made it necessary for the city to store more water per head of population than any other major city in the world.[30] The struggle to 'tame the environment' in the face of a rapidly growing population and variable climatic conditions has been a recurring theme throughout Australia's history.

Macro-political perspective

From a macro-political perspective, Sydney was a changing colony. Founded in 1788 with a population of 1000 settlers, by 1850 colonial politics had already shifted from a deferential-authoritarian style government which was suitably required for the newly formed colony with a high population of convicts, to a democratic populist style government with a demographic that now included a higher percentage of free settlers.[31] Although Sydney Council was 'democratic' by 1840, it was still characterised by a liberal political ideology and an economic policy influenced by the wealthy, aimed at minimising effects on businessmen.[32] For example, it was only the wealthy landowners that had the right to vote[33] and as they were advocates of low taxes, funding for public infrastructural projects such as centralised sanitation was resisted. Beder notes that one of the major setbacks of constructing a central sewer system in Sydney was the middle class refusal to pay higher taxes to fund its development. [34] There was little incentive for the wealthy to pay for sewerage as they lived in spacious conditions beyond the city centre and were not directly affected by insanitary conditions, unlike the poor who bore the consequences of overcrowded living conditions.[35] "Those who paid the most rates had the least to gain from public expenditure on sanitation. Those who suffered the most had the least to say".[36]

Macro-economic perspective

A number of significant events took place in Sydney between 1850-1890, that influenced the government's decision to eventually finance and construct a large scale centralised sanitation system. From a macro-economic perspective, the discovery of gold at Bathurst 150 miles west of Sydney[37] created new found wealth and the subsequent development of new industries in Sydney which brought greater confidence to the colony. The expected increase in Sydney's population with the discovery of gold created a willingness to plan a sewerage scheme for accessible parts of Sydney and commit capital for its completion. The Water and Sanitation Act (1853) authorised finance for the construction of sewers. Consequently there was a substantial growth in Sydney's water and sewerage services and by the 1880's the city was fully serviced with piped water. This became an additional driver for underground sewers as large volumes of wastewater were being generated without means of disposal, making the sanitation problem worse. It wasn't until the 1890's that Sydney was both fully serviced by piped water as well as fully sewered.[38]

Co-ordination of the medical regime in system change

By the time the social, economic and technological developments began driving improvements in sanitation, the dominant medical belief of 'Miasma Theory' was already influencing perceptions of how sewerage systems should be developed. According to miasma theory, public contact with the odours of rotting human, animal and vegetable wastes was a direct cause of disease. Cesspits generated *malaria* (bad air) or miasmas (pollution) and in turn generated disease.[39]

Before the 1880's, foul smells or 'miasmas' were believed to be the cause of illness. It wasn't until the cholera epidemic in London 1853-1854, that John Snow, a British hygienist proposed the 'germ theory' and that cholera was spread through drinking water contaminated with feces from cholera patients.[40] By 1883, scientist Robert Koch confirmed the beliefs of many sanitary reformers by isolating the germ for cholera under the microscope. But it would take a number of decades before 'germ theory' would eventually displace 'miasma theory' and be commonly accepted. Ironically, Sydney's metropolitan sewers in the 1880's were designed with the understanding that miasmas were the cause of disease, with the general belief being that wastewater needed to be removed as quickly as possible. Water presented a means to remove waste and transport it for discharge to the ocean away from human habitation. Sewers were constructed at the same time as miasma theory was coming under scrutiny from new scientific evidence on 'germs'. Therefore, the timing of contextual factors, such as the belief in miasma theory, was influential in the outcome of the sanitary revolution and the technologies adopted. This reveals the significance of developing a historical perspective on the evolution of slow moving, large technical systems such as sanitation. Contextual factors and beliefs (such as miasma theory) have contributed to embedding centralised technology, infrastructure and social practices within western society even though these beliefs and understandings may be irrelevant and out-dated by today's standards. Sydney, as many other developed cities in the 21st century, has inherited a system of sanitation that has been designed for the past yet must deal with an uncertain future. It is this sort of insight that a MLP makes available.

Macro-political developments

By 1865, 1300 households were connected to the sewers which discharged into various parts of the harbour. The large volume of sewage led to Sydney's smelly bays to be branded as "immense cesspools"[41] affecting not only the biophysical health of the harbour but also believed to be the cause of Scarlet Fever and Measles epidemics. The pressure to change the existing sewerage system was strong, with 3800 petitioners asserting that the foul state of the port would deter immigration and trade to the city.[42]

The Sewerage and Health Board had set up two different outfall schemes.[43] The Northern System with outfall to the ocean at Bondi would intercept the northern sewers draining sewage without treatment into the harbour, and the Southern System with outfall to a sewerage farm at Botany Bay would drain suburbs south of the city. The choice of these treatment methods reflected the two main ideas shaping the contemporary international debate on sewerage treatment: firstly, that sewage was dangerous and needed to be disposed of as quickly as possible; and secondly that excreta could be converted to fertilizer by drying and mixing it with earth.[44]

The Botany Bay sewerage farm scheme was experimental and was cheaper than constructing sewers for ocean disposal in the short term[45] and if it failed the sewers could be extended to the ocean at a later date. Approximately 10 years after the development of the Botany Bay sewerage farm, the Royal Commission into Melbourne's public health, also accepted a proposal for a sewerage farm that still exists today and treats approximately 52% of Melbourne's sewage (485 million litres a day). The sewerage farm at Botany treated wastewater with 'downward filtration' where the soil acted as a filter through which the sewage drained and where crops could be grown in soil enriched by the application of sewage. In 1889, 309 acres of land was obtained by the government and in the first years of operation 1.5 million gallons of sewage was treated at the farm each day.[46] Although successful in the first 10 years of operation, increased sewage flow to the farm was not coupled with an increase in filtration area, causing the land to be overloaded so that little profit could be gained from growing vegetables on it. Public complaint about the smells emanating from the farm and the fear of a reduction in housing prices, meant that by 1916 the sewerage farm ceased to exist and the Southern and Western suburbs outfall was completed[47] discharging wastewater to the ocean. The different outcomes in Melbourne and Sydney in trialling sewage farming during this time, highlights the fact that there needs to be political will in supporting and managing niche based projects. The Sydney sewage farm failed after ten successful years of operation due to Sydney Council's inability or lack of will to increase the filtration area needed to service the rapidly growing population.

Summary and implications for design practice

Approaching transition toward sustainability through the design of technical artefacts alone may offer some improvements in efficiency but does not contribute to the radical transformation in the water sector which is required in the face of the challenges associated with climate change. The urban sanitation system that exists in Sydney today (and many other global cities) emerged over time to consist of a conglomerate of interconnected components such as technological artefacts, markets, user practices, rules and regulations, infrastructures and cultural meanings. Therefore the transformation of the socio-technical system will not be triggered by the introduction of a technological breakthrough but rather an interplay of factors that influence each other at varying levels. The relationship between a multitude of factors (social, political, economic, environmental, technological) has supported a stable system of practice that has been subject to incremental changes in the system ever since its inception. Although there is little doubt that the development of a centralised sanitation system has greatly benefited public health over the last century, the challenges of an uncertain future with greater risks from new pollutants and contagious diseases, a rapidly growing population and variable hydrological conditions associated with climate change raises doubts about the ability of the existing system to deal with such uncertainties.

Design (including all of its 'green' versions) which is so deeply invested in improving the efficiency of existing systems, has much to learn from specific historical examples of transformation as has been discussed in this paper. As suggested earlier, this perspective redefines design's sphere of influence as it reveals the dynamic complexity of socio-technical change (as well as its biophysical impacts). If design is to contribute to system innovation in large scale technical systems such as wastewater management, taking a socio-technical approach is required in order to grasp the interplay of factors that contribute to the persistence of the existing system. By emphasising the importance of 'technology' alone in transition, the socio-technical environment in which the technology is used is underestimated and the required regulatory and institutional adaptation is ignored inhibiting the sorts of socio-technical transformations that are now required in the face of future uncertainties. Such an approach implies a different conduct of design in response to climate change imperatives – one that is more oriented to practical learning than technological fix.

In closing, let us regard a relevant artefact: the flush toilet. In considering a transition from centralised, end-of-pipe solutions toward more sustainable sanitation options, redesigning the artefact or flush toilet only solves a small part of the problem. The 'flush toilet' is not an isolated artefact but part of a much broader socio-technical system comprising of sewerage pipes, waste water treatment plants, water supply, extensive capital infrastructure investment, rules and regulations dictating health standards on treatment, cultural habits of use, perception-driven practices, not to mention engineering practices, production processes, and skills which have become embedded in western society over the last century – all of which constitute what Rip and Kemp refer to as the 'socio-technical regime'.[48] In spite of the complex relationship of the artefact with the regime, the design of the flush toilet predisposes it to be treated as an isolated artefact, supporting a cultural disconnection in relation to water use and waste production. Therefore, if design is to influence shifts in the socio-technical regime towards sustainability, then learning how alternative, more sustainable technologies are adopted and supported is an important part of the design process. If, as Rohracher[49] argues, technological change is inherently social, then it would make sense for designers to consider how alternative forms of sanitation play out within a specific social context. Trialling and experimenting with an innovation in use provides opportunities to find points of intervention that could resonate across a multitude of areas (social, technological, economic, environmental and political). This has been a rapidly growing area of research[50] and has the potential to offer design a means to contribute to sustainability beyond the traditional focus of technical, finite solutions to complex problems and in the process, perhaps learn to transform its own nature.

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[47] Coward, op cit. and Beder, op cit.

[48] Rip and Kemp, op cit.

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[50] Research on Strategic Niche Management has been growing rapidly in Europe and has culminated in the documentation of a number of transition experiments. An in-depth analysis of this research is beyond the scope of this paper but is important to mention as a framework in which design has the potential to contribute to sustainability beyond technical efficiency. See C.Vezzoli, F. Ceschin and R. Kemp, '*Designing transition paths for the diffusion of sustainable system innovations*' in *Changing the Change*, Torino, Italy, 2008.