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1	In situ ultrathin silica layer formation on polyamide thin-film composite membrane
2	surface for enhanced forward osmosis performances
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20	modification; silica nanoparticles.
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22	

23 Abstract

24 Polyamide (PA) based thin-film composite (TFC) membranes experience a high degree of organic fouling due to their hydrophobic and rough membrane surfaces during forward osmosis 25 26 (FO) process. In this study, an ultrathin silica layer was grown *in situ* on the PA surface to 27 enhance the antifouling property of TFC membrane by silicification process. Surface 28 characterization confirmed the development of silica layer on the PA surface with thickness 29 and hydrophilicity intensifying with increasing silicification duration. The super hydrophilic 30 surface of silica-deposited TFC membrane (contact angle of 20°) with 3 h silicification time 31 (STFC-3h) displayed 53% higher water flux in comparison to the pristine membrane without 32 significantly compromising the membrane selectivity. The silica-modified TFC FO membrane 33 surface also exhibited excellent stability when subjected to long-term cross-flow shear stress rinsing using deionized (DI) water including exposure to salty, acidic and basic solutions. 34 35 Moreover, the fouling tests showed that STFC-3h membrane lost only 4.2%, 9.1% and 12.1% of its initial flux with bovine serum albumin (BSA), humic acid (HA) and sodium alginate 36 37 (SA), respectively, which are considerably lower compared to the pristine TFC FO membrane 38 where flux losses were 18.7%, 23.2% and 37.2%, respectively. The STFC-3h membrane also 39 revealed higher flux recovery ratio (FRR) of 99.6%, 96.9% and 94.4% with BSA, HA and SA, 40 respectively, after physical cleaning than the pristine membrane (91.4%, 88.7%, and 81.2%, 41 respectively). Overall, the *in situ* formation of an ultrathin hydrophilic silica layer on the PA 42 surface reported in this work shows that the TFC membrane's water flux and antifouling 43 property could be improved without diminishing the membrane selectivity.

45 **1** Introduction

46 Osmotically driven membrane processes like forward osmosis (FO) have been widely 47 studied in recent decades for wastewater treatment [1], wastewater reclamation and seawater 48 dilution [2], resource recovery [3], and food processing [4] due to their high water recovery, reduced fouling tendency and low energy consumption as opposed to pressure-driven 49 50 membrane processes like reverse osmosis (RO). FO only operates under the osmotic pressure 51 gradient existing between high salinity draw solution (DS) and the low salinity feed solution 52 (FS) streams across the semipermeable membrane [5, 6]. As a result, FO processes are 53 considered to be more energy efficient than RO when used in applications that do not require 54 DS recovery.

55 State-of-the-art aromatic polyamide (PA) thin-film composite (TFC) membranes have 56 been considered promising candidates for various separation processes due to their high degree of tunability, unparalleled permeability-rejection performance, and broader pH tolerance range 57 in comparison to cellulose-based membranes [7, 8]. The PA TFC membrane consist of a PA 58 59 selective layer formed on top of a mechanically robust porous substrate via interfacial 60 polymerization (IP) reaction [9]. Despite being considered the benchmark for water treatment 61 membranes, the PA TFC membranes experience the trade-off between water permeability and 62 salt selectivity. Additionally, the characteristic rough and hydrophobic surface of the PA 63 selective layer increases membrane fouling propensity, which in turn severely hinders the 64 treatment of high-fouling wastewaters. Consequently, the deteriorated membrane performance 65 escalates the overall process energy requirement, operational costs, and reduces membrane life. Therefore, it is imperative to design the structural and chemical properties of FO membranes 66 67 to prevent or reduce foulant build-up on the membrane surface, while retaining a high 68 membrane separation performance.

69 Membrane surface properties, such as high hydrophilicity and low roughness, have 70 demonstrated to play an essential role in enhancing the membrane antifouling property by 71 limiting its affinity to interact with the organic matter, thereby, reducing physisorption of 72 foulants [10]. To diminish the fouling of PA TFC membranes, researchers have developed 73 various methods such as rendering the PA layer surface smoother, making membrane surface 74 more hydrophilic and less charged through alteration of IP process[11], plasma surface 75 treatment [12], and hydrophilic macromolecule attachment via dip-coating [13], free radical 76 [14] or graft polymerizations [15]. Nonetheless, the use of above techniques is not very useful 77 because their scope for enhancing fouling control is limited. Other strategies include the 78 introduction of nanomaterials either embedded within the PA selective layer [16, 17] or coating 79 on the PA layer surface [18]. For instance, it has been observed that when nanomaterials like 80 carbon nanotubes [19], halloysite nanotubes [20], graphene oxide [21, 22] and silver 81 nanoparticles [23] are incorporated into the PA selective layer, the antifouling properties of 82 thin-film nanocomposite (TFN) membranes can be improved. However, such modification 83 methods can influence the membrane separation performance by affecting the chemical 84 property of PA, and result in inadequate use of nanomaterial surface since most of it is 85 embedded in the polymer matrix.

86 On the contrary, coating the nanoparticles on the PA layer via grafting, covalent bonding, 87 surface mineralization or layer-by-layer (LbL) assembly methods is arguably more desirable 88 for tuning membrane performance, hydrophilicity, surface roughness and charge to improve 89 chlorine resistance, delay membrane fouling and provide biocidal properties without 90 considerably varying the intrinsic membrane structures [24]. For example, Tiraferri et al. 91 imparted biocidal properties to the TFC membrane surface by binding CNTs to the PA surface 92 using amide bonds, which inactivated up to 60% of the bacteria attached to the membrane 93 within one hour [7]. Hegab et al. covalently attached antibacterial GO sheets to the TFC

94 membrane surface using hybrid and layer-by-layer (LbL) grafting techniques [25]. Yu's group, 95 on the other hand, improved the fouling resistance and water flux recovery of the membrane by applying surface mineralization technique to uniformly deposit silver chloride on membrane 96 97 surface via an alternate soaking process (ASP); where the mineralization degree was controlled 98 by varying the number of ASP cycles [26]. Atomic layer deposition (ALD) coating using 99 trimethylaluminum was also explored to alter the TFC membrane surface for better antifouling 100 performance [27]. Although nanomaterial coating methods have been extensively utilised to 101 boost the membrane surface properties and antifouling performance, the above techniques are 102 sophisticated because they require a large amount of chemicals, and involve several 103 complicated treatment steps/cycles with exceptionally challenging operating conditions, which 104 limits their scalability. In addition, physical surface coating methods may result in leaching or 105 detachment of nanoparticles from the membrane surface, which would reduce membrane 106 functionality and induce secondary environmental pollution (e.g. leaching of toxic silver ions) 107 [28]. Moreover, uncontrolled and thick deposition of nanoparticles on the membrane surface 108 could increase mass transfer resistance and reduce water flux. Therefore, ultrathin coating 109 layers on the membrane surface with good chemical and mechanical stability are preferable to 110 reduce the water transport resistance, meanwhile preventing leaching of nanomaterials from 111 the membrane surface.

Silica nanoparticles have been previously used for surface modification due to their hydrophilicity, widespread availability and low cost [29-34]. For instance, Huang et al. grafted amine-functionalized silica nanoparticles on the nanofiltration membrane surface to augment its hydrophilicity and antifouling property [35]. Zhang et al. created a superhydrophilic interface structure by growing a silica layer on the polyketone substrate for treating challenging oily emulsions [33]. The silica-modified membranes demonstrated exceptional antifouling property against a variety of oily emulsions comprising of several pollutants like natural organic materials, surfactants and proteins; and achieved a flux recovery ratio (FRR) of nearly
100% after a number of cycles of oily emulsion filtration.

121 In this study, we developed TFC FO membrane modified with an ultrathin silica layer on 122 the PA surface via in situ silicification process. The silicification process was initiated using 3-123 aminopropyltriethoxysilane (APTES) and tetraethoxysilane (TEOS) as the silane coupling 124 agent and silica precursor, respectively. The influence of silicification time on the membrane 125 surface properties, morphology and performances was systematically examined. The silica 126 coated PA TFC membrane demonstrated a hydrophilic surface with improved antifouling 127 property. Additionally, the silicification method used in this study is comparatively facile, 128 efficient and straightforward compared to other surface modification techniques, such as LbL 129 and ALD. Unlike the polymer or hydrogel coatings on the membrane surface that heighten the 130 water transport resistance [36], the ultrathin silica layer significantly improved the membrane 131 surface hydrophilicity and water permeability without adversely affecting its selectivity. 132 Moreover, the silica layer on the TFC membrane surface demonstrated excellent stability to 133 long-term stress from cross-flow rinsing using deionized (DI) water, in addition to improved 134 fouling resistance to organic foulants. Consequently, this facile membrane surface modification 135 method can deliver valuable insights for the design and preparation of antifouling PA TFC FO 136 membranes.

137 2 Experimental method

138 2.1 Materials

Solvay supplied polysulfone pellets (PSf, Udel® P-3500). M-phenylenediamine flakes
(MPD, 95%), 1-methyl-2 pyrrolidone (NMP, super dehydrated), n-hexane (96%), sodium
chloride (NaCl, 99.5%), calcium chloride (CaCl₂, 90%), ethanol (EtOH, 99.5%), bovine serum
albumin (BSA, fraction V), and ammonia (25%) were procured from FUJIFILM Wako Pure

143 Chemical Corporation and used without further purification. Tetraethoxysilane (TEOS, >97%), 144 1,3,5-benzenetricarbonyl trichloride (TMC, >98%), 3-aminopropyl-triethoxysilane (APTES, 145 >98%) were bought from Tokyo Chemical Industry and employed as received. Humic acid 146 (HA) sodium salt and sodium alginate (SA, 300 cps) were supplied by Nacalai Tesque, Inc. 147 and Sigma Aldrich, respectively. Deionized water (DI, ~18 MΩ/cm, Milli-Q®, Merck) was 148 used to make DS and FS.

149 2.2 Membrane fabrication

150 The porous PSf flat sheet substrates were prepared from 12 wt% PSf dope solution 151 using the non-solvent induced phase separation (NIPS) technique, as described in our previous 152 work [16]. More details are provided in the Supporting Information (SI). TFC membranes were 153 made by developing PA selective layers on the PSf substrates through IP reaction. First, the 154 substrate was immersed in a 4 wt% MPD aqueous solution for 2.5 min, and the excess solution 155 was removed using filter paper. The amine-saturated substrate was then exposed to 0.1 wt% 156 TMC/n-hexane organic solution for 1 min to initiate the IP reaction, followed by heat treatment 157 for 5 min at 60 °C to improve the PA cross-linking degree. The prepared TFC membranes were 158 stored in DI water at 4 °C before surface modification. More information is provided in the SI.

159 2.3 Membrane surface modification

The APTES decoration and *in situ* silicification process on membrane surface were conducted using the protocols from our previous work [33]. In short, the TFC membrane was first dipped in a 2 wt% APTES solution for 16 h to endow positive charge to the PA layer. The APTES decorated TFC membrane was denoted as 'APTES-TFC'. A mineral precursor solution prepared by mixing 100 mL ethanol, 4 mL ammonia and 1.5 mL TEOS for 5 min was then immediately contacted with the PA layer of the APTES-TFC membrane for various durations (1, 3 and 5 h) to start the *in situ* silicification process. The resultant silica coated TFC 167 membranes were denoted as 'STFC-1h', 'STFC-3h' and 'STFC-5h' depending on the 168 silicification time. The modified membranes were gently rinsed by shaking in DI water at 50 169 rpm for 24 h to remove any loose silica particles. The resultant membranes were then kept in 170 DI water at 4 °C until use.

171 2.4 Membrane characterization

172 The PA layer and cross-sectional morphologies of all the membranes were examined 173 using field emission scanning electron microscopy (FESEM; JEOL) with a 10 mA emission 174 current and 5 kV accelerating voltage. Osmium plasma coating was applied to the samples 175 prior to SEM analysis to obtain a conductive thin film. Atomic force microscopy (AFM; 176 SPI3800 N/SPA 400, Seiko Instruments Inc.) was used in non-contact mode to measure the 177 membrane surface roughness for a scan area of 5 μ m \times 5 μ m. The surface chemical 178 compositions of the membranes were studied by X-ray photoelectron spectroscopy (XPS; JSP-9010MC, JEOL) with an Al-Ka radiation source (1486.6 eV), Fourier-transform infrared 179 180 spectroscopy with attenuated total reflectance (FTIR-ATR; Bruker), and energy-dispersive X-181 ray spectroscopy (EDS) with an emission current and accelerating voltage of 25 mA and 12 182 kV, respectively.

The membrane surface hydrophilicity was determined from the water contact angles measured on at least 5 random positions for each sample with a contact angle goniometer (Drop Master 300, Kyowa Interface Science Co.) at room temperature. An electro-kinetic analyzer (SurPASSTM 3, Anton Paar) was utilised to verify the membrane surface charge by measuring the zeta potential of the membranes. The zeta potential tests were conducted at a gap height of 100 μ m with 1 mM KCl electrolyte solution and its pH was adjusted between 3 and 10 by dosing with 0.05 M NaOH and HCl solutions.

190 2.5 Membrane performance assessment

191 2.5.1 FO performance

A laboratory-scale FO experimental setup was utilized to establish the membrane 192 performance with an active area of 4.5 cm². The FO cell comprised of a 2.5 mm deep spacer-193 194 free flow channel on either side of the membrane. A co-current flow rate of 250 mL.min⁻¹ at 195 22 °C was used for both the DS and FS during the FO tests. The membranes were examined in AL-FS (active layer facing to FS) mode with DI water and 1 M NaCl as FS and DS, 196 respectively. The water flux $(J_w, L.m^{-2}.h^{-1})$ and the reverse solute flux $(J_s, g.m^{-2}.h^{-1})$ through 197 the membrane was determined from Eq. S1 and Eq. S2, respectively. The specific reverse 198 solute flux (SRSF, g.L⁻¹) was evaluated from J_w and J_s values to specify membrane selectivity 199 200 (Eq. S3). The equations utilized for assessing the membrane performance are included in the 201 SI.

202 2.5.2 Membrane intrinsic transport parameters

The intrinsic membrane transport parameters were obtained using cross-flow laboratory-scale RO filtration system with an active membrane area of 7.06 cm². All membrane samples were pre-compacted with DI water at 6 bar for 1 h at a flow rate of 9.9 mL.min⁻¹ before testing to obtain a stable flux. The pressure was then reduced to 5 bar to obtain the pure water flux (*J*) and water permeability coefficient (*A*, L.m⁻².h⁻¹.bar⁻¹) using the following equations:

$$J = \frac{\Delta V}{A_m \cdot \Delta t} \tag{1}$$

$$A = \frac{J}{\Delta P} \tag{2}$$

where ΔV (L), A_m (m²), Δt (h) and ΔP (bar) are the permeate volume, active membrane area, filtration duration and applied pressure difference, respectively. The DI water feed was then replaced by 10 mM NaCl solution at 5 bar to determine the solute rejection (R, %) and solute permeability coefficient (B, L.m⁻².h⁻¹) using Eq. 3 and 4, respectively:

$$R = \left(1 - \frac{C_p}{C_f}\right) \times 100\% \tag{3}$$

$$B = A(\Delta P - \Delta \pi) \left(\frac{1-R}{R}\right)$$
(4)

where C_p (g.L⁻¹) and C_f (g.L⁻¹) are the solute concentration of the permeate and feed solution, respectively, and $\Delta \pi$ (bar) is the osmotic pressure difference across the membrane.

215 2.5.3 Stability test

The stability of the silica layer was assessed using the FO setup by subjecting the membrane to a cross-flow velocity of 12 cm.s⁻¹ over 72 h at 20 °C using DI water. The membranes were then tested for FO performance, and the membrane surfaces were analysed for hydrophilicity by determining the water contact angles. The stability of silica layer when exposed to acid (HCl, pH=3), base (NaOH, pH=10) and salt (0.5 M NaCl) solutions was determined by shaking the membranes for 72 h at 70 rpm in the various solutions and measuring their water contact angles.

223 2.6 Membrane fouling test

The membrane fouling tests done in four stages in the AL-FS mode using the FO setup. Firstly, baseline tests for the membranes were run with DI water FS and NaCl DS (0.5 M to 2 M) to adjust the initial baseline water flux ($J_{w,0}$) to ~20 L.m⁻².h⁻¹ and determine the flux decline resulting from the DS dilution and J_s to FS. Secondly, accelerated fouling test was initiated at similar conditions as the baseline experiment by introducing 1 g.L⁻¹ of foulant (BSA, HA and SA) into the FS containing 1 mM CaCl₂. BSA, HA and SA were picked as model organic foulants to represent proteins, effluent organic matter (EfOM), and polysaccharides. The flux decline occurring, in this instance, resulted from the collective influence of J_s , DS dilution and membrane fouling. Both the fouling and baseline tests were conducted at a flow rate of 250 mL.min⁻¹ for ~17 h to obtain a cumulative permeate volume of 75 mL. The degree of fouling was quantified from the flux decline during the fouling test (FD_{75 mL}) using Eq. (8) as reported earlier [37]:

$$FD_{75\,mL} = \frac{\left| \left(J_w / J_{w,0} \right)_{baseline} - \left(J_w / J_{w,0} \right)_{fouling} \right|}{\left(J_w / J_{w,0} \right)_{baseline}} \times 100\%$$
(8)

where the FD_{75mL} was measured from the normalized baseline and fouling fluxes $(J_w/J_{w,0})_{baseline}$ and $(J_w/J_{w,0})_{fouling}$, respectively. A lower bound of 0 for FD_{75mL} would indicate no occurrence of fouling; whereas, an upper bound of 100% would mean complete water permeability loss due to fouling.

Next, the fouled membranes were exposed to physical cleaning through circulation of DI water in both DS and FS channels for 1 h at a higher flow rate of 500 mL.min⁻¹. Lastly, the physically cleaned membranes were exposed to the conditions of baseline test to evaluate the flux recovery ratio (FRR) using Eq. 9:

$$FRR = \frac{J_{w,0c}}{J_{w,0}} \tag{9}$$

where $J_{w,0}$ and $J_{w,0c}$ are the initial water fluxes of membranes before fouling and after cleaning, respectively.

246 **3 Results and discussion**

- 247 3.1 Membrane surface properties
- 248 The ultrathin silica layer was formed *in situ* on the PA TFC membrane surface, as
- 249 illustrated in





251 Figure 1. Firstly, the negatively charged TFC membrane was treated with positively 252 charged APTES, which was adsorbed on the membrane surface through attractive electrostatic 253 forces, hydrogen bonding and dehydration. The APTES then formed an aminosilane layer on 254 the PA surface through hydrolysis and self-condensation process and made the TFC membrane 255 surface positively charged (APTES-TFC) [38]. Secondly, the aminosilane layer of the APTES-256 TFC membrane was exposed to a solution containing ethanol, ammonia and the negatively charged TEOS. Due to the electrostatic attraction force, the TEOS monomers could attach on 257 258 the positively charged APTES-TFC membrane. Finally, the in situ silicification process 259 occurred via the hydrolysis-condensation process of the adsorbed TEOS monomers on the 260 APTES-TFC membrane surface; thus, ensuing the establishment of an ultrathin silica layer via 261 the nucleation and growth of nano-silica particles [39, 40].



Figure 1: Schematic illustration of the procedure used to modify the PA TFC membrane surface via in situ silicification process. The blue spheres on the STFC membrane represent silica nanoparticles. APTES, H₂O, TEOS, EtOH and NH₄OH represent (3-aminopropyl)triethoxysilane, water, tetraethoxysilane, ethanol and ammonium hydroxide, respectively.

The surface chemistries of the pristine TFC, APTES-TFC and resultant STFC membranes were examined using FTIR. As shown in Overall, both FTIR and XPS analysis confirm the effective growth of silica on the PA layer surface.



Figure 2a, the FTIR spectra of all the membranes revealed the characteristic peaks of polysulfone (PSf) at 1502 cm⁻¹ (C=C aromatic ring in-plane scissoring vibration), 1385 cm⁻¹

(symmetric C–H deformation of C(CH₃)₂), 1236 cm⁻¹ (asymmetric C–O–C stretching vibration 275 of the aryl–O–aryl group), 1294 cm⁻¹ and 1147 cm⁻¹ (asymmetric and symmetric stretching) 276 vibrations of the O=S=O bonds of PSf) [41, 42]. The membranes also exhibited the typical 277 peaks of PA at 1663 cm⁻¹ (amide I band), 1609 cm⁻¹ (aromatic amide band) and 1541 cm⁻¹ 278 (amide II band, N–H in-plane bending vibration and C–N stretching of PA's amide group) [36]. 279 280 Since the STFC-1h, STFC-3h and STFC-5h membranes do not clearly show the silica-281 associated peaks due to the small amount of silica on the membrane surface, the FTIR spectrum 282 of the STFC-8h membrane was also included to identify the peaks related to silica. The relative intensity of the peaks at 1070 cm⁻¹ was higher for the APTES-TFC and STFC membranes 283 284 compared to the TFC membrane due to the Si-O-Si stretching vibration resulting from the 285 self-hydrolysis and condensation of APTES on the membrane surface throughout the self-286 polymerization process [33]. The STFC membranes also revealed the characteristic Si-OH stretching vibration peak of silica at 950 cm^{-1} [43]. The relative intensity of this particular peak 287 288 augmented from STFC-1h to STFC-8h, indicating higher silica content obtained over longer 289 silicification time. Meanwhile, we also observed the decrease in relative intensities of the 290 characteristic PSf and PA FTIR bands. These results thus demonstrated the successful silica deposition on the membrane surface. The broad band around 3330 cm⁻¹ ensues from the 291 292 overlapping peaks that are ascribed to the N-H and carboxyl group stretching of the PA, and 293 O-H stretching vibration of incomplete silanol group (Si-OH) condensation [44].

294 XPS measurements were also used to study the chemical composition alterations 295 resulting from the surface modification of the TFC membrane during *in situ* silicification 296 process. Both the qualitative and quantitative chemical analysis was performed with XPS at 297 the pristine and modified TFC membrane surfaces. The wide and narrow-scan XPS spectra 298 representing the carbon (C 1s), silicon (Si 2p), and oxygen (O 1s) elements for the pristine 299 TFC, APTES-TFC and STFC membranes prepared at various silicification times are presented 300 in Figure 2S1 and Figure 2b-d, respectively, while their surface elemental compositions are 301 summarized in Table 1. The large asymmetrical peak on the C 1s spectrum was deconvoluted into four peaks; the peaks at 284.5 eV (the non-oxygenated carbon with sp^2 and sp^3 302 303 hybridization, C–C) and 286.6 eV (C–O) are associated to the PSf substrate [45], while the 304 peaks at 284 (C-O-Si, C-N) and 285.4 eV (C-Si) develop from APTES treatment on the 305 membrane surface [46]. The intensity of the C 1s peaks decreased at higher silicification 306 duration because of the formation of thicker silica layers (Figure 2b). The Si 2p XPS spectra 307 of the APTES-TFC and STFC membranes demonstrated a peak at 103 eV; thus, indicating the 308 formation of Si–O bonds after the APTES treatment and silicification process (Figure 2c) [47]. 309 The deconvoluted XPS spectrum of the O 1s showed the presence of three additional peaks: 310 the C-O (533.1 eV) and S=O (531.8 eV) bands of the PSf substrate, and the Si-O peak (533 311 eV) from the silica coating (Figure 2d). The intensities of both the Si 2p and O 1s peaks were 312 observed to increase with increasing silicification duration due to the development of a denser 313 silica layer on the APTES-TFC membrane surface (Figure 2c and d). Consequently, the 314 elemental compositions of Si and O increased from 16.7% to 29%, and 29.3% to 43.3%, 315 respectively, while that of C decreased from 54.1% to 27.7% on increasing the silicification 316 duration from 1 h to 5 h (Table 1). Overall, both FTIR and XPS analysis confirm the effective 317 growth of silica on the PA layer surface.



320 Figure 2: (a) FTIR spectra, and narrow-scan XPS spectra of (b) C, (c) Si, and (d) O elements of 321 pristine and modified TFC membranes prepared at various silicification durations.

Membrane	C (%)	O (%)	Si (%)
TFC	85.78	14.22	0.00
APTES-TFC	70.66	20.76	8.58
STFC-1h	54.05	29.26	16.69
STFC-3h	40.88	38.16	20.97
STFC-5h	27.69	43.33	28.98

323 Table 1: Surface elemental compositions of the pristine and modified TFC membranes.

The surface and cross-section morphologies of the pristine and ultrathin silica coated 325 326 PA TFC membranes were systematically investigated via SEM (Figure 3) and AFM (Figure 5) 327 characterizations. The pristine TFC membrane demonstrated the characteristic ridge-and-328 valley structure of the PA layer (Figure 3a); thus confirming the successful completion of IP 329 process on the PSf substrate. The APTES-TFC membrane demonstrated similar morphology 330 (Figure 3b) and roughness (Figure 5b) as that of the TFC membrane (Figure 3a and Figure 5a), 331 which confirms that the APTES treatment had no significant influence on the morphology of 332 the PA layer (Figure 3b, Figure 5b). However, the SEM images of the STFC-1h, STFC-3h and 333 STFC-5h surfaces (Figure 3c-d) revealed more distinct morphologies with uniform deposition 334 of silica nanoparticles, which implies that the in situ silicification process occurred 335 successfully on the PA layer surface.

During the first hour of the silicification process, the hydrolysis-condensation reactions of the APTES and TEOS formed silica nuclei on the surface of STFC-1h as can be observed from Figure 3c. By prolonging the silicification time to 3 h, the silica nuclei grew bigger to form a more continuous silica layer on the STFC-3h surface (Figure 3d). However, the deposited silica nanoparticles were barely visible on the SEM cross-section images (Figure 3cd, right images), which suggests that the growth of ultrathin silica layer only influences the 342 membrane surface chemistry without significantly changing the membrane morphology. 343 However, on further increasing the silicification time to 5 h, we observed a denser and thicker 344 interconnected layer of silica clusters that mostly covered the PA surface as demonstrated by 345 the surface and cross-section SEM images of the STFC-5h membrane (Figure 3e). The larger-346 sized silica nanoparticles emerged due to collision and coagulation of the silica nuclei that were 347 continuously in random motion [33]. These results confirmed that the size of silica particles 348 and the thickness of the silica layer on the surface of the membrane could be easily adjusted 349 through varying the silicification time.

350



Figure 3: SEM images showing PA TFC membrane top surface and cross-section morphologies of (a) pristine TFC, (b) APTES-TFC, (c) STFC-1h, (d) STFC-3h, and (e) STFC-5h membranes.

355 The EDS mapping and spectra of the pristine TFC and silica-modified STFC 356 membranes are presented in Figure 4. It can be observed from the EDS elemental mapping 357 results of Si and O that silica (SiO₂) is uniformly distributed on the surface of the STFC 358 membrane. Since silica is not grown on the surface of the pristine TFC membrane, the Si peak 359 is missing from its EDS spectrum (Figure 4a). In contrast, the EDS spectrum of the STFC 360 membrane showed more intense Si and O peaks comparatively due to silica deposition on its surface (Figure 4b). The EDS mapping results of the STFC membrane's cross-section SEM 361 362 image showed a thin layer of Si on its surface (Figure 4c), which further confirmed that a thin silica layer was mainly deposited on the PA layer surface without obvious intrusion into the 363 364 porous PSf substrate.



365

369 respectively.

<sup>Figure 4: SEM, EDS mapping and EDS spectra of (a) pristine TFC membrane top surface, (b)
silica coated STFC membrane top surface and (c) silica coated STFC membrane cross-section.
Red and orange dots on EDS mapping indicate signals of carbon (C) and silica (Si),</sup>

371 As evident from AFM topography results, the surface of the STFC membranes became 372 rougher as the silicification process duration was increased from 1 h to 5 h (Figure 5). The average surface roughness (Ra) of the silica-modified PA TFC membranes increased from 373 374 54.07 nm for STFC-1h, to 58.41 nm for STFC-3h, and 81.11 nm for STFC-5h membranes due 375 to the formation of larger silica nanoparticle clusters on the PA surface (Figure 5c-e). The 376 pristine TFC and APTES-TFC membranes demonstrated comparatively smoother surfaces with near identical R_a values of 39.77 and 40.59 nm, respectively (Figure 5a and b). This 377 378 observation further confirms that the APTES treatment did not considerably modify the 379 morphology of the TFC membrane but primarily modified its surface chemistry, which will be 380 verified later from the contact angle and surface zeta potential measurements.



- Figure 5: AFM topography images representing membrane surface roughness of (a) pristine TFC, (b) APTES-TFC, (c) STFC-1h, (d) STFC-3h, and (e) STFC-5h membranes. Error bars for membrane surface roughness represent one standard error obtained from at least 3 membrane samples for each condition.
- 386



387 The membrane surface wettability of the pristine TFC, APTES-TFC and silica coated
 388 STFC membranes was determined from the initial water contact angles measured on their

391 Figure 6a, the pristine TFC membrane possessed the least hydrophilic surface before 392 water rinsing with a contact angle of 71°. The TFC membrane's surface hydrophilicity 393 improved slightly after 16 h of APTES treatment (APTES-TFC) and exhibited a water contact 394 angle of 68°. However, the silica coated STFC membranes displayed a significant improvement 395 in wettability with lower water contact angles. Specifically, the water contact angles declined 396 from 41° for STFC-1h to 15° for STFC-5h when the silicification process duration increased 397 from 1 h to 5 h due to more silica deposition on the membrane surface. The observed trend in 398 membrane hydrophilicity suggests that the silica coating can improve the membrane 399 hydrophilicity as it comprises of a considerable quantity of hydrophilic silanol and related 400 hydroxyl groups.

To determine the stability of the silica layer on the STFC membranes, the membranes were subjected to rinsing at higher cross-flow velocity of 12 cm.s⁻¹ for 72 h at room temperature using DI water. As expected, the initial water contact angle of the TFC membrane did not change after rinsing (Figure 6a). The APTES-TFC membrane also revealed similar water 405 contact angles before and after rinsing, which confirmed the stability of the aminosilane layer 406 under the applied high shear operating conditions. The hydrophilicity of the STFC-1h 407 membrane, on the other hand, significantly declined with the water contact angle increasing from 41° to 58° after high shear rinsing possibly because of the weak attachment of silica 408 409 particles to the membrane surface. The STFC-3h and STFC-5h membranes also exhibited 410 reduced hydrophilicity after DI water rinsing, but their water contact angles increased only by 411 3.3° and 2.3°, respectively, which is insignificant compared to that of the STFC-1h membrane. 412 Hence, it can be resolved that the stability of the silica layer increased at higher silicification 413 time.

414 The surface charge of the TFC, APTES-TFC and silica-modified STFC membranes are shown in terms of the zeta potential measurements over a pH range of 3 to 10, as presented in 415 416 Figure 6b. The pristine TFC membrane's was observed to be positively charged at pH < 3.5417 due to the protonation of the PA layer's pendant amino groups. At pH > 3.5, the pristine TFC 418 membrane exhibited a negatively charged surface owing to the deprotonation of the PA layer's 419 carboxyl and amino groups [48]. The negatively charged surface of the TFC membrane 420 facilitated adsorption of positively charged APTES on its surface via attractive electrostatic 421 forces, in addition to hydrogen bonding. As revealed by Figure 6b, the aminosilane layer 422 formed on the PA layer from hydrolysis and self-condensation of APTES rendered the TFC 423 membrane surface positively charged (APTES-TFC), which further assisted in adsorption of 424 negatively charged TEOS via electrostatic interaction to form an ultrathin silica coating 425 through in situ silicification via hydrolysis-condensation process [38].

The preparation of silica layer on PA surface subsequently reversed the membrane surface charge from positive (APTES-TFC) to negative (STFC-1h, STFC-3h and STFC-5h) [33, 49]. In summary, the TFC membrane revealed the most negatively charged surface, comparatively, because of the presence of abundant carboxyl groups. In contrast, the surface negative charge of the STFC membranes was lower compared to the pristine membrane owing
to the silica layer's shielding effect that reduced the exposure of PA carboxyl groups [50].
Moreover, the zeta potentials for the STFC membranes progressively became more negative
as the silicification duration increased from 1 h (STFC-1h) to 5 h (STFC-5h) due to formation
of more silica particles that contained abundant negatively charged hydroxyl groups [51].



Figure 6: (a) Initial water contact angle measurements of the pristine and modified TFC membranes before and after rinsing with DI water for 72 h at a co-current cross-flow velocity of 12 cm.s⁻¹; (b) surface zeta potential measurements of the pristine and modified TFC membranes. Error bars for initial water contact angle measurements represent one standard error obtained from at least 7 measurements for each membrane sample.

441

442 3.2 FO membrane performance

The FO performance of the pristine TFC, APTES-TFC and STFC membranes were determined in AL-FS orientation using 1 M NaCl as DS and DI water as FS. The reverse solute flux (J_s), water flux (J_w) and specific reverse solute flux (*SRSF*) across the prepared membranes are presented in Figure 7. Due to the improved surface hydrophilicity of the APTES-TFC membrane, it showed a higher J_w (20 L.m⁻².h⁻¹) compared to the pristine TFC membrane (17 L.m⁻².h⁻¹). The J_s of the APTES-TFC membrane, however, remained similar as that of the TFC membrane (~6.4 g.m⁻².h⁻¹), which confirmed that 16 h of APTES treatment altered the 450 membrane surface properties without impairing the PA layer. The STFC-1h showed a similar 451 J_w as that of the APTES-TFC membrane possibly due to formation of an unstable silica coating 452 that partially washed off during the test as observed from the increase in its water contact angle 453 measurements after rinsing (Figure 6a). The J_w of the STFC-1h membrane interestingly decreased slightly (5.5 g.m⁻².h⁻¹), probably duesto the negatively charged membrane surface 454 455 repelling the DS ions away from it. Owing to the improved stability of the silica layer on the STFC-3h membrane, as evident from its water contact angle results (Figure 6a), it demonstrated 456 the highest J_w of 26 L.m⁻².h⁻¹ with a small increase in the J_s (6.9 g.m⁻².h⁻¹) as a result of the 457 458 permeability-selectivity trade-off relationship. Both the J_w and J_s of the STFC-5h membrane (14 L.m⁻².h⁻¹ and 2.5 g.m⁻².h⁻¹, respectively) were the lowest compared to other membranes 459 460 because of the increased hydraulic resistance from the dense silica layer formation that coated 461 nearly the entire PA layer surface, as observed earlier from the SEM images (Figure 3e). 462 Although STFC-5h membrane revealed the most hydrophilic surface, however, a thicker silica 463 layer negatively impacted the J_w as a consequence of the additional hydraulic resistance. The 464 dense silica layer, however, improved the selectivity of the membrane by both electrostatic repulsion and the size exclusion effect to draw solute; consequently, diminishing the J_s the 465 466 STFC-5h membrane.

467 The SRSF is an imperative parameter in the FO process specifying the selectivity of the 468 FO membranes, and a lower SRSF value and is preferable as it denoted a more selective 469 membrane. As shown in Figure 7, APTES treatment of the TFC membrane reduced its SRSF 470 value by 16% in comparison to the TFC membrane. Likewise, the modified TFC membranes 471 with silica layer attained lower SRSF values in comparison to the TFC membrane owing to 472 their relatively higher J_w with similar J_s resulting from the increased membrane hydrophilicity and surface negative-charge. Specifically, the highest SRSF value of 0.38 g.L⁻¹ was observed 473 474 for the TFC membrane because of the looser pore structure of its PA layer, which facilitated

475 relatively more solute particles to move to the FS from the DS. The STFC-1h (0.26 g.L⁻¹) and 476 STFC-3h (0.27 g.L⁻¹) showed comparable SRSF values, but the SRSF of STFC-5h (0.17 g.L⁻¹) 477 ¹) was significantly smaller because of the augmented resistance from the dense silica layer. 478 The STFC-3h was selected as the most favourable membrane as it revealed the highest J_w in 479 comparison to other silica-modified TFC membranes without deteriorating its selectivity.





Figure 7: FO performance of the pristine and modified TFC membranes with 1 M NaCl as DS
and DI water as FS in AL-FS arrangement at a co-current cross-flow velocity of 12 cm.s⁻¹.
Error bars for membrane surface roughness represent one standard error obtained from at least
3 membrane samples for each condition.

The intrinsic transport properties of the pristine and modified TFC membranes were determined from the pure water permeability coefficient (*A*), solute permeability coefficient (*B*), and intrinsic membrane selectivity ratio (*B/A*) as listed in Table 2. The APTES-TFC

489	membrane with the aminosilane layer, and the silica coated STFC-1h and STFC-3h membranes
490	demonstrated higher A values (2.14, 2.18 and 2.46 $L.m^{-2}.h^{-1}.bar^{-1}$, respectively) in comparison
491	with the pristine TFC membrane $(2.08 \text{ L.m}^{-2}.\text{h}^{-1}.\text{bar}^{-1})$ due to their improved surface
492	hydrophilicity. The B values of the modified membranes showed a similar trend as that of the
493	membrane J_s during the FO performance due to the same reasons discussed above. However,
494	the STFC-5h membrane displayed the lowest A (1.78 L.m ⁻² .h ⁻¹ .bar ⁻¹) and B (0.43 L.m ⁻² .h ⁻¹)
495	value, compared to the pristine and modified TFC membranes, due to the development of a
496	dense silica layer on its surface that reduced its water permeability and increased the draw
497	solute selectivity by raising the hydraulic resistance across it. The least selective TFC
498	membrane showed the biggest B/A ratio of 0.36 bar; whereas, the STFC-5h was found to be
499	the most selective with a smallest B/A ratio of 0.24 bar. Both STFC-1h and STFC-3h
500	membranes exhibited similar selectivity with B/A ratios of 0.29 bar and 0.31 bar, respectively.
501	The intrinsic transport parameters are in good agreement with the FO performance of the
502	membranes presented in Figure 7d, and validated that the membrane performance could be
503	adjusted by tuning the silicification time.

504	Table 2: Intrinsic transport parameters of pristine and modified TFC FO membranes. Error bars
505	represent one standard error obtained from at least 3 membrane samples for each condition.

Membrane	A (L.m ⁻² .h ⁻¹ .bar ⁻¹)	$B(L.m^{-2}.h^{-1})$	B/A (bar)
TFC	2.08 ± 0.26	0.75 ± 0.06	0.36 ± 0.013
APTES-TFC	2.14 ± 0.19	0.73 ± 0.10	0.34 ± 0.014
STFC-1h	2.18 ± 0.09	0.63 ± 0.04	0.29 ± 0.005
STFC-3h	2.46 ± 0.18	0.76 ± 0.07	0.31 ± 0.005
STFC-5h	1.78 ± 0.17	0.43 ± 0.02	0.24 ± 0.010

507 3.3 Membrane stability

508 The durability and stability of the membrane coating are crucial factors in evaluating 509 the potential of scaling-up for practical applications. Therefore, the optimal STFC-3h 510 membrane was subjected to a high co-current cross-flow velocity shear of 12 cm.s⁻¹ operated 511 for 72 h using DI water to assess the mechanical stability of the silica-coating on the TFC 512 membrane surface. As presented in Figure 8a, the STFC-3h membrane revealed identical FO 513 performance both before and after intense rinsing with DI water; thus, demonstrating that the 514 silica coating remained mechanically stable over the long-term cross-flow rinsing process. The 515 FO test results are in good conformity with the contact angle measurements, as discussed earlier 516 (Figure 6a).

517 The STFC-3h membrane was also treated with salt, acid and base to further investigate 518 its chemical stability under various conditions, as shown in Figure 8b. It was found that STFC-519 3h membrane exhibited only 2.7° increase in water contact angle after exposing to a 0.5 M 520 NaCl solution by constant shaking for 72 h, suggesting an excellent chemical stability. 521 Similarly, the treatment of STFC-3h membrane with HCl and NaOH showed only a slight rise in water contact angle by 1.4° and 3.8° , respectively, which is within the measurement error 522 523 range. These results proved that the silica layer on the STFC-3h membrane surface remained 524 stable under various chemical exposures, which could be attributed to the exceptional adhesion 525 force between the silica and aminosilane layers via the stable, covalent Si-O-Si bonds. These 526 results also suggest that the STFC-3h membrane can be suitably applied under harsh 527 environment.





529 Figure 8: a) FO performance of STFC-3h membrane before and after rinsing with DI water for 72 h at a co-current cross-flow velocity of 12 cm.s⁻¹. The membranes were tested in AL-FS 530 531 arrangement with 1 M NaCl as DS and DI water as FS at a co-current cross-flow velocity of 532 12 cm.s⁻¹. b) Initial water contact angle measurements of the STFC-3h membrane before and 533 after its exposure to the salt solution (0.5 M NaCl), acid (HCl, pH 3) and base (NaOH, pH 10) 534 for 72 h at 70 rpm. Error bars for FO performance (initial water contact angle measurements) 535 represent one standard error obtained from at least 2 membrane samples (5 random positions) 536 for each condition.

538 3.4 Antifouling properties

539 The antifouling properties of the pristine TFC and STFC-3h membranes were examined 540 using accelerated fouling experiments using different organic foulants (BSA, HA and SA), where the foulant dosage in the FS was maintained at 1 g.L⁻¹. Both BSA and SA were selected to exemplify 541 542 the higher molecular weight compounds present in wastewaters and surface waters; while, the HA was chosen to demonstrate the effect of hydrophobic characteristics of the organic matter on the 543 544 membrane fouling propensity. The normalized flux decline corresponding to 75 mL of permeate 545 volume (FD_{75mL}) against different organic foulants is presented in Figure 9. As can be observed, the 546 STFC-3h membrane showed a suggestively lower FD_{75mL} compared to the TFC membrane with all the foulants, indicating that surface modification of PA layer with silica layer can improve 547

antifouling properties of the membrane as a result of their improved hydrophilicity. The TFC membrane's poor antifouling property could be attributed to the development of a dense foulant layer on its surface owing to the strong interaction forces between its more hydrophobic surface and the organic foulants.

552 The flux decline in both TFC and STFC-3h membranes with BSA and HA was considerably lower than that with SA. For instance, STFC-3h (TFC) revealed a FD_{75mL} of 4.2% and 9.1% (18.7% 553 554 and 23.2%) with BSA and HA, respectively, which is relatively lower than a FD_{75mL} of 12.14% 555 (37.2%) obtained with SA. These results indicate that both BSA and HA do not adhere to the 556 membrane surfaces easily compared to SA, which resulted in a lower flux decline. The more serious SA fouling could be ascribed to the Ca^{2+} bridging effect between the ions and the abundant 557 carboxylic functional forming a highly cross-linked foulant layer on the membrane surface [36, 52]. 558 Since the BSA molecules contain only a low amount of carboxylic groups, the presence of Ca²⁺ in 559 the FS has minor influence on the BSA fouling tendency [53]. 560

561 In comparison to the TFC membrane, the STFC-3h membrane exhibited a suggestively better antifouling property to all the foulants owing to the existence of fewer carboxyl groups on its 562 surface. The in situ APTES treatment and silicification process on the PA layer partially occupied 563 564 the carboxyl groups on the PA layer, which effectively diminished the inter-adhesion between foulants and the membrane surface; thus, leading to the lower membrane fouling potential. The 565 566 hydrophilic silica coating on the STFC-3h membrane also mitigated fouling by forming a water 567 obstruction amid the hydrophobic foulants and the membrane surface that weakened the interfacial interaction between them [21, 54]. Overall, the fouling test results confirm that the membrane 568 569 surface properties can radically impact the membrane fouling behaviour, and that weakening the 570 foulant-membrane interfacial interaction could reduce foulant accumulation onto membrane 571 surface; thereby, reducing the membrane flux decline.

572 After the end of the fouling tests, the membranes were exposed to simple physical cleaning with DI water for 1 h at a higher cross-flow velocity of 0.5 L.min⁻¹ and tested under the initial 573 574 baseline conditions to assess their FRR. As presented in Figure 9, the STFC-3h membrane achieved 575 a greater FRR than that of the TFC membrane under all conditions due to its more hydrophilic 576 surface, which weakened the foulant-membrane and foulant-foulant interactions. Both the TFC and 577 STFC-3h membranes revealed increased normalized flux after the physical cleaning, which 578 indicates the fouling reversibility of the membranes up to a certain extent. The FRR of the TFC 579 membrane was 91.4% and 88.7%, whereas, that of STFC-3h membrane was 99.6% and 96.9% after 580 BSA and HA fouling, respectively. In the case of SA fouling, the TFC membrane attained a small 581 FRR of 81.2%, suggesting substantial irreversible fouling because of strong adhesion of SA layer 582 on the membrane surface. However, the STFC-3h membrane exhibited a comparatively higher flux 583 recovery of 94.4% after SA fouling due to its hydrophilic surface that contained less carboxylic 584 functional groups and foulant adhesive sites, which allowed a greater extent of foulant removal from 585 its surface. Consequently, the improved antifouling property of the STFC-3h membrane diminished 586 the foulant-membrane interaction by facilitating the development of a loosely adhered foulant layer 587 that could be effortlessly eradicated by simple hydraulic rinsing process. It could be concluded from 588 the fouling tests that the BSA and HA fouling is more reversible than the SA fouling, and that the 589 membrane cleaning efficiency is governed by the severity of foulant-foulant and foulant-membrane 590 interactions.



Figure 9: The normalized flux decline (FD_{75mL}) during the accelerated fouling test with 1g.L⁻¹ a) BSA, b) HA, and c) SA, and the flux recovery ratio (FRR) after physical cleaning of the pristine TFC and modified STFC-3h membranes. Operating conditions: Initial baseline water flux ($J_{w,0}$), ~20 L.m⁻².h⁻¹; membrane orientation, AL-FS; DS, 0.5-2 M NaCl; foulants, 1 mM calcium chloride and 1g.L⁻¹ BSA/HA/SA; flow rate (baseline and fouling test), 0.25 L.min⁻¹; flow rate (physical cleaning), 0.5 L.min⁻¹.

599

600 4 Conclusions

In this work, surface modification of PA TFC membranes was explored by varying the duration of *in situ* silicification process to form an ultrathin silica layer on the PA membrane surface. The density of silica coating on the PA layer surface and its surface wettability and surface charge increased with the increase of silicification duration; however, 3 h was observed to be optimum duration beyond which the water transport resistance of the membrane 606 increased. This optimum membrane (STFC-3h) demonstrated the best silica coating stability 607 and FO process performances with the highest water enhancement of 53% while retaining 608 membrane selectivity in AL-FS orientation in comparison to the pristine TFC membrane. The 609 improved FO performance could be ascribed to the enhanced surface hydrophilicity resulting 610 from the hydrophilic silica coating. The inorganic silica coating on the STFC-3h membrane 611 surface also showed good mechanical stability and thermal stability when subjected high cross-612 flow shear rinsing (with DI water) for 72 h and also when exposed to high salinity, acidic and 613 basic solutions. Moreover, the hydrophilic STFC-3h membrane revealed improved antifouling 614 property and flux recovery rate than that of the TFC membrane when exposed to BSA, HA and 615 SA fouling by reducing the foulant-membrane and foulant-foulant interactions. Overall, the 616 facile *in situ* silicification technique for membrane PA surface modification shown in this study 617 opens up a promising pathway to augment the FO performance and antifouling property of the 618 PA TFC membranes.

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