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# Direction of arrival estimation of Multiple acoustic sources using a maximum likelihood method in the spherical harmonic domain

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**Abstract:** Direction of arrival estimation (DOA) of multiple acoustic sources has been used for a wide range of applications, including room geometry inference, source separation and speech enhancement. The beamformer-based and subspace-based methods are most commonly used for spherical microphone arrays; however, the former suffers from spatial resolution limitations, while the later suffers from performance degradation in noisy environment. This letter proposes a multiple source localization approach based on the maximum likelihood method in the spherical harmonic domain and implements an efficient sequential iterative search of maxima on the cost function in the spherical harmonic domain. The proposed method avoids the division of the spherical Bessel function, which makes it suitable for both rigid-sphere and open-sphere configurations. Simulation results show that the proposed method has a significant superiority over the commonly used frequency smoothing multiple signal classification method. Experiments in a normal listening room and a reverberation room validate the effectiveness of the proposed method.

**Keywords:** multiple source localization; maximum likelihood; spherical harmonic domain; alternating projection

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## 1. Introduction

The rotationally symmetric spatial directivity makes the spherical microphone array an appealing structure in many audio applications, among which the acoustic source localization, or the direction of arrival (DOA) estimation, plays an important role in speech enhancement [1], room impulse response analysis [2], and room geometry inference [3].

Various DOA estimation methods have been proposed, which can be generally classified as beamformer-based [2-5] and subspace-based [6-7]. The beamformer-based methods, such as those based on plane-wave decomposition (PWD) [4] and the minimum variance distortionless response (MVDR) beamformer [3], have the benefit of straightforward implementation, but suffer from low spatial resolution. The subspace-based methods, such as the multiple signal classification (MUSIC) [6], provide a high spatial resolution; however, they suffer from severe performance degradation when the signal-to-noise ratio (SNR) is low [8]. In order to improve the robustness of the DOA estimation of coherent sources, wideband expansion based on focusing matrices or frequency smoothing (FS) techniques has to be employed [7].

We proposed a maximum likelihood DOA estimation method in the spherical harmonic domain (SHMLE) recently, which is an attractive alternative DOA estimation method with

45 advantages of high spatial resolution, strong robustness and straightforward wideband  
 46 implementation [9]. The proposed SHMLE method only considered one source situation, while two  
 47 or more sources often need to be localized in many practical applications. In this letter, the SHMLE  
 48 method is extended to estimate the DOA of multiple sources. Generally speaking, the DOAs can be  
 49 determined by searching maxima on the maximum likelihood (ML) cost function. However, the  
 50 commonly used grid search method is only effective in finding the global maximum, which restricts  
 51 its applicability in one source situation. To achieve effective DOA estimation of multiple sources, an  
 52 efficient sequential iterative search method is introduced in the spherical harmonic (SH) domain.  
 53 Experiments using a 32-element spherical microphone array validate the feasibility and superiority  
 54 of the proposed method.

## 55 2. Methods

### 56 2.1. Signal model in the spherical harmonic domain

57 The standard spherical coordinate system is utilized with  $r$ ,  $\theta$  and  $\varphi$  representing the radius, the  
 58 elevation angle and the azimuth, respectively. The sound field is assumed to be composed of plane  
 59 waves from  $L$  sources with  $\Psi_l = (\theta_l, \varphi_l)$  ( $l = 1, 2, \dots, L$ ) being the DOA of the  $l$ -th plane wave and  $s_l(k)$   
 60 being its amplitude, where  $k$  denotes the wave number. The  $Q$  element spherical microphone array  
 61 is distributed uniformly on a sphere with a radius of  $a$  centred at the origin of the coordinate system,  
 62 and  $\Omega_q = (\theta_q, \varphi_q)$  is the angle position of the  $q$ -th microphone [10].

63 The sound pressure of the  $q$ -th microphone for the incident waves can be expressed as [11]

$$64 \quad p(k, \Omega_q) = \sum_{l=1}^L s_l(k) e^{i\mathbf{k}_l^T \mathbf{r}_q} \approx \sum_{l=1}^L s_l(k) \sum_{n=0}^N \sum_{m=-n}^n b_n(k) Y_{n,m}^*(\Psi_l) Y_{n,m}(\Omega_q), \quad (1)$$

65 where  $\mathbf{k}_l = -k(\cos\varphi_l \sin\theta_l, \sin\varphi_l \sin\theta_l, \cos\theta_l)^T$  and  $\mathbf{r}_q = a(\cos\varphi_q \sin\theta_q, \sin\varphi_q \sin\theta_q, \cos\theta_q)^T$  denote the wave  
 66 vector of the  $l$ th plane wave and the position of the  $q$ -th microphone in the Cartesian coordinate.  $Y_{n,m}$   
 67 is the spherical harmonic of order  $n$  and degree  $m$ ,  $N$  is the highest order number for the plane wave  
 68 decomposition and satisfies  $(N+1)^2 < Q$ . The superscript (\*) denotes complex conjugation, and  $b_n(k)$  is  
 69 a function of array configuration [11]. Equation (1) can be expressed in matrix form as

$$70 \quad p(k, \Omega_q) \approx \mathbf{y}^T(\Omega_q) \mathbf{B}(k) \mathbf{Y}^H(\Psi) \mathbf{s}(k), \quad (2)$$

71 with

$$72 \quad \mathbf{y}(\Omega_q) = [Y_{0,0}(\Omega_q), Y_{1,-1}(\Omega_q), Y_{1,0}(\Omega_q), Y_{1,1}(\Omega_q), \dots, Y_{N,N}(\Omega_q)]^T, \quad (3)$$

$$73 \quad \mathbf{y}(\Psi_l) = [Y_{0,0}(\Psi_l), Y_{1,-1}(\Psi_l), Y_{1,0}(\Psi_l), Y_{1,1}(\Psi_l), \dots, Y_{N,N}(\Psi_l)]^T, \quad (4)$$

$$74 \quad \mathbf{Y}(\Psi) = [\mathbf{y}(\Psi_1), \mathbf{y}(\Psi_2), \dots, \mathbf{y}(\Psi_L)]^T, \quad (5)$$

$$75 \quad \mathbf{B}(k) = \text{diag}\{b_0(k), b_1(k), b_1(k), b_1(k), \dots, b_N(k)\}, \quad (6)$$

$$76 \quad \mathbf{s}(k) = [s_1(k), s_2(k), \dots, s_L(k)]^T, \quad (7)$$

$$77 \quad \Psi = [\Psi_1, \Psi_2, \dots, \Psi_L], \quad (8)$$

78 where the superscript (T) denotes the transpose.

79 In the presence of additive noise, the sound pressure at all  $Q$  microphones can be expressed as

$$80 \quad \mathbf{p}(k, \Omega) \approx \mathbf{Y}(\Omega) \mathbf{B}(k) \mathbf{Y}^H(\Psi) \mathbf{s}(k) + \mathbf{v}(k), \quad (9)$$

81 where

$$\mathbf{Y}(\boldsymbol{\Omega}) = [\mathbf{y}(\Omega_1), \mathbf{y}(\Omega_2), \dots, \mathbf{y}(\Omega_Q)]^T, \quad (10)$$

83  $\mathbf{p}(k, \boldsymbol{\Omega}) = [p(k, \Omega_1), p(k, \Omega_2), \dots, p(k, \Omega_Q)]^T$  is the vector of the sound pressure of  $Q$  microphones, and  $\mathbf{v}(k)$   
 84  $= [v_1(k), v_2(k), \dots, v_Q(k)]^T$  is the vector of the additive sensor noise added to the system. The  
 85 uncorrelated noise is assumed to be zero mean complex Gaussian and, for simplicity, be spatially  
 86 white with a covariance matrix  $\mathbf{R}_v(k) = \sigma_v^2 \mathbf{I}_Q$ , where  $\sigma_v^2$  is the unknown noise variance and  $\mathbf{I}_Q$  is  
 87 the identity matrix of order  $Q \times Q$ .

88 For the uniformly spatial sampling configuration used in this letter, the following orthogonal  
 89 relation holds (note that  $(N+1)^2 \leq Q$ ) [10]

$$\frac{4\pi}{Q} \mathbf{Y}^H(\boldsymbol{\Omega}) \mathbf{Y}(\boldsymbol{\Omega}) = \mathbf{I}_{(N+1)^2}. \quad (11)$$

91 The SH transform can be carried out by multiplying both sides of Eq. (9) from the left with  
 92  $\frac{4\pi}{Q} \mathbf{Y}^H(\boldsymbol{\Omega})$ , which yields

$$\mathbf{p}_{\text{nm}}(k) \approx \mathbf{B}(k) \mathbf{Y}^H(\boldsymbol{\Psi}) \mathbf{s}(k) + \mathbf{v}_{\text{nm}}(k), \quad (12)$$

94 where  $\mathbf{p}_{\text{nm}}(k)$  is a vector containing  $(N+1)^2$  SH domain coefficients, i.e.,

$$\mathbf{p}_{\text{nm}}(k) = [p_{0,0}(k), p_{1,-1}(k), p_{1,0}(k), p_{1,1}(k), \dots, p_{N,N}(k)]^T. \quad (13)$$

96 The second term on the right side of Eq. (12) is the noise expressed in the SH domain, i.e.  
 97  $\mathbf{v}_{\text{nm}}(k) = \frac{4\pi}{Q} \mathbf{Y}^H(\boldsymbol{\Omega}) \mathbf{v}(k)$ , with the mean

$$E[\mathbf{v}_{\text{nm}}(k)] = \frac{4\pi}{Q} \mathbf{Y}^H(\boldsymbol{\Omega}) E[\mathbf{v}(k)] = \mathbf{0}, \quad (14)$$

99 and the covariance matrix

$$\mathbf{R}_{\text{nm}}(k) = E\left[\frac{4\pi}{Q} \mathbf{Y}^H(\boldsymbol{\Omega}) \mathbf{v}(k) \mathbf{v}^H(k) \mathbf{Y}(\boldsymbol{\Omega}) \frac{4\pi}{Q}\right] = \frac{4\pi}{Q} \cdot \sigma_v^2 \mathbf{I}_{(N+1)^2}, \quad (15)$$

101 where  $E(\cdot)$  denotes the statistical expectation. Apparently, the noise model in the SH domain is also  
 102 zero-mean complex Gaussian.

## 103 2.2. Sound source localization in the spherical harmonic domain

104 Define  $\boldsymbol{\Theta} = [\boldsymbol{\Psi}^T, \mathbf{S}^T, \sigma_v^2]^T$  as the vector of all unknown parameters, where  
 105  $\mathbf{S} = [\mathbf{s}(k_{\min})^T, \dots, \mathbf{s}(k_{\max})^T]^T$  contains the amplitudes of the source signals with  $k_{\min}$  and  $k_{\max}$   
 106 representing the minimum and maximum wave numbers and satisfying  $ka \leq N$ . Throughout this  
 107 letter,  $\boldsymbol{\Psi}$ ,  $\mathbf{s}$  and  $\sigma_v^2$  are assumed to be deterministic and unknown, while the observed data  $\mathbf{p}_{\text{nm}}$  is  
 108 considered to be random [12]. The likelihood function of  $\mathbf{p}_{\text{nm}}$  given  $\boldsymbol{\Theta}$  in the SH domain can be  
 109 expressed as [9,12]

$$f(\mathbf{p}_{\text{nm}}; \boldsymbol{\Theta}) = \frac{\exp\left\{-\sum_{k=k_{\min}}^{k_{\max}} [\mathbf{p}_{\text{nm}}(k) - \mathbf{V}_{\text{nm}}(k, \boldsymbol{\Psi}) \mathbf{s}(k)]^H \mathbf{R}_{\text{nm}}^{-1} [\mathbf{p}_{\text{nm}}(k) - \mathbf{V}_{\text{nm}}(k, \boldsymbol{\Psi}) \mathbf{s}(k)]\right\}}{\left(\pi^{(N+1)^2} |\mathbf{R}_{\text{nm}}|\right)^{k_{\max} - k_{\min}}}, \quad (16)$$

111 where  $\mathbf{V}_{\text{nm}}(k, \boldsymbol{\Psi}) = \mathbf{B}(k) \mathbf{Y}^H(\boldsymbol{\Psi})$ , and  $|\cdot|$  denotes the matrix determinant. The solution to Eq. (16) is  
 112 given by [9]

$$113 \quad \hat{\Psi} = \arg \min_{\Psi} \sum_{k=k_{\min}}^{k_{\max}} \left\| \mathbf{p}_{\text{nm}}(k) - \mathbf{V}_{\text{nm}}(k, \Psi) \mathbf{V}_{\text{nm}}(k, \Psi)^{\dagger} \mathbf{p}_{\text{nm}}(k) \right\|^2, \quad (17)$$

114 where  $(\cdot)^{\dagger}$  denotes pseudo-inverse operation.

115 Define the cost function as

$$116 \quad J(\Psi) = -10 \log_{10} \left( \sum_{k=k_{\min}}^{k_{\max}} \left\| \mathbf{p}_{\text{nm}}(k) - \mathbf{V}_{\text{nm}}(k, \Psi) \mathbf{V}_{\text{nm}}(k, \Psi)^{\dagger} \mathbf{p}_{\text{nm}}(k) \right\|^2 \right), \quad (18)$$

117 then the wideband estimator can be described as

$$118 \quad \hat{\Psi} = \arg \max_{\Psi} J(\Psi). \quad (19)$$

119 The SHMLE has the remarkable benefit of easy wideband implementation as described in Eqs.  
 120 (17)-(19). This is superior over the other methods in the spherical harmonic domain, which usually  
 121 require a quite cumbersome frequency smoothing (FS) technique to realize wideband DOA [7].  
 122 Compared with the maximum likelihood method in Ref. 13, the division of  $b_n(k)$  is avoided, which  
 123 makes the method proposed in this letter suitable for both rigid-sphere and open-sphere arrays.

### 124 2.3. DOA estimation of multiple sources

125 For one source situation, Eq. (19) can be solved using the grid search method. For  $P$  grid points  
 126 and  $L$  sources situation, the computational load of Eq. (19) is  $O(P^L)$ , which is computationally  
 127 prohibitive. Moreover, effective discrimination of the multiple maxima in the cost function is very  
 128 difficult even if repetitive traversal is feasible. To alleviate these problems, a nonlinear optimization  
 129 algorithm is applied in the SH domain with implementation of the alternating projection method  
 130 [14]. The alternating projection method avoids the multidimensional search by estimating the  
 131 location of one source sequentially while fixing the estimates of other source locations from the  
 132 previous iteration.

133 For nonlinear optimization methods, the initial locations of the sound sources is critical to  
 134 reach the global maximum. In this letter, the simplified grid search method is adopted to find initial  
 135 locations, and the procedure of the method is described as follows.

136 (1) Estimate the location of the first source  $s_1$  on a single source grid with

$$137 \quad \Psi_1^{(0)} = \arg \max_{\Psi_1} J(\Psi_1). \quad (20)$$

138 (2) For  $l = 2, \dots, L$ , estimate the location of the  $l$ th source  $s_l$ , assuming locations of the first  $l-1$   
 139 sources are fixed by using

$$140 \quad \Psi_l^{(0)} = \arg \max_{\Psi_2} J\left(\left[\Psi_{l-1}^{(0)}, \Psi_l\right]\right), \quad (21)$$

141 where  $\Psi_{l-1}^{(0)}$  denotes the initial locations of first  $l-1$  sources, i.e.

$$142 \quad \Psi_{l-1}^{(0)} = \left[\Psi_1^{(0)}, \Psi_2^{(0)}, \dots, \Psi_{l-1}^{(0)}\right]. \quad (22)$$

143 In steps (1) and (2), Eq. (19) only needs to be calculated  $P \times L$  times and the effective initial  
 144 location information can be obtained. In some cases, this initialization process is not necessary since  
 145 a good initial location estimate is available, for example, from the estimate of the previous data for  
 146 slowly moving sources.

147 After initialization, the accurate locations can be estimated using a nonlinear optimization  
 148 algorithm with implementation of the alternating projection method [14]. The location of  $\Psi_l$  at the ( $i$   
 149 + 1)th iteration can be estimated by solving the one-dimensional maximization problem

$$150 \quad \Psi_l^{(i+1)} = \arg \max_{\Psi_l} J\left(\left[\Psi_l, \Psi_s^{(i)}\right]\right), \quad (23)$$

151 where  $\Psi_s^{(i)}$  denotes the estimated locations of other  $L - 1$  sources, i.e.

$$152 \quad \Psi_s^{(i)} = [\Psi_1^{(i+1)}, \dots, \Psi_{l-1}^{(i+1)}, \Psi_{l+1}^{(i)}, \dots, \Psi_L^{(i)}]. \quad (24)$$

153 In Eq. (23), the Quasi-Newton (QN) method with Broyden-Fletcher-Goldfarb-Shanno algorithm  
 154 [15] is used, and the QN method is available in MATLAB as in the *fminunc* function. For the  
 155 beamformer-based and subspace-based methods, the localization results are acoustic maps [7]. An  
 156 extra algorithm is needed to identify the location of the sound sources from the acoustic maps. On  
 157 the contrary, the method proposed in this letter can automatically provide the localization results, as  
 158 described in Eq. (23). Furthermore, the localization precision of the proposed method can be  
 159 extremely high, as will be demonstrated in the following simulations and experiments.

### 160 3. Simulations and experiments

161 In this section, the performance of the proposed method, i.e., the multiple source SHMLE  
 162 (MS-SHMLE), is investigated and compared to the FSMUSIC method [7], which has the benefits of  
 163 high spatial resolution and easy implementation. The Eigenmike® [16] microphone array model,  
 164 with  $Q = 32$  microphones arranged uniformly on a sphere with radius  $a = 4.2$  cm (depicted in Fig. 1),  
 165 was used in both simulations and experiments. Only two source cases were considered in  
 166 simulations and experiments, and the proposed method can be easily utilized to other scenarios with  
 167 more sources as described in Sec. 2.3. The source signals are independent white Gaussian noise  
 168 sampled at a sampling rate of  $f_s = 16$  kHz, and a frame of 1024 samples is extracted from the  
 169 recordings. The localization frequency range is  $ka \in [2.5 \ 3.5]$ . The grid resolution of FSMUSIC is  $1^\circ$ .



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172 **Figure 1.** Eigenmike® and two sound sources in (a) normal listening room (b) reverberation room.

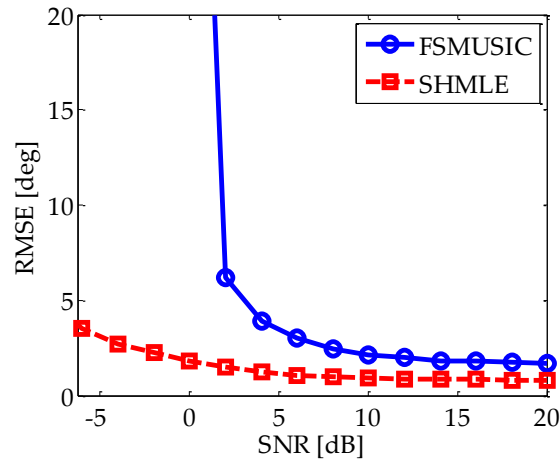
173 Root mean squared error (RMSE) is used to assess the performance of the localization results,  
 174 which is defined as

$$175 \quad \text{RMSE} = \sqrt{E\left\{\left(\Psi - \hat{\Psi}\right)\left(\Psi - \hat{\Psi}\right)^T\right\}}. \quad (25)$$

#### 176 3.1. Simulations with different SNR

177 Figure 2 depicts the RMSE of the FSMUSIC and the MS-SHMLE as a function of SNR in a room  
 178 with reverberation time of 0.3 s. In this simulation, the room dimensions are  $6 \times 7 \times 5$  m<sup>3</sup>, the  
 179 microphone array is located at  $[3, 2.5, 1.5]$  m and the speakers are placed 1.0 m away from the array  
 180 center. Sound sources incident from directions of  $(90^\circ, 180^\circ)$  and  $(90^\circ, 120^\circ)$ . The RMSE is averaged  
 181 over 100 different trials. The room impulse responses between the sound sources and the  
 182 microphones positioned on the rigid sphere are simulated using the method proposed in Ref. 17. It

183 can be seen that the RMSE of the MS-SHMLE is better than that of FSMUSIC especially for the low  
 184 SNR situations, and FSMUSIC fails to present meaningful DOAs when the SNR is lower than 2 dB.



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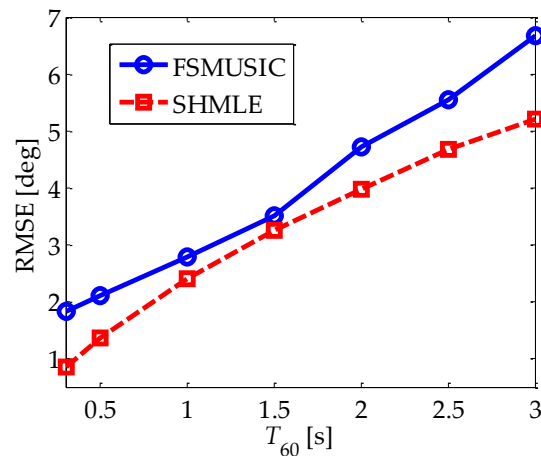
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**Figure 2.** Localization RMSE of the FSMUSIC and the MS-SHMLE versus SNR in a room with reverberation time of 0.3 s

### 188 3.2. Simulations under different reverberation time

189 When the reverberation time is higher than 1.5 s, the method proposed in Ref. 17 is not suitable  
 190 to simulate the room impulse responses because of its high computational burden and memory  
 191 requirement. Therefore, an open-sphere array configuration is used in this simulation and the room  
 192 impulse responses are simulated using the method proposed in Ref. 18. When the open-sphere  
 193 configuration is used, the FSMUSIC method suffers from ill-conditioning around the zeros of the  
 194 spherical Bessel function, and a mitigation method proposed in Ref. 19 is utilized.

195 Figure 3 depicts the localization RMSE of the FSMUSIC and the MS-SHMLE as a function of the  
 196 reverberation time  $T_{60}$ . In this simulation, the SNR is fixed at 15 dB. It can be seen that the RMSE of  
 197 the MS-SHMLE is better than that of FSMUSIC under different reverberation time.



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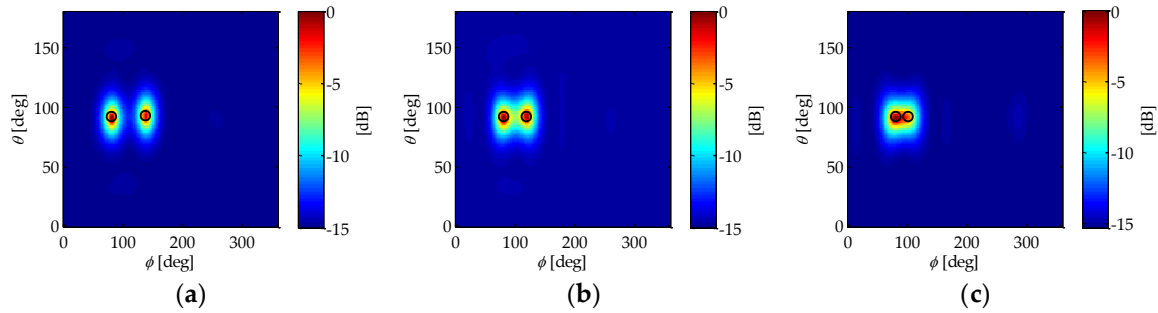
**Figure 3.** Localization RMSE of the FSMUSIC and the MS-SHMLE versus reverberation time with an SNR of 15 dB.

### 201 3.3. Two-source experiments in a listening room

202 The experiments for DOA estimation of two sources were carried out in a listening room with  
 203 background noise less than 30 dBA as depicted in Fig. 1(a). The room dimensions are  $5 \times 8 \times 4$  m<sup>3</sup> and  
 204 the reverberation time is around 0.3 s. The microphone array was located at [2.5, 3, 1.5] m. Two

205 sound sources were placed 1.5 m away from the array with 7 different angle differences  $\Delta\phi$ . The  
 206 sound pressure level (SPL) difference of the two sources at the array center is around 2 dB.

207 Figure 4 depicts the localization results for two sources case using the FSMUSIC methods. The  
 208 DOA of the sound source is denoted by a solid black circle in all these figures. It can be found that  
 209 FSMUSIC can distinguish both sources when the angle difference between the two sources is larger  
 210 than  $20^\circ$ , as depicted in Fig 4(a) and (b). When the separation angle between sources is close to  $20^\circ$ ,  
 211 FSMUSIC fails to identify two sources, as depicted in Fig 4(c), because the local maxima of the  
 212 weaker source is totally merged into the main lobe of the stronger one. It should be noted that  
 213  $\mathbf{V}_{nm}(k, \Psi)$  in Eq. (17) contains the steering vector of all sources. Therefore, the acoustic maps depicted  
 214 in Fig. 4 are not suitable for the MS-SHMLE method.



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217 **Figure 4.** Localization results for two sources case using the FSMUSIC method in a listening room  
 218 with (a)  $\Delta\phi = 60^\circ$ , (b)  $\Delta\phi = 40^\circ$  and (c)  $\Delta\phi = 20^\circ$ .

219 Table 1 shows the RMSE of the FSMUSIC and the MS-SHMLE for a 10 s recorded data.  
 220 Although the RMSE of these two methods are close, the FSMUSIC can only locate the stronger one  
 221 when the separation angle between the two sources is close to  $20^\circ$ , while the MS-SHMLE can  
 222 distinguish both sources. The average RMSE of the MS-SHMLE is comparatively lower than  
 223 FSMUSIC, which is in consistence with the simulations results.

224 **Table 1.** RMSE of the FSMUSIC and the MS-SHMLE for two sources case in a listening room

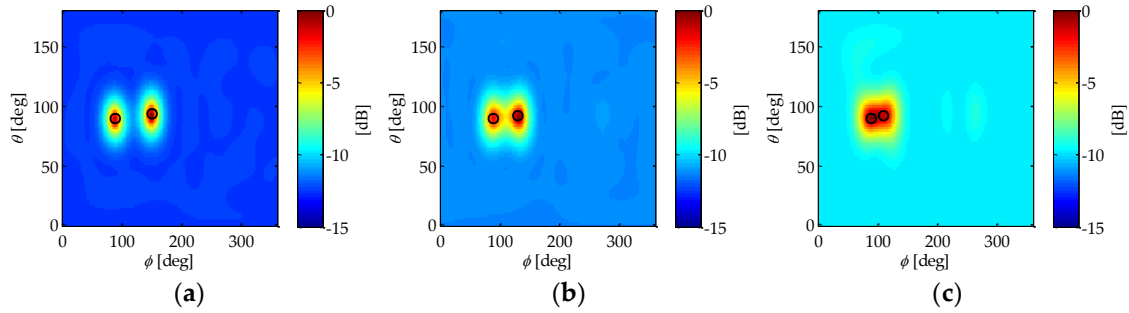
Angle difference	RMSE of FSMUSIC			RMSE of MS-SHMLE		
	Strong	weak	total	strong	weak	total
$180^\circ$	$0.73^\circ$	$1.19^\circ$	$0.99^\circ$	$0.32^\circ$	$1.66^\circ$	$1.20^\circ$
$120^\circ$	$0.59^\circ$	$0.85^\circ$	$0.73^\circ$	$0.32^\circ$	$0.91^\circ$	$0.68^\circ$
$90^\circ$	$0.76^\circ$	$0.89^\circ$	$0.83^\circ$	$0.42^\circ$	$0.90^\circ$	$0.70^\circ$
$60^\circ$	$0.77^\circ$	$0.71^\circ$	$0.74^\circ$	$0.32^\circ$	$0.79^\circ$	$0.60^\circ$
$40^\circ$	$0.83^\circ$	$0.78^\circ$	$0.81^\circ$	$0.34^\circ$	$1.05^\circ$	$0.78^\circ$
$30^\circ$	$1.08^\circ$	$1.65^\circ$	$1.39^\circ$	$0.44^\circ$	$1.76^\circ$	$1.28^\circ$
$20^\circ$	$1.66^\circ$	-	-	$0.63^\circ$	$1.54^\circ$	$1.18^\circ$

### 225 3.4. Two-source experiments in a reverberation room

226 To further validate the robustness of the proposed algorithm in high reverberant environments,  
 227 the experiments for DOA estimation of two sources were also carried out in a reverberation room as  
 228 depicted in Fig. 1(b). The room dimensions are  $5.9 \times 7.35 \times 5.22 \text{ m}^3$ . The reverberation time is around 3 s  
 229 at frequency range  $ka \in [2.5 \ 3.5]$ . In the experiments, the microphone array was located at  $[3 \ 2.5 \ 1.5]$   
 230 m, and the sound sources were placed 1.5 m away from the array.

231 Figure 5 depicts the localization results for the two sources case using the FSMUSIC method.  
 232 Similar to the results in the listening room, when the angle difference between the two sources is  
 233 larger than  $20^\circ$ , FSMUSIC can distinguish both sources as depicted in Fig 5(a) and (b). When the  
 234 separation angle between sources is close to  $20^\circ$ , FSMUSIC can only locate the stronger source while  
 235 fail to identify the weaker one, as depicted in Fig 5(c).





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**Figure 5.** Localization results for two sources case using the FSMUSIC method in a listening room with (a)  $\Delta\phi = 60^\circ$ , (b)  $\Delta\phi = 40^\circ$  and (c)  $\Delta\phi = 20^\circ$ .

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Table 2 shows the RMSE of the FSMUSIC and the MS-SHMLE for a 10 s recorded data. It can be seen that the localization RMSE in the reverberation room is higher than that in the listening room. The RMSE of these two methods increase significantly when the angle difference between the two sources is close to or lower than  $30^\circ$ . When the separation angle between the two sources is close to  $20^\circ$ , the FSMUSIC can only locate the stronger one, while the MS-SHMLE can distinguish both sources. The superiority of the MS-SHMLE in high reverberant environment coincides well with the simulations presented in Sec. 3.2.

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**Table 2.** RMSE of the FSMUSIC and the MS-SHMLE for the two sources case in a reverberation room

Angle difference	RMSE of FSMUSIC			RMSE of MS-SHMLE		
	strong	weak	Total	Strong	weak	total
$180^\circ$	$1.38^\circ$	$1.38^\circ$	$1.38^\circ$	$1.42^\circ$	$1.22^\circ$	$1.32^\circ$
$120^\circ$	$1.25^\circ$	$1.17^\circ$	$1.21^\circ$	$1.30^\circ$	$1.19^\circ$	$1.25^\circ$
$90^\circ$	$1.49^\circ$	$1.17^\circ$	$1.34^\circ$	$1.40^\circ$	$1.07^\circ$	$1.24^\circ$
$60^\circ$	$1.54^\circ$	$1.20^\circ$	$1.38^\circ$	$1.29^\circ$	$0.98^\circ$	$1.15^\circ$
$40^\circ$	$1.53^\circ$	$1.34^\circ$	$1.44^\circ$	$1.73^\circ$	$1.42^\circ$	$1.59^\circ$
$30^\circ$	$4.22^\circ$	$3.46^\circ$	$3.86^\circ$	$1.99^\circ$	$2.02^\circ$	$2.00^\circ$
$20^\circ$	$4.57^\circ$	-	-	$3.56^\circ$	$4.02^\circ$	$3.80^\circ$

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#### 4. Conclusion

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This letter proposes a multiple source localization method in the spherical harmonic domain using the maximum likelihood strategy. To avoid high-dimensional grid search with extremely high computational burden, a nonlinear optimization algorithm with implementation of the alternating projection method is introduced, leading to an efficient MS-SHMLE method. The proposed method avoids the division of the spherical Bessel function, which makes it suitable for both rigid-sphere and open-sphere configurations. Simulations and experiments on a 32-microphone model demonstrate that the proposed MS-SHMLE method has very good spatial resolution and can distinguish two sources with  $20^\circ$  angle difference in both normal listening room and reverberation room. Furthermore, the performance is stable in low SNR environment, circumventing the problem faced by the subspace-based method.

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