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| 1 | Surface modification of thin-film composite forward osmosis | | |
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| 2 | membranes with polyvinyl alcohol–graphene oxide | | |
| 3 | composite hydrogels for antifouling properties | | |
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| 14 | Keywords: forward osmosis (FO); graphene oxide (GO); thin-film composite (TFC) membrane; | | |
| 15 | polyvinyl alcohol; antifouling; biofouling | | |
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17 Abstract

18 In this study, the polyamide (PA) layers of commercial thin-film composite (TFC) forward 19 osmosis (FO) membranes were coated with glutaraldehyde cross-linked polyvinyl alcohol (PVA) 20 hydrogel comprising of graphene oxide (GO) at various loadings to enhance their fouling 21 resistance. The optimal GO concentration of 0.02 wt% in hydrogel solution was confirmed from 22 the FO membrane performance, and its influence on membrane antifouling properties was studied. 23 The properties of the modified membranes, such as surface morphology, surface charge and 24 wettability, were also investigated. PVA/GO coating was observed to increase the smoothness and 25 hydrophilicity of the membrane surface. The foulant resistances of the pristine, PVA-coated and 26 PVA/GO-coated membranes were also reported. PVA hydrogel-coated TFC membrane with a GO 27 loading of 0.02 wt% showed a 55% reduction in specific reverse solute flux, only a marginal 28 reduction in the water flux, and the best antifouling property with a 58% higher flux recovery than 29 the pristine TFC membrane. The significant improvement in the selectivity of the modified 30 membranes meant that the hydrogel coating could be used to seal PA defects. The biocidal GO 31 flakes in PVA hydrogel coating also improved the biofouling resistance of the modified 32 membranes, which could be attributed to their morphologies and superior surface properties.

33

35 **1 Introduction**

Water pollution from the discharge of large quantities of contaminants produced from human 36 37 activities is one of the primary reasons for making water shortage a severe global problem. 38 Consequently, treatment and safe reclamation of industrial and municipal wastewater are 39 necessary as a sustainable solution to meet the growing freshwater demand, and protect the human 40 health and environment from harmful pollutants [1]. Stringent water guidelines and the need to 41 treat wastewater with robust, energy-efficient and low-cost methods that require minimal 42 chemicals have made membrane technology popular for wastewater reclamation and reuse [2-4]. 43 Pressure-driven membrane processes, such as nanofiltration (NF) and reverse osmosis (RO), have 44 been studied for high-quality clean water production [2]. Nonetheless, the efficiency of these 45 processes is hampered with complex feed types that increase membrane fouling propensity and 46 consequently lead to high energy consumption.

47 Forward osmosis (FO) process, on the other hand, uses the osmotic pressure difference 48 between the feed solution (FS) and draw solution (DS), rather than the hydraulic pressure, to 49 transport water molecules from FS to DS across a selective membrane [5, 6]. Fouling in FO 50 processes is more reversible than pressure-driven processes due to the absence of hydraulic 51 pressure, thus, forming a less compact organic fouling layer that can be removed by simple 52 backwashing and flushing. Hence, membrane cleaning in FO is much simpler than the pressure-53 driven processes without significant requirement of chemical cleaning [6-8]. Therefore, FO 54 membranes have been extensively researched for application in osmotic membrane bioreactor 55 (OMBR) for wastewater treatment and reuse [9]; and can produce high-quality water by rejecting 56 pathogens, particles and total dissolved solids (TDS).

57 Additionally, FO processes are more energy-efficient than RO when DS recovery is not 58 required. For instance, they can be used for fertigation [10], treating wastewater [9], dewatering 59 sludge [11] and concentrating juice [12] without needing DS recovery. Moreover, FO can be 60 implemented in hybrid systems for treating highly saline feeds that cannot be treated by RO [13, 61 14], and is suitable for directly pretreating complex feed and wastewaters [15]. Consequently, it is 62 essential to develop highly selective FO membranes with excellent antifouling properties, which 63 will not only reduce the use of chemical cleaning reagents and maintenance costs but will also 64 increase the membrane lifetime and offer consistent membrane performance.

65 The thin-film composite (TFC) membranes are most widely used for FO applications, and 66 they comprise of a thin polyamide (PA) selective layer supported on a highly porous substrate. 67 Both the selectivity and antifouling properties of the TFC membranes are primarily governed by 68 the membrane selective layer properties [16]. For example, a smoother membrane surface can 69 minimise foulant accumulation within the ridge-and-valley structures of the PA active layer [17]. 70 Whereas, a hydrophilic surface can prevent adsorption of hydrophobic foulants by creating a water 71 layer barrier between the foulants and the hydrophilic active layer [18]. Consequently, several 72 strategies like nanomaterial addition and polymer coating on the membrane active layer have been 73 examined to enhance the antifouling properties of the membranes [19-21]. Gao's group grafted 74 polyvinyl alcohol (PVA) on PA TFC membrane to obtain chlorine resistant and antifouling 75 membranes [22]. The hydrophilic PVA layer acted as a protective barrier to hinder chlorine attack 76 on PA chain and minimised adsorption of hydrophobic foulants on the membrane surface. Zhang 77 et al. also reported similar results by optimising the process conditions of PVA film coating on PA TFC RO membranes. The optimal membrane demonstrated improved solute rejection and good 78 79 antifouling properties towards dodecyl trimethyl ammonium bromide [23].

80 Graphene oxide (GO) has gained a lot of attention for its inherent hydrophilicity and biocidal 81 properties, and has been used as a filler to enhance membrane properties for several applications 82 like desalination and wastewater treatment [19, 21, 24-26]. Hegab et al. coated GO flakes on the 83 surface of PA TFC FO membranes using the bioadhesive polydopamine (pDA) facilitated 84 immobilisation method. The GO-modified membrane achieved 80% and 22% improvement in 85 selectivity and water flux, respectively, in addition to demonstrating significant anti-biofouling 86 properties compared to the pristine membrane [27]. Yin et al., on the other hand, embedded 87 multilayer GO flake structure with an interlayer spacing of ~ 0.83 nm into the PA layer of the thin-88 film nanocomposite (TFN) membranes during the interfacial polymerisation (IP) reaction [28]. 89 Results indicated that, in comparison to the pristine membrane, addition of 0.015 wt% of GO flakes 90 in the organic phase during IP process improved the permeate flux by 52% while slightly reducing 91 the sodium chloride (NaCl) rejection by 2% under 300 psi. The improvement in water permeability 92 was attributed to the GO interlayer spacing that may have worked as water channels.

93 Owing to the desirable properties of both PVA and GO flakes as membrane coating, this 94 work sought to systematically explore the influence of cross-linked hydrophilic PVA hydrogel and 95 GO flake composite coating on the physicochemical properties, selectivity and antifouling 96 properties of commercially-available TFC PA FO membranes. The PVA/GO hydrogel was coated 97 on TFC membranes using a simple dip-coating method. For the first time, this study shows a facile 98 and efficient method to improve the performance and antifouling properties of commercial FO 99 membrane by benefiting from the synergistic effects of intrinsically antifouling GO-modified PVA 100 hydrogel coating, which could increase the effectiveness of TFC FO membranes in wastewater 101 treatment.

102 2 Materials and methods

103 **2.1 Chemicals**

104 Commercially available PA TFC FO flat-sheet membranes from Toray Industries were used 105 for surface modification in this study. PVA (96% hydrolysed, average MW 85,000-124,000 106 g/mol), sodium alginate (SA, low viscosity), calcium chloride dihydrate (\geq 99%) and 107 glutaraldehyde solution (GA, grade II, 25% in water) were procured from Sigma Aldrich. Sulfuric 108 acid (H_2SO_4 , 98%) and sodium chloride (NaCl, > 99.7%) were supplied by RCI Labscan Ltd and 109 Chem Supply, respectively. Monolayer GO with particle size less than 10 µm was obtained from 110 Graphenea (4 mg/mL dispersion in water). Deionised water (DI, Milli-Q) with a resistivity of 111 approximately 18 M Ω /cm was used for FS and DS preparation. All reagents purchased were of 112 analytical grade and used as received.

113 **2.2 Hydrogel preparation and coating on PA TFC membrane**

The PVA hydrogel solution was prepared using a previously reported method [29]. Briefly, PVA crystalline powder was added to DI water at 90 °C and dissolved by stirring for 8 h to obtain 0.25 wt% PVA aqueous solution. After cooling the PVA solution to room temperature, GA and 2 M H₂SO₄ were added simultaneously as a cross-linking agent and catalyst, respectively, under vigorous stirring for 15 min at 60 °C to prepare hydrogel solution. The catalyst concentration was adjusted to 1 wt% of the hydrogel solution; whereas, the GA weight was determined using Eq. 1 to achieve a theoretical cross-linking degree of 30%:

$$\chi_{CL}(\%) = 2\left(\frac{MW_{PVA\ unit}W_{CL}}{W_{PVA}MW_{CL}}\right)100\%\tag{1}$$

121 where χ_{CL} , $MW_{PVA unit}$, W_{CL} , W_{PVA} and MW_{CL} denote the theoretical cross-linking degree, 122 molecular weight of one PVA unit, weight of cross-linking agent, weight of PVA, and molecular 123 weight of the cross-linking agent, respectively.

PVA hydrogel solutions with various GO loadings (0.01, 0.02 and 0.04 wt/v%) were prepared using the same protocol as above except for the addition of GO to PVA aqueous solution at room temperature followed by stirring and sonication for 30 min each. GA and catalyst were then added to the PVA/GO aqueous solutions to prepare the PVA/GO composite hydrogel solutions. The starting GO concentration was chosen as 0.01 wt/v% as it was found to be the optimal GO concentration in our previous study [30].

130 The hydrogel was coated on the PA TFC FO membrane by first fixing the membrane in a

rectangular frame with the PA layer exposed for coating. The exposed PA membrane surface

132 was then immersed in the hydrogel solution for 4 min. Next, the surplus hydrogel solution was

133 poured out from the membrane surface, and any remaining solution was gently removed using an

134 air knife. The hydrogel coated membranes were later cured in an oven at 60 °C for 10 min.

135 Finally, the membranes were rinsed with DI water to eradicate the unreacted PVA molecules and

136 stored in DI water at 4 °C.

- 137 presents the surface modification conditions and the abbreviation of the various membranes
- 138 prepared in this study.

140 **2.3 Membrane characterisation**

141 Fourier transform infrared spectra (FTIR, Shimadzu MIRacle 10ATR-FTIR) of the 142 membrane samples was analysed to confirm the PVA hydrogel grafting and GO flake integration 143 on the membrane surface. All membrane samples were dried under ambient conditions before 144 analysis. Scanning electron microscope (SEM, Zeiss Supra 55VP) was utilised to investigate the 145 morphology of the PVA-coated membrane surface. The dry membrane samples were fixed on 146 stubs with copper tape followed by sputter-coating with a gold layer at a thickness of 8 nm before 147 SEM analysis. Atomic force microscopy (AFM, Dimension 3100, Bruker) was employed in 148 tapping mode to determine the membrane surface roughness. The contact angles on the membrane 149 surfaces were measured at ambient conditions with Attension Theta Lite 100 optical tensiometer 150 from Biolin Scientific to ascertain the membrane hydrophilicity. At least seven measurements were 151 made randomly for each membrane sample, and the average contact angle was reported. The membrane surface zeta potential was assessed via electrokinetic analyser (SurPASSTM 3, Anton 152 153 Paar). The membrane samples were fixed to the holder with a gap height of ~100 µm. Zeta-154 potentials were determined using a 1 mM KCl electrolyte solution over a pH range of 3 to 10, 155 which was adjusted with 0.05 M NaOH and HCl solutions by an automated titration system.

156

5 2.4 Membrane performance assessment

157 A laboratory-scale FO experimental setup, as described in our previous work [16], was used 158 to determine the performance of membrane samples with an effective area of 20 cm^2 . The FO cell 159 used for testing the membranes had a 3 mm deep flow channel on each side of the membrane. The 160 co-current flow rate of both the FS and DS was maintained at 0.5 L/min (12.6 cm/s) at 22 °C, 161 unless otherwise stated, during the FO membrane performance tests. The membranes were tested 162 in AL-FS (active layer facing the feed solution) orientation with 1 M NaCl and DI water used as DS and FS, respectively. The membrane water flux $(J_w, L.m^{-2}.h^{-1})$ was determined from the weight 163 164 of FS, which was automatically logged at constant time interval by a digital weight balance (Eq. S1). The reverse solute flux $(J_s, g.m^{-2}.h^{-1})$ through the membrane was calculated by monitoring the 165 166 FS salinity using a conductivity meter (Eq. S2). The specific reverse solute flux (SRSF, g/L) for 167 all membranes was calculated from water and reverse solute flux values to indicate the membrane 168 selectivity (Eq. S3). All the equations used for calculating the membrane performance parameters 169 are provided in Section S1 of the Supporting Information (SI).

The pure water permeability coefficient (A), solute permeability coefficient (B) and intrinsic selectivity (B/A) of the membranes were evaluated with the 4-stages non-linear regression model developed by Tiraferri et al. [31] for FO membranes as explained in our previous study [30]. The water and reverse solute fluxes of the FO membranes were determined at the four different NaCl DS concentrations (0.5, 1, 1.5 and 2) using the same experimental conditions as the FO performance tests. The average FO performance results of at least three samples from each membrane type was used to acquire the intrinsic transport parameters.

177 2.5 Membrane fouling test

The FO membrane fouling experiments were conducted in AL-FS orientation with the same protocol used in our previous work [30]. Firstly, the FO test was conducted for 1 h at a flow rate of 0.5 L/min to set the initial baseline water flux ($J_{w,0}$) for each membrane at ~22 L.m⁻².h⁻¹ by adjusting the DS concentration between 0.5 M and 1 M. Secondly, a fouling study was performed for 5 h at a flow rate of 0.5 L/min by adding 1 mM calcium chloride and 200 ppm SA as foulants 10 to the FS. The DS concentration was maintained throughout the fouling test to prevent flux decline from DS dilution effect. Thirdly, the membranes were cleaned for 1 h after the fouling tests by circulating DI water at an increased flow rate of 0.7 L/min in both DS and FS channels without using any chemical reagents. Finally, the cleaned membranes were tested with the baseline conditions to determine the flux recovery.

188 **2.6 Foulant resistance evaluation**

The transient foulant resistances of the pristine and hydrogel-coated FO membranes were attained using the detailed procedure described by Siddiqui et al. [32]. Foulant resistance was reported to describe the membrane fouling behaviour more accurately compared to the typical permeate flux decline method. This is because the proposed method takes into account the foulant accumulation effect and the internal concentration polarisation self-compensation effect. The foulant resistance (R_f) was determined using Eq. 2:

$$R(m^{-1}) = R_m + R_f = \frac{F}{\mu J_w}$$
(2)

where *F* is the osmotic driving force across the membrane, μ denotes the solution viscosity, J_w represents the water flux, and *R* is the overall hydraulic resistance against the water permeation obtained by adding the membrane resistance (R_m) and foulant resistance (R_f). Detailed information on the evaluation of foulant resistance for the fouled membranes is provided in Section S3 of the SI.

200 **2.7** Static bacterial adhesion test

201 Static bacterial adhesion tests were conducted using gram-positive *Bacillus subtilis* as model 202 bacteria to examine the anti-biofouling properties of the membranes. First, the bacteria were inoculated in tryptic soy broth (TSB) medium from a single Bacillus subtilis colony by shaking at 203 204 120 rpm for 18 h at 37 °C. Second, the TSB medium was used to dilute the bacterial suspension 205 50 times prior to culturing it for 4 h at 30 °C. TSB medium was used again to adjust the bacterial 206 suspension to acquire an optical density of 0.05 at a wavelength of 450 nm with a 207 spectrophotometer (V-650, Jasco, Japan). Third, membranes (0.5 cm \times 3 cm) were immersed in 208 the bacterial suspension for 24 h at 30 °C to determine the bacterial growth. The soaked membranes 209 were then rinsed twice with 0.85 wt% NaCl solution to remove weakly attached bacteria. Fourth, 210 a NaCl solution (0.85 wt%) with SYTO9 (Life Technologies Corporation, Carlsbad, CA) was used 211 to stain the adhered bacteria on the membrane surface for 20 min. A GA solution (2.5 wt%) was 212 then used for 2 min to fix the stained bacteria on the membrane surface. Lastly, the membrane 213 samples were examined by confocal laser scanning microscopy (CLSM; FV1000D, Olympus, 214 Japan) and the obtained images were studied by ImageJ software (National Institutes of Health, 215 USA) to quantify bacterial coverage.

216 **3 Results and discussion**

217 **3.1 Membrane characterisation**

In this study, the active layers of commercial PA TFC FO membranes were coated with GA cross-linked PVA hydrogels containing different concentrations of GO flakes to improve the antifouling properties of the membrane. To verify the existence of hydrogel coating on the membrane surfaces, the surface chemistry of the pristine, PVA-coated and PVA/GO-coated TFC membranes were examined from the ATR-FTIR spectra as presented in **Fig. 1**. The full ATR-FTIR spectra of the pristine and modified TFC membranes show the typical peaks associated with the polysulfone (PSf) support layer and thin PA active layer. The characteristic strong peaks at 1502 cm^{-1} , 1385 cm^{-1} and 1236 cm^{-1} relate to the C=C in-plane aromatic ring bend stretching vibration, symmetric C–H deformation of C(CH₃)₂, and asymmetric C–O–C stretching vibration of the aryl– O–aryl group; whereas, the peaks at 1292 cm^{-1} and 1147 cm^{-1} represent the asymmetric and symmetric stretching vibrations of the O=S=O bonds found in PSf, respectively [33, 34].

229 The spectra for all the membranes demonstrate the characteristic peaks of PA at 1668 cm⁻¹ and 1606 cm⁻¹, which are attributed to the amide I and the aromatic amide band, respectively. The 230 231 pristine TFC membrane also reveals a peak at 1541 cm⁻¹ conforming to the C–N stretching and 232 N-H in-plane bending vibration of the amide group in PA (amide II band), which splits into two peaks at 1545 cm⁻¹ and 1538 cm⁻¹ for the pristine PVA-coated (TFC-P) and PVA/GO-coated 233 234 (TFC-PGO) TFC membranes due to the reaction between GA's aldehyde groups and PA's amide 235 bonds (-CO-NH-) [34, 35]. Additionally, the relative peak intensities at 1651 cm⁻¹, ascribed to 236 the C=N stretching, increased for the modified membranes due to the reaction between the end amino groups of the PA and the aldehyde groups of GA [35]. The peak at 1737 cm⁻¹ is ascribed 237 238 to the ester group (O=C-O) present in the PVA coating on the membrane surface, and the residual 239 aldehyde groups of GA that remained unreacted during the acetalisation cross-linking reaction [35, 240 36]. The presence of a new peak at 1024 cm^{-1} on the spectra of the modified membranes indicates 241 the formation of ether group (C–O–C) during the reaction between the hydroxyl and aldehyde 242 group of PVA and GA, respectively [37].

The relative intensities of peaks at 2920 cm⁻¹ and 2850 cm⁻¹ assigned to the C–H asymmetric 243 244 and symmetric stretching vibration, respectively, are weaker for TFC-P than the TFC membrane 245 because of the dilution effect of the PVA coating layer. On the contrary, TFC-PGO shows more intense peaks at 2920 cm⁻¹ and 2850 cm⁻¹ than the TFC-P membrane due to the asymmetric and 246 247 symmetric stretch of C–H bonds in GO flakes [30]. The broad peak from 3100 to 3700 cm⁻¹ results 248 from the coinciding peaks that are attributed to the carboxyl group and N-H stretching of the PA, 249 and hydroxyl groups (O-H) stretching of the PVA and GO flakes. Consequently, the peak 250 intensity at 3357 cm⁻¹ is the highest for the TFC–PGO membrane due to the presence of abundant 251 O-H groups in both GO flakes and cross-linked PVA. Overall, the results of ATR-FTIR analysis 252 validate the effective coating of GA cross-linked PVA on the PA layer surface and the GO flakes 253 integration into the PVA hydrogel coating.

254 The morphologies of the pristine and modified TFC membrane surfaces were examined from 255 the SEM (Fig. 2) and AFM (Fig. 3) micrographs that clearly show the changes in membrane 256 morphologies following the surface modification. The SEM images show a uniform distribution 257 of the typical ridge-and-valley PA formations on the pristine TFC membrane surface (Fig. 3a); 258 however, more distinct physical irregularities can be observed for the modified membranes (Fig. 259 **3**b-e). Coating the PA layer with cross-linked PVA (TFC–P), as shown in Fig. 3b made the TFC 260 membrane surface denser and considerably smoother than the pristine TFC membrane. However, 261 the surfaces of the PVA-coated TFC membranes became more inconsistent after incorporating GO 262 flakes, forming patches that eventually covered the PA protrusions completely at a GO loading of 263 0.04 wt% (Fig. 3e).

264 The membrane surface roughness was quantitatively characterised using AFM (Fig. 3). The 265 average (R_a) , maximum (R_{max}) and root mean square values (R_a) of the membrane surface 266 roughness are provided in Table S1. The R_q values of the pristine TFC membrane and modified 267 TFC-P, TFC-PGO1, TFC-PGO2 and TFC-PGO4 were found to be 42.0 nm, 29.8 nm, 38.0 nm, 268 34.2 nm and 28.8 nm, respectively. The membrane roughness decreased after coating the TFC 269 membrane surface with PVA hydrogel (Fig. 3b). However, the roughness of the modified 270 membranes increased after the addition of GO flakes in the PVA hydrogel, which eventually 271 decreased with an increase in GO loading (Fig. 3c-e). It can be seen from both the SEM and AFM 272 images that PVA hydrogel without GO flakes uniformly coated the membrane surface. On the 273 contrary, the addition of GO flakes in PVA hydrogel formed irregular patches of PVA hydrogel 274 aggregates on the membrane surfaces. A GO loading of 0.01 wt% formed smaller hydrogel 275 aggregates resulting in rougher membrane surface; whereas, the highest GO loading of 0.04 wt% 276 formed large hydrogel aggregates that completely covered the membrane surface to provide the 277 smoothest surface. The changes in membrane morphology could occur from the interaction 278 between GO and PVA, where the non-uniform coating may most likely result from the 279 solidification of PVA hydrogel directly on top of the GO flakes instead of the PA layer itself. 280 Overall, the coated membranes were much smoother than the pristine TFC membranes because of 281 the preferential deposition of PVA over the valley regions of the PA layer, which may improve 282 the antifouling property of the membranes by reducing the surface area for foulant adhesion [38]. 283 The wettability of membrane surfaces before and after surface modification was determined 284 from water contact angle measurements made at the air-water interface. As presented in Fig. 4a, 285 the average water contact angle on the membrane surface significantly reduced from 96.9° for the 286 pristine TFC membrane to 79.5°, 75.9°, 74.7° and 69.0° for the hydrogel-coated membranes TFC-

287 P, TFC–PGO1, TFC–PGO2 and TFC–PGO4, respectively, which confirms the enhancement in 288 membrane hydrophilicity after surface modification. The improved hydrophilicity of the modified 289 membranes could be ascribed to the hydrophilic nature of PVA and GO flakes arising from the 290 presence of oxygen-containing functional groups. Membrane surface hydrophilicity is critical as 291 it can significantly affect membrane performance. Increased membrane surface wettability could 292 not only assist towards improving water permeation, but also reduce adsorption of hydrophobic 293 foulants on membrane surfaces by creating a water barrier between the hydrophilic membrane 294 surface and the hydrophobic foulants [39].

295 The surface charges of the pristine and modified membranes were determined by measuring 296 their surface zeta potentials over a pH range of 3 to 10. As can be observed from Fig. 4b, all 297 membrane surfaces were negatively-charged at pH higher than 3.8 due to the deprotonation of the 298 amino and carboxyl functional groups of the PA layer [40]. Besides, the increasing surface 299 negative charge of all the membranes at higher pH could be attributed to the adsorption of chloride 300 ions from the electrolyte solution on membrane surface [30]. At lower pH, the membranes revealed 301 positively-charged surfaces because of the protonation of the PA end amino groups. The pristine 302 TFC membrane was the most negatively-charged due to the existence of abundant carboxyl groups. 303 The modified TFC membranes, on the other hand, demonstrated lower surface negative charge 304 than the pristine TFC membrane because the shielding effect of the hydrogel coating on membrane 305 surface diminished the exposure of PA carboxyl groups [22]. These results are expected due to the 306 neutral properties of the PVA molecules. Finally, the zeta potential of the PVA/GO-coated 307 membranes was higher than the pristine TFC membrane, but lower than the PVA-coated (TFC-P) 308 membrane. The surface negative charge of PVA/GO-coated membranes increased with an increase 309 in GO loading because GO flakes contain abundant oxygen-containing functional groups, such as 16

the carboxyl groups, that increase negative charges by deprotonating at alkaline conditions. **Fig.** 4b also reveals the isoelectric point (IEP), where the membrane surface carries no net charge. The IEPs of the modified membranes move to lower pH after incorporating GO flakes in the PVA hydrogel due to the increasing amount of acidic functional groups from GO [30, 41].

Overall, the changes in membrane surface chemistry, morphology, wettability and charge after modification confirm the successful coating of the cross-linked PVA and GO on the PA layer of the commercial TFC FO membrane. The above characterisation results also established that the physicochemical properties of the PVA-coated membranes could be adjusted by changing the GO loading in PVA hydrogel.

319 **3.2 Membrane performance evaluation**

320 The FO membrane performance including the water flux, reverse solute flux and SRSF were 321 evaluated for the pristine and modified membranes in AL-FS orientation using DI water as FS and 322 1 M NaCl as DS (Fig. 5). As expected, the modified membranes demonstrated lower water and 323 reverse solute flux than the pristine TFC membranes as a result of the increased hydraulic 324 resistance from the PVA or PVA/GO cross-linked hydrogel layer that impeded water permeation. 325 While the PVA coating improved the membrane surface wettability, which is essential to promote 326 water permeability, the reduction in water flux occurred as the negative effect of additional 327 hydraulic resistance transcends the positive influence of increased hydrophilicity. The dense PVA 328 or PVA/GO coating improved the TFC membrane selectivity by augmenting the effect of size 329 exclusion to draw solute; thus, decreasing the reverse solute flux across the modified membranes.

330 Conversely, the water flux through the modified membranes increased after GO flake 331 addition up to a loading of 0.02 wt% (TFC-PGO2), while retaining membrane selectivity. Both 332 the water flux and reverse solute flux were observed to decrease on further increasing the GO 333 loading to 0.04 wt%. The higher water flux of TFC-PGO1 and TFC-PGO2 compared to the TFC-334 P could be ascribed to their reduced hydraulic resistance owing to more PA surface exposure from 335 the uneven PVA coating on their surfaces as discussed earlier (Fig. 3b-d). Additionally, the 336 improved hydrophilicity of the TFC-PGO1 and TFC-PGO2 could have also contributed to the 337 enhancement in their water flux than the TFC-P membrane. The rougher membrane surfaces and 338 the slight increase in the reverse solute flux of the TFC-PGO1 and TFC-PGO2 than the TFC-P 339 membrane further confirmed that the discontinuous PVA/GO coating contributed to their water 340 flux enhancement. The TFC-PGO4 demonstrated a significant decline in both the water and 341 reverse solute flux due to the creation of a very dense hydrogel layer that covered almost the entire 342 PA surface as evident from its smoother surface (Fig. 3e) compared to that of the TFC-P 343 membrane (Fig. 3b). The nonporous GO flakes at a loading of 0.04 wt% also created impervious 344 regions in PVA hydrogel matrix, which could have inhibited both water and solute permeation 345 across the TFC membrane [42]. Consequently, the TFC–PGO4 demonstrated the lowest water flux $(18.0 \text{ L.m}^{-2}.\text{h}^{-1})$ and reverse solute flux $(2.7 \text{ g.m}^{-2}.\text{h}^{-1})$ compared to the other modified membranes. 346 347 Besides, the high concentration of GO flakes in the PVA hydrogel could increase the composite 348 hydrogel layer's tortuosity by forming numerous water channels at the GO-PVA interface [43].

The SRSF is an essential parameter for evaluating the FO membrane selectivity, where a more selective membrane exhibits a smaller SRSF value. The modified membranes exhibited at least 56% lower SRSF than the pristine TFC membrane due to the dense PVA or PVA/GO layer formation. The pristine TFC membrane showed the highest SRSF value (0.47 g/L) because of the 18 looser dynamic pore structure of the PA layer that allowed comparatively more solute to diffuse from the DS to the FS. The SRSF values of TFC–P (0.19 g/L), TFC–PGO1 (0.22 g/L) and TFC– PGO2 (0.21 g/L) were similar but that of TFC–PGO4 (0.15 g/L) was much lower, possibly due to the additional resistance from nonporous GO flakes. The TFC–PGO2 was chosen as the optimal membrane as it showed the highest water flux among the other modified TFC membranes without affecting the membrane selectivity. Moreover, the enhanced selectivity of the modified membranes makes them promising for application in wastewater reclamation and desalination.

360 The intrinsic transport properties of the pristine and modified TFC membranes examined in this work were evaluated from the pure water permeability coefficient (A) and solute 361 362 permeability coefficient (B), which are listed in Table 2. The modified membranes demonstrated lower A and B values than the pristine TFC membrane (6.41 L.m⁻².h⁻¹.bar⁻¹ and 1.15 L.m⁻².h⁻¹, 363 364 respectively). The additional dense PVA or PVA/GO hydrogel layer on the TFC membrane surface 365 lessened the permeability and increased the selectivity of the modified membranes by increasing 366 the hydraulic resistance across the membrane. The modified membranes TFC-PGO1 (3.98 L.m⁻ ².h⁻¹.bar⁻¹) and TFC-PGO2 (4.75 L.m⁻².h⁻¹.bar⁻¹) demonstrated higher A values than the TFC-P 367 368 (3.41 L.m⁻².h⁻¹.bar⁻¹) membrane due to their enhanced hydrophilicity and less dense PVA/GO 369 layer resulting from the uneven hydrogel coating. The TFC-PGO4; however, exhibited the lowest A value (2.97 L.m⁻².h⁻¹.bar⁻¹) due to the formation of a very dense, tortuous and partially 370 371 nonporous PVA/GO hydrogel layer coating. The dense hydrogel layers reduced the B values of 372 the modified membranes than the pristine TFC membrane through the size exclusion effect. The 373 intrinsic membrane selectivity ratio (B/A) is shown in Table 2, where a more selective membrane 374 is represented by a smaller B/A ratio and vice versa. The pristine TFC membrane revealed the 375 largest B/A ratio of 0.18 bar; while TFC-PGO4 revealed the highest selectivity with the lowest 19

B/A ratio of 0.04 bar. The intrinsic transport parameters of the membranes are in good conformity with the FO test results shown in **Fig. 5**, and demonstrated that their separation performance could be tuned by simply adjusting the GO loading in the PVA hydrogel coating. Moreover, the improved selectivity of the modified membranes suggests that the PVA hydrogel coating could be used to seal defects on the PA TFC membrane.

381 3.3 Membrane fouling study

382 The antifouling properties of the pristine and hydrogel-coated TFC membranes were 383 assessed from the FO fouling tests with the membranes placed in AL-FS orientation. The TFC, 384 TFC-P and TFC-PGO2 were utilised to study the influence of PVA hydrogel and GO flakes on 385 the fouling tendency of the TFC membranes. Fig. 6 presents the normalised membrane water flux 386 $(J_w/J_{w,0})$ obtained throughout the fouling experiment. The initial water flux $(J_{w,0})$ for all the membranes was set to ~22 L.m⁻².h⁻¹. Baseline tests were conducted for 1 h with foulant-free FS 387 388 that resulted in stable water flux through the membranes (Fig. 6a). The normalised water flux for 389 the membranes immediately declined after foulants were added to the FS as they experienced 390 increased transport resistance from foulant buildup on their surfaces, which eventually hindered 391 water permeability across the membranes (Fig. 6b). Despite possessing the most negatively 392 charged surface (Fig. 4b), the pristine TFC membrane failed to repel the negatively charged 393 alginate molecules effectively and experienced the maximum flux decline at the end of the fouling 394 study, which reached a normalised flux of 0.35. The observed fouling behaviour can be attributed 395 to the bridging effects of the calcium ions between the PA layer and the alginate chains to form a 396 gel-like alginate layer on the TFC membrane surface [44, 45]. Besides, the comparatively rougher 397 and less hydrophilic surface of the pristine TFC membrane promoted more foulant adhesion on its

398 surface than the modified TFC–P and TFC–PGO2 membranes. Moreover, the relatively poor 399 selectivity of the TFC membrane could have accelerated its fouling from the existence of 400 electrostatic attraction amid the DS ions and the charged foulants [17].

401 The modified membranes, on the other hand, showed significantly better antifouling 402 properties than the pristine TFC membrane with the TFC-PGO2 exhibiting the lowest flux decline 403 (Fig. 6b). The TFC–P and TFC–PGO2 membranes reached normalised fluxes of 0.69 and 0.81, 404 respectively, at the end of the 5 h fouling test. The improved antifouling properties of the modified 405 membranes could be attributed to their smooth surfaces that restrained the attachment of 406 hydrophobic foulant on their surfaces by offering lesser adhesion sites. The hydrophilic modified 407 membranes prevented the attachment of hydrophobic alginate molecules by forming a layer of 408 hydrogen-bonded water molecules on their surfaces [46]. The better fouling resistance of the TFC-409 PGO2 membrane than the TFC–P membrane could be attributed to its improved hydrophilicity.

410 After finishing the fouling tests, the membranes were rinsed physically with DI water for 1 411 h and tested under baseline conditions to determine their flux recovery. As can be seen from Fig. 412 6c, the normalised flux increased for the membranes following the cleaning procedure, which 413 signifies that the membranes demonstrated reversible fouling to a certain degree. The pristine TFC 414 membrane achieved a low flux recovery of 62%; thus, showing significant irreversible fouling due 415 to strong fouling layer adhesion on the membrane surface. In contrast, the modified TFC-P and 416 TFC-PGO2 membranes demonstrated comparatively high flux recoveries of 99% and 98%, 417 respectively, which can be associated to their smoother surfaces that allowed simpler foulant 418 removal owing to the existence of less foulant adhesive sites on their surfaces. Moreover, the 419 improved hydrophilicity of the TFC-P and TFC-PGO2 membranes diminished the interaction between foulant and their surfaces that led to the development of a loosely bound foulant layer that
could be removed effortlessly using a high cross-flow velocity in the cleaning stage. Hence, it can
be established from the fouling results that the fouling was partially reversible by physical cleaning
for the pristine TFC membrane but almost completely reversible for the TFC–P and TFC–PGO2
membranes.

425 **3.4 Membrane foulant resistance**

426 The transient foulant resistances (R_f) of the pristine TFC, TFC–P and TFC–PGO2 427 membranes were established from the osmotic-resistance filtration models using the 428 experimentally determined membrane resistance (R_m) (Table S2), structural parameter of 409 μ m, 429 water flux and osmotic driving force. The water flux was obtained from the FO fouling experiment, 430 as shown in Fig. 6. The R_f for pristine TFC membrane increased more rapidly as the fouling 431 progressed than that for the modified membranes (Fig. 7). At the end of the fouling test, the R_f for TFC membrane $(4.89 \times 10^{14} \text{ m}^{-1})$ was over 3.5 and 8.7 times greater than that for TFC-P 432 $(1.38 \times 10^{14} \text{ m}^{-1})$ and TFC–PGO2 $(0.56 \times 10^{14} \text{ m}^{-1})$ membranes, respectively. The comparison of 433 434 foulant resistances demonstrate that the pristine TFC membrane is the most susceptible to foulant deposition, whereas the GO-incorporated TFC-PGO2 membrane is the least prone to fouling. 435

436 **3.5 Bacterial anti-adhesion performance**

The anti-biofouling performance of the membrane samples was examined via static bacterial
adhesion tests using *Bacillus subtilis* as the model bacteria. Fig. 8 shows the epifluorescent images
of *Bacillus subtilis* biofilms on the control pristine TFC membrane and the modified TFC
membranes. After 24 h exposure to the bacterial solution, the pristine TFC membrane showed

maximum bacterial adhesion (Fig. 8a). The bacterial adhesion on the modified membranes were normalised with that on the pristine TFC membrane. The modified TFC membranes (Fig. 8b-e) showed substantially lower relative bacterial coverage than the pristine TFC membrane as they possess smoother and more hydrophilic surface than the pristine TFC membrane. The smoother surfaces of the modified membranes provided fewer sites for bacterial adhesion. While, the improved membrane surface hydrophilicity weakened the adsorption of hydrophobic bacteria to the surface by creating a hydration layer on the membrane surface [47].

448 The PVA/GO-coated TFC membranes exhibited superior antibacterial activity compared to 449 the TFC and TFC–P membranes, and the relative bacterial coverage on the PVA/GO-coated TFC 450 membranes decreased with increasing GO loading (Fig. 8c-e). The relative bacterial adhesion of 451 the PVA/GO-modified membrane decreased from 27% to 9% when the GO loading was increased 452 from 0.01 wt% to 0.04%, which proves the biocidal effect of PVA/GO coating (Fig. 9). In addition 453 to the membrane hydrophilicity, the enhanced antibacterial properties of the PVA/GO-modified 454 membranes could be attributed to their negatively charged membrane surfaces and the biocidal 455 effect of the exposed GO flakes [27]. The more negatively-charged surface of the PVA/GO-456 modified membranes arising from the epoxide, hydroxyl and carboxyl groups of the GO flakes 457 could mitigate bacterial adhesion on their surfaces by electrostatically repelling the negatively-458 charged bacteria and extracellular polymeric substance, which is closely associated to biofilm 459 development [48]. The biocidal activity of the exposed GO flakes is associated to their reactive 460 edges that damage bacterial cell by creating oxidative stress or rupturing the cell membrane; 461 thereby, leading to viability loss and death of bacterial cell [49]. The results suggest that addition 462 on GO in PVA hydrogel can effectively suppress the bacterial adhesion and growth on the

463 membrane surface, thereby, considerably augmenting the anti-biofouling property of the464 membranes.

465 **4** Conclusions

Commercial PA TFC FO membranes were coated with a thin layer of cross-linked PVA and 466 467 PVA/GO hydrogel to increase the selectivity, antifouling and anti-biofouling properties of the 468 membranes. The hydrogel coating smoothened and improved the wettability of the membrane 469 surface, while slightly declining the water flux. The membrane surface properties, performances 470 and antibacterial properties were tuned by adjusting the GO loading in the PVA hydrogel coating. 471 Modified TFC membrane with a GO loading of 0.02 wt% (TFC-PGO2) is chosen as the optimal 472 membrane as it revealed the highest water flux amongst the modified membranes without 473 sacrificing membrane selectivity. The TFC-PGO2 membrane exhibited improved solute rejection, 474 cleaning efficiency and bacterial resistance with a 55% lower SRSF, 36% higher flux recovery and 475 82% lower relative bacterial coverage compared to the pristine TFC membrane. Consequently, the 476 facile PVA/GO modification technique demonstrated in this study could be used to effectively seal 477 the membrane defects and improve antifouling and anti-biofouling performance for potential 478 application in wastewater reclamation and desalination.

479 CRediT authorship contribution statement

480 Nawshad Akther: Conceptualisation, Data curation, Formal analysis, Investigation,
481 Methodology, Validation, Writing - original draft. Syed Muztuza Ali: Methodology, Formal
482 analysis. Sherub Phuntsho: Writing - review & editing, Funding acquisition,

483 Supervision. Hokyong Shon: Supervision, Project administration, Resources, Funding
484 acquisition, Validation, Writing - review & editing.

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- 490
- 491

492 Figures

- 493 **Table 1:** Surface modification conditions of PA TFC FO membranes.
- 494 **Fig. 1:** ATR-FTIR spectra of the pristine and modified TFC membranes.
- 495 Fig. 2: SEM images revealing the surface morphology of the (a) pristine TFC membrane; and PVA
 496 hydrogel coated TFC membranes with different GO loadings: (b) 0, (c) 0.01, (d) 0.02, and (e) 0.04
 497 wt%.
- **Fig. 3:** AFM images revealing the surface roughness of the (a) pristine TFC membrane; and PVA hydrogel coated TFC membranes with different GO loadings: (b) 0, (c) 0.01, (d) 0.02, and (e) 0.04
- 500 wt%. Error bars for membrane surface roughness represent one standard error obtained from at
- 501 least four membrane samples for each condition.
- **Fig. 4:** (a) Water contact angle measurements, and (b) zeta potentials as a function of pH for the pristine and modified TFC membrane surfaces. Error bars for water contact angle measurements represent one standard error obtained from at least seven measurements for each membrane sample.
- **Fig. 5:** FO performance of the pristine and modified TFC membranes. Operating conditions: FS, DI water; DS, 1 M NaCl; cross-flow velocity, 12.6 cm/s; membrane orientation, AL-FS. Error bars
- 507 represent one standard error obtained from at least three membrane samples for each condition.
- 508 **Table 2:** Intrinsic transport parameters of the membranes.
- **Fig. 6:** Normalised flux of the pristine and modified TFC membranes during the FO (a) baseline test, (b) fouling test and (c) after hydraulic cleaning. Operating conditions: Initial baseline water flux ($J_{w,0}$), ~22 L.m⁻².h⁻¹; DS, 0.5 M to 1 M NaCl; flow rate (baseline and fouling test), 0.5 L/min; flow rate (physical cleaning), 0.7 L/min; membrane orientation, AL-FS; foulants, 1 mM calcium chloride and 200 ppm SA.
- **Fig. 7:** Foulant resistance of pristine and modified TFC membranes during the FO fouling test.
- 515 **Fig. 8:** Static bacterial adhesion on (a) pristine and modified TFC membranes with different GO loadings: (b) 0, (c) 0.01, (d) 0.02, and (e) 0.04 wt%.
- 517 Fig. 9: Relative bacterial coverage on the pristine and modified TFC membrane surfaces. The 518 bacterial adhesion on each membrane surface was normalised with respect to the pristine TFC 519 membrane. Error bars represent one standard error obtained from at least three membrane samples 520 for each condition.
- 521

| Table | 3 |
|-------|---|
|-------|---|

| Membrane | PVA (wt%) | GO (wt/v%) |
|----------|-----------|------------|
| TFC | 0 | 0 |
| TFC-P | 0.25 | 0 |
| TFC-PGO1 | 0.25 | 0.01 |
| TFC-PGO2 | 0.25 | 0.02 |
| TFC-PGO4 | 0.25 | 0.04 |
| | | |







Fig. 11



Fig. 12







Fig. 14

Table 4

| Membrane | A ($L.m^{-2}.h^{-1}.bar^{-1}$) | B $(L.m^{-2}.h^{-1})$ | B/A (bar) |
|----------|----------------------------------|-----------------------|-----------|
| TFC | 6.41 | 1.15 | 0.18 |
| TFC-P | 3.41 | 0.22 | 0.06 |
| TFC-PGO1 | 3.98 | 0.30 | 0.08 |
| TFC-PGO2 | 4.75 | 0.31 | 0.07 |
| TFC-PGO4 | 2.97 | 0.12 | 0.04 |









Fig. 16







Fig. 17



Membranes

Fig. 18

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