1	Blue-green algae in surface water: problems and opportunities
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#### 24 Abstract

#### 25 Purpose of Review

Cyanobacteria, commonly known as blue-green algae, are often seen as a problem. Their accumulation (bloom) in surface water can cause toxicity and aesthetic concerns. Efforts have been made in preventing and managing cyanobacterial blooms. By contrast, purposeful cultivation of cyanobacteria can create great opportunities in food, chemical and biofuel applications. This review summarises the current stage of research and the socio-economic impacts associated with both the problems and opportunities induced from the presence of cyanobacteria in surface water.

#### 33 Recent Findings

Insightful knowledge of factors that trigger cyanobacterial blooms has allowed for the development of prevention and control strategies. Advanced technologies are utilised to detect, quantify and treat cyanobacterial biomass and cyanotoxins in a timely manner. Additionally, understanding of cyanobacterial biochemical properties enables their applications in food and health industry, agriculture and biofuel production. Researchers have been able to genetically modify several cyanobacterial strains to obtain a direct pathway for ethanol and hydrogen production.

#### 41 Summary

42 Cyanobacterial blooms have been effectively addressed with advances technologies and 43 cyanobacterial research. However, this review identified a knowledge gap regarding 44 cyanotoxin synthesis and the relevant environmental triggers. This information is essential for 45 developing measures to prevent cyanobacterial blooms. Additionally, this review affirms the 46 promising opportunities that cvanobacteria offer in the food, cosmetics, pigments and 47 agriculture. Biofuel production from cyanobacterial biomass presents an immense potential but 48 is currently constrained by the cultivation process. Thus, future research should strive to 49 achieve effective mass harvesting of cyanobacterial biomass and obtain a profound understanding of cyanotoxin production. 50

51 Keywords: Cyanobacteria; Cyanotoxins; Cyanobacterial bloom; Biofuels; Cyanobacterial
52 bloom impacts

#### 53 1 Introduction

54 Cyanobacteria are a type of photosynthetic green-looking or blueish bacteria [1]. They 55 possess chlorophyll *a* and release oxygen as a product of photosynthesis. The green pigment 56 chlorophyll *a* together with other accessory pigments often cause a masking effect on the cyan 57 (blue-green) hue of cyanobacterial pigment phycocyanin [2]. Cyanobacteria possess the oldest 58 known fossils, dated back to 3.5 billion years ago. They have a significant contribution to the 59 evolution and ecological change throughout the earth's history [3].

60 Harmful cyanobacterial blooms are a global problem. Found in a range of water 61 environments (freshwater, coastal and marine), they have bloom-forming capabilities which pose significant concerns to the community. Cyanobacterial blooms are a threat to the drinking 62 63 water supply due to their potential toxicity and the release of taste and odour compounds (e.g. 64 Geosmin and 2-Methyl-Isoborneol). Cyanotoxins produced from several common 65 cyanobacteria are extreme risks to public health. Human or wildlife exposure to cyanotoxins 66 can lead to severe illness, including death [4-6]. Additionally, harmful cyanobacterial blooms 67 incur significant damage to the economy by disrupting the tourism and agricultural industry. 68 Millions of dollars are spent every year to manage and control the impacts of cyanobacterial 69 blooms [7].

New tools and technologies have been developed for managing cyanobacteria in surface water. For example, smart satellite imaging technique allows for early detection of cyanobacterial blooms [8]. Data collected from this system enables the authorities to develop real-time cyanobacterial bloom alerts useful for the general public and water suppliers. Types of cyanobacteria species and cyanotoxins can then be identified and possibly quantified from several approaches such as genetic techniques (e.g. quantitative polymerase chain reaction), biochemical assays and liquid chromatography [9, 10].

77 Apart from those aforementioned problems, cyanobacteria and their biomass also present 78 great opportunities for the production of sustainable and valuable commodities. Cyanobacteria 79 (e.g. Spirulina) are rich in proteins, vitamins and bioactive compounds [11]. Some 80 cyanobacteria and their products are thus suitable to be consumed as food or health supplement. 81 Useful bioactive compounds extracted from cyanobacteria were also shown to have natural 82 antioxidant and water retention properties [12]. These make them great replacements for 83 synthetic compounds often used in cosmetic formulations. Some cyanobacteria are capable of 84 nitrogen-fixing, thus, cyanobacterial biomass from these species can be used as biofertilisers

and soil conditioners [13]. Cyanobacterial biomass has also been actively explored as a
potential feedstock to produce biofuels. Several studies have reported the production of
ethanol, isobutanol and clean hydrogen from engineered cyanobacterial strains [14-16].

88 Global warming is likely to exacerbate harmful cyanobacterial bloom in both intensity and 89 frequency [2]. The result can be both a threat of severe consequences of harmful cyanobacterial 90 blooms and an opportunity to utilise them for beneficial applications. Several independent 91 studies have underlined the problems or benefits of cyanobacteria and corresponding 92 management strategies [2, 17-19]. Each of them was able to deliver useful and novel insights 93 on a particular aspect of the topic. However, a complete overview on cyanobacteria in surface 94 water and its impacts is inadequate. This paper aims to provide a full perspective of the topic, 95 highlighting the current problems and opportunities associated with cyanobacteria in surface 96 water, as well as the technologies used for cyanobacterial detection, control and harvesting. 97 The knowledge gaps regarding cyanobacterial properties, cyanotoxins production and 98 harvesting methods are also delineated through reviewing recent publications. This information 99 is useful for the effective management of cyanobacteria and converting cyanobacterial biomass 100 into valuable products.

#### 101 **2** Cyanobacteria

#### 102 **2.1** Cyanobacterial classification and phenotype

103 Cyanobacteria are photosynthetic prokaryotes which can grow in fresh, brackish and 104 seawater [20]. There are about 2,000 cyanobacterial species in 150 genera [21] such as 105 Chroococcales, Anabaena, and Nodularia. Cyanobacterial cells are identified to be more 106 elaborated and larger than regular bacteria (0.5 to 60 µm in diameter). They are commonly 107 found in unicellular, colonial and filamentous forms (Fig. 1) [22]. Their photosynthetic features 108 and oxygen production set them apart from other bacteria. Several accessory pigments (e.g. 109 chlorophyll a, phycocyanin and allophycocyanin) in the cells are light-harvesting antennae that 110 capture the sunlight for energy in cyanobacterial photosynthesis.



Chroococcales (unicellular)

Anabaena (filamentous)

Microcystis (colonial)

# Figure 1: The three common morphologies of cyanobacteria. Image courtesy of Landcare Research [23]

Most surface water cyanobacteria species have cells that contain gas vacuoles consisting of multiple gas vesicles. These gas vacuoles give cyanobacteria the buoyancy ability i.e. the ability to remain in suspension and float to the water surface [24]. In highly stratified water or waters with great fluctuations in vertical mixing and optical depth, cyanobacteria with buoyancy-assisted vertical movements are favoured [25]. They are able to float to the water surface for optimal nutrients and light availability. Thus, their bloom forming capacity is enhanced and causes difficulty in removing cyanobacteria biomass from water (Section 4.3).

122 Cyanobacteria possess  $CO_2$ -concentrating mechanisms (CCMs) which consist of five 123 inorganic carbon uptake systems. These enable the cells to increase the  $CO_2$  substrate for 124 photosynthesis as well as the  $CO_2$  concentration in the cellular micro-compartments for 125 efficient enzyme operation [2]. The use of cyanobacteria for  $CO_2$  sequestration has been found 126 to be very effective due to the presence of these CCMs [26, 27].

127 Another notable phenotype of many cyanobacteria is to fix atmospheric nitrogens (e.g. 128 nitrogen-fixing cyanobacteria includes *Anabaena*, *Nostoc* and *Nodularia*). Their cell structures 129 (i.e. heterocyst) are thick wall which is impermeable to oxygen but permeable to nitrogen [28]. 130 Nitrogen fixation is a competitive advantage for these filamentous cyanobacteria in a nitrogen-131 limited environment.

#### 132 **2.2** Cyanobacterial bloom triggers

Cyanobacterial blooms are the result of rapid and excessive growth as well as accumulation of cyanobacterial biomass on the water surface [2]. Because of the photosynthetic activity, environmental conditions include nutrient availability, temperature, light exposure and CO<sub>2</sub> are key factors to cyanobacterial growth [29, 30]. When these conditions are combined (e.g. often
in summertime), cyanobacterial blooms will occur. Common harmful bloom-forming genera
are *Aphanizomenon, Cylindrospermopsis, Dolichospermum, Microcystis, Nodularia, Planktothrix* and *Trichodesmium* [2].

140 Significant research efforts have been devoted to exploring the optimal growth conditions 141 of cyanobacteria, which lead to blooms [31]. These conditions provide intuitive information 142 to prevent and control cyanobacterial blooms (Section 4). For example, the eutrophic condition 143 with low nitrogen to phosphorous ratio ranging from 10 to 15 is suggested to be optimal for 144 cyanobacterial growth [22]. Literature data also indicates that phosphorus-rich water supports 145 the dominance of cyanobacteria over other phytoplankton communities [32-34]. Smith (1983) 146 hypothesised that by modifying the total nitrogen to total phosphorous ratio, cyanobacterial 147 growth could be controlled [29]. This is due to the low count of cyanobacteria at nitrogen -148 phosphorous ratio greater than 29 to 1 by weight [29]. Light exposure (i.e. light intensity and 149 duration) is another significant factor in determining the formation and duration of blooms 150 [35]. Cyanobacteria contain a range of pigments such as chlorophyll a, allophycocyanin and 151 phycocyanin which harvest light in the green, yellow and orange part of the spectrum. This 152 range is much wider than that used by other phytoplankton species, giving cyanobacteria an 153 advantage in terms of absorbing light for photosynthesis [22]. However, Montechiaro F. and 154 Giordano M. (2006) had reported that some cyanobacteria (e.g. Phormidium autumnale) can 155 hypernate without virtually any light for months and are able to thrive immediately following 156 light exposure [36]. This emphasises the specific response and flexibility of individual 157 cyanobacteria to light exposure. Water temperature (25°C or above) is also favourable for 158 cyanobacterial growth, thus more severe blooms are observed in late spring throughout 159 summer. In recent years, cyanobacterial blooms appear to occur earlier and last longer possibly 160 as the result of climate change. Warm temperature reduces water viscosity, thus stimulating 161 the sedimentation of competing larger, non-motile phytoplankton with weak floating ability 162 [31]. O'Niel et. al. [31] suggest that cyanobacteria have better competitiveness when 163 stratification of water body occurs due to insular heating. The warmer upper water layer is 164 more abundant in nutrients and light during stratification. Cyanobacteria can float upwards and 165 utilise these factors for more rapid reproduction.

Rising atmospheric  $CO_2$  due to global warming also contributes to the severity of cyanobacterial blooms [2, 37]. It forms a steeper concentration gradient with the dissolved  $CO_2$ , which has been depleted by cyanobacterial development. This leads to a greater influx of  $CO_2$  169 into the water body to reach equilibrium with the atmosphere [37]. Higher dissolved  $CO_2$ 170 concentration intensifies bloom formation. Besides, the  $CO_2$  – concentrating mechanism 171 (CCMs) can utilise this availability of  $CO_2$  to enhance the function and growth of 172 cyanobacteria.

173 Other factors influencing cyanobacterial blooms include water stratification and wind 174 patterns [38, 39]. Stagnant water condition allows for a longer residence time of cyanobacterial 175 cells. As a result, more nutrients, light radiation and CO<sub>2</sub> are absorbed, increasing 176 cyanobacterial growth rate. Light winds can expand the area of cyanobacterial scums [39] by 177 driving them closer together and towards shores and bays. This increases the chances of human 178 or animal in contact with the blooms which may contain harmful cyanotoxins. Awareness of 179 such potential toxicity and measures for prevention and control of cyanobacterial blooms to be 180 put in place are extremely important for the community.

#### 181 **3** Impact of cyanobacterial blooms

#### 182 **3.1** Cyanotoxin production and its consequences

183 Cyanotoxins are secondary products (metabolites) from the metabolism of several 184 cyanobacteria, most commonly Microcystis, Anabaena and Planktothrix genera [40-42]. They 185 possess a variety of biological structures and induce a range of negative effects on human and 186 animal health (Table 1). Cyanotoxins are usually produced and contained within the 187 cyanobacterial cells (intracellular) [41]. Environmental stress or chemical and mechanical processes applied to cyanobacterial bloom can cause cell death, stimulating the release of 188 189 cyanotoxins into the waterbody (extracellular). Benthic cyanobacteria such as Nostoc and 190 Lyngbia do not occupy surface water but their occurrence and release of extracellular 191 cyanotoxins into the surrounding water contribute to the harmful impacts of cyanobacterial 192 blooms.

There are three main groups of cyanotoxins based on their chemical structure including cyclic peptides (e.g. microcystins and nodularins), alkaloids (e.g. neurotoxins and cylindrospermopsin) and lipopolysaccharides [4]. Cyanotoxins may also be classified into three groups according to their toxic effects: hepatotoxins, neurotoxins and dermototoxins (Table 1). Microcystins and nodularins are hepatotoxic cyclic peptides containing specific amino acids such as Adda (2S, 3S, 8S, 9S)-3-amino-9-methoxy-2, 6, 8-trimethyl1-10phenyldeca-4, 6-dienoic acid) [2]. Microcystins and nodularins inhibit the function of protein phosphatases, resulting in severe liver damage in human [2, 4]. Other health impacts could
involve kidney and colon malfunctions, gastroenteritis, allergic and irritation reactions [42].

202 The alkaloid cyanotoxins include cylindrospermopsin, saxitoxins and anatoxins [4, 43, 44]. 203 Cylindrospermopsin is a well-known hepatotoxin with the primary target of toxic effects being 204 liver and kidney. Saxitoxins are representative neurotoxins of a large toxin family responsible 205 for paralytic shellfish poisoning [45]. Saxitoxins are among the most potent toxins known. 206 They can cause rapid paralysis by impairing the neuron systems and inhibiting muscle 207 contraction [46]. Similarly, anatoxins and its variants anatoxin-a, anatoxin-a(s) are neurotoxins 208 affecting the central nervous system. They can cause paralysis and asphyxiation (being oxygen-209 deprived) [47, 40]. Aplysiatoxins and lyngbyatoxin are representative of the dermatotoxin 210 alkaloids. They can cause inflammation and severe dermatitis to people in contact with the filaments. These toxins are found in marine blue-green algae such as Planktothrix and 211 212 Oscillatoria [48, 49]. They are potent tumour promoters and protein kinase C activators [50]. 213 Lipopolysaccharides is the last group of cyanotoxins classified by chemical structure. 214 Lipopolysaccharides helps to determine and maintain the shape and size of the cell [48]. 215 Lipopolysaccharides trigger irritant and allergenic responses in mammals and tissues in contact 216 with the toxins. They pose a significant concern for exposure due to their universal presence 217 on the cell wall of a wide variety of cyanobacteria [4].

218 The distribution of cyanobacteria and cyanotoxins varies temporally and spatially. This 219 could be due to the variations in the characteristics of cyanobacterial species and their preferred 220 blooming conditions. Tropical Africa and Asia are abundant in bloom-forming genus 221 Microcystis while Cylindrospermopsis is the most prevalent in Australia [17]. Both of these 222 genera have occurred frequently in tropical America. As a result, there are differences in the 223 cyanotoxins presented in these areas. The most common toxins in general are microcystis [41]. 224 Cylindrospermopsin is the most frequently encountered cyanotoxin in Australia and anatoxin 225 is commonly found in Africa produced by blooms of Anabaena species [17].

Evidence on chronic health effects caused by exposure to recreational water infected with cyanobacterial bloom has been well documented in many parts of the world [4]. In the Paulo Afonso region of Brazil's Bahia State, there were 2,000 reported cases of gastroenteritis and 88 deaths over a period of 42 days in 1988. This was the result of *Anabaena* and *Microcystis* blooms in the newly constructed Itaparica Dam's reservoir [6]. Lake Taihu, the third-largest freshwater lake in China supplying water for potable use has also long been infected with harmful cyanobacterial blooms [5]. The presence of high concentration microcystin toxin from *Microcystis* spp. in untreated water  $(4.8 - 44.00 \,\mu\text{g/L})$  in Lake Taihu have impaired the drinking water supplies. This concentration is higher than the upper limit of safe value for human exposure recommended by WHO (1  $\mu$ g/L) (Table 1). Toxin residue was also detected in the treated tap water, which has been suggested to contribute to the prevalence of liver cancer in cities along Lake Taihu [51].

Concentration of cyanotoxins detected in a cyanobacterial bloom event is unpredictable and often exceeds the drinking water guidelines (Table 1). The guideline values represent the concentration at which the water is safe to drink over a lifetime consumption. For example, a provisional guideline value of 1.0  $\mu$ g/L is recommended by WHO for microcystin-LR upper limit concentration in water [52]. Guideline calculation is based on the daily water intake, body weight and the concentration of toxins [52].

244 **Table 1**: Common cyanotoxins produced by cyanobacteria and their effects on human health

Cyanotoxins	Health effects	Genera of main producers	Bloom concentration (µg/L)	Drinking water Guideline (µg/L)	References
Hepatoxins					
Microcystis	Acute exposure: abdominal pain, headache, nausea, skin irritation Ingestion of significant levels: liver damage and dysfunction	Anabaena Planktothrix Microcystis	15 – 100 000	1.0 (WHO) 1.3 (Australia)	[53, 52, 54]
Nodularins	Skin and eye irritation, allergic reaction Disruption to liver structure	Nodularia	0 – 2.2 Highest record: 42 300	1.0 Microcystis – LR (WHO)	[52, 55, 56]

Cylindrospermo psins	Acute exposure: fever, headache, vomiting, bloody diarrhea Inhibition of protein synthesis, kidney damage, liver necrosis	Cylindrospermo psis raciborskii, Aphanizomenon ovalisporum, Ap hanizomenon zflos-aquae	Commonly 10 – 100 High record 589 - 800	1.0	[43, 57-59
Neurotoxins	<b>NT</b>				
Anatoxin-a and a(s) group	Neurotransmitter inhibitor i.e. overexcite muscle cells causing exhaustion, paralysis	Anabaena Aphanizomenon Planktothrix	154 - 1000	3.7 (Quebec) 6 (New Zealand)	[44, 60-62
Dermatotoxin					
Lyngbyatoxin-a Aplysiatoxin	Skin irriation Rashes, blisters	Planktothrix Lyngbia	209 - 279	N/A	[49, 63, 64

#### 246 **3.2 Water quality**

Cyanobacterial blooms affect and alter the characteristics and quality of the waterbody. 247 248 These include the changes in the water colour, pH, dissolved oxygen (DO) level and the 249 presence of unpleasant odours. In the long-term, cyanobacterial blooms can have significant 250 impacts on the ecosystems within the waterbody. The aesthetic value of recreational water 251 bodies is reduced when cyanobacterial blooms occur. Accumulation of cyanobacterial scums 252 on the water surface and along the shoreline is aesthetically displeasing. It can cause clear water 253 to appear green and murky. Blooms can also result in earthy or musty odours and poor taste. 254 This is caused by the production of taste and odour compounds (i.e. Geosmin and 2-methyl-255 isoborneol from cyanobacterial biomass. Anabaena, Planktothrix. Oscillatoria, 256 Aphanizomenon, Lyngbia, and Symploca are common species that contain known geosmin and 257 2-methyl-isoborneol [65, 66]. Human taste-and-odour detection threshold for these compounds 258 are as low as 10 ng/L [67], making the presence of these in water for recreational use an 259 unpleasant issue.

260 The water pH and DO level also significantly affected by cyanobacterial blooms. During photosynthesis, cyanobacteria uptake carbon dioxide and consequently raise the pH by 261 262 increasing the level of hydroxide. On the other hand, during the cyanobacteria cell lysis, pH 263 level is reduced [68]. An increase or reduction in pH can be unfavourable for the ecosystems 264 since many aquatic species prefer a stable pH range [69, 70]. Cyanobacteria uptake oxygen for 265 their aerobic respiratory activities during the night time [71], causing the DO level to decrease. 266 Degradation of dead cyanobacterial cells after blooms also requires oxygen [68]. The increase 267 in biochemical oxygen demand (BOD) and oxygen depletion caused by cyanobacteria make it 268 more competitive for other aquatic species to thrive.

#### 269 3.3 Socio-economic impacts

270 Cyanobacterial blooms can disrupt the socio-economic stability due to their potential 271 toxicity and impacts on water quality. Significant impacts are reported on industries such as 272 tourism, agriculture, real estate and public health sector [72, 73]. Unfortunately, recent cost-273 analysis of these impacts are limited while available documents are dated back to the 1990s 274 and 2000s. An example of a comprehensive report on financial damage caused by several 275 cyanobacterial blooms were reviewed by Steffensen [7] for Australia in 2008 (Table 2). The 276 impact on tourism was mainly due to the prohibition of recreational activities (e.g. fishing, 277 camping, swimming) near bloom affected areas [7]. A study on cyanobacterial blooms from 278 1990 to 1999 in England and Wales [74] reported the damage costs to be \$105–160 million 279 per year. Dodds et al. estimated an annual economic loss of more than one billion dollars in 280 the United States due to harmful cyanobacterial blooms in 2008 [75]. Due to the rapid change 281 in economic condition, the monetary values of socio-economic impacts in the event of 282 cyanobacterial blooms is expected to be significantly higher for the recent years.

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Year	Location	Type of blooms	Cost to social/tourism revenue	Impact on agriculture/industries
1997	Darling River	Anabaena	\$1.5 million	1600 livestock death
1991/1992	Hawkesbury Nepean River	Non-toxin	\$6.7 million	N/A
1987-1992	Water reserviors in New South Wales	Anabaena Nodularia	\$1.2 million	N/A

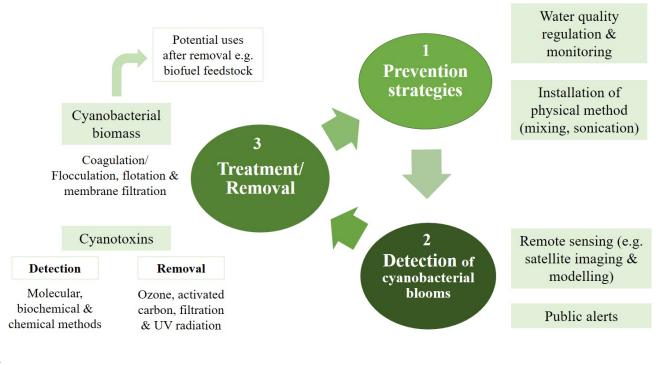
**Table 2**: Economic impact of previous cyanobacterial blooms in Australia [7]

Monitoring measures to identify the presence and prevent the progression of cyanobacterial blooms also induce immediate costs. For example, Hamilton City Council in New Zealand spent \$1,000 a day in early 2003 to treat the city's drinking water with powdered activated carbon in response to a potential saxitoxins bloom [76]. For cyanotoxin detection, toxicity tests may cost over \$1000 per sample [7], although this cost will depend on the size of the blooms and facilities available for assessing.

The cost for the actions taken subsequently to control and remove the blooms (e.g. artificial mixing and algicides) is site-specific and could involve extra expenses. For example, \$1 million is spent each year by South Australia Water to treat cyanobacterial blooms using copper-based algicide. This includes the dispose of the copper contaminated water treatment sludge as many aquatic organisms could be negatively impacted by copper [7]. Pretty et al. [74] also reported an expense of \$77 million per year to address the damages from cyanobacterial blooms in England and Wales.

303 4 Cyanobacterial bloom control and treatment

Effective cyanobacterial bloom control requires a holistic approach with well-integrated management and technology measures as well as a focus on the prevention strategies to minimise impact costs. Technologies are incorporated to effectively detect and control the development of cyanobacterial blooms and cyanotoxins (Fig. 2).



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Figure 2: Cyanobacterial management response cycle.

#### 311 4.1 Prevention strategies

312 The focus of preventive measures for cyanobacterial blooms includes (i) restricting the nutrient availability for cyanobacterial growth, (ii) facilitating changes in hydrodynamics that 313 314 are unflavoured for blooms and (iii) making improvements towards climate change. Nutrient 315 management tackles the root of the problem by limiting nutrient availability in the water bodies 316 [2, 77]. Phosphorus has been identified as a key bloom promoting factor [78-80]. Recent studies 317 have also highlighted the importance of nitrogen in supporting biomass and toxigenicity [81-318 83]. Measures to reduce external phosphorus inputs such as bans on phosphates in detergents, 319 minimising the use of synthetic fertilisers and improved sewage treatment have been effective 320 [2, 81]. In-lake methods such as hypolimnetic aeration and oxygenation to reduce internal 321 phosphorus loading from sediments have succeeded in some cases [84-86]. In the 1990s, 322 Australian CSIRO had developed lanthanum modified bentonite (commercially known as 323 Phoslock<sup>®</sup>), an innovative phosphorous binding clay [87, 88]. Phoslock has proved to 324 effectively remove total and soluble reactive phosphorus [89]. Meanwhile, due to its complex 325 gaseous atmospheric cycle, nitrogen loading is more difficult to manage and can be costly [81, 326 90]. Construction of wetlands and vegetative riparian buffers are effective ways to reduce nondiffuse sources of both phosphorus and nitrogen (e.g. agricultural and stormwater runoff) [91,
92]. These systems can also limit light availability to the surface cyanobacteria by shading the
water, thus mitigate bloom development near shore.

Mixing can effectively mitigate cyanobacterial growth. Stagnant water allows buoyant cyanobacteria to remain stable in the upper layer abundant of light and warmth, thus promoting bloom development. By applying mixing, the stability of the water column is decreased while the mixing water depth is increased. As a result, cyanobacteria entrained in turbulence experience lower light availability, higher light fluctuation and shorter residence time. This leads to a decrease in cyanobacterial growth [18]. Some examples include introducing plumes of bubbles near the bottom of the reservoir or installing a propeller in/near the dam wall.

337 Sonication has emerged as a promising technique to control cyanobacterial bloom [93, 94]. 338 Ultrasonic radiation in water can generate cavitation bubbles, causing localised regions of very 339 high temperature and pressure [95]. This extreme environment disrupts the structure of the gas 340 vacuoles in algal cells thus inhibiting the buoyancy of cyanobacteria. Without the competitive 341 ability for buoyancy, the photosynthetic activity of cyanobacteria is limited. Simultaneously, 342 the sedimentation of collapsed algal cells is stimulated [96]. This leads to a decline in cyanobacterial growth. Sonication is simple and easy to operate, with low impact on the 343 344 ecosystems [93].

345 Improvements towards climate change and global warming also contribute to minimising cyanobacterial blooms in the long term. Currently, climate change affects cyanobacterial 346 347 development in many ways [2, 81]. Higher water temperature due to global warming stimulates 348 vertically thermal stratification, which favours the growth of cyanobacteria. Increased water 349 variability (e.g. severe storms and rainfall) due to climate change may results in more nutrient 350 runoff into the water bodies. Therefore, efforts in decelerating climate change can generate 351 positive impacts on the long-term cyanobacterial bloom management. Successful prevention 352 and management of cyanobacteria in surface water require the engagement of the wider 353 community (e.g. water managers, users, scientists, engineers) [77]. Collaborations among these 354 parties to develop a tailor-made and integrated solution for the water body of interest is 355 encouraged.

#### 356 4.2 Detection of cyanobacterial bloom development

Remote sensing technologies (using satellite, drone, and hyperspectral cameras) 357 accompanied by advanced modelling (e.g. artificial neural networks) offers cost-effective and 358 359 efficient ways to forecast and monitor cyanobacterial blooms [97, 98]. These have been 360 implemented by CSIRO and Australian water authorities (WaterNSW and Melbourne Water) 361 to develop a harmful algal bloom early warning system [8]. Based on historical data and the 362 information collected from the hyperspectral camera, changes in the physical environment 363 (temperature and wind) that could lead to cyanobacterial blooms are detected. This together 364 with satellite remote sensing imagery allows for risk assessment of cyanobacterial blooms and 365 their potential spatial spread [8]. Prediction of cyanobacterial development seven to 14 days 366 ahead can be achieved through these approaches. This allows adequate time for early intervention (e.g. chemical dosing, apply mixing) to minimise a further growth of 367 368 cyanobacteria [8].

Historical and current satellite data is also used to detect cyanobacterial blooms in U.S. freshwater systems in a project called Cyanobacteria Assessment Network (CyAN). This project was facilitated in 2015 by multiple agencies, including the EPA, NASA, the National Oceanic and Atmospheric Administration (NOAA), and the United States Geological Survey (USGS) [99]. CyAN seeks to develop an integrated and reliable system to predict and identify cyanobacterial blooms across the U.S. using satellites. The harmful level of these in various water storages can also be characterised using the data from colour satellites [99].

Public alerts for cyanobacteria detection provide up-to-date information for all water suppliers and users and prevent undesirable accidents related to cyanobacterial blooms. These could include media statements, signage and direct advice from the authorities. An online cyanobacteria alert system is particularly effective in delivering real-time update on the bloom development. The data collected from remote sensing is a good input for this type of systems.

An example of the online algal alert maps is provided by the Regional Algal Coordinating Committees (RACCs) across New South Wales, Australia [100]. Three colour-coded alert levels are used to represent the level of cyanobacteria in the water. They are declared once the algal cell numbers exceed the concentration in the Guidelines for Managing Risk in Recreational Waters [4] (Table 3).

Alert mode	Detected concentration		Hazardous level	
	cells/mL of Microcystis	mm <sup>3</sup> /L of combined total cyanobacteria		
Green	500 - 5000	0.04 - 0.4	Low <sup>a</sup>	
Amber	5000 - 50 000	0.4 - 4	High <sup>b</sup>	
Red	50 000	4	Extreme <sup>c</sup>	

Table 3: Algal alert modes managed by the RACCs across New South Wales, Australia [100]

<sup>a</sup> Cyanobacterial bloom at earlier stages do not pose any threats to recreational, stock or domestic use [4]

<sup>b</sup> The water is no longer safe for potable use. Recreational uses are still suitable but should be taken with cautions

as the cyanobacteria population can now change rapidly [100].

° The waterbody is prohibited for any primary recreational use. The public should be notified through media channels and signage around the location of blooms.

4.3

## **Cyanobacterial biomass removal**

A range of techniques has been used for removing and harvesting cyanobacterial biomass (and intracellular cyanotoxins) from a water suspension [101]. The most common techniques include coagulation and flocculation, flotation, membrane filtration and centrifugation (Table 4). The deployment of these techniques often focuses on several factors i.e. ease of use, removal efficiency, operational cost, energy demand, operation scale and quality of harvested algal biomass. It is also common to combine two or more techniques to achieve desirable operation and efficiency [102].

- 410 Table 4: Advantages and disadvantages of common removal techniques for cyanobacteria
- 411 biomass

Removal Techniques	Advantages	Disadvantages	References
Coagulation/ Flocculation	Fast and easy Less cell damages Suitable for wide range of species Less energy demand, Suitable for large scale	High chemical cost Highly pH dependent Efficiency varies across types of flocculants End-product value is limited	[103]
Flotation (e.g. DAF)	Suitable for large scale Low cost Short operation time Effective due to cyanobacteria cells' buoyancy	Addition of flocculants or surfactants is required pH dependent	[103, 104, 40]
Membrane Filtration	High recovery efficiency No chemical required Water can be recycled	Membrane fouling leads to increased O&M cost Slow operation High energy demand	[103, 40]
Centrifugation	High recovery efficiency Suitable for large scale Fast and continuous process	High capital cost High energy demand	[105, 106]

#### 413 4.4 Cyanotoxin detection and removal

414 Identification of the toxicological potential of cyanobacterial blooms can be obtained 415 through molecular techniques. These include polymerase chain reaction (PCR) - based methods 416 and Desoxyribonucleic Acid (DNA) microarrays [107, 41]. Conventional and real-time 417 polymerase chain reaction (qPCR) method are readily available and cost-effective ways to detect cyanotoxins, even at trace level [108]. Accurate detection is achieved through the 418 419 amplification of the targeted toxin genes using primers if they are presented in the sample. The 420 qPCR technique particularly allows for the indirect determination of the number of target genes 421 [41, 108]. DNA microarrays are a recent technique used to detect and quantify cyanotoxins

using their genomic DNA. Microcystins and nodularins have been identified using this
technique [109, 110]. Although DNA microarray requires a high cost, it can provide rapid toxin
detection [41].

425 Biochemical properties of cyanotoxins allow for the development of detection methods such 426 as enzyme-linked immunosorbent assays (ELISA) and protein phosphatase inhibition assay 427 (PPIA). Commercially available ELISA is an easy and inexpensive method for cyanotoxin 428 testing [111]. ELISA relies on highly specific antibody-antigen interaction to detect 429 cyanobacterial cells [112]. Currently, cyanotoxins that can be assessed and quantified by 430 ELISA are microcystin, nodularin, cylindrospermopsin, saxitoxin and BMAA [112-114]. 431 PPIA was developed based on the ability of cyanotoxins to inhibit the activity of protein 432 phosphatase enzymes [41]. In the PPIA test, inhibition of protein phosphatase indicates toxin 433 concentration. However, PPIA cannot distinguish among microcystin variants or between 434 microcystin and nodularin despite them having a different reaction with phosphatase. The 435 results, therefore, are expressed as equivalent MC-LR/L [41].

436 A range of chemical methods such as liquid-based separations and mass spectrometry are 437 available for identification and quantification of cyanotoxins in water. Common cyanotoxins (e.g. Microcystins) can be identified using reversed-phase high-performance liquid 438 439 chromatography (RP-HPLC). This allows for the separation of cyanotoxin molecules on the 440 basis of hydrophobicity [115]. On the other hand, hydrophilic interaction liquid 441 chromatography (HILIC) is useful for detecting very polar cyanotoxins (e.g. Saxitoxins and  $\beta$ -442 N-methylamino-L-alanine (BMAA)) [41]. The mechanism involves the interaction between 443 polar cyanotoxins and the stationary aqueous phase at the packing surface [116]. Recently, a 444 combined RP-HPLC and HILIC system has emerged as a potential approach to simultaneously 445 separate lipophilic and hydrophilic cyanotoxins [117]. Combination of liquid chromatography 446 (e.g. HPLC or HILIC) and mass spectrometry (MS) is also a powerful analytical technique that 447 delivers sensitive and selective results for toxin determination [112, 117]. These analytical 448 methods provide accurate detection and quantification of cyanotoxins in environmental 449 samples. However, a high level of expertise and expensive equipment are required to operate 450 these systems [112].

Extracellular cyanotoxins can be removed from water using several techniques including ozonation, UV radiation and activated carbon (Table 5). These techniques are effective for degrading common cyanotoxins microcystis, cylindrospermosin and anatoxin-a [40]. 454 Cyanotoxin saxitoxins appear to be well removed using granular activated carbon [118].
455 Cyanobacterial blooms can contain several types of cyanotoxins; thus, it is recommended to
456 combine these techniques for a better removal efficiency.

Green Liver Concept or Systems is a method to remove extracellular cyanotoxins based on plants' capabilities to uptake, metabolise and store cyanotoxins in their cell wall fractions [119, 120]. This occurs during plants' biotransformation process similar to that of animal's liver. The suitable aquatic plants thus act as "green liver" to remediate contaminated water and pack away cyanotoxins at a low cost. Periodical harvesting of the aquatic macrophytes in this system is necessary to prevent the release of cyanotoxins from degrading plants back into the water [120]. Green Liver concept is a promising approach to sustainably remove extracellular cyanotoxins.

464 Multiple techniques (e.g. oxidation, coagulation, sedimentation and filtration) are often 465 incorporated into a drinking water treatment design (Fig. 3) [102]. Different removal 466 mechanisms offered by these techniques can assist one another thus enhancing the total 467 cyanobacteria and cyanotoxin removal efficiency. Pre-treatment techniques require careful consideration to avoid cell lysis and subsequent cyanotoxin release. For example, many 468 469 drinking water treatments in Vietnam apply pre-chlorination as an algaecide. However, 470 cyanobacterial cell's membrane can be severely disrupted by chlorine, leading to cell lysis and 471 extracellular cyanotoxins being liberated into drinking water [121]. The combination of 472 treatment techniques can be determined by the quality of the water source. This data can be 473 obtained through regular monitoring and testing across the plant. Long-term strategies to 474 prevent cyanobacterial bloom in the source water should, therefore, be prioritised.

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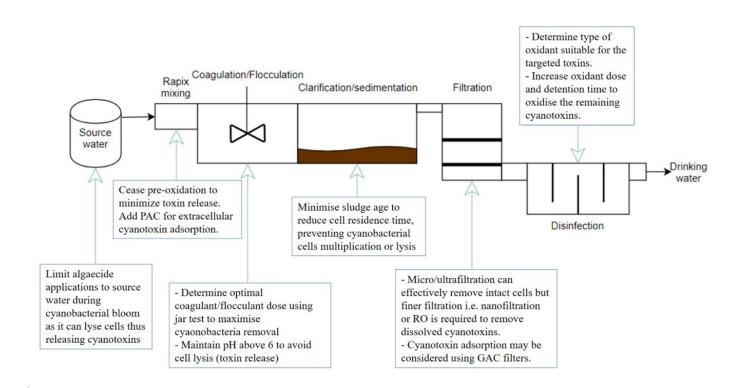
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Removal Techniques	Advantages	Disadvantages	References
Ozonation	Effective for Microcystis, Cylindrospermosin, Anatoxin-a and T&O Quick reaction time Ease to automate	pH dependent for oxidation of some species Possible formation of disinfection by-products	[40]
Activated carbon	Effective and affordable Suitable for large scale	Effectiveness varies among types of carbon and pore size	[40]
UV radiation	Degrade Microcystis, Cylindrospermosin, Anatoxin-a Require less space No impact on water composition	Specific UV emission spectrum for each toxin Require high doses or addition of photocatalyst/hydrogen peroxide	[101, 35]
Green Liver concept	Low cost, sustainable green technology Toxins completely taken up by the aquatic plants	Require periodical harvesting and planting of new plant Possible release of cyanotoxins back into the water	[120]

**Table 5**: Advantages and disadvantages of common removal techniques for cyanotoxins



486 Figure 3: The schematic of drinking water treatment processes with considerations for effective cyanobacterial biomass and cyanotoxins removal based on recommendations and 487 488 evaluations developed by EPA [102].

489 5

## **Opportunities from cyanobacteria**

490 The metabolic diversity allows cyanobacteria to possess a range of bioactive compounds. 491 Not all of these bioactive compounds are toxic. Indeed, many of them are valuable for the food, 492 health, cosmetic and pigment industry (Fig. 4). In addition, the photosynthetic capacity of 493 cyanobacteria makes them one of the most promising feedstocks for solar-powered biofuel 494 production (Fig. 4).



496

Figure 4: Potential applications of cyanobacterial biomass.

#### 498 5.1 Human food

499 Cyanobacteria biomass has been a traditional food source for centuries. Kanembu people 500 in central Africa and the indigenous population in Asia and North America are known to 501 consume Spirulina as a nutritious food [19]. It is a high protein content (65%) superfood in 502 comparison to most other natural food such as animal and fish flesh (15-25%), soybean (35%) 503 and eggs (12%). Vitamins extracted from cyanobacterial biomass have also been used for 504 health care. A rich amount of carotene, thiamine, riboflavin and vitamin B can be harvested 505 from *Spirulina* [122].

506 Several secondary metabolites from cyanobacteria (polysaccharides, essential fat gamma-507 Linolenic acid) can be easily absorbed by human cell thus improving energy production. In 508 addition, researchers have identified potential health-promoting effects from Spirulina consumption (e.g. suppression of hypertension and elevated serum glucose level, alleviation of
hyperlipidaemia) [123, 124].

511 Commercial production of these cyanobacteria into "nutraceuticals" (food supplements 512 marketed with nutritional and medicinal benefits) have been facilitated over that last few 513 decades. Spirulina is a dominating species in commercial cyanobacterial biomass production 514 with an estimated global output of 2,000 tonnes a year [125, 126]. The largest cultivation farm 515 is in Hainan China (Hainan Simai Enterprising Ltd) and produces 200 tonnes of Spirulina 516 powder annually. Further research to optimise the harvesting and extraction of valuable 517 compounds from cyanobacteria will establish an economic and environmental-friendly food 518 industry for the future.

### 519 5.2 Essential ingredients for cosmetic products

520 Bioactive compounds isolated from cyanobacteria are promising resources for natural 521 cosmetic and skincare industry. For examples, mycosporine-like amino acids are potential 522 compounds for the production of effective natural UV blockers due to their adsorption maxima 523 in UV range [127, 128]. These are photoprotective compounds primarily engaged in the 524 protection of cyanobacteria against detrimental solar radiation [129, 130]. Derivatives from 525 mycosporine-like amino acids (e.g. tetrahydropyridines) as sunscreen pigments not only 526 prevent damage from the UV radiation but also suppress inflammation and have antioxidant 527 activity [131-133]. However, further research is needed to validate the industrial development 528 of natural sunscreens and other cosmetic products from mycosporine-like amino acids.

529 Exopolysaccharides excreted from cyanobacteria (e.g. Synechocystis) have antioxidant 530 properties and potential use as moisturising agents [134]. Exopolysaccharides are composed of 531 various sugars and uronic acid with water adsorption and retention capacity [12]. In a 532 comparison between a exopolysaccharides (sacran) extracted from Aphanothece sacrum and 533 hyaluronic acid (the most widely used ingredient in moisturising products), Okajima et al. 534 observed that sacran had a higher water absorption efficiency [135, 136]. Sacran, therefore, has 535 the potential to replace expensive hyaluronic acid in the production of high moisturising 536 products. Hence, the development of cosmetic formulations based on natural compounds from 537 cyanobacteria is an ecologically-friendly approach to provide skin benefits without inducing 538 side effects and high cost like synthetic products [12].

#### 539 5.3 Pigments as natural colorants

Major groups of light-harvesting pigments (chlorophyll, phycobiliproteins and carotenoids) 540 541 produced by cyanobacteria are commercially valuable. Phycocyanin is a type of 542 phycobiliproteins that have been used as natural colourants in food (e.g. chewing gum, ice 543 cream, candies) [19]. It contains natural blue pigment and is abundant in cyanobacteria (e.g. 544 Spirulina, Synechococus, Anabaena) [11]. Phycocyanin as natural colourants are environment-545 friendly and eliminate potential health issues using synthetic colours (toxic, carcinogenic). 546 Phycobilins (phycobiliproteins) are also used as chemical tags in research and in 547 immunofluorescence technique. This is due to their ability to bind to specific antibodies and 548 fluoresce at a particular wavelength [137].

#### 549 **5.4** Sustainable agriculture

550 Cyanobacteria have promising applications in the field of sustainable agriculture due to their 551 ability to fix atmospheric nitrogen (N<sub>2</sub>) in soil, enhance the solubility of nutrients, and act as a 552 soil conditioner [19, 138, 139]. A natural population of cyanobacteria is present in most paddy 553 fields [138]. Rice fields with waterlogged conditions are especially favourable for their 554 habitation [19, 138]. Cyanobacteria as biofertiliser in rice field can contribute to about 20 - 30555 kg N/ha [140]. It thereby reduces the investment into chemical fertilisers without 556 compromising with the normal yield. Nutrient availability (i.e. phosphorus) is also improved 557 as cyanobacteria can solubilise and mobilise the insoluble organic phosphates present in the 558 soil [13, 141]. The effect of cyanobacterial biofertiliser on crop growth is not spontaneous due 559 to the gradual release of the fixed nitrogen into the soil. This enables the crops to utilise more 560 nutrients available from the soil during growth stage [19, 138]. Examples of effective 561 cyanobacterial biofertilisers include Anabaena variabilis, Nostoc muscorum, Aulosira 562 fertissima, and Tolypothrix tenuis [139].

563 The beneficial effects of cyanobacteria inoculation in crop field have also been reported for 564 wheat, kale and willow [142-144]. These studies indicated that besides enhancing soil fertility, 565 cyanobacteria are effective soil conditioners. Inoculation of cyanobacteria in sandy and 566 calcareous soils improved the soil organic matter, water holding capacity and soil aggregate 567 stability [142]. This was presumably due to the excretion of several compounds (polysaccharides, peptides, lipids, etc.) from cyanobacterial cells which helps in binding soil 568 569 particles [19, 142]. Cyanobacteria is, therefore, an economical option to replace expensive soil 570 conditioners for common agricultural use.

#### 571 **5.5 Feedstocks for biofuel production**

572 Several advantageous properties make cyanobacteria a good feedstock for solar-powered 573 biofuel production [145]. Carbon rich biomass can be produced rapidly without competing for 574 arable lands for food crops. Naturally available resources such as sunlight, water, atmospheric 575 or water-dissolved CO<sub>2</sub> are adequate for cyanobacterial growth. Some strains of cyanobacteria 576 (e.g. Synechocystis sp., Synechococcus sp. and Anabaena sp.) can be easily and stably 577 engineered for better biofuel production [145, 146]. Excretion of fuel outside the engineered 578 cyanobacterial cells is also favoured over intracellular fuel production in eukaryotic algae 579 [145].

580 Synthetic biology and metabolic engineering approaches have been introduced to 581 cyanobacteria since they do not possess a complete biosynthetic pathway for biofuel production 582 [147]. Deng and Coleman [148] transformed Synechococcus species with bacterial genes from 583 Zymomonas mobilis to create a catalysed pathway for ethanol synthesis. These genes provided 584 two key enzymes (pyruvate decarboxylase and alcohol dehydrogenase). They degraded sugars 585 to pyruvate, then fermented it to produce ethanol and CO<sub>2</sub> as the only products. The engineered 586 Synechococcus sp. PCC 6803 yielded an ethanol concentration of 0.23 g/L [148]. A similar 587 approach was applied by Gao et.al [14] to Synechococcus, with additional disruption to the 588 biosynthetic pathway of poly-β-hydroxybutyrate. A significantly higher ethanol yield (5.50 589 g/L) was achieved by the transformed Synechococcus sp. PCC 6803 [14]. Another example of 590 engineered cyanobacteria is the transgenic S. elongatus PCC 7942 for isobutanol production. 591 An isobutanol yield of 0.45 g/L was achieved using this species via the artificial and non-592 fermentative pathway [15].

593 Cyanobacteria also produce molecular hydrogen (H<sub>2</sub>), a promising clean fuel for the future 594 [145, 149]. The combustion of hydrogen for energy conversion does not result in any air 595 pollution. Hydrogen has the highest energy per unit weight (141.65 MJ/kg) among all known 596 fuels [145, 147, 150]. In cyanobacteria, nitrogenase enzymes have been reported to produce 597 hydrogen most efficiently as a by-product of nitrogen fixation [16]. Several engineered 598 cyanobacterial strains have been generated and evaluated for hydrogen production (Table 5).

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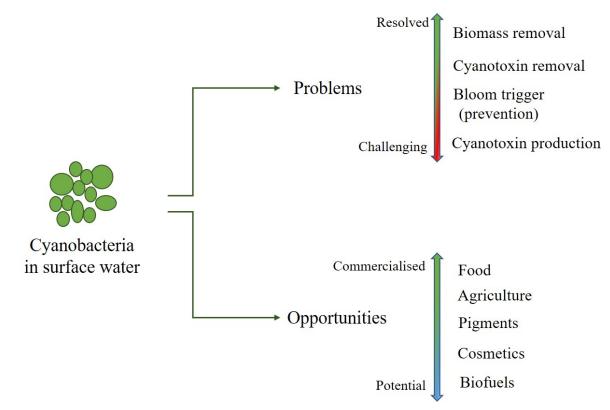
602 **Table 5**: Hydrogen production of genetically engineered cyanobacterial strains.

Cyanobacterial strain	<b>Productivity</b> (μmol H <sub>2</sub> /mg chlorophyll*hour)	References
Synechococcus sp. PCC 7002	1.2	[151]
Synechocystis sp. PCC 6803	6	[152]
Nostoc sp. PCC 7422	100	[153]
<i>Nostoc linckia</i> HA-46	93-105	[154]

603

#### 604 6 Future research roadmap

605 The knowledge of cyanotoxin production is significant for solving problems regarding 606 cyanobacterial bloom, but it is still not well understood. Cyanotoxin production is regulated by 607 cyanobacterial gene and a variety of environmental factors such as nutrients, light, temperature 608 and oxidants [155]. A few studies have been conducted to investigate the gene regulation and 609 the impact of environmental factors on various toxin production (e.g. microcystins, 610 cylindropermopsins, nodularins, and saxitoxins) [156-159]. However, since these studies were conducted under different conditions and due to the complexity of each cyanobacterial system, 611 612 it is difficult to establish a precise understanding of toxin synthesis and regulation [155]. 613 Besides laboratory experiments, more field experiments should be conducted to determine the 614 effect of environmental triggers on toxin production. Furthermore, advances in molecular 615 research are required to obtain a clear view of toxin synthesis. Strong knowledge of toxin 616 trigger and production will allow researchers to develop preventive measures or treatments 617 against the presence of cyanotoxins in the water environment.



- Figure 5: The current state of research on problems and opportunities associated with
  cyanobacteria in surface water.
- 622

623 Cyanobacteria also present many opportunities. Some applications have been 624 commercialised with demonstrated market value (e.g. Spirulina health food, biofertilisers and 625 pigments) while the others are emerging as a potential (cosmetics and biofuel) (Fig. 5). 626 Cyanobacteria biomass into biofuels can be an effective replacement to fossil fuels but there 627 are challenges in lowering the production cost. The cost of cyanobacterial cultivation and 628 processing for biofuel production is still high, making biofuel from cyanobacterial biomass 629 more expensive than fossil fuel. Besides, the use of genetically modify cyanobacteria species 630 for biofuel production require careful considerations regarding potential environmental risks. Therefore, more research is still necessary to develop efficient and economically viable 631 632 cultivation techniques and to gain further understanding of engineered cyanobacteria.

#### 633 7 Conclusion

634 Cyanobacteria present significant threats to human health and the environment at the time 635 of worsening climate change outlook. Harmful cyanobacterial blooms negatively cause 636 impacts on the water quality, public health and the economy. Technologies have been effectively utilised to detect and remove cyanobacterial biomass and cyanotoxins from water. 637 638 The key to mitigating cyanobacterial bloom and its consequences relies upon prevention 639 strategies. It requires efforts in managing water quality and reducing global warming. Progress 640 in the research area of cyanotoxin production will be useful for toxic bloom prevention. Despite 641 the problems, there are also several and very significant opportunities from purposeful 642 cyanobacteria cultivation and utilisation (e.g. agriculture, food, cosmetics and pigments). 643 Recently, genetically engineered cyanobacteria attract attention as promising feedstocks for 644 solar-powered biofuel production. Further research is still necessary to evaluate the application of these engineered cyanobacterial strains. Successful large-scale production of biofuels from 645 646 cyanobacterial biomass will contribute significantly towards the global goal of sustainability.

#### 647 **Conflict of interest**

648

## On behalf of all authors, the corresponding author states that there is no conflict of interest.

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