

Learning in a changing climate: an ethnographic study from the Global South

A thesis submitted in fulfilment of

the requirements for the degree of

Doctor of Philosophy

Raviro Chineka

Supervisor: Dr Keiko Yasukawa Alternate supervisor: Prof Chris Riedy

Faculty of Arts and Social Sciences, University of Technology Sydney

April 2019

Certificate of original authorship

I, Raviro Chineka declare that this thesis, is submitted in fulfilment of the requirements for the award of Doctor of Philosophy degree in the School of Education, Faculty of Arts and Social Sciences at the University of Technology Sydney. This thesis is wholly my own work unless otherwise referenced or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis. This document has not been submitted for qualifications at any other academic institution.

This research is supported by the Australian Government Research Training Program.

Production Note:

Signature: Signature removed prior to publication. Date: 9 April 2019

i

Acknowledgements

This dissertation is my own work, but it would have not been possible to accomplish without the input of several individuals and organisations, too many to mention by name. However, I will name a few prominent contributors.

My heart goes out to my research participants: all the children in the Eco-Schools Club at my study site and their families. However, special mention goes to the children who participated in individual interviews; I will keep their names and those of their families anonymous to protect their privacy. I dedicate this thesis to these and other children out there who put in their all to make the world a better place to be, the children who shoulder the world's problems and clean up a mess they never created while adults create even more mess. I deeply appreciate their parents and guardians for not only allowing their children to participate in the study, but also for sharing insights into how community learning and adaptation takes place. My heart goes out to the two traditional leaders and the one family, a more successful family who serves as a role model for other farmers in the community. I am heavily indebted to the three teachers who served as patrons to the school eco-club, and the founder and national patron of the eco-schools programme, as well as the three government agencies who served as key sources of data.

No amount of words can express my gratitude to my principal supervisor, Dr Keiko Yasukawa for walking me through my PhD journey. She pushed me softly, but hard enough to get the best out of me and to ensure that my thesis is of exceedingly high academic integrity. Thank you, Keiko, it has been a painful journey considering all the social challenges I had to deal with, but you were there academically and emotionally. I am grateful for the support I received from A/Professor Chris Riedy. While you were meant to be an alternate supervisor, you went a mile further and assumed the role of assistant supervisor. I am greatly indebted to your insightful reviews, Chris. My heart also goes out to everyone who reviewed my work as I progressed through various stages of my PhD journey. Too many to mention by name, but special mention goes to Dr Marie Manidis; she was meant to mentor me for just the first six months into my PhD, but she held my hand until the last day. Thank you, Marie, I benefited from your academic maturity and of course you taught me the art

of writing. I am also grateful to Allison Turner for professionally copy editing the thesis for clarity, redundancy, punctuation grammar and spelling.

This study would not have been possible without the financial sponsorship of the Commonwealth Government of Australia and the University of Technology Sydney; I can never express my gratitude. Special mention goes to the Sponsored Students office, the Faculty of Arts and Social Sciences Research Office and the Graduate Research School; I felt heavily supported throughout my PhD journey.

Doing a PhD can be socially alienating, but I am forever grateful to my colleagues Pauline, Harriet, Fiona, Linda and Lisa for the moral and emotional support they gave me especially when the chips were down. This PhD has been a painful journey; I got sick, my son developed a speech problem, my father got sick and eventually died – rest in peace, Dad – and I felt like giving up. It was overwhelming, but these lovely souls kept reminding me of our motto "no one gives up, we shall all graduate, so we will fake it until we make it". It felt like we were one big class. Thank you, ladies, you helped me to fake it until I made it.

Last, but not least, my heart goes out to my family; I wouldn't have made it without your support. I have been an absentee wife and mother, but you loved me and supported me all the same.

Statement indicating format of thesis

This is a conventional thesis.

Contents

Certificate of original authorship	i
Acknowledgements	ii
Statement indicating format of thesis	iv
Contents	v
List of tables	xii
List of figures.	. xiii
List of acronyms	. xiv
Abstract	. xvi
Chapter 1: Introduction.	1
1.0 Introduction	1
1.1 Contextual background	1
1.2 Learning for climate change mitigation and adaptation	2
1.2.1 The global picture	2
1.2.2 Climate change: The Zimbabwean experience and responses	6
1.2.2.1 The changing physical environment and its consequences	6
1.2.2.2 Government responses	10
1.2.2.3 The Eco-Schools programme	11
1.3 Research aims, questions and overview of approach	14
1.4 Organisation of the thesis	16
1.5 Conclusion	19
Chapter 2: Climate change learning and adaptation: Barriers and affordances	20
2.0 Introduction	20
2.1 Impact of climate change on agriculture	20
2.2 Climate change concern and human agency	22
2.3 Beyond knowing	23
2.4 Technical fixes, wicked problems, knowledge plurality and moving towards a post-normal science	
2.5 Moving beyond adaptation and transitioning towards sustainability	27
2.6 Acknowledging non-Western ways of knowing	31
2.7 Climate change and Intergenerational learning	33
2.8 Conceptual analysis of key terms	36
2.9 Conclusion	42
Chapter 3: Theoretical perspectives informing climate change learning and adaptation	44
3.0 Introduction	44
3.1 Sociology of science and technology and the public understanding of science	45

3.2 Framing climate change adaptation as a sustainability transition	46
3.2.1 Origins of practice theory	48
3.2.2 Conceptualising practices	49
3.2.3 Implications for sustainability research	50
3.3 Engeström's cultural historical activity theory (CHAT)	55
3.3.1 Principles and components of activity system.	55
3.3.2 Expansive learning	56
3.3.3 Disturbances	57
3.3.3.1 Managing disturbances	60
3.3.4 Managing interactions	61
3.4 Limitations of CHAT in informing sustainability transitions research	62
3.5 Conclusion	63
Chapter 4: Ethnographies of climate change	65
4.0 Introduction and overview of chapter	65
4.1 Situating the methodology within the broader context of the study	67
4.1.1 Substantive thesis for my study	67
4.2 Research approach	68
4.2.1 Arguing for a qualitative approach to researching climate change adaptation	68
4.2.2 In defense of ethnographic-style research	70
4.3 Researcher positioning	72
4.3.1 About me and my influence on the study	73
4.3.2 Managing researcher subjectivity	74
4.4 Research participants and their recruitment	75
4.4.1 Justifying the choice of research site	75
4.4.2 Miombo Environmental Education Centre and the Eco-School Programme	77
4.4.3 Children in the Eco-Schools Club	77
4.4.4 Teachers leading the Eco-Schools Club.	79
4.4.5 Families with children in the Eco-Schools Club	79
4.4.6 The dominant voices	80
4.4.7 Negotiating Access	81
4.4.8 Sampling and sample size	82
4.5 Data collection procedures	83
4.5.1 Baseline.	83
4.5.2 Participant narratives of climate change	84
4.5.3 Observation	86
4 5 4 Photography	87

4.5.5 Documental analysis	87
4.5.6 Other sources of data	88
4.5.7 Member checking	89
4.6 Ensuring quality	90
4.7 Data analysis	91
4.8 Limitations of the research design and methodology	96
4.9 Conclusion	97
Chapter 5: Defining and locating the key informants	98
5.0 Introduction	98
5.1 Locating the Mutema community	98
5.2 The key informants	101
5.3 Mhere family	103
5.4 Dzoros	105
5.5 Chimotos	107
5.6 Dehwas	109
5.7 Makwaras	111
5.8 Mabasas	112
5.9 Gwenzis	114
5.10 Mapanis	115
5.11 Chapter summary	117
Chapter 6: (Re)Conceptualising climate change; Voices from the community	119
6.0 Introduction and flow of chapter	119
6.1 Conceptualisations grounded in external knowledge sources	120
6.1.1 Complex climate change: Hard to articulate	121
6.2 Conceptualisations grounded in the everyday: learning as a connection	125
6.2.1 Climate change as a shift in rainfall patterns, occurrence of extreme weather reduction in yields and food insecurity	
6.2.2 Climate change as human-induced interaction with nature and a livelihoods dilemma	130
6.3. Conceptualisations grounded in beliefs and speculations:	132
6.3.1 Limits of local symbols and indicators	137
6.4 Climate change risk: forced migration, social injustice and social insecurity	138
6.5 Chapter summary	142
Chapter 7: Everyday practices and sustainable adaptation to climate change: Learning the experts	_
7.0 Introduction and flow of the chapter	145
7.1 Self-regulated learning through trial and error informal experiments	147

7.1.1 Re-configuring planting regimes	148
7.1.2 Reducing hectarage and scaling up irrigation	151
7.1.3 Managing risk through polyculture	154
7.1.4 Wetlands and streambank farming	157
7.2 Reflecting on and modelling practices of others	159
7.2.1 Learning collaboratively through peer interaction	159
7.2.2 Learning from more successful farmers	162
7.2.3 Learning embedded in family history, traditions and customs	167
7.2.4 Other places and spaces for learning	169
7.3 Reflecting on and questioning cultural conventions: Capitalising on <i>vauyi</i> to introdinnovations in farming	
7.3.1 Challenging conventional farming practices	171
7.3.2 Growing 'foreign' but drought tolerant crops	173
7.3.3 Reconceptualising the concept of decent food; Staple food and cultural identity	y.176
7.3.4 Restructuring meals and reducing food waste	180
7.3.5 Diversifying sources of livelihood.	181
7.4 Learning new skills: organisational skills, anticipatory thinking, weather diaries	183
7.5 Chapter summary: Community innovations for climate change mitigation and adaptation	184
Chapter 8: Negotiating knowledge plurality in everyday practices	187
8.0 Introduction	187
8.1 Reaching communities remotely through Information and Communication Technologies and other media	188
8.2 Capacity building through marketing of new products and services	190
8.3 Using authority to update current models of environmental management	192
8.3.1 Capitalising on dominant voices to facilitate learning and change	193
8.3.2 Learning through observing and enacting public policy guidelines	195
8.3.3 When interventions engender miseducation	197
8.4 Using authority to update current models of farming: Learning through farmer extension services	199
8.4.1 When knowledge is stigmatised: The dilemma of conservation farming	203
8.4.2 Knowledge plurality, epistemic injustice and the role of green shows	206
8.4.3 Epistemic injustice and the limits of science	209
8.4.5 Intractable climate change	213
8.5 Summary: Synchronising abstract knowledge and context specific knowledge	216
Chapter 9: Children as agents of change in climate change adaptation	219
9.0 Introduction and flow of chapter	219

9.1 Vagoni Eco-Schools Club (ESC)	220
9.1.1 Thomas	221
9.1.2 Zvisineyi	221
9.1.3 Samantha	222
9.2 Affordances and barriers to learning and change	222
9.2.1 Learning collaboratively through peer interaction	222
9.2.2 Field visits and commemoration of dedicated events	223
9.2.3 Researching local sustainability issues: The Eco-Challenge	225
9.2.4 Learning embedded in the Eco-Schools ethos	226
9.2.4.1 Misalignment of objects of the ESC as a barrier to learning	228
9.2.4.2 The ESC is manual labour and embarrassing	229
9.2.4.3 The ESC is not a priority	230
9.2.4.4 The numbers are insignificant	231
9.3 Intergenerational learning: Children assuming the role of technical experts	232
9.3.1 Community outreach through the arts	233
9.3.2 Intergenerational discussions between children and adults: Grandiose ideas, sm voices	
9.3.2.1 Nelson: It is child's play	236
9.3.2.3 Nashley: It is convention that children have no voice	238
9.3.2.3 Children's ideas are risky	241
9.3.2.4 Vocal children are labelled arrogant and rebellious	243
9.3.2.5 Lack of meaningful parental involvement	244
9.4 Formal learning settings and the limits of academic knowledge	247
9.4.1 Silo mentality, limited content, abstract and examinations driven curriculum	247
9.4.2 Inadequate teacher preparation, complacency and limited government support.	249
9.5 Learning from the research process	251
9.6 Summary: Locating children's agency within the socio-cultural context	253
Chapter 10: Summary of findings: Transitioning to a well adaptive community; Possibilities and constraints	256
10.0 Introduction and flow of chapter	256
10.1 Key findings: Learning and the emergence of new practices	257
10.1.1 Questioning and reflecting as key ingredients to learning	258
10.1.2 Nature of disturbances	262
10.1.2.1 Introducing new tools	263
10.1.2.2 Introducing new rules and conventions	267
10.1.2.3 Changing community composition	270
10.1.2.4 Reconfiguring division of labour within the community composition	272

10.1.3 Permeable the boundaries of learning	275
10.1.4 Innovating in diverse ways	
10.1.5 Conceptualising adaptation	283
10.1.5.1 Adaptation as a complex interaction of a plethora of factors	284
10.1.5.2 Adapting as an iterative lifelong learning process	285
10.6 Summary: Affordances and barriers to adaptation	287
Chapter 11: Conclusion: Reconceptualising climate change adaptation	292
11.0 The case for my research and research questions	292
11.1 Findings from the study and responding to the research questions	295
11.1.1 Barriers to and affordances for learning?	296
11.1.2 Evidence of expansive learning	298
11.2 Contribution to research.	299
11.3 Limitations and affordances of the research design.	302
11.4 Implications of the findings	303
11.4.1 External interventions for effecting productive learning and change	304
11.4.1.1 Using community networks to establish a collective ZPD	304
11.4.1.2 Negotiating the old and the new knowledge and practices	306
11.4.1.3 Learning as incremental	307
11.4.1.4 Acknowledging local knowledge and innovations.	309
11.4.1.5 Deprofessionalising the experts	310
11.4.1.6 Acknowledging limitations of piecemeal developmental approaches	311
11.4.2 Increasing the impact of the Eco-Schools Club and similar initiatives	313
11.4.2.1 Engaging the adult community more	313
11.4.2.2 Working with children to create community knowledge	315
11.4.3 Science education policy and practice: Recognising knowledge pluralism	315
Appendix 1: Consent form (Students)	319
Appendix 2: Information sheet (Students)	322
Appendix 3: Consent form (Teachers)	324
Appendix 4: Information sheet (Teachers)	327
Appendix 5: Consent form (Parents/Guardians)	329
Appendix 6: Information sheet (Parents/Guardians)	332
Appendix 7: Interview/Observation Guide	335
Appendix 8: Examplar statements of how and what learning occurs	339
Appendix 9: Shona information sheet (Student)	343
Appendix 10: Shona consent form (Student)	346
Appendix 11 Shona consent form (Teacher)	349

Appendix 12 Shona information sheet (Teacher)	352
Appendix 13: Shona consent form (Parent/Guardian)	355
Appendix 14: Shona information sheet (Parent/Guardian)	359
Appendix 15: Shona interview/observation guide	362
References	365

List of tables

Table 1:	Different framings of sustainability	51
Table 2:	Overview of the research process)
Table 3:	Analytical framework: Sources, processes and outcomes of learn	ing
	94	
Table 4:	Analytical framework: Examples of expressions of transformat	tive
	agency	.95
Table 5:	Practices under threat and what people learn informally as they refl	lect
	on the practices	60
Table 6:	Introducing new tools	54
Table 7:	Introducing new rules	68
Table 8	Importing knowledge	71
Table 9:	Reverse mentoring	3
Table 10:	Nature and extent of innovations)
Table 11:	What is changing	39

List of figures

Figure 1:	A CHAT analysis of the study context	59
Figure 2:	Model of the theoretical framework.	64
Figure 3:	Map of the Mutema community plotting approximate positions where the eight families lived	
Figure 4	Dehwa kale field	110
Figure 5:	Agricultural land being converted into residential stands	116
Figure 6:	Simple petrol pump in shallow well.	152
Figure 7:	Using containers for irrigation.	.153
Figure 8:	Maize-kale intercrop	.156
Figure 9:	A section through the Mbozha homestead	163
Figure 10:	Fowl runs and chickens	164
Figure 11:	Part of the irrigation infrastructure	165
Figure 12:	Challenging conventional farming practices: transitioning to or farming	
Figure 13:	Growing foreign but drought tolerant cassava	174
Figure 14:	Diversifying sources of livelihood: raising small animals	.182
Figure 15:	Some of the problems with early maturing varieties	215
Figure 16:	Examples of children's displays	224
Figure 17:	Some of the plants Nashley grew	240
Figure 18:	Nature of disturbances	262
Figure 19:	Permeable boundaries of learning in the community	276
Figure 20:	Adaptation as a complex interaction of a plethora of factors	284
Figure 21:	Cycles of expansive learning as participants trial new maize cu	ıltivar 286

List of acronyms

ABC- Attitude Behaviour Choice

AEO- Agricultural Extension Officer

Agritex- Agricultural Research and Technical Services

AIDS- Acquired Immuno Deficiency Virus

ATR- African Traditional Religion

CC- Climate Change

CD- Compact Disc

CCE- Climate Change Education

CCEL- Climate Change Education and Learning

CHAT- Cultural Historical Activity Theory

DA- District Administrator

EE- Environmental Education

EMA- Environmental Management Agency

ESC- Eco-Schools Club

ESP- Eco-Schools Programme

ESD- Education for Sustainable Development

GHG- Green House Gas

GoZ - Government of Zimbabwe

HIV- Human Immuno Deficiency Virus

ICT- Information Communication Technologies

IPCC- Intergovernmental Panel on Climate Change

IUCN- The World Conservation Union

MEWC- Ministry of Environment Water and Climate

MoPSE- Ministry of Primary and Secondary Education

NCCRS- National Climate Change Response Strategy

NGO- Non-Governmental Organisation

PUS- Public Understanding of Science

SMS- Short Text Message

STS- Science Technology and Society

TV- Television

UK- United Kingdom

UN- United Nations

UNEP- United Nations Environment Programme

UNESCO- United Nations Education Scientific and Cultural Organisation

UNFCCCC- United Nations Framework Convention on Combating Climate Change

USD- United States Dollar

UTS- University of Technology Sydney

WWF- World Wide Fund for Nature

ZIMSTATS- Zimbabwe Statistics Office

ZPD- Zone for Proximal Development

ZP'S'D- Zone of Proximal 'Safe' Development

Abstract

Adaptation to climate change has become an undeniable reality intricately linked to human existence and the planet's well-being. Historically, climate change adaptation research has been dominated by the physical sciences typically modelled around Global North perspectives. This study investigates how people in the Global South, in a largely agricultural community in Zimbabwe learn to change and adapt their everyday practices in response to climate change.

An ethnographic approach involving fieldwork data from observations, narratives and photography from eight families purposively drawn from 30 families whose children belonged to a local school's Eco-Schools Club (ESC) provided the data. It was anticipated, based literature that intergenerational learning would be evident in these families as the children gained scientific knowledge about climate change mitigation and adaptation through the ESC.

The study drew upon Engeström's Cultural Historical Activity Theory to analyse the possibilities of expansive learning, that is learning leading to radical and sustainable change, by examining how disturbances to the socio-material configuration of existing practices are managed.

Contrary to reports from the Global North, the ESC was not a dominant source of learning because of the status traditionally ascribed to children within the community, and the criticality of the issue the knowledge would impact upon. Learning and change reflected in the families' everyday practices was motivated most profoundly by the threat of *Nzara* (food insecurity). Changes occurred not through ready adoption of the abundant advice available to them, including from technical experts; learning was incremental, precipitated by questioning and reflection of existing knowledge and practices and evaluating innovations within a collective zone of proximal development (ZPD). Community members collectively gained new knowledge eventually altering some stable elements within existing practices. The collective ZPD significantly influenced changes because individual households felt secure if others were willing to experiment with a different approach. Thus, the collective ZPD could be conceptualised as a zone of proximal 'safe' development (ZPSD).

As the driver for change, climate change, was itself intractable achieving any single sustainable practice is, unlikely; the likely future is a continuing cycle of learning and change. The study proposes a new way of approaching interventions. Interventions may be reconceptualised not as solutions but sources of learning where learning extends beyond community members to include technical experts in mutual settings where knowledge is co-produced and diverse perspectives negotiated.