

1 **Abstract**

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3 **Purpose:** The present study proposed to investigate the relationship between sleep  
4 quality and quantity and injuries in elite soccer players. A secondary aim was to  
5 compare sleep-wake variables and injury characteristics. **Methods:** The current  
6 investigation was a prospective cohort study of 23 elite male soccer players  
7 competing for two teams over 6 months in the highest level Brazilian competition.  
8 The players' sleep behaviour was monitored for 10 days in the pre-season using  
9 self-reporting sleep diaries and wrist activity monitors to determine sleep duration  
10 and quality. Furthermore, injuries were recorded by the respective club's medical  
11 teams into a specific database. Details of injuries recorded including the type,  
12 location and severity of each injury. The results were expressed as descriptive  
13 statistics, and the significance level was set at 5%. The Mann-Whitney Test (*U*  
14 test) was performed to compare the sleep variables between both groups.  
15 Spearman's correlation coefficient and linear regression analysis were used.  
16 **Results:** The results indicated a moderate negative correlation between sleep  
17 efficiency and particular injury characteristics, including absence time, injury  
18 severity and amount of injuries. The linear regression analysis indicated that 44%  
19 of the total variance in the amount of injuries (number) that can be explained by  
20 sleep efficiency, 24% of the total variance in the absence time after injury (days)  
21 that can be explained by sleep efficiency and 47% of the total variance in the  
22 injury severity that can be explained by sleep efficiency. **Conclusions:** Soccer  
23 players who exhibit lower sleep quality or non-restorative sleep show associations  
24 with increased amount and severity of musculoskeletal injuries.

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26 **Keywords:** Sleep, injury, athlete, soccer, actigraphy.

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## 51 **Introduction**

52 Sleep is a basic requirement for human health and given its restorative  
53 qualities is recognized as an important component of an athlete's recovery<sup>1</sup>. While  
54 both the quantity and quality of sleep are important, sleep quality remains an area  
55 lacking research focus when evaluating recovery of high-performance athletes<sup>2,3</sup>.  
56 Poor sleep quality is reported as common among athletes, particularly before  
57 competitions; for example, a study by Tuomilehto et al. reported  
58 that sleep problems are common in professional athletes, such as altered sleep-  
59 wake cycle and/or frequent late night social media usage<sup>4</sup>. Such sleep restriction is  
60 thought to have a significant impact on performance<sup>5</sup>, as insufficient and non-  
61 restorative sleep can reduce athletic performance, causing a decline in  
62 implementing specific skills in sports<sup>6</sup>.

63 In this context, a study conducted by Leproult and Van Cauter (2015)  
64 observed a reduction in testosterone levels of 10 to 15% after a sleep restriction  
65 protocol for 5 hours per night during one week in healthy men, thus demonstrating  
66 that sleep debt can negatively influence the musculoskeletal tissue<sup>7</sup>. Being that the  
67 quantity and quality of sleep interfere in performance and affect muscle  
68 recovery<sup>6,8</sup>, changes occurring in these sleep variables may favor the appearance  
69 of musculoskeletal injuries<sup>8</sup>. The release of growth hormone, testosterone and  
70 cortisol occur during sleep as part of the processes regulating protein synthesis  
71 and degradation, which in turn assist in post-exercise muscle and metabolic  
72 recovery<sup>7,9</sup>. Thus, poor sleep quality is associate with the reduce of anabolic  
73 hormones, which in turn may compromise adaptation, integrity and growth  
74 muscle<sup>9,10</sup>. Although theoretical in concept, insufficient sleep has been reported in  
75 adolescent athletes and a recent study reports an association with an increased risk  
76 of sports injuries<sup>11</sup>.

77 Injury in football is endemic and insidious in nature, with a multitude of  
78 factors contributing to injury etiology<sup>12</sup>. For example, Junge et al. (2004) analyzed  
79 the incidence of injuries in football during international competitions in a period  
80 of four years and registered 88.7 injuries every 1000 hours of gameplay<sup>12</sup>.  
81 Furthermore, 104 injuries were recorded in the 2014 FIFA World Cup, equivalent  
82 to 50.8 injuries every 1000 hours of gameplay<sup>13</sup>. It is concerning when poor  
83 recovery may be a contributing factor in athlete susceptibility<sup>14</sup>. For example, in a  
84 case study with one professional soccer athlete, Nédelec et al. (2017) reported that  
85 poor sleep quality was related to the occurrence of musculoskeletal injury<sup>15</sup>. Even  
86 though such case studies are speculative, the physiological rationale for poor sleep  
87 to interfere with physiological recovery is evident<sup>8</sup>, but evidence for this  
88 association between sleep and injury is lacking in the literature.

89 Considering the above, it is necessary to prospectively investigate the  
90 association between objective measures of quality and quantity of sleep with the  
91 incidence of musculoskeletal injuries in soccer players. Thus, the present study  
92 proposed to investigate the relationship between sleep quality and quantity and  
93 injuries in elite soccer players. A secondary aim was to compare sleep-wake  
94 variables and injury characteristics between athletes with good and poor sleep  
95 quality.

## 96 **Methods**

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98  
99 The authors declare they do not have any potential conflict of interest in  
100 this study.

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## 102 Subjects

103 All participants in the study gave their informed consent. This study was  
104 approved by the Ethical Committee of the *Universidade Federal de Minas Gerais*  
105 (*UFMG*) (Protocol number# 64492016.8.0000.5149). Forty-two (42) players from  
106 two clubs at the highest level of Brazilian competition were invited to participate  
107 in the study. Of these, seven players reported (regular conversation) some sleep  
108 disorder symptom or sleep complaints (insomnia, snoring and sleep apnoea), and  
109 twelve who did not use the actigraph correctly (less than 5 days). Thus, 19 were  
110 excluded from the analysis of the present study. Therefore, the current  
111 investigation was a prospective cohort study of 23 elite soccer players (Mean  $\pm$   
112 SD, age:  $26.5 \pm 5.2$  years; height:  $185 \pm 6$  cm; body mass:  $74 \pm 8.2$  kg) over a  
113 period of six months. The researchers initially presented the technical details of  
114 the study to the players and gained informed consent following an outline of the  
115 procedures and the main investigator answered any questions. Regular informal  
116 conversations with the player and support staff at the club (sports scientists and  
117 medical staff) contributed to full adherence to the protocol.

118

## 119 Design

120 The study was conducted in the training centers of both soccer teams in the  
121 city of Belo Horizonte, MG, Brazil. Players were assessed for ten days in the pre-  
122 season (sleep wake-cycle) and injuries were evaluated over the next six months.  
123 The researchers initially held a talk for soccer players and for the technical  
124 commission on the study proposal and importance of the research. The procedures  
125 were started after direction by the team.

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## 127 Methodology

128 *Actigraphy*

129 In the present study, the players's sleep behaviour was monitored using an  
130 *Actiwatch 2* wrist activity monitor actigraph (*Philips Respironics*<sup>®</sup>, *Andover*,  
131 MA), which continuously measured the athletes' rest-activity or sleep-wake  
132 cycles (sleep/wake thresholds  $> 80$  counts)<sup>16</sup>. The actigraph contains an internal  
133 accelerometer that registers body movements at regular intervals. Self-reporting  
134 sleep diaries were used to help the actigraph analysis. Recent studies have  
135 demonstrated a high correlation (0.81-0.92) between actigraphy and  
136 polysomnography<sup>16</sup>. Therefore, this instrument reliably registers sleep parameters  
137 and is agreed to be a tool to monitor the sleep of elite athletes<sup>1,16</sup>. The actigraph  
138 was worn on the non-dominant wrist of each athlete beginning on the first day of  
139 the assessment and remained there for 10 consecutive days. Athletes were  
140 instructed to use the actigraph during periods of rest and activity (awake  
141 time/24h), except when they were in training or taking a shower. The data  
142 collected at 60-second intervals were transferred to a computer and analyzed by  
143 the *Actiware* software (*Philips Respironics*<sup>®</sup>, *Andover*, MA). The analyzed  
144 variables were time awake, sleep duration, WASO (Wake After Sleep Onset),  
145 sleep efficiency and sleep latency.

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147 *Record of Musculoskeletal Injuries*

148 A specific database for recording injury was created and completed in  
149 conjunction with the medical team of each team on the day of the athlete's injury.  
150 These data were collected in a similar way in both clubs, since the medical team

151 pre-matched these data in the state of Minas Gerais for professional soccer  
152 players. An injury is defined as: any physical complaint sustained by a player that  
153 results from a soccer match or soccer training, irrespective of the need for medical  
154 attention or absence time from soccer activities. The current definition mirrors  
155 that employed by Fuller et al. (2006) for the consensus of injury definitions  
156 proposed for soccer players<sup>17</sup>. All injuries were categorised by injury type  
157 (description), body side (injury location) and mechanism of injury (traumatic or  
158 overuse), and whether the injury was a recurrence<sup>17</sup>. The amount of injuries, the  
159 injury severity, and the absence time of each athlete were also recorded for six  
160 months. The severity injury variables were classified by numbers (1, 2, 3, 4) as:  
161 minimal severity (0-3 days) = 1; mild severity (4-7 days) = 2; moderate severity  
162 (8-28 days) = 3; severe severity (>28 days) = 4.

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#### 164 Statistical Analysis

165 The athletes were separated into two groups for the statistical analysis: a  
166 group was composed of athletes with good sleep quality, and the other group was  
167 composed by athletes with poor sleep quality. The results were expressed as  
168 descriptive statistics for the data analysis: mean (M), error standard (SE), 95%  
169 confidence interval (CI<sub>95%</sub>), absolute frequency (%) and effect size (ES), and the  
170 significance level was set at 5% ( $p < 0.05$ ). The Mann-Whitney Test (*U* test) was  
171 performed to compare the sleep variables (Time awake, sleep duration, sleep  
172 efficiency, sleep latency and WASO) between both groups (Poor sleep quality vs.  
173 Good sleep quality). Spearman's correlation coefficient was used to calculate the  
174 correlation between the following variables: sleep variables x injury severity,  
175 sleep variables x amount of injuries, and sleep variables x absence time after  
176 injury. Linear regression analysis was conducted to analyze the relationship  
177 between the sleep variables (Sleep efficiency and WASO) and injury variables  
178 (severity, amount of injuries and absence time after injury). The sleep variables  
179 (Sleep efficiency and WASO) were considered the independent variables, and  
180 severity and amount of injuries, and absence time after injury were considered the  
181 dependent variables. All statistical analyses were conducted using SPSS software  
182 for Windows, version 20.0.

183

#### 184 Results

185 Our results indicated a moderate negative correlation between reduced  
186 sleep efficiency, the higher absence time after injury, severity and amount of  
187 injuries (Table 1). In contrast, the WASO variable presented positive moderate  
188 correlation with higher WASO, the higher severity and amount of injuries. Sleep  
189 latency also presented positive moderate correlation with amount of injuries,  
190 demonstrating higher sleep latency than the higher the amount of injuries (Table  
191 1). However, no significant correlations were observed between time awake, sleep  
192 duration and any injury characteristic (Table 1).

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#### 194 TABLE 1

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196 Linear regression analysis indicated that 44% of the total variance in the  
197 amount of injuries (number) that can be explained by sleep efficiency ( $R^2 = 0.44$ ;  
198  $\beta = 0.06$ ). Likewise, 24% of the total variance in the absence time after injury  
199 (days) that can be explained by sleep efficiency ( $R^2 = 0.24$ ;  $\beta = 1.43$ ), and 47% of  
200 the total variance in the injury severity that can be explained by sleep efficiency

201 ( $R^2 = 0.47$ ;  $\beta = 0.07$ ). Additionally, 30% of the total variance in the amount of  
 202 injuries (number) that can be explained by WASO ( $R^2 = 0.30$ ;  $\beta = 0.02$ ). The  
 203 confidence limits (0.09, 0.03; 2.60, 0.26; 0.07, 0.02; 0.01, 0.04) respectively  
 204 indicated a 95% confidence that the slope for the soccer players were between  
 205 these limits, and the  $F_{(1,23)}$  test = 16.77;  $F_{(1,23)}$  test = 6.47;  $F_{(1,23)}$  test = 18.92;  $F_{(1,23)}$   
 206 test = 8.87 presented an associated probability level of  $p < 0.01$ ;  $p = 0.02$ ;  $p <$   
 207  $0.01$ ;  $p = 0.01$ , respectively.

208 After the data analysis, we separated 23 soccer players into two groups  
 209 based on the results of the data collected from the actigraphy (see below):

210 Good sleep quality ( $n = 5$ ): (Sleep duration  $\geq 7$ h; Sleep Efficiency  $> 85\%$   
 211 and Sleep Latency  $< 30$  minutes)<sup>14,18</sup>.

212 Poor sleep quality ( $n = 18$ ): (Sleep duration  $< 7$ h; Sleep Efficiency  $< 85\%$   
 213 and Sleep Latency  $> 30$  minutes)<sup>14,18</sup>.

214 Tables 2 and 3 show the overall player data and the comparison of the  
 215 sleep-wake cycle variables and injury characteristics of both groups (Good sleep  
 216 quality vs. Poor sleep quality) of the elite soccer players. As expected, we found  
 217 that poor sleep quality compared to good sleep quality presented significantly less  
 218 sleep efficiency, higher sleep latency, severity and amount of injuries, and  
 219 absence time after injury.

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221 TABLE 2

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223 TABLE 3

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225 In relation to the amount of injuries, 21.7% of the athletes were injured  
 226 once, 39.1% were injured twice, 34.8% were injured three times, and 4.3% were  
 227 injured four times during the six months of injury recording. Overuse was the  
 228 cause of most injuries (69.6%), and 30.4% were caused by specific trauma. The  
 229 most frequent type of injury was muscle strain (overall  $n=28$ ; good sleep quality  
 230  $n=1$ ; poor sleep quality  $n=27$ ); followed by knee contusion (overall  $n=3$ ; good  
 231 sleep quality  $n=3$ ; poor sleep quality  $n=0$ ); muscle fatigue of the lower limbs  
 232 (overall  $n=9$ ; good sleep quality  $n=0$ ; poor sleep quality  $n=9$ ); meniscal injury  
 233 (overall  $n=2$ ; good sleep quality  $n=0$ ; poor sleep quality  $n=2$ ); knee and ankle  
 234 sprains (overall  $n=4$ ; good sleep quality  $n=1$ ; poor sleep quality  $n=3$ );  
 235 acromioclavicular dislocation (overall  $n=3$ ; good sleep quality  $n=0$ ; poor sleep  
 236 quality  $n=3$ ); and nose fracture (overall  $n=2$ ; good sleep quality  $n=0$ ; poor sleep  
 237 quality  $n=2$ ).

238

### 239 Discussion

240 The results in the current study showed that reduced sleep quality was  
 241 associated with the number of injuries, injury severity and longer absence time in  
 242 these elite soccer athletes. Furthermore, players with poorer sleep quality showed  
 243 greater absence time, greater severity and number of injury incidences when  
 244 compared to the group with better sleep quality. Thus, low sleep efficiency, high  
 245 sleep latency and sleep fragmentation in football players should be of concern for  
 246 practitioners because it may have a relationship with injuries in soccer players.

247 In this sense, the group with good sleep quality showed a mean of 1.0  
 248 injuries in the six months, while the group with poor sleep quality showed a mean  
 249 of 2.5 injuries, representing that good quality sleep and sufficient amount can  
 250 reduce the risk of injury in athletes<sup>19</sup>, in addition to other intrinsic and extrinsic

251 factors, as well as provide an optimal physical and cognitive recovery.  
252 Furthermore, the group with poor sleep quality had a mean of 17.5 days of lost  
253 training and games, and the group with good sleep quality showed a mean of 5.0  
254 days of absence, which represents injuries from mild to moderate severity,  
255 respectively<sup>17</sup>. In a study in animal models, Dattilo et al. (2011) hypothesized that  
256 sleep debt seems to affect the physiology of musculoskeletal tissues due to the  
257 increase in protein degradation and decreased protein synthesis. Muscle recovery  
258 seems to remain committed because these processes are regulated by anabolic  
259 hormones (testosterone and GH) and catabolic hormones (cortisol and myostatin)  
260 which are strongly influenced by sleep<sup>20</sup>. Athletes who do not have restorative  
261 sleep or of low quality do not enjoy the metabolic benefits of sleep, which can be  
262 decisive for sporting performance and injury prevention<sup>21</sup>. Studies performed with  
263 several athletes showed that periods next to the competitions tend to have negative  
264 impacts on the quality and duration of sleep<sup>22</sup>.

265 A moderate negative correlation between sleep efficiency with the absence  
266 time, the severity and the amount of injuries was observed in the present study. In  
267 addition, a moderate positive correlation was found between WASO and severity  
268 and number of injuries, as well as positive moderate correlation between sleep  
269 latency and amount of injuries. Corroborating our findings, Luke et al. (2011)  
270 demonstrated that a night of sleep with less than or equal to 6 hours was  
271 associated to injuries caused by fatigue in athletes of different modalities,  
272 including soccer<sup>23</sup>. However, in the study by Milewski et al. (2014), it was  
273 demonstrated that athletes who slept less than 8 hours per night are 1.7 times more  
274 likely to get injured<sup>11</sup>.

275 A linear regression analysis was performed in the present study, in which  
276 it was found that reduced sleep efficiency was negatively associated with three  
277 characteristics of injuries (amount of injuries, injury severity and absence time) of  
278 soccer players. In addition, greater WASO were negatively associated with the  
279 injury characteristics in soccer players. In spite of these variables presenting a  
280 cause-and-effect relationship with values from low to moderate correlation (44%,  
281 23%, 47% and 30%), these numbers represent a considerable negative impact in  
282 clinical practice and sports. In addition to the physiological and behavioral  
283 impacts of sleep debt, a study in England showed that the average cost of an elite  
284 athlete away for a month to recover from an injury is approximately 50 thousand  
285 euros<sup>24</sup>. For this reason, and mainly in high performance sport where decisions on  
286 returning to competition and training have important financial consequences, it is  
287 necessary to detect musculoskeletal, psychomotor and behavioral factors related  
288 to risk of injury.

289 Sleep efficiency greater than or equal to 85% is considered an appropriate  
290 indicator of good sleep quality<sup>22</sup> for healthy individuals, which did not occur with  
291 poor sleep quality in the present sample (74.84%). In relation to sleep latency,  
292 only good sleep quality showed sleep latency within the recommended parameters  
293 (< 30 minutes)<sup>18</sup>. When comparing the groups, it can also be noted that poor sleep  
294 quality demonstrated greater difficulty in initiating sleep. However, both groups  
295 showed a greater awake time after sleep onset (Good sleep quality=29.4 minutes;  
296 Poor sleep quality=48.6 minutes) than is recommended by the scientific literature  
297 ( $\leq$  20 minutes)<sup>18</sup>.

298 Difficulty in initiating sleep and increased WASO (sleep fragmentation)  
299 may be signs of sleep disorders or non-restorative sleep, and may also cause  
300 losses in physical and cognitive performance<sup>22</sup>. Elite athletes usually have a high

301 prevalence of insomnia complaints, shorter sleep duration and poor sleep quality  
302 when compared to non-athlete populations<sup>1</sup>. This fact can be a consequence of  
303 several environmental and psychobiological factors not evaluated in this study,  
304 such as high load of transmeridional training, travel, stress and anxiety before  
305 competitions<sup>14</sup>. In addition, it is common that Brazilian football games start  
306 around 09:45p.m.<sup>25</sup>, extending the sleep of the players to much later, and  
307 consequently delaying their sleep phase. Sleep later than the usual time due to  
308 sports practice can cause a delay in melatonin hormone secretion<sup>26</sup> and delay the  
309 fall (nadir) of the central temperature. These changes in circadian schedule may  
310 occur due to light exposure at night and the increase in temperature during and  
311 immediately after physical exercise<sup>27</sup>; in this case after the game, thus hindering  
312 sleep onset in this population.

313 In relation to the awake-sleep cycle, good sleep quality presented a mean  
314 duration of sleep from 07h:40 minutes, while poor sleep quality presented 06h:20  
315 minutes per night. There are different ranges of classification in relation to the  
316 total sleep time in the general population, which can be classified as: short  
317 sleepers who require about 6 hours of sleep per night; indifferent who vary from 6  
318 to 8 hours of sleep per night; or long sleepers who require more than 8 hours of  
319 sleep per night<sup>28</sup>. Regarding athlete populations, recent studies suggest that they  
320 need to sleep around 9 to 10 hours for restorative sleep and good health<sup>6,14</sup>, as  
321 long as they are not classified as short sleepers. The reduction of total sleep  
322 time, the increase in the latency time and the increase in the number of WASO  
323 may impair the state of mood, increase fatigue and sleepiness, in addition to  
324 decreasing logical reasoning, decision making<sup>3</sup> and increase the risk of  
325 musculoskeletal injuries.

326 It is important to balance the stress of training and games with effective  
327 recovery<sup>29</sup> in elite athletes in soccer in order to have good performance within the  
328 team, as well as to reduce risk factors for injuries. Good quality sleep is one of the  
329 essential components of recovery due to its restorative effects<sup>6</sup>, as well as anabolic  
330 and psychomotor consolidation<sup>20,29</sup>. Moreover, in an attempt to minimize the  
331 deleterious effects caused by a lack of sleep in the musculoskeletal system,  
332 resistance exercises in turn can be an effective strategy to reverse these deleterious  
333 effects, such as those observed in the study by Mônico-Neto et al. (2013)<sup>8</sup>.

334 Sports injury is an emerging complex phenomenon and the risk factors of  
335 injury include non-linear relationships between various factors such as the  
336 biomechanics, training characteristics, as well as psychological and physiological  
337 aspects<sup>30</sup>. Therefore, some limitations of this study should be considered. First,  
338 psychological, hormonal and biomechanical aspects were not analyzed, and the  
339 training environment was not controlled. Furthermore, the athletes should be  
340 evaluated in an integral and complex way, analyzing the relationships between  
341 various predictors of injury<sup>30</sup>, and also be evaluated in relation to the duration and  
342 quality of sleep.

343

### 344 **Practical Applications**

345 In order to prevent poor quality sleep from having an impact on  
346 musculoskeletal injuries in soccer athletes, it is necessary to:

- 347 - Conduct a multifactorial assessment of the risks for the incidence of
- 348 musculoskeletal injuries through an evaluation of athletes' sleep;
- 349 - Implement strategies which improve the sleep quality of athletes for an effective
- 350 recovery in order to provide good performance.

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## **Conclusions**

The present study has shown that the quality and quantity of sleep are associated with musculoskeletal injuries in elite football players, and may be important variables to evaluate. Further, reduced sleep efficiency and increased nighttime WASO culminated in greater absence time for sport practice, greater severity and higher incidence of musculoskeletal injuries in soccer players. Additionally, the poor sleep quality group presented less sleep efficiency, higher sleep latency, severity and amount of injuries, and absence time after injury compared to the good sleep quality group. Therefore, it is important to emphasize the care in relation to sleep and injuries of athletes so that it does not influence training, the recovery process, the sports performance of the athlete or their team in competitions.

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401

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