

1           **Why do intrauterine exposure to air pollution and cigarette**  
2                           **smoke increase the risk of asthma?**

3  
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22 **Abstract**

23 The prevalence of childhood asthma is increasing worldwide and increased in-utero exposure  
24 to environmental toxicants may play a major role. As current asthma treatments are not  
25 curative, understanding the mechanisms underlying the aetiology of asthma will allow better  
26 preventative strategies to be developed. This review focuses on the current understanding of  
27 how in-utero exposure to environmental factors increases the risk of developing asthma in  
28 children. Epidemiological studies show that maternal smoking and particulate matter exposure  
29 during pregnancy are prominent risk factors for the development of childhood asthma. We  
30 discuss the changes in the developing foetus due to reduced oxygen and nutrient delivery  
31 affected by intrauterine environmental change. This leads to foetal underdevelopment and  
32 abnormal lung structure. Concurrently an altered immune response and aberrant epithelial and  
33 mesenchymal cellular function occur possibly due to epigenetic reprogramming. The sequelae  
34 of these early life events are airway remodelling, airway hyperresponsiveness, and  
35 inflammation, the hallmark features of asthma. In summary, exposure to inhaled oxidants such  
36 as cigarette smoking or particulate matter increases the risk of childhood asthma and involves  
37 multiple mechanisms including impaired foetal lung development (structural changes),  
38 endocrine disorders, abnormal immune responses, and epigenetic modifications. These make  
39 it challenging to reduce the risk of asthma, but knowledge of the mechanisms can still help to  
40 develop personalised medicines.

41

42 **Keywords: asthma; foetus; placental; smoking; particulate matter.**

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45

46 **Introduction**

47 Asthma is a disease that generally affects 5-20% of children globally (1, 2). It is a complex  
48 condition in which symptoms are mainly caused by bronchoconstriction (3). Airway  
49 constriction occurs rapidly in response to a variety of inhaled substances, for example,  
50 allergens such as pollen and house dust mite, and environmental sources such as dust and  
51 smoke, which usually can be fully or partially reversed by bronchodilators. Pathologically it is  
52 defined by airway remodelling, typified by increased smooth muscle and epithelial layer  
53 thickness, and increased numbers of inflammatory cells. However, the type of inflammation  
54 varies. For example, sputum based phenotyping of inflammation categorises people into  
55 eosinophilic, neutrophilic, or paucigranulocytic asthma. The other factors that can add to the  
56 complexity of asthma including the age of onset, aetiological cause (if known), co-existence of  
57 other respiratory diseases, comorbidities, the degree of reversibility, and the ability for the  
58 symptoms being effectively controlled by pharmaceutical interventions.

59

60 The susceptibility to asthma is complex, which involves both genetic susceptibility,  
61 environmental insults (both pre and post birth), and is further complicated by asthma symptoms  
62 initiating and sometimes ceasing at different ages, as well as differences in asthma prevalence  
63 between the male and female sexes.

64

65 It is known that boys are more susceptible than girls before puberty, but less than girls after  
66 puberty. Many theories exist to explain this phenomena including: dysmaturity due to different  
67 sized lungs in boys and girls, increased allergy (more IgE production in boys), different innate  
68 and adaptive immune responses in boys and girls, and the influence of sex hormones (4-6).  
69 The incidence of asthma is also related to the use of life saving medical interventions in  
70 premature and newborn children such as oxygen supplementation or mechanical ventilation due  
71 to physical permanent damage to the newborn's lungs (7).

72

73 However, it has increasingly been recognised that certain factors during the intrauterine period  
74 affects childhood asthma susceptibility. In particular, maternal smoking (MS) and particulate  
75 matter (PM) exposure (8, 9), are the best described/researched *in-utero* challenges which affect  
76 asthma susceptibility. This review will discuss the current understanding of multiple  
77 mechanisms underlying these two factors, which may help to develop personalised medicines.

78

79 **Epidemiology of asthma**

80 The prevalence of allergic disorders has been rising since the early 1980s. The average global  
81 rate of allergic disorders is 22%, ranging from 15%-35% of the population in different  
82 countries (10). According to the WHO, the number of children with asthma is around 14%  
83 globally (11). Severe asthma is common in children. A recent study reported that the  
84 prevalence of severe asthma was 4.9% in 6-7 years old children, however, the incidence was  
85 increased to 6.9% in 13-14 years olds. These phenomena demonstrated that age is an important  
86 factor for the onset of asthma (12).

87

88 Environmental toxicant exposure during pregnancy is a significant factor that has been shown  
89 to increase the incidence of asthma (13). In particular, maternal smoke exposure (MSE) is the  
90 largest modifiable risk factor for the development of asthma. Although the harmful effect of  
91 smoking is well-known in the general public, smoking mothers find it difficult to quit due to  
92 nicotine addiction, even during pregnancy when nicotine metabolism is faster than non-  
93 pregnant status(14). A systematic review and meta-analysis in the Lancet showed that the top  
94 3 countries with the highest smoking rate during pregnancy are Ireland (38.4%), Uruguay  
95 (29.7%) and Bulgaria (29.4%) (15). Even in Australia where anti-smoking legislation is one of  
96 the most aggressive in the world, the smoking rate in pregnant women is 11.7% (16).

97

98 Epidemiological studies have demonstrated a dose-dependent increase in asthma risk in  
99 offspring due to MSE (Table 1). Currently, several cohort studies have confirmed the  
100 association between MSE and asthma risk in the offspring (17-20). For example, a birth cohort  
101 study has found that women smoking during pregnancy could increase asthma incidence in the  
102 offspring with an adjusted hazard ratio of 1.79 (95% CI 1.20–2.67) (21). The same outcome  
103 has been found in another cohort study where MSE during pregnancy caused higher asthma  
104 risk in the child in the first year of life with an odds ratio (OR) of 1.83 (22). Similarly, a  
105 systematic review of 14 studies revealed a wheezing (OR 1.41 (95% CI 1.19–1.67)) and asthma  
106 risk (OR 1.85 (95% CI 1.35–2.53)) in 2 years old and younger children, followed by a higher  
107 asthmatic risk in 5–18 years old children (OR 1.23 (95% CI 1.12–1.36)) caused by smoking  
108 during pregnancy (18). One study found a strong asthma risk in 14 year old girls whose mothers  
109 smoked during pregnancy, however this was not found in boys (23); whereas a different study  
110 found that boys at the age of 11 are more susceptible to the maternal and postnatal secondhand  
111 smoke (24). These differences might be related to the changes in asthma prevalence in boys  
112 and girls around puberty.

113

114 Around 91% of the world's population are living in the areas where the levels of air pollutants  
115 exceed the WHO limits (25). Epidemiological studies demonstrated a strong association  
116 between pulmonary disease and particular matter (PM) exposure(9). Compared to cigarette  
117 smoking which can be avoided through quitting, the dangers of airborne pollution are hard to  
118 avoid in heavily polluted countries, such as China and India. In China, 74,000 premature deaths  
119 were attributed to PM<sub>2.5</sub> exposure in the year 2013 (26). It was estimated that 22% of these  
120 deaths could have been avoided if indoor PM<sub>2.5</sub> level met National Class I standards (26).

121

122 There are many different types of airborne pollution, but simplistically these can be divided  
123 into gasses and particulate matter (PM). PM is considered as particularly dangerous as  
124 respirable particles can remain airborne over large distances.

125

126 As shown in Table 2, prenatal PM exposure is also associated with childhood asthma. A cohort  
127 study found that prenatal PM<sub>10</sub> exposure could cause pulmonary function changes with higher  
128 minute ventilation in newborns (27). Another birth cohort study including pre-school and  
129 school-age children demonstrated that prenatal PM<sub>10</sub> exposure increased the risk of developing  
130 asthma in both age groups, especially for those pregnant mothers who lived near the highways  
131 (28). The correlation between maternal PM exposure and asthma risk in different genders was  
132 also investigated. High levels of PM<sub>2.5</sub> exposure during mid-gestation increased the  
133 development of asthma by the age of 6 years in boys, but not in girls (29). The above evidence  
134 indicates that maternal PM exposure during pregnancy has similar effects to MSE in terms of  
135 increasing the risks of developing asthma in childhood.

136

137 The difference of asthma prevalence between boys and girls and the change in prevalence  
138 which occurs around puberty naturally gives credance to the involvement of sex hormones.  
139 Animal models of estrogen receptor knockouts suggests that estrogen promotes the  
140 development of the asthma (30); while male mice lacking testosterone showed more severe  
141 asthma symptom (31). These studies help to explain why boys are more susceptible to asthma  
142 before puberty, and girls more susceptible after puberty. However, the etiology of asthma is  
143 complex and is multifactorial.

144

#### 145 **The role of oxidative stress in the development of asthma in children**

146 Various chemicals can be found in both cigarette smoke and PM. It is unlikely that a single  
147 chemical is responsible for all the adverse effects of in-utero exposure to cigarette smoke or

148 PM on lung health in the offspring. Cigarette smoke and PM are two major environmental  
149 sources of inhaled free radicals and strong oxidants. The balance between excessive oxidant  
150 activity and the antioxidant capacity can tip in favour of excess oxidants causing oxidative  
151 stress. However, it is important to note that the production of oxidants is necessary to maintain  
152 healthy cell function, and important in regulating processes such as inflammatory responses.  
153 Oxidative stress induces adverse effects in tissues. The developing foetus is highly vulnerable  
154 to oxidative stress injury, as the immune system remains immature during the prenatal period  
155 (32). Free radicals and chemicals inhaled during MSE and maternal PM exposure can pass the  
156 blood-placental barrier to directly increase the level of oxidative stress in the offspring.  
157 Therefore, we propose the first common and prominent mechanism underlying these two  
158 factors to induce pathological changes in the offspring is oxidative stress.

159

160 Our previous studies in mice have repeatedly shown that MSE can reduce the level of  
161 endogenous antioxidant Manganese Superoxide Dismutase in the brain, kidney, and lungs of  
162 adult offspring accompanied by increased Reactive Oxygen Species (ROS) levels in those  
163 organs; interestingly, antioxidant supplementation during pregnancy could completely or  
164 partially reverse the adverse effects on those organs induced by MSE (33-35). The  
165 endogenous antioxidant enzyme system is established in the second and third trimester of  
166 pregnancy and continues to develop in early childhood (36). Interestingly, lung development  
167 also matures in the early postnatal period, suggesting that the antioxidant system may protect  
168 early-life lung development from the adverse impacts of environmental oxidant pollutants (37).  
169 After all, the function of the respiratory system is vital for survival immediately after birth.  
170 Vitamin C is an antioxidant which contributes to cellular antioxidant defence(38, 39). A study  
171 in pigs found that vitamin C deficiency during pregnancy could cause brain damage in the  
172 offspring (40). Giving smoking women vitamin C during pregnancy was shown to improve  
173 lung function (better airflow and less wheezing) in children during the first year of life (41).  
174 This again provided evidence that oxidative stress and insufficient capacity of antioxidants play  
175 a key role in organ dysfunction in the offspring due to MSE. PM consists of metals and  
176 endotoxins (polycyclic aromatic hydrocarbons) which also can generate ROS (42) and produce  
177 oxidative damage (43). Therefore, the pathways associated with oxidative stress are regarded  
178 as playing an important role in inducing adverse respiratory outcomes after the exposure to  
179 environmental pollutants (44, 45).

180

181 *In utero*, any adverse effects that occur during foetal development can have long-lasting

182 negative influences on organ development and later function after birth (46, 47). In fact, local  
183 tissue oxidative stress and injury due to the imbalance between free radicals and antioxidant  
184 capacity is a key factor in asthma pathogenesis. As such we propose that oxidative stress is the  
185 pathological insult that drives changes in the intrauterine environment and disturbs normal  
186 foetal development which subsequently increases the risks of developing asthma. It is also  
187 worth noting that maternal smoking is a strong risk factor for miscarriage, a process also linked  
188 to oxidative stress (48).

189

### 190 **Intrauterine growth restriction – The Barker Hypothesis**

191 In 1990, the epidemiologist David Barker presented his hypothesis which linked chronic and  
192 degenerative diseases, such as heart disease, to the poor intrauterine environment caused  
193 intrauterine growth retardation (IUGR), low birth weight, and premature birth. This theory  
194 inspired scientists and has been expanded to the other organ systems including the respiratory  
195 system (49). Numerous studies have confirmed that environmental toxicant exposure during  
196 pregnancy, such as cigarette smoke, can cause IUGR and subsequently abnormal lung  
197 development in the offspring (49). Nicotine is the most widely studied component in cigarette  
198 smoke due to its addictive effects. Early studies showed that cotinine, the stable metabolite of  
199 nicotine, can be found in foetal circulation and body fluids (50). This indicates that chemicals  
200 in cigarette smoke can cross the blood-placental barrier and reach the foetus. A more recent  
201 study by Geelhoed *et al* showed that MSE can decrease blood flow in the ascending aorta  
202 because of higher arterial resistance in the uterus, which can reduce the oxygen and nutrient  
203 delivery to the growing foetus resulting in IUGR (51). Inadequate nutrient availability in the  
204 developing foetus, especially during the periods of rapid lung growth, has been shown to induce  
205 lung developmental defects (52, 53) and respiratory morbidity in the offspring (54, 55). Animal  
206 studies have demonstrated a decrease in both alveolarisation and vessel density in the lung of  
207 sheep with IUGR (56).

208

### 209 **How do MSE and maternal PM exposure impact on foetal lung development?**

210 In brief, MSE can induce such effects in two ways: the direct influence on the developing  
211 foetus, and indirect effects on the fetoplacental unit. Recently, studies have demonstrated that  
212 a small fraction of the circulating nicotine in the mothers can cross the trophoblastic membrane  
213 and reach the unborn child, and as such cotinine can accumulate in the foetal circulation and  
214 fluids in measurable concentrations (57, 58). Furthermore, a similar concentration of cotinine  
215 in both foetal lung tissue and blood was found, suggesting cotinine may bind to the receptors

216 in the lung to directly affect foetal lung development (59). Maternal air pollution exposure can  
217 also cause foetal growth restriction (60). Polycyclic aromatic hydrocarbons on the surface of  
218 PM can easily cross the blood-placental barrier and circulate in the foetal blood because of its  
219 small size (61). Therefore, lung development in the foetus can be directly affected by the PM  
220 inhaled by the mothers.

221

222 The fetoplacental unit has a significant influence on foetal development. The damage to  
223 fetoplacental unit caused by maternal smoking can be seen during early pregnancy. For  
224 example, MSE significantly increases villous membrane thicknesses and trophoblastic layer in  
225 the placenta during the first trimester (58). There are also signs of reduced capillary volume in  
226 placental vasculature in pregnant smokers (62). The consequence of reduced capillary volume  
227 is nutrient delivery decrement. Intrauterine nutrient deficiency has been suggested as the major  
228 factor contributing to foetal growth restriction and low birth weight due to MSE (63). Low  
229 birth weight can increase the asthma risk in later life, evidenced by a meta-analysis including  
230 1.1 million people (64). In rat models, maternal PM exposure was found to change placental  
231 morphology, and decrease placental weight, size and surface area (65). Similar findings have  
232 also been confirmed in humans, where PM<sub>10</sub> exposure can decrease placental weight with  
233 higher anti-angiogenic factors in cord blood (66). As a result, increased vascular resistance  
234 can be predicted, which will reduce uteroplacental perfusion and lead to various maternal and  
235 foetal complications, such as low birth weight and miscarriage (67-69).

236

237 The abovementioned evidence indicates that MSE and maternal PM exposure during  
238 pregnancy can impair foetal lung development through a direct effect on the foetus and indirect  
239 influence on placental morphology and function. However, the molecular mechanisms  
240 underlying the increased risk of asthma due to MSE and maternal PM exposure are not well  
241 understood. In monkeys, MSE upregulated nicotinic acetylcholine receptors in the foetal lung,  
242 associated with lung function decline after birth (70, 71). Several *in vitro* and *in vivo* animal  
243 models have also shown that both MSE and PM exposure during pregnancy affects the  
244 development of the neonatal immune system, lung structure, and lung function in the offspring,  
245 making them more susceptible to the development of asthma(72, 73). These will be discussed  
246 in greater detail later.

247

## 248 **The development of asthma in children**

### 249 ***The role of altered lung structure***



250 Just as discussed above, MSE and maternal PM exposure during pregnancy can result in  
251 oxidative stress, and cause nutrition deficiency resulting in IUGR, which eventually alters lung  
252 development and structure. Foetal lung development starts from embryo Weeks 3-5 when the  
253 laryngotracheal groove forms on the floor of the foregut and matures during the early postnatal  
254 year. Therefore, inhaled environmental toxicants by pregnant mothers may change lung  
255 morphology and function as early as gestational Weeks 5-17 when epithelial and smooth  
256 muscle cell differentiation takes place. Epidemiological evidence well supports this theory,  
257 where significant lung function impairment was found in the newborns of mothers who smoked  
258 during pregnancy or inhaled high levels of PM (74, 75). Such lung function disorders can last  
259 until later childhood (76, 77). It needs to be noted that lung function deficiency in early life has  
260 been correlated with increased asthma incidence later on (78).

261

262 Lung dysfunction after birth can be attributed to lung structural changes during foetal  
263 development. Animal studies have shown that both MSE and maternal PM exposure could  
264 decrease lung volume, alveoli number and mean linear intercept in the offspring as well as  
265 reduced alveolar-bronchiolar attachment points (72, 73, 79). Nicotine as the 'addictive  
266 substance' in tobacco smoke has often been used in animal models to investigate the potential  
267 mechanisms underlying the adverse effects of maternal tobacco smoking. For example,  
268 increased airway collagen deposition and altered vascular structure were found in a monkey  
269 model after prenatal nicotine exposure (80, 81). However, it is uncertain if these results can  
270 be translated to humans as nicotine replacement therapy during pregnancy has not been found  
271 to be associated with the same adverse outcomes as maternal cigarette smoking (82) or nicotine  
272 administration in animal models (80, 81). This suggests that the whole constituent of tobacco  
273 smoke is needed to study the mechanism in animals.

274

### 275 ***The role of endocrine disorders.***

276 Endocrine disruption during pregnancy is a potential cause of adverse pregnancy outcomes.  
277 Endocrine glands form an important part of the fetoplacental unit that can secrete a significant  
278 amount of hormones including the oestrogen to support pregnancy. Oestrogen plays a key role  
279 in regulating neuroendocrine homeostasis in the developing foetus and promotes Th2 immune  
280 cell development in the foetus (83, 84). A human study demonstrated that abnormal oestrogen  
281 level in pregnant mothers affects foetal development (85). A reduction in oestrogen and  
282 oestrone (a weak oestrogen) levels in the cord blood has been found if the mother smoked  
283 during pregnancy (86)(87). This is because smoking can produce an anti-oestrogenic effect

284 and induce androgenisation in pregnant mothers to disturb hormonal homeostasis (88). Such  
285 changes may influence the risk of asthma in offspring (89).

286

287 The evidence to prove the relationship between maternal PM exposure and its impact on  
288 endocrine homeostasis are scarce. It has been shown that the endocrine-disrupting chemicals  
289 (EDCs) on the surface of PM can disrupt sex hormone synthesis (90). Polycyclic aromatic  
290 hydrocarbons in both tobacco smoke and PM, can also affect steroidogenesis through inhibiting  
291 steroidogenic enzymes (91). However, there is no direct evidence suggesting the correlation  
292 between hormone change induced by maternal PM exposure and foetal lung development,  
293 neither is known about the risk of asthma in the offspring (92).

294

295 However, the information collected from cord blood at birth can't accurately reflect the  
296 changes in foetal lung development during particular sensitive windows of embryo  
297 development induced by MSE and Maternal PM exposure. Amniocentesis is an alternative  
298 method to measure hormone levels at different time points and explore endocrine disruption,  
299 but access is limited. Animal modelling may shed a light on the correlation between placental  
300 hormone changes and foetal lung development, as well as postnatal lung function and  
301 susceptibility to asthma. Future research can focus on this aspect to better understand the niche  
302 factors contributing to lung development and the risk of asthma.

303

### 304 ***The role of epigenetic programming***

305 Programming is a term used to describe an altered phenotype due to changes in the *in utero*  
306 environment. Epigenetic programming describes stable inheritable phenotypic changes without  
307 the alteration in the DNA sequence. Such a process controls mRNA expression and protein  
308 production through changing the transcriptome, including DNA methylation and histone  
309 modifications. Mounting evidence has closely linked asthma to epigenetic programming due  
310 to intrauterine environmental changes. For example, asthma is also an inheritable disease (93).  
311 The parent-of-origin effect which is usually due to epigenetic mechanism, also shows a  
312 prominent influence on the development of asthma, eg. asthmatic mothers are more likely to  
313 have offspring with asthma than the asthmatic fathers (94). As mitochondrial DNA is 100%  
314 inherited from the mothers, epigenetic modification of this genome may largely contribute to  
315 this phenomenon. In addition, the foetal period is a vulnerable stage and thus very sensitive to  
316 environmental toxicant exposure, when maternal protection is vital. During embryogenesis,

317 cells divide rapidly and therefore the genome is in a relatively unstable status. During this  
318 period, oxidative stress induced by environmental toxicant exposure may easily interrupt  
319 genomic duplication process (95), leading to abnormal epigenetic modifications or even  
320 mutation, rendering the foetus susceptible to future chronic diseases after birth, such as asthma.

321

322 In a cohort study on MSE, CpGs methylation has been found on genes responding to the  
323 pollutants in tobacco smoke in the newborns of smokers who smoked during pregnancy (96).

324 In addition, CpG methylation was also found in the genes involved in foetal development in  
325 cord blood by MSE, suggesting a mechanism by which MSE results in intrauterine  
326 underdevelopment (96). Previous studies have shown that maternal PM exposure could alter  
327 DNA methylation in the offspring. Prenatal PM<sub>10</sub> exposure induced superoxide dismutase 2  
328 (SOD2) promoter methylation in cord blood cells (97), which is related to phthalate and  
329 diisocyanate-induced asthma (98, 99). As the epigenetic changes are inheritable, they will  
330 change gene expression to affect normal embryo development and persist throughout life,  
331 resulting in the susceptibility to chronic diseases in later life (100). It may also result in the  
332 transfer of certain respiratory diseases to subsequent generations, such as asthma, establishing  
333 a family history. For a detailed review on epigenetic changes due to *in utero* oxidative  
334 challenges, please see Zakarya *et al.* 2019 (101).

335

### 336 ***The role of the immune response***

337 The mother's immune system plays a central role in the protection of foetal development. The  
338 foetus and newborns need maternal antibodies (Ig) to protect them from infectious diseases  
339 (102). Previous studies have shown that parental smoking and PM exposure increased Ig E  
340 levels in the cord blood (43, 103). MSE and maternal PM exposure can also alter immune  
341 responses through activating inflammatory macrophages and memory B cells in the offspring  
342 (104, 105). These changes in immune responses suggest that MSE and maternal PM exposure  
343 can alter the innate and adaptive immune response in the offspring. In addition, MSE and  
344 maternal PM exposure have also been shown to delay the maturation of immune system  
345 <sup>(106),(107)</sup>, which may also make such offspring more susceptible to allergic disorders.

346

347 Toll-like receptors (TLRs) play an important role in the neonatal immune response (108). MSE  
348 can inhibit neonatal immune system maturation through impairing TLR mediated responses  
349 (such as TLR2 and TLR9) (109). We also have similar observations in the brains of mice who  
350 are offspring which had MSE. At postnatal day 1, mRNA expression of TLR4 was decreased

351 in the offspring from MSE compared to those from Sham-exposed mothers, suggesting  
352 suppressed immune response or delayed maturation of immune response (110). However,  
353 TLR4 mRNA expression was increased in 13 weeks old offspring which had MSE along with  
354 increased inflammatory cytokines expression (110), suggesting that MSE has a sustainable  
355 influence on the immune system leading to heightened inflammatory cytokines production.  
356 Maternal PM exposure could induce similar adverse effects. High levels of TLR2 and TLR4  
357 expression were found in the human offspring and animals from mothers exposed to increased  
358 levels of PM during pregnancy(106).

359  
360 Asthma is typified by T cell dysregulation, including Th1, Th2 and Th17 cells (111). In most  
361 asthmatic patients, accumulating evidence shows the suppression of Th1 cytokines (for  
362 example IFN $\gamma$ ) with higher Th2 cytokine expression (IL-4, IL-5, and IL-13) (112). Furthermore,  
363 clinical data showed that allergic responses are more prevalent among the children who have  
364 developed attenuated Th1 responses during infancy (113). Similar changes were found in  
365 animal studies. In pregnant C57BL/6 mice, intranasal exposure to diesel exhaust particles has  
366 been shown to increase the Th2 cell percentage in the bronchoalveolar lavage fluid with higher  
367 levels of pro-inflammatory cytokines (IL-4 and IL-5) in the offspring with asthma (114). MSE  
368 was also shown to increase Th2 cytokines (IL-4 and IL-5) and other pro-inflammatory  
369 cytokines (such as IL6) with suppressed Th1 cytokines (IFN- $\gamma$ ) due to reduced NK cell  
370 activities (115, 116).

371  
372 However, the immune response is complicated, and difficult to investigate from a broader  
373 spectrum. A study has found that PM<sub>2.5</sub> exposure differentially impacts the immune system at  
374 different stages of gestation. High level of CD3<sup>+</sup> and CD4<sup>+</sup> lymphocytes and low percentage  
375 of CD19<sup>+</sup> lymphocytes and NK cells can be found in the cord blood during the early gestation;  
376 however, the opposite changes with low level of CD3<sup>+</sup> and CD4<sup>+</sup> lymphocytes and high  
377 percentage of CD19<sup>+</sup> lymphocytes and NK cells were found if PM exposure occurs during late  
378 gestation (117). These studies suggest that immune response has been programmed by *in-utero*  
379 exposure to air pollution, however, future studies are needed to fully understand the extent of  
380 the changes in this system.

### 381 382 **Conclusion and perspectives**

383 In conclusion, cigarette smoking and PM exposure during pregnancy is detrimental to foetal  
384 development and increase the risk of childhood asthma. As summarised in Fig 1, Fig 2 and Fig

385 3, oxidants inhaled by the mother result in increased oxidative stress in the intrauterine  
386 environment. This results in persistent changes to both the structure of the lung and the  
387 epigenome, altering immune and endocrine systems. Collectively these changes increase the  
388 risk of childhood asthma. Although smoking cessation is preferred, the success rate remains  
389 low during pregnancy. Given the similarity between MSE and maternal PM exposure,  
390 antioxidant supplementation during pregnancy may be a plausible prophylactic strategy, which  
391 is yet to be confirmed by large clinical trials.

392

393 **Acknowledgments:** This study was supported by the National Health and Medical Research  
394 Council, Australia, the National Natural Science Foundation of China (International Young  
395 Scientist Fellowship, No. 81750110554), and the China Scholarship Council.

396

397 **Conflict of interest:** The authors declare that they have no conflict of interest.

398

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783 Figure 1. MSE and maternal PM exposure can increase the rate of childhood asthma.  
784 MSE and maternal PM exposure can induce various adverse impacts on the foetus during  
785 different intrauterine developmental stages, such as DNA methylation, oxidative stress,  
786 inflammatory responses, and placental dysfunction. The resulting intrauterine growth  
787 retardation, low birth weight, and premature birth can increase the risk of childhood asthma  
788 with a lower alveolar number and reduced lung function, as well as increased lung inflammation.  
789

790 Figure 2. MSE and maternal PM exposure increase oxidative stress in the womb which  
791 increases the risk of developing asthma due to the epigenetic modification of fetal DNA.  
792 Environmental toxicants can induce histone modifications and DNA methylation, which results  
793 in Th2 cytokine overproduction, eosinophils accumulation, goblet cell hyperplasia, and mucin  
794 hypersecretion.

795

796 Figure 3. MSE and maternal PM exposure can dysregulate the immune system in the foetus.  
797 The numbers of Th2 and Th17 cells are increased with a lower number of Th1 cells. This is  
798 caused by several epigenetic mechanisms, for example, miRNA 223 is increased in Treg  
799 cells. B cell and macrophages differentiation are also affected, and a lower number of NK  
800 cells are found.

801 **Table 1. Maternal smoking during pregnancy and the risk of asthma in children**

Smoking exposure	Age	Relative risk Odds ratio (95% CI)		References
		Male	Female	
Smoker at some stage	14 years	1.15 (1.01-1.72)	1.25 (0.85-1.22)	(118)
>20 cigarettes (early and late)	14 years	0.57 (0.20-1.60)	1.09 (0.47-2.51)	(118)
Total of 1–9 cigarettes/day	4-16 years	1:19 (0.98, 1.43)		(119)
< 10 Cigarettes per day	7 years	1.20 (1.04, 1.38)		(120)
Total of ≥10 cigarettes/day	<5 years	1.68 (1.10 to 2.58)		(121)
> 10 Cigarettes per day	7 years	1.31 (1.09, 1.58)		(120)
Total of ≥10 cigarettes/day	4-16 years	1:66 (1.29, 2.15)		(119)
Smoking during pregnancy	First 3 years	1.88 (1.14 – 3.12)		(122)
Smoking during pregnancy	4-6 years	1.65 (1.18–2.31)		(123)
Smoking during pregnancy	2-7 years	1.7(1.2-2.2)		(124)
Smoking during pregnancy	5-9 years	0.97 (0.51 to 1.84)		(125)
Smoking during pregnancy	14 years	1.49 (0.91–2.45)		(126)
Smoking during pregnancy	7-16 years	0.99 (0.78 to 1.25)		(127)

802

803 **Table 2. Maternal PM exposure and the development of asthma in offspring**

<b>Pollutant</b>	<b>Age</b>	<b>Concentration increase</b>	<b>Relative Risk</b>	<b>References</b>
PM <sub>2.5</sub>	6 years	1.7 µg/m <sup>3</sup> (per IQR)	1.15(1.03-1.26)	(128)
PM <sub>2.5</sub>	3-4 years	1 µg/m <sup>3</sup> (exposure interval)	0.95 (0.91–1.00)	(129)
PM <sub>2.5</sub>	0-5years	1.45 µg/m <sup>3</sup> (per IQR)	0.99 (0.97–1.01)	(130)
PM <sub>2.5</sub>	6-10 years	1.46 µg/m <sup>3</sup> (per IQR)	1.01 (0.97–1.06)	(130)
PM <sub>2.5</sub>	0-6years	3.7 µg/m <sup>3</sup> (per IQR)	1.01 (0.99 – 1.04)	(131)
PM <sub>10</sub>	3-6 years	12 µg/m <sup>3</sup> (per IQR)	0.89 (0.68, 1.16)	(132)
PM <sub>10</sub>	3-4 years	1 µg/m <sup>3</sup> (exposure interval)	1.09 (1.05–1.13)	(129)
PM <sub>10</sub>	0-5years	1.3 µg/m <sup>3</sup> (per IQR)	1.12 (1.05–1.19)	(130)
PM <sub>10</sub>	6-10 years	1.36 µg/m <sup>3</sup> (per IQR)	1.09 (0.96–1.24)	(130)

804 IQR: interquartile range.



805 **Table 3. Clinical evidence of the adverse impacts of MSE and maternal PM exposure**

<b>Pollutant</b>	<b>Sample collecting time (gestation)</b>	<b>Adverse impact</b>	<b>References</b>	
Maternal Smoking	9-14 weeks	High villous membrane and trophoblastic layer thicknesses	(133)	Placenta
Maternal Smoking	-	Smaller villous capillaries and high basement membrane thickness	(134)	
Maternal Smoking	-	High villous membrane thickness	(135)	
Maternal Smoking	28 +/- 1 weeks	Decreased uterine artery volume	(136)	
Maternal Smoking	1 <sup>st</sup> trimester	More NK cells and macrophages, less regulatory T cells	(104)	Immune cells regulation
Maternal Smoking	34th week	Lower Treg cell numbers	(137)	
Maternal Smoking	After delivery	Attenuated innate immune responses	(107)	
Maternal Smoking	During gestation	DNA methylation in cord blood cells	(138)	Epigenetics
Maternal Smoking	6–28 weeks infants	Lower antioxidant level and high oxidative stress level	(139)	Oxidative stress
Maternal Smoking	3 months infants	higher markers of oxidative stress	(47)	
PM <sub>10</sub>	1st and 2nd-trimester	Lower Pro- and anti-angiogenic factors and PlGF	(140)	Placenta
PM <sub>2.5</sub>	Early /late gestation	Higher CD3+ and CD4+ lymphocytes and lower CD19+ and NK cell number during early gestation, which were opposite in the late gestation	(117)	Immune cells regulation
PM <sub>2.5</sub>	After delivery	Higher GSTP1 methylation	(32)	Epigenetics
PM <sub>2.5</sub>	During gestation	Higher 3-NTp levels (oxidative stress)	(141)	Oxidative stress

806

807 GSTP1: Glutathione S-Transferase Pi 1. 3-NTp: 3-nitrotyrosine; MSE: Maternal smoke  
 808 exposure; NK cells: Natural Killing cells. PlGF: Placental Growth Factor; PM: Particulate  
 809 Matter; Treg cells: T regular cells.