# UNIVERSITY OF TECHNOLOGY SYDNEY Faculty of Engineering and Information Technology

# SECURING DATA TRANSMISSION IN INTERNET OF THINGS

by

### Xuan Zha

A Thesis Submitted in Partial Fulfillment of the Requirements for the Degree

Doctor of Philosophy

Sydney, Australia

Certificate of Authorship/Originality

I, Xuan Zha declare that this thesis, is submitted in fulfilment of the requirements

for the award of Doctor of Philosophy, in the Faculty of Engineering and Information

Technology at the University of Technology Sydney. This thesis is wholly my own

work unless otherwise reference or acknowledged. In addition, I certify that all

information sources and literature used are indicated in the thesis. I certify that

the work in this thesis has not been previously submitted for a degree nor has

it been submitted as a part of the requirements for other degree except as fully

acknowledged within the text. This thesis is the result of a research candidature

jointly delivered with Beijing University of Posts and Telecommunications as part

of a Collaborative Doctoral Research Degree. This research is supported by the

Australian Government Research Training Program.

**Production Note:** 

Signature: Signature removed prior to publication.

Date: 26/09/2019

© Copyright 2019 Xuan Zha

## Dedication

To my dear husband

To my loving parents

To my supportive supervisors

To my wonderful friends

## Acknowledgements

I sincerely convey my deepest gratitude to my principal supervisor Prof. Y. Jay Guo for his experienced supervision throughout my doctoral program. I would show my honest and sincere appreciation to my co-supervisor Prof. Ren Ping Liu and Dr. Wei Ni from Commonwealth Scientific and Industrial Research Organisation (CSIRO). Without their consistent support and supervision, I would not have been able to complete this thesis. I would also like to express my honest appreciation to my supervisors at Beijing University of Posts and Telecommunications (BUPT) for their experienced supervision and continuous encouragement.

I thank the University of Technology Sydney (UTS) and the Faculty of Engineering and IT (FEIT) for providing me an IRS Scholarship throughout my doctoral program. I would also like to thank staff members, previous and current colleagues, friends at UTS and CSIRO for their kind help. Special thanks to Dr. Xu Wang, Dr. Bo Song, Dr. Saber Yu, Dr. Ping Yu, Dr. Shangjing Lin, Dr. Chen Qing, Dr. Shoulu Hou, Dr. Xin Yuan, Dr. Zishan Liu, Dr. Xinchen Lyu, Dr. Haihan Sun, Dr. Fangfang Dai, and Dr. Yixun Hu.

Last but not least, I am deeply grateful to my husband Xiaoming Peng, my mother Guiying Zhang, my father Rihui Zha, my aunt Wenfang Zha and the rest of my family for their support and constant encouragement.

Xuan Zha Sydney, Australia, 2019.

### List of Publications

The author has published four journal papers, including two IEEE transaction journal papers, and three international conference papers, as the (co-)first author. The impact factor (IF) of the journal papers is also stated\*.

#### Journal Papers

- J-1. X. Zha, W. Ni, X. Wang, R. P. Liu, Y. J. Guo, X. Niu and K. Zheng, "The Impact of Link Duration on the Integrity of Distributed Mobile Networks," *IEEE Transactions on Information Forensics and Security*, vol. 13, no. 9, pp. 2240-2255, Sep. 2018. (IF: 5.824)
- J-2. X. Zha, W. Ni, K. Zheng, R. P. Liu and X. Niu, "Collaborative Authentication in Decentralized Dense Mobile Networks With Key Predistribution," *IEEE Transactions on Information Forensics and Security*, vol. 12, no. 10, pp. 2261-2275, Oct. 2017. (IF: 5.824)
- J-3. X. Wang, X. Zha, W. Ni, R. P. Liu, Y. J. Guo, X. Niu and K. Zheng, "Survey on Blockchain for Internet of Things", Computer Communications, vol. 136, pp. 10-29, Feb. 2019 (IF: 2.613) (X. Zha and X. Wang contributed equally to this paper)
- J-4. X. Zha, X. Wang, W. Ni, R. P. Liu, Y. J. Guo, X. Niu and K. Zheng, "Blockchain for IoT: the Tradeoff between Consistency and Capacity", *China Journal on Internet of Things*, vol. 1, no. 1, pp. 21-33, 2017
- J-5. X. Wang, **X. Zha**, W. Ni, R. P. Liu, Y. J. Guo, X. Niu and K. Zheng, "Game Theoretic Suppression of Forged Messages in Online Social Networks," *IEEE Transactions on Systems*, Man, and Cybernetics: Systems, Accepted, 2019.

<sup>\*</sup>IF: Impact Factor. Refer to http://wokinfo.com/essays/impact-factor/ for details.

J-6. K. Zheng, X. Wang, X. Zha and H. Xiao, "A New Network Coding Mechanism Balancing Coding Opportunities, Energy and QoS in WSNs," China Communications, vol. 11, no. 6, pp. 108-118, June 2014.

#### **Conference Papers**

- C-1. X. Zha, X. Wang, W. Ni, R. P. Liu, Y. J. Guo, X. Niu and K. Zheng, "Analytic Model on Data Security in VANETs", Int. Symposium on Communications and Information Technologies, pp. 1-6, Sep. 25-27, 2017
- C-2. X. Zha, K. Zheng, D. Zhang, "Anti-Pollution Source Location Privacy Preserving Scheme in Wireless Sensor Networks,", Proc. IEEE Int. Conf. on Sensing, Communication, and Networking (SECON), pp. 1-8, Jun. 27-30, 2016
- C-3. **X. Zha**, W. Ni, R. P. Liu, K. Zheng and X. Niu, "Secure Data Transmission and Modelling in Vehicular Ad Hoc Networks", *Proc. IEEE Int. Conf. on Globecom Workshop*, pp. 1-6, Dec. 6-10, 2015.
- C-4. X. Wang, X. Zha, G. Yu, W. Ni, R. P. Liu, Y. J. Guo, X. Niu and K. Zheng, "Attack and Defence of Ethereum Remote APIs", Proc. IEEE Int. Conf. on Globecom Workshop, Dec. 9-13, 2018
- C-5. G. Yu, X. Wang, X. Zha, J. A. Zhang, R. P. Liu, "An Optimized Round-Robin Scheduling of Speakers for Peers-to-Peers-based Byzantine Faulty Tolerance", Proc. IEEE Int. Conf. on Globecom Workshop, Dec. 9-13, 2018

# Contents

	Certificate	ii
	Dedication	iii
	Acknowledgments	iv
	List of Publications	v
	List of Figures	xi
	Abbreviation	ciii
	Abstract	κiv
1	Introduction	1
	1.1 Internet of Things	1
	1.2 Typical IoT Networks	4
	1.2.1 Wireless Sensor Networks	4
	1.2.2 Vehicular Ad hoc Networks	6
	1.3 Research Motivation	8
	1.4 Contributions of the Work	10
	1.5 Thesis Organization	13
2	Background Studies and Related Works 1	15
	2.1 Survey on IoT Security	15
	2.2 Related Works	20
	2.2.1 Source Location Privacy	20

	٠	•	•	
V	1	1	1	

		2.2.2	Secure Data Transmission	23
		2.2.3	Analysis Model	26
	2.3	Summa	ary	27
3	An	nti-Pol	llution Source-Location Privacy Protection in	1
	$\mathbf{M}_{1}$	ulti-ho	op IoT Networks	28
	3.1	Introdu	action	28
	3.2	Networ	k Model	29
		3.2.1	Target Network and Attack Model	29
		3.2.2	Network Coding based Transmission Model	30
	3.3	Propos	ed Anti-Pollution Source-Location Privacy Preserving Scheme .	32
		3.3.1	Key Predistribution Mechanism	34
		3.3.2	Homomorphic Signature Algorithm	36
	3.4	Securit	y Analysis	42
	3.5	Perform	nance Evaluation	45
	3.6	Summa	ary	48
4 Design and Analysis of Encrypted Data Transmission			1	
	$\mathbf{Pr}$	otoco	l in Distributed IoT	50
	4.1	Introdu	action	50
	4.2	Propos	ed Encrypted Data Transmission Protocol	52
	4.3	3D Ma	rkov Chain Modelling and Performance Metrics	55
		4.3.1	3D Markov Chain Modelling	55
		4.3.2	Transition Probability and Stationary Probability	56
		4.3.3	Collision Probability	60
		4.3.4	Transmission Success Rate	61

		4.3.5 Se	ecure Transmission Success Rate	. 62
	4.4	Numerical	Result	. 63
	4.5	Summary		. 68
5	De	sign an	d Analysis of Opportunistic Authentication	n
	$\mathbf{Pr}$	otocol w	vith Key Predistribution	69
	5.1	Introducti	on	. 69
	5.2	Key Predi	stribution and Authentication Protocol	. 71
		5.2.1 N	etwork Setup	. 71
		5.2.2 K	ey Predistribution	. 71
		5.2.3 Th	ransmission and Authentication	. 73
	5.3	Modelling	and Authentication Analysis	. 77
		5.3.1 A	uthentication Success Rate	. 86
		5.3.2 A	uthentication Delay	. 86
		5.3.3 A	uthenticated Throughput	. 88
	5.4	Resistance	e Analysis against Collusion Attacks	. 88
	5.5	Numerical	Result	. 90
	5.6	Summary		. 99
6	In	npact of	Link Duration on the Integrity of Distribute	d
	M	obile Ne	tworks	101
	6.1	Introducti	on	. 101
	6.2	On-the-fly	Authentication Protocol	. 103
		6.2.1 N	etwork Setup	. 103
		6.2.2 C	ommunication and Authentication	. 105
	6.3	Proposed	4D Markov Model	. 106

	Bi	bliogra	aphy	141
	7.2	Future	Work	. 138
	7.1	Contrib	oution	. 134
7	Co	onclusi	ion	134
	6.6	Summa	ary	. 132
	6.5	Numer	ical Result	. 126
		6.4.3	Design 3: Collision-aware Retransmission and Rekeying	. 121
			Rekeying	. 117
		6.4.2	Design 2: IEEE 802.11 Compatible Joint Retransmission and	
			Rekeying	. 111
		6.4.1	Design 1: IEEE 802.11 Compliant Retransmission and	
	6.4	Embod	liment of the Proposed Model	. 110
		6.3.2	Unexpired Messages Between Cycles	. 109
		6.3.1	Modeling of an Authentication Cycle	. 107

# List of Figures

3.1	Flowchart of proposed Anti-Pollution Source-Location Privacy	
	Preserving scheme (AP-SLP)	33
3.2	The possibility that adversaries trace back to the source location	
	based on asymmetric key identities.	44
3.3	Comparison of the message delivery ratio	46
3.4	Message delivery ratio of AP-SLP	47
3.5	Energy consumed per successful packet	48
4.1	Transmission success rate in the case of designated routing protocol,	
	where the key ring size ranges from $1\%K_P$ to $30\%K_P$ , $N=5$ and	
	10, and $r = 0.5k$ and $k$	64
4.2	Secure transmission success rate, where $k$ ranges from $1\%K_P$ to	
	$30\%K_P$ , $N_C$ ranges from 1 to 20	65
4.3	Secure transmission success rate, where $k$ ranges from $1\%K_P$ to	
	$30\%K_P$ , r ranges from $0.05k$ to $k$ , $N_C = 5$	66
4.4	Transmission success rate in the case of opportunistic routing	
	protocol, where the key ring size ranges from $1\%K_P$ to $30\%K_P$ ,	
	$N = 10, 20, 40, \text{ and } r = 1, 2 \dots \dots \dots \dots \dots \dots \dots$	67
5.1	Flowchart of the proposed authentication operations at the	
	transmitter	<b>7</b> 4
5.2	Illustration on the proposed 3D Markov model	78

5.3	Authentication success rate versus N, where $K_2 = 5\% K_P$ and
	$10\% K_P$ , $K_P = 10^4$ and $K_1 = 20$
5.4	Comparison of the authenticated throughput, where $K_P = 10^4$ 93
5.5	Authenticated throughput versus the number of predistributed keys per node $K_2$ , where $N=10,20,40,80,K_P=10^4$ and $L_{\rm pkt}=100$ bytes. 98
5.6	Throughput versus per-message delay, where $K_P = 10^4$ , $K_2 = 5\% K_P$ , $L_{\rm pkt}$ increases from 100 to 4000 bytes 96
5.7	Authenticated throughput versus topology interval, where $K_P=10^4,K_2=5\%K_P,N=40,{\rm and}L_{\rm pkt}=1000$ bytes 97
5.8	Authenticated throughput in the presence of collusion attacks, where $N=20, N_C=10, 80, \text{ and } L_{\rm pkt}=100 \text{ bytes.} \dots 98$
5.9	Comparison between the uses of symmetric and asymmetric keys in terms of robustness against collusion attacks, where the storage per node for the keys is 15 kbytes, $K_P=3\times 10^4$ , and $L_{\rm pkt}=100$ bytes 99
6.1	The general flowchart of on-the-fly authentication protocols 10
6.2	Illustration on the proposed 4D Markov model
6.3	Transmission collision probabilities
6.4	Authenticated throughput in an area, where $N=50,L_{\rm pkt}=100$ bytes, and $K_{\rm pri}=50.$
6.5	Average delay versus the link duration, where $K$ varies from 1 to 20, $N=50$ and $K_{\text{pub}}=25\%K_{\text{P}}$
6.6	Maximum number of neighbours where $T_A$ is no less than $1.7 \times 10^5$ bytes/second and $K_{\text{pub}} = 25\% K_{\text{P}}$
6.7	Authenticated throughput, where $N$ varies from 1 to 100, $K_{\text{pub}} = 25\% K_{\text{P}}$ and $K_{\text{pri}} = 50. \dots 133$

## Abbreviation

IoT: Internet of Things

VANET: Vehicular Ad hoc Network

WSN: Wireless Sensor Network

GEV: Global Encoding Vector

LEV: Local Encoding Vector

2D: Two-dimensional

3D: Three-dimensional

4D: Four-dimensional

CSMA/CA: Carrier Sense Multiple Access/Collision Detection

DCF: Distributed Coordination Function

MAC: Message Authentication Code

ACK: Acknowledgement

NACK: Non-acknowledgement

#### ABSTRACT

#### SECURING DATA TRANSMISSION IN INTERNET OF THINGS

by

#### Xuan Zha

The Internet of Things (IoT) is poised to transform our lives and unleash enormous economic benefit. With the rise in the number of connected IoT devices, the potential vulnerabilities in IoT increase as well. The IoT security faces severe challenges arising from its specific characteristics. This thesis studies the location privacy protection and secure data transmission issues in IoT to ensure the data confidentiality, integrity, non-repudiation and availability. Markov models are proposed to analyse the network performance of secure data transmission mechanisms, providing quantified criteria for selecting appropriate secure transmission protocols in various network environments. The main contributions of this thesis are as follows,

- (1) An anti-pollution source-location privacy scheme is proposed to tackle the conflict between the source-location protection and authentications. The proposed protocol consists of key predistribution mechanisms and a homomorphic signature algorithm, for filtering out polluted and dummy packets at intermediate nodes while concealing the packet trajectory. The proposed protocol improves the message delivery rate and saves energy as compared with previous works.
- (2) A probabilistic encrypted data transmission protocol is proposed to transmit messages in confidentiality in an adaptive manner. It avoids the communication overhead caused by handshaking in previous data encryption protocols. In addition, a three-dimensional (3D) Markov model is constructed to analyse the impact of wireless communication collisions and key predistributions on the performance of encrypted data transmissions. The analysis and simulation results prove the accuracy of the 3D Markov model.

(3) An authentication protocol is proposed in opportunistic routing based IoT networks. In order to improve the authentication efficiency, the proposed protocol generates authentication information based on the combination of the new message and previous non-conflict but unauthenticated messages while attempting different keys. A new 3D Markov model is designed to accurately capture the interaction process among non-coordinated transmissions, key selections and packet lifetime. The proposed protocol substantially improves the tolerance against changing topologies and resistance against collusion attacks, as compared to the prior art.

(4) A four-dimensional (4D) Markov model is designed to analyse the impact of dynamic topology on opportunistic authentication protocols. Three cross-layer data authentication protocols are proposed with opportunistic authentication and channel access coupled to different extent. According to the simulation results, the 4D model is general and accurate. The analysis results prove that opportunistic data authentication protocols significantly improve the authentication rate, reduce authentication delay and enhance scalability to dense mobile distributed networks.

Dissertation directed by Professor Y. Jay Guo Faculty of Engineering and Information Technology