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The evolution of botanical biofilters: developing practical phytoremediation of air pollution for the built environment

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Abstract

Indoor air quality is of emerging importance due to the rapid growth of urban populations that spend the majority of their time indoors. Amongst the public, there is a common perception that potted-plants can clean the air of pollutants. Many laboratory-based studies have demonstrated air pollution phytoremediation with potted-plants. It has, however, been difficult to extrapolate these removal efficiencies to the built environment and, contrary to popular belief, it is likely that potted-plants could make a negligible contribution to built environment air quality. To overcome this problem, active green walls have been developed which use plants aligned vertically and the addition of active airflow to process a greater volume of air. Although a variety of designs have been devised, this technology is generally capable of cleaning a variety of air pollutants to the extent where comparisons against conventional air filtration technology can be made. The current work discusses the history and evolution of air phytoremediation systems from potted-plants through to practical botanical air filtration.

Keywords: Active green wall; Potted-plant; Phytoremediation; Air quality; Sustainability; Green building

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1. Urban and indoor air quality

Urban air quality is becoming an increasingly important issue in both developing and developed countries [1], where air pollution exposure has become the fifth most significant human health risk factor around the globe [2]. A greater proportion of the world's population is becoming urbanised, with 28% of the world's populations projected to live in cities with populations over 1 million people by 2030 [3]. As the level of exposure to urban air pollution is becoming increasingly significant, the evidence of negative health effects resulting from air pollution exposure is growing [4, 5].

High traffic densities within urban areas [6], along with a range of other sources (Table 1) are associated with considerable air pollution emissions, leading to increased exposure to ambient air pollution in urban areas. The geometries of some urban areas may hinder air pollution dispersion [7] and thus increase the air pollutant concentration and amplify exposure of some urban inhabitants. Consequently, the major criteria urban air pollutants associated with detrimental health effects include volatile organic compounds (VOCs), nitrogen oxides (NO_x), carbon monoxide (CO) and particulate matter (PM; see Table 1) [8]. PM is of particular concern in many urban centres where it is commonly emitted from combustion activities and formed from gas-to-particle conversion in the atmosphere (secondary aerosols) [9, 10]. As particle size dictates the extent to which PM can penetrate the respiratory system, PM is categorised as either fine particles (PM_{2.5}), which refers to particles with an aerodynamic diameter of less than 2.5 µm, or coarse particles (PM₁₀), which have an aerodynamic diameter less than 10 µm. Smaller size fractions are sometimes also recorded in the literature.

Table 1 Primary emission sources of urban air pollutants.

Pollutant	Primary emission source	Study area	Reference
PM ₁₀	Secondary inorganic aerosols (28%), marine emissions/shipping activities (19%), biomass burning (13%), mineral dust (13%), primary biogenic emissions (9%), fresh sea salts (8%), primary traffic emissions (6%), heavy oil combustion (4%).	Lens, France	[10]
PM _{2.5} (elemental/black carbon)	On-road heavy diesel vehicles (33-74%), on-road gasoline vehicles (6-38%), residential wood combustion (4-33%), agricultural burning (6-13%)	USA	[9]
PM _{2.5} (organic carbon)	On-road gasoline vehicles (24-75%), residential wood combustion (22-68%), on-road heavy diesel vehicles (20-47%), agricultural burning (35-40%)	USA	[9]
PM _{2.5}	Secondary sulfates (29%), traffic emissions (25%), secondary nitrates (19%), coal combustion (11%), biomass combustion (12%), soil dust (4%)	Beijing, China	[11]
PM ₁	Vehicle exhaust (38%), secondary aerosols (22%), incinerator/biomass burning (16%)	Hong Kong	[12]

VOCs	Consumer VCPs ¹ (38±9%), Industrial VCPs (15±5%), upstream emissions ² (14±4%), gasoline fuel (13±6%), gasoline exhaust (19±7%)	Los Angeles, USA	[13]
NO _x	Road transport (39%), energy production and distribution (17%), commercial, institutional and households (14%), energy use in industry (11%), non-road transport (9%), agriculture (6%)	European Union	[14]
CO	Traffic and vehicle emissions (96%)	Indianapolis, USA	[15]

¹Volatile chemical products - including pesticides, coatings, printing inks, adhesives, cleaning agents, and personal care products.

²Upstream emissions are those that occur upstream of end users (i.e., oil and natural gas extraction, oil refineries, and chemical manufacturing facilities.)

Whilst people within the urban environment spend the majority of their time indoors [16], the ambient outdoor air quality within urban areas also influences the indoor environment [17]. In many cases, ambient outdoor pollution levels may make a considerable contribution to the air pollution concentration and profile in proximal indoor environments [18]. Several studies have focused on relationships between indoor and outdoor PM [19-21], and have found that outdoor PM concentrations have a strong influence on indoor air quality, as PM can enter buildings through ventilation and infiltration [22]. Similarly, gaseous pollutants such as VOCs and NO₂ of outdoor origin can also have considerable influence on the air quality of the indoor environment [18, 23].

In addition to outdoor-sourced air pollutants, air pollutants of indoor origin may also contribute to the pollution load of indoor environments. Indoor emissions of NO₂ and PM are strongly associated with stove top cooking [18] and a diverse range of VOCs can be emitted from building structural materials and furniture, particularly when these products are new [24]. Consequently the indoor concentration of VOCs can be considerably higher than that of the proximal outdoor environment [25].

Heating, ventilation and air conditioning (HVAC) systems are commonly used to control indoor air quality, however these systems are energy expensive, require regular maintenance [26] and are incapable of capturing gaseous pollutants: HVAC systems reduce indoor VOC concentrations solely by dilution with outdoor air. The introduction of “Energy Efficient Buildings” has resulted in buildings with increased air-tightness and fewer air exchanges with the ambient environment. While this may reduce the rate at which outdoor air pollutants are transferred to the indoor environment, it simultaneously reduces the rate at which indoor generated pollutants, such as VOCs, are flushed from the indoor atmosphere. When this is coupled with the increasingly widespread use of new products and the rejuvenation of building interiors, indoor generated pollutants can accumulate to the level whereby occupants are exposed to considerable concentrations for prolonged periods [17].

There thus is a clear need for air cleaning technologies that are capable of cleaning a comprehensive range of pollutants effectively and in an energy efficient manner. This work explores the history, efficacy and potential of vegetative systems, known as botanical biofilters, to make functional differences to ambient air quality.

2. Potted-plants and the phytoremediation of VOCs

The capacity of potted-plants to clean the air of VOCs was first uncovered during the 1980s by researchers at NASA led by Bill Wolverton. Atmospheric samples collected during NASA's 1973 SkyLab3 mission revealed that >300 VOCs were present in the SkyLab spacecraft, with 107 VOCs present in crew compartments during manned missions. Ameliorating this issue was clearly an important hurdle to overcome for safe space missions [27]. Building on the phytoremediation capacities of aquatic wetland plants to remove toxic wastes that had accumulated from years of firing rockets, NASA began exploring whether plants could also remove VOCs from the air. Experiments using a sealed chamber with a spiked dose of formaldehyde revealed that potted-plants were capable of reducing the concentration of formaldehyde within the chamber [28, 29]. During the subsequent decades, a range of experiments were conducted using potted-plants in sealed chambers with spiked VOC doses, with VOC concentration decay monitored over time [30]. These experiments have tested VOC removal by different plant species, different growth substrates, and different VOCs, amongst other variables [8]. While these experiments have all supported the hypothesis that a range of plant species in pots can remove a range of spiked VOCs from sealed chamber atmospheres, experimental inconsistencies, such as different pot volumes, plant sizes, chamber sizes, VOC starting concentrations and the way in which VOC removal rates have been reported, have made comprehensive comparisons amongst studies difficult [8].

Studies exploring VOC removal by potted-plants have led to the generation of the hypothesis that the plant roots in potted-plants support a specialised microbial community that is capable of VOC breakdown, however this concept has not been explicitly tested [30]. This idea has resulted from experiments that have compared VOC removal rates by potted-plants against pots filled only with soil [31]; potted-plants against potted-plants that have had their above ground parts excised [32]; and potted-plants in light versus potted-plants in dark conditions [33]. Nonetheless, several experiments that have tested plant foliage in isolation for VOC removal have still observed VOC remediation [34-38]. It is thus thought that plant uptake may be an important secondary mechanism of VOC removal by the potted-plant system.

Although the VOC—sealed chamber experiments have clearly shown potted-plants are capable of VOC degradation, it is likely that they have limited practical value, as the large volume of spaces within the built environment relative to the size of even the largest potted-plants and the persistent emission of VOCs reduces the capacity of potted-plants to provide clean air [39]. Furthermore, it is unclear how such systems would perform with complex mixtures of VOCs in concentrations much lower than those commonly used sealed chamber experiments, as is the normal situation *in situ*. Nonetheless, amongst the public there is a common perception that potted-plants can clean the air of pollutants [40].

3. Active green walls and the phytoremediation of VOCs

To overcome the rate limiting step of VOC diffusion from source to potted-plant, the use of mechanical airflow generated by devices such as fans, in conjunction with planted systems, has been developed. This idea was first proposed by Wolverton et al. [41] who suggested the installation of an air pump into the base of the growth substrate of a potted-plant could be used to considerably increase the rate of the VOC removal process (Fig. 1). This development aimed to increase the volume of polluted air that is exposed to the plant's growth substrate, whereby bacteria living in the plant root zone could degrade the VOCs and/or the VOCs may adsorb to specialised materials within these substrates. Although this concept was patented (US5433923A) [27], this idea was not studied further. Research led by Darlington et al. [42], however, incorporated the idea of active airflow into a green wall system. The system trialled

by Darlington et al. [42] used plants aligned in a vertical pane (known as a green wall) to considerably increase the planting density and increase the ease with which the plant growth substrate could be exposed to a polluted air stream. Early designs also incorporated a biotrickling mechanism where the green wall's irrigation water dripped into an aquarium containing aquatic plants at the base of the green wall, with a water pump returning the water supply to the top of the green wall for continued irrigation [43]. Commonly, active green walls use a plenum that is pressurised by fans to pass an air stream through the growth substrate and plant foliage (Fig. 2). The removal rates of VOCs recorded with active green wall filtration has indicated that these systems may be able to make worthwhile improvements to air quality indoors [44]. Darlington et al. [42] further suggested that such systems may be able to promote the recirculation of air within a building, and potentially reduce HVAC costs (and the corresponding energy expenditure), by reducing the load on the HVAC required to remove the room's air pollutants.

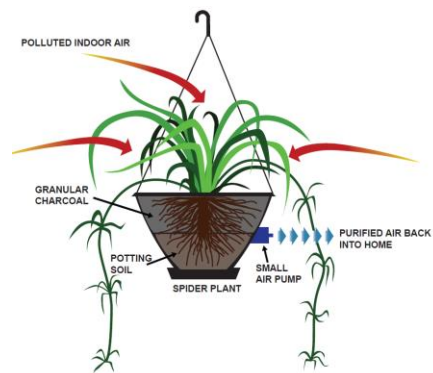


Fig. 1 The incorporation of a fan into the growth substrate of a potted-plant to promote air processing. Figure source: Irga et al. [30]

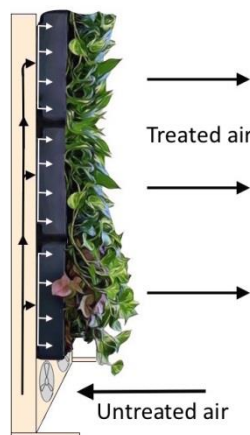


Fig. 1 An active green wall design, adapted from Pettit et al. [45]

Fans are used to draw an untreated airstream into a plenum where by a pressure gradient causes the air to flow through the growth substrate and plant foliage. Treated air exits the active green wall and returns to the ambient air.

4. Active green walls and VOC removal

The use of active airflow allows active green wall pollutant removal rates to be reported as single pass removal efficiencies (SPREs) and clean air delivery rates (CADRs); metrics used for assessing the performance of conventional air handling systems. The SPRE refers to the proportion of a dose of target pollutant that is removed with each pass through the filtration matrix. The CADR is the SPRE multiplied by the volumetric flow rate through the filtration matrix. The CADR is generally the most valuable air cleaning metric used to compare air cleaning performance amongst different systems, as it describes the volume of 'cleaned' or pollutant-free air produced by the system per unit time [46]. Importantly, both of these metrics are target pollutant specific where 'cleaned air' describes the elimination of a single specified target pollutant, an important consideration as the chemical properties of each VOC influences its biofiltration rate differentially [47]. The influence of airflow through active green walls has been addressed in numerous experiments assessing the rate of airflow through the green wall and its influence on SPRE and CADR for several VOCs [43, 48-51]. The botanical biofiltration of several VOCs, including toluene, formaldehyde [50], ethylbenzene, xylene [43], acetone [48], methyl ethyl ketone and benzene [49, 51] at different airflow rates demonstrated that although smaller volumetric airflow rates are associated with an increase in the SPRE, the CADR generally increases with larger volumetric flow rates until a threshold is reached. Although this trend has been consistently observed across all VOC studies, the optimum airflow rate through the active green wall is likely VOC dependent. For example Llewellyn et al. [49] found that the removal of methyl ethyl ketone by their active green wall was most effective at the maximum tested airflow rate of 0.4 m s⁻¹, however the removal of toluene was most effective at a smaller airflow rate of 0.1 m s⁻¹.

These factors notwithstanding, active green walls have considerably improved the capacity of planted systems to remove VOCs from the indoor environment. Guieysse et al. [52] modelled a CADR of 0.075 m³ h⁻¹ from Wolverton et al.'s [41] experiment in which a plant within a sealed chamber reduced the concentration of benzene from 765 to 78 µg m⁻³ over a 24 h period. Despite this considerable benzene reduction within the sealed chamber, when the potted-plant's benzene CADR is calculated, it is unlikely to make significant changes to the air quality of a full sized room [52]. Comparatively, Darlington et al.'s [43] experiment assessing the removal of toluene, xylene and ethyl benzene by their active green wall exhibited CADRs of ~720 m³ h⁻¹, however this was dependent on airflow rate and temperature [52]. Although such large differences are in part due to different sizes of the botanical system (amongst other factors), their sizes are reflective of their likely in situ operational designs.

5. Active green walls and PM and CO₂ removal

PM removal by passive vegetated systems, such as potted-plants and passive green walls (green walls with no active airflow), has been well documented, with the primary removal mechanism of such systems relying upon PM deposition on foliage surfaces [53-55]. However, it is unlikely that PM accumulation on leaf surfaces leads to considerably improved ambient air quality, and as such, this effect is yet to be measured, let alone detected in a study. The use of active airflow through a plant growth substrate membrane and plant foliage, however, facilitates the filtration of PM, whereby PM is entrapped within the growth substrate in addition to becoming deposited on foliage surfaces. This new development has been tested in several recent studies, however experimental inconsistencies make it difficult to compare PM air cleaning capabilities amongst different studies (Table 2). Irga et al. [56] trialled this concept by passing a PM contaminated airstream through an active green wall, recording

SPREs of 53.35%, 53.31% and 48.21% for total suspended particles (TSP), PM₁₀ and PM_{2.5}. Irga et al. [56] found that PM removal varied with airflow rate, and it is clear that individual active green wall systems will need to have their airflow rate optimised (and potentially prioritised) for effective removal of both PM and VOCs. PM removal has also been observed to vary depending on the plant species present within the active green wall [57]. The influence of different plant species on PM SPRE was correlated with pressure drop (resistance to airflow), and therefore additional studies are needed to understand the relationship between plant species, PM SPRE and PM CADR. Additionally, substrate selection is an important influence of the efficiency of PM removal, as this affects the air filled porosity of the growth substrate matrix [58]. Furthermore, it is unknown how the accumulation of particles within the substrate matrix over extended periods of time, particularly in environments with high PM, will influence the airflow through the active green wall.

In sufficient light, plants photosynthesize and thus have the potential to provide CO₂ reductions to the indoor environment. The capacity of potted-plants to provide CO₂ reductions has been demonstrated in several sealed-chamber experiments [59-61], however, as for VOCs, it is likely that findings from laboratory sealed-chamber pull down experiments will not apply to real-world situations [39, 40]. For example, Torpy et al. [62] estimated that 249 potted-plants would be needed to completely remove the respired CO₂ from a single occupant in an unventilated, average sized room. While plants in green walls are subject to the same factors, the vertical alignment of plants allows a greater planting density per equivalent area of ground footprint [44], and green wall designs commonly feature supplemented lighting to enhance their visual appeal, which will increase photosynthetic productivity. Nonetheless, the rate of CO₂ removal of green walls appears to be strongly light limited, with Torpy et al. [63] reporting modest CO₂ removal by a green wall in typically brightly lit indoor conditions (photosynthetic photon flux density of 50 $\mu\text{mol m}^{-2} \text{s}^{-1}$) in their simulation room. The authors suggested that a 5 m² green wall with supplementary lighting (250 $\mu\text{mol m}^{-2} \text{s}^{-1}$) could offset the CO₂ emissions of a full time occupant. These findings suggest that active green walls may be incapable of completely balancing the CO₂ emissions from an occupied indoor environment, however they may be used in conjunction with other technologies, such as HVAC systems, to reduce the dependence on, and energy consumption of existing mechanical systems.

Table 2 Studies that have reported PM removal by active green walls. Note: the subscript next to PM represents that particle diameter in μm .

Green wall size	<i>in situ</i> or chamber based study	Chamber or room volume	PM fraction sizes	Removal rate and/or air quality effects	Reference
1.5 m ² front face, substrate depth of 0.125 m	<i>In situ</i>	22.7 m ³	Total suspended particles (TSP)	Decay rate constant of $4.53 \times 10^{-4} \text{ s}^{-1}$	[45]
9 m ² front face, substrate depth of 0.125 m	<i>In situ</i>	120.2 m ³	PM ₅₋₁₀ , PM _{2.5-5} , PM _{1-2.5} , PM _{0.5-1} , PM _{0.3-0.5} , TSP	Reduction in the indoor ambient PM concentrations across all size fractions. The room's TSP concentration was	[45]

reduced by 42.6% in 20 minutes

0.25 m ² front face, substrate depth of 0.125 m	Chamber	0.216 m ³	TSP, PM ₁₀ , PM _{2.5}	SPREs of 53%, 54% and 48% and CADR of 28.61, 28.70 and 25.86 m ³ /h	[56]
0.25 m ² front face, substrate depth of 0.125 m	Chamber	0.216 m ³	PM ₅₋₁₀ , PM _{2.5-5} , PM _{1-2.5} , PM _{0.5-1} , PM _{0.3-0.5} , TSP	Single pass removal efficiencies of respective PM sizes of 66-92%, 60-88%, 41-72%, 15-56%, 13-46% depending on plant species	[57]
0.25 m ² front face, substrate depth of 0.125 m	Chamber	0.216 m ³	PM ₅₋₁₀ , PM _{2.5-5} , PM _{1-2.5} , PM _{0.5-1} , PM _{0.3-0.5}	Single pass removal efficiencies of respective PM sizes of 52 and 85%, 29 and 72%, 16 and 51%, -8 and 17%, -32 and -11% depending on substrate type	[58]
4 m ² front face, substrate depth of 0.18 m	<i>In situ</i>	15.9 m ³	PM _{1.0}	6.67 and 20.06 µg/m ³ /h depending on fan speed	[64]
0.25 m ² front face, substrate depth of 0.125 m	Chamber	0.216 m ³	PM ₅₋₁₀ , PM _{2.5-5} , PM _{1-2.5} , PM _{0.5-1} , PM _{0.3-0.5}	Single pass removal efficiencies of respective PM sizes of ~50-61%, ~40-51%, ~24-42%, ~18-37%, ~10-41% depending on plant species	[65]

6. Safety and operational concerns: bioaerosol emissions

While the use of active airflow increases the efficiency of VOC removal by planted systems, it simultaneously presents the possible production of harmful bioaerosols. Although no studies have found that active green walls contribute to harmful bioaerosol emissions, a limited number of case studies have indicated that potted-plants in indoor environments may have contributed to the room's bioaerosol loading [66-70]. Nonetheless, bioaerosol assessments of active green walls suggest that such emissions are unlikely to be of concern. Darlington et al. [42] tested their active green wall comprised of moss with a rock lava-based substrate for bioaerosol emissions and did not detect any *Legionella*. Additionally, Darlington et al. [42] observed an increase in fungal spore counts during the first year of active green wall operation, however spore counts remained within reported values for other indoor spaces, with the authors concluding that the green wall did not emit any problematic concentrations or types of bioaerosols. Irga et al. [71] assessed the airborne culturable fungi from the effluent airflow of an active green wall using a coconut husk-based substrate, and concluded that well-maintained active green walls of this type are unlikely to cause hazardous indoor fungi concentrations. Although the limited number of studies suggest active green walls do not contribute to hazardous bioaerosol compositions within their corresponding indoor environments, it remains critical to test each type of active green wall design, under different conditions, and under different maintenance regimes, to understand the composition and volume of bioaerosol production potential from active green walls.

7. Future directions and conclusion

As was the case with the literature exploring potted-plants and VOC removal, a range of laboratory studies assessing the phytoremediation of air pollutants by active green walls have helped elucidate air pollutant removal mechanisms and potential. It is crucial, however, for these systems to be thoroughly assessed in situ, to uncover the actual likely contribution of active green walls to a room's air quality. Due to the extreme variation amongst indoor environments, such as differently sized rooms, different layouts, different lighting conditions, different ventilation rates, different ambient air quality and different pollution sources, it is necessary to assess active green wall operation within a range of environments to truly understand whether these systems can make worthwhile improvements to the air quality of indoor environments. Nonetheless, the limited number of in situ studies that have been performed have indicated that active green walls can improve the air quality of at least some indoor environments [72]. In addition to indoor applications, commercial active green walls are currently being installed in outdoor environments in select urban centres around the globe and system performance in such environments and the removal of NO_x and O₃ remains an area of important future research [73].

At present, variation amongst both active green wall designs and experimental designs make it difficult to determine which permutation of active green wall, or even which component of an active green wall, can filter target pollutants most efficiently. A standardised experimental approach is needed to compare the air cleaning abilities of different active green wall designs and to other air cleaning technologies. Such comparisons should extend to energy use and maintenance requirements, to comprehensively assess the sustainability of this technology.

While the current research on active green walls and the phytoremediation of air pollution indicates these systems have functional potential to partly reduce the growing problem of air pollution exposure, several issues, including bioaerosol safety, long term efficiency, cost and energy consumption comparisons, still require further study before this technology can be widely and safely adopted.

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