

1 *Review*

2 **A review of the design and performance characteristics of a horizontal wind turbine**
3 **using numerical methods**

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11 **Abstract:** Renewable energy technologies are receiving much attention to replacing power plants
12 operated by fossil and nuclear fuels. Amongst the renewable technologies, wind power was
13 successfully implemented in several countries. There a number of parameters in the aerodynamic
14 characteristics and design of the horizontal wind turbine. This paper highlights the key sensitive
15 parameters that affect the aerodynamic performance of the horizontal wind turbine, such as
16 environmental conditions, blade shape, airfoil configuration and tip speed ratio. Furthermore, this
17 paper introduces the recent control system used for the horizontal wind turbine. Different
18 turbulence models applied to predict the flow around the horizontal wind turbine using
19 Computational Fluid Dynamics modeling are reviewed. Finally, the challenges and conclusion
20 remarks for research directions in the design of the wind turbine are elaborated.

21 **Keywords:** Horizontal-axis wind turbine (HAWT), airfoil, Performance, Turbulence model,
22 Computational Fluid Dynamic (CFD).

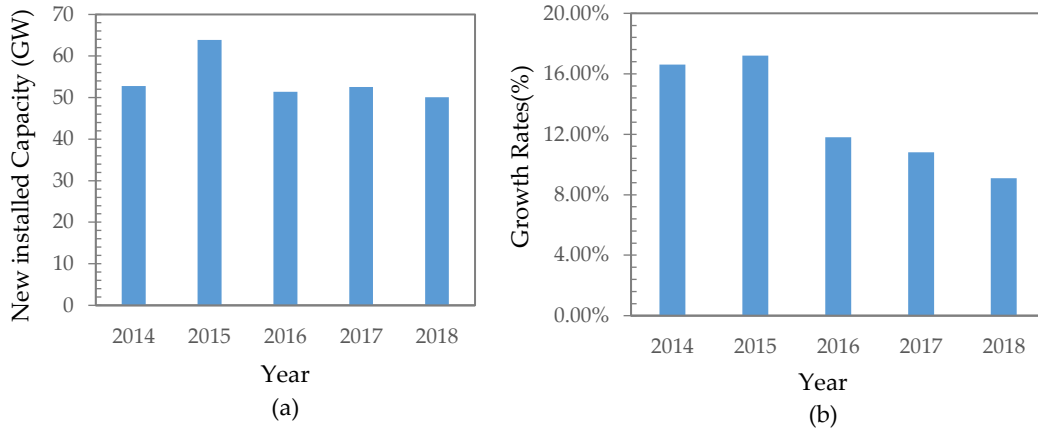
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24 **1. Introduction**

25 Energy demands are increasing with the world's population and industrial growth [1].
26 Consumption of energy is predicted to increase by 56% from 524 BTUs in 2010 to 820 BTUs in 2040
27 [2]. The extensive consumption of fossil fuels is the primary source of carbon dioxide emission to the
28 atmosphere. The CO₂ released from fossil fuel burning is estimated to increase from 1,000 million
29 metric tons in 2010 to 36,000 million metric tons in 2020, and may reach 45 billion metric tons by the
30 end of 2040 [3].

31 The demands on clean energy sources have been increased rapidly due to environmental
32 awareness, decreasing reliance on traditional fuel origins, and strict environmental policies [4].
33 Amongst all renewable energy sources, wind energy seems to be one of the popular raising
34 technology due to its low prices and its rapid global development [5]. The total world installed power
35 from wind energy increased from 296,581 MW in 2013 to 597,000 MW at the end of 2018, and it is
36 predicted to reach 817,000 MW by 2021 [3].

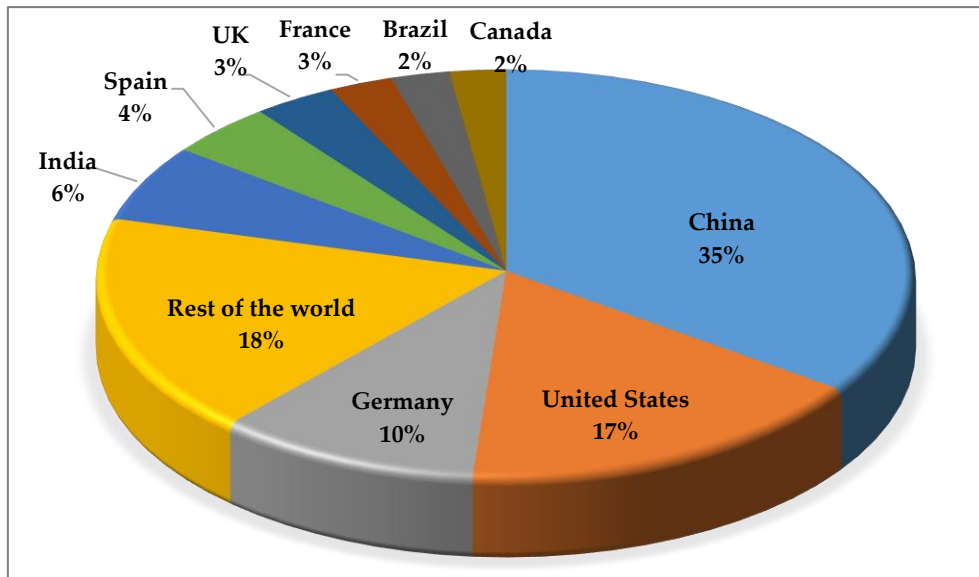
37 The World Wind Energy Association updated that the statistic of the added wind capacity
38 during 2018 was around 50.1 GW, which is slightly less than the installed wind energy capacity in
39 2017, as shown in Figure 1(a). The installed wind capacity in 2017 achieved the third largest
40 installation level during one year after record numbers in 2015 and 2014. On the other hand, 2018
41 exhibited the lowest market growth rate, about 9.1%, since the growth of wind turbine technology at
42 the beginning of the twentieth century, as shown in Figure 1(b). In 2018, the most significant
43 contributing countries to wind turbine energy were China (34.81%), USA (16.48%), and Germany
44 (10.41%), as shown in Figure 2 [3].



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Figure 1. (a) New installed capacity of wind energy and (b) growth rate of wind energy.



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Figure 2. The sharing percentage of the countries in the worldwide wind energy market at the end of 2018.

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[6] reviewed the modelling of wind turbine power curves using the different methodologies employed for control the wind turbine power curve. [7] presented a general review and classification of wind turbine condition monitoring technics and methods with a concentrate on trends and future challenges. [8] discussed a study wake effects on downstream turbines using different fluid dynamics techniques. This paper aims to highlight sensitive parameters, which affected the aerodynamic design aspects and performance characteristic of the horizontal wind turbine. The optimization of operating parameters of wind turbine design has significant impacts on the amount of energy output. Regarding the optimization of the power output, recent different control methods used discussed. Furthermore, this paper examines the Computational Fluid Dynamics techniques (CFD) are used for solving flow problems around wind turbines, where a review of turbulence models used for aerodynamic simulation of the wind turbine studies are presented.

The paper reviewed sensitive parameters affecting the design and performance of the horizontal wind turbine and examined the associated aerodynamic characteristics of different control systems

63 of the horizontal wind turbine. It also highlighted the various turbulence models and their
 64 applications in Computation Fluid Dynamic modelling to analysis of the aerodynamic characteristic
 65 of the horizontal wind turbine.

66 2. Sensitive parameters of design and performance of the horizontal wind turbine

67 There are several parameters, which influence the aerodynamic characteristic of HAWT, such as
 68 atmospheric conditions and the shape of the wind blade. Scaling up the capacity of the wind turbine
 69 requires an increase in blade length to maximize the energy output. Therefore, understanding the
 70 critical operating parameters on the performance of the wind turbine should be considered in the
 71 design of the expected power output. This section discusses the key design and operating parameters
 72 in the wind turbine.

73 2.1. Atmospheric condition (wind data models)

74 Wind speed plays a vital role in the performance of the wind turbine since it is the primary
 75 source of energy. The wind speeds at a specific site are varying with annual, seasonal, and daily
 76 changes. It is crucial, therefore, to describe these variations by different mathematical distribution
 77 models [9]. The high accuracy analysis of wind data is essential to encourage stakeholders, increasing
 78 the investment in wind energy technology. Statistical analysis methods such as probability
 79 distribution function were proposed for studied the potential of wind resources in a specific location.
 80 The probability density distribution describes the occurrence frequency of wind speed using common
 81 functions such as Rayleigh and Weibull [10]. Rayleigh probability distribution function requires only
 82 the mean wind speed; thus it is a simplistic probability distribution function $f(v)$ as described by
 83 equation 1

$$f(v) = \frac{\pi}{2} \left(\frac{v}{\bar{v}} \right) \exp \left[-\frac{\pi}{4} \left(\frac{v}{\bar{v}} \right)^2 \right] \quad (1)$$

84 where v is the wind speed (m/s) and \bar{v} is the average wind speed (m/s). The Weibull distribution
 85 function is considered as one of the common probability functions used in wind speed probability
 86 analysis. The Weibull probability function, as shown in equation 2, is depending on two factors, scale
 87 and shape factor [11, 12].

$$f(v) = \left(\frac{k}{c} \right) \left(\frac{v}{c} \right)^{k-1} e^{-\left(\frac{v}{c} \right)^k} \quad (2)$$

88 where k is the shape factor (dimensionless), and c is the scale factor (m/s). The curvature of the
 89 probability distribution function is decided by the shape parameter; any variation in the shape
 90 parameter is affected by the estimated wind potential. The Rayleigh distribution is used when the
 91 mean wind speed is only available for the location. Rayleigh distribution is a special case of Weibull
 92 distribution when the shape factor is equal to 2, and the scale parameter depends on the mean wind
 93 speed. More spread of wind speed probability functions is related to a lower shape factor[13].

94 Determination of the Weibull function requires defining the shape and scale parameters, using
 95 different estimation methods. Using the maximum likelihood method which is one of the familiar
 96 formula used for defining shape and scale parameters as seen in the following equations [14, 15]:

$$k = \left(\frac{\sum_{i=1}^n v_i^k \ln(v_i)}{\sum_{i=1}^n v_i^k} - \frac{\sum_{i=1}^n \ln(v_i)}{n} \right)^{-1} \quad (3)$$

$$c = \left(\frac{1}{n} \sum_{i=1}^n v_i^k \right)^{\frac{1}{k}} \quad (4)$$

97 where v_i is the wind speed at (i)time and n is the number of reading of wind speed data. In order to
 98 define the annual energy production of wind turbine (AEP), probability density distribution $f(v)$ is
 99 combined with the power curve of wind turbine $P(v)$ as shown in the following equation

$$AEP = \int_{v_{cut-in}}^{v_{cut-out}} P(v) f(v) dv \quad (5)$$

where v_{cut-in} is the cut-in wind speed (m/s) , and $v_{cut-out}$ is the cut-out wind speed (m/s).

In the energy market, the values of wind speed at different hub heights of the wind turbine are very desirable, according to unavailability recorded data from wind station measurements. To calculate the wind speed $v(h)$ at different altitude values (h) which depend on the measured wind speeds at reference value using power exponent law as seen in the following equation [16] :

$$v(h) = v_o \left(\frac{h}{h_{ref}} \right)^{\alpha} \quad (6)$$

100 where v_o is the wind speed at reference height (h_{ref}) , and α is a power-Law exponent
 101 (dimensionless) which varies with time of the day, terrain nature, temperatures, and the season of
 102 the year [17]. The power-law exponent varies from 0.1 in smooth terrains to 0.40 in very rough terrains
 103 where a value of 1/7 could be used when no information about specific site formation.

104 Different studies have been analysed wind data using Weibull and Rayleigh probability
 105 distributions functions. For example, Islam et al. [18] assessed the potential of wind energy at Kudat
 106 and Labuan, Malaysia using Weibull distribution; the results showed unsuitable of these sites for
 107 commercial wind energy generation. Krenn et al. [19] studied the wind data in Austria for ten years,
 108 depending on station data combined with a hybrid geo-statistical model. The result indicated the
 109 feasibility of Weibull distribution in capturing the average annual wind speed with 0.8 m/s standard
 110 deviation of error. Celik [20] evaluated the potential of wind energy at the Mediterranean coast of
 111 Turkey based on Rayleigh and Weibull models. The results showed that the Weibull model gives
 112 better accuracy of the power density distribution compared to the Rayleigh model. In contrast, the
 113 Weibull model gives an annual average error of around 4.9% compared with 36.5% for the Rayleigh
 114 model when compared to wind speed measured reading. Mentis et al. [21] used the daily wind speed
 115 at different sites in Africa for one year by Weibull and Rayleigh distributions. The results showed 5%
 116 differences along with the results between Rayleigh and Weibull distribution, but the variation
 117 exceeded 100% at specific locations. As such, the Rayleigh model is not valid at those sites, especially
 118 on the country level, and it can be used for estimation wind energy probability on a continental level
 119 only.

120 2.2. The Shape of the wind turbine blade

121 The number of blades in wind turbines varies depending on the design [22-24]. Currently, the 3-
 122 bladed upwind horizontal wind turbine is the most popular modern wind turbine design due to its
 123 system efficiency, stability, and the feasibility of the economic issues of the wind turbine system. A
 124 horizontal wind turbine consists of majors components. The foundation component of the wind

125 turbine is the tower, which holds the nacelle. The nacelle contains the transmission system, generator,
126 control systems. The transmission system transmits the mechanical torque from rotor to generator,
127 which includes the gearbox, mechanical brake system [25]. The generator used electromagnetic
128 components to convert mechanical power into electrical power [26-29]. The rotor component captures
129 the wind power and converting it into mechanical torque. The rotor contains the blade component
130 attached to the nacelle by the hub. Different materials are used in the manufacturing of wind turbine
131 blades such as carbon-hybrid and S-glass [30, 31]. Various studies demonstrated that decreasing the
132 rotor and nacelle weight will reduce the manufacturing costs. Still, this decrease has a dynamic aero-
133 structural limitation and balancing issues that should be considered in the design [32, 33].

134 One of the most critical design parameters of the wind turbine is the determination of the airfoil
135 chord length distribution along the wind turbine blade. There are different methods for determining
136 the chord length, where the Betz optimization theory is considered as the most straightforward
137 method, which gives reasonable approximation values of the chord length of the airfoil section [34].
138 This method approximates a good optimum value of blade chord length, which has a 6-8 tip speed
139 ratio with neglecting losses from the tip and drag. Consequently, this method is inaccurate in some
140 cases with low tip speeds, blade sections near the hub, and high drag airfoil sections [35-37]. The
141 blade of the wind turbine is divided into three essential parts; root, mid-span, and tip based on the
142 structural and aerodynamic roles. The larger chord length should be in the root area due to structural
143 loads, while the slender airfoil sections will be in the tip region area. Thus, the area near the hub is
144 responsible for the required starting torque, while most of the production torque is initiated from the
145 tip region [38-42]. There is research area focuses on aerodynamic optimization of the shape of the
146 wind turbine design. For example, Liu and Tang [43] studied the novel of optimization of fixed speed
147 wind turbines using linearization of twist angle and chord profiles method. The result concluded that
148 there is a good increment of the annual energy production when designing an optimal blade using
149 linearization novel for wind speed range from 4-7 m/s.

150 2.3. Wind power curve and tip speed ratio

151 The power curve gives the power output of the wind turbine at each wind speed. This curve is
152 essential for forecasting the performance of wind speed, which improves grid planning and
153 connecting wind energy into the power systems [44]. The least wind speed required to deliver a
154 useful power is called the cut-in speed while the turbine is shut down at the cut-out wind speed due
155 to engineering safety to prevent damage from massive wind loads [45, 46]. Some of the methods used
156 to enhance the aerodynamic performance of the HAWT over different wind speeds is by decreasing
157 the cut-in wind speed. For example, Singh et al. [47] designed a wind turbine with a better start-up
158 performance at low wind speed using a numerical method, which used to decrease the cut-in wind
159 speed in addition to achieving a better combination of lift to drag ratios, which validated against
160 experimental data.

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162 The tip speed ratio is a key design factor, which affects the calculation of different design
163 parameter of the optimum rotor dimensions. The definition of tip speed ratio is a ratio between the
164 velocity of the rotor blade and relative wind speed. The aerodynamic design of the wind turbine is

165 sensitive to any changes in the tip speed ratio. Thus, a rotor blade design, which operated at relatively
166 high wind speed, will generate a lower torque at minimum wind speed. Also, this rotor operating at
167 high wind speed will increase the cut-in speed and self-starting difficulties [24]. Derakhshan et al.
168 [48] tested the effectiveness of the shape optimization in their numerical study of the wind turbine.
169 The study found that the optimization of chords distribution has increased by a percentage of 3.7 of
170 the power of wind turbines at rated speed (10m/s). In contrast, the percentage of average power
171 increased by 1.2%.

172 Selecting an appropriate tip speed ratio should take into account the design of different
173 parameters such as output torque, mechanical stress and efficiency, aerodynamic characteristic, and
174 noise [49]. Practically, raising the tip speed ratio will increase the noise to the sixth power [50]. In
175 order to yield an efficient mechanical to electrical conversion, the tip speed ratio should be six to nine
176 for modern three-blade wind turbine and nine to ten for two-blade wind turbine [51].

177 2.4. Airfoil configuration

178 2.4.1. Conventional airfoil

179 An efficient blade design is formed from different airfoil profiles with a blending at an angle of
180 twist for each airfoil terminating at a circular blade root. Different simplification is used to smooth
181 and facilitate industrial production and cutting-down the manufacturing cost, such as minimizing
182 the numbers of varying airfoil profiles, linearization of chord width, and decreasing the twist angle
183 [52]. The decision to select airfoils has a critical role in the output torque from a wind turbine. The
184 direct impact of airfoil design defines the aerodynamic performance of wind turbines. The lift to drag
185 ratio is an essential aspect of the aerodynamic characteristics of the airfoil. Over the past decade, there
186 were several experimental works for testing lift and drag coefficients of airfoils with different
187 Reynolds numbers and angles of attack [53, 54]. The angle of attack is a very sensitive parameter for
188 calculating the drag and lift coefficient of the airfoil. This angle is evaluated as the difference between
189 the flow angle and the rotor plane angle. Therefore, the most important factor in the design of the
190 wind turbine is to maximize the lift to drag ratio [54, 55].

191 The power output in a horizontal wind turbine is affected mainly by the lift to drag ratio of the
192 airfoil, which is usually designed to operate at a low angle of attack where the lift coefficient is often
193 much higher than the drag coefficient [53]. Different airfoil families have been used in the design of
194 modern wind turbines such as NACA sub-families four and five-digit, for example, NACA 65-415,
195 NACA 63-215 [56]. NACA is developed by the National Advisory Committee for Aeronautics
196 predecessor of NASA, USA. The NACA airfoils are usually appropriate for situations where the angle
197 of attack is relatively small, and Reynolds number is high [57]. NACA 63 and 44 series are known for
198 their characteristics of stall delay and lower sensitivity to roughness in leading-edge than other
199 families [58]. Yılmaz et al. [59] investigated the aerodynamic efficiency of the wind turbine blade by
200 experiment, and results were compared with a numerical simulation. The study selected NACA 4420
201 airfoil for analysis and showed the dependency of the efficiency of HAWT on the blade profile.

202 RISØ-A-XX is another family used in the design of the wind turbine blade and has been
203 developed and optimized by RISØ National Laboratory in Denmark [60-62]. Direct numerical
204 optimization is used to describe the airfoil shape that optimizes with a B-spline representation. The
205 family has contained seven airfoils that varied in relative thickness to chord from 12% to -30%. The

206 DU series airfoil family is another airfoil family, which was developed by the Delft University of
207 Technology, Netherlands. The relative thickness to the chord of DU series airfoil varies from 15% to
208 40%, for example, DU 91-W2-250 and DU 93-W-210, which has an airfoil thickness of 25% and 21%
209 respectively [63].

210 There are many airfoils used for wind turbine design; for example, the Aeronautical Research
211 Institute of Sweden develops the FFA airfoil family [56]. Also, the S airfoil family is developed by the
212 National Renewable Energy Laboratory (NREL) [64, 65]. Some considerations should be taken in
213 determining the type of airfoil used in wind turbines such as achieving a maximum lift to drag ratio,
214 dynamic and structural requirements, and the sensitivity of airfoil for the environmental condition.
215 Using one airfoil profile along the whole wind turbine blade gives a lower efficiency for the blade
216 design. In some applications, different airfoil shapes can be used in the design of a wind blade, but
217 blending between these airfoils in the design process would increase the efficiency.

218 The sections of an airfoil with high thickness to chord length ratio are usually used in the root
219 region according to structural load requirements [61]. However, airfoils of high thickness have a
220 lower value of lift to drag ratio. Therefore, significant research efforts were made to increase the lift
221 coefficient of the thick airfoil used in wind turbine design. In the tip regions, the aerodynamic
222 characteristic is critical to maximizing the lift to drag ratio, which explains using thin airfoil in the tip
223 region.

224 In large modern wind turbine blades, the inboard and mid-span regions are used airfoils that
225 had a relative thickness of 25% or above, for example, FFA- W3-241 and FFA-W3-301 airfoils are used
226 inboard and mid-span regions due to relatively high thickness [66]. S809 and S814 airfoils are
227 recommended for the tip region of the wind turbine, which had a blade length that varies from 10 to
228 15 m [67]. Different studies are focusing on the investigation of different airfoils in wind turbine
229 design; for example, Van Rooij et al. [68] used Risø, DU, NACA, FFA, and S8xx airfoil families to
230 investigate the performance of those airfoils to meet the aerodynamic and structural requirements.
231 For airfoils of relative thickness to chord of 25% and 30 %, the best performing airfoils are S814 (24%),
232 DU 91-W2-250 (25%), Risø-A1-24 (24%) where the performance differences between those airfoils are
233 relatively small. While for airfoil thickness of 30 %, the DU 97-W-300 meets the most excellent
234 performance according to restricted requirements.

235 Recently, a lot of work concentrated on designing blades for wind turbine rotors depending on
236 maximizing the aerodynamic performance [69-71]. The generated power of a wind turbine varies
237 with the speed and turbulence of the wind. Many countries have low wind speed in some locations.
238 There is a lot of research in the development of small wind turbines to meet the energy needs of such
239 countries. Ahmed et al. [72] studied lift, drag coefficient, and flow behaviour of SG6043 at low
240 Reynold number to assess the airfoil aerodynamic characteristics used in wind turbines for regions
241 having wind speeds of 4-6 m/s. The results showed that increasing the freestream turbulence level
242 from 1% until 10% will not significantly change the lift coefficient. However, with an increasing angle
243 of attack, the separation was delayed from the upper surface, which reflects on increasing the lift
244 coefficient and reduce the drag coefficient. When the angle of attack increases, the lift to drag ratio
245 also increases from 8% to 15% because of rising the turbulence level. Sayed et al. [73] simulated the
246 aerodynamic performance of different S-series in low wind velocities. The study found that S825,
247 S826, S830, and S831 airfoils are the most efficient in S-series for low and high wind velocities because
248 they give a maximum lift to drag ratio, which achieves maximum power.

249 Airfoil characteristics such as flow separation vary with the level of wind turbulence [74-76].
250 Thus, many models combine the lift coefficient of the airfoil with delay flow separation [77].
251 Hoffmann [78] investigated the impact of changing the wind turbulence intensity of NACA 0015 from
252 0.25% to 9% at $Re=250,000$. The study found that the effect of delayed flow separation on increasing
253 the maximum lift coefficient increase the angle of attack. It also found that the impact on delayed
254 flow separation and increasing the peak lift coefficient due to changing turbulent intensity. Kamada
255 et al.[79] discussed the dynamic and static characteristics at $Re=350,000$ for DU93-W-210 at two
256 different turbulent intensity levels. This airfoil had 21% relative thickness, which tested in a wind
257 tunnel with a turbulence grid that used to obtain a high turbulent flow. They observed a delay in
258 flow separation when increasing the level of freestream intensity, which reflected on increasing the
259 stall angle of attack.

260 2.4.2. Flatback airfoil

261 There are several attempts to improve annual energy production (AEP) by increasing the blade
262 length [80]. Manufacturers have also researched to decrease the aerodynamic and structural load,
263 which causes a long blade [81]. Researchers have proposed flatback airfoils at the inboard section of
264 the blade due to the high lift coefficient and larger sectional area to overcome the disadvantages of
265 the structural load caused by increased blade length [82, 83]. Kim et al. [84] studied the sufficient
266 structural stiffness and safety consideration effect for flatback airfoil that have 20% cross-section areas
267 larger than non-flatback airfoil. In addition, the rigidity of the cross-section area has increased due to
268 a decrease in blade weight [85, 86]

269 The wealth of research in wind turbine applications using a flatback airfoil includes
270 aerodynamic characteristics, design process, and simulation methods [87-91]. Murcia and Pinilla [92]
271 investigated the adding thickness and cutting method in the design of flatback airfoil. Both methods
272 showed an increase in maximum lift coefficient and drag coefficient, where the stall angle is delayed.
273 However, adding thickness method gives a higher maximum lift coefficient when compared with
274 cutting techniques. Standish and Van Dam [93] investigated the design process used in the flatback
275 airfoil. The study concluded that the method of adding asymmetrical thickness on the baseline of the
276 airfoil has an improvement on the changes of the aerodynamic characteristic of the flatback airfoil
277 that produces after using cutting methods. As such, combined adding asymmetrical thickness design
278 process decreases the negative effect of increasing thickness, which contributed to the cutting
279 method, which will improve the aerodynamic characteristics of the airfoil.

280 Law and Gregorek [94] studied a flatback airfoil for a large capacity wind turbine. They found that a
281 higher lift coefficient and the lower drag coefficient is achievable in a flatback airfoil compared to the
282 conventional airfoil. Homsrivanon found that using flatback airfoils enhanced aerodynamic
283 performance by increasing the lift-to-drag ratio. Chen et al.[95]used a genetic algorithm for two
284 desired optimization objectives (maximum lift coefficient and maximum lift to drag coefficient) to
285 produce optimal flatback airfoil that have excellent aerodynamic properties. Zhang et al. [96]
286 compared the different the numerical parameterization methods used for optimizing the airfoil; the
287 results showed an improvement of lift-to-drag ratio. Still, there was no improvement for stall
288 characteristics. Baker et al. [97]verified experimentally that insensitive of trailing edge flatback airfoil
289 to contaminations on the leading edge, but the base drag in the wake caused drag increasing.
290 Furthermore, the method of adding asymmetrical thickness on the baseline of airfoil improved the
291 lift coefficient when compared with conventional airfoil, but the drag coefficient is increased.

292 Some of the drawbacks of the flatback airfoil compared with conventional airfoil are increasing drag
293 at a high angle of attacks due to separation vortex [98]. A flatback blade generates separation vortex
294 around the hub in the spanwise direction, so some of the essential research areas in the development
295 of wind turbine blade are adding devices that suppress separation vortex, for example, vortex
296 generator [99-101]. Ceyhan et al. [102] investigated the problem of the higher drag coefficient of
297 flatback airfoils, which limits their structural application in inboard parts of the blade of the wind
298 turbine. They suggested the swallowtail concept as a non-conventional flatback airfoil. They studied
299 the modified DU 97-300 flatback airfoil experimentally with 10% trailing edge thickness and without
300 the shallow tail. They found that the drag decreased 40%, and hence the output power of the wind
301 blade could increase without any modification of operating conditions. Therefore, non-conventional
302 flatback is a promising technology that could be used in the inboard part of the wind turbine. The
303 other drawback of the flatback airfoil is increasing vortex shedding noise and aero-elastic problems
304 [103, 104]. However, the inboard region in the blade, which used flatback airfoil, operates at speed
305 lower than tip speed, this makes a slight increase of rotor noise.

306

307 **3. Control system and associated performance characteristic**

308 Wind energy is an uncontrollable resource of energy since wind flows randomly with time. The
309 unsteadiness of wind flows explained the need for a control system that captured wind power with
310 an efficient energy conversion system [11]. The main goals of using a control system in HAWT are
311 increasing power production and decreasing static and dynamic structural loads by regulating the
312 operating parameters to the desired value. Available wind power can be calculated according to
313 equation 7 [105].

$$314 \quad P_W = 0.5\rho A_r v^3 \quad (7)$$

315 where ρ is the air density and A_r is the rotor swept area. The power coefficient concept
316 used as a significant factor in aerodynamic characteristic as the efficiency of the blade,
317 which calculated as follows:

$$318 \quad C_p = \frac{P_m}{P_W} \quad (8)$$

319 where P_m is the mechanical power, C_p is a power coefficient (dimensionless). The angle of
320 attack in the horizontal wind turbine will be increased by increasing the wind speed. Thus
321 the dynamic stall phenomenon results according to unsteadiness variation of the angle of
322 attack through the blade [106]. There are two different types of control systems are used for
323 regulation the power in order to avoid stall conditions, stall regulated, and pitch regulated.
324 The essential role of pitch regulated control system is a power regulation in the operating
325 zone when the wind speed is higher than the rated wind. This regulation decreased the
326 severe load on structural HAWT [107]. Recently HAWT is used as an active pitch control
327 system with hydraulic and electrical actuators to overcome power fluctuating drawbacks
328 [108].

329 The main controlled performance parameters in the HAWT are pitch angle and the
330 generator torque. The rotor speed will vary accordingly to undergo the strategy of the

331 maximum power point tracking in the generator torque control system. On the other hand,
332 in the pitch-regulated system, the smooth output power will result from the controlling of
333 wind input torque. Controlling the optimum blade pitch angle and optimum tip speed ratio
334 are used to get the optimum power coefficient in pitch regulated variable speed horizontal
335 wind speed as there is an optimum power coefficient related to specific wind speed [109].

336 There are different control methods used. For example, the Maximum Power Point Tracking
337 (MPPT) method is easily implemented as a control system; however, this method results in
338 significant fluctuations in the load that is reflected in decreasing the wind turbine
339 components life [110]. There is another technology used for the control system of rotor speed
340 called LIDAR (Light Detection and Ranging) [111], which measured the wind speed ahead
341 of the rotor blade then control the wind speed when the change of wind speed gradually.
342 However, this method is not accurate under turbulent wind weather. Different research
343 work on optimal control strategy used for increasing the efficiency of power production in
344 [112, 113].

345 **4. Computational Fluid Dynamic techniques**

346 In the early 1990s, Computational Fluid Dynamics techniques (CFD) are used for solving
347 flow problems around wind turbines by available commercial software, for example,
348 EllipSys3D and Fluent [114-116]. The environmental conditions, e.g. wind speed and
349 direction, have an essential influence on the lifetime of the wind turbine. Understanding
350 the turbulence model, which simulates the aerodynamics of wind flow around a wind
351 turbine, is essential for obtaining reliable results. In this section, different turbulence
352 models for all flow Navier-Stokes equations are will be discussed. In CFD techniques, the
353 method of finite volume is used for solving the momentum and mass equations in
354 addition to equations of turbulence for each control volume cells.

355 **4.1. Turbulences models**

356 Until now, there is not a unique model that predicts all physical characteristics of
357 turbulent flow. There are various models used in the turbulent flow of wind turbines such
358 as Direct Numerical Simulation (DNS), Reynolds Averaged Navier-Stroke (RANS), and
359 Large Eddy Simulation (LES). DNS has the highest accuracy in turbulence solution.
360 However, the required computational time and cost are relatively high [117, 118]. The
361 most common model used for solving Navier-Stokes equations is RANS [119, 120]. The
362 mathematical principle concept is based on the calculation method of the Navier–Stokes
363 equation, which divides the flow into a fluctuating part and average part where the
364 average equation is called Reynolds-averaged Navier- Stokes equations. There are
365 different turbulence models used for solving the RANS equation.

366 Firstly, the $k-\varepsilon$ turbulence model series calculate the eddy viscosity by solving two parameters, the
367 turbulent dissipation rate (ε) parameter, and a turbulence kinetic energy (k) parameter. Standard $k-$
368 ε was specified by Launder and Sharma [121], which is widely popular used, but it gives poor results
369 for flow that had a separation phenomenon such as flow around the wind turbine. Another
370 improvement and modification on standard $k-\varepsilon$ have been done to get the Renormalization
371 Group (RNG) $K-\varepsilon$ and *Realizable* $k-\varepsilon$ turbulence models [122, 123]. Both models share the same

372 transport equation as standard $k-\varepsilon$ for turbulent kinetic energy (k) and dissipation rate (ε). However,
373 the turbulent viscosity generation and calculation method are the differences among these models.
374 The renormalization group theory was used as a statistical way for solving the *RNG $k-\varepsilon$* turbulence
375 model. The *RNG $k-\varepsilon$* turbulence has different modifications than standard $k-\varepsilon$, for example,
376 considering the impact of rotation in eddy viscosity. The *RNG $k-\varepsilon$* is more accurate, and has a better
377 prediction for separations flows of the recirculation length than standard $k-\varepsilon$ [124]. The realizable $k-$
378 ε model is recommended for rotating bodies as the results could be improved when compared with
379 standard $k-\varepsilon$ for swirling flow problems under specific Reynolds numbers [125].

380 $k-\omega$ turbulence model is another RANS that is widely used for simulation flow around the wind
381 turbine. Kolmogorov proposed the first form of the $k-\omega$ model [126]. The Imperial College group has
382 done a new improvement in this model, but the most distinguish development has been done by
383 Wilcox [127]. In some applications, the $k-\omega$ model has higher accuracy than *standard $k-\varepsilon$* due
384 to boundary layers with an adverse pressure gradient, and the sublayer could be integrated without
385 a need for any extra damping functions. However, $k-\omega$ is still sensitive for some flow with free stream
386 boundaries applicant. $k-\omega$ Shear Stress Transport (*SST*) is an advanced turbulent model done by
387 Menter [128], wherein this model combines the advantages of $k-\omega$ and $k-\varepsilon$ turbulence model.
388 Therefore, the inner part of the boundary layer where is used the $k-\omega$ models and then converted
389 gradually to $k-\varepsilon$ in the free shear layer and wake region outer layers. The translation between the two
390 models is related to blending functions. The other advantages of this model are the modification of
391 eddy viscosity, which considers the effect of turbulent shear stress transportation. Different
392 modifications of $k-\omega$ Shear Stress Transport (*SST*) had been done to enhance rotation and streamline
393 curvature [129].

394 Another RANS model is the *transition SST ($\gamma-Re\theta$)* model, which was extended based on the
395 $k-\omega$ *SST* [130]. It has four-transport equations, which link $k-\omega$ (*SST*) equations with
396 momentum thickness Reynolds number transition outset method ($Re\theta$) and intermittency
397 (γ) transport equations. The *transition SST* model is more precise than classical fully
398 turbulent models due to its the ability to deal with the laminar-turbulent transition flow
399 model where the separation of flow and stall phenomena occurred.

400 The Spalart-Allmaras (*SA*) is the simplest RANS turbulence model, which uses one transport
401 equation. Where the computational of turbulence quantity is formulated by one transport
402 equation, in which the kinematic eddy turbulent viscosity is the equation's variable [131].
403 This model was designed and optimized for a compressible flow over airfoils and wings for
404 aerospace applications. It could simply imply a different type of grid for practical situations
405 with including adverse pressure gradients where it gets easily stable and convergence of the
406 solution[132]. On the way, the model could make significant diffusion, especially in regions
407 of 3D vortices flow [133]. Different improvement work is done by Spalart and Shur [134],
408 and Rahman et al. [135] to take into consideration the effects of rotation, near wall and
409 reduction of the diffusion effect. The advantage of the fast convergence of this model
410 reflected in the low computation time when compared to other turbulence models [132].

411 Large Eddy Simulation (*LES*) is another model developed to have a lower computationally
412 demand than Direct Navier-Stokes. The first trial of *LES* in engineering has been done by
413 Deardorff [136-139]. Unlike RANS models, the *LES* turbulence model has wider

414 applicability and more accurate results compared to the RANS model. Another advantage
415 is decreasing the length of scales in LES approaches in which the turbulent is divided into
416 two parts in the computational domain. The first part is the important large scales which
417 was fully resolved, while the second part is the small sub-grid scales, which behave in a
418 universal way, are modeled. The superiority of LES models uses in high Reynolds number
419 turbulence models investigations and adequate prediction of complex flow than other
420 turbulence models [140]. Unfortunately, the required computational time for the LES model
421 is higher than the RANS model.

422 The Detached-Eddy Simulation (DES) was developed in 1997 [141] and applied for high
423 Reynolds number with a massively separated flow [142]. The latter method is a blending
424 model between LES and RANS approaches. Hence, LES is applied with external flow
425 regions with massive separations, whereas the boundary layer is solved by RANS [143].
426 Travin et al. [144] described the DES as a single turbulence model that used unsteady three-
427 dimensional numerical methods. Johansen et al. [145] found that using DES approaches
428 does not improve the characteristic of the wind turbine did not been improved when using
429 the DES method due to the long computational time.

430 4.2. Application of turbulence models used for aerodynamic simulation of the wind turbine

431 The numerical simulation of flow around the wind turbine is sensitive to the numerical
432 models used for wind turbine design under operating conditions. The literature is
433 abundant with various turbulence models that were used for validation of different
434 numerical methods against experimental works. For example, Li et al. [146] used CFD
435 Ship-lowa with a sliding mesh for NREL PHASE VI investigation of unsteady RANS
436 model and DES model. The study found that the results of thrust forces and moments
437 were different from the experimental work. However, using DES caused considerable
438 improvements in the unsteady flow of the wind turbine.

439 Lanzafame et al.[147], Potsdam and Mavriplis [148], and Rajvanshi et al.[149] studied
440 the numerical simulation of NREL PHASE VI using $k-\omega$ SST and *transition* SST. The
441 results demonstrated the better capabilities of *transition* SST compared to $k-\omega$ SST with
442 experimental work. In another work, Moshfeghi et al. [150] studied the effects of near-
443 wall grid treatment on the aerodynamic performance of the wind turbine. NERL Phase
444 VI model with eight cases was examined for a near-wall grid that used $k-\omega$ SST and
445 *transition* SST turbulence models. Different wind speeds are used for predicting thrust
446 forces and pressure coefficients. The thrust force results of $k-\omega$ SST were not in good
447 agreement with the thrust values in test results. In general, the $k-\omega$ SST model is over
448 predicting the performance of the wind turbine. However, *transition* SST behavior is
449 different from the $k-\omega$ SST model, particularly in the inboard regions, but the outcomes
450 are close to the experimental work.

451 $k-\varepsilon$ turbulence models are used in studying the flow around the wind turbine and wake
452 dynamic behavior. Kasmi and Masson [151] and Abelsalam et al. [152] performed a full-
453 scale study of three wind turbines based on different $k-\varepsilon$ turbulence models and

454 compared results with experimental work. The results showed that the modified $k-\varepsilon$ is
455 in better agreement with previous experimental measurements than standard $k-\varepsilon$.
456 Different studies on the reliability of predicting wind turbine performance using
457 different turbulence models. Rutten et al. [153] computed the NREL phase VI using two
458 RANS models: $k-\omega$, $k-\omega SST$. The result showed that the $k-\omega SST$ turbulence model gives
459 a better estimation for turbulent kinetic energy value when compared to $k-\omega$.
460 Abdulqadir et al. [154] investigated the reliability based on RANS and 12 turbulence
461 models for predicting of NREL Phase VI wind turbine. All RANS numerical key
462 performance coefficient at low tip speed ratios showed a good value when validated
463 against experimental results. However, during high tip speed ratios, the poorest
464 simulation results achieved by $k-\omega SST$, whereas realizable $k-\varepsilon$ showed relatively good
465 results. You et al. [155] investigated the effect of different RANS turbulence models
466 (Spalart-Allmaras, $k-\omega SST$, and *transition SST*) on the estimation of the aerodynamic
467 characteristic around the NREL phase VI blade rotor. The results demonstrated the
468 ability of *transition SST* of capturing the laminar separation bubbles around the airfoil
469 surface and rotor blade. Thus, the results of the $k-\omega$ Transition model has good
470 agreement with experimental data due to a good prediction of the transition area of
471 boundary layer. The numerical performance predicting of New Mexico wind turbine
472 investigated the effect among RANS turbulence models using two different near-wall
473 methods of high and low Reynolds number [156]. The RANS models used in the high
474 Reynolds model are Spalart-Allmaras and $k-\varepsilon RNG$, while the models have been used
475 for a low Reynold number are $k-\omega SST$ and $k-\omega$ Transition. All four models, under low
476 wind speeds range, had a good prediction of the aerodynamic performance of the wind
477 turbine. While increasing the wind speeds, more differences between models appear,
478 the high Reynold model had better results compared with the low Reynolds model. A
479 swirl effect was considered with wall function corrections, where the $RNG k-\varepsilon$
480 turbulence model is recommended with increasing wind speed [156]. The following table
481 shows the previous works of the CFD summary for HAWT.

Authors	Year	Turbine Type	Method/Tool	Transient /steady	Reynolds number	Numerical Turbulence model	Mesh (full or periodic)	Key investigated parameters	Comments
Sørensen et al. [157]	2002	NREL PHASE VI 2 bladed turbine	EllipSys3D CFD code	Transient	At the root and tip, the Reynolds number varies between $(0.7-1.4)10^6$ and $(1.0-1.1)10^6$, respectively.	<i>k-ω SST</i>	Using 90° Section with the periodic plane. 3.1,4.2 million cells for free and tunnel configuration	Validate computed value of flap and edge moments, aerodynamic coefficient, and pressure distribution during wind speed variation against the experimental results.	Airfoil type is S809. Diameter 10.068(m) In the study of aerodynamics, the influence of Tower and nacelle will be ignored. 0° yaw angle and 3° pitch angle.
Johansen et al. [145]	2002	NREL PHASE VI, 2 bladed turbine	EllipSys3D CFD code	Transient	Free Flow velocity V=20 m/s	DES, <i>k-ω SST</i>	Using 90° Section with the periodic plane. 8.9 million cells	Validate predicting values of the normal and tangential force coefficient distribution that focuses on static and dynamic stall regions with experimental NREL data.	Airfoil type is S809. Diameter 10.068(m) In the study of aerodynamics, the influence of Tower and nacelle will be ignored.
Duque et al.[158]	2003	NREL PHASE VI, 2 bladed turbine	OVERFLOW-D2 CAMRAD II code	Transient	Velocity=13,15, 20,25 m/s			OVERFLOW-2D predicted good results against experimental work in non-stall conditions	Yaw angle at 13°, 30°, 60°
Johansen and Sørensen [159]	2004	NREL PHASE VI, Danish 95 kW Tellus and Danish 500 kW. 3-bladed wind turbine.	BLADE ELEMENT MOMENTUM METHOD (BEM) & EllipSys3D CFD code	Transient	Re=1*10 ⁶	DES, <i>k-ω SST</i>		Computed mechanical power using CFD has a good convenient with BEM.	Stall-regulated wind turbine 0° yaw angle. Derived new correction models from extracted aerofoil characteristic
Mandas et al. [160]	2006	Nordtank 41/500 turbine, 500 kW 3-bladed turbine	Fluent	Steady	Free Flow velocity range 6.8-12 m/s	Spalart-Allmaras, <i>k-ω SST</i>	1.5 million Cells. 120° periodicity used for the rotor	Study how wind velocity variation effect shaft mechanical Torque and Tip speed ratio corresponding to Functions of power coefficient.	Fixed pitch, stall regulated. NACA 63-4xx Tower and nacelle will be ignored. Rotor diameter of 41 m. Good agreement of aerodynamic performance from CFD when compared with BEM.

Sezer-Uzol, and Long [161]	2006	NREL PHASE VI, 2 bladed turbine	PUMA2 solver	Transient	Velocity at 7,15 m/s	Large Eddy Simulations, (LES)	3.6 million rotating tetrahedral cells	The flow attached at case 1,2 but in case 3 there is a massive separation with the entire blade	Different yaw cases: Case 1: 7m/s yaw angle 0°. Case 2: 7 m/s yaw angle 30°. Case 3: 15 m/s yaw angle 0°.
Hu et al.[162]	2006	Three-bladed downwind rotor	Fluent	Steady	Mean velocity varies from 0-15 m/s	<i>RNG k-ε</i>	352,080 cells. 120° periodicity used for the rotor	Using the boundary layer analysis method to have a deep understanding of the essential physics of stall delay phenomena	NREL S809 airfoil. Diameter of 1(m) In 3D stall delay, Coriolis and centrifugal forces are important. Validated against Simms et al. [163]
Wułow et al.[164]	2007	3 bladed HAWT Type ENERCON E66	Fluent	Transient	Velocity range 8-12 m/s	LES	4.05 million cells Full blade simulated	Compared the local value of velocity magnitude and Turbulence intensity inside the wake with field measurement.	Validated against data which collected during “Deutsche Institut für Bautechnik” field project Tower include during simulation analysis.
Thumthae and Chitsomboon [165]	2009	NREL PHASE II 3-bladed wind turbine.	Fluent	Steady	Wind speeds at 7.2,8.0,9.0,10.5 m/s	<i>Standard k-ε</i>	120° periodicity used for the rotor	Find the optimal angle of attack which gets the greatest power output.	NREL S809 airfoil. The rotor diameter of 10.068 m 20 kW of constant 72 rpm Pitch angles are 4.12°,5.28°,6.66°,8.76° Validated against NREL PHASE II.
Fletcher et al.[166]	2009	NREL PHASE VI, 2 bladed turbine		Steady	Wind speeds at 7,10,25 m/s	RANS equations. Vorticity Transport Model.		Study normal, tangential force and power coefficient.	Good ability of the Vorticity Transport Model for wake structure. Validated against NREL PHASE VI
Sørensen [167]	2009	NREL PHASE VI, 2 bladed turbine	EllipSys3D	Transient	Reynolds number of 7.2×10^6	<i>k-ω SST, transition SST</i>	512 × 128 cells	Used the <i>transition SST</i> for predicting lift and drag of two turbine	S809, NACA63-415 Variable turbulence intensity will vary from 1.20% to 0.38%. 0 yaw angle. Validated against NREL PHASE VI
Gómez-Iradi et al [168]	2009	NREL PHASE VI 2 bladed turbine		Transient	Velocity at 7,10,20 m/s	URANS	Different mesh distribution used for each case	Investigated the effect of the wind tunnel wall and blade/Tower interaction on aerodynamic of wind turbine	Validated against NREL PHASE VI. Compressible flow. Tower and nacelle included in the study.

Tachos et al.[169]	2010	NREL PHASE VI 3 bladed turbine	Fluent	Steady	Velocity at 7.2 m/s	Spalart-Allmaras , RNG $k-\epsilon$, standard $k-\epsilon$, $k-\omega$ SST	4.2 million cells, 120° periodicity used for the rotor	Comparison for pressure distribution between different Turbulence models against experiment work.	Airfoil type is S809. Diameter 10.068(m) Incompressible Tower and nacelle will be ignored.
Fu and Farzaneh [170]	2010	NREL PHASE VI 3 bladed turbine	Fluent	Steady	Velocity at 7,10,13 m/s	$k-\epsilon$	1.7 million cells, 120° periodicity used for the rotor	Model HAWT under the process of rime –ice accretion.	Airfoil type is S809. The rotor diameter 10.068(m) Different rotation speeds 5, 7.5 and 10 rad/s.
Bechmann et al.[171]	2011	MEXICO 3-bladed turbine	EllipSys3D	Steady	Velocity at 10,15,24 m/s	$k-\omega$ SST	120° periodicity used for the rotor	Validating aerodynamic forces against experiment.	4.5 m diameter DU91-W2-250, RISOE A21, NACA 64418
Lawson et al. [58]	2011	550 kW Two bladed turbine	STAR CCM+	Transient	Velocity at 0.5 m/s to 3.0 m/s.	$k-\omega$ SST	Used different mesh density , 90° periodicity used for the rotor	Study Pressure distribution, blade root flap of the wind turbine	NACA 63(1)-424
Elfarra et al. [172]	2014	NREL PHASE VI Two bladed turbine	Fine/Turbo of NUMECA	Transient	12 different wind speeds between 5 and 25 m/s	RANS $k-\epsilon$	350,000 cells Using 90° Section with periodic plane	Comparison between optimized blade(with winglet) and the original blade(without winglet)	The optimize blade (with winglet) increased the power production by 9% compared to the original blade. Validated against NREL PHASE VI
Abdelsalam et al.[173]	2014	2 MW wind turbine	Fluent	Steady	Velocity at 8,10,12,14 m/s	Standard $k-\epsilon$	Unstructured mesh CFD ICEM	Validated against El Kamsi[151]	The results showed that $k-\epsilon$ could give a good result if the blade modelled accurately.
Song and Perot [174]	2015	NREL PHASE VI Two bladed turbine	OpenFOAM-1.6-ext	Transient	Different wind speed =5 ,10 ,21 m/s,	RANS	10 million cells	Studied the 3D flow under separation conditions.	0 ° yaw angle 3° tip pitch angle The rotation speed of 72 rpm.
Derakhshan and Tavaziani [175]	2015	NREL phase VI	Fluent	Steady	Low wind speed (5-20) m/s	Spalart-Allmaras $k-\omega$ SST $k-\epsilon$	2,697,136 mesh	Validated against NREL phase VI	$k-\omega$ SST showed better results when compared to experimental values.
Sørensen et al. [176]	2016	MEXICO Three bladed turbine	EllipSys3D	steady	Velocity at 10,15,24 m/	$k-\omega$ SST	span-wise direction (129 cells) chord-wise direction (256 cells) normal direction (128 cells)	The results showed good agreement with experimental values.	The numerical results investigated the pressure distributions and wake characteristics.

Wang et al [177]	2016	WindPACT 1.5 MW	Fluent	steady	Velocity at (8,12,16,20,24) m/s	$k-\omega$ SST	5,460,679 cells	The model is validated against literature data test	
Menegozzo et al.[178]	2018	NREL phase VI Two bladed wind turbine	Fluent	Transient	Different wind velocity range	$k-\omega$ SST	8.5 million cells unstructured moving mesh strategy.	Validated against NREL phase VI	A numerical study of the extreme load has been investigated.

5. Conclusion remarks and challenging.

There are different challenges when using the wind turbine technology such as high competition between industries part [179], wind measurement devices accuracy[180], maintenance and operations problems [181-186], in addition to power and grid distribution challenges [187-189]. There are different solutions to improve the control system [190, 191], wind forecasting devices [192-194].

In general, the aerodynamics of the wind turbine was studied for more than two centuries. The aerodynamics of the wind turbine was studied for more than two centuries and previous studies focused mostly the challenges and solutions using experimental methods. However, the 3D simulation work is still in the earlier stage with many simplifications due to cost and complexity. This paper reviews the key points in the aerodynamic wind turbine design. The major conclusions of this review are:

- Accurate wind distribution data is essential in the design of wind turbine depending on specific site. Weibull and Rayleigh are two probability distribution functions that are commonly used to determine the occurrence frequency of wind speed. Until now, there are many works in this direction by development different models to compare the accuracy between these probability density function.
- Different parameters affected the design of wind turbines such as the HAWT power curve, tip speed ratio, Blade Plane shape. There are several research works to enhance the aerodynamic performance by decreasing cut-in speed, appropriate selection of tip speed ratio with taking into consideration the mechanical stress and noise.
- There are different families used in a modern wind turbine, selection of these airfoils depending on different considerations such as a lift to drag coefficient. Different research on design criteria of mixing airfoil to increase the efficiency of the wind turbine is a one of research topic.
- The simulation of HAWT using CFD is a very good tool in predicting aerodynamic performance, which accuracy depending on the selection of suitable turbulence models. Most of the current numerical work focuses on increasing the accuracy of CFD models of predicting aerodynamic performance by trying to solving constraints of cost and time consuming through simulation.

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