

1 **Environmental and economic assessment of hybrid FO-RO/NF system with**
2 **selected inorganic draw solutes for the treatment of mine impaired water**

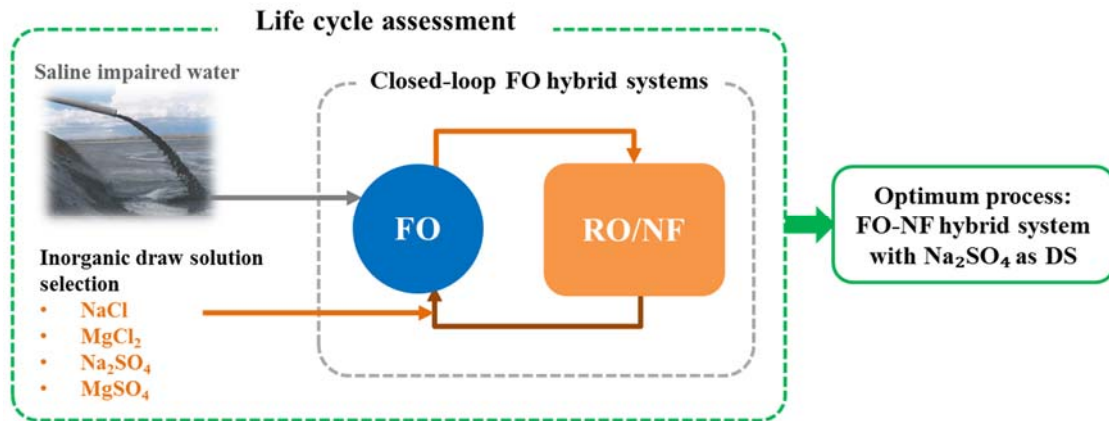
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1 **Graphical Abstract**



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3

1 **Abstract**

2 A hybrid forward osmosis (FO) and reverse osmosis (RO)/nanofiltration (NF) system
3 in a closed-loop operation with selected draw solutes was evaluated to treat coal mine impaired
4 water. This study provides an insight of selecting the most suitable draw solution (DS) by
5 conducting environmental and economic life cycle assessment (LCA). Baseline environmental
6 LCA showed that the dominant components to energy use and global warming are the DS
7 recovery processes (i.e. RO or NF processes) and FO membrane materials, respectively. When
8 considering the DS replenishment in FO, the contribution of chemical use to the overall global
9 warming impact was significant for all hybrid systems. Furthermore, from an environmental
10 perspective, the FO-NF hybrid system with Na₂SO₄ shows the lowest energy consumption and
11 global warming with additional considerations of final product water quality and FO brine
12 disposal. From an economic perspective, the FO-NF with Na₂SO₄ showed the lowest total
13 operating cost due to its lower DS loss and relatively low solute cost. In a closed-loop system,
14 FO-NF with NaCl and Na₂SO₄ had the lowest total water cost at optimum NF recovery rates
15 of 90 and 95%, respectively. FO-NF with Na₂SO₄ had the lowest environmental and economic
16 impacts. Overall, draw solute performances and cost in FO and recovery rate in RO/NF play
17 a crucial role in determining the total water cost and environmental impact of FO hybrid
18 systems in a closed-loop operation.

19

20 **Keywords:** Life cycle assessment; Forward osmosis; Reverse osmosis; Nanofiltration; Draw
21 solution; Specific reverse salt flux.

22 1. Introduction

23 In Australia, extracting and washing coal are becoming of greater concern as it produces
24 massive volumes of saline wastewater. For example, one of the coal mine sites located in the
25 Hunter Valley, New South Wales (NSW), Australia produces approximately 2.5 ML/day of
26 contaminated mine saline water with a broad range of concentration with total dissolved solids
27 (TDS) ranging from 320 to 21,000 mg/L (Thiruvengkatachari et al., 2011). Therefore, impaired
28 water produced during mining activities needs to be treated before being discharged to the
29 receiving environment or used as an alternative water resource to augment water supplies.

30 Recently, forward osmosis (FO) has emerged as a novel technology for treating
31 contaminated water produced during the hydraulic fracturing of wells (Hickenbottom et al.,
32 2013, McGinnis et al., 2012, Yun et al., 2015). Hickenbottom et al., (2013) demonstrated the
33 feasibility of an osmotic dilution operation for treating oil and gas waste streams from shale
34 gas wells. This study aimed at evaluating and optimizing process performances under different
35 operating conditions using cellulose tri acetate (CTA) FO membranes. McGinnis et al., (2013)
36 also investigated an FO membrane brine concentrator (FO-MBC, Oasys Water) in a pilot-scale
37 level and used spiral wound polyamide thin film composite (TFC) FO membrane modules.
38 This study conducted FO pilot experiments using NH_3/CO_2 as a draw solution (DS) to treat
39 raw drilling wastewater and low salinity water from the Marcellus shale formation. More
40 recently, Yun et al., (2015) investigated pressure assisted FO, which is a relatively new
41 technology, for shale gas wastewater treatment. From all these studies, it seems that FO is a
42 promising technology to treat mine impaired water to reach an acceptable level before
43 discharging to the environment.

44 However, in FO, selecting the most suitable draw solute is a top priority because its
45 performance and reconcentration are ultimately related to net benefits in terms of total capital
46 and operating costs of an FO process (Chekli et al., 2012). DS for FO applications has to meet

47 main criteria: high solubility, high osmotic pressure, low viscosity, environmental-friendly and
48 cost-effective recovery/reconcentration process (Bai et al., 2011, Chekli et al., 2012,
49 Cornelissen et al., 2011, Hancock et al., 2009).

50 One of the biggest challenges in FO is the loss of draw solutes through reverse salt
51 diffusion (RSF, J_s) across a semi-permeable FO membrane (Bowden et al., 2012, Cath et al.,
52 2006, Ge et al., 2013). The RSF is an economic loss as it adds to the replenishment cost. In
53 addition to the replenishment cost associated with the draw solute lost through the RO
54 or NF membranes. The RSF loss could also cause salt accumulation in the feed brine and
55 complicates the brine disposal requiring additional treatment processes especially if the draw
56 solutes contain salts that does not meet the stringent brine discharge regulations (Blandin et al.,
57 2016, Holloway et al., 2015, Phuntsho et al., 2017). It is important that the selection of the most
58 suitable draw solution for FO applications should be conducted based on the specific FO
59 application (i.e. purpose) and membrane types. Achilli et al., (2010) developed a protocol for
60 the selection of the most suitable DS using different inorganic-based DSs for FO applications
61 using a desktop screening process and laboratory and modelling analyses. However, this study
62 did not include an environmental and economic assessment of DSs. In addition, none of studies
63 carried out a direct comparison of overall environmental and economic impacts of hybrid FO
64 systems with different DSs to select the most appropriate DS for mine wastewater treatment
65 application.

66 There are several studies on the environmental and economic life cycle assessment of
67 an FO hybrid system compared to other conventional water treatment technologies. Valladares
68 Linares et al., (2016) investigated the life cycle cost for a hybrid FO and low-pressure reverse
69 osmosis (LPRO) system for seawater desalination and wastewater recovery. This study
70 reported a detailed economic analysis on capital and operational expenses (CAPEX and OPEX)
71 for the hybrid FO-LPRO process and compared it with seawater RO (SWRO) desalination

72 process and a membrane bioreactor-RO-advanced oxidation process (AOP) for wastewater
73 treatment and reuse. Results showed that the most important variables affecting the economic
74 feasibility of the FO-LPRO system was the FO process due to a large FO membrane area
75 required and FO module cost.

76 Holloway et al., (2016) further studied two potable reuse technologies:
77 microfiltration/RO/ultraviolet AOP treatment and a hybrid ultrafiltration osmotic membrane
78 bioreactor (UFO-MBR) using an LCA tool and methodology. Results from the LCA showed
79 that overall environmental impact and energy consumption of UFO-MBR treatment were
80 related to a large membrane area in FO and high power consumption in RO. However, by
81 considering the use of RO energy recovery device and higher water permeability FO
82 membranes, results led to the overall reduction of energy use and environmental impacts of the
83 UFO-MBR treatment.

84 There is compelling empirical evidence that environmental and economic impacts of
85 FO hybrid systems can be reduced by using FO membranes with higher water flux. However,
86 as mentioned earlier, given the system configuration and its application, environmental and
87 economic impact of FO hybrid system with selected DSs should be conducted to ensure that
88 each stage of the process has no or few impacts on the environment and overall process cost to
89 support a full-scale FO hybrid system implementation. The main objective of the current study
90 was to compare the environmental and economic impacts of FO hybrid systems with different
91 DSs. Different DS recovery processes (i.e. RO and NF) were also considered to compare
92 environmental and economic impacts of the closed-loop FO-RO and FO-NF hybrid systems
93 using energy consumption (kWh/m³) and global warming (GW) impact in carbon dioxide
94 equivalents (kg, CO₂-eq) as indicators. The effect of FO brine disposal and DS replenishment
95 cost was also evaluated. The economic analysis results were finally compared with a
96 conventional SWRO hybrid system. Through these environmental and economic evaluations,

97 the most appropriate draw solute was therefore selected for mine impaired wastewater
98 treatment. However, the current study did not include the effect or cost of pre-treatment for
99 mine impaired wastewater and its potential to membrane fouling and the performances of the
100 different FO hybrid systems. The plant lifetime was assumed based on the literature (Wittholz
101 et al., 2008) and membrane replacement time was assumed based on our previous long-term
102 operation of FO and NF membrane modules (Phuntsho et al., 2016).

103

104 **2. Materials and methods**

105 **2.1. Laboratory-scale FO experiments**

106 Four different draw solutes, NaCl, MgCl₂, Na₂SO₄ and MgSO₄ (Certified ACS-grade),
107 were selected through a desktop screening process based on water flux and RSF results. Mine
108 brackish groundwater (BGW) was employed as feed solution (FS) with a total dissolved solid
109 (TDS) of 5,568 mg/L and osmotic pressure of 3.96 bar. The other compositions of the FS are
110 presented in our previous study (Phuntsho et al., 2016). In FO experiments, each DS was
111 prepared at 1 M concentration which corresponds to different osmotic pressure as presented in
112 Table 1 obtained from OLI Stream Analyser 3.2 (OLI Systems, Inc., Morris Plains, NJ). In
113 order to fairly confirm the performances of the DS recovery processes (i.e. RO and NF), the
114 osmotic pressure of NaCl and Na₂SO₄ (i.e. monovalent and divalent ions). Besides it was
115 assumed that MgCl₂ and MgSO₄ have the same molar concentration with NaCl and Na₂SO₄ as
116 their osmotic pressure was around two times higher (MgCl₂) and lower (MgSO₄). It was
117 therefore expected to have further savings in operational costs in terms of FO membrane cost
118 and DS replenishment cost. Fig. S1 of the Supplementary Information (SI) presents the osmotic
119 pressure, viscosity, electrical conductivity (EC) and diffusivity as a function of the
120 concentration of the draw solutes.

121 A flat sheet TFC FO membrane manufactured by Toray Chemical Korea (TCK) Inc.
122 was used for all experiments. The pure water permeability coefficient (A) and salt rejection (R)
123 were determined under RO mode using DI water and 2 g/L sodium chloride as feed,
124 respectively. The pressure was varied from 4 to 10 bar. The A and R values were $5.53 \text{ Lm}^{-2}\text{h}^{-1}\text{bar}^{-1}$ and 95%. All the input parameters used for FO process simulation including water and salt
125 fluxes (J_w and J_s), rejection (R), salt permeability (B), diffusivity (D), solute resistance
126 coefficient (K) and structural parameter (S) values for each solution are shown in Table S1 in
127 the SI.

129 The effective membrane area of an acrylic FO cell was 20.02 cm^2 (7.7 cm in length, 2.6
130 cm in width, and 0.3 cm in depth). The dense active layer of the FO membrane was facing with
131 the feed solution (AL-FS mode), and all the experiments were conducted under counter-current
132 flow mode due to better flux stability with a lower fouling tendency (Tang et al., 2010). All FO
133 experiments were carried out at a constant temperature of 25°C with a flow rate of 400 mL/min
134 for 10 hrs operation time.

135 The performance of each DS was evaluated for water flux (J_w) and RSF (J_s). J_w was
136 determined by measuring the change in mass of the DS tank (placed on a digital scale connected
137 to a computer) for the duration of each experiment. The first 30 min of data was disregarded in
138 the flux calculation to account for transport equilibration. Two different methods were used to
139 measure RSF of draw solutes. When DI water was used as FS, the EC in the FS tank was
140 measured at the beginning and end of each experiment. When BGW was used as FS, ion
141 compositions in the collected samples at the beginning and end of each experiment were
142 measured using a Perkin Elmer Elan DRC-e Inductively Coupled Plasma Mass Spectrometer.

143

144 **Table 1.** Characterization of DSs used for FO experiments and this data obtained from Fig. S1
145 in the SI.

Chemicals	Osmotic pressure π@1M(bar)	Viscosity@1M (cP)	Hydrated diameter, 10^{-12} (m) (Achilli et al., 2010)
NaCl	46.77	0.97	Cl ⁻ : 300
MgCl ₂	92.55	1.27	SO ₄ ²⁻ : 400
Na ₂ SO ₄	46.01	1.26	Na ⁺ : 450
MgSO ₄	23.31	1.71	Mg ²⁺ : 800

146

147 **2.2. Full-scale simulation of FO, RO and NF processes**

148 A full-scale simulation of FO process was conducted based on a simple mass
149 balance relationship (Liyanarachchi et al., 2016, Phuntsho et al., 2017) and a module-scale
150 approach (Deshmukh et al., 2015) under a closed-loop FO hybrid system since there is no
151 commercial FO simulation software available. An 8” spiral wound TFC FO membrane module
152 with a total membrane area of 15.3 m² (Toray Industries, Korea) was used. Mass balance
153 equations to calculate the key solution concentrations, flow rates, water flux and salt flux are
154 described in Table S2 of the SI.

155 It must be clarified here that, implications of forward diffusion of feed solutes
156 towards the DS during the FO process has not been accounted in this study. The forward
157 diffusion of feed solutes could have a significant impact on the quality of the DS in a closed
158 loop FO-RO or NF hybrid system due to accumulation of feed solutes with time potentially
159 requiring draw solute replacement from time to time which can add to the cost (Phuntsho et al.,
160 2017, Phuntsho et al., 2016). While the rate of forward diffusion of feed solutes could depend
161 on the rejection of the FO membrane however the types of ions and co-ions present on each
162 side of the membrane would significantly affect their dynamics. This consideration requires
163 more detail characterisation and analytical studies and hence is not included within the scope
164 of this study.

165 The Reverse Osmosis System Analysis (ROSA, Dow Filmtec, Midland, MI) software
166 was used to simulate the performance of full-scale RO and NF processes. An 8” spiral wound
167 polyamide RO and NF membrane modules were utilized in the RO and NF processes (i.e. DS

168 recovery process). Membrane module details are presented in Tables S3 and S4 of the SI. The
169 RO and NF system design parameters including number of stages, pressure vessels, and
170 membrane modules were incorporated into ROSA as input parameters to meet a fixed RO and
171 NF permeate flow rate of 100,000 m³/day. Using the OLI Stream Analyser 3.2, the final diluted
172 DS concentrations were assumed to be equal to the osmotic pressure of BGW FS based on the
173 principle of osmotic equilibrium. It has to be noted that only three DSs (NaCl, MgCl₂, and
174 Na₂SO₄) were used to conduct a full-scale simulation of RO and NF processes. This will be
175 discussed in Section 3.1.

176

177 **2.3. Environmental and economic life cycle assessment**

178 **2.3.1. Environmental impact assessment**

179 Life cycle assessment (LCA) is a method to identify potential environmental impacts
180 of selected wastewater treatment and desalination technologies and determine factors that can
181 be reduced (Coday et al., 2015, Hancock et al., 2012, Holloway et al., 2016, Valladares Linares
182 et al., 2016). LCA consists of four phases, including goal and scope definition, life cycle
183 inventory analysis (LCI), life cycle impact assessment (LCIA), and interpretation
184 (Pryshlakivsky et al., 2013). The first two phases define the detailed objectives and input data
185 collection from experimental and simulation results. Finally, the LCIA and its interpretation
186 are discussed in the results and discussion section.

187 The system boundaries of the current study are shown in Fig. 1. Material surveys
188 including construction, maintenance and operational phases were undertaken by utilising the
189 currently available data published in the literature (Coday et al., 2015, Hancock et al., 2012,
190 Holloway et al., 2016, Valladares Linares et al., 2016) and Ecoinvent LCA database v. 3.0 and
191 Australian LCA database in Simapro software v. 8.1.1. The detailed and calculated data are
192 shown in Table S5 in the SI. Based on the LCI analysis, the LCIA was carried out using the

193 Australian indicator set v.3.0 and thus an environmental impact was then evaluated using global
 194 warming indicator (GW, kg CO₂-eq) (PRé-Consultants 1998). However, the current study did
 195 not include the effect of pre-treatment for mine impaired wastewater and membrane fouling on
 196 the performances of the different FO hybrid systems. It has to be noted here that the plant
 197 lifetime was assumed based on the literature (Wittholz et al., 2008) and membrane replacement
 198 time was assumed based on our previous long-term operation of FO and NF membrane
 199 modules (Phuntsho et al., 2016).
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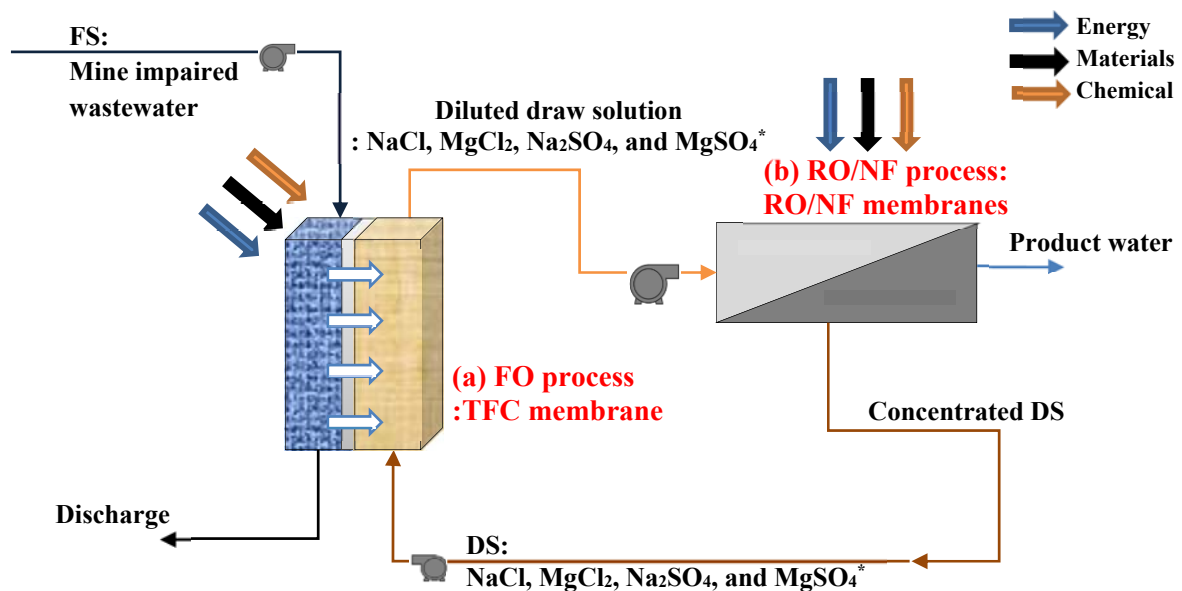


Fig.1. A schematic diagram of a closed-loop FO and RO/NF hybrid system operation. *MgSO₄ was excluded for a simulation of the post-treatment processes due to its poor performance in the FO process (see Section 3.1).

201

202 2.3.2. Economic impact assessment

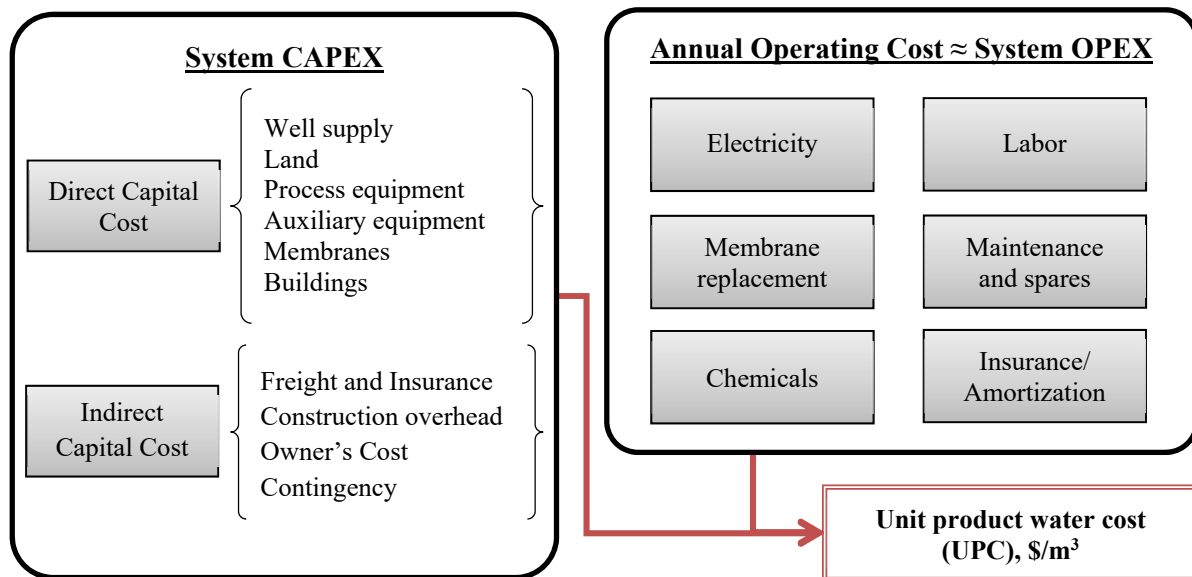
203 An economic analysis on CAPEX and OPEX of three different hybrid systems: FO-RO,
 204 FO-NF90 and FO-NF270 (90 and 270 refer to two different types of commercial NF
 205 membranes, Filmtech Dow Chemicals), was conducted. The total water cost (\$/m³) of each
 206 hybrid system was compared based on a production capacity of 100,000 m³/day. The results of

207 the FO hybrid systems were compared with the results of a conventional brackish water RO
208 (BWRO) hybrid system.

209 The capital construction costs may vary, if considering the logistics and impacts of
210 transporting chemicals and materials to the site. Thus, the CAPEX cost analysis was conducted
211 without considering a specific site. This study used literature information with approximations
212 based on global trends and real data from the commercially available products in the market
213 (e.g. cost of membrane modules and chemicals). The unit cost of each FO, RO, and NF
214 membrane module was found in the literature as presented in Table 2 (Bigbrandwater 2016,
215 Coday et al., 2015).

216 The cleaning strategies for RO and NF were considered to be periodic chemical
217 cleaning (four times per year). FO membrane cleaning strategies were considered to be periodic
218 hydraulic cleaning and eventual chemical cleaning (once a year) although recent studies
219 demonstrated that physical cleaning was very efficient and easy to apply for FO process (Lotfi
220 et al., 2017, Phuntsho et al., 2016). The amount of chemical required for cleaning process was
221 calculated based on the manufacturer's recommendation (DowChemical 2017). From the
222 process performance simulation, the number of elements and pressure vessels was obtained.
223 Then, a size of a cleaning tank (i.e. cleaning solution volume) was roughly calculated using the
224 empty pressure vessels volume. The chemical cost was therefore evaluated based on the
225 amount of the cleaning chemical during the cleaning process, and this study considered NaOH
226 and HCl as cleaning chemicals for hybrid systems. It must be acknowledged that cleaning
227 strategies for the FO process should be determined by its applications as it affects the
228 environmental and economic assessment of the whole process. In addition, DS replenishment
229 cost was calculated using a specific RSF value ($SRSF, J_s/J_w, g/L$), which is directly related to
230 the process efficiency and sustainability. The specific cost of each draw solute was determined
231 based on the mass of solute needed to produce one litre of diluted DS with an initial

232 concentration of 1 M. An illustrative summary of the cost parameters considered for the
 233 economic analysis is shown in Fig. 2, and specific economic values are presented in Table 2.
 234



235 **Fig.2.** Specific parameters for cost estimation for hybrid desalination processes.

236

237 **Table 2.** Economic values used in cost analysis for hybrid desalination processes (Australian dollar).

Parameters	Unit	Values
Plant		
Plant capacity	m ³ /day	100,000
Plant availability (Wittholz et al., 2008)		0.95
Plant lifetime (Wittholz et al., 2008)	year	20
^a Electricity price (Mountain 2012)	\$/kWh	0.29
Membranes		
RO membrane element cost (Filter 2016)	\$	1,161
NF 90 membrane element cost (Filter 2016)	\$	1,092
NF 270 membrane element cost (Americarpro 2016)	\$	987
^b FO membrane element cost	\$	1,161
Pressure vessel (7elements/PV) (Moch et al., 2008, Valladares Linares et al., 2016)	\$	1,690
Draw solution (Achilli et al., 2010)	Unit cost ^c , \$/kg	Specific cost, \$/L
NaCl	19	1.11
MgCl ₂	37	3.52
Na ₂ SO ₄	11	1.56
MgSO ₄	68	8.19

238

^a Electricity price in Australia.

239

^b FO membrane cost was assumed to be the same as the cost of RO module.

240

^c USD 1\$ = AUD 1.3\$: August. 2016

241

242 The CAPEX cost for FO process was calculated using the specific cost of economic
243 parameters of FO process reported in the recent studies (Coday et al., 2015, Valladares Linares
244 et al., 2016). In addition, the CAPEX cost for RO and NF processes was estimated using a
245 capacity and capital cost correlation used in engineering practice was utilised. This is called
246 the power law rule as followed:

247

$$248 \left(\frac{\text{Capital cost}_{\text{plant 1}}}{\text{Capital cost}_{\text{plant 2}}} \right) = \left(\frac{\text{Plant capacity}_{\text{plant 1}}}{\text{Plant capacity}_{\text{plant 2}}} \right)^m$$

249

250 where the power law exponent, m , is usually 0.8 and 0.74 for SWRO and BWRO, respectively.
251 Those values were determined from the cost database analysis conducted elsewhere (Chilton
252 1950, Wittholz et al., 2008). In the present study, 0.74 was therefore used for RO and NF
253 processes. Mathematical formulations used to calculate the annualised capital cost ($\$/\text{m}^3$) are
254 shown as follow (Poullikkas 2001, Valladares Linares et al., 2016):

255

$$256 \text{Capital amortization } (\alpha) = \frac{i(1+i)^n}{(1+i)^n - 1}$$

$$257 \text{Capital recovery } (C_R), \$ = \alpha \times \text{Total capital cost } (C_T)$$

$$258 \text{Annual capital recovery cost } (C_A), \$/\text{m}^3 = \frac{C_R}{365 \times \text{Plant capacity } (\text{m}^3/\text{d}) \times \text{Plant availability}}$$

259

260 where the total cost is the sum of the direct and indirect capital costs in Fig. 2., i is the interest
261 rate of 6%, n is the number of years of the project fixed at 20 years, 365 corresponds to the
262 number of days in a year and 0.95 is plant availability due to downtime.

263

264 The OPEX costs including membranes, chemicals and electricity were calculated
265 based on the results of a full-scale simulation and ROSA software and the values in Table 2.

265 Labour and maintenance were calculated based on the reported percentages in the literature

266 (Valladares Linares et al., 2016). Finally, the OPEX cost was calculated based on a yearly basis
267 cost. The total cost per m³ of water is the sum of unit CAPEX and OPEX costs. All the
268 calculated data are presented in Table S6 in the SI.

269

270 **3. Results and discussion**

271 **3.1. DS performances**

272 Table 3 shows the water flux, RSF and SRSF in the FO process using four different
273 DSs with FS concentration of around 5.6 g/L BGW. At similar DS concentration of 1 M, the
274 water flux and RSF values followed the order of NaCl > MgCl₂ > Na₂SO₄ > MgSO₄,
275 corresponding to the specific osmotic water fluxes of 0.311, 0.097, 0.153, and 0.171 LMH/bar.
276 Although the osmotic pressure of MgCl₂ shows the highest at 1 M, the water flux was
277 significantly lower compared to NaCl (around 38% lower). However, in terms of their specific
278 osmotic water flux, the order was different NaCl > MgSO₄ > Na₂SO₄ > MgCl₂ although NaCl
279 still showed the highest specific osmotic water flux. This is mainly due to the diffusion
280 coefficient of the NaCl (1.41E-09 m²/s) which is highest compared to MgCl₂ (9.36E-10 m²/s),
281 Na₂SO₄ (8.72E-10 m²/s) and MgSO₄ (5.19E-10 m²/s). Higher diffusion coefficient of the draw
282 solutes enhances the diffusivity of the solute through the membrane support layer thereby
283 lowering the ICP effects. The other reason for highest water flux for NaCl is also due to lower
284 viscosity of NaCl (0.97 cP), MgCl₂ (1.27 cP), Na₂SO₄ (1.26 cP), and MgSO₄ (1.71 cP) at 1M
285 concentrations which enhances the permeability of the DS through the membrane support layer
286 thereby decreasing the ICP effects (Achilli et al., 2010, Johnson et al., 2017, Li et al., 2014,
287 Phuntsho et al., 2013). This result underscores the importance of having with higher diffusion
288 coefficient and lower viscosity to have a higher FO water flux. The lowest water flux and RSF
289 for MgSO₄ DS is not surprising given that it has the lowest osmotic pressure, lowest diffusion
290 coefficient, and the highest viscosity. In addition, the DSs containing larger-sized hydrated

291 anions (i.e. MgSO₄ and Na₂SO₄) showed the lowest RSF values, regardless of their paired
 292 cations and this is consistent with a previous study (Achilli et al., 2010). Based on this
 293 experimental evaluation, MgSO₄ was excluded for further investigations due to its poor
 294 performance results.

295

296 **Table 3.** FO experimental results in terms of water flux (J_w), RSF (J_s), and SRSF (J_s/J_w) using
 297 1 M DSs with BGW as FS in the FO process.

FS	DS	J_w , LMH	Specific J_w , LMH/bar	J_s , gMH	J_s/J_w , g/L
5.6 g/L BGW	NaCl	14.54	0.0311	9.49	0.65
	MgCl ₂	9.00	0.0972	2.61	0.29
	Na ₂ SO ₄	7.02	0.1526	1.98	0.28
	MgSO ₄	3.99	0.1711	0.84	0.21

298 *LMH: Lm⁻²h⁻¹, gMH: gm⁻²h⁻¹

299

300 3.2. Evaluation of the DS reconcentration in RO and NF processes

301 Table 4 shows the performance results of RO and NF processes obtained from the
 302 ROSA software. The RO process shows the highest removal efficiency of Na₂SO₄, which is
 303 around 99.9% followed by MgCl₂ and NaCl (99.9 and 99.6%, respectively). Therefore, when
 304 Na₂SO₄ is used as DS in the FO process, utilising the RO process as a DS recovery process
 305 would be more beneficial to obtain high quality of product water.

306 However, the NF processes with two different NF membrane modules of NF90 and
 307 NF270 show poor rejection rate of NaCl (87.1% for NF90 and 48.1% for NF270). This
 308 indicates that utilizing NaCl as DS in the FO process would result in poor rejection rates of the
 309 NF processes. Nevertheless, the NF processes show much higher rejection rates with Na₂SO₄,
 310 96.5% for NF90 and 76.8% for NF270. These results indicate that the use of divalent ions in
 311 an FO hybrid system could be more advantageous as it shows lower DS loss in FO and higher
 312 rejection in RO/NF (Johnson et al., 2017). This confirms that the physio-chemical properties
 313 of the draw solutes including a high osmotic pressure, a low viscosity and a high diffusion

314 coefficient are of paramount importance. The ROSA simulation input and output data are
 315 summarised in Table S7 in the SI.

316

317 **Table 4.** ROSA software simulation results of the RO and NF processes using different RO
 318 and NF membrane modules (Version 9.1, Filmtech Dow Chemicals, USA).

Membrane	Feed solution ^a	Rejection, %	Permeate, mg/L TDS
SW30HR-380	NaCl	99.6	23
	MgCl ₂	99.9	10
	Na ₂ SO ₄	99.9	8
NF 90-400/34i	NaCl	87.1	755
	MgCl ₂	94.0	443
	Na ₂ SO ₄	96.5	245
NF 270-400/34i ^b	NaCl ^b	48.1	3,024
	MgCl ₂	46.8	3,947
	Na ₂ SO ₄ ^b	76.8	1,577

319 ^a The concentrations of the feed solution in RO and NF processes corresponded to the osmotic pressure of feed
 320 water in FO process under the osmotic equilibrium condition (≈ 3.96 bar).

321 ^b The ROSA was not able to conduct on NF270 with NaCl and Na₂SO₄ thus the RO experiments were conducted
 322 under the conditions of flow rate of 400 mL/min, temperature of 25°C, membrane cell area of 0.0068 m² and
 323 operating pressure of 25 bar.

324

325

326 3.3. Environmental impact assessment of FO hybrid systems

327 3.3.1. Baseline environmental life cycle assessment

328 The environmental impact of FO-hybrid systems in terms of global warming (GW, kg
 329 CO₂-eq) was evaluated for the production of 100,000 m³/day of reusable water. Fig. 3 shows
 330 the relative contribution analysis of the FO hybrid systems to GW impact without (Fig. 3 (a))
 331 and with (Fig. 3 (b)) DS replenishment in the FO process.

332 Fig. 3 (a) clearly shows that the predominant contribution to the GW impact comes
 333 from FO membrane material required and RO and NF energy use for all hybrid systems. These
 334 results are similar to previous research conducted by other research groups (Coday et al., 2015,
 335 Hancock et al., 2012, Raluy et al., 2005).

336 However, in a closed-loop hybrid system, the draw solute loss during the system
 337 operation must be replenished to maintain the same initial DS concentration in the FO process

338 (Achilli et al., 2010, Holloway et al., 2015). Using a simple mass balance relationship in the
339 FO process, the mass of the total draw solute replenishment ($m_{D,R}$, kg/d) was estimated using
340 equations described in Table S2 in the SI. Fig. 3 (b) therefore shows that when considering the
341 DS replacement for each hybrid system, the contribution of chemical use to the GW impact
342 becomes significant compared to the results presented in Fig. 3 (a).

343 Specifically, the contribution of the total DS replenishment in FO-RO hybrid system
344 with all DSs shows the lowest mainly because of the higher salt rejection in the RO process
345 (i.e. the lowest draw solute loss via RO permeate). However, FO-NF hybrid systems with all
346 DSs show the greatest increase in the total chemical contribution (FO and NF) to the GW
347 impact. For example, the contribution of the chemical use in the FO-NF90 is around 30% while
348 that in the FO-NF270 is around 55%. This is because the draw solute loss through NF permeate
349 is more significant than RO permeate. Interestingly, Na_2SO_4 shows a slightly higher
350 contribution to the GW impact despite its lower SRSF in FO and higher rejection rates in RO
351 and NF. These results indicate that the manufacturing process required to produce Na_2SO_4
352 could have more negative impacts on the environment. Thus, the FO hybrid system with
353 Na_2SO_4 does not appear environmentally favourable. Although the results suggest that the
354 overall environmental impacts of all three hybrid systems would be attributed to the amount of
355 DS replenishment needed, the DS replenishment cost in each hybrid process has to be
356 considered as one of the main OPEX cost parameters (Achilli et al., 2010). Hence, a cost
357 analysis of each hybrid system with different DSs will be discussed in the following Section
358 3.4.

359

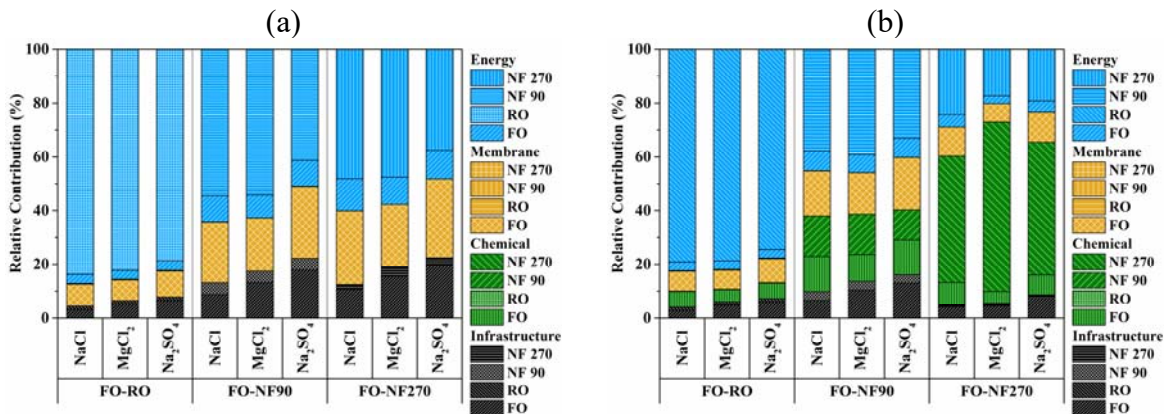


Fig. 3. Relative contribution analysis of various components of the FO-RO and FO-NF hybrid systems with different DSs to global warming impact (a) without DS replenishment and (b) with DS replenishment in FO hybrid systems.

360

361 3.3.2. Impact of operational adjustment of FO-NF hybrid systems

362 The required potable water quality targeted in this study was a TDS concentration of
 363 less than 500 mg/L (NHMRC 2011) whereas the required non-potable water quality was a TDS
 364 concentration of less than 1,000 mg/L, which can be applied for most turf grass irrigation
 365 (Holloway et al., 2016, Phuntsho et al., 2012 a). Although energy requirement of the FO-RO
 366 hybrid systems was higher than other hybrid systems, the final water quality was much lower
 367 than 500 mg/L TDS (data are shown in Table 4). Thus, this indicates that the final product
 368 water from the FO-RO hybrid system is reusable water (potable and/or non-potable). In this
 369 section, the initial performance of NF process with NF90 and NF270 membrane modules was
 370 therefore adjusted to achieve NF permeate quality as good as RO permeate (around 100 mg/L).
 371 Power consumptions for each FO-NF hybrid system were therefore estimated based on the
 372 final permeate target concentration of 100 mg/L TDS. There is a slight difference between the
 373 SEC of the FO hybrid systems due to the fact that the osmotic pressure of DSs is different
 374 under the similar TDS concentrations (as shown in Fig. S1 in the SI).

375 Fig. 4 (a) and (b) shows that the highest energy use and GW for the FO-NF hybrid
 376 systems with NaCl and MgCl₂ were calculated for a system operation with a final concentration
 377 of 100 mg/L and 0.6 M NaCl brine concentration. Whereas, the lowest energy use and GW

378 impact were Na_2SO_4 before and after adjusting the process, and FO-NF hybrid systems are still
 379 lower than FO-RO hybrid systems. These results clearly show that NF membrane application
 380 in FO hybrid systems would be more promising to reconcentrate the draw solute at relatively
 381 lower energy consumption and with less environmental impacts.
 382

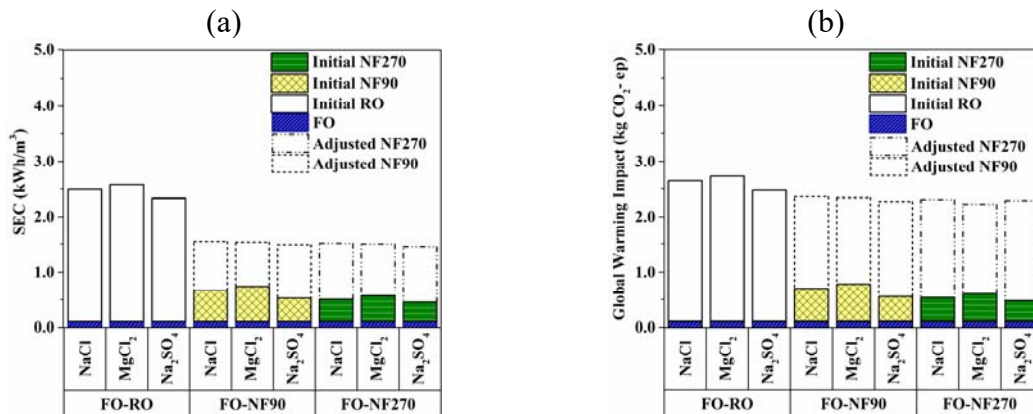


Fig. 4 Initial and adjusted (a) energy use and (b) global warming impact for FO-NF hybrid systems with different DSs. Target data: final product concentration of 100 mg/L TDS and brine concentration of 0.6 M NaCl (i.e. seawater osmotic pressure).

383

384 3.3.3. Impact of FO brine disposal on environmental potential

385 Energy and GW impacts were evaluated for FO hybrid systems by considering a direct
 386 discharge of brine into the oceans and using brine injection wells. The direct discharge to the
 387 sea was assumed to be a disposal at a pressure of almost 0 bar (referred to without FO brine
 388 disposal in Fig. 5) while the disposal pressure of deep well injections was assumed to be the
 389 one of FO brine concentrate at FO recovery rate of 50% in the FO process. The FO brine
 390 concentration was calculated using solute mass balance relationships described in Table S3 in
 391 the SI.

392 Fig. 5 shows the impact of FO brine disposal pressure on energy use and GW for all
 393 FO hybrid systems using different DSs. FO hybrid systems with NaCl showed the highest
 394 increase of the brine pressure on energy use and GW (6, 10, and 10% with RO, NF 90 and 270,
 395 respectively). In a closed-loop system, feed stream concentration increases over operation time

396 due to increasing FO feed recovery and diffusing draw solutes from the draw side of membrane
397 modules (Phuntsho et al., 2016). Such accumulated draw solute in the feed stream can be
398 reduced by controlling the FO feed recovery rate (lower than 80% recovery rate) and using FO
399 membranes with a high reverse flux selectivity such as a TFC FO membranes (Achilli et al.,
400 2010, Phuntsho et al., 2016). It has to be acknowledged that a specific composition of FO brine
401 is not considered in the current study due to the lack of real sample analysis and background
402 data. Based on this study, further research needs to be conducted on the management of FO
403 brine depending on its specific composition to prevent any additional environmental issues.
404

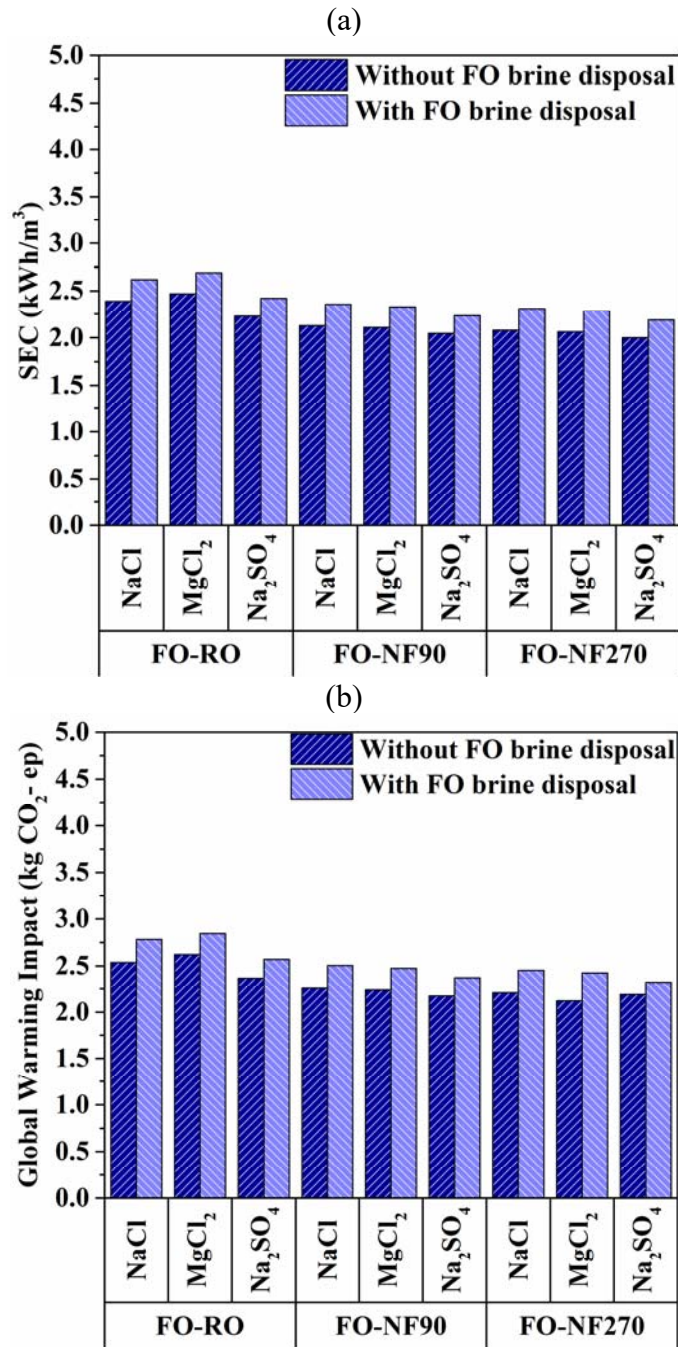


Fig. 5. The impact of FO brine disposal on (a) energy and (b) global warming per unit of water produced for each hybrid system with different DSs. FO brine disposal energy was calculated based on the optimised conditions of FO hybrid system: final product concentration of 100 mg/L TDS and brine concentration of 0.6 M NaCl (i.e. seawater osmotic pressure).

405

406 3.4. CAPEX and OPEX cost evaluation

407 The contribution of various components to the total water cost of each hybrid system

408 was evaluated by assuming the whole hybrid process recovery of 50%. Detailed calculations

409 on the CAPEX and OPEX can be found in Table S6 in the SI. Overall, Fig. 6 (a) shows that
410 the CAPEX cost of FO hybrid systems was around 37.5% higher on average than that of SWRO
411 due to the larger number of FO membrane modules required. However, the OPEX cost of FO
412 hybrid systems was around 62% lower on average than that of SWRO due to lower operating
413 energy requirement (no hydraulic pressure required).

414 More specifically, FO hybrid systems with MgCl_2 showed the highest OPEX cost and
415 thus the highest total water cost (Fig. 6 (a)). As shown in Table 3, MgCl_2 had around 55%
416 lower SRSF in the FO process and hence it can be clearly seen that the amount of MgCl_2
417 replenishment is considerably lower than that of NaCl . However, the FO hybrid systems with
418 NaCl show the lowest total water cost. This is because the specific cost of MgCl_2 draw solute
419 is around 67.0% higher than that of NaCl , thus leading to a significant cost for replenishing
420 MgCl_2 in FO hybrid systems in the closed-loop operation.

421 In addition, although NaCl has the highest SRSF, FO hybrid systems with NaCl show
422 the lowest total water cost. This is because NaCl produces higher water flux, resulting in the
423 lowest contribution of FO membrane modules required to the total water cost. These results
424 indicate that a DS with low initial cost and high water flux can provide a potential for further
425 reducing the total water cost of an FO hybrid system.

426 Fig. 6 (b) shows the impact of SRSF on the OPEX cost of each hybrid system. SRSF
427 values used for the baseline are shown in Table 3. It was assumed that the SRSF for each DS
428 can be further reduced by using an FO membrane with higher selectivity and hence the SRSF
429 was assumed to be 0.1 g/L for all DSs. It has to be noted that the additional energy cost to
430 achieve the target product water (100 mg/L) was not considered to clearly see the benefit of
431 having a low SRSF in the system. The result clearly indicates that the OPEX cost decreases
432 with the reduction in the SRSF value at similar water flux with the baseline. For example, for
433 NaCl , when the SRSF is down to 0.1 g/L, the OPEX cost of FO-RO hybrid system decreases

434 from $\$0.22/\text{m}^3$ to $\$0.16/\text{m}^3$, which is around 30% reduction. In addition, for Na_2SO_4 , which has
435 the lowest SRSF value of 0.28 g/L, when the SRSF decreases from 0.28 to 0.1 g/L, the OPEX
436 cost of FO-RO hybrid system decreases from $\$0.19/\text{m}^3$ to $\$0.17/\text{m}^3$ (i.e., 10% reduction). These
437 results clearly show that decreasing the SRSF in the FO process can reduce the DS
438 replenishment cost and thus the overall OPEX costs. From an economic aspect, this confirms
439 that the specific DS cost plays a significant role in the OPEX cost in terms of the total chemical
440 cost required. Therefore, these results suggest the need for draw solutes with lower SRSF, FO
441 membranes with higher selectivity, and lower solute price for further reducing environmental
442 and economic impacts of FO hybrid systems. However, it may be noted that when a similar
443 rejection rate for FO, RO and NF membrane modules is considered, the loss of salt in the FO,
444 RO and NF process is expected to be similar.

445

446

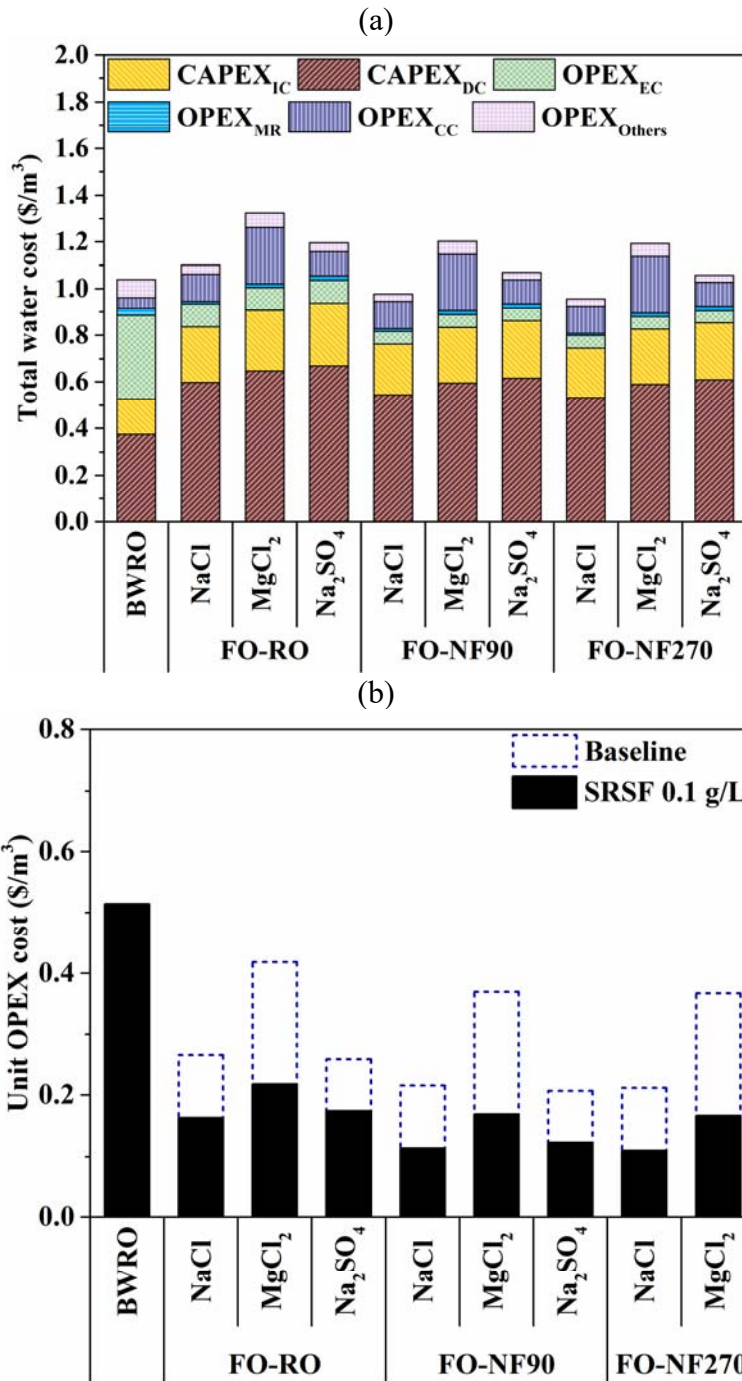


Fig. 6. (a) Life cycle cost analysis ($\$/\text{m}^3$ water produced) and (b) impacts of SRSF on the OPEX cost of each hybrid desalination system based on a plant capacity of $100,000 \text{ m}^3/\text{d}$. The SRSF was down to 0.1 g/L for all DSs. N.B. IC: indirect capital cost, DC: direct capital cost, EC: energy cost, MR: membrane replacement cost and CC: chemical cost.

447

448 3.5. Impact of NF recovery rate on the total water cost of FO-NF hybrid system

449 RO and NF recovery rates are directly related to the water productivity of the FO
 450 process since higher recovery rate in RO and NF leads to higher recycled DS concentration. In

451 FO hybrid system under closed-loop operation, recovery rate of the DS reconcentration process
452 is also an important factor to optimise to reach a cost effective FO hybrid systems.

453 Based on the environmental and economic analysis results presented in previous
454 sections, FO-NF 90 has a great potential to be the most sustainable hybrid system for mine
455 impaired water treatment. Fig. 7 shows a comparison of the total water cost for the FO-NF 90
456 hybrid system amongst the NaCl, MgCl₂ and Na₂SO₄ DS at different feed recovery rates 80%
457 to 99%. The total water cost of the FO hybrid system decreased rapidly with an increase in the
458 NF recovery rate however then gradually increased above the feed recovery rates of 90% for
459 NaCl, 93% for MgCl₂ and 95% for Na₂SO₄. The optimum NF feed recovery rate was observed
460 to be about 90% with a total water cost of AUD \$0.9/m³ for the FO-NF 90 hybrid system with
461 NaCl. The optimum NF feed recovery rate of the FO-NF 90 hybrid system with Na₂SO₄,
462 however, was observed to be about 95% with a total water cost of AUD \$0.98/m³. Such high
463 recovery rate would result in a proportionately higher concentration of the recycled DS, which
464 in turn increases the osmotic driving force of the FO process.

465 As mentioned earlier, although DS loss through RO and NF permeate is not significant,
466 it has to be included when calculating the total DS replenishment costs in the FO hybrid systems.
467 Fig. 7 also shows the impact of NF permeate concentration on the total water cost of the hybrid
468 systems. NF permeate quality was assumed to be 500 and 100 mg/L of TDS. Overall, the total
469 water cost of the FO-NF 90 hybrid system, with all three DSs, increases with the increase in
470 the NF permeate concentration to 500 mg/L. It can be clearly seen that NF membrane
471 performance in terms of salt rejection can be a major contributor responsible for the total DS
472 replenishment cost and thus the total water cost of FO hybrid systems. These results indicate
473 that the DS performance and replenishment cost should be considered to select DS in the design
474 of a real FO hybrid system.

475

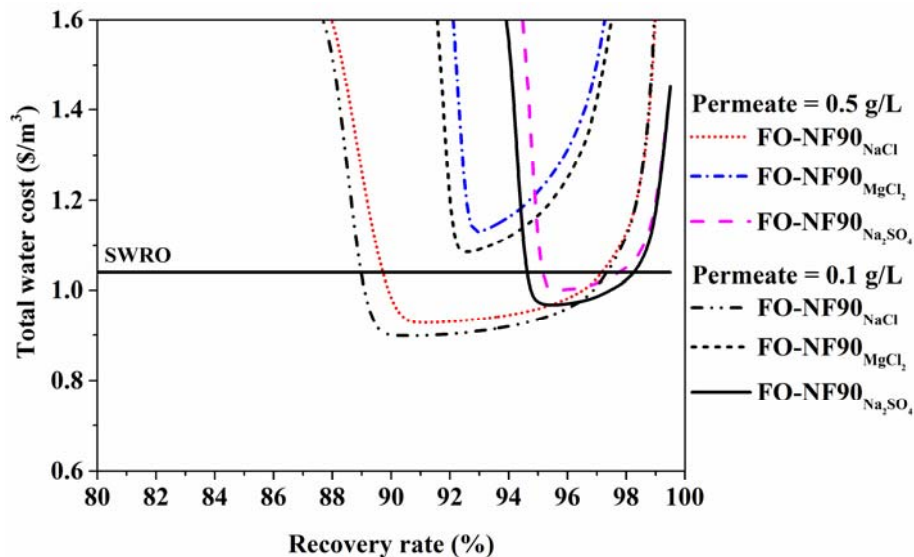


Fig. 7. Total water cost of the FO-NF90 hybrid system with different DSs (NaCl, MgCl₂, and Na₂SO₄) to produce 100,000 m³/d.

476

477 **4. Conclusions**

478 The following conclusions have been drawn from this particular study:

- 479 • DS replenishment cost is one of the most important contributors to chemical and OPEX
- 480 cost for a continuous closed-loop FO hybrid system which depends significantly on the
- 481 salt selectivity of the FO and RO/NF membranes. NaCl shows the highest DS
- 482 replenishment cost while its replenishment cost is lower than Na₂SO₄ and MgCl₂
- 483 because of its relatively lower loss of DS. Reducing the SRSF can result in further
- 484 savings in OPEX cost for all hybrid systems and this finding underlines the importance
- 485 of having a high salt selectivity of the FO membranes. Therefore, this study highlights
- 486 the importance of improving the selectivity of the FO membrane and optimising the
- 487 hybrid system for reducing the DS replenishment cost.
- 488 • In a closed-loop operation mode, the FO-NF90 hybrid system with Na₂SO₄ was
- 489 observed to be environmentally and economically favourable for mine impaired water
- 490 treatment application compared to the other DS options. The lowest OPEX cost
- 491 including energy use and GW impact was observed for FO-NF90 hybrid system using

492 Na₂SO₄ as DS when the additional energy consumption in the NF process to achieve a
493 final target concentration (similar to RO permeate) is considered.

- 494 • Although the contribution of the FO brine disposal pumping energy to the total energy
495 and the GW impact was not significant, the impact of the FO brine on the economic
496 and environmental impacts of the FO hybrid systems needs to be further investigated.

497

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507

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