

Multi-level Region-based Convolutional Neural Network for Image Emotion Classification

Tianrong Rao^a, Xiaoxu Li^a, Haimin Zhang^a, Min Xu^{a,*}

^a*GBDTC, Faculty of Engineering and Information Technology, University of Technology
Sydney*

Abstract

Analyzing emotional information of visual content has attracted growing attention for the tendency of internet users to share their feelings via images and videos online. In this paper, we investigate the problem of affective image analysis, which is very challenging due to its complexity and subjectivity. Previous research reveals that image emotion is related to low-level to high-level visual features from both global and local view, while most of the current approaches only focus on improving emotion recognition performance based on single-level visual features from a global view. Aiming to utilize different levels of visual features from both global and local view, we propose a multi-level region-based Convolutional Neural Network(CNN) framework to discover the sentimental response of local regions. We first employ Feature Pyramid Network(FPN) to extract multi-level deep representations. Then, an emotional region proposal method is used to generate proper local regions and remove excessive non-emotional regions for image emotion classification. Finally, to deal with the subjectivity in emotional labels, we propose a multi-task loss function to take the probabilities of images belonging to different emotion classes into consideration. Extensive experiments show that our method outperforms the state-of-the-art approaches on various commonly used benchmark datasets.

Keywords: Image emotion classification, Multi-level, Region-based,

*Corresponding author
Email address: `Min.Xu@uts.edu.au` (Min Xu)

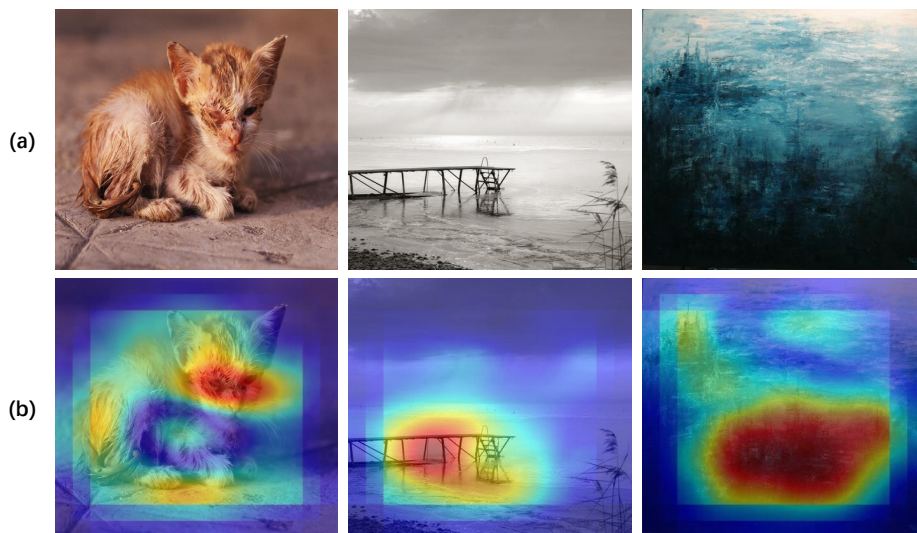


Figure 1: (a): Sample images from different datasets that evoke the same emotion *sadness*. We can find out that image emotion is related to many factors. Left: web images whose emotions are mainly related to image semantics. Middle: IAPS photos whose emotions are mainly related to image aesthetics, such as compositions and emphasis. Right: abstract paintings whose emotions are mainly related to low-level visual features, such as texture and color. (b): Visualization of the class activation map for emotional category *sadness* of each sample image. As we can see, some regions within the image are more likely to evoke emotions than other parts of the image.

Convolutional Neural Network

1. Introduction

With the popularity of photo-based social networks, more and more people tend to share their feelings through images on these social networks. Emotion classification has attracted increasing research interests nowadays. Psychological studies have revealed that different visual stimuli can evoke different types of humans' emotions [1, 2]. Based on these studies, multimedia researchers tried to understand the emotion implied in different visual content.

Many studies investigate the relationship between images and emotions through mapping visual features to emotions. Low-level visual features are first used for

10 image sentiment analysis [3, 4]. Machajdik and Hanbury propose to combine
different levels of visual features, including low-level features based on art theory
and high-level image semantics, for image emotion classification [5]. Principles-
of-arts features specialized for image emotion recognition are also designed to
improve classification performance [6]. Recently, benefiting from the success
15 of deep Convolutional Neural Network (CNN) on computer vision tasks [7],
researchers have applied CNN, which can automatically learn deep features
for emotion classification, and demonstrated that the deep features outperform
hand-crafted features on emotion classification[8, 9].

However, analyzing image emotion is implicitly a challenging task compared
20 to other traditional computer vision tasks, such as object detection and recognition,
due to the two challenges of the complexity and subjectivity of emotions.
For complexity, most of images can evoke different emotions rather than one
pure emotion [10]. Previous methods for affective image analysis mainly rely
on the single level of visual features extracted from the global perspective of
25 the whole image, while ignoring the sentimental response of multi-level visual
features from local regions which contribute to diverse emotion reaction for one
image [11, 12]. Figure 1 shows sample images from different affective image
datasets and the class activation maps of the sample images. We can find that
image emotion is related to complex visual features from high-level to low-level
30 and some local regions in images may contain more emotional information than
other parts of images. For subjectivity, people with different cultural back-
ground may have various emotional reactions to the same image. It is unable
to collect the hard emotional label of an image. Instead, emotion category is
labeled with the probability is widely applied in affective image datasets. The
35 uncertainty labels clearly improve the difficulty to build an accurate classifier
for image emotion classification.

Researchers have already noticed the effect of multi-level visual features and
local image regions for image emotion classification [13, 9, 14, 15, 16]. How-
ever, these methods only consider the local regions without taking a look from
40 the global view or only focus on positive-negative emotion classification, a com-

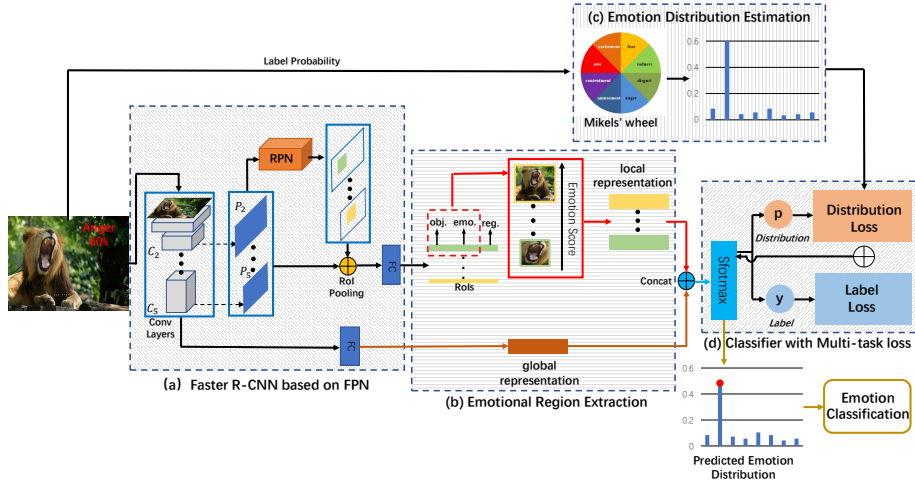


Figure 2: The overview of the proposed framework. The framework consists 4 components:(a) faster R-CNN based on FPN, (b) emotional region extraction based, (c) emotion distribution estimation and (d) classifier with multi-task loss.

prehensive consideration of both complexity and subjectivity in image emotion analysis is still a challenging problem.

Considering the aforementioned challenges, in this paper, we propose a multi-level region-based convolutional neural network that can automatically extract
 45 multi-level deep representations of local image regions. Multi-level deep features can better represent different kinds of affective images and utilizing features extracted from emotional regions can effectively avoid the noisy information containing in non-emotion regions. Moreover, a new loss function is proposed in this paper to estimate an emotion distribution derived from emotion class
 50 probability, which can effectively counteract the factor of subjectivity existing in image emotion labels. The overview of our framework is shown in Figure 2. Emotional regions of different size are extracted based on different scales of feature maps which combine multi-level deep features. Subsequently, the local deep representations extracted from these emotional regions are combined with
 55 the global deep representations extracted from the whole image for emotion classification. Compared with existing methods mainly based on single-level

visual features from a global view, the multi-level emotion information from both global and local view utilized in our model can provide a robust performance on various kinds of images.

60 The contributions of this paper are summarized as follows: 1) We employ a feature pyramid network(FPN) to extract multi-scale deep feature maps that related to image emotion. The multi-scale deep feature maps extracted from different convolutional layers can combine high-level semantic features with low-level deep features, and thus significantly improve the performance of emotion
65 region detection. 2) We build a region-based CNN model that can effectively extract local emotional information from the emotional regions of the image. Ignoring the noisy information generating from non-emotional regions can significantly improve the emotion classification performance. 3) Image emotion labeling is a highly subjective task and the uncertain emotion labels will de-
70 grade the classification accuracy. Thus, we modify the loss function to consider the emotion class probability, rather than a hard class label, into image emotion classification to overcome the subjectivity in emotion analysis.

Extensive experiments are conducted to evaluate our Multi-level R-CNN model on multiple datasets including Flickr&Instagram(FI) [8], IAPSsubset [5],
75 ArtPhoto [5], *etc.* The experimental results demonstrate the effectiveness of our method for effectively detecting emotional regions with multi-level deep features and dealing with the problem of subjectivity existing in image emotion.

2. Related Work

Visual emotion analysis on still images [17, 18, 19] and videos [20, 21] has
80 attracted increasing research interests nowadays. In this section, we review the development of image emotion analysis and region-based CNN which are closely related to this work.

2.1. Affective Image Analysis

For visual emotion classification, existing research can be roughly divided
85 into methods in dimensional emotion space (DES) [10, 22, 23] and methods in

categorical emotion states (CES) [6, 5, 24, 25]. DES models, which utilize 3-D valence-arousal-control emotion space, 3-D natural-temporal-energetic connotative space, 3-D activity-weight-heat emotion factors, and/or 2-D valence-arousal emotion space, provide predictable and flexible descriptions for emotions. In
90 CES models, computational results are mapped directly to one of a few basic categories, such as anger, excitement, sadness, etc. Compared to DES models, CES models are easy for people to understand and label, thus have been widely applied in recent studies. To compare our results with existing work, we adopt the CES model to classify emotions into 8 categories (positive emotion
95 *Amusement, Awe, Contentment, Excitement* and negative emotion *Anger, Disgust, Fear, Sadness*) and 2 categories (positive and negative) predefined in a rigorous psychological study [26].

The visual features used for image emotion classification are designed and extracted from different levels [27, 18]. Yanulevskaya *et al.* [28] first proposed
100 to categorize emotions of artworks based on low-level features, including Gabor features and Wiccest features. Solli and Lenz [29] introduced a color-based emotion-related image descriptor, which is derived from psychophysical experiments, to classify images [13], SIFT features extracted from both global view and local view were used for emotion prediction. Machajdik *et al.* [5] defined a
105 combination of rich hand-crafted mid-level features based on art and psychology theory, including *composition, color variance* and *texture*. Zhao *et al.* [6] introduced more robust and invariant mid-level visual features, which were designed according to art principles to capture information about image emotion. High-level adjective-noun pairs related to object detection were introduced for
110 visual sentiment analysis in recent years [27, 30]. However, these hand-crafted visual features have only been proven to be effective on several small datasets, whose images are selected from a few specific domains, e.g. abstract paintings and portrait photos. This limits the applications of image emotion classification on large-scale image datasets.

115 Considering the recent success of CNN-based approaches in many computer vision tasks, CNN based methods have also been employed in image emotion

analysis. Peng *et al.* [11] first attempted to apply the CNN model in [7]. They fine-tuned the pre-trained convolutional neural network on ImageNet [31] and demonstrated that CNN model outperforms previous methods rely on different levels of handcrafted features on the Emotion6 dataset. You *et al.* [8] employed a progressive strategy to train a CNN model to detect image emotion on the large-scale dataset of web images. In [14], local emotional regions extracted using attention model were considered for sentiment analysis. However, most existing work only consider single-level visual features extracted from a global view, which limit their emotion classification performance due to the noisy from non-emotion regions within images and ignoring combining information from different levels including low-level visual features, mid-level image aesthetics and high-level semantics.

2.2. Region-based CNN

Our methods are based on region-based CNN (R-CNN) [32], which generates region proposals on CNN framework to localize and classify objects in images. Then, by introducing supervised pre-training for an auxiliary and domain-specific fine-tuning, the object detection performance are significantly improved [33]. Girshick [34] further develops the R-CNN model to faster-RCNN model to reduce the training time and computing consumption, while improving the object detection accuracy. Ren *et al.* [35] combine the Region Proposal Network(RPN) with CNN architecture to share full-image convolutional features and predict object bounds and objectness scores simultaneously.

Compared to traditional region based CNNs, which are mainly used to find salient objects in images, in our method, we utilize R-CNN to find local regions that evoke emotion and use the local representations extracted from these regions as supplementary information for image emotion classification. This means that we are interested in regions with emotion rather than regions with objects. In other words, identified local regions contain not only objects and/or objects' parts, but also selected background surroundings of the objects [36].

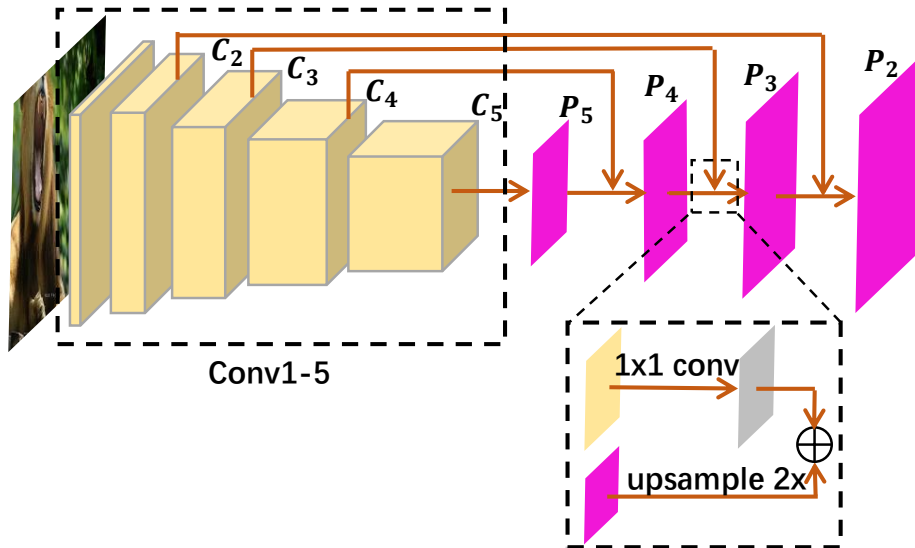


Figure 3: Structure of Feature Pyramid Network (FPN).

3. Preliminaries

As shown in Figure 2(a), candidates of emotional regions with multi-level deep features are extracted using faster R-CNN based on FPN.

3.1. Feature Pyramid Network (FPN)

To extract multi-level deep representations for image emotion analysis, a Feature Pyramid Network (FPN) [37] is employed to extract multi-scale feature maps. Compared to the existing pyramidal feature hierarchy structure in [9, 19], in which the lower level feature maps are high-resolution but with low-level deep features that harm their representational capacity for object recognition and emotion classification. The detailed structure of FPN is shown in Figure 3. As shown in the figure, FPN consists of two parts, a bottom-up pathway and a top-down pathway, between them is the lateral connections.

The bottom-up pathway is the feed-forward computation of normal backbone convolutional network (e.g., [7, 38, 39]). In this paper, we use ResNet101 [39] as the backbone network. From the bottom-up pathway, feature hierarchy which

contains feature maps in different size can be computed. The output of the last layer of each bottleneck in the ResNet101 is selected as the reference set of feature maps to create the pyramid. The output of these bottlenecks is defined as $\{C_2, C_3, C_4, C_5\}$ for conv2, conv3, conv4 and conv5 outputs, and note that
165 conv1 is excluded for the pyramid due to the large memory consuming for the massive feature map.

The top-down pathway is used to combine different levels of feature maps extracted from bottom-up pathway. Feature map from the highest pyramid level, which is semantically stronger but spatially coarser, is upsampled to fit the
170 size of lower-level feature maps in feature pyramid, which are higher resolution but only contains low-level deep features. The upsampled map is then merged with the corresponding bottom-up maps (a 1×1 convolutional layer is added behind each bottom-up map to reduce channel dimensions) by element-wise addition. The process is iterated until the last (finest resolution) merged map
175 is generated. The set of final feature maps is defined as $\{P_2, P_3, P_4, P_5\}$, which is corresponding to $\{C_2, C_3, C_4, C_5\}$ in the same spatial size respectively.

3.2. Faster R-CNN

Detecting concrete visual objects in images has been widely studied in computer vision [40, 41, 35]. We briefly review the Faster R-CNN model [35], which
180 is used to extract emotional region from the image in this work. Faster R-CNN is a two-stage detector mainly consisting of three major parts: shared bottom convolutional layers which is FPN in our model, a region proposal network (RPN) and a classifier built for region-of-interest (ROI). The detailed structure is shown in the left part of Figure 2.

185 First, an input image is represented as multi-scale feature maps which combine different levels of deep features by FPN. Then, RPN generates candidate object proposals based on the feature maps. Since we replace the single-scale feature map using in Faster R-CNN with multi-scale feature maps, single-scale anchors with size $\{32^2, 64^2, 128^2, 256^2\}$ pixels are applied for multi-level
190 feature maps $\{P_2, P_3, P_4, P_5\}$ with different receptive fields respectively. Finally,

ROI-pooling is used to extract features representing ROI and ROI-wise classifier predicts the category label based on the features. The training loss is composed of two terms:

$$L_{det} = L_{obj} + L_{reg} \quad (1)$$

here L_{obj} is the classification loss over two classes (if the candidate object region contains an object or not). $L_{reg}(t_i, t_i^*) = R(t_i - t_i^*)$ is the regression loss on the box coordinates for better localization, in which t_i is a 4-d vector representing the coordinates of the predicted bounding box, t_i^* is the coordinates of the ground-truth box and R is the robust loss function(L1 smooth) defined in [34]. More detailed information about the architecture and training procedure of Faster R-CNN can be found in [35].

4. Emotion Analysis using Multi-level R-CNN

4.1. Emotional Region Extraction

Detecting concrete visual objects in images has been widely studied in computer vision [40, 41, 35]. However, compared to object detection, detecting emotional content is extremely challenging. The main difficulty is that both the concrete objects and the surrounding background contribute to image emotions [30, 42]. Due to the strong co-occurrence relationships between objects and local emotional regions [43], we could still utilize object detection methods to select potential emotional regions. However, to select proper emotional regions from the candidate object proposals generating through RPN, we modify the Faster R-CNN to contain emotional information as shown in Figure 2(b).

Following the definition of objectness score S_{obj} in [35], which measures the membership to set of object classes *vs.* background, we define emotion score S_{emo} to evaluate the probability of a region evoking emotions. To compute emotion score, a binary class label (of emotional region or not) is assigned to each anchor in RPN. The positive label is assigned to an anchor with the highest Intersection-over-Union (IoU) overlap with a ground-truth emotional

region or an anchor that has an IoU overlap higher than 0.7 with any ground-truth emotional region. The negative label is assigned to anchor with IoU
 220 overlap lower than 0.3 with any ground-truth emotional regions. Using the samples collected from RPN, a softmax classifier can be trained to predict to probability p_{emo} of the region evoking emotions.

In Faster R-CNN, we introduce the emotional region classifier into the ROI-wise classifier and fix the object classifier. The new training loss function is:

$$L_{det}^* = L_{emo} + L_{reg} \quad (2)$$

225 where L_{emo} is the classification loss of the RoI being an emotional region or not. Therefore, the RoI-wise classifier can compute both the probability of the RoI evoking emotions p_{emo} and the probability of the ROI containing an object p_{obj} . The Faster R-CNN can train on the loss L_{det}^* related to emotional regions.

As we mentioned before, considering the emotional region is related to the
 230 probability of the region containing an object p_{obj} and the probability of the region evoking emotions p_{emo} , the emotion score of the region can be computed considering both probabilities:

$$S_{emo} = \sqrt{p_{emo}^2 + p_{obj}^2} \quad (3)$$

The proposed emotion score S_{emo} can reflect how likely a region evoking emotions. The 10 regions with highest emotion score are selected as the emo-
 235 tional regions of the image and used for image emotion classification.

4.2. Emotion Distribution Estimation

The majority voting strategy is widely employed to obtain the ground truth emotional label for most of affective image datasets [5, 8]. Many images in these datasets have emotional labels with probabilities instead of hard emotional
 240 labels. To handle the impact of labeling image emotions with emotion class probabilities, we consider to either estimate an emotion distribution based on label probabilities or directly import label probabilities into a loss function for

training. For emotion distribution estimation, since the subjectivity existing in humans’ emotional response to images, the emotional response to an image is
 245 more likely a distribution of several emotions rather than a single emotion.

Inspired by the study of emotion theory [44], the degree of similarity between two emotions, which determines the relationship of the two emotions, from similar to complete opposite, can be represented through Mikels’ Wheel [10]. Figure 4 shows Mikels’ wheel and the method to compute emotion distance revealing
 250 the similarity between two emotions. The low distance d_{ij} between emotion i and emotion j indicates that the two emotions are similar to each other. Using Mikels’ Wheel as weak prior knowledge, we can assign the probability of different emotion classes to an image based on the dominant emotion class of that image. Therefore, if we denote the image has an dominant emotion j with
 255 probability p_j^* , the emotion distribution for the i -th emotion of the image can be generated through triangular distribution as shown in Figure 2(c):

$$f(i) = \begin{cases} p_j^* & i = j \\ \frac{\frac{1}{d_{is_{ij}}}(1-p_j)}{\sum_{i \neq j} \frac{1}{d_{is_{ij}}}} & i \neq j \end{cases} \quad (4)$$

in which, the emotion classes being closer to the dominant emotion class are assigned with higher probabilities. The sum of all emotion class probabilities $\sum f(i)$ is normalized to 1.

260 4.3. Classifier and Loss Function

Through Faster R-CNN, a set of local deep representations of emotional regions is collected $\{X_{local}\}_{j=1}^K$, where K is the number of emotional regions extracted from one image. Considering that an image may not contain many local emotion regions, we only include top-ranked major emotion regions for
 265 classification, by setting $K = 10$. The global deep representation of the whole image X_{global} extracted from the ResNet101 is concatenated with the local deep

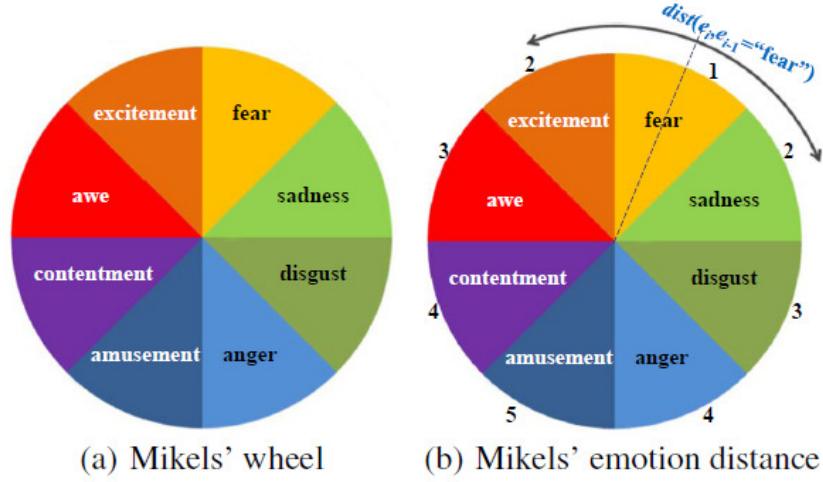


Figure 4: Mikels' emotion wheel and example of emotion distance [10].

representations $\{X_{local}\}_{j=1}^K$:

$$X = [X_{global}, \{X_{local}\}_{j=1}^K] \quad (5)$$

Followed by a softmax layer, X is transformed into a probability distribution of different emotions, where the emotion category with the highest probability is considered as the predicted label of the image. Considering the two methods
 270 is considered as the predicted label of the image. Considering the two methods (as discussed in Section 4.2) deal with the subjectivity existing in emotions, two loss functions can be applied in our approach.

Multi-task Loss: Taking both emotional label and estimated emotion distribution into account, the multi-task loss function consists two terms:

$$L_{multi} = (1 - \lambda)L_{cls} + \lambda L_{ed} \quad (6)$$

275 where L_{cls} is the traditional classification loss, which can be computed as:

$$L_{cls} = - \sum_i y_i \log(p_i) \quad (7)$$

where $y = \{y_i | y_i \in \{0, 1\}, i = 1, \dots, n, \sum_{i=1}^n y_i = 1\}$ indicates the ground-truth

label of the image, and p_i is the probability of an image belonging to the i th emotion category.

L_{ed} is the loss from emotion distribution $f(i)$. We employ the KL loss defined in [45]. λ controls the trade-off between the two weights. The KL loss is the measurement of the similarity between the emotion distribution $f(i)$ and the predicted emotion distribution p_i :

$$L_{ed} = - \sum_i f(i) \log(p_i) \quad (8)$$

The loss function can be optimized by stochastic gradient descent(SGD). We define $\{a_i|i = 1, 2, \dots, N\}$ to be the activation values of class i in the last fully connected layer. The gradient can be computed by:

$$\begin{aligned} \frac{\partial L}{\partial a_i} &= (1 - \lambda) \sum_i \frac{\partial L_{cls}}{\partial p_i} \frac{\partial p_i}{\partial a_i} + \lambda \sum_i \frac{\partial L_{ed}}{\partial p_i} \frac{\partial p_i}{\partial a_i} \\ &= p_i + (1 - \lambda)y_i + \lambda f(i) \end{aligned} \quad (9)$$

Loss with Probability: Another instinctive thought to deal with label with probability is to directly introduce label probability into loss function. Similar to [46], the classification loss with probability L_p can be defined as:

$$L_p = - \sum_i y_i \log(p_i^\theta), p_i^\theta = \frac{\exp(p_j^{*2} \cdot p_i)}{\sum_i \exp(p_j^{*2} \cdot p_i)} \quad (10)$$

The class prediction is weighted by the label probability p_j^* . By introducing the explicit simplifying assumption $p_j^* \sum_i \exp(p_j^{*2} \cdot p_i) \approx (\sum_i \exp(p_i))^{p_j^*}$ which becomes equal when $p_j^* \rightarrow 1$, the classification loss with probability can be rewritten as:

$$\begin{aligned} L_p &= - \sum_i \log(\exp(p_j^{*2} \cdot p_i)) + \log(\sum_i \exp(p_j^{*2} \cdot p_i)) \\ &\approx -p_j^{*2} \sum_i y_i \log(p_i^\theta) + \log\left(\frac{1}{p_j^{*2}}\right) \end{aligned} \quad (11)$$

The label with lower probability P_j^* will reduce the contribution of the classification loss. With the above equation, label probability is introduced into loss

295 for training.

5. Experiments and Results

In this section, we evaluate our model against state-of-the-art emotion classification methods through comprehensive experiments to demonstrate the effectiveness of our framework for different emotion classification tasks.

300 5.1. Dataset

Our experiments are carried out on normally used image emotion datasets:

Flickr and Instagram (FI)(8 categories)[8]: This dataset is collected from social websites using the names of emotion categories as searching keywords. Workers from Amazon Mechanical Turk (AMT) are then hired to further label the images. Finally, 23,308 well-labeled images are collected for emotion recognition¹.

EmotionRoI(2 categories)[36]: The dataset contains 1,980 affective images from Flickr with labeled emotional regions. This dataset can be used for training the R-CNN.

310 **IAPSsubset**(8 categories)[5]: The *International Affective Picture System*(IAPS) is a standard stimulus image set, which has been widely used in affective image classification. IAPS consists of 1,182 documentary-style natural color images depicting complex scenes, such as portraits, puppies, babies, animals, landscapes and others. Among all IAPS images, Mikels et al. [26] selected 395 images and mapped arousal and valence values of these images to the above mentioned 315 eight discrete emotion categories.

ArtPhoto(8 categories)[5]: In the ArtPhoto dataset, 806 photos are selected from some art sharing sites by using the names of emotion categories as the search terms. The artists, who take the photos and upload them to the 320 websites, determine emotion categories of the photos. The artists try to evoke

¹We have 23,164 labeled images as some images no longer exists on the Internet.

a certain emotion for the viewers of the photo through the conscious manipulation of the emotional objects, lighting, colors, etc. In this dataset, each image is assigned to one of the eight aforementioned emotion categories. Considering the generalization of our model, we demonstrate the effectiveness of the components and adjust the parameters in our model on **FI** dataset, which contains over 20,000 different kinds of affective images, rather than other 4 small datasets, each of which only contains several hundreds of affective images for a specific domains.

Abstract(8 categories)[5]: This dataset consists of 228 abstract paintings. Unlike the images in the IAPS-Subset and ArtPhoto dataset, the images in the Abstract dataset represent the emotions through overall color and texture, instead of some emotional objects. In this dataset, each painting was voted by 14 different people to decide its emotion category. The emotion category with the most votes was selected as the emotion category of that image.

5.2. Implementation Details

The backbone network of our model is the FPN [37]. In this paper, we follow a two-step training strategy. At the first step, we use the same strategy as in [37] to fine-tune the multi-level R-CNN on COCO pretrained weights using the EmotionROI dataset. Note, the aspect ratio of anchor is set to $\{1:1\}$. At the second step, the learning rate of the last two fully-connected layers are initialized as 0.001 and fine-tuned by SGD. The batch size is 128 and a total of 100 epochs are run to update the parameters. All the experiments are carried out on four NVIDIA GTX 1080 GPUs with 32GB of GPU memory.

5.3. Baseline

We compare the proposed framework with the state-of-the-art methods for image emotion classification, which use various features, including hand-crafted features and deep features.

5.3.1. Hand-crafted features

- **GCH/LCH/GCH+BoW/LCH+BoW**[4]: 64-bin color histogram features for global view(GCH) and local view(LCH), and with SIFT-based bag-of-words features.
- **Zhao**[6]: low-level and mid-level features based on principle of art.
- **Rao(a)**[13]: SIFT-based bag-of-visual features for both global and local view based on the image blocks extracted from images.
- **SentiBank**[27]: 1200-dim adjective noun pairs(ANPs) features as mid-level representation with linear SVM classifier.

5.3.2. Deep features

- **AlexNet**[7]: AlexNet fine-tuned on ImageNet pre-trained weights.
- **VGG-16**[38]: VGGNet fine-tuned on ImageNet pre-trained weights.
- **ResNet101**[39]: ResNet Fine-tuned on ImageNet pre-trained weights.
- **DeepSentiBank**[47]: 2,089-dim ANPs features based on CNN.
- **PCNN**[48]: a novel progressive CNN architecture based on VGGNet [38].
- **Rao(b)**[9]: a CNN architecture based on AlexNet with side branch to utilize multi-level deep features.
- **Zhu**[19]: a unified CNN-RNN architecture for visual emotion recognition.

5.4. Experimental Validation

For methods using deep features, we first fine-tune them on the large scale dataset(**FI**). The **FI** dataset is split randomly into 80% training, 5% validation and 15% testing sets. For the 4 datasets(**FI**, **IAPSsubset**, **ArtPhoto**, **Abstract**), with 8 emotional categories(positive emotion *Amusement*, *Awe*, *Contentment*, *Excitement* and negative emotion *Anger*, *Disgust*, *Fear*, *Sadness*), we can convert them to 2 emotional categories with labeling 4 positive emotions

as positive and 4 negative emotions as negative. To compare the results for all datasets, we present the classification results for both 8 emotional categories and 2 emotional categories.

5.4.1. *The effectiveness of local emotional region:*

To demonstrate the effectiveness of considering the proposed local emotional regions. We design experiments performed on the **FI** dataset to compare: 1) ResNet101[39] only using the global feature extracted from the last convolutional layer; 2) our framework only with features extracted from object regions extracted using Faster R-CNN with FPN[37]. 3) our framework only with features extracted from emotional regions; 4) our framework with object regions extracted using Faster R-CNN with FPN; and 5) our framework with features extracted from both the whole image and emotion regions. Table 1 shows the performance of the five different methods on the test set of **FI**. As shown in table 1, compared to ResNet101, our method with object regions improves the performance by 7.65% for 8 classes **FI** and 7.08% for 2 classes **FI** and our method with emotional regions improves the performance by 14.64% and 12.84%. This reveals that emotional information from local regions can largely improve the emotion classification accuracy than a single-column CNN-based global features extracted from the whole image. However, the emotion recognition performances reduced significantly without using features extracted from global view. This demonstrates the effectiveness of the global features extracted from the whole image.

Although both our framework with object regions and that with emotion regions improve the emotion classification performance, it is clear that using emotional regions in our method outperforms than using object regions by 6.99% and 5.76% for 8 classes **FI** and 2 classes **FI** respectively. The results of our methods only using local features extracted from object regions and emotional regions also indicate that emotional regions contains more emotional information than object regions

Figure 5 shows examples of object regions and emotion regions. we can find

Table 1: Classification accuracy for both 8 classes and 2 classes on the test set of **FI**. Our method with different configurations, *i.e.*, combining with object region and emotional region is compared with single column ResNet101 without local information and using object region and emotional region as local information only.

Method	FI (8 classes)	FI (2 classes)
ResNet101	60.82%	74.67%
object regions only	54.82%	88.44%
emotional regions only	59.78%	72.57%
Ours+object regions	68.47%	81.75%
Ours+emotional regions	75.46%	87.51%

that emotional regions are larger than object regions by containing objects and the surrounding background which may evoke emotions.

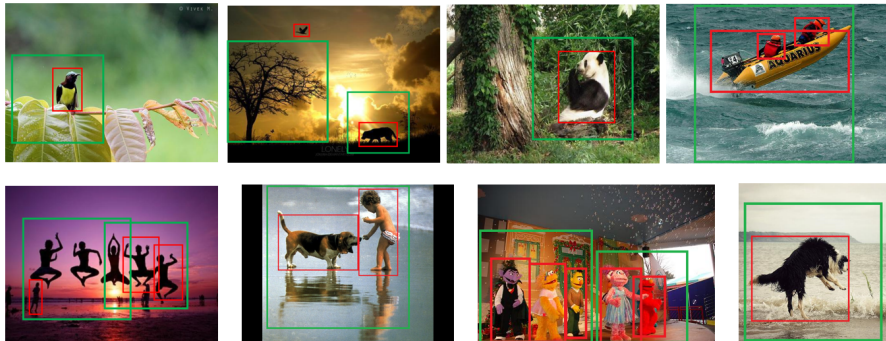


Figure 5: Examples of object regions with highest objectness scores (red bounding box) and emotional regions with the highest emotion probability (green bounding box).

405 In Figure 6, we show the confusion matrix of ResNet101 and our method with
different configuration. It is clearly that applying local information in image
emotion classification can improve the performance and provide a more balanced
classification result for each emotion category. Especially applying emotional
410 regions as local information in our method achieves the best classification result
on most of the emotion categories. This also demonstrate the effectiveness of

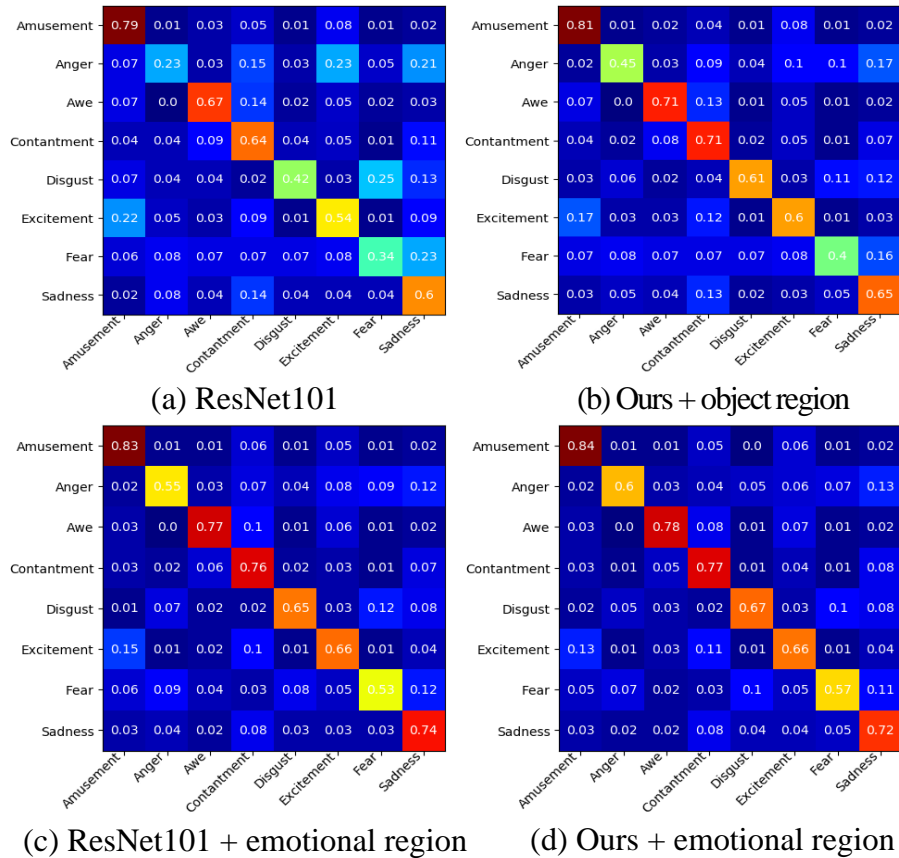


Figure 6: Confusion matrix for our method with different configurations and ResNet101.

the emotional region.

5.4.2. The effectiveness of multi-level features:

Previous methods have already have already indicated that multi-level features can significantly improve the image emotion classification performances [9, 19]. However, the effectiveness of multi-level features in emotional region 415 detection still needs to be proved. Figure 7 performs the detection results on the testset of EmotionROI dataset. We notice that multi-level features improve both performances of object region detection and emotional region detection. The reason is that multi-level framework provides features maps with different

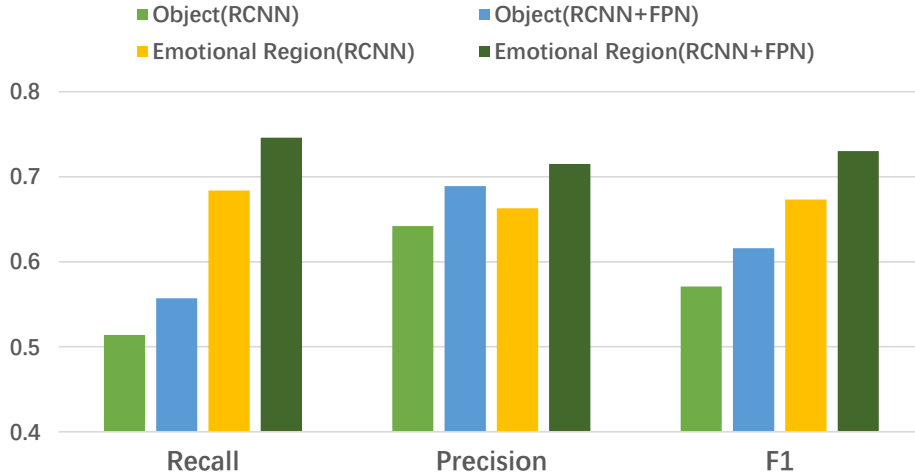


Figure 7: Comparison of Emotional region detection performance on the test set of Emotion-ROI dataset using object detection methods and emotional region detection methods with single level features and multi-level features.

420 scales of respective fields, which can effectively detect objects with different size in an image. What’s more, the multi-level features can improve the accuracy of predicting emotional score [9], which can further promote the emotional region detection performance.

5.4.3. Choice of the loss functions:

425 As we have discussed earlier, the subjectivity of the emotion is one of the main challenges for visual emotion recognition. Compared to traditional softmax loss L_{cls} widely used in different CNN models, the two loss functions we introduced before both taking label probability into account. We conduct experiments on the **FI** dataset for popular CNN model and our method using the
 430 aforementioned loss functions. The results are shown in Table 2. Though both L_{multi} and L_p introduce label probability into loss function, the performances of them are quite different. For L_p , the classification performance is worse than using L_{cls} while the performance of using L_{multi} is 2% better than that of using L_{cls} . The main reason is that, compared to L_p , L_{multi} introduces inter-

Table 2: Classification accuracy for both 8 classes and 2 classes on the test set of **FI** using popular CNN models and our method with traditional softmax loss(L_{cls}), multi-task loss(L_{multi}) and loss with probability(L_p).

Method	FI (8 classes)	FI (2 classes)
AlexNet+ L_{cls}	58.61%	70.44%
ResNet101+ L_{cls}	60.82%	74.67%
Ours+ L_{cls}	73.05%	85.94%
AlexNet+ L_p	57.44%	68.72%
ResNet101+ L_p	59.28%	74.15%
Ours+ L_p	73.58%	86.07%
AlexNet+ L_{multi}	60.32%	72.83%
ResNet101+ L_{multi}	62.77%	77.15%
Ours+ L_{multi}	75.46%	87.51%

435 class relationship, rather than simply abandon the low-probability labels, which contribute to the overall classification performance. Therefore, L_{multi} is more suitable for emotion classification and we apply the multi-task loss function in the following experiments.

5.4.4. Choice of parameter λ :

440 The parameter λ controls the two portion of the proposed loss function. $\lambda = 0$ means the proposed loss function is equal to cross entropy loss and $\lambda = 1$ means the proposed loss function is equal to KL loss. Considering the estimate emotion distribution \hat{p}_i generated only using label probability and weak prior knowledge of emotion distance defined in Mikels' wheel(Figure 4), we do not
 445 recommend to set the parameter λ too high. Figure 8 shows the effectiveness of parameter λ in the proposed loss function. When λ increases from 0 to 0.4, the classification performance is improved dramatically. However, further increasing over 0.5 leads to significant decreasing of the accuracy, since the large weight of L_{ed} introduces excess ambiguity. Therefore, we choose $\lambda = 0.4$ in all our

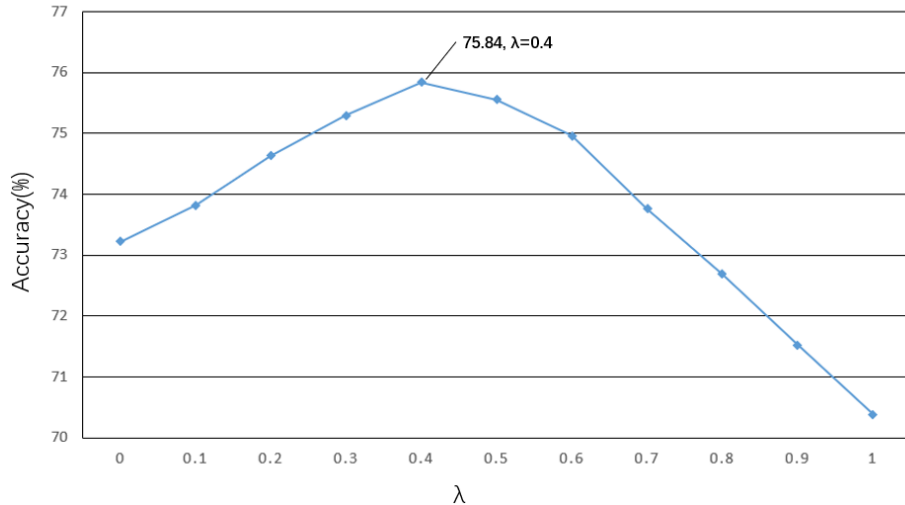


Figure 8: Impact of different λ on the validation set of the **FI** dataset. $\lambda = 0.4$ achieves the best performance and we choose it in all our experiments.

450 experiments for a comprehensive considering of the hard emotional label and emotion distribution.

5.5. Comparison with State-of-the-art Methods

We represent the results of our method and state-of-the-art methods on the aforementioned 5 datasets(**FI**, **EmotionROI**, **IAPSsubset**, **ArtPhoto** and **Abstract**). For fair comparison with **EmotionROI** dataset, which only has two emotional classes, we show the classification performance of the other 4 datasets for both 8 classes and 2 classes. The label conversion method is introduced in Section 5.4. For the small-scale datasets(**IAPSsubset**,**ArtPhoto**,**Abstract** and **EmotionROI**), we can transfer the parameters of deep learning methods on the **FI** dataset. We follow the same experimental settings described in [5]. Due to the imbalanced and limited number of images per emotion category, we employ the "one against all" strategy to train the classifier. The image samples from each category are randomly split into five batches and 5-fold cross validation strategy is used to evaluate the different methods.

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Table 3: Classification results for different state-of-the-art methods on 5 different datasets. For **FI**, **IAPSSubset**, **ArtPhoto** and **Abstract**, we present classification results for both 2 classes and 8 classes.

Method	FI		IAPSSubset		ArtPhoto		Abstract		EmotionROI	
	8 classes	2 classes	8 classes	2 classes	8 classes	2 classes	8 classes	2 classes	8 classes	2 classes
GCH[4]	34.76%	47.95%	55.15%	69.96%	52.14%	66.53%	54.74%	67.33%	66.85%	66.85%
LCH[4]	32.42%	45.37%	43.15%	52.84%	50.41%	64.33%	55.45%	70.93%	63.79%	63.79%
GCH+BoW[4]	36.63%	50.05%	57.18%	71.63%	57.41%	71.30%	54.26%	68.92%	67.48%	67.48%
GCH+BoW[4]	34.58%	48.26%	47.61%	56.07%	52.05%	66.72%	58.39%	72.48%	65.67%	65.67%
Zhao[6]	46.52%	58.42%	63.61%	65.77%	66.37%	68.42%	60.60%	66.23%	73.45%	73.45%
Rao(a)[13]	51.67%	62.79%	70.32%	78.34%	69.74%	71.53%	62.17%	67.82%	74.51%	74.51%
SentiBank[27]	44.49%	56.47%	73.58%	80.57%	53.96%	67.33%	50.68%	64.30%	65.73%	65.73%
AlexNet[7]	58.61%	68.63%	72.24%	84.58%	67.03%	69.27%	61.96%	65.49%	71.60%	71.60%
VGG-16 [38]	59.75%	73.95%	74.78%	87.20%	68.16%	70.48%	62.41%	65.88%	72.49%	72.49%
ResNet101[39]	61.82%	75.76%	75.09%	88.15%	69.36%	71.08%	63.56%	66.64%	73.92%	73.92%
DeepSentiBank[47]	53.16%	64.39%	75.88%	86.31%	68.54%	70.26%	66.46%	69.07%	70.38%	70.38%
PCNN[48]	56.16%	73.59%	76.87%	88.65%	68.93%	71.47%	67.17%	70.26%	74.06%	74.06%
Rao(b)[9]	67.24%	79.54%	78.08%	90.53%	69.75%	74.83%	67.81%	71.96%	78.99%	78.99%
Zhu[19]	73.03%	84.26%	82.39%	91.38%	71.63%	75.50%	68.45%	73.88%	80.52%	80.52%
Ours	75.46%	87.51%	84.71%	93.66%	74.58%	78.36%	70.77%	77.28%	82.94%	82.94%

465 Table 3 shows the comparisons of our methods to several state-of-the-art methods, including methods using hand-crafted features and deep features. It is clear that methods using deep features outperform methods using hand-crafted feature on large-scale dataset **FIs**. However, hand-crafted features show their effectiveness for some specific kinds of images on small-scale dataset.

470 For hand-crafted features, low-level feature like color are very suitable to classify abstract paintings, which mainly consist of color and texture. While for other kinds of images, simple color feature seems not enough for emotion classification. Multi-level features are combined in Zhao’s method [6] and achieve acceptable result for the small-scale dataset. The reason is that image emotion
475 is related to various kinds of visual features from different levels, comprehensive consideration of different visual features can benefit the classification result. In Rao(a) [13], local emotional region is extracted using image segmentation method and represented with SIFT feature and bag-of-words. SIFT feature is a texture representation, which can be used to detect concrete objects, *e.g.* face,
480 building, animal *etc.*. The performance of the method demonstrates the effectiveness of both concrete objects and local regions for image emotion analysis.

For deep features, the performances of three popular CNN frameworks, which are AlexNet[7], VGGNet[38] and ResNet[39] are first compared. We can find that as the CNN goes deeper, the emotion classification accuracy just
485 slightly improves. The results show that high-level image semantics cannot be used for image emotion classification independently. Other deep methods utilize only one kind of features, like DeepSentibank[47] and PCNN[48] also show limited performance. Both Rao(b)[9] and Zhu[19] utilize the multi-level deep features extracted from different level of CNN and achieve relatively high per-
490 formance. Except the multi-level features, the regional information contained in lower levels of convolutional layers also contributes to the improvement.

Employed both multi-level deep features and local emotional regions, our framework outperforms both hand-crafted feature based methods and deep approaches in all datasets. Also our method shows a robust performance on differ-
495 ent kinds of images, such as abstracting paintings consisting of color and texture

and images from **IAPSsubset** whose emotions are evoked by certain objects. This means our method effectively combine different levels of visual features from both global and local view.

6. Conclusion

500 In this paper, we investigate the problem of image emotion recognition. Inspired by the observation that multi-level features and local regions with high emotional response contribute much to image emotion, we propose a framework to automatically detect emotional regions on multi-level deep feature maps. The local emotional information extracted from emotional regions is combined with
505 global information extracted from the whole image for image emotion classification. We also utilize the label probability of the affective images to leverage the ambiguity and subjectivity of the emotional labels. The experimental results show that our method outperforms the state-of-the-art methods on different affective image datasets.

510 As shown before, the detected affective regions contain not only the object, but also the surrounding areas of the object. Therefore, the emotion can be used as an anchor in the detection tasks like saliency detection [49], co-saliency detection [50], and object detection [51, 52] in future research. Besides, an image may evoke multiple emotions in the same time. For future study, we plan to
515 exploit relationship between different emotions to predict emotion distribution more precisely. We will also try to apply multi-label learning in image emotion analysis.

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