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1	Serially Connected Forward Osmosis Membrane Elements of Pressure-assisted Forward
2	Osmosis-Reverse Osmosis Hybrid System: Process Performance and Economic Analysis
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1 ABSTRACT

2 Pressure-assisted forward osmosis (PAFO) has been considered an alternative of 3 forward osmosis (FO) process to reduce capital expenditure (CAPEX) of FO. This is mainly 4 attributed to the enhanced water flux through the FO membranes which leads to the improved 5 dilution of draw streams. In this regard, it has been expected that employing PAFO in the FO 6 - reverse osmosis (RO) hybrid system for seawater desalination can reduce the overall 7 economics of the hybrid process. However, replacing FO with PAFO causes an additional 8 energy cost in the seawater dilution step which inevitably leads to a question that PAFO-RO 9 hybrid is truly an economically beneficial option over FO-RO and stand-alone RO desalination. 10 More importantly, though serial connection of FO elements (SE) improves the dilution of initial 11 draw water which can induce enhanced energy cost saving in the following RO step, this 12 economic benefit is also compensated with the additional membrane cost in the PAFO unit 13 process.

14 To rationalize its overall performance and economic benefit, the thorough performance 15 and economic evaluation were conducted based on actual pilot-scale PAFO operations for serial 16 connection of three 8040 FO elements(SE1, SE2 and SE3). A scenario was assumed that PAFO 17 is implemented as an additional pretreatment step of existing RO process. The results showed 18 that the FO-RO hybrid process is not an economically feasible option unless a significant unit 19 FO element cost cut-down is guaranteed. On the other hand, PAFO-RO hybrid showed some 20 benefits with regards to a higher range of target RO recovery and unit FO element cost, 21 particularly when two FO elements are serially connected (SE2). However, consideration on 22 overall plant construction and operating cost components led to a conclusion that the skepticism 23 on the hybrid process has to be maintained. This skepticism can be alleviated when a new 24 PAFO-RO hybrid desalination plant is commissioned primarily due to significant potential 25 CAPEX and OPEX savings in the RO process originated from the significant reduction of 1 seawater intake.

1	Highlights
2	• Significant impact of initial draw flowrate and hydraulic pressure on the economics of
3	PAFO in hybridization with RO
4	• Hydraulic pressure dependence of FO membrane element performance
5	• Drastic pressure-drop in the draw channel of the last element in serial configuration
6	· Target RO recovery and FO element cost in PAFO-RO hybrid are important for
7	economics
8	
9	Keywords: Forward osmosis (FO); Pressure assisted-forward osmosis (PAFO); Serial
10	configuration; Economic feasibility; Desalination
11	
12	

1 **1. Introduction**

2 To alleviate the water scarcity issue in global scale, forward osmosis (FO) process was 3 introduced [1] and has been widely acknowledged in and out of academia as one of the 4 promising desalination technologies that can potentially replace seawater reverse osmosis 5 (SWRO) process. Utilizing osmotic pressure as the major driving force for water transport, this 6 direct osmosis process requires significantly low amount of electricity as a unit process 7 compared to conventional SWRO processes [2]. In the early stage of FO studies in the last 8 decade, seawater as feed water source has been widely adopted as means of replacing RO [1, 9 3, 4]. These efforts, however, came to an end when a fundamental thermodynamic drawback 10 became problematic for the FO process as a means of stand-alone FO seawater desalination 11 requires higher energy in the following water retrieving process than the conventional RO 12 [reference]. To eliminate this flaw in a practical application, FO has been suggested as a pretreatment measure for RO (i.e. serving as a unit process for seawater dilution by utilizing 13 14 impaired water sources as feed and seawater as draw), namely FO-RO hybrid process [5]. For electricity cost is the major component of operating expenditure (OPEX) of RO [6], employing 15 16 diluted seawater guided to the following RO naturally leads to the energy cost saving as 17 opposed to the conventional RO, thereby potentially leading to overall plant cost reduction. 18 Nevertheless, the economics of the hybrid process has not been clearly validated since 19 additional capital expenditure (CAPEX) of FO can be significant enough to make the 20 economics of the hybrid scheme not feasible.

Pressure-assisted forward osmosis (PAFO) has been studied in recent years to enhance the draw stream dilution by applying moderate hydraulic pressure to the feed side [7-10]. This potentially leads to further energy cost reduction in the PAFO-RO hybrid system. This approach, however, embraces both benefits and disadvantages; enhanced dilution by PAFO surely reduces the energy cost of RO and FO membrane cost. However the additional energy

1 cost for pressurizing the feed stream in the FO unit process can be a critical component of the 2 operating expenditure. In this context, such a controversial hybrid process necessitates a 3 thorough economic assessment considering the pros and cons based on actual pilot-scale testing. 4 As the number of FO elements serially connected increases, further dilution can be 5 expected, though with uncertainties of the serial connection in the overall economics. There 6 have been other attempts to evaluate the economic feasibility of the FO-RO hybrid, yet, with a 7 limitation that the data sets were drawn from lab-scale tests employing small membrane 8 coupons [8, 11]. Unlike previously reported FO performance in lab-scale tests, it has been 9 reported that the actual FO element performances in pilot-scale tests are strongly dependent on 10 hydraulic pressure [12-15]. They found that, if the membranes are serially connected, a 11 significant pressure build-up at the inlet (particularly in the draw inlet) arises [12]. This is a 12 direct indication that the economics of PAFO-RO hybrid process is in close relation with the 13 hydraulic pressure dependence of FO elements in series. A recent economic evaluation was 14 employed with single element-based pilot-scale test using an 8-inch FO membrane element. 15 They observed that the economics can be affected by hydraulic pressure dependence [16]. 16 However, such a simulated hydraulic pressure dependence derived from single element-based 17 results may underestimate the electricity cost of PAFO since serially connected FO elements 18 require higher inlet pressure to accommodate equivalent initial flowrate to that of the single 19 element case as discussed above. In addition, contraction of a draw channel dependent on operating factors such as flowrate and pressure [17] can be a crucial determining factor for 20 21 accurate projection of data sets to the economics.

Therefore, economic analysis by actual serial connection of FO elements improves the validity and reliability of the economic feasibility of PAFO-RO hybrid. Accordingly, the objective of this study is to evaluate the economics of PAFO-RO hybrid by focusing on the hydraulic pressure dependence of the FO element performance. For the economic assessment,

- 1 a scenario was assumed that the PAFO process was used as pretreatment to an existing full-
- 2 scale conventional 2-stage RO plant.

1 **2.** Materials and methods

2 2.1. Pilot-scale PAFO operation

3 *2.1.1. FO element*

Spiral-wound FO element (CSM FO-8040, Toray Chemical Korea Inc., Korea) was used for pilot-scale testing. The FO element consists of 12 layers of membrane leaves that include two polyamide thin-film composite (PA-TFC) flat sheet membranes, two layers of tricot fine spacers and a diamond-shaped spacer in the center enveloped by the two fine spacers. Total effective membrane area of the element was 15.3 m². Detailed description of the characteristics of the membrane can be found elsewhere [18-20]. Also, illustration of the structural characteristics of the element is shown in Fig. 1.





13 Fig. 1. Structural characteristics of a spiral-wound FO element and the spacer configurations

14 of the membrane leaves of CSM FO-8040

15

12

1 2.1.2. PAFO pilot system and operating conditions

2 Fig. 2 shows the schematic diagram of the PAFO pilot system. The system was 3 equipped with a feed pump (Grundfos, Product: CRN10-14 A-FGJ-G-E-HQQE, Motor: MG160MB2-42FF300-H3, Denmark) and a draw pump (Grundfos, Product: CRN3-5 A-FGJ-4 5 G-E-HQQE, Motor: MG80A2-19FT100-H3, Denmark) and their maximum operating 6 pressures were 21 bar and 5 bar, respectively. Up to three FO elements were installed (one 7 element in one vessel) into the FO pressure vessels (ROPV, R8040B300S-1W 1D5D, 8 maximum pressure = 21 bar, China) and the vessels were serially connected. Digital flowmeters 9 (Endress+Hauser, PROMAG 10, Switzerland), pressure gauges (Endress+Hauser, Cerabar S, 10 Switzerland) and TDS meters (Georg Fischer, Signet 9900 Transmitter, Switzerland) were 11 installed between the pressure vessels and their inlets and outlets to monitor the variations of 12 the major dependent variables (i.e. flowrate, hydraulic pressure and concentration). Data sets 13 were obtained every minute via the automated data acquisition system.



2 Fig. 2. Process flow diagram of the PAFO pilot system

1

4 Initial feed flowrates ($Q_{F,in}$) varied from 50 to 70 L/min and 5 – 7 L/min for initial draw 5 flowrates $(Q_{D,in})$. A set of a manual valve and an automated valve was installed at the final 6 outlet of the respective feed and draw pipeline for accurate control of the two streams. All 7 manual valves depicted in Fig. 2 were adjusted for desired serial connection of FO elements. 8 To ensure safety and prevent the membrane leaves from rupturing during PAFO operations, 9 hydraulic pressure difference between the feed and draw channels was defined as the pressure 10 difference between the feed outlet and the draw inlet of the last element (denoted as ΔP_{serial}) in 11 all serial configurations. Initial feed and draw volumes were 1,000 L and 500 L, respectively. 12 The draw stream was not circulated to ensure that the osmotic pressure of the initial draw stream remained constant and the diluted draw stream was collected in a separate container. 13

Water flux remained consistent (standard deviation of \pm 0.2 LMH) due to the non-circulating draw stream as discussed in our previous study [14]. 5 M NaCl solution was generated and diluted with tap water to match the initial draw concentration ($C_{D,in}$) of 35,000 mg/L TDS. Initial feed concentration ($C_{F,in}$) was set at 200 mg/L TDS. Detailed operating conditions were summarized in Table 1.

6

Operational Factors		Description	Note
Membrane element		CSM FO8040	Toray Chemical Korea, Inc.
Effective membrane area		15.3 m ²	One element
Initial	Feed, $C_{F,in}$	200 mg/L TDS (500 L)	
Solutions	Draw, C _{D,in}	35,000 mg/L TDS (1,000 L)	NaCl (99.5% Purity, OCI, Korea)
Initial	Feed, $Q_{F,in}$	50, 60, 70 L/min	
Flowrates	Draw, $Q_{D,in}$	5, 6, 7 L/min	
Pressure Di	fference, ΔP_{serial}	0, 1, 2, 3, 4 bar (± 0.02 bar)	ΔP_{serial} = feed outlet pressure of the last element - draw inlet pressure of the last element
Serial Connection, SE		1, 2, 3	SE : number of FO elements in series
Temperature		25 ± 1 °C	
Operation Time		30 min	

7 **Table 1.** Summary of operating conditions for pilot-scale PAFO operations

8

9 Inlet pressures of the lead element for both feed ($P_{F,in}$) and draw ($P_{D,in}$) channels and the diluted 10 draw concentrations ($C_{D,out}$) of the last elements served as the key input variables for the 11 following economic assessments. Some experimental conditions for SE2 and SE3 cases (i.e. 3 12 and 4 bar for SE2 and 2, 3 and 4 bar for SE3), that the draw inlet pressure exceeded the 13 maximum operating pressure of the draw pump (i.e. 4.6 bar), could not be conducted since 14 $Q_{D,in}$ specified in Table1 could not be achieved (i.e. lower initial draw flowrate).

1 2.2. Assumptions for economic evaluation

2 2.2.1. General assumptions

Secondary wastewater effluent was assumed to be the feed source for PAFO and UF pretreated RO seawater was postulated to serve as the draw stream. Unit electricity cost (E_C) was set at 0.1 \$/kWh [15]. Daily operation time (t_{op}) was set at 24 h and the design period (DP) was assumed to be 20 years. For amortization of CAPEX components, interest rate was set at 0.06. The final products of the stand-alone 2-stage RO as well as the PAFO-RO hybrid processes were fixed at 100,000 m³/d. Table S1 summarizes general assumptions and important conditions of RO simulations by ROSA9 software for the economic evaluation.

10

11 2.2.2. Assumptions for 2-stage RO

12 The CAPEX of the full-scale RO process (CAPEX_{RO}) was assumed to be constant for 13 this study aims to validate the potential impact of implementation of PAFO to an existing RO 14 plant on economic benefits in terms of RO energy cost reduction. For the specified target final 15 product, CAPEX_{RO} breakdown (Fig. S1a) was estimated from the CAPEX estimator provided 16 elsewhere [6]. The specified cost estimates of the CAPEX_{RO} components were further 17 elaborated in a later section for a strategic approach for improving the economics of the 18 hybridization.

As widely noted, the hybridization of FO with RO is expected to reduce the OPEX of the RO process (OPEX_{RO}) due to the dilution of seawater. Replacing FO with PAFO can further reduce the OPEX_{RO} because of the enhanced dilution of draw stream. The simulation results on RO performance with varying feed concentrations were reported in our previous study [14]. Based on the results, the optimal recovery of the stand-alone 2-stage RO process for feed concentration of 35,000 mg/L TDS was set at 55% with the corresponding RO SEC (SEC_{RO,ROSA}) of 3.84 kWh/m³. This fixed SEC_{RO} value served as the basis of evaluating

2

economic benefits in terms of energy cost reduction induced by the hybridization of PAFO and RO.

3 As illustrated in the OPEX_{RO} breakdown [6] (Fig. S1c), 43.0% of the total OPEX_{RO} is 4 allocated for overall plant electricity cost (i.e. not necessarily for the unit RO process only). 5 From an actual RO plant operation report for Sadara Marafiq desalination plant, Saudi Arabia 6 [21], the proportion of the electricity cost for the RO unit process was estimated as 71.3% of 7 the overall electricity cost. The remaining 28.7% included pretreatment (DAF coupled with UF) 8 (12%), water export (5%), HVAC (heating, ventilation and air conditioning) (5%), seawater 9 intake (3%) and post-treatment, utilities and miscellaneous (3.7%). As a result, the electricity 10 cost for unit RO process (OPEX_{RO,Unit}) accounts for 30.7% of the overall OPEX_{RO}. At this point, 11 it is important to note that the reported OPEX_{RO} breakdown statistics included the impact of 12 energy recovery device (ERD) while SEC estimation by ROSA9 simulation does not consider 13 this contribution. For diluted draw streams, operating hydraulic pressure of RO decreases and 14 this requires the consideration on the efficiency of ERD (η_e). As reported in [22], the ERD 15 efficiency deteriorates as operating hydraulic pressure decreases. Thus, the conversion of 16 $OPEX_{RO,Unit}$ is required for fair comparison with the ROSA9 simulation results. The η_e was set 17 at 0.95 for stand-alone 2-stage RO and an SEC value including the effect of ERD (SEC_{RO,ERD}) was computed using the equation given in [23]; the result gives 2.46 kWh/m³. The ratio 18 19 between the SEC_{RO,ROSA} and SEC_{RO,ERD} was found as 1.558 and it was defined as the 20 conversion factor (f_{conv}) for fair comparison. Except the OPEX_{RO,Unit} bound to SEC_{RO,ROSA}, the 21 cost estimates of the other OPEX_{RO} components were assumed to strictly follow the specified 22 proportions bound to SEC_{RO,ERD} by dividing the cost estimates with f_{conv} . To more simply put, 23 the cost estimates of all OPEX_{RO} components shown in Fig. S1c except OPEX_{RO,Unit} were 24 obtained considering the effect of ERD while OPEX_{RO,Unit} was separately obtained to exclude 25 the effect of ERD from the ROSA9 simulations for fair comparison. Fig. S1d shows the

1 resulting $OPEX_{RO}$ breakdown for 2-stage RO excluding the effect of ERD.

Upon incorporating PAFO to an existing RO process, operating hydraulic pressure decreases and this might accordingly affect the replacements of parts and materials in the longterm. Thus, it was assumed that the cost for parts and materials ($OPEX_{RO,P-M}$) proportionally decreases according to the change of $SEC_{RO,ROSA}$ due to dilution. Electricity costs for other unit processes ($OPEX_{RO,Others}$), membrane replacement cost and labour were fixed due to uncertainties. To sum up, only affected $OPEX_{RO}$ components within the hybridized system were $OPEX_{RO,Unit}$ and $OPEX_{RO,P-M}$ in the current study.

9

10 2.2.3. Assumptions for PAFO in the hybridization with RO

11 Since target RO recovery varies as an independent variable for a fixed final product of 12 100,000 m³/d, CAPEX and OPEX of PAFO (CAPEX_{PAFO} and OPEX_{PAFO}) are strictly dependent on the change of required RO feed flowrate (i.e. diluted draw stream production). 13 14 There are three major components of CAPEX_{PAFO}: membranes, pressure vessels and pumps. 15 The PAFO train is a combination of a PAFO skid and a set of feed and draw pumps responsible 16 for supplying the feed $(Q_{PAFO,F})$ and draw $(Q_{PAFO,D})$ streams to the respective skid. The PAFO 17 skid consists of FO elements and pressure vessels. At this stage, there is a no guideline for 18 determining the maximum number of FO elements per skid due to unavailability of relevant 19 studies on FO skid design in and out of academia. Skid design, in practical terms, may require 20 analysis on headloss and service ability. This is because the skid design can be determined 21 based on feed and draw pump capacities and their differential pressures for a skid and the 22 accessibility of service crews conducting maintenance. If the skid size is too small, piping work 23 may become simpler and accessibility can be optimal, yet with a significant demand on pump 24 installations and maintenance. In the opposite case, uncertainty escalates with appropriate 25 maintenance on elements and pipelines due to complexed piping work for the skid. Though, it has been reported that, for a nanofiltration plant located in Mery sur Oise, Paris, France, 190
pressure vessels were installed per train for a maximum of service pressure of 20 bar [24].
Considering the similarity of PAFO to nanofiltration in practice, the maximum number of
vessels per skid was reasonably limited as 200 in this economic assessment.

5 The feed pump capacity per skid (Q_{FP}) was accordingly assumed as 600 m³/h 6 considering the initial feed flowrates specified in Table 1. The cost of the feed pump (FP_C) was 7 assumed to be \$10,000 per skid. Draw pump capacity per skid (Q_{DP}) was determined by the 8 ratio between the feed ($Q_{F,intake}$) and draw ($Q_{D,intake}$) intakes (*IFR*) defined in Table S2. Also, the 9 draw pump cost (DP_C) was obtained following the ratio. Variations of target RO recovery 10 determine the required amount of diluted draw flowrate and the feed flowrates are determined 11 following *IFR*. The number of FO pressure vessels (N_{VPF}) is obtained by dividing the required 12 amount of seawater intake $(Q_{D,intake})$ bound to the RO recovery variations with the initial draw 13 flowrate of the lead element. The vessel cost estimation method was derived from the vessel 14 cost estimation offered by Hydration Technology Innovations, Inc. (Albany, OR, USA). The 15 cost information of a single pressure vessel (\$800) was offered by the PAFO pilot system 16 manufacturer (Cheonha Industries, Gwangju, Korea).

17 Labour and chemical costs of OPEX_{PAFO} were neglected. A conservative assumption 18 was made that 5 years of FO element replacement frequency based on RO element replacement 19 frequency are required [25]. PAFO pump efficiency (η_{pf}) was assumed to be 0.85. The vessel 20 ratio (VR) between the PAFO and RO unit processes was assumed to represent the conversion 21 factor from OPEX_{RO,P-M} to OPEX_{PAFO,P-M} since parts and material costs are assumed to be 22 dependent on pipelines connected to the pressure vessels. Also, due to lower operating pressure 23 of PAFO compared to RO, the pressure ratios (PRF and PRD) for both feed and draw sides 24 were assumed to play a major role in determining the OPEX_{PAFO,P-M}. The number of feed and 25 draw pumps (N_{FP} and N_{DP}) is bound to the number of skids (i.e. one feed and one draw pumps

for one skid). The calculation of PAFO energy cost (PP_C) was carried out based on the equation for shaft power of a pump given in [15]. Summary of important assumptions and detailed computation procedures for CAPEX_{PAFO} and OPEX_{PAFO} is given in Table S2. Detailed procedures for determining CAPEX_{PAFO} and OPEX_{PAFO} are illustrated in Fig. 3. Target RO recovery, initial feed and draw flowrates (*QF*,*in* and *QD*,*in*), ΔP_{serial} and serial connection (SE) are the important independent variables that determine the economic feasibility.

7



9 Fig. 3. Procedure for determining CAPEX_{PAFO} and OPEX_{PAFO} (Note: important initial
10 independent variables and the dependent expenditure components are specified with single11 lined rectangles)

3. Results and Discussion

- 2 *3.1. Performance evaluation*
- 3 3.1.1. Water flux behavior and draw stream dilution

As observed in previous studies [12, 14], impact of $Q_{D,in}$ is greater than that of $Q_{F,in}$ as depicted in Fig. S2 – S4. To better understand the general water flux trend, the data sets (i.e. 3-D planes) shown in Fig. S2 – S4 were averaged with regards to their respective ΔP_{serial} and illustrated in Fig. 4. E1, E2 and E3 denote the first, second and third elements, respectively.



9

10 **Fig. 4.** Cumulative average water flux variations for serial connections with respect to ΔP_{serial} 11

It is important to note that, for a fixed ΔP_{serial} , water flux of the lead element decreased as the number of serially connected FO elements increased. Similar trend was also observed for the second element. This observation implies that the ability to produce water decreased as the overall channel length increased. At $\Delta P_{serial} = 0$ bar, cumulative average water fluxes of SE2 –

1 0 bar and SE3 – 0 bar for E1 and E2 (i.e. 41.0 LMH for SE2 and 39.1 LMH for SE3) were 2 comparable. However, cumulative average water fluxes of SE2 - 1 bar and SE3 - 1 bar for E1 3 and E2 at $\Delta P_{serial} = 1$ bar showed significant difference. Such trend can be clearly observed by comparing the results of E1 as given in Fig. 5. The slopes in Fig. 5 indicate the impacts of 4 5 hydraulic pressure on water flux improvement by PAFO. Decreasing slopes with increasing SE 6 implies that the effectiveness of pressure difference between the feed and draw channels for 7 water transport deteriorates as the number of serially connected FO elements increases. It can 8 be concluded that the least number of FO elements in series is beneficial for PAFO operation. 9 Nevertheless, the economics of PAFO-RO hybrid is determined by not only the water flux as 10 well as the diluted draw concentration.





14





Fig. 6. Diluted draw concentrations for (a) SE1, (b) SE2 and (c) SE3 in accordance with varying ΔP_{serial} (Note: initial draw concentration, $C_{D,in} = 35,000 \text{ mg/L}$)

5

6 Diluted draw concentration is in close correlation with water flux. The diluted draw 7 concentrations (i.e. Figs. S2b, S3d - S3f and S4c and S4d) were averaged for the specified 8 ΔP_{serial} values and plotted in Fig. 6. In general, for a specific ΔP_{serial} and a designated number 9 of elements passed, diluted draw concentration was higher with higher SE. These trends are in 10 line with the water flux behaviors discussed above. For all SE cases, it can be noticed that the 11 lead element contributed the most to the draw stream dilution. In addition, additional significant 12 concentration reduction was achieved by implementing E2 yet with negligible reduction by E3. 13 This implies that applying SE3 might not be as economically beneficial as it would be for SE1 14 and SE2 due to the relatively marginal decrease of RO feed concentration. All diluted draw concentrations of the last element were employed as RO feed concentrations ($C_{D,out}$) for 15 economic evaluation. 16



1 *3.1.2. Hydraulic pressure dependence of FO element performance*

Fig. 7. Hydraulic pressure variations along the serially connected FO elements. (a) feed side and (b) draw side for SE1. Comparison of hydraulic pressure profiles of SE1, SE2 and SE3 for ΔP_{serial} conditions of (c) 0 bar, (d) 1 bar and (e) 2 bar

6

Based on the previous study [12], increase of the channel length (i.e. serial connection)
and the additional hydraulic pressure may negatively affect the economics of PAFO-RO hybrid.
Increase of channel length directly leads to the increased structural resistance of the initial
water body through the channels. Also, the draw channel contraction induced by additional

pressure in the feed side can play a pivotal role in worsening the structural resistance of the draw channel. The coupled effect of these two characteristics is anticipated to generate the major cause of the inlet pressure increase. All pressure values in Fig. S5 are averaged for better display of the trend.

5 Fig. 7 shows the hydraulic pressure variations along the feed and draw channels in 6 serial connection. All numbered labels in Fig. 7 indicate the average hydraulic pressure 7 conditions that define respective ΔP_{serial} (i.e. $P_{F,out} - P_{D,in}$ of the last element) for SE1, SE2 and 8 SE3 configurations. As depicted in Figs. 7a and 7b, the feed pressure drop (i.e. $P_{F,in} - P_{F,out}$) was low compared to the draw pressure drop (i.e. $P_{D,in} - P_{D,out}$). This can be explained that for 9 10 the draw channel, water mass transported through the membrane exhibits momentum (i.e. mass 11 x velocity) that is readily transferred to the existing water mass at a specific location of the 12 draw channel. Momentum is a vector quantity for a 3-dimensional space which means the momentum transfer does not necessarily occur only to the draw stream flow direction only. 13 14 Since draw water body is surrounded by the FO membranes, the momentum transfer can occur 15 into the draw stream flow direction as well as its opposite direction (i.e. toward the draw pump). 16 In the meantime, for water being incompressible body, water mass transport through the 17 membrane enhances inertia per given volume in the draw channel leading to the increase of 18 total head at any given location according to the Navier-Stokes equation in convective form 19 [26]. Starting from the draw inlet location, draw stream velocity increases along the flow path 20 and this leads to the continuous increase of total head toward the draw outlet. However, 21 pressure drop is proportional to velocity squared for a given friction factor and hydraulic radius 22 according to the Darcy-Weisbach equation [27]. This means the pressure drop becomes most 23 severe at the draw outlet.

Based on the assumptions of mass continuity and conservation of mass, pressure variation within the draw channel must be in continuum toward the draw pump in association

1 with the momentum transfer considering the draw outlet pressure and is close to atmospheric 2 pressure (i.e. close to 0 bar in gauge pressure). A severe pressure build-up at the draw inlet 3 consequently arose and resulted in severe draw pressure drop. Unlike the draw channel, the 4 momentum transfer due to water transport is in negative direction for the existing feed water body in the feed channel thereby a minor pressure drop occurs. Nevertheless, this explanation 5 6 requires further experimental validation which is out of the scope of this study.Similar trends were observed for SE2 and SE3 as illustrated in Figs. 7c, 7d and 7e. The draw pressure drop 7 8 became significant as ΔP_{serial} increased. The required draw inlet pressures given in Fig. 7 are a 9 critical variable for supplying the target $Q_{D,in}$ into the FO pressure vessel. It is worthwhile to 10 note that the increase of feed inlet pressures with increasing SE is inevitable for appropriate 11 and safe operations of FO elements to maintain ΔP_{serial} . These feed and draw inlet pressures are important for operating factors to be employed for PAFO pump energy cost (PP_C) 12 calculations in the following economic evaluation. 13

3.2. Economic evaluation



2 3.2.1. Impact of hydraulic pressure and serial connection





1 As shown in Fig. S2 – S4, the impact of initial feed flowrate ($Q_{F,in}$) on water flux is 2 smaller than that of initial draw flowrate $(Q_{D,in})$. Fig. 7 illustrates a perceptible but minor 3 contribution of $Q_{F,in}$ yet a noticeable impact of $Q_{D,in}$. The complexity of the economics of the 4 PAFO-RO hybrid is, in fact, the results of the orderly trends of OPEX_{RO} saving, CAPEX_{PAFO} 5 and OPEX_{PAFO} (Figs. S6 – S14). In the PAFO-RO hybrid system, the major source of cost 6 saving is the reduction of $OPEX_{RO}$ due to improved dilution by additional hydraulic pressure. 7 For a fixed RO recovery and ΔP_{serial} , the increase of $Q_{D,in}$ reduces the required number of FO 8 elements attributed to the increase of water flux (i.e. enhanced water retrieval) which leads to 9 the reduction of CAPEX_{PAFO}. This also has a positive contribution to OPEX_{PAFO} since the required number of the PAFO skid and the FO elements decreases. This directly induces the 10 11 reduction of PAFO pump energy cost with regards to the reduction of the required number of 12 feed and draw pumps as well as the reduction of the number of FO elements to be replaced throughout the specified design period (i.e. DP = 20 years). The increase of target RO recovery 13 14 accordingly affects the CAPEX_{PAFO} and OPEX_{PAFO} for a positive contribution to the economics 15 of the PAFO-RO hybrid. The increase of $Q_{D,in}$ negatively affects the economics in terms of 16 OPEX_{RO} saving because of the increase of RO feed concentration (i.e. diluted draw 17 concentration) yet to a minor degree since diluted draw concentration is subtly dependent.

18 Excluding the effect of PAFO energy cost on cost saving, the increase of ΔP_{serial} must 19 reduce the RO energy cost due to enhanced dilution. However, at 40% of the RO target recovery (Fig. 8a), the economic feasibility incrementally deteriorates with the increasing ΔP_{serial} . This 20 21 indicates that the PAFO energy cost cannot be disregarded at low RO recovery. In fact, the 22 PAFO energy cost is dependent on the required number of feed and draw pumps (i.e. the 23 number of PAFO skid), suggesting that the increase of the target RO recovery efficiently minimizes the negative impact of additional PAFO energy cost on the economics. As can be 24 seen in Figs. 8b and 8c, the cost saving was improved accordingly. However, the increase of 25

RO recovery from 60% to 80% showed slight reduction of economic feasibility. This is because
of the significant increase of SEC_{RO} in the specified range of the RO feed concentrations (i.e.
diluted draw concentrations of the last element in Figs. S2b ranging from 12,001 to 20,053
mg/L) at the 80% of recovery as depicted in [14]. This interpretation can be analogously
employed for the SE2 and SE3 cases.

6 Figs. 9 and 10 show the cost savings of SE2 and SE3 scenarios, respectively. The 7 increase of the RO recovery in general showed improved economics as anticipated. For SE2, 8 the diluted draw concentrations at the outlet of the last element varied from 10,166 to 16,017 9 mg/L, resulting in a narrower concentration variation compared to that of SE1 (i.e. 14,385 -10 20053 mg/L for $\Delta P_{serial} = 0 - 2$ bar). The concentration variation for SE3 was observed between 11 10,202 and 13616 mg/L. The concentration variation was further depressed as opposed to the 12 SE1 and SE2 cases (i.e. 16,722 - 20,053 mg/L for SE1 and 11,782 - 16,017 for SE2 for ΔP_{serial} = 0 - 1 bar). From Figs. 9b and 9c, it can be noticed that the cost savings were improved 13 14 compared to those of SE1 at higher target RO recoveries. However, in the case of SE3, the cost saving was noticeably deteriorated compared to SE2 primarily due to the inefficient use of FO 15 16 membrane elements coupled with significant increase of CAPEX_{PAFO} and OPEX_{PAFO}. The 17 narrower concentration variations with lower average concentrations compared to SE1 were 18 sufficient enough to yield economic benefits in SE2. However, in SE3, this benefit was further 19 reduced and overwhelmed by the CAPEX_{PAFO} and OPEX_{PAFO} induced by the increase of 20 required number of FO elements. Thus, thoroughly considering the results in Figs. 8 - 10, it 21 can be stated that employing 8040 FO elements in serial connection of three might not be a 22 viable option for PAFO-RO hybrid process when using seawater as draw solution.













1 3.2.2. Determination of optimal operational condition of PAFO for PAFO-RO hybrid

Fig. 11. FO element cost and PAFO energy cost as major components of the economics of PAFO-RO hybrid. Cost saving in CAPEX_{PAFO} by additional pressure ((a) CAPEX_{PAFO} at $\Delta P_{serial} = 0$ bar and (b) CAPEX_{PAFO} at $\Delta P_{serial} = 4$ bar) compensated by the OPEX_{PAFO} due to additional energy cost ((c) OPEX_{PAFO} at $\Delta P_{serial} = 0$ bar and (d) OPEX_{PAFO} at $\Delta P_{serial} = 4$ bar) at 60% target RO recovery for SE1 with \$2,000 of unit FO element cost

9 It is obvious that the economic feasibility of PAFO-RO hybrid will be incrementally 10 improved if FO element cost decreases. However, the decrease of FO element cost alone does 11 not necessarily determine the optimal serial configuration and operational conditions since total 12 cost saving is dependent on the key design operational factors (i.e. target RO recovery, $Q_{D,intake}$ 13 and ΔP_{serial}) as discussed in the previous section. Thoroughly considering the key design factors 14 along with the FO element cost variation, an optimal operational condition can be determined. As depicted in Fig. 11 as a set of examples for SE1, unit FO element cost is a major component for both CAPEX_{PAFO} and OPEX_{PAFO}. Overall economics are primarily dependent on target RO recovery. Total cost savings were averaged in line with the hydraulic pressure variations along the serially connected FO elements (Fig. 7) and plotted as 3-dimensional (3-D) planes in Fig. S15. The corresponding eagle-eye view planes were given in Fig. 12.

6



8 Fig. 12. Determination of optimal operational condition and configuration with respect to target 9 RO recovery and unit FO element cost when ΔP_{serial} is (a) 0 bar, (b) 1 bar, (c) 2 bar or serial 10 connection is (d) SE1, (e) SE2 and (f) SE3 (Note: The red zones specified with the NG (i.e. no-11 go) notation are economically not feasible for serial configurations and operational conditions.) 12

In general, the increase of target RO recovery improved the economic feasibility. In relation to hydraulic pressure increase considering the trends shown in Figs. 12a - 12c, the economics of PAFO-RO were deteriorated at lower RO recovery. It is because of the dominance of OPEX_{PAFO}

1 compared to the decrease of CAPEX_{PAFO} and OPEX_{RO} as discussed in the earlier section (i.e. 2 larger required number of FO elements and pressure vessels than higher RO recovery leading 3 to extensive PAFO energy requirement). This dominance of OPEX_{PAFO} can be suppressed by 4 increasing the RO recovery. Also, the decrease of unit FO element cost noticeably reduced the 5 CAPEX_{PAFO} and OPEX_{PAFO} resulting in the observed trends. In practical perspective, unit FO 6 element cost is typically fixed and thus the implementation of PAFO unit process to the existing 7 2-stage RO process can be optimized by controlling the target RO recovery and selecting the 8 best serial configuration. Within the operating range of $Q_{E,in}$ and $Q_{D,in}$ tested in this study, SE2 9 showed the most significant plausibility throughout. The SE3 scenario can only be beneficial 10 when the element cost is significantly reduced. According to the FO element manufacturer, the 11 unit FO element cost was \$2,000 thus the SE3 option should be discarded at this stage. 12 Considering the wider area for SE1 in Fig. 12c, further increase of hydraulic pressure can enable the SE1 option to become incrementally beneficial compared to the SE2 case in the 13 14 target RO recovery range of approximately 60 - 67%. However, Figs. 12a - 12c are only 15 applicable to respective serial configuration scenario. At this point, a thorough consideration 16 on both ΔP_{serial} and SE variations is necessary.

17 Overlapping the top and the bottom figures can give a clearer idea on what serial 18 configuration is beneficial at what specific operating RO recovery and hydraulic pressure. By 19 overlapping the specified ranges representing serial connection scenarios in Fig. 12a with the 20 respectively corresponding green areas in Figs. 12d - 12f (i.e. $\Delta P_{serial} = 0$ bar), it can be noticed 21 that FO-RO hybrid is not beneficial unless the membrane cost is significantly reduced from the 22 current unit FO element cost. Similarly overlapping the specified areas in Fig. 12b with the respective blue areas in the bottom figures (i.e. $\Delta P_{serial} = 1$ bar), it can be clearly seen that the 23 SE1 and SE3 scenarios are not the optimal configurations and the SE2 scenario is the only 24 25 available option. By applying the identical assessment on Fig. 12c (i.e. $\Delta P_{serial} = 2$ bar), a small

1 optimal area for SE1 within the RO recovery of approximately 60 - 67% and a larger area for 2 SE2 in higher RO recoveries of approximately 67 – 80%. In summary, the FO-RO hybrid 3 option can only be beneficial when significant unit FO element cost reduction is possible 4 whereas the SE2 scenario is a viable option for higher range of RO recovery. In addition, the 5 serial connection of more than 8040 FO elements is not an economically feasible option for 6 FO-RO hybrid due to the overwhelming CAPEX and OPEX of FO over energy cost saving in 7 RO. Same for PAFO-RO due to exponential increase of inlet hydraulic pressure leading to 8 escalating OPEX_{PAFO} of negative dominance in economic feasibility over OPEX_{RO} saving. This 9 graphical evaluation method can be a practically valid tool to determine the optimal serial 10 configuration and operating condition depending on the membrane cost.

11

12 *3.2.3. Strategy for improving economic feasibility*

13 In this economic evaluation, a limited number of CAPEX_{PAFO} (i.e. FO element, PAFO 14 pumps and pressure vessels) and OPEX_{PAFO} (i.e. PAFO electricity cost, PAFO parts and 15 material and FO membrane replacement) components were assessed. Considering both the 16 capital expenditure for civil engineering in RO (i.e. 17.31% of CAPEX_{RO} from Fig. S1b) and 17 the larger footprint required for PAFO trains, the costs of civil engineering for employing PAFO 18 to the existing 2-stage RO plant surely decrease the economic feasibility. The larger footprint 19 indirectly indicate that other CAPEX_{PAFO} components such as equipment and materials, piping/high alloy and installation and services can overwhelm the total cost savings estimated 20 21 in this evaluation. In terms of OPEX_{PAFO}, labour and chemical costs are likely to further worsen 22 the economics. Considering these aspects, it can be arguably stated that adopting PAFO to an 23 existing RO plant might not be an economically feasible consideration.

24 Nevertheless, there are other potential sources that can improve the feasibility of 25 PAFO-RO hybrid process if the hybrid process is newly commissioned as a whole. In the

1	current economic evaluation, $Q_{D,intake}$ was reduced by approximately $40 - 70\%$ depending on
2	operating conditions compared to stand-alone 2-stage RO. This directly leads to an analogy
3	that the corresponding $CAPEX_{RO}$ components such as equipment and materials (22.90%), civil
4	engineering (17.31%), piping/high alloy (14.25%), pretreatment (8.65%) and intake/outfall
5	(7.13%) in Fig. S1b, with a total sum of 70.24% ($$90.61$ million) of CAPEX _{RO} , can be the
6	additional sources for improving the economics of PAFO-RO. In addition, major portion of the
7	RO chemical cost (18.8% of OPEX _{RO} , \$110.24 million from Fig. S1c) is dedicated to the
8	pretreatment step [28]. Due to the reduced pretreatment capacity, certain degree of electricity
9	cost for other installations can be accordingly reduced. As such, significant reduction of
10	seawater intake itself can improve the overall plant economics. Elongation of design period
11	(DP) can also positively affect the economics in the long-term due to accumulating RO energy
12	cost saving. In addition, the likelihood of potential energy crisis breakout in regards to the rapid
13	depletion of fossil fuel-based energy sources [29] can necessitate the desalination means such
14	FO-RO or PAFO-RO hybrid processes of lower energy dependency.

1 4. Conclusions

2 The economics of PAFO-RO hybrid process are challenging due to the uncertainties 3 of largely unknown behaviors of CAPEX_{PAFO} and OPEX_{PAFO} components. However, a certain 4 degree of validation was made by conducting an economic assessment based on pilot-scale 5 PAFO experiments using serially connected FO elements on the case in which PAFO is 6 employed as a pretreatment step to an existing 2-stage RO desalination plant. It was also found 7 that the serial connection of FO elements is strongly dependent on the hydraulic pressure and 8 the increase of the operating hydraulic pressure necessitated exponential increase of inlet 9 hydraulic pressures for maintaining the desired initial feed and draw flowrates. Draw dilution 10 was efficient up to serial connection of two FO elements (SE1), yet this effect was significantly 11 deteriorated during testing three elements (SE3). Based on these results, the economic 12 evaluation reached to a conclusion that the SE2 scenario at target RO recoveries higher than 13 67% is the most economically feasible option within the PAFO-RO hybrid system. Along with 14 the impact of RO recovery on the economics, the unit FO element cost significantly affected 15 the feasibility in terms of determining optimal serial configuration and operating hydraulic 16 pressure conditions. The graphical evaluation method suggested in this work successfully 17 validated the economic feasibility of PAFO-RO hybrid when a limited number of important 18 CAPEX_{PAFO} and OPEX_{PAFO} components are considered in accordance with the pilot-scale 19 experimental results.

Nevertheless, the plausibility of implementing PAFO to an existing RO plant still remained uncertain because of additional CAPEX_{PAFO} components for the construction of the PAFO unit process. This plausibility can be improved by commissioning a PAFO-RO hybrid desalination plant because of the reduction of seawater intake which can lead to a significant reduction of both CAPEX_{RO} and OPEX_{RO}. In addition, considering the strict dependence of PAFO-RO economics on unit FO element cost, element manufacturing cost should be reduced 1 when selecting the raw materials to improve the economic feasibility of the hybrid process.

2

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1	Figure captions
2	
3	Fig. 1. Structural characteristics of a spiral-wound FO element and the spacer configurations
4	of the membrane leaves of CSM FO-8040
5	
6	Fig. 2. Process flow diagram of the PAFO pilot system
7	
8	Fig. 3. Procedure for determining CAPEX _{PAFO} and OPEX _{PAFO} (Note: important initial
9	independent variables and the dependent expenditure components are specified with single-
10	lined rectangles)
11	
12	Fig. 4. Cumulative average water flux variations for serial connections with respect to ΔP_{serial}
13	
14	Fig. 5. Impact of serial connection with varying ΔP_{serial}
15	
16	Fig. 6. Diluted draw concentrations for (a) SE1, (b) SE2 and (c) SE3 in accordance with varying
17	ΔP_{serial} (Note: initial draw concentration, $C_{D,in} = 35,000 \text{ mg/L}$)
18	
19	Fig. 7. Hydraulic pressure variations along the serially connected FO elements. (a) feed side
20	and (b) draw side for SE1. Comparison of hydraulic pressure profiles of SE1, SE2 and SE3 for
21	ΔP_{serial} conditions of (c) 0 bar, (d) 1 bar and (e) 2 bar
22	
23	Fig. 8. Variations of cost savings of single element (SE1) scenario for target RO recoveries of
24	(a) 40%, (b) 60% and (c) 80% with varying initial flowrates and ΔP_{serial} when unit FO element
25	cost was \$2,000 (Note: F and D denote initial feed and draw flowrates, respectively.) 38

- 1
- Fig. 9. Variations of cost savings of SE2 scenario bound to identical description in Fig. 8
- 4 Fig. 10. Variations of cost savings of SE3 scenario bound to identical description in Fig. 8
- 5

Fig. 11. FO element cost and PAFO energy cost as major components of the economics of PAFO-RO hybrid. Cost saving in CAPEX_{PAFO} by additional pressure ((a) CAPEX_{PAFO} at $\Delta P_{serial} = 0$ bar and (b) CAPEX_{PAFO} at $\Delta P_{serial} = 4$ bar) compensated by the OPEX_{PAFO} due to additional energy cost ((c) OPEX_{PAFO} at $\Delta P_{serial} = 0$ bar and (d) OPEX_{PAFO} at $\Delta P_{serial} = 4$ bar) at 60% target RO recovery for SE1 with \$2,000 of unit FO element cost

Fig. 12. Determination of optimal operational condition and configuration with respect to target RO recovery and unit FO element cost when ΔP_{serial} is (a) 0 bar, (b) 1 bar, (c) 2 bar or serial connection is (d) SE1, (e) SE2 and (f) SE3 (Note: The red zones specified with the NG (i.e. nogo) notation are economically not feasible serial configurations and operational conditions.)

1 Figures



Fig. 1.















Fig. 4.







0 bar

1 bar

2 bar

3 bar

■4 bar

2 Fig. 7.

3









- **Fig. 10**.



F10.76

FIDIDI

F60.76 F60-D1 FIDIDS



F10.15 FTO-JO

570-157

Pumps

■ Vessels

Membranes



2 Fig. 11.

50

0

59.75 ¥59-76 F50-D1 F60-15

3



50

0

F30-D5

¥50.76 650.07 F60.15 F60.70 F60.D1

5 Fig. 12.

1 Tables

Table 1. Summary of operating conditions for pilot-scale PAFO operations

Operational	l Factors	Description	Note
Membrane element		CSM FO8040	Toray Chemical Korea, Inc.
Effective membrane area		15.3 m ²	For single element
Initial	Feed, $C_{F,in}$	200 mg/L TDS (500 L)	
Solutions	Draw, C _{D,in}	35,000 mg/L TDS (1,000 L)	NaCl (99.5% Purity, OCI, Korea)
Initial	Feed, $Q_{F,in}$	50, 60, 70 L/min	
Flowrates	Draw, $Q_{D,in}$	5, 6, 7 L/min	
Pressure Difference, ΔP_{serial}		0, 1, 2, 3, 4 bar (± 0.02 bar)	ΔP_{serial} = feed outlet pressure of the last element - draw inlet pressure of the last element
Serial Connection, SE		1, 2, 3	SE : number of FO elements in series
Temperature		25 ± 1 °C	
Operation Time		30 min	