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1 **Harvesting *Porphyridium purpureum* using polyacrylamide polymers and alkaline**
2 **bases and their impact on biomass quality**

3 **Revision Submitted to**

4 **Science of the Total Environmental**

5 **September 2020**

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21 **Abstract**

22 This study aims to examine the flocculation efficiency of *Porphyridium purpureum* (i.e. a
23 red marine microalga with high content of pigments and fatty acids) grown in seawater medium
24 using polyacrylamide polymers and alkaline flocculation. Polymers Flopam™ and FO3801
25 achieved the highest flocculation efficiency of over 99% at the optimal dose of 21 mg per g of
26 dry biomass through charge neutralisation and bridging mechanism. The addition of sodium
27 hydroxide, potassium hydroxide, and sodium carbonate also achieved flocculation efficiency
28 of 98 and 91%, respectively, but high doses were required (i.e. > 500 mg per g of dry biomass).
29 Calcium hydroxide was not as effective and could only achieve 75% flocculation efficiency.
30 Precipitation of magnesium hydroxide was identified as the major cause of hydroxide-induced
31 flocculation. On the other hand, sodium carbonate addition induced flocculation via both
32 magnesium and calcium carbonate co-precipitation. The large mass of precipitates caused a
33 sweeping effect and enmeshed the microalgal cells to trigger sedimentation. Cell membrane
34 integrity analysis of flocculated *P. purpureum* indicated that polyacrylamide polymers led to
35 significant compromised cells (i.e. 96%), compared to the alkaline bases (70-96% compromised
36 cells). These results appear to be the first to demonstrate the high efficiency of polyacrylamide
37 polymer and alkaline flocculation of *P. purpureum* but at the expense of the biomass quality.

38 **Keywords:** *Porphyridium purpureum*; Flopam; Alkaline flocculation; Cell membrane
39 integrity; Algae harvesting.

40 1. Introduction

41 Microalgae have emerged as a promising platform to produce renewable feedstock for
42 biorefinery applications (Kumar et al., 2020a), remove nutrient from wastewater (Hom-Diaz et
43 al., 2017; Nguyen et al., 2020; Tolboom et al., 2019), and sequester carbon dioxide from flue
44 gas (Cheng et al., 2019; Yadav et al., 2019). *Porphyridium purpureum* is a red marine microalga
45 notable for its high content of valuable biochemicals such as red pigments (e.g. phycoerythrin),
46 phycobiliproteins, polyunsaturated fatty acids, and exopolysaccharides (Di Lena et al., 2020;
47 Gaignard et al., 2019; Kavitha et al., 2016). This species is particularly high in phycoerythrin,
48 a water-soluble bioactive compound with anti-inflammatory, immunosuppressive, and
49 antioxidant properties (Sosa-Hernández et al., 2019). The cultivation of *P. purpureum* is well
50 understood and can be easily performed in seawater medium, thus eliminating the need for
51 arable lands. However, microalgal biomass harvesting at large-scale remains a challenge to the
52 overall economic viability of *P. purpureum* cultivation. *P. purpureum* cells are about 12 µm in
53 diameter and have almost the same density as water. At stationary phase, a *P. purpureum* culture
54 has a biomass content of 0.5 to 2 g/L, therefore intense dewatering is needed to harvest the
55 biomass (Aizdaicher et al., 2014; Oh et al., 2009; Singh and Patidar, 2018).

56 Microalgal harvesting is an important step in the supply chain of algal biotechnology. It
57 accounts for up to 30% of the total processing cost (Singh and Patidar, 2018). Common
58 harvesting methods include: centrifugation, membrane filtration, flocculation, and flotation

59 (Kumar et al., 2020b; Singh and Patidar, 2018). Centrifugation can recover high (>90%)
60 microalgal biomass concentration, but significant energy consumption is a drawback (Singh
61 and Patidar, 2018). Membrane filtration is an emerging technology that still needs to overcome
62 the issue of membrane fouling and high maintenance cost (Singh and Patidar, 2018). Among
63 these methods, flocculation has proven to be an energy efficient, environmental-friendly and
64 effective approach to harvest a wide range of microalgae (Fasaei et al., 2018; Nguyen et al.,
65 2019). Nonetheless, the selection of harvesting methods is dependent on: i) the type of
66 microalga and its characteristics (e.g. size and growth medium), and ii) the desired compounds
67 to be extracted from the algal biomass.

68 Monitoring cell membrane integrity during harvesting is important as valuable intracellular
69 compounds (e.g. pigments and fatty acids) can be lost if the cell membrane is damaged during
70 the harvesting process. Due to the absence of a rigid cell wall, *P. purpureum* is likely to be
71 susceptible to cell membrane damage (Heaney-Kieras and Chapman, 1976; Kendir Çakmak
72 and Ugurlu, 2020). This particular species is encapsulated within a layer of gelatinous
73 polysaccharide matrix (i.e. extracellular polymeric substances (EPS)) (Geresh et al., 2002;
74 Heaney-Kieras and Chapman, 1976). This EPS layer contains proteins, sulfate, xylose,
75 galactose, glucose, and glucuronic acids (Kendir Çakmak and Ugurlu, 2020). During growth in
76 aerated cultures and harvesting process, it is expected that the EPS will partially dissolve into
77 the medium (Heaney-Kieras and Chapman, 1976). Harvesting methods may introduce

78 hydraulic forces (e.g. differential pressure on two sides of membrane filtration or radial
79 centrifugal forces exerted on biomass during centrifugation) and chemical bonding or bridging
80 (i.e. flocculation) to the cells that can potentially damage the cell membrane. Compromised (i.e.
81 damaged) cell membrane could lead to intracellular leakage. The effects of harvesting methods
82 on *P. purpureum* cell membrane are still largely unknown.

83 *P. purpureum* biomass is cultivated in seawater culture medium that contains a large
84 amount of alkaline earth metal ions such as Mg^{2+} and Ca^{2+} . At high pH >9 and under
85 atmospheric conditions, these ions can precipitate as magnesium hydroxide and calcium
86 carbonate (Besson and Guiraud, 2013; Mayers et al., 2020; Vandamme et al., 2015). The large
87 mass of precipitates, while settling down due to gravitational force, entangles with the algal
88 cells by a sweeping effect. The sedimentation of the algal cells is thus facilitated. Alkaline
89 flocculation has been reported for seawater and freshwater microalgal cultures (e.g.
90 *Phaeodactylum tricornutum*, *Chlorella vulgaris*, *Scenedesmus* sp., and *Nannochloropsis*
91 *oculata*) (Vandamme et al., 2015; Wu et al., 2012) and a *Dunaliella salina* hypersaline culture
92 (Besson and Guiraud, 2013). However, it has not been studied on a *P. purpureum* culture.

93 Recent studies have also demonstrated the effectiveness of cationic polyacrylamide
94 polymers as flocculants for microalgae harvesting from freshwater and seawater cultures
95 (Nguyen et al., 2019; Vu et al., 2020). These previous studies have not examined the
96 effectiveness of polyacrylamide polymer for *P. purpureum* harvesting. Due to the specific

97 composition and structure of the cell membrane of *P. purpureum*, it is necessary to elucidate
98 the effect of flocculation on cell integrity to assess the practicality of this harvesting method for
99 *P. purpureum*.

100 This study aims to investigate the harvesting performance of *Porphyridium purpureum* in
101 seawater medium using: (a) polyacrylamide polymers FlopamTM and FO3801, and (b) alkaline
102 flocculation at high pH through the addition of common bases (i.e. sodium hydroxide,
103 potassium hydroxide, calcium hydroxide and sodium carbonate). Flocculation experiments are
104 further conducted in saltwater medium lacking calcium or magnesium to determine the
105 influence of these cations on the harvesting efficiency of *P. purpureum*. Cell membrane
106 integrity analysis was performed to examine the impact of polyacrylamide polymers and the
107 alkaline bases on the quality of the microalgal cells after flocculation. The new understanding
108 of the floc harvesting of *P. purpureum* in this study will contribute to the process optimisation
109 of biorefinery for a wider range of microalgal species.

110 **2. Materials and methods**

111 2.1. Microalgae strains and growth conditions

112 The marine red microalgae *Porphyridium purpureum* was obtained from the Australian
113 National Algae Collection at CSIRO Microalgae Research (Hobart, Tasmania, Australia). It
114 was maintained in marine f/2 media (Guillard, 1975) using 0.22 µm filtered autoclaved seawater
115 collected from Sydney Harbour (salinity of 33-35 g/L). The chemical composition of the

116 seawater medium was analysed using Agilent Microwave Plasma-Atomic Emission
117 Spectrometry (Section 2.4). Stock cultures were maintained at the Climate Change Cluster (C3,
118 University of Technology Sydney).

119 The *P. purpureum* culture for flocculation experiments was scaled-up from a 1 L Schott's
120 bottle to a 350 L bag following the procedure described in previous studies (Labeeuw et al.,
121 2021; Nguyen et al., 2019). The bag bioreactor was bubbled with air through air lines on either
122 side of the bioreactor and maintained at 23 °C and 400 $\mu\text{mol photons/m}^2/\text{s}$ light in a 16:8 hour
123 light:dark cycle. The seawater medium for the large-scale bioreactor was first sterilized by the
124 addition of 100 mL of 12% sodium hypochlorite, followed by 100 mL of 2 M sodium
125 thiosulphate. Filter sterilized stock components of f/2 media for marine water were then added.
126 The pH of the algal culture was checked twice a day and maintained below 9.3 by carbon
127 dioxide sparging. This cultivation protocol was developed at the Climate Change Cluster
128 facility (University of Technology Sydney, Australia). Microalgal suspension at mid-stationary
129 growth phase was used for flocculation experiments as it was previously determined to be the
130 best growth phase for harvesting other species (Section 2.2 & 2.3) (Labeeuw et al., 2021).

131 2.2. *P. purpureum* flocculation

132 2.2.1. Experimental set up

133 The flocculation experiments were conducted using a 4G Platypus Jar Tester (Australia
134 Scientific, Kotara NSW Australia). Samples of 500 mL *P. purpureum* suspension were added

135 to 2 L beakers. The jar test was carried out following the procedure from Vu et al. (2020). The
136 microalgal suspension was rapidly mixed at 200 rpm for one minute followed by slow mixing
137 at 50 rpm for 15 min. The flocculated microalgal biomass was allowed to settle for one hour.
138 To measure the flocculation efficiency, 15 mL of the supernatant was pipetted from the
139 suspension at between one- and two-third from the bottom. The optimal flocculant dose was
140 determined by a dose-response relationship protocol (Section 2.4). All experiments were
141 conducted in three technical replicates using one biological replicate of the microalga.

142 2.2.2. Flocculants and chemicals preparation

143 Two cationic polyacrylamide flocculants (FO3801 and Flopam™) with high-charge (>80%
144 charge), high-molecular weight (>15 Megadalton) (SNF Pty Ltd; Corio, VIC, Australia) were
145 used in the first set of flocculation experiments. A stock solution of each polymer (2 g/L) was
146 prepared in accordance to Vu et al. (2020) and used within one day of preparation. FO3801 and
147 Flopam™ were dosed at a concentration of 5 to 20 mg/L microalgal suspension (i.e. 7 to 36 mg
148 polymer/g dry biomass), followed by the jar test. The flocculation efficiency was determined
149 using optical density measurement as described in section 2.4.

150 Solutions of 0.1 M sodium hydroxide, potassium hydroxide, calcium hydroxide and sodium
151 carbonate were prepared for alkaline flocculation experiments. These chemicals were
152 purchased from Sigma-Aldrich (St. Louis, MO, USA). The pH of the *P. purpureum* suspensions
153 was adjusted to 9.5, 10 and 10.5 using the alkaline solutions, followed by the jar tests. The

154 volume of 0.1 M stock solution required to raise the pH to the desired level was recorded for
155 each alkali. The flocculation efficiency was calculated as described in section 2.4.

156 2.3. Effect of cations on *P. purpureum* flocculation

157 The mechanisms governing the flocculation of marine *P. purpureum* in seawater culture
158 through pH adjustment using 0.1 M sodium hydroxide and sodium carbonate were investigated.
159 These bases represent widely available and effective approaches to increase the pH of the
160 solution i.e. sodium hydroxide releases hydroxide ions while sodium carbonate removes
161 hydrogen atoms from the suspension. Since magnesium and calcium cations are dominant
162 elements in seawater medium, their relative importance to the alkaline flocculation of *P.*
163 *purpureum* using two different bases was evaluated. The cation Na⁺, Mg²⁺, Ca²⁺, and K⁺
164 concentrations in the seawater medium were 10.55, 1.36, 0.44, and 0.46 g/L, respectively.

165 *P. purpureum* suspensions of 35 mL volume were centrifuged at 4000g for 10 min to
166 separate the biomass from the initial medium. The resultant biomass was rinsed gently with
167 Milli-Q water to remove residual medium and resuspended in a new medium of 35 mL
168 containing 38 g/L NaCl to maintain the equivalent Na⁺ level (10.55 g/L) as in the initial
169 medium. Likewise, MgSO₄ was added to the new medium (i.e. contain only NaCl) to maintain
170 Mg²⁺ concentration of 1.36 g per litre of algal suspension. This experiment was to investigate
171 the role of magnesium in alkaline flocculation. In another new NaCl medium containing algal
172 biomass, calcium chloride was dosed at 0.44 g Ca²⁺ per litre of algal suspension to study the

173 role of calcium in alkaline flocculation. These concentrations of Mg^{2+} and Ca^{2+} corresponds to
 174 their concentration in the initial microalgal seawater medium. The alkaline flocculation at pH
 175 10.5 using sodium hydroxide and sodium carbonate were carried out as described in section
 176 2.2. The initial microalgal suspension was used as the control. The description of the samples
 177 is provided in Table 1.

178 **Table 1:** Samples of 35 mL (incl. 2 technical replicates) for studying the influence of
 179 calcium and magnesium in *P. purpureum* alkaline flocculation

Assay	Sample name	Description	Dosage (g/g dry biomass)
1	Control	Initial algal suspension without chemical addition	Nil
2	1 (NaOH)	Algal suspension subjected to NaOH induced flocculation	0.57 (NaOH)
3	2 (Na ₂ CO ₃)	Algal suspension subjected Na ₂ CO ₃ induced flocculation	4.5 (Na ₂ CO ₃)
4	Mg Control	Suspended algal biomass in a MgSO ₄ + NaCl medium	
5	Mg (NaOH)	Suspended algal biomass in MgSO ₄ + NaCl medium subjected to NaOH flocculation	9.6 (MgSO ₄) 38.3 (NaCl)
6	Mg (Na ₂ CO ₃)	Suspended algal biomass in MgSO ₄ + NaCl medium subjected to Na ₂ CO ₃ flocculation	
7	Ca Control	Suspended algal biomass in a CaCl ₂ + NaCl medium	
8	Ca (NaOH)	Suspended algal biomass in CaCl ₂ + NaCl medium subjected to NaOH flocculation	1.7 (CaCl ₂) 38.3 (NaOH)
9	Ca (Na ₂ CO ₃)	Suspended algal biomass in CaCl ₂ + NaCl medium subjected to Na ₂ CO ₃ flocculation	

180 2.4. Analytical methods

181 The optical density of the microalgae medium before and after flocculation was measured
 182 by spectrophotometer (UV 6000 Shimadzu) at wavelength of 730 nm.

183 The flocculation efficiency was calculated based on the change in the optical density of the
184 suspension before and after flocculation occurs, as shown in the following equation.

185
$$\text{Flocculation efficiency (\%)} = \left(\frac{OD_i - OD_f}{OD_i} \right) \times 100$$

186 Where OD_i and OD_f is the optical density of the culture before and after flocculant addition.

187 The *P. purpureum* biomass concentration was determined gravimetrically. A 150 mL sample
188 of microalgae suspension was filtered through a 1.1 μm pre-weighed glass fiber filter paper.
189 The weight of the final filter paper after 12 h drying at 60 °C was used to calculate the dry
190 microalgal biomass.

191 The solution pH was measured using a pH/conductivity meter (Orion 4-Star Plus Thermo
192 Scientific; Waltham, MA, USA).

193 Statistical analysis of flocculation efficiency and biomass quality measurements was
194 performed in Microsoft Excel using Student's unpaired *t*-Test, with a two-tailed distribution.

195 The chemical analysis (Mg, Ca, K, Na) was conducted using Microwave Plasma Atomic
196 Emission Spectrometry (MP-AES, Agilent). The sample was diluted 1000 times (i.e. 50 μL
197 stock into 49.95 mL Milli-Q water) before the analysis.

198 Cell membrane integrity of the flocculated *P. purpureum* biomass under conditions
199 described in Section 2.2 was determined in an endpoint assay using Celltox Green kit (Promega;
200 Madison, WI, USA) and CytExpert v2.4 (flow cytometer, Becton, Dickinson and Company).

201 This assay measures the loss of cell membrane integrity using a non-toxic dye that can enter a

202 damaged cell membrane to bind to the DNA. Intact algal cells have a lower amount of
203 fluorescence as the dye cannot enter the cells. Damaged and intact cells are then counted by
204 flow cytometry.

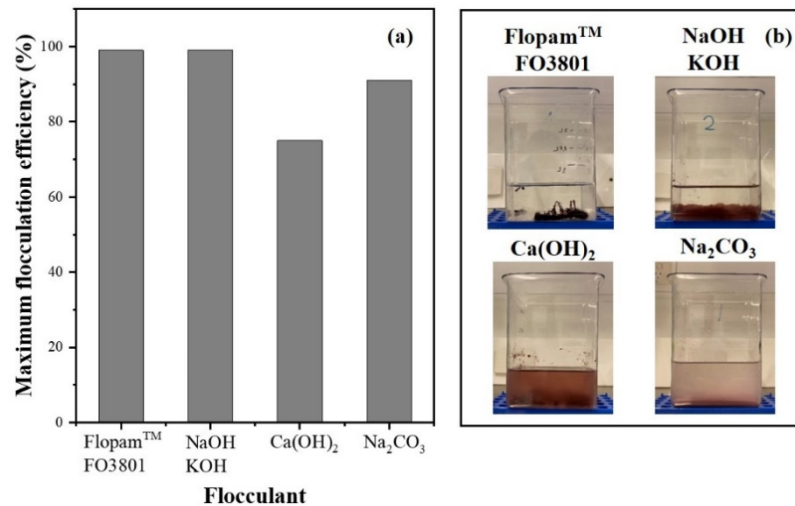
205 **3. Results and Discussion**

206 3.1. *P. purpureum* characteristics and floc formation

207 The biomass concentration of the *P. purpureum* suspension used in this study was
208 determined gravimetrically to be 0.7 g/L. The initial optical density (730 nm) was 0.601. The
209 algal suspension had a pH of 8.9.

210 Differences in the morphology of the flocs from polyacrylamide polymers and alkaline
211 flocculation at optimal doses were visually observed (Fig. 1). Polyacrylamide polymers
212 (FlopamTM and FO3801) flocculated the microalgal cells into a large clump. The clump settled
213 to the bottom of the beaker and the clear supernatant was observed (Fig. 1b). It is relatively
214 easy to collect the floc formed by polyacrylamide polymer from the solution through a strainer.
215 On the other hand, alkaline chemicals (i.e. sodium hydroxide, calcium hydroxide, and calcium
216 carbonate) induced a foamy and powdery layer of flocculated biomass at the bottom of the
217 beakers (Fig. 1b). This layer can be easily disintegrated, making algal biomass recovery
218 difficult. The appearance of the supernatant also varied among different types of alkaline
219 flocculation. Dosing *P. purpureum* suspension with sodium hydroxide achieved a much clearer
220 supernatant than when using calcium hydroxide and sodium carbonate (Fig. 1b). The

221 supernatant from calcium hydroxide flocculation still contained an amount of *P. purpureum*
 222 microalgal cells as suggested by its red colour and low flocculation efficiency (75%) at the
 223 optimal pH of 10.5 (Fig. 1b). Sodium carbonate induced flocculation caused the supernatant to
 224 become cloudy due to the precipitation of calcium carbonate (Fig. 1b).

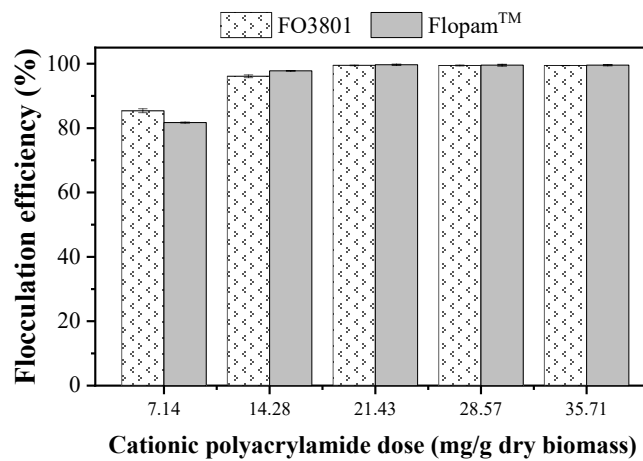


225
 226 **Figure 1:** (a) The maximum *P. purpureum* flocculation efficiency achieved by dosing
 227 polyacrylamide polymers (21 mg/g dry biomass), NaOH (571 mg/g dry biomass), Ca(OH)₂
 228 (875 mg/g dry biomass), and Na₂CO₃ (4,542 mg/g dry biomass) and (b) their corresponding
 229 flocc formations observed visually. Values represent mean ($n = 2$, technical replicates).

230 **3.2. *P. purpureum* flocculation using polyacrylamide polymers**

231 Both polyacrylamide polymers in this study show high *P. purpureum* flocculation efficiency
 232 (Fig. 2). Flocculation efficiency of 80 and 97% was observed for low doses of 7 and 14 mg
 233 polymer per g dry biomass, respectively. Flocculation efficiency was over 99% for both
 234 FO3801 and Flopam™ at the optimal dose of 21 mg polymer per g dry biomass. The further

235 increase in polymer doses did not improve the flocculation efficiency of the *P. purpureum*
236 suspension (Fig. 2). Overdosing polymers may cause a counteractive effect (i.e. reduced
237 flocculation efficiency and increased polymer residual in the medium) on the flocculation
238 efficiency (Nguyen et al., 2019; Vu et al., 2020).



239
240 **Figure 2:** Flocculation efficiency of *P. purpureum* using cationic polyacrylamide polymers
241 Flopam™ and FO3801. Value and error bars represent mean and standard deviation ($n = 3$
242 *technical replicates*).

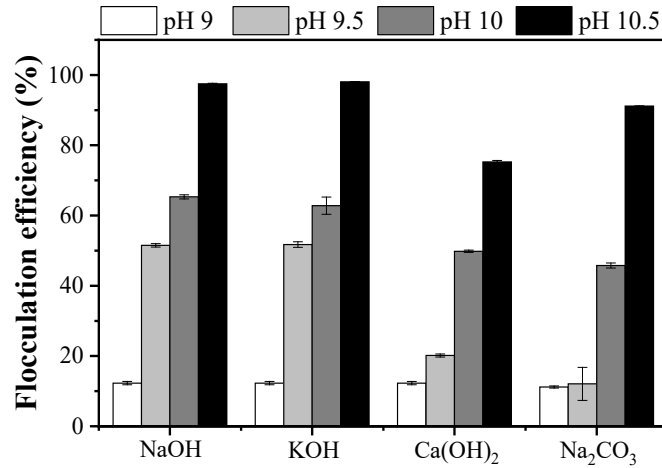
243 Polyacrylamide polymers neutralise the negatively charged microalgal cells due to their
244 highly charged and cationic characteristic. When algal cells lose their negative surface charge,
245 electrostatic repulsion force between the cells diminish and flocculation can occur (Nguyen et
246 al., 2019). These polyacrylamide polymers are also high molecular weight polymers (MW = 15
247 MDa) to facilitate entanglement and bridging with the algal cells to form large and stable flocs
248 (Fig. 1b). The excellent performance of FO3801 and Flopam™ for other microalgae species

249 has been reported in the literature (Nguyen et al., 2019; Vu et al., 2020). The optimal doses to
250 achieve 90-99% flocculation efficiency for *Chlorella vulgaris* and *Phaeodactylum tricornutum*
251 were 18.9 and 13.7 mg polymer/g dry biomass, respectively (Nguyen et al., 2019). In this study,
252 similar doses (14-21 mg polymer/g dry biomass) were required to obtain 97-99% flocculation
253 efficiency for *P. purpureum*. This demonstrated that marine *P. purpureum* can be effectively
254 harvested from seawater culture using polyacrylamide polymers at a low dose.

255 3.3. Alkaline flocculation of *P. purpureum*

256 The alkaline flocculation of marine *P. purpureum* was pH-dependant. The flocculation
257 efficiency was low (i.e. 11%) at pH 9 for all samples using sodium hydroxide, potassium
258 hydroxide, calcium hydroxide and sodium carbonate (Fig. 3). This is because the initial pH of
259 the algal suspension was pH 8.9. When the pH was increased to 9.5, 10, and 10.5 using sodium
260 hydroxide or potassium hydroxide, a significant improvement in the harvesting performance
261 was observed (Fig. 3). The flocculation efficiency was 51.5, 65.3, and 97.5% for pH 9.5, 10,
262 and 10.5, respectively. Thus, the optimal pH to obtain the highest efficiency for sodium
263 hydroxide and potassium hydroxide induced flocculation was pH >10.5. Calcium hydroxide
264 was not as effective at inducing microalgal agglomeration. The flocculation efficiency was 20
265 and 50% when the pH was raised to 9.5 and 10, respectively, using calcium hydroxide. The
266 maximum flocculation efficiency obtained was 75% at pH 10.5. In terms of sodium carbonate,
267 the alkaline flocculation remained low (11%) at pH 9 and 9.5. It started to significantly increase

268 when more sodium carbonate was added to reach pH >10. The highest flocculation efficiency
269 of 91% was recorded at pH 10.5.



270

271 **Figure 3:** *P. purpureum* alkaline flocculation efficiency using: sodium hydroxide (NaOH),
272 potassium hydroxide (KOH), calcium hydroxide (Ca(OH)₂), and sodium carbonate (Na₂CO₃).
273 Value and error bars represent mean and standard deviation ($n = 3$ technical replicates).

274 The dose of alkaline bases necessary to increase the pH and induce effective microalgal
275 flocculation is an important factor in considering large-scale applications. Sodium hydroxide
276 and potassium hydroxide required the lowest doses (i.e. 571 and 800 mg chemical/g dry
277 biomass, respectively) to achieve the highest flocculation efficiency of 98% at pH 10.5 (Table
278 2). Sodium carbonate showed effective performance (i.e. 91% flocculation efficiency) at pH
279 10.5 but required a very high dose of 4,542 mg chemical/g of algal suspension.

280

281 **Table 2:** Concentration of bases required to adjust the pH to desired values.

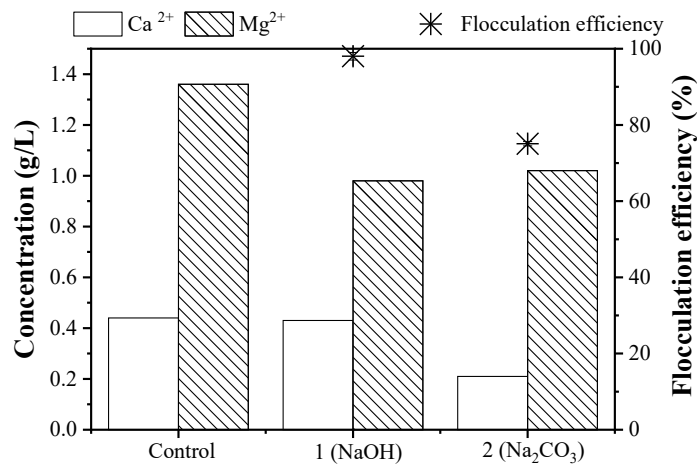
pH	Alkaline	Concentration (mg chemical/g dry algal biomass)
9.5	NaOH	194
	KOH	271
	Na ₂ CO ₃	861
10	NaOH	308
	KOH	431
	Na ₂ CO ₃	2482
10.5	NaOH	571
	KOH	800
	Na ₂ CO ₃	4542

282 The bases (i.e. sodium hydroxide, potassium hydroxide, and calcium hydroxide) studied in
 283 this paper were chosen because of their accessibility and common use as pH adjusting agents
 284 (Vandamme et al., 2012). However, they are hazardous chemicals that can impact the quality
 285 of the harvested microalgae biomass (i.e. loss of lipid and fatty acid contents), thus limiting its
 286 industrial applications (Borges et al., 2016). Sodium carbonate was investigated in this study as
 287 a widely available, and less hazardous alternative to sodium hydroxide. Since sodium carbonate
 288 also provides an inorganic carbon source for algal growth (Duan et al., 2020), there is the
 289 potential of recycling the supernatant as culture media.

290 3.4. The role of cations in *P. purpureum* flocculation

291 The results showed a 26% of Mg²⁺ and 50% of Ca²⁺ reduction in the medium after sodium
 292 hydroxide or sodium carbonate flocculation occurred at pH 10.5 (Fig. 4). The flocculation
 293 efficiency was >99% and 78% using sodium hydroxide and sodium carbonate, respectively.
 294 This observation indicates that magnesium and calcium salt precipitation at high pH can lead

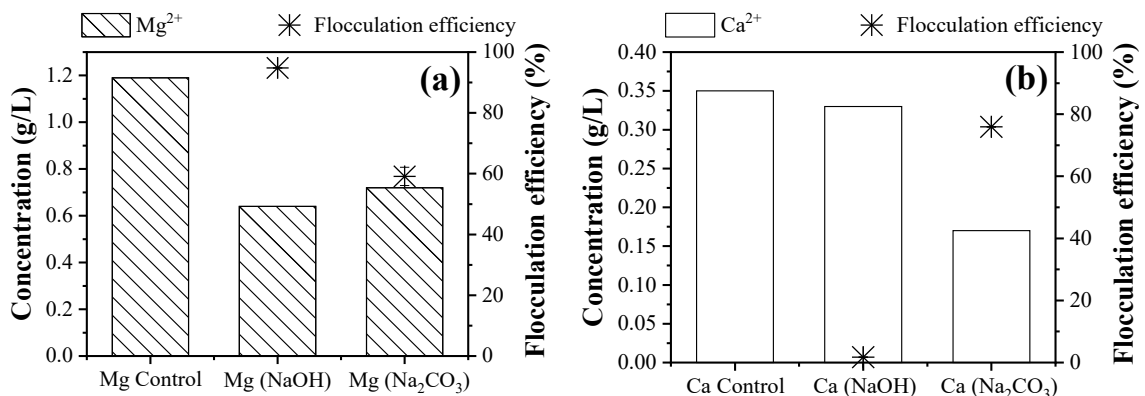
295 to good alkaline flocculation of *P. purpureum* in a seawater medium. The large mass of metal
 296 precipitate rapidly forming and settling induced the sweeping flocculation of the microalgae
 297 cells (Besson and Guiraud, 2013; Vandamme et al., 2012). When the sweeping phenomena
 298 occurred, microalgal cells were enmeshed in the precipitate and settled. This explains the layer
 299 of powdery flocculated *P. purpureum* observed on the bottom of the beaker after pH adjustment
 300 (Fig. 1b). In the medium containing the same concentration of Na^+ , Mg^{2+} , and Ca^{2+} but without
 301 algal biomass, the precipitation and sedimentation of magnesium and calcium salts were also
 302 observed.



303
 304 **Figure 4:** The change in magnesium and calcium concentration in the microalgal solution
 305 (supernatant) due to alkaline flocculation. Values represent mean ($n = 2$, technical replicates).

306 The role of magnesium and calcium precipitation in microalgal flocculation depends on the
 307 alkaline base (Fig. 5). In the new medium containing Na^+ (10.55 g/L) and Mg^{2+} (1.36 g/L),
 308 sodium hydroxide was able to achieve effective flocculation (i.e. 94%) (Fig. 5a). A 47%
 309 reduction in magnesium concentration in the medium was recorded. On the other hand,

310 flocculation did not occur when sodium hydroxide was added to the medium containing only
 311 calcium (Fig. 5b). The reduction in calcium concentration in the medium was also minimal (i.e.
 312 5%). This indicates that the main cause for flocculation of *P. purpureum* by sodium hydroxide
 313 is the precipitation of magnesium hydroxide. Meanwhile, sodium carbonate caused magnesium
 314 carbonate precipitation in medium containing only magnesium, thus a 39% of Mg^{+} reduction
 315 (Fig. 5a). However, magnesium carbonate is a white solid that can affect the light absorbance
 316 measurement. This caused the flocculation efficiency induced by sodium carbonate to be
 317 significantly lower (i.e. 60%) than that of sodium hydroxide (i.e. 94%). In the medium
 318 containing Na^{+} (10.55 g/L) and Ca^{2+} (0.44 g/L), flocculation efficiency of 75% was observed
 319 for sodium carbonate. This is due to the 51% reduction in calcium concentration, which had
 320 precipitated out of the medium in the form of calcium carbonate (Fig. 5b). Carbonate
 321 precipitates caused the supernatant to be cloudy, as observed in Fig. 1b. Thus, both magnesium
 322 carbonate and calcium carbonate are involved in sodium carbonate induced flocculation of
 323 marine *P. purpureum*.



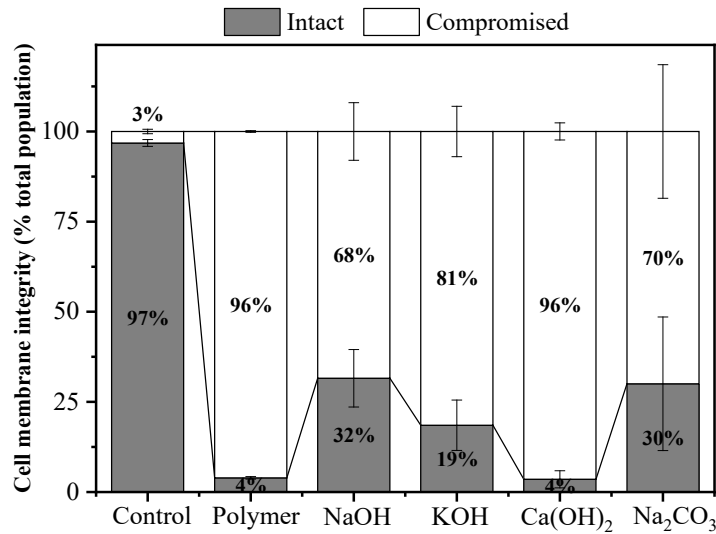
324

325 **Figure 5:** Alkaline flocculation efficiency of *P. purpureum* with respect to (a) medium
326 containing sodium chloride and magnesium sulphate only, and (b) medium containing sodium
327 chloride and calcium hydroxide only. Values represent mean ($n = 2$, technical replicates).

328 3.5. Biomass quality after flocculation

329 Polyacrylamide polymers and alkaline agents could potentially damage the cell wall of *P.*
330 *purpureum*. The proportion of compromised cells was 96% and 68-96% for polyacrylamide
331 polymer and alkaline bases, respectively (Fig. 6). This suggests that polyacrylamide polymers
332 induced the highest degree of damage to *P. purpureum* cell membrane despite being the most
333 efficient flocculants (> 99% flocculation efficiency). Sodium hydroxide and sodium carbonate
334 caused high cell membrane damage at 68-70% but has the least impact on *P. purpureum* among
335 the chemicals tested. A comparable observation was reported in a *Euglena gracilis* harvesting
336 study where the sodium hydroxide induced flocculation at pH >10 caused the microalgal cells
337 to be completely ruptured (Wu et al., 2020). On the other hand, Sales et al. (2019) revealed that
338 *Nannochloropsis oculata* cells, a green marine microalga, were intact after being subjected to
339 a three-step harvesting process: (1) pH-induced flocculation using sodium hydroxide, (2)
340 Flopam FO4800 (1 mg/L), and (3) 6000g centrifugation. Harvested *N. oculata* showed 99-
341 100% cell viability (i.e. 0-1% damaged cells compared to the fresh microalgal culture). Sales
342 et al. (2019)'s results suggest that polymer and alkaline flocculation has a negligible effect on
343 the microalgal cell membrane, which disagrees with our results. This is attributed to the

344 different morphologies of *P. purpureum* and *N. oculata* (e.g. *N. oculata* has a thick cell wall
 345 resistant to shock while *P. purpureum* has no rigid cell wall) and the chemical doses used. The
 346 effect of polyacrylamide polymers and alkaline bases on cell membrane integrity is, therefore,
 347 dependant on the microalgal species, its cell wall characteristics, and operational parameters.



348
 349 **Figure 6:** *P. purpureum* cell membrane integrity after flocculation (intact vs compromised).

350 Value and error bars represent mean and standard deviation ($n = 3$, technical replicates).

351 Polyacrylamide polymers (e.g. FlopamTM and FO3801) have high molecular weight and
 352 positive surface charge, thus strongly attracting the negatively charged EPS cell wall of *P.*
 353 *purpureum*. When the EPS is attached to the tails and loops of the polyacrylamide polymer, the
 354 structural arrangement of EPS on the microalgal cell wall is likely to be modified. This could
 355 lead to severe damages on the cell walls (e.g. the EPS gel no longer covers and protects the
 356 cells and the intracellular matters can leak through the cell wall). Meanwhile, after alkaline
 357 addition, extremely high pH (10-11) causes the EPS proteins to lose their natural shapes and

358 the gelatinous EPS layer to be solubilised. These disruptions are facilitated through chemical
359 degradation and ionisation of the hydroxyl group (OH \rightarrow O⁻) (Wingender et al., 1999). The
360 subsequent swelling and solubilisation of the EPS cell wall could cause the microalgal cell to
361 lose its viability as it can no longer maintain an appropriate turgor pressure (i.e. the hydrostatic
362 pressure within the cell against the cell wall) and disrupts the cell membrane (Neyens et al.,
363 2004). The cell cytoplasm and nucleus are exposed to the environment, thus releasing
364 intracellular components (e.g. organic matter and pigments) into the medium (Du et al., 2020).

365 Cell rupturing is an important step to extract valuable products (e.g. carbohydrates, lipids,
366 and proteins) from microalgal biomass for biorefinery applications (Günerken et al., 2015).
367 However, it should take place after biomass harvesting to minimise the processing volume (i.e.
368 economic viability). In this study, polyacrylamide polymers induced *P. purpureum* floc
369 formation that is dense and easy to remove (section 3.1). Their impact on the cell membrane
370 was, however, the most severe with only 4% of the population remaining entirely intact after
371 flocculation. Alkaline flocculation not only caused significant microalgal cell damage, its flocs
372 contained a high concentration of salt precipitates and were difficult to collect from the medium
373 (i.e. the settled biomass was highly disintegrated) (section 3.1). The composition of the biomass
374 before and after flocculation, together with the extent of intracellular compound leakage will
375 be a topic of interest for future study to further investigate the impact of these flocculants on
376 biomass quality and potential end-products.

377 **4. Conclusions**

378 Polyacrylamide polymers effectively neutralise charge and bridge algal cells to flocculate
379 over 99% of red marine *P. purpureum* at a low dose (i.e. 21 mg/g dry biomass). The floc
380 formation was dense and easy to remove from the supernatant. However, cell membrane
381 integrity results showed that polymers compromised the membrane of 96% of algal cells (i.e.
382 the most negative impact on *P. purpureum* cells among the chemicals). Alkaline flocculation
383 achieved up to 98% flocculation efficiency, but the alkaline doses were high at around 500 to
384 4,500 mg/g dry biomass. These high doses of alkali are not cost-effective and practical. In
385 addition, the algal flocs induced by alkaline flocculation were powdery and disintegrated,
386 making it harder for subsequent algal biomass recovery compared to polyacrylamide polymer
387 flocs. Around 70% of microalgal cells were compromised after sodium hydroxide or carbonate
388 addition, lower than that caused by polymers (96%), potassium hydroxide (81%), and calcium
389 hydroxide (96%).

390 **5. Acknowledgments**

391 The authors acknowledged the scholarship support to Hang P. Vu by the University of
392 Technology Sydney.

393 **6. Declarations**

394 The authors declare that there is no conflict of interest regarding the publication of this
395 article.

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