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## Perceptive Mobile Network Based on Joint Communication and Radio Sensing

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### Perceptive Mobile Network Based on Joint Communication and Radio Sensing

Dissertation submitted in fulfilment of the requirements for the degree of

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by

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## Certificate of Original Authorship

I, Md Lushanur Rahman declare that this thesis, is submitted in fulfilment of the requirements for the award of Doctor of Philosophy, in the School of Electrical and Data Engineering, Faculty of Engineering and IT at the University of Technology Sydney (UTS), Australia.

This thesis is wholly my own work unless otherwise reference or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

This document has not been submitted for qualifications at any other academic institution.

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Date: 25<sup>th</sup> May 2020

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# Dedication

To my beloved wife and my parents

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Sydney, Australia, May 2020 Md Lushanur Rahman

### Abstract

Radio networks have been evolving from communication-only wireless connectivity to a network for services, which will enable new business models and user experiences for emerging industrial applications. Many of these applications, including automotive, industrial automation, public safety and security tasks, will require information retrieval relating to mobile devices and objects through radio sensing. Radio sensing here refers to the process of information extraction for objects of interest in the surrounding environment that is covered by radio signals. We call the evolutionary mobile network with both communication and radio sensing functions as a perceptive mobile network. Such joint functions can be promoted as one of the core components in future 5G/6G standards.

The parametric values regarding moving objects, human movement, and any change in the environment surrounding the user equipment are embedded with the wireless signal and this enables the possibility of using the cellular signal for information extraction. As both wireless communication and radar system exhibit similar receiver front-end architecture at high frequency, it triggers the concepts of joint communication and radio sensing (JCAS) operation. In that circumstance, a unified platform can introduce shared hardware between two functions, which eventually implies reduced size, cost and weight. The main purpose of this doctoral study is to analyse the radio sensing capability of a mobile network and design the framework for joint operation. The thesis aims to design advanced signals and protocols that allow communications and sensing to be better implemented jointly and benefit from each other efficiently. An additional goal is to investigate the existing sensing parameter estimation processes and their suitability in signal processing for JCAS operation.

The thesis provides a general framework for the envisioned perceptive mobile networks that enable radio sensing using downlink and uplink mobile signaling, by considering future mobile network architecture and components, practical sophisticated communication signal format, and complicated signal propagation environment. The thesis discusses the required modifications and upgrades to existing mobile networks to facilitate JCAS functionalities. One and multi-dimensional compressive sensing techniques are successfully employed for estimating the parameters of the sensed scene, following the state of the art, by applying orthogonal frequency-division multiplexing (OFDM) based multi-user multiple-input multiple-output (MIMO) signal model. The simulated results presented here demonstrate reasonable performance in radio sensing using perceptive mobile networks. The research works shown in this thesis indicate the feasibility of the perceptive mobile network and provide a way to proceed.

# Table of Contents

$\mathbf{A}$	bstra	${f ct}$
Ta	able o	of Contents x
Li	st of	Publications x
Li	st of	Figures
$\mathbf{Li}$	st of	Tables
$\mathbf{A}$	bbre	viations xxi
1	<b>Intr</b> 1.1	oduction         Research Description
		1.1.2 Research Problems
	1.2	Scope of the Research Stages
	1.3	Thesis Contributions
	1.4	Organisation of the Thesis
2	Lite	rature Review 1
	2.1	Background
	2.2	Related Work
		2.2.1 JCAS by Realizing Communication in Radar Systems 1
		2.2.2 JCAS by Realizing Radio Sensing in Communication Systems 1
		2.2.3 JCAS by Integrated Joint Design from Scratch
	2.3	Benefits of Joint Communication and Sensing System
	2.4	Perceptive Mobile Network
	2.5	Framework for a Perceptive Mobile Network
		2.5.1 System Platform for the Perceptive Mobile Network 3
		2.5.2 Three Unified Types of Supported Sensing Operations 3
		2.5.3 Signals Usable From 5G for Radio Sensing
		2.5.4 Required System Modification
	2.6	MU-MIMO OFDM System Model
	2.7	Channel Estimation
	2.8	Array Signal Processing and Sensing Parameter Estimation 4

3	$\operatorname{Dir}$	ect Sensing	47
	3.1	Direct Sensing in Perceptive Mobile Networks	. 47
		3.1.1 General System and Channel Models	
		3.1.2 Formulation for Downlink Sensing	
		3.1.3 Formulation for Uplink Sensing	
		3.1.4 Generalized Delay-Quantized On-grid Formulation	
		3.1.5 Selection of Compressive Sensing Algorithms	
		3.1.6 Direct Estimation of Sensing Parameters	
		3.1.7 Simulation Results for Direct Sensing	
		3.1.8 Summary	
	3.2	Analog Antenna based Radio Sensing	
		3.2.1 Problem Statement	
		3.2.2 Problem Formulation	
		3.2.3 Generalized Delay-Quantized On-grid Formulation	
		3.2.4 Estimation of Spatial Parameters	
		3.2.5 Simulation Results	
		3.2.6 Summary	
4	$\operatorname{Ind}$	irect Sensing	73
	4.1	Indirect Estimation Using Signal Stripping	. 73
		4.1.1 Signal Stripping	. 73
		4.1.2 Estimation of Sensing Parameters by Indirect Sensing	. 75
		4.1.3 Simulation Results on Indirect Sensing	
		4.1.4 Summary	
	4.2	Joint Communication and Radar Sensing in $5\mathrm{G}$ Mobile Network	
		4.2.1 5G Signal and Channel Models	. 83
		4.2.2 Sensing Parameter Estimation with DMRS	. 86
		4.2.3 Simulation Results of Indirect Sensing with DMRS	. 90
		4.2.4 Summary	. 93
5	Rac	lio Sensing in Cluster Channel with Clutter Estimation	99
	5.1	Clutter in Perceptive Mobile Network	. 99
		5.1.1 Recursive Moving Average based Clutter Reduction	. 101
		5.1.2 Effect of Clutter Suppression by Recursive Moving Average .	. 105
		5.1.3 Gaussian-Mixture-Model based Clutter Mitigation	. 107
		5.1.4 Proposed GMM-EM-CE Method	. 109
		5.1.5 Simulation Results for GMM-EM-CE	. 113
		5.1.6 Summary	. 116
	5.2	Radio Sensing with Cluster Multipath Channels	. 116
		5.2.1 Signal and Cluster Channel Models	. 118
		5.2.2 Proposed Cluster based 2D Kron-OMP Algorithm	. 118
		5.2.3 $$ Simulation Results for 2D Cluster Kron-OMP Algorithm $$	. 122
		5.2.4 Comparative Study on Computational Complexity	. 125
		5.2.5 Summary	. 126
6	Cor	nclusions and Future Work	131
-	6.1	Conclusions	
	_	Future Work	133

TABLE OF CONTENTS	xiii
A DMRS Signals	135
A.1 Generation of DMRS Signals	135
A.2 DMRS Resources within One PRB	136
References	141

### List of Publications

Publications published and under review related to the thesis:

- M. L. Rahman, J. A. Zhang, X. Huang and Y. J. Guo, Analog antenna array based sensing in perceptive mobile networks, IEEE-APS Topical Conference on Antennas and Propagation in Wireless Communications (APWC), Verona, 2017, pp. 199-202.
- J. A. Zhang, X. Huang, Y. J. Guo and M. L. Rahman, Signal stripping based sensing parameter estimation in perceptive mobile networks, IEEE-APS Topical Conference on APWC, Verona, 2017, pp. 67-70.
- M. L. Rahman, J. A. Zhang, X. Huang, Y. J. Guo, and R. W. Heath Jr, "Perceptive Mobile Network Using Joint Communication and Sensing", in IEEE Transactions on Aerospace and Electronic Systems (TAES), pp. 1-1, 2019.
- M. L. Rahman, Peng-fei Cui, J. A. Zhang, X. Huang, Y. J. Guo and Z. Lu, "Joint Communication and Radar Sensing in 5G Mobile Network by Compressive Sensing", in 19th International Symposium on Communications and Information Technologies (ISCIT), Vietnam, September, 2019. Received Best Paper Award
- J. A. Zhang, M. L. Rahman, X. Huang, Y. J. Guo, S. Chen, and R. W. Heath Jr, "Perceptive Mobile Network: A Cellular Network with Radio Vision via Joint Communication and Radar Sensing", submitted to IEEE Vehicular Technology Magazine, 2020.
- M. L. Rahman, J. A. Zhang, X. Huang, Y. J. Guo and Z. Lu, "On Joint Communication and Radar Sensing in 5G Mobile Network by Compressive Sensing", submitted for review in the IET Communications, 2020.
- M. L. Rahman, J. A. Zhang, X. Huang, Y. J. Guo and Z. Lu, "Gaussian-Mixture-Model Based Clutter Mitigation in Perceptive Mobile Networks", submitted for review in the IEEE Communication Letters, 2020.
- M. L. Rahman, J. A. Zhang, K. Wu, X. Huang, Y. J. Guo, S. Chen and J. Yuan, "Enabling Joint Communication and Radio Sensing in Mobile Networks A Survey", submitted to IEEE Communications Surveys & Tutorials, 2020.

# List of Figures

Figure	Pa	age
1.1	Methodological approach	8
2.1	General block diagram of unified sensing and communication system.	25
2.2	CRAN architecture for 5G mobile network	26
2.3	Simplified transceiver model for joint communication and sensing	27
2.4	Proposed system model	31
2.5	Multicarrier modulation based OFDM system transceiver model $\ . \ . \ .$	37
2.6	MIMO propagation channel	39
2.7	MU-MIMO communication system	40
2.8	Performance evaluation process of channel estimation in communica-	
	tion system	41
2.9	Basic principle of DDCE	43
2.10	Block diagram of the detailed operational procedure for DDCE	44
3.1	10 implementation results for AoA-Distance estimation in direct downlink sensing.	60
3.2	10 implementation results for AoA-Distance estimation for direct uplink sensing	61
3.3	Parameter estimation for downlink sensing shows in (a), (b), while (c) and (d) refers to uplink sensing. Every star/circle refers to parameters for one multipath; stars and circles are for estimated and actual ones, respectively. Different colors represent multipath from different RRUs in (a), (b) and	
	users in (c), (d). $\dots$	70
4.1	10 implementation results for indirect uplink sensing with channel SIR $\eta=15\text{dB}$ , and symbol sampling interval $T=20T_s$	78
4.2	10 implementation results for indirect downlink sensing with $\eta = 15 \text{dB}$ , and $T = 20T_s$ , where delay values are continuous (off-grid). Subcarriers are interleaved	79
4.3	10 implementation results for classical 2D DFT results. System setup is the same as that in Fig. 4.2	80
4.4	Sensing parameter estimation using the proposed 1D CS method for indirect uplink sensing, with $\eta=15\text{dB}$ . "Equivalent AOA" equals to $\pi\sin(\theta)$ , and speed is relative to the static BS. All parameters have	
	continuous values (off-grid model)	81

xviii LIST OF FIGURES

4.5	Sensing parameter estimation using the N-way OMP (3D CS) algorithm. Dictionaries for AoA and Doppler estimation are interpolated to size 16 and 256, respectively. Other configurations are similar to	
	those in Fig. 4.4	82
4.6	Proposed perceptive mobile network with 5G CRAN	83
4.7	CIR for QuaGRiGa channel	91
4.8	Observations in Cluster-Chl for downlink sensing	94
4.9	Observations in uplink sensing: QuaDRiGa NLOS channel	95
4.10	Uplink sensing with small PRB in 5G QuaDRiGa NLOS	96
4.11	2-D DFT for uplink sensing	97
5.1	v I	100
5.2	Exemplified values of $\rho(p)$ , with $T_h = 20T_s$ , max $\{p\} = 30$ at approximately 2.8 ms. Curves from top to bottom correspond to Doppler	
	frequencies from 0 to 400 Hz at an interval of 50 Hz	103
5.3	Power ratio of the clutter to "interfering signals" after applying the	
	recursive averaging, in the presence of approximately 10 non-clutter	
	interfering signals over each period of $270T_s$ (assumed to be the chan-	
	nel stable period). Red dashed curves for $T_h = 240T_s$ , blue solid	
_ ,	curves for $T_h = 120T_s$ , and black curves for $T_h = 60T_s$	104
5.4	Difference between the reconstructed and the true clutter signals,	
	normalized to the power of the true clutter. Learning rates $\alpha$ for survey from left to right are 0.0.0.4.0.07.0.00 and 0.005, respectively.	106
5.5	curves from left to right are 0.9,0.94,0.97,0.99 and 0.995, respectively. Estimated and true sensing parameters AoA and distance obtained	106
5.5	using the indirect method after clutter suppression. From top to	
	bottom, $p = 25, 50, 150$ , respectively. Channel estimation $\eta = 15$ dB.	107
5.6		110
5.7	CDF of RMSE at different $\Upsilon$ values	
5.8	Clutter estimation RMSE values vs $\Upsilon$ at high and low values of $\rho$ for	
	GMM-EM-CE and RMA method	115
5.9	Uplink sensing at $\gamma = 0.25$ , $\Upsilon = 12 \ dB$ , $\rho = 1$ , after clutter suppression by	
	(top) GMM-EM-CE with $N_m=10$ at $t_h=30\ ms$ and by (bottom) RMA	
	with $r = 10$ . The estimated values for AoA are shown in star and the	
	actual AoAs are shown in circle. Different colours correspond to different	
<b>-</b> 40	users	117
		123
5.11	Two random realizations of downlink sensing using the proposed 2D cluster	
	kron-OMP algorithm for quantized (top sub-figures) and non-quantized	107
5 10	(bottom sub-figures) channel parameters	127
5.12	A random realization of uplink sensing using the proposed 2D cluster kron-OMP algorithm for non-quantized channel parameters	128
5 13	Uplink sensing using 2D kron-OMP for non-quantized channel parameters	120
0.10	with $N_s = 28$ DMRS subcarriers (7 PRB). Black zoomed in boxes are for	
	showing certain missed estimates incapability of preserving cluster pattern.	128
5.14	RMSE of the AoA estimates obtained by both methods with $N_s = 28$ for	
		129
A.1	DMRS subcarrier indices within one PRB	137
	DMRS subcarrier allocation within one PRB (alternating subcarriers)	

LIST OF FIGURES xix

A.3	DMRS subcarrier allocat	ion within or	ne PRB (	grouped subcarri	iers) .		138
A.4	DMRS subcarrier allocat	ion within or	ne PRB (	252 Subcarriers)			139

# List of Tables

Table		Page
2.1	Investigation on Radar, Communication and JCAS	24

### Abbreviations

**AoA** Angle of Arrival

**AoD** Angle of Departure

AWGN Additive White Gaussian Noise

**BSBL** Block Sparse Bayesian Learning

**BBU** Baseband Units

**CDF** Cumulative Distribution Functions

CRAN Cloud Radio Access Network

**CS** Compressive Sensing

**DDCE** Decision Directed Channel Estimation

**DMRS** Demodulation Reference Signals

**EM** Expectation Maximization

GMM Gaussian Mixture Model

JCAS Joint Communication and Radio Sensing

MIMO Multiple-Input Multiple-Output

MU-MIMO Multiuser Multiple-Input Multiple-Output

MMV Multi-Measurement Vector

**OFDM** Orthogonal Frequency Division Multiplexing

**OFDMA** Orthogonal Frequency Division Multiple Access

OMP Orthogonal Matching Pursuit

**PDF** Probability Density Function

PDSCH Physical Downlink Shared Channel

**PRB** Physical Resource Block

PUSCH Physical Uplink Shared Channel

RMSE Root Mean Square Error

RRU Remote Radio Unit

SDMA Spatial Division Multiple Access

**SNR** Signal to Noise Power Ratio