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Do we need another trial on exercise in patients with knee osteoarthritis?

No new trials on exercise in knee OA

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24 **ABSTRACT**

25 **Objective.** We aim to investigate if we need additional trials on exercise in knee osteoarthritis (OA) to
26 accept a certain effect size to be a 'true' effect size, and new studies are not needed anymore.

27 **Design.** We performed a secondary analyses of a meta-analysis of studies on patients with knee
28 osteoarthritis, on pain immediately post treatment. We performed five different analysis: a) we
29 evaluated publication bias, b) we performed subgroup analysis, c) a sensitivity analysis based on the
30 overall risk of bias (RoB) score, d) a cumulative meta-analysis and e) we developed an extended
31 funnel plot to explore the potential impact of a new study on the summary effect estimate.

32 **Results.** We included 42 studies with in total 6863 patients. The analyses showed that a) there is no
33 clear publication bias, b) subgrouping did not affect the overall effect estimate, c) the effect estimate
34 of exercise is more consistent (no heterogeneity) in the studies of low RoB, d) the benefit of exercise
35 was clear since 2010 and e) the extended funnel plot suggests that an additional study has a none or
36 very limited impact to change the current effect estimate.

37 **Conclusion.** Exercise is effective and clinically worthwhile in reducing pain immediately post
38 treatment compared to no or minimal interventions in patients with knee OA and adding new data
39 will unlikely change this conclusion.

40

41 **BACKGROUND**

42 In The Netherlands, the basic healthcare insurance is mandatory. Within this basic health insurance
43 package elementary healthcare and emergency healthcare as well as proven (cost)-effective
44 treatments are reimbursed by the healthcare insurers. Additional healthcare insurance for other
45 treatments (e.g. exercise treatments, manual therapy, acupuncture, homeopathy) is voluntary. When
46 this system was introduced about 15 years ago, most physiotherapy treatments, including exercise
47 therapy for osteoarthritis patients were not included in the basic health insurance package.

48 Therefore, the patients need to pay for these treatments themselves or need to have an additional
49 healthcare insurance that covers these treatments. Based on questions from patients with
50 osteoarthritis (OA) and the Royal Dutch Physiotherapy Association (KNGF), the Minister of Health,
51 Welfare and Sports requested in 2016 informed advice from the National Health Care Institute to
52 evaluate whether exercise therapy for patients with knee (or hip) OA should be added to the basic
53 health insurance package.

54 At that time, two Cochrane systematic reviews on exercise therapy versus no or minimal treatment
55 in patients with knee OA summarised the evidence on these treatments [1,2]. These reviews
56 reported a small, but statistically significant post treatment effect in favour of exercise therapy
57 concerning pain reduction and increase in function (standardised mean differences (SMD) between
58 0.4-0.6). Based on this evidence, multiple national and international guidelines recommend exercise
59 therapy as an effective treatment for patients with knee OA [3,4,5].

60 Nevertheless, for a decision in the Dutch situation the Dutch Minister wanted to know whether there
61 was a clinically meaningful effect of exercise therapy compared to no or minimal interventions for
62 knee and hip OA patients in the Dutch situation as provided by Dutch physiotherapists. Therefore, we
63 updated both Cochrane reviews, using Cochrane methodology, but with stricter selection criteria
64 concerning the interventions and control interventions compared to the existing reviews [6]. The
65 effect estimate appeared to be comparable to the ones presented in both Cochrane reviews, and of
66 low quality due to the large numbers of studies with high risk of bias (design) and heterogeneity
67 (inconsistency).

68 Therefore, the aim of the present study, given the multiple studies and meta-analyses that reached a
69 comparable outcome concerning exercise effectiveness in patients with knee OA, was to investigate
70 whether new data is needed before we accept this effect size to be a 'true' effect size. Although the
71 current study question was initiated in a Dutch context, the answer concerns a broader audience and
72 is relevant for all clinicians and researchers interested in the care for patients with knee OA.

73
74

75 **METHOD**

76 *Design* Secondary analysis of the updated systematic review and meta-analysis of studies on patients
77 with knee OA only [1,2,6]. We selected randomised clinical trials evaluating exercise compared to no
78 or minimal treatments in patients with knee OA. The intervention should be exercise treatment as
79 provided by Dutch physiotherapists (no Tai Chi or home exercises) and the control intervention
80 should be 'usual care' (e.g. advice), no treatment (e.g. waiting list), a minimal intervention (e.g.
81 medication), or non-supervised exercise therapy (e.g. home-based exercise therapy). Studies with
82 passive interventions in the control groups, such as hot packs or ultrasound are excluded [6]. The
83 date of last search of the update was 31 August 2016. We only use the data on pain immediately post
84 treatment, as for function the results are comparable and just a few studies (5-9 studies at any
85 follow-up moment, see Appendix 1) reported follow-up data.

86 *Analysis* For the initial analyses, we performed meta-analyses using a random effects model. From
87 the original publications we extracted data on means and standard deviations. Standardised mean
88 differences (SMDs) and their 95% confidence intervals (CI) were used to calculate treatment effect
89 sizes of each included study and these were presented in forest plots. Furthermore, we used the
90 GRADE approach to grade the quality of the evidence as recommended by the Cochrane
91 Collaboration [6,7]. For the secondary analysis, we first evaluated whether the analysis suffered from
92 publication bias by plotting the effect estimates in a funnel plot [8,9]. Next, we performed subgroup
93 analyses based on a priori defined subgroups to evaluate whether the effect estimate differed
94 between subgroups [10,11]. We defined subgroups concerning a) severity of the complaint (clinical
95 OA, clinical and radiological OA, patients on a waiting list for total knee replacement), b) duration of
96 intervention (short: ≤ 12 weeks versus long: > 12 weeks), c) land- or water-based exercises, d)
97 individual supervision or group exercises and e) fully supervised versus not fully supervised exercises
98 [6]. Third, we performed a sensitivity analysis based on the overall risk of bias (RoB) score [11,12].
99 RoB was assessed using the tool developed by the Cochrane Back and Neck Group and defined
100 comparable with one of the Cochrane reviews [2,12]. We a priori defined studies with low RoB when
101 they had a clear and concealed randomisation procedure and an intention to treat analysis. Fourth,
102 we performed a cumulative meta-analysis to see from which point in time the effect estimate
103 reached statistical significance and to see where the effect estimate becomes stable over time and
104 extra studies are presumed redundant [13]. For this we added studies per year in which they were
105 published to the pooled result. All these analyses were done in RevMan
106 (<https://community.cochrane.org/help/tools-and-software/revman-5>). Lastly, we developed an
107 extended funnel plot to explore the potential impact of a new study on the summary effect estimate
108 of a meta-analysis [14,15]. With this method regions of the funnel plot are calculated that indicate in

109 which region a new study would have to be located to change the effect estimate of the meta-
110 analysis markedly; e.g. from statistically significant or clinically meaningful to non-
111 significant/meaningful or vice versa. These analyses have been done in Stata.

112

113

114 **RESULTS**

115 **Description of the updated systematic review**

116 For the updated systematic review and meta-analysis, we included in total 52 studies on patient with
117 knee OA; we excluded 26 of the studies included in both Cochrane reviews based on the stricter
118 selection criteria and we found an additional 16 new studies after the date of last search in both
119 Cochrane reviews (2014) [6].

120 In total, 42 out of the 52 studies reported the outcome measure 'pain immediately post treatment'
121 with an effect estimate (SMD) of 0.5 (95% confidence interval (CI): 0.37 to 0.63) [6, Appendix 1]. This
122 effect estimate is comparable with the ones presented in both Cochrane reviews.

123 The 42 studies included in total 6863 patients (mean 132 patients per study). The number of patients
124 per intervention group varied between 6 and 467; 17 studies included less than 25 patients in one or
125 more study groups, and are considered small. On average the new studies (10 out of 42) were
126 smaller compared to the older ones (mean 119.4 patients compared to mean 137.6 patients in the
127 older ones) included in the original Cochrane reviews [1,2].

128

129 **Publication bias**

130 In the scatterplot there is no apparent funnel in the plot as all studies have comparable sample sizes
131 [6, Appendix 2]. The regression line is vertical, so we cautiously conclude there is no clear publication
132 bias present.

133

134 **Subgroup analysis**

135 Only individually supervised exercises showed to be somewhat more effective (SMD=0.61 (95%CI:
136 0.43 to 0.80); 23 studies) compared to group exercises (SMD=0.37 (95%CI: 0.20 to 0.54); 19 studies)
137 [6]. All other subgroups showed no statistical significant or clinical relevant differences in effect
138 estimate. Therefore, we conclude that subgrouping did not affect the overall effect estimate, but
139 that the effect of exercise is higher in individual exercise therapy compared to group exercise
140 therapy.

141

142 **Sensitivity analysis**

143 Out of the 42 studies, 13 were rated as low RoB. Pooling the results of the studies with low RoB only
144 resulted in a slightly higher effect estimate compared to the overall effect: SMD=0.54 (95%CI: 0.43 to
145 0.66) [6, Appendix 3]. Also, the heterogeneity decreased from 69% (moderate heterogeneity) to 5%
146 (no heterogeneity) in the analysis of low RoB studies only. We conclude that the studies with low RoB
147 provide more consistent estimates than studies with high-risk of bias.

148

149 **Cumulative meta-analysis**

150 Figure 1 showed that the effect estimate was statistically significant in favor of exercise from the first
151 study onwards. Furthermore, the effect estimate levelled towards an SMD=0.5 since 2010 with a
152 rather stable confidence interval. Therefore, we conclude that the benefit of exercise was clear since
153 1998 when several studies (n=5) showed consistent results. In addition, we conclude that since 2010
154 extra studies seemed redundant, as extra studies did not have any effect on the effect estimate nor
155 the confidence interval.

156

157 Please add figure 1 here

158

159 **Extended funnel plots**

160 In figure 2 we show the regions where a new study needs to be located in the funnel plot to change
161 the summary estimate from 'clearly clinically worthwhile' (set at SMD=0.37 [16]; red area) to 'clearly
162 not worthwhile' (blue), or 'unclear if worthwhile' (green). No study, no matter how large, is able to
163 change the current conclusion from 'clearly clinically worthwhile' (red area) to 'clearly not
164 worthwhile' (should be the blue area) as there is no blue area in the plot. The extended funnel plot
165 suggests that an additional study has a very limited impact to change the current effect estimate to
166 'unclear if worthwhile'.

167

168 Please add figure 2 here

169

170

171 **DISCUSSION**

172 Based on the findings of the extra analyses, we determined that the conclusion that exercise is
173 effective and clinically worthwhile concerning pain post-treatment compared to no or minimal
174 interventions in patients with knee OA is a robust finding and one (or more) new trials will unlikely
175 change this conclusion. The effect estimates are larger in studies where physiotherapists treat
176 patients individually and more consistent in the studies of low risk of bias.

177

178 We found an abundance of relatively small studies evaluating exercise compared to no or minimal
179 treatment in patients with knee OA. New studies were even smaller compared to the older ones. One
180 of the advantages of systematic reviews and meta-analysis is that by combining small and
181 underpowered studies one can come to a clearer and better powered summary effect estimate.
182 Furthermore, our cumulative meta-analysis revealed that after 2010 it can be regarded unethical
183 randomizing patients to no or minimal intervention control groups as exercise clearly is effective on
184 decreasing pain and can therefore be regarded as the standard treatment. Our results support the
185 conclusion of a network meta-analysis published in 2013, although our conclusion is based on
186 different analyses [17].

187

188 Nevertheless, our results only concern treatment effects immediately post treatment. Long-term
189 effects were only assessed in a minority of studies. Also, in this study we are not able to make any
190 statements on the efficacy of exercise. There does not exist any robust evidence on the efficacy of
191 the exercise element, compared to placebo. This is mainly due to the fact that it is difficult, if not
192 impossible, to develop a credible exercise placebo intervention. Currently other study questions
193 regarding exercises in patients with knee OA seem to be more important, such as: 'which type of
194 exercises for which type of patient is most effective', 'what is the additional effect of exercise in a
195 combination of treatments', 'does exercise decrease or postpone total knee surgery', or 'what is the
196 best strategy to implement exercise treatment into the osteoarthritis care'. Just a few studies
197 addressing these issues are available at the moment [18,19]. Nevertheless, a search into the trial
198 registers showed that in 2016 still nine trials comparing exercise to no or minimal treatment with
199 pain as an outcome in patients with knee OA are being executed, all primarily planning only to assess
200 post-treatment outcomes. Clinicians and grant organizations should be strongly discouraged in
201 designing and granting any new trial on this study question, as it is unlikely that a new study, even a
202 large one, would change the pooled effect estimate post-treatment.

203

204

205 **CONCLUSION**

206 The current conclusion that exercise, supervised by physiotherapists, is a clinically relevant and
207 statistically significant effective intervention in reducing pain compared to no or minimal treatment
208 for patients with knee OA is rather robust and can be accepted as 'true'. No further studies on this
209 issue are needed as additional data will not likely be able to change this conclusion.

210

211

212 **CONTRIBUTORS AND SOURCES**

213 This paper is based on an update of Cochrane reviews which was requested by Zorginstituut
214 Nederland (ZIN). ZIN coordinated the study and controlled the quality of the process (LH,IG). The
215 study was executed by the Erasmus Medical Centre University. Several authors involved either have
216 ample experience in performing (Cochrane) systematic reviews (APV, SMAB-Z, MM, JR), several
217 authors are recognized experts in the field of osteoarthritis (SMAB-Z, JR, MM), two authors helped
218 with the data-extraction (CHT, EAER-V) and one author helped with the secondary analysis (MF). The
219 first author (APV) is the guarantor of this manuscript.

220

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223 study.

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225 **CONFLICT OF INTEREST:** all authors declare they have no conflict of interest

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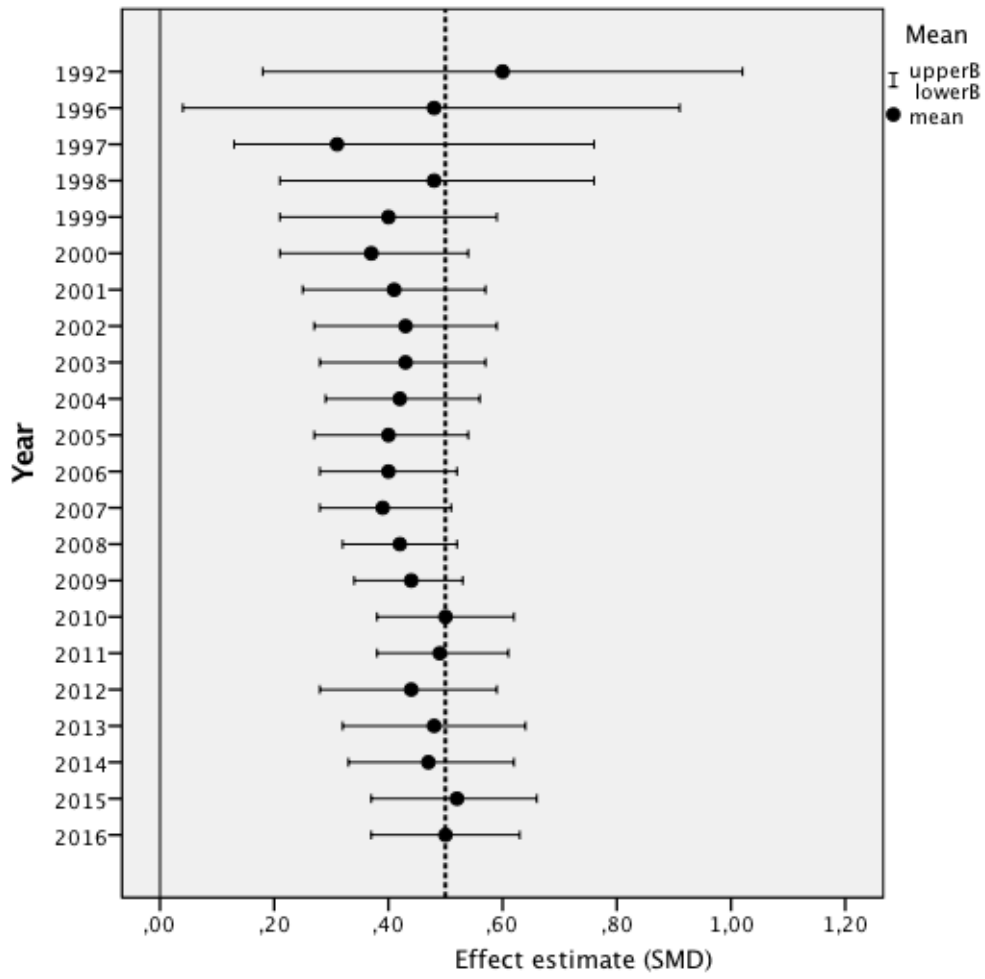
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276 Figure 1: Cumulative meta-analysis

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280 Straight line: no effect

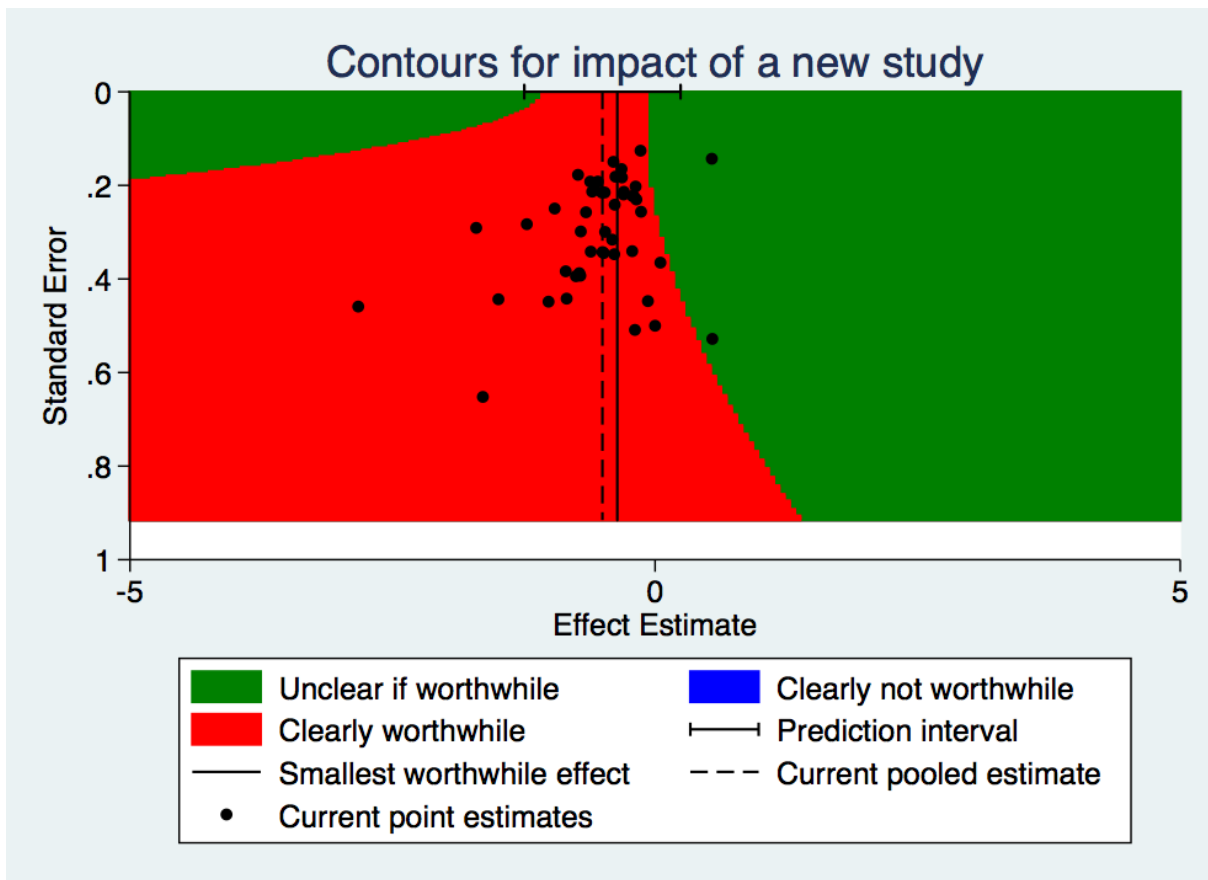
281 Dotted line: overall effect estimate

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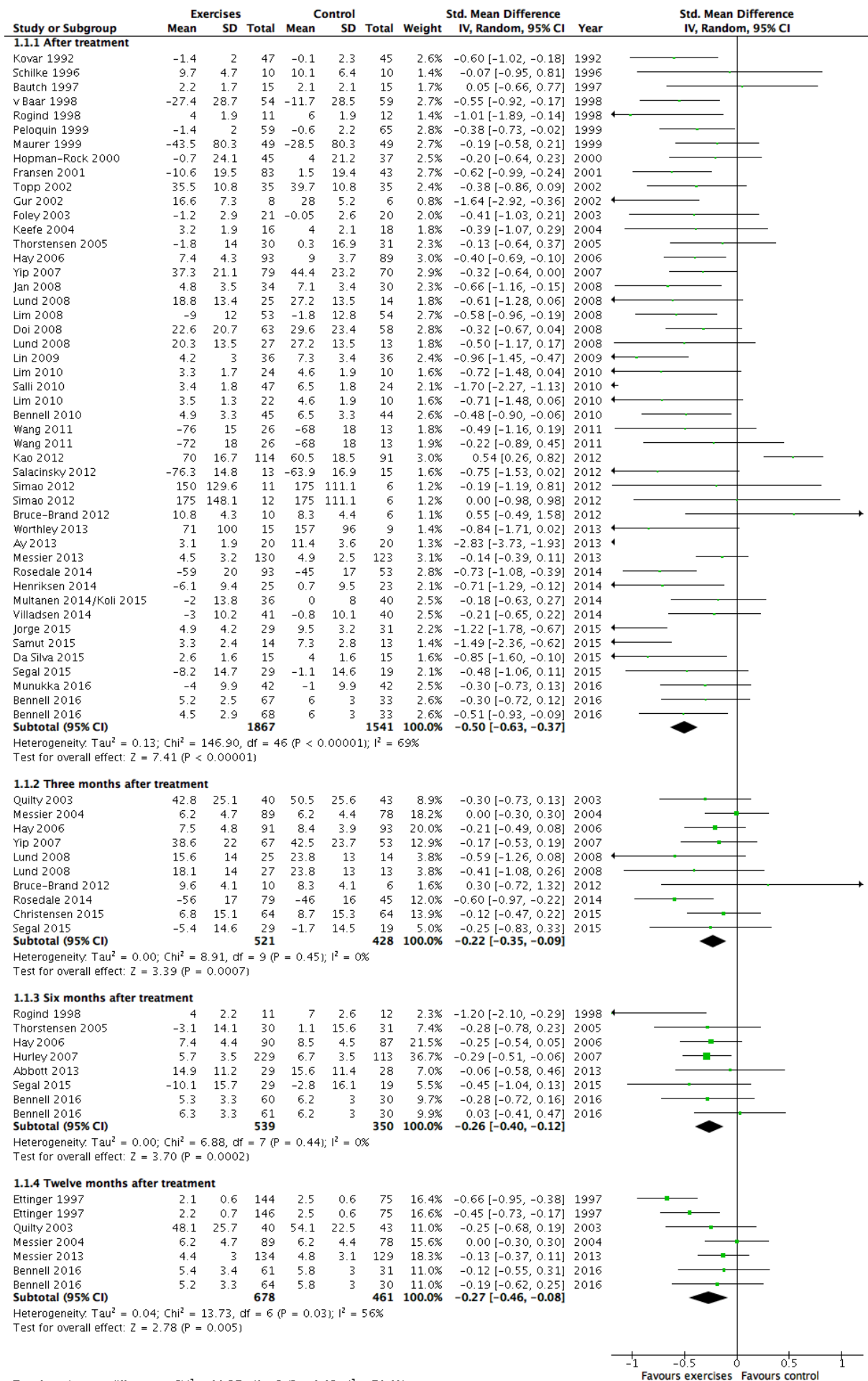
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286 Figure 2: Extended funnel plot
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290 Appendix 1: Effectiveness of exercise on pain, post treatment

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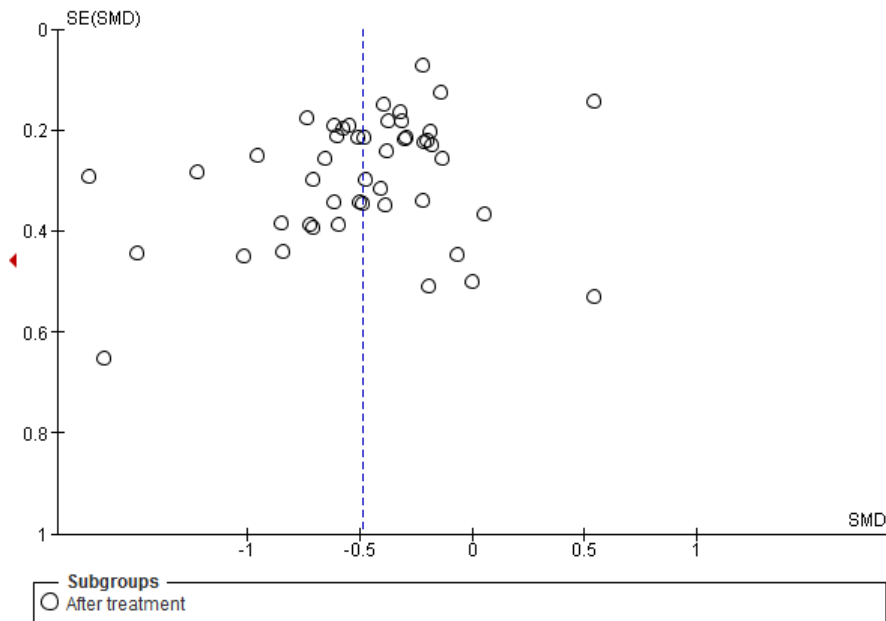


293 Risk of bias legend:
294 A: random sequence generation (selection bias); B: allocation concealment (selection bias); C: blinding of participants and
295 personnel (performance bias); D: blinding of outcome assessment (detection bias); E: incomplete outcome data (attrition
296 bias); F: selective reporting (reporting bias); G other bias
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300 Appendix 2: Funnel plot for publication bias, pain post treatment

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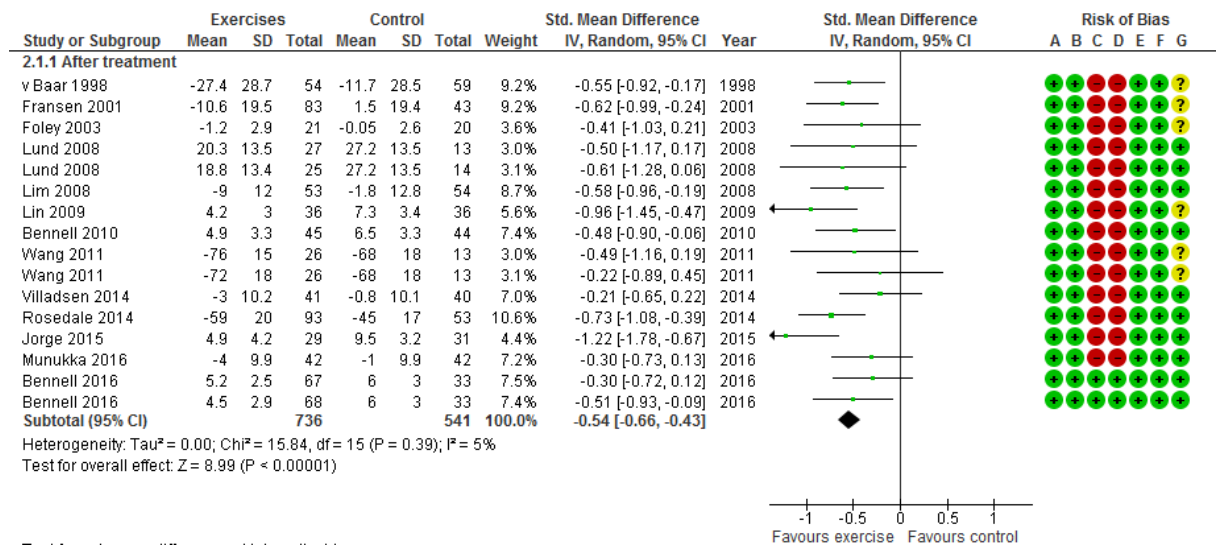
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304 Dotted line = regression line

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Appendix 3: Effectiveness of exercise in studies with low risk of bias, pain post treatment



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Risk of bias legend:

A: random sequence generation (selection bias); B: allocation concealment (selection bias); C: blinding of participants and personnel (performance bias); D: blinding of outcome assessment (detection bias); E: incomplete outcome data (attrition bias); F: selective reporting (reporting bias); G other bias