

1 **Evaluation of additional confinement for three-dimensional geoinclusions**
2 **under general stress state**

3 Piyush Punetha¹, Sanjay Nimbalkar^{2✉} and Hadi Khabbaz³

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5 ¹Ph.D. Candidate, School of Civil and Environmental Engineering, FEIT, University of
6 Technology Sydney, NSW 2007, Australia. Email: Piyush.Punetha@student.uts.edu.au,
7 ORCID: 0000-0002-0812-4708.

8 ²Lecturer, School of Civil and Environmental Engineering, FEIT, University of Technology
9 Sydney, NSW 2007, Australia. Email: Sanjay.Nimbalkar@uts.edu.au, ORCID: 0000-0002-
10 1538-3396.

11 ³Associate Professor, School of Civil and Environmental Engineering, FEIT, University of
12 Technology Sydney, NSW 2007, Australia. Email: Hadi.Khabbaz@uts.edu.au, ORCID:
13 0000-0001-6637-4601.

14 ✉Corresponding Author: Sanjay Nimbalkar (School of Civil and Environmental Engineering,
15 FEIT, University of Technology Sydney, NSW 2007, Australia. Phone no.: +61 2 95141819.
16 Email: Sanjay.Nimbalkar@uts.edu.au)

17 **ABSTRACT**

18 The three-dimensional cellular geoinclusions (e.g. geocells, scrap tires) offer all-around
19 confinement to the granular infill materials, which improves their strength and stiffness. The
20 accurate evaluation of extra confinement offered by these geoinclusions is inevitable for
21 predicting their performance in the field. The existing models to evaluate the additional
22 confinement are based on either plane-strain or axisymmetric stress states. However, these
23 geoinclusions are more likely to be subjected to the three-dimensional stresses in actual
24 practice. This note proposes a semi-empirical model to evaluate the additional confinement
25 provided by cellular geoinclusions under the three-dimensional stress state. The proposed
26 model is successfully validated against the experimental data. A parametric study is conducted
27 to investigate the influence of input parameters on additional confinement. The results reveal
28 that the simplification of the three-dimensional stress state into axisymmetric or plane-strain
29 condition has resulted in inaccurate and unreliable results. The extra confinement offered by
30 the geoinclusion show substantial variation along the intermediate and minor principal stress
31 directions depending on the intermediate principal stress, infill soil and geoinclusion
32 properties. The magnitude of additional confinement increases with an increase in the
33 geoinclusion modulus. The findings are crucial for the accurate assessment of the in-situ
34 performance of three-dimensional cellular geoinclusions.

35

36 **Keywords:** Cellular geoinclusions; Additional confinement; Mathematical model; General
37 stress state.

38 INTRODUCTION

39 The lateral spreading of unbound granular materials (UGM) under train-induced loading poses
40 a severe challenge to the stability of the ballasted railway tracks (Selig and Waters 1994). This
41 lateral movement is often associated with insufficient confinement of UGM layers overlying
42 stiff subgrade soils (Sun et al. 2018; Nimbalkar et al. 2019). Fig. 1(a) shows the loss in track
43 geometry due to the lateral spreading of UGM under the train traffic-induced loads. The three-
44 dimensional (3D) cellular geoinclusions such as geocells, scrap rubber tires, etc. can be
45 employed in the ballasted railway tracks to provide additional confinement and consequently,
46 improve the track stability. As shown in Fig. 1(b), these cellular geoinclusions surround the
47 UGM and create a stiff structure which resists the lateral spreading of UGM (Koerner 2012).
48 Consequently, the loss in track geometry can be minimized.

49 The 3D cellular geoinclusions are increasingly being used to improve the mechanical
50 properties of granular infill materials. These geoinclusions provide all-around confinement to
51 the infill soil and consequently, prevent its lateral spreading under loads (Zhou and Wen 2008,
52 Leshchinsky and Ling 2013a). The investigations in the past have demonstrated the beneficial
53 role of geocells (e.g., Raymond 2001; Satyal et al. 2018) and scrap tires (e.g. Forsyth and Egan
54 1976; Garga and O'shaughnessy 2000; Indraratna et al. 2017) in improving the stability of
55 railway tracks and embankments. However, the lack of a well-established method to evaluate
56 the magnitude of additional confinement provided by these geoinclusions has limited their
57 application in the railway tracks.

58 An insight into the load transfer mechanism, quantification of the benefits and the full-
59 scale performance data is inevitable to develop the design methods for cellular geoinclusions
60 in railway applications. Although experimental and field studies are reliable techniques to gain
61 insight into the behavior of 3D cellular geoinclusions, these investigations require a
62 considerable amount of time and efforts. On the other hand, the analytical and numerical

63 simulations offer cost-effective alternatives to study and predict the response of the cellular
64 geoinclusion reinforced soil. Therefore, researchers have conducted two-dimensional (e.g.
65 Bathurst and Knight 1998) and three-dimensional numerical analysis (e.g. Han et al. 2008;
66 Leshchinsky and Ling 2013a, 2013b; Liu et al. 2018) on geoinclusion-reinforced soil and have
67 reported that the geoinclusions significantly improve the strength and stiffness of the infill soil.
68 However, the magnitude of improvement/ modification depends on the stress state, properties
69 of the infill and the geoinclusions (Nimbalkar et al. 2019).

70 Several researchers have attempted to evaluate the extra confinement offered by the
71 cellular geoinclusions under static (Bathurst and Rajagopal 1993; Rajagopal et al. 1999) and
72 cyclic/repeated loading conditions (Yang and Han 2013; Indraratna et al. 2015). These models
73 are applicable to two-dimensional (2D) (plane-strain or axisymmetric) stress state. However,
74 the cellular geoinclusions are more likely to be subjected to general stress state (3D) in a real
75 track (e.g., at turnouts, intersections). Therefore, the additional confinement provided by the
76 cellular geoinclusions under general stress state may significantly differ from the plane-strain
77 or triaxial (axisymmetric) stress state.

78 The present paper describes the theoretical development of a semi-empirical model for
79 evaluating the additional confinement provided by cellular geoinclusions under the 3D stress
80 state. A parametric study is conducted to investigate the influence of infill soil properties,
81 geoinclusion type and stress levels on additional confinement. Moreover, the proposed model
82 is validated against the experimental data available in the literature. The present study is
83 inevitable for assessing the performance of cellular geoinclusion-stabilized infills under the 3D
84 stress state resembling actual track environment.

85 DEVELOPMENT OF MODEL

86 *General loading condition*

87 When the cellular geoinclusion-reinforced UGM is loaded vertically, the infill material
88 deforms in vertical and lateral directions. The geoinclusion resists the lateral deformation of
89 the infill material, which generate circumferential stresses (tension) along its periphery. These
90 circumferential stresses provide additional confinement to the infill. The magnitude of
91 additional confinement can be evaluated using the hoop tension theory as:

$$(1a) \quad \Delta\sigma'_2 = \frac{2\sigma_{c,2} \cdot t_g}{D_g}$$

$$(1b) \quad \Delta\sigma'_3 = \frac{2\sigma_{c,3} \cdot t_g}{D_g}$$

92 where $\Delta\sigma'_2$ and $\Delta\sigma'_3$ are the additional confining pressures in the direction of intermediate (σ'_2)
93 and minor principal stresses (σ'_3), respectively; $\sigma_{c,2}$ and $\sigma_{c,3}$ are the circumferential stresses in
94 the direction of σ'_2 and σ'_3 , respectively; D_g and t_g are diameter and thickness of geoinclusion,
95 respectively. The derivation of Eqs. (1a) and (1b) is given in Appendix.

96 The circumferential stress is determined using the Hooke's law (Timoshenko and
97 Goodier, 1970)

$$(2) \quad \sigma_c = \frac{M_m}{t_g} \left[\frac{(1 - \mu_g)\varepsilon_c + \mu_g\varepsilon_r}{(1 + \mu_g)(1 - 2\mu_g)} \right]$$

98 where M_m is the mobilized modulus of geoinclusion per unit width; μ_g is the Poisson's ratio of
99 geoinclusion; ε_c and ε_r are circumferential and radial strains in the geoinclusion, respectively.

100 Figs. 2a–2c show the deformation profiles of the cellular geoinclusions for general
101 ($\sigma'_1 \neq \sigma'_2 \neq \sigma'_3$ and $\varepsilon_2 \neq \varepsilon_3 \neq 0$), plane-strain ($\sigma'_1 \neq \sigma'_2 \neq \sigma'_3$ and $\varepsilon_2 = 0$) and axisymmetric stress state
102 ($\sigma'_1 \neq \sigma'_2 = \sigma'_3$ and $\varepsilon_2 = \varepsilon_3$), respectively. In general loading condition, the geoinclusion-reinforced
103 soil is subjected to a 3D stress state. In other words, under the general loading condition, all
104 the three principal stresses or strains can vary independently. Assuming that the geoinclusion

105 deforms as an ellipse with a uniform tensile stress distribution along its height, the additional
 106 confinement can be calculated as [combining Eqs. (1) and (2)]:

$$(3a) \quad \Delta\sigma'_2 = -\frac{2M_m}{D_g} \left[\frac{(1 - \mu_g)k_c + \mu_g}{(1 + \mu_g)(1 - 2\mu_g)} \right] \varepsilon_2$$

$$(3b) \quad \Delta\sigma'_3 = -\frac{2M_m}{D_g} \left[\frac{(1 - \mu_g)k_c + \mu_g}{(1 + \mu_g)(1 - 2\mu_g)} \right] \varepsilon_3$$

107 where ε_2 and ε_3 are the intermediate and minor principal strains in infill (assuming that the
 108 geocell and infill soil deform together); k_c is the ratio of circumferential strain to the radial
 109 strain.

110 Eqs. (3a) and (3b) can be employed to calculate the additional confinement provided by
 111 cellular geoinclusions under both static and repeated loading conditions. The parameters M_m ,
 112 D_g , and μ_g are the material properties of geoinclusions and these can be evaluated easily.
 113 Moreover, the lateral principal strains (ε_2 and ε_3) in UGM usually comprises recoverable and
 114 irrecoverable components that can be calculated using the procedure described in the
 115 subsequent sections. The cellular geoinclusion and the infill soil deform together under the
 116 applied loading. The irrecoverable component of deformation for the infill soil is primarily
 117 attributed to the reorientation or rearrangement of the particles to a denser packing arrangement
 118 under loading. The geoinclusion undergoes recoverable deformation until the yield strain of
 119 the geoinclusion material is reached. However, the infill deformation usually comprises both
 120 recoverable and irrecoverable components due to the elastoplastic nature of granular materials.

121 *Recoverable deformation of infill*

122 The recoverable strains for the static loading case can be determined as follows (Timoshenko
 123 and Goodier, 1970):

$$(4a) \quad \varepsilon_2^e = \frac{1}{E} [\sigma'_2 - \mu_s(\sigma'_1 + \sigma'_3)]$$

$$(4b) \quad \varepsilon_3^e = \frac{1}{E} [\sigma_3' - \mu_s(\sigma_1' + \sigma_2')]$$

124 where ε_2^e and ε_3^e are the recoverable components of intermediate and minor principal strains,
 125 respectively; σ_1' , σ_2' and σ_3' are the major, intermediate and minor principal stresses; μ_s is the
 126 Poisson's ratio of the infill material; E is Young's modulus of the infill material.

127 Similarly, for the repeated loading condition:

$$(5a) \quad \varepsilon_2^e = \frac{\sigma_{cyc}}{M_R} \left[\frac{\sigma_2' - \mu_s(\sigma_1' + \sigma_3')}{\sigma_1' - \mu_s(\sigma_2' + \sigma_3')} \right]$$

$$(5b) \quad \varepsilon_3^e = \frac{\sigma_{cyc}}{M_R} \left[\frac{\sigma_3' - \mu_s(\sigma_1' + \sigma_2')}{\sigma_1' - \mu_s(\sigma_2' + \sigma_3')} \right]$$

128 where σ_{cyc} is the cyclic deviator stress; M_R is the resilient modulus of the infill material.

129 *Irrecoverable deformation of infill*

130 The irrecoverable components of intermediate and minor principal strains (ε_2^p , ε_3^p) can be
 131 evaluated by using the 3D stress-dilatancy relationship (Schanz and Vermeer 1996). This
 132 relationship is given as:

$$(6) \quad \frac{1}{K} = \left(\frac{\sigma_3'}{\sigma_1'} \right) \left(-\frac{d\varepsilon_3^p}{d\varepsilon_1^p} \right) + \left(\frac{\sigma_2'}{\sigma_1'} \right) \left(-\frac{d\varepsilon_2^p}{d\varepsilon_1^p} \right)$$

133 where $d\varepsilon_1^p$, $d\varepsilon_2^p$, $d\varepsilon_3^p$ are the irrecoverable major, intermediate and minor principal strain rates,
 134 respectively; K is the coefficient representing the internal friction [$K = (1 + \sin \phi'_f)/(1 - \sin \phi'_f)$];
 135 and ϕ'_f is the mobilized friction angle.

136 On rearranging Eq. (6), $d\varepsilon_2^p$ and $d\varepsilon_3^p$ can be expressed in terms of $d\varepsilon_1^p$ as:

$$(7a) \quad -\frac{d\varepsilon_2^p}{d\varepsilon_1^p} = \frac{(1 - R)^{-1}}{b} \cdot \left(1 - D - \frac{R}{K} \right)$$

$$(7b) \quad -\frac{d\varepsilon_3^p}{d\varepsilon_1^p} = \left[1 - \frac{(1 - R)^{-1}}{b} \right] \left\{ 1 - D - \frac{[R^{-1} + b(1 - R^{-1})]^{-1}}{K} \right\}$$

137 where D is the dilatancy rate ($d\varepsilon_v^p/d\varepsilon_1^p$); $d\varepsilon_v^p$ is the volumetric strain rate; R is the stress ratio
 138 (σ_2'/σ_3'); b is the intermediate principal stress ratio [$b = (\sigma_2' - \sigma_3')/(\sigma_1' - \sigma_3')$].

139 Thus, ε_2^p and ε_3^p can be calculated by integrating Eqs. (7a) and (7b), respectively.

$$(8a) \quad \varepsilon_2^p = - \int \frac{(1-R)^{-1}}{b} \cdot \left(1 - D - \frac{R}{K}\right) d\varepsilon_1^p$$

$$(8b) \quad \varepsilon_3^p = - \int \left[1 - \frac{(1-R)^{-1}}{b}\right] \left\{1 - D - \frac{[R^{-1} + b(1-R^{-1})]^{-1}}{K}\right\} d\varepsilon_1^p$$

140 *Additional confinement*

141 The additional confinement ($\Delta\sigma'_2$ and $\Delta\sigma'_3$) provided by the cellular geoinclusions for static
 142 loading condition (loading in vertical direction) can be evaluated by combining Eqs. (3a), (3b),
 143 (4a), (4b), (8a) and (8b).

$$(9a) \quad \Delta\sigma'_2 = - \frac{2M_m}{D_g} \left[\frac{(1-\mu_g)k_c + \mu_g}{(1+\mu_g)(1-2\mu_g)} \right] \left\{ \frac{\sigma'_3}{E} [1 - b(1-R) - \mu_s(1+R)] \right. \\ \left. - \int \frac{(1-R)^{-1}}{b} \left[1 - D - \frac{R}{K}\right] d\varepsilon_1^p \right\}$$

$$(9b) \quad \Delta\sigma'_3 = - \frac{2M_m}{D_g} \left[\frac{(1-\mu_g)k_c + \mu_g}{(1+\mu_g)(1-2\mu_g)} \right] \left\{ \frac{\sigma'_3}{E} [1 + \mu_s b(1-R) - \mu_s(1+R)] \right. \\ \left. - \int \left[1 - \frac{(1-R)^{-1}}{b}\right] \left\{1 - D - \frac{[R^{-1} + b(1-R^{-1})]^{-1}}{K}\right\} d\varepsilon_1^p \right\}$$

144 Thus, for static loading conditions, the additional confinement at a given value of major
 145 principal strain (ε_1) can be calculated by using Eqs. (9a) and (9b). However, under
 146 repeated/cyclic vertical loading conditions, the strain in UGM also varies with the number of
 147 load cycles (Dahlberg 2001). Several models have been developed to predict the behavior of
 148 UGM under cyclic loading conditions (Lekarp et al. 2000). In the present study, a power model
 149 has been used which incorporates the influence of the stress state and loading conditions on the
 150 irrecoverable deformation of UGM (e.g., Puppala et al. 2009).

$$(10) \quad \varepsilon_1^p = k_1 \cdot \left(\frac{\sigma_{\text{oct}}}{\sigma_{\text{atm}}} \right)^{k_2} \left(\frac{\tau_{\text{oct}}}{\sigma_{\text{atm}}} \right)^{k_3} N^{k_4}$$

151 where σ_{oct} is the octahedral normal stress; τ_{oct} is the octahedral shear stress; N is the number of
 152 load cycles; σ_{atm} is the atmospheric pressure; k_1, k_2, k_3, k_4 are the empirical parameters. The
 153 parameter k_1 represents the influence of the infill type on the magnitude of ε_1^p corresponding
 154 to the first load cycle. The parameters k_2 and k_3 represent the influence of octahedral normal
 155 and shear stresses on the magnitude of ε_1^p corresponding to the first load cycle. The parameter
 156 k_4 shows the dependency of ε_1^p on the number of load cycles. It governs the variation of ε_1^p
 157 with N .

158 Differentiating Eq. (10) with respect to N and substituting the value of $d\varepsilon_1^p$ in Eqs. (8a)
 159 and (8b) gives:

$$(11a) \quad \varepsilon_2^p = - \int_0^{N_{\text{lim}}} \frac{k_1 k_4 (1-R)^{-1}}{b} \left[1 - D - \frac{R}{K} \right] \left(\frac{\sigma_{\text{oct}}}{\sigma_{\text{atm}}} \right)^{k_2} \left(\frac{\tau_{\text{oct}}}{\sigma_{\text{atm}}} \right)^{k_3} N^{k_4-1} dN$$

$$(11b) \quad \varepsilon_3^p = - \int_0^{N_{\text{lim}}} k_1 k_4 \left[1 - \frac{(1-R)^{-1}}{b} \right] \left\{ 1 - D - \frac{[R^{-1} + b(1-R^{-1})]^{-1}}{K} \right\} \\ \times \left(\frac{\sigma_{\text{oct}}}{\sigma_{\text{atm}}} \right)^{k_2} \left(\frac{\tau_{\text{oct}}}{\sigma_{\text{atm}}} \right)^{k_3} N^{k_4-1} dN$$

160 Similarly, Eqs. (5a) and (5b) can be modified to incorporate the variation of M_R with N :

$$(12a) \quad \varepsilon_2^e = \int_0^{N_{\text{lim}}} \left\{ \sigma_{\text{cyc}} \left[\frac{\sigma_3' + b(\sigma_1' - \sigma_3') - \mu_s(\sigma_1' + \sigma_3')}{\sigma_1' - \mu_s b(\sigma_1' - \sigma_3') - 2\mu_s \sigma_3'} \right] \left(\frac{dM_R^{-1}}{dN} \right) \right\} dN$$

$$(12b) \quad \varepsilon_3^e = \int_0^{N_{\text{lim}}} \left\{ \sigma_{\text{cyc}} \left[\frac{\sigma_3' - \mu_s(\sigma_1' + \sigma_3') - \mu_s b(\sigma_1' - \sigma_3')}{\sigma_1' - \mu_s b(\sigma_1' - \sigma_3') - 2\mu_s \sigma_3'} \right] \left(\frac{dM_R^{-1}}{dN} \right) \right\} dN$$

161 Therefore, the additional confinement ($\Delta\sigma_2'$ and $\Delta\sigma_3'$) offered by the geoinclusions for repeated
 162 loading condition can be evaluated by combining Eqs. (3a), (3b), (11a), (11b), (12a) and (12b).

$$(13a) \quad \Delta\sigma'_2 = \int_0^{N_{lim}} -\frac{2M_m}{D_g} \left[\frac{(1-\mu_g)k_c + \mu_g}{(1+\mu_g)(1-2\mu_g)} \right] \left\{ \sigma_{cyc} \left[\frac{1-b(1-R) - \mu_s(1+R)}{R + \mu_s b(1-R) - 2\mu_s} \right] \left(\frac{dM_R^{-1}}{dN} \right) \right. \\ \left. - \frac{k_1 k_4 \cdot (1-R)^{-1}}{b} \left[1 - D - \frac{R}{K} \right] \left(\frac{\sigma_{oct}}{\sigma_{atm}} \right)^{k_2} \left(\frac{\tau_{oct}}{\sigma_{atm}} \right)^{k_3} N^{k_4-1} \right\} dN$$

$$(13b) \quad \Delta\sigma'_3 = \int_0^{N_{lim}} -\frac{2M_m}{D_g} \left[\frac{(1-\mu_g)k_c + \mu_g}{(1+\mu_g)(1-2\mu_g)} \right] \left\{ \sigma_{cyc} \left[\frac{1 + \mu_s b(1-R) - \mu_s(1+R)}{R + \mu_s b(1-R) - 2\mu_s} \right] \left(\frac{dM_R^{-1}}{dN} \right) \right. \\ \left. - k_1 k_4 \left[1 - \frac{(1-R)^{-1}}{b} \right] \left\{ 1 - D - \frac{[R^{-1} + b(1-R^{-1})]^{-1}}{K} \right\} \left(\frac{\sigma_{oct}}{\sigma_{atm}} \right)^{k_2} \left(\frac{\tau_{oct}}{\sigma_{atm}} \right)^{k_3} N^{k_4-1} \right\} dN$$

163 Thus, for repeated loading conditions, the extra confinement, offered by geoinclusions after the
 164 completion of a given number of load cycles (N_{lim}), can be calculated by using Eqs. (13a) and
 165 (13b). The proposed model can also be simplified to cater for the axisymmetric and the plane-
 166 strain cases.

167 *Axisymmetric condition*

168 For the axisymmetric condition [$\sigma'_2 = \sigma'_3$ (or $b = 0$), $d\varepsilon_2 = d\varepsilon_3$ and $k_c = 1$], Eq. (7a) is deduced
 169 to:

$$(14) \quad R = K(1 - D)$$

170 Upon simplification, Eq. (14) becomes

$$(15) \quad d\varepsilon_3^p = -d\varepsilon_1^p \frac{R}{2 \cdot K}$$

171 Thus, $\Delta\sigma'_3$ for the axisymmetric condition can be given by:

$$(16) \quad \Delta\sigma'_3 = \int_0^{N_{lim}} -\frac{2M_m}{D_g} \left[\frac{1}{(1+\mu_g)(1-2\mu_g)} \right] \left\{ \sigma_{cyc} \left[\frac{1 - \mu_s(1+R)}{R - 2\mu_s} \right] \left(\frac{dM_R^{-1}}{dN} \right) \right. \\ \left. - \frac{k_1 k_4 R}{2K} \left(\frac{\sigma_{oct}}{\sigma_{atm}} \right)^{k_2} \left(\frac{\tau_{oct}}{\sigma_{atm}} \right)^{k_3} N^{k_4-1} \right\} dN$$

172 *Plane-strain condition*

173 For the plane-strain condition ($d\varepsilon_2 = 0$), Eq. (7a) can be simplified as:

$$(17) \quad d\varepsilon_3^p = -d\varepsilon_1^p \frac{R}{K}$$

174 The $\Delta\sigma'_3$ for the plane-strain condition can thus be expressed as:

$$(18) \quad \Delta\sigma'_3 = \int_0^{N_{\text{lim}}} -\frac{2M_m}{D_g} \left[\frac{(1 - \mu_g)k_c + \mu_g}{(1 + \mu_g)(1 - 2\mu_g)} \right] \left\{ \sigma_{\text{cyc}} \left[\frac{(1 - \mu_s) - \mu_s R}{R(1 - \mu_s) - \mu_s} \right] \left(\frac{dM_R^{-1}}{dN} \right) \right. \\ \left. - \frac{k_1 k_4 R}{K} \left(\frac{\sigma_{\text{oct}}}{\sigma_{\text{atm}}} \right)^{k_2} \left(\frac{\tau_{\text{oct}}}{\sigma_{\text{atm}}} \right)^{k_3} N^{k_4 - 1} \right\} dN$$

175 IDENTIFICATION OF MODEL PARAMETERS

176 The present model comprises the following parameters: M_m , D_g , μ_g , b , M_R , E , μ_s , k_1 , k_2 , k_3 , k_4 ,
177 φ'_f , and D . The first three parameters are the geoinclusion properties. The parameter b depends
178 on the external loading conditions. The parameters M_R , E and μ_s for a particular cellular
179 geoinclusion reinforced UGM can be determined from conventional laboratory experiments.
180 The empirical parameters k_1 , k_2 , k_3 and k_4 can be determined by fitting the experimental curves
181 of irrecoverable vertical strain with the number of load cycles (N) for reinforced UGM at
182 different loading conditions. Furthermore, parameters φ'_f and D can be determined by
183 conducting true-triaxial tests ($\sigma'_1 \neq \sigma'_2 \neq \sigma'_3$) on geoinclusion reinforced UGM. Moreover, φ'_f and
184 D depend on the parameter b (Wang and Lade 2001). However, a unique relationship between
185 these parameters is not yet established. Therefore, the values of b , φ'_f and D are varied to
186 investigate their influence on the additional confinement.

187 RESULTS AND DISCUSSION

188 Using the present approach, the influence of infill properties, stress levels and geoinclusion
189 type on additional confinement are investigated. Table 1 lists the parameters used in the
190 analysis. The results are expressed in terms of normalized additional confinement ($k_{\sigma,2} =$

191 $\Delta\sigma'_2/\sigma'_2$ and $k_{\sigma,3} = \Delta\sigma'_3/\sigma'_3$) and additional confinement ratio (ACR). The ACR is the ratio of
192 extra confinement offered by the geoinclusions in lateral orthogonal directions (i.e., $\Delta\sigma'_2/\Delta\sigma'_3$).
193 These normalized ratios are used to present the results in a concise form. Moreover, the use of
194 ACR allows an efficient comparison of $\Delta\sigma'_2$ with $\Delta\sigma'_3$. The value of ACR ranges between 0
195 and 1 corresponding to the cases when $\Delta\sigma'_2 = 0$ and $\Delta\sigma'_2 = \Delta\sigma'_3$, respectively.

196 ***Influence of infill properties and stress levels***

197 Fig. 3a shows the variation of ACR with the mobilized friction angle (φ'_f) and dilatancy rate
198 (D). It can be observed that ACR increases with a decrease in D (e.g. 370% increment when D
199 decreases from -1 to -0.2, for $\varphi'_f = 40^\circ$). Moreover, it decreases with an increase in φ'_f for a
200 particular value of D (e.g. 98% reduction when φ'_f increases from 40° to 60° for $D = -0.2$). This
201 variation is probably due to a reduction in ε_2 with an increase in D and K [refer to Eq. (8a)].
202 Consequently, a smaller magnitude of confinement ($\Delta\sigma'_2$) is mobilized in the direction of σ'_2
203 for higher values of D and K . Thus, a weak infill (exhibited by small φ'_f) with a smaller D may
204 mobilize more confinement, $\Delta\sigma'_2$, than a strong infill with a greater D (for a particular value of
205 b). On the contrary, ε_3 increases with an increase in D and K [refer to Eq. (8b)]. This increases
206 the magnitude of $\Delta\sigma'_3$. Therefore, an optimum value of φ'_f and D may be required to derive
207 maximum benefits from geoinclusion reinforcement.

208 Nevertheless, this variation also depends on stress levels. Fig. 3b shows the influence of
209 parameter b on ACR. It is observed that ACR decreases with an increase in b (e.g. 92%
210 reduction when b increases from 0.1 to 0.3 for $D = -0.2$). This is because ε_2 reduces with an
211 increase in b . As a consequence, the extra confinement $\Delta\sigma'_2$ undergoes substantial reduction.
212 Thus, σ'_2 significantly influences the magnitude of extra confinement offered by the
213 geoinclusion.

214 It can be noted that parameter b at the plane-strain condition (b_{ps}) for the above case is
215 0.32. Therefore, the magnitude of ACR is nearly equal to 0 for $b = 0.3$. Moreover, ACR
216 becomes 0 for $b \geq b_{ps}$ because ε_2 becomes compressive if b exceeds b_{ps} . Due to lack of
217 experimental/field data, it is very difficult at this stage to visualize the deformation behavior of
218 geoinclusion once b exceeds b_{ps} . Therefore, b has been normalized with b_{ps} in subsequent
219 section to show its influence on additional confinement. Fig. 3c shows the polar contour plot
220 of ACR for $\sigma'_3 = 15$ kPa and $D = -0.2$ to elucidate the influence of φ'_f and b/b_{ps} ratio on ACR.
221 The radial and polar coordinates in the plot correspond to the values of parameters k_c and b/b_{ps}
222 ratio respectively. The four different sectors in the plot represent the ACR values for $\varphi'_f = 40^\circ$,
223 45° , 50° and 55° . The radial boundary of each sector is marked by the plane-strain ($b/b_{ps} = 1$)
224 and the axisymmetric conditions ($b/b_{ps} = 0$). It can be observed that ACR decreases with an
225 increase in b/b_{ps} and φ'_f . This may be attributed to the reduction in the magnitude of ε_2 with an
226 increase in φ'_f and b/b_{ps} .

227 ***Influence of geoinclusion type***

228 The geoinclusion type may influence the magnitude of additional confinement. Therefore, five
229 different types of geoinclusion materials, namely, HDPE, woven coir fiber geotextile,
230 nonwoven polypropylene fiber geotextile, rubber membrane (with three different thicknesses)
231 and rubber tire, have been used in the analysis. Fig. 4a shows the load vs. strain curves of the
232 five materials obtained from tension tests (Henkel and Gilbert 1952; Koerner 2012; Biabani
233 2015; Indraratna et al. 2017; Lal et al. 2017). It can be observed that each material exhibits
234 distinct load-strain response. HDPE shows an elastic-perfectly plastic response with high initial
235 modulus, while nonwoven geotextile shows a strain hardening response with progressively
236 increasing modulus. The secant modulus of coir geotextile is initially intermediate to that of
237 HDPE and polypropylene geotextile. However, after 12.5% strain, the secant modulus of coir

238 geotextile exceeds the modulus of HDPE. Furthermore, the rubber tire and rubber membranes
239 have the maximum and minimum modulus among all the materials, respectively.

240 Fig. 4b shows the variation of normalized additional confinement with N for the five
241 different geoinclusion materials at $\sigma'_3 = 15$ kPa, $b = 0.1$, $D = -0.2$ and $\varphi'_f = 50^\circ$. It can be
242 observed that the rubber tire provides the maximum confinement to the infill in the direction
243 of σ'_3 . This is reasonable since the modulus of rubber tire is the maximum among the five
244 materials at a particular magnitude of strain. HDPE provides a higher confinement than coir
245 geotextile, polypropylene geotextile and rubber membranes. However, if the mobilized strain
246 increases beyond 12.5%, the magnitude of confinement provided by coir geotextile may exceed
247 that provided by HDPE [refer to Fig. 4a]. Nevertheless, the mobilized strain, in this case, is
248 below 12.5%. Consequently, HDPE provides a higher confinement than coir geotextile
249 throughout the loading schedule. The extra confinement offered by polypropylene geotextile
250 and rubber membranes is very small as compared to rubber tire, HDPE and woven coir
251 geotextile due to their low secant modulus.

252 Similar behavior is observed in the direction of σ'_2 . The magnitude of $k_{\sigma,2}$ is the highest
253 for rubber tire followed by HDPE, coir geotextile, polypropylene geotextile and rubber
254 membranes. However, $k_{\sigma,2}$ is smaller than $k_{\sigma,3}$ for all the materials. This is due to the
255 mobilization of a small magnitude of strain in the direction of σ'_2 .

256 Hence, the additional confinement provided by the geoinclusion significantly depends on
257 the type of the constituent material. Usually, the confinement increases with an increase in
258 geoinclusion modulus. However, the selection of an appropriate geoinclusion must be based
259 on its intended function and scope of the project. Moreover, the additional confinement ($\Delta\sigma'_2$
260 and $\Delta\sigma'_3$) is not only directionally sensitive, but also sensitive to parametric variations.
261 Therefore, simplification of 3D into 2D (axisymmetric or plane-strain) stress state may result

262 into either over-predictive or conservative estimates. Thus, the present model yields more
263 accurate results as compared to the existing models.

264 In practice, the geoinclusion-stabilized soil is more likely to be subjected to a complex
265 3D stress state. The present model evaluates the extra confinement offered by the cellular
266 geoinclusions in the directions of σ'_2 and σ'_3 . Moreover, it can capture the variations in the
267 confinement mobilized in the two orthogonal directions due to changes in stress levels, infill
268 and geoinclusion properties. Thus, the model can also help in the selection of adequate material
269 parameters for deriving maximum potential benefits from geoinclusion reinforcement.

270 **MODEL VALIDATION**

271 Limited laboratory or field data are available on the magnitude of additional confinement
272 provided by the cellular geoinclusions in the 3D ($\sigma'_1 \neq \sigma'_2 \neq \sigma'_3$) loading conditions.
273 Nevertheless, the present model is validated against the results of the static triaxial tests on
274 geocell-reinforced soils conducted by Bathurst and Rajagopal (1993) and Rajagopal et al.
275 (1999), and the repeated load triaxial tests conducted by Mengelt et al. (2006). Table 2 lists the
276 input parameters used in the predictions. Fig. 5a compares the additional confinement
277 calculated using the present model with the experimental data. It is observed that the predicted
278 values vary by 1% to 20% from the experimental results.

279 The model is also used to predict the extra confinement offered by geocells for the plane-
280 strain repeated load tests, conducted by Indraratna et al. (2015). The values of the parameters
281 used in the prediction are listed in Table 2. Fig. 5b compares the predicted and experimentally
282 observed results. The results are expressed in terms of normalized additional confinement ($k_{\sigma,3}$).
283 The predicted results are in a good agreement with the experimental data. A slight deviation
284 from the experimental data can occur if the value of modulus is arbitrarily selected. In fact, the
285 modulus needs careful evaluation by conducting the tensile tests or junction peel tests. This is
286 because, it depends on the type of test arrangement (i.e. specimen with or without welds) and

287 the nature of the test (i.e. wide width, junction peel, split). Nevertheless, it is apparent that the
288 present approach can provide reliable estimates of the extra confinement, offered by
289 geoinclusions.

290 **CONCLUSIONS**

291 A semi-empirical model has been developed to evaluate the extra confinement offered by the
292 cellular geoinclusions under the 3D stress state ($\sigma'_1 \neq \sigma'_2 \neq \sigma'_3$). The results indicate that the
293 magnitude of additional confinement is sensitive to the stress state (axisymmetric, plane-strain
294 and 3D), type of inclusion and the parametric variations. The additional confinement ratio
295 (ACR) varies between 0 and 1 for the 3D stress state, which indicates that the simplification of
296 the 3D stress state to plane-strain or axisymmetric stress states yields conservative or over-
297 predicted results, respectively. Moreover, in comparison to $\Delta\sigma'_3$, the additional confinement in
298 the direction of σ'_2 ($\Delta\sigma'_2$) decreases with an increase in dilatancy rate (D), mobilized friction
299 angle (ϕ'_f) and the intermediate principal stress ratio (b). Furthermore, the magnitude of extra
300 confinement increases with an increase in geoinclusion modulus. Thus, the present model
301 provides a realistic assessment of additional confinement for deriving maximum potential
302 benefits from geoinclusion reinforcement with a convenient selection of adequate material
303 parameters.

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Table captions

Table 1. Input parameters for the parametric study.

Table 2. Parameters for predicting the additional confinement under the plane-strain and axisymmetric conditions.

Table 1. Input parameters for the parametric study.

Parameter	Value
Test type	Repeated load test
Loading condition	General
Geoinclusion material	HDPE (unless otherwise stated)
Infill material	Subballast
Frequency (Hz)	10
D_g (m)	0.24, 0.54 (for rubber tire)
σ'_1 (kPa)	160
σ'_3 (kPa)	15, 20, 25, 30
σ_{cyc} (kPa)	145, 140, 135, 130
σ_{atm} (kPa)	101.325
N_{lim}	500 000
μ_g	0.3
μ_s	0.35
D^*	-0.2, -0.4, -0.6, -0.8, -1.0
k_1	19.12
k_2	-3
k_3	8.42
k_4	0.129
ϕ'_f (°)	40, 45, 50, 55, 60

* Negative sign is assigned for dilative behavior

Table 2. Parameters for predicting the additional confinement under the plane-strain and axisymmetric conditions.

Parameter	Axisymmetric			Plane-strain
	Bathurst and Rajagopal (1993)	Rajagopal et al. (1999)	Mengelt et al. (2006)	Indraratna et al. (2015)
Infill material	Dense SS	Sand	Sand	Subballast
Geocell material	Polyethylene	PP-W, PP-NW	HDPE	HDPE
Frequency (Hz)	Not applicable	Not applicable	1	10–30
D_g (m)	0.2	0.1	0.25	0.24
σ'_1 (kPa)	1 050	550–860	25–100	166
σ'_3 (kPa)	25	100	1	5–30
N_{lim}	Not applicable	Not applicable	1 500	500 000
ϕ'_f (°)	72.5	44.1–52.4	67.4–78.6	Varies with N
E (MPa)	46.2	21.9–38.2	Not applicable	Not applicable
M_R (MPa)	Not applicable	Not applicable	16–41	Varies with N
μ_g	0.30	0.30	0.30	0.30
μ_s	0.35	0.35	0.35	0.35
k_c	1	1	1	0.075
k_1	Not applicable	Not applicable	Not applicable	19.12–72.17
k_2	Not applicable	Not applicable	Not applicable	-3
k_3	Not applicable	Not applicable	Not applicable	8.42
k_4	Not applicable	Not applicable	Not applicable	0.129–0.156
σ_{atm} (kPa)	101.325	101.325	101.325	101.325

Note: Geocell modulus is the secant modulus corresponding to the magnitude of mobilized strain; SS = silica sand; PP-W = polypropylene woven geotextile; PP-NW = polypropylene nonwoven geotextile; HDPE = high-density polyethylene.

Figure captions

Fig. 1. The behavior of railway embankment under train traffic-induced loads: (a) without cellular geoinclusion; (b) with cellular geoinclusion

Fig. 2. Deformation of cellular geoinclusion under different stress states: (a) general; (b) plane-strain; (c) axisymmetric

Fig. 3. Variation of additional confinement ratio (ACR) with (a) mobilized friction angle (ϕ'_f) and dilatancy rate (D); (b) dilatancy rate (D) for $b = 0.1, 0.2$ and 0.3 ; (c) b/b_{ps} ratio and ϕ'_f

Fig. 4(a). Tensile load-strain curves for five different types of cellular geoinclusion materials; (b). variation of normalized additional confinement ($k_{\sigma,2}$ and $k_{\sigma,3}$) with the number of load cycles (N)

Fig. 5. Comparison of the additional confinement computed using the present model with the experimental data under (a) axisymmetric condition; (b) plane-strain condition

Fig. A1. Stress profile of 3D cellular geoinclusion under general stress state

APPENDIX

The Fig. A1 shows the stress profile of the 3D cellular geoinclusion under general stress state.

Taking equilibrium of forces along the directions 2 and 3 gives:

$$(A1) \quad (\sigma'_2 + \Delta\sigma'_2)D_g - \sigma'_2 D_g - 2\sigma_{c,2}t_g = 0$$

$$(A2) \quad (\sigma'_3 + \Delta\sigma'_3)D_g - \sigma'_3 D_g - 2\sigma_{c,3}t_g = 0$$

where $\Delta\sigma'_2$ and $\Delta\sigma'_3$ are the additional confining pressures in the direction of intermediate (σ'_2) and minor principal stresses (σ'_3), respectively; $\sigma_{c,2}$ and $\sigma_{c,3}$ are the circumferential stresses in the direction of σ'_2 and σ'_3 , respectively; D_g and t_g are diameter and thickness of geoinclusion, respectively.

On simplification, $\Delta\sigma'_2$ and $\Delta\sigma'_3$ can be expressed as:

$$(A3) \quad \Delta\sigma'_2 = \frac{2\sigma_{c,2}t_g}{D_g}$$

$$(A4) \quad \Delta\sigma'_3 = \frac{2\sigma_{c,3}t_g}{D_g}$$

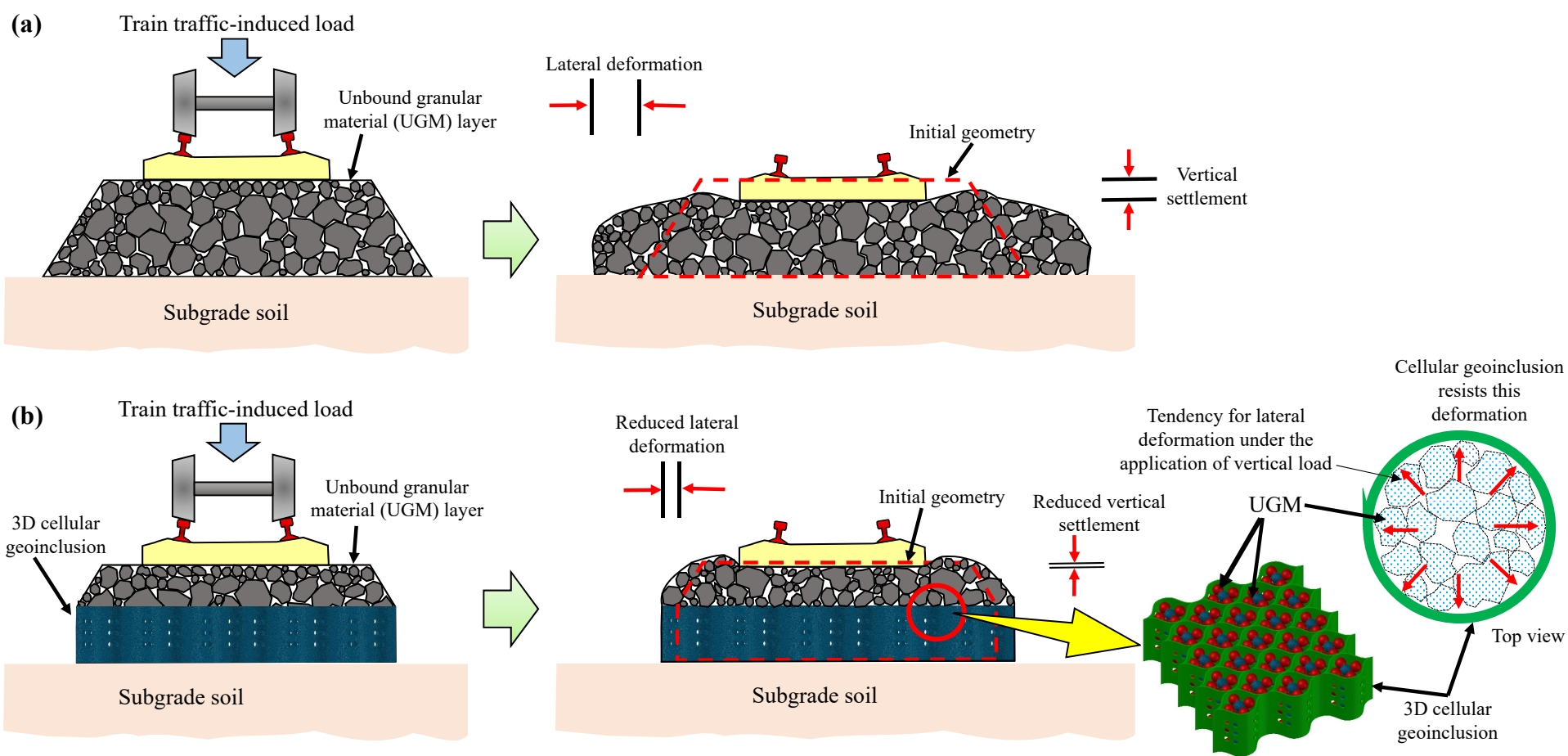


Fig. 1 The behaviour of railway embankment under train traffic-induced loads: (a) without cellular geoinclusion; (b) with cellular geoinclusion

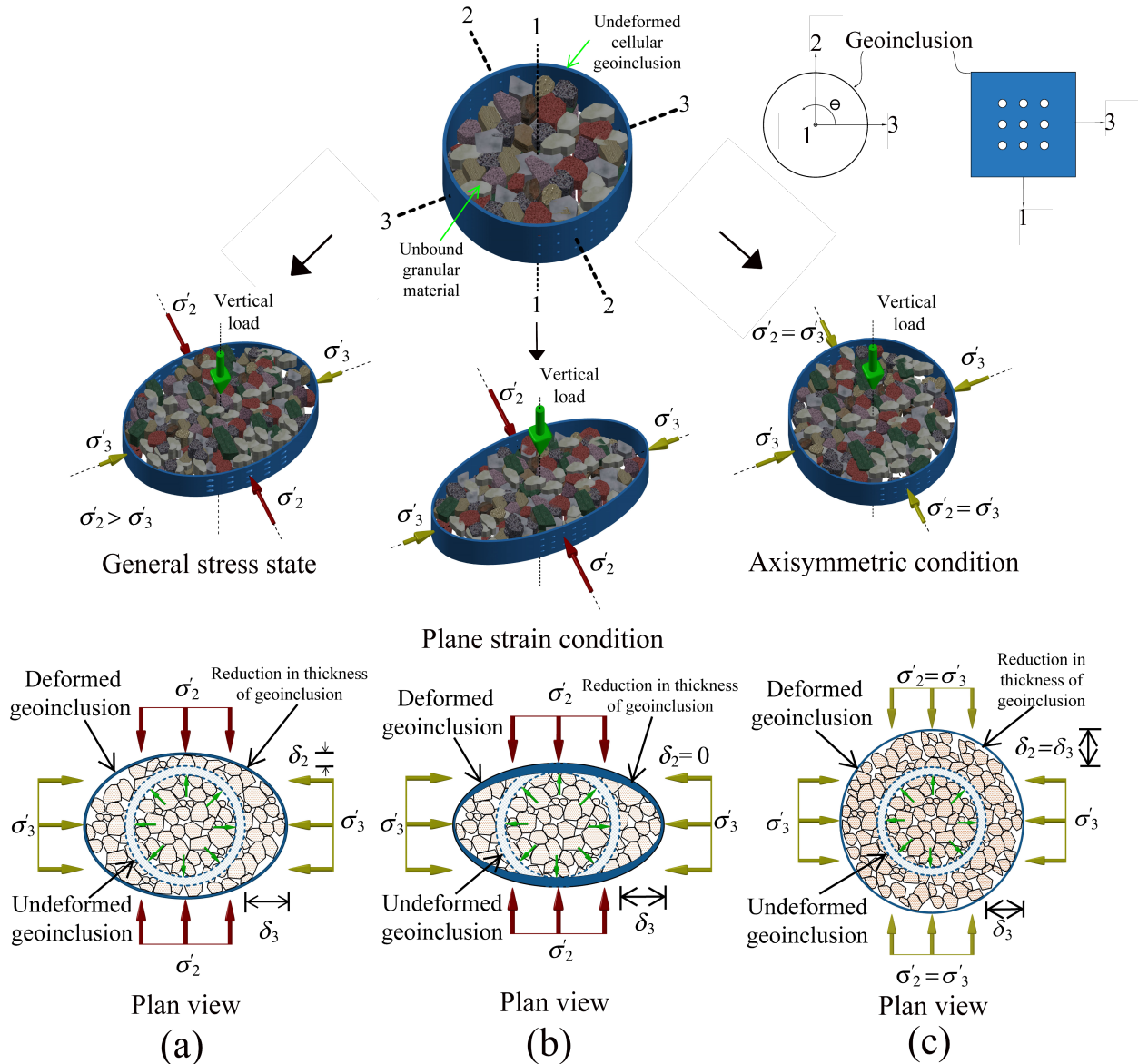


Fig. 2. Deformation of cellular geoinclusion under different stress states: (a) general; (b) plane-strain; (c) axisymmetric

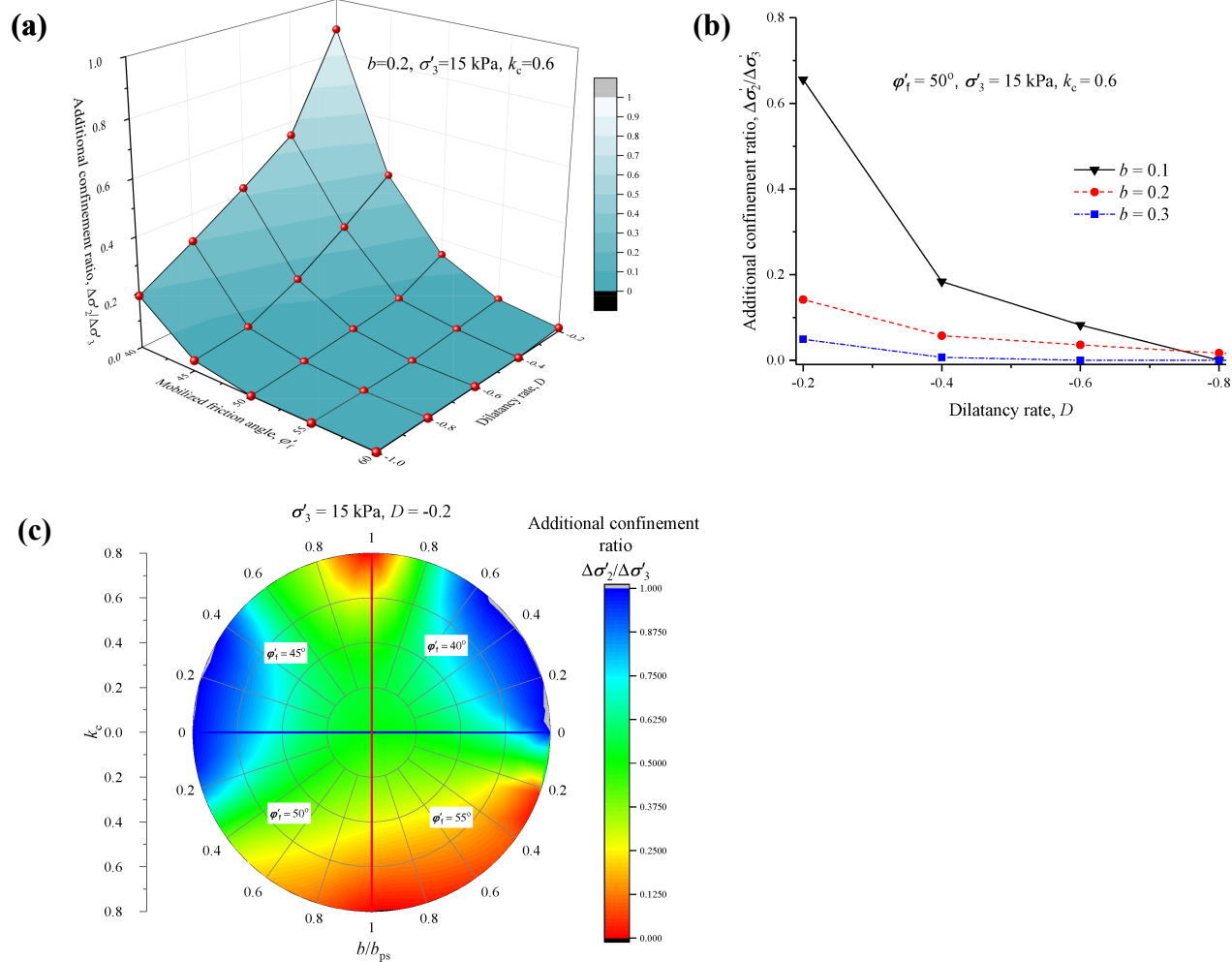


Fig. 3 Variation of additional confinement ratio (ACR) with (a) mobilized friction angle (ϕ'_f) and dilatancy rate (D); (b) dilatancy rate (D) for $b = 0.1, 0.2$ and 0.3 ; (c) b/b_{ps} ratio and ϕ'_f

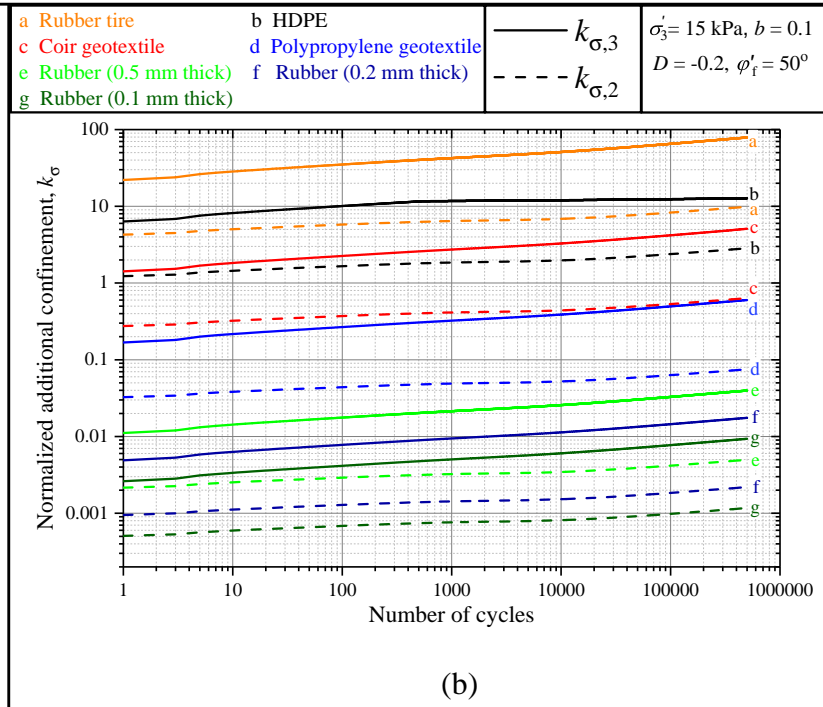
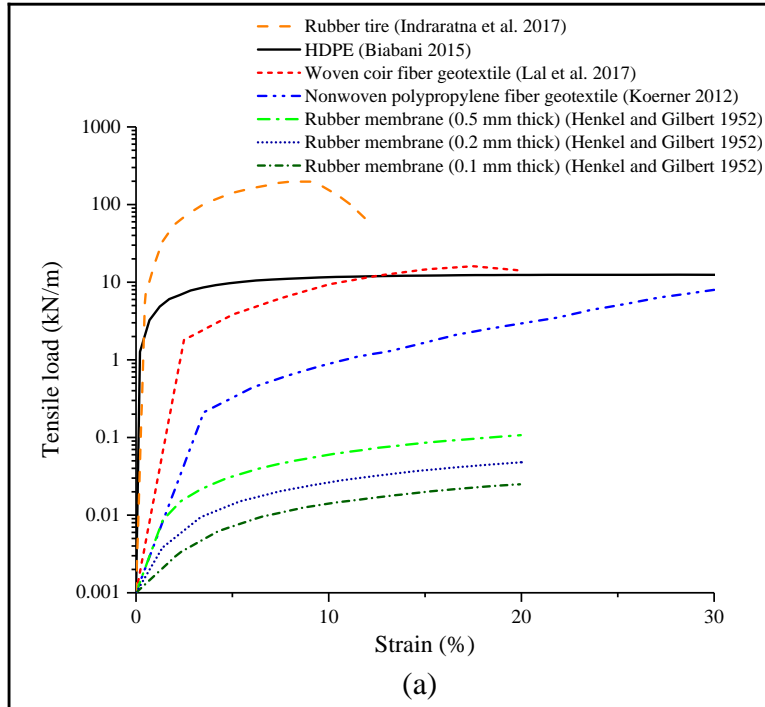


Fig. 4(a). Tensile load-strain curves for five different types of cellular geoinclusion materials; **(b).** variation of normalized additional confinement ($k_{\sigma,2}$ and $k_{\sigma,3}$) with the number of load cycles (N)

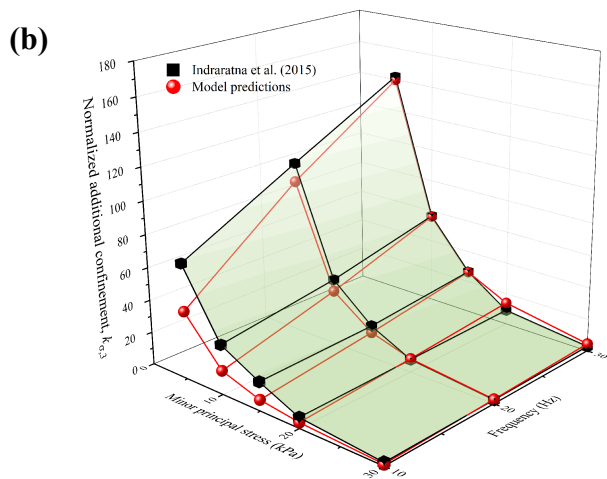
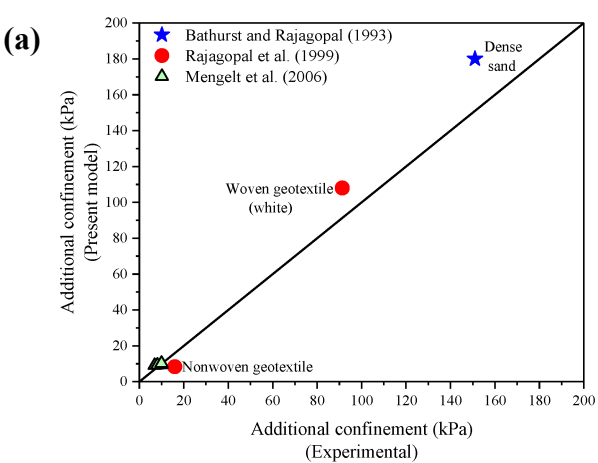
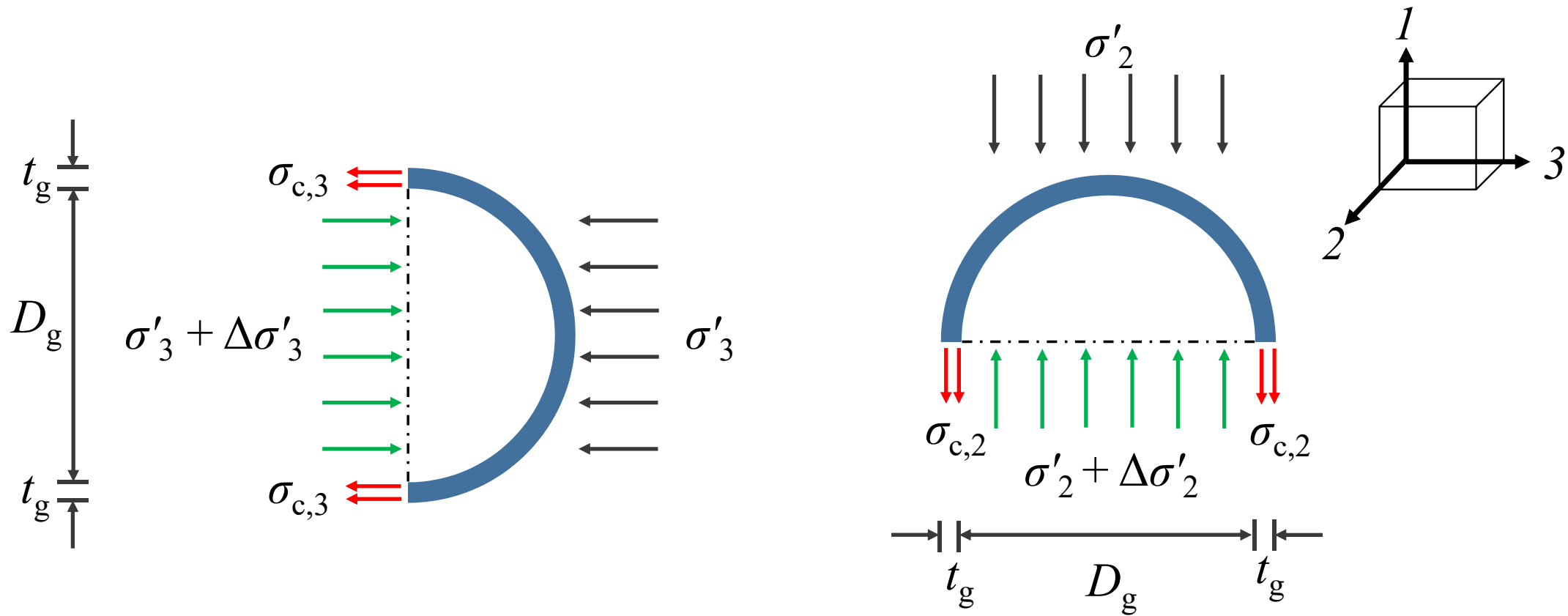


Fig. 5 Comparison of the additional confinement computed using the present model with the experimental data under (a) axisymmetric condition; (b) plane-strain condition



Taking equilibrium of forces along direction 3:

$$(\sigma'_3 + \Delta\sigma'_3) \cdot D_g = \sigma'_3 \cdot D_g + 2 \cdot \sigma_{c,3} \cdot t_g$$

$$\Delta\sigma'_3 = (2 \cdot \sigma_{c,3} \cdot t_g) / D_g$$

Taking equilibrium of forces along direction 2:

$$(\sigma'_2 + \Delta\sigma'_2) \cdot D_g = \sigma'_2 \cdot D_g + 2 \cdot \sigma_{c,2} \cdot t_g$$

$$\Delta\sigma'_2 = (2 \cdot \sigma_{c,2} \cdot t_g) / D_g$$

Fig. A1 Stress profile of 3D cellular geoinclusion under general stress state