


Article

Primary Production, an Index of Climate Change in the Ocean: Satellite-Based Estimates over Two Decades

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¹ **Abstract:** Primary production by marine phytoplankton is one of the largest fluxes of carbon
² on our planet. In the past decades, considerable progress has been made in estimating global
³ primary production at high spatial and temporal scales by combining *in situ* measurements of
⁴ primary production with remote-sensing observations of phytoplankton biomass. One of the major
⁵ challenges in this approach lies in the assignment of the appropriate model parameters that define the
⁶ photosynthetic response of phytoplankton to the light field. In the present study, a global database of
⁷ *in situ* measurements of photosynthesis versus irradiance (P-I) parameters and a 20-year record of
⁸ climate quality satellite observations were used to assess global primary production and its variability
⁹ with seasons and locations as well as between years. In addition, the sensitivity of the computed
¹⁰ primary production to potential changes in the photosynthetic response of phytoplankton cells under
¹¹ changing environmental conditions was investigated. Global annual primary production varied
¹² from 38.8 to 42.1 Gt C yr⁻¹ over the period of 1998-2018. Inter-annual changes in global primary
¹³ production did not follow a linear trend and regional differences in the magnitude and direction of
¹⁴ change in primary production were observed. Trends in primary production followed directly from
¹⁵ changes in chlorophyll-*a* and were related to changes in the physico-chemical conditions of the water
¹⁶ column due to inter-annual and multi-decadal climate oscillations. Moreover, the sensitivity analysis
¹⁷ in which P-I parameters were adjusted by ± 1 standard deviation showed the importance of accurately
¹⁸ assigning photosynthetic parameters in global and regional calculations of primary production. The
¹⁹ assimilation number of the P-I curve showed strong relationships with environmental variables
²⁰ such as temperature and had a practically one-to-one relationship with the magnitude of change
²¹ in primary production. In the future, such empirical relationships could potentially be used for a
²² more dynamic assignment of photosynthetic rates in the estimation of global primary production.
²³ Relationships between the initial slope of the P-I curve and environmental co-variables were more
²⁴ elusive.

²⁵ **Keywords:** Primary Production; Phytoplankton; Photosynthesis; Ocean-colour Remote-sensing;
²⁶ Climate Change

²⁷ 1. Introduction

²⁸ The oceans play a key role in biogeochemical processes on Earth. Phytoplankton are responsible for
²⁹ almost half of the total global net primary production [1–5]. This does not only provide the basis for
³⁰ the marine food web, but also has a strong impact on carbon sequestration in the ocean's interior [6].
³¹ Marine primary production, estimated to be of the order of 50 Gt C per annum [2–5,7], is one of the
³² largest fluxes of carbon on our planet. Because of its importance, phytoplankton primary production
³³ has received considerable attention from the scientific community. Studies based on *in situ* observations
³⁴ are now supplemented by satellite-based calculations to estimate global primary production patterns
³⁵ at high spatial and temporal resolutions. Yet, trends in biological fields estimated from remote-sensing
³⁶ observations have not been taken into account in recent studies on global carbon budgets and pools
³⁷ and fluxes of carbon in the ocean [8,9]. In recent years, considerable efforts have been made to correct
³⁸ inter-sensor biases and merge data from multiple ocean-colour satellite sensors to provide a long (over
³⁹ two decades) record of phytoplankton biomass in the global oceans through the Ocean Colour Climate

40 Change Initiative of the European Space Agency [10]. This time series now offers the opportunity to
41 undertake a systematic study of changes in phytoplankton primary production over the last 20 years.

42 Phytoplankton primary production is forced by physico-chemical conditions in the water column,
43 including temperature, light and micro- and macronutrients. These drivers are influenced by seasonal,
44 inter-annual and multi-decadal variations in oceanic and atmospheric processes. For example,
45 phytoplankton primary production in polar regions is strongly influenced by seasonal solar irradiance
46 patterns and the formation of surface mixed layers due to ice melt in spring and summer [11–14]. In
47 contrast, at lower latitudes where trade winds prevail, phytoplankton primary production can be
48 nutrient-limited year-round and seasonal patterns are less obvious [15,16]. Superimposed on seasonal
49 cycles are the variations associated with inter-annual and multi-decadal ocean-atmospheric oscillations.
50 These oscillations are associated with anomalies in Sea Surface Temperature (SST), precipitation and
51 wind patterns, leading to changes in water column stability and nutrient loading into the euphotic
52 zone [17–19]. The El Niño-Southern Oscillation (ENSO), North Pacific Gyre Oscillation (NPGO),
53 Indian Ocean Dipole (IOD) and Atlantic Multidecadal Oscillation (AMO) have all been shown to
54 affect phytoplankton primary production [17–21]. These natural variations in water column conditions
55 can cause a 10-fold variation in primary production between different regions, with low-nutrient
56 subtropical waters at the lower end of production and highly eutrophic coastal regions at the upper
57 end [22,23].

58 Given these natural variations in physico-chemical conditions and in phytoplankton primary
59 production, it is expected that the physical changes associated with climate change will redistribute
60 phytoplankton primary production. Over the past decades, increases in sea surface temperatures and
61 ocean heat content, as well as enhanced precipitation relative to evaporation and sea ice melt, have
62 caused significant variations in physico-chemical conditions of the water column [23,24]. Subsequent
63 changes in temperature and density stratification, and nutrient loading into the euphotic zone are
64 expected to affect phytoplankton growth and primary production under global climate change [23,24].
65 Several studies based on *in situ*, satellite and/or modelling observations have shown that changes in
66 global primary production associated with climate change ranged from a 0.57–13% decrease [25–28] to
67 a 2% increase [29]. Discrepancies between these estimates may be based on differences in methodology
68 or in variations in temporal and spatial scales. It therefore seems that care has to be taken in estimating
69 global primary production, especially considering that some regions will experience additional local
70 forcing under climate change, such as melting of sea ice in polar regions. Overall, it is expected that
71 primary production will decrease in temperate to tropical oceanic regions and will increase at high
72 latitudes, while there is uncertainty on the direction, magnitude and differences of changing primary
73 production in shelf and coastal regions [30].

74 One of the major challenges in estimating primary production from remote-sensing observations
75 lies in the assignment of the photosynthetic efficiency of phytoplankton cells [31–33]. Models based on
76 ocean-colour remote-sensing observations typically use a relationship between phytoplankton biomass
77 and photosynthetic active radiation (PAR, 400–700 nm) to compute primary production [4,31,34,35].
78 One such relationship is the photosynthesis versus irradiance (P-I) curve, which can be represented by
79 a variety of mathematical equations [36,37]. The initial slope (α^B) and the assimilation number (P_m^B) of
80 the P-I curve may vary with environmental conditions such as irradiance, temperature and nutrient
81 concentrations, as well as taxonomic composition and size structure of phytoplankton communities
82 [33,38–43]. One of the strategies for the assignment of photosynthetic parameters in the computation
83 of primary production on a global scale is to assign parameters on the basis of ecological provinces
84 of the ocean [2,16,31,44,45], allowing for variations in photosynthetic parameters with season and
85 with province. This strategy was adopted in the present study and an existing global database of P-I
86 parameters [33] was extended to improve spatial and temporal coverage. The global P-I database
87 was subsequently partitioned using Longhurst’s geographical classification system of biomes and
88 provinces [16]. The biogeographic classification is based on physical conditions that shape the structure
89 and function of phytoplankton communities over large (basin) scales, and the supply of nutrients

90 and the average irradiance within the surface mixed layer that impact the physiological capacity of
91 phytoplankton cells [16,33]. Another challenge in the estimation of primary production from satellite
92 observations lies in the requirement to specify the vertical structure in phytoplankton biomass, given
93 that the satellite observations are confined to a finite surface layer that is much smaller than the
94 euphotic depth and is not resolved with depth. To overcome this limitation, we have used a large
95 database of *in situ* chlorophyll-*a* profiles to extrapolate ocean-colour remote-sensing observations
96 of surface chlorophyll-*a* through the water column [2,44,45]. Seasonal means of P-I parameters and
97 chlorophyll-*a* profile parameters were then used together with a 20-year time series of remotely-sensed
98 chlorophyll-*a* concentrations and surface PAR to establish global primary production and its changes
99 over the two decades. The results are discussed in the context of the sensitivity of computed primary
100 production to potential changes in the photosynthetic response of phytoplankton cells under changing
101 environmental conditions.

102 2. Materials and Methods

103 2.1. Surface chlorophyll-*a* data from satellites

104 Surface chlorophyll-*a* concentrations at 9 km spatial resolution and monthly temporal resolution for
105 the period of 1998-2018 were obtained from the European Space Agency (ESA) Ocean Colour Climate
106 Change Initiative project (OC-CCIv4.1, <https://esa-oceancolour-cci.org/>). The dataset contains merged
107 products of observations from the Sea-viewing Wide Field-of-View Sensor (SeaWiFS, 1997–2010), the
108 MEdium Resolution Imaging Spectrometer (MERIS, 2002–2012), the Moderate Resolution Imaging
109 Spectroradiometer (MODIS, 2002-present) and the Visible Infrared Imaging Radiometer Suite (VIIRS,
110 2012-present) that are climate-quality controlled, bias-corrected and error-characterized (see details
111 below) [10].

112 2.2. Primary production model

113 Several models have been described to estimate primary production based on ocean-colour
114 remote-sensing observations [29,35,46–48]. All models calculate daily water column production as a
115 function of some measure of phytoplankton biomass and the photosynthetic response of phytoplankton
116 to light. However, the different models can be categorised as linear or non-linear; spectral or
117 non-spectral; vertically-uniform or vertically-non-uniform; or a combination of these [46,47]. They
118 have also been categorised as depth-integrated or resolved and as wavelength-integrated or resolved
119 [35]. Reducing models to a canonical form helps analyse similarities and differences between models
120 and highlights the importance of model parameters [46,47,49]. The differences between spectral and
121 non-spectral models are systematic and significant [47], but they can be corrected for [47,50,51]. In a
122 study at the scale of the entire North Atlantic Ocean, Sathyendranath *et al.* [52] showed that ignoring
123 the vertical structure in chlorophyll-*a* concentration reduced the computed primary production by
124 about 9%, but in individual provinces, the difference could be higher (maximum reported value was
125 about 16%). But the differences are systematic, and therefore, information on vertical structure should
126 be taken into account when available. Furthermore, primary production within the deep chlorophyll
127 maximum is likely fuelled by new production and would be important in calculations of new and
128 export production [52].

129 In this study, we used a spectrally-resolved model that incorporates vertical structure in
130 chlorophyll-*a* concentration [2,31,44,45,52], with recent updates [49]. This model simulates changes in
131 photosynthesis as a function of irradiance using a two-parameter photosynthesis versus irradiance
132 (P-I) function. The model has consistently performed well when compared with other models [5,35,53]
133 and has been implemented on a global scale [2]. In the present study, considerable improvements
134 have been made to the global coverage of the parameter database, while data provided by the
135 Ocean Colour Climate Change Initiative (OC-CCI) project [10,54] allowed for the use of over 20
136 years of remote-sensing observations. The OC-CCI products [10] are multi-sensor products (reducing

137 missing data), in which biases between sensors have been corrected (avoiding artificial trends in
 138 data arising from systematic differences between biases) and have been processed with a common
 139 protocol for calculation of chlorophyll-*a* concentration (minimising any systematic differences arising
 140 from differences between algorithms). Melin *et al.* [55] have shown that the chlorophyll-*a* trends
 141 calculated with OC-CCI time series are consistent with those calculated from single sensor products,
 142 demonstrating the fitness of the data for climate change studies. All of these, along with the length
 143 of the time-series data, are key considerations when studying the variability in ocean primary
 144 production in the context of climate change. The model used here is identical to the one described in
 145 Sathyendranath *et al.* [49] (see Appendix A for a brief description of the steps involved), but with a
 146 notable improvement to the P-I parameter assignment, based on an enhanced *in situ* database.

147 2.3. Photosynthesis versus irradiance parameters

148 Parameters of the photosynthesis versus irradiance (P-I) curve were obtained from a global database
 149 [33,56] and additional literature sources [57–94]. A quality check was performed on all data (9,765
 150 experiments) following Bouman *et al.* [33], using lower limits of the initial slope of the P-I curve α^B
 151 ($0.002 \text{ mg C mg Chl-a}^{-1} \text{ h}^{-1} (\mu\text{mol photons m}^{-2} \text{ s}^{-1})^{-1}$) and the assimilation number P_m^B (0.2 mg C mg
 152 $\text{Chl-a}^{-1} \text{ h}^{-1}$) and an upper limit for the maximum quantum yield of carbon fixation ϕ_m (0.15 mol C mol
 153 quanta^{-1}). The value of ϕ_m was calculated as $\alpha^B / \bar{a}_B^* \times 0.0231$ [95] using α^B and either simultaneous
 154 measurements of the mean specific chlorophyll-*a* absorption coefficient \bar{a}_B^* (in $\text{m}^2 \text{ mg Chl-a}^{-1}$) or an
 155 estimate of \bar{a}_B^* based on Brewin *et al.* [96,97] (see Appendix A). In addition, major outliers in the dataset
 156 were identified using the outermost fences of the Interquartile Range. After quality control, 8,676
 157 experiments were used for further analysis. Note that this is a significant improvement over the P-I
 158 parameter database that was available at the time of Longhurst *et al.* [2], they had access to 1,862 P-I
 159 observations at that time, mostly from the North Atlantic Ocean.

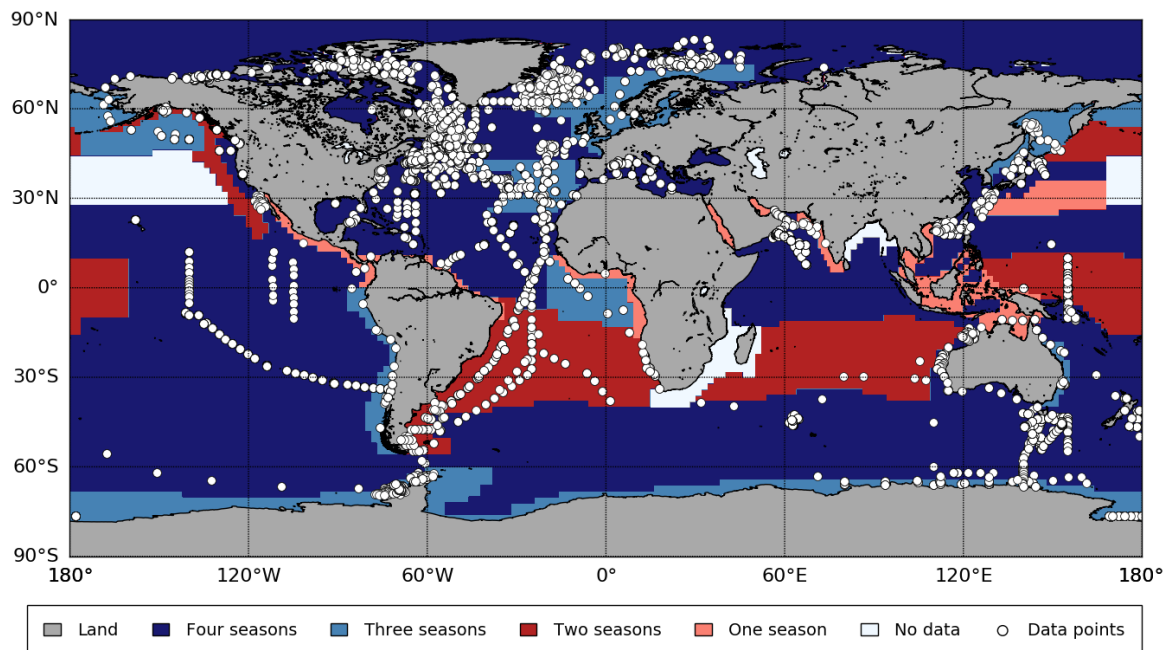


Figure 1. Sample locations for photosynthesis versus irradiance (P-I) experiments obtained from databases and literature with seasonal coverage in each biogeographic province as defined by Longhurst (2007). A total of 8,676 P-I experiments were used in the present study, covering 53 biogeographic provinces and 96.6% of the world's ocean. High seasonal data coverage was obtained for 37 provinces (3–4 seasons, 79.9% coverage).

160 To estimate regional primary production, P-I data were assigned to biogeographic provinces
 161 according to Longhurst [16] (Appendix B). The P-I database covered 53 provinces, representing
 162 96.6% of the world's oceans (Figure 1). No *in situ* P-I experiments could be found for the coastal

163 areas of Africa (EAFR) and India (INDE) and two regions in the North Pacific Ocean (NPPF, NPSE).
 164 The data were divided into seasons using 3-month intervals, i.e. March-May for spring/autumn,
 165 June-August for summer/winter, September-November for autumn/spring and December-February
 166 for winter/summer in the Northern/Southern Hemisphere. Mean and standard deviations of α^B
 167 and P_m^B were calculated for each season and biogeographic province available in the P-I database
 168 (Table 1). Temporal and spatial data gaps in α^B and P_m^B were filled by statistical analysis of the
 169 relationships between seasons within each biogeographic province and the relationships between
 170 adjacent biogeographic provinces (Figure 2). To this end, values of α^B and P_m^B were log-transformed and
 171 significance ($p < 0.05$) was tested using ANOVA analysis followed by Tukey-Kramer post-hoc testing
 172 for unequal sample sizes (Past 3, Hammer *et al.* 2001). Results were used to assign mean and standard
 173 deviations of α^B and P_m^B for missing seasons and/or biogeographic provinces (Table 1) respecting
 174 boundaries of the ocean basins and biomes [16]. Linear- and log-scaled mean values of α^B and P_m^B were
 175 highly similar ($r^2 = 0.989$, $p < 0.001$; with the majority of data normally distributed on regional and
 176 seasonal scales) and calculations of primary production were performed with linear-scaled mean and
 177 standard deviations of each P-I parameter (Table 1) to support interpretation of (linear) trends in the
 178 sensitivity analyses (see below).

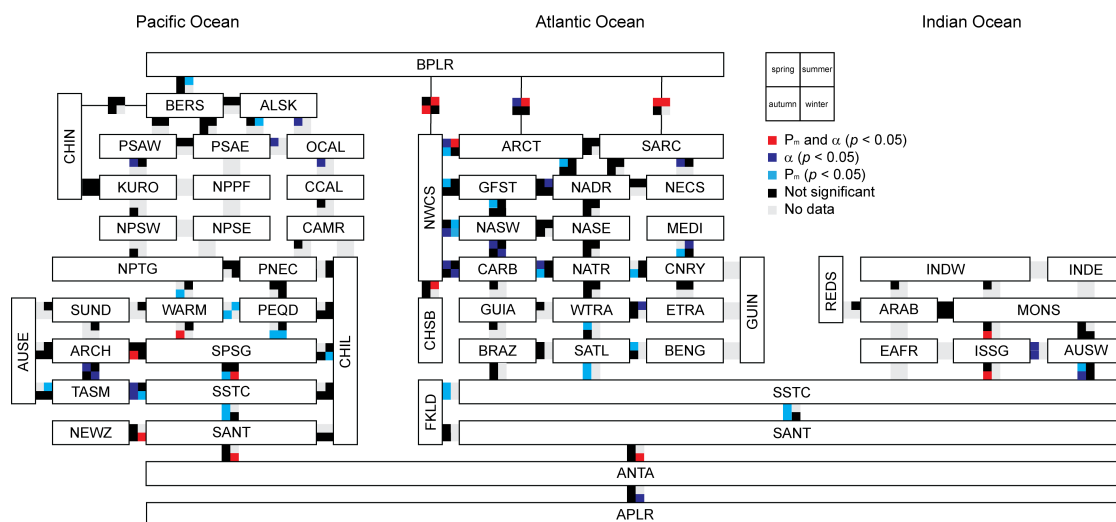


Figure 2. Relationships of photosynthesis versus irradiance (P-I) parameters between adjacent biogeographic provinces. Seasonal relationships are indicated by colour blocks, with significant differences ($p < 0.05$) denoted for the initial slope (α^B) and assimilation number (P_m^B) of the P-I curve (red), α^B only (dark blue) and P_m^B only (light blue). Comparisons were not available for the coastal areas of Africa (EAFR) and India (INDE), two regions in the North Pacific Ocean (NPPF, NPSE) and some seasons in other biogeographic regions due to lack of data (light grey). Biogeographic provinces are listed in Appendix B.

179 2.4. Analyses of primary production

180 The sensitivity of primary production to changes in photosynthetic parameters was estimated using
 181 three separate model runs for the period between 1998 and 2018, with three different P-I parameter
 182 assignments as follows: a) with the mean of the P-I parameters for each of the provinces and seasons
 183 (the main run); b) with the mean minus one standard deviation of the two P-I parameters (-1 SD); and c)
 184 with the mean plus one standard deviation of the two P-I parameters ($+1$ SD) (Table 1). All other input
 185 variables (i.e. light, chlorophyll-*a* and chlorophyll-*a* profile parameters) were kept the same for each of
 186 these model runs. To assess the magnitude of change in primary production with change in individual
 187 P-I parameters, an additional sensitivity analysis was performed for a sample year (arbitrarily chosen
 188 to be 2003) in which either the initial slope (α^B) or the assimilation number (P_m^B) of the P-I curve was
 189 adjusted by ± 1 standard deviation, while the other parameter was maintained at its mean value. In the
 190 full model computations in which α^B and P_m^B were adjusted simultaneously, the relationship between
 191 α^B and P_m^B , given by the light adaptation parameter (I_k in $\mu\text{mol photons m}^{-2} \text{s}^{-1}$), remained largely

192 unchanged. In the additional sensitivity analysis I_k was allowed to vary and increased for -1 SD α^B
193 and $+1$ SD P_m^B and decreased for $+1$ SD α^B and -1 SD P_m^B . For all model computations, both annual
194 and seasonal primary production rates were estimated on global and regional scales. Regions were
195 selected based on Longhurst's definition of ocean basins (Antarctic, Atlantic, Indian and Pacific) and
196 biomes (Coastal, Polar, Trades and Westerlies) [16].

197 Statistical analyses were used to assess relationships between P-I parameters and other
198 (environmental) parameters available in the P-I database (latitude, depth, chlorophyll-*a*, PAR,
199 temperature and nutrients; correlation and regression analysis), relationships between primary
200 production estimates and input variables (chlorophyll-*a*, PAR and P-I parameters; correlation and
201 regression analysis) and changes in primary production trends on various spatial and temporal scales
202 (correlation analysis). To estimate the rate and direction of change in annual primary production
203 between 1998-2018 for each grid point, monthly means were corrected for seasonality by subtracting
204 monthly climatologies. The rate of change over time and its significance were calculated using
205 linear regression and Student's *t* tests following Santer *et al.* [98]. Using the slope and intercept from
206 the regression analysis, the percentage change per year in primary production (PP) was calculated
207 as $100 \cdot ((12 \cdot slope) / (intercept + PP_{climatology}))$. Before all statistical procedures, data were tested
208 for normality and homogeneity of variances and transformed for further statistical analysis when
209 necessary. Differences were considered significant when $p < 0.05$.

210 The impact of different climate indices on global and regional primary production was
211 characterised based on annual mean anomalies that were corrected for seasonality following
212 Racault *et al.* [19]. Student's *t* tests were used to assess statistical significance of the
213 relationships considering autocorrelations of the time series following Santer *et al.* [98]. Climate
214 indices were obtained from the National Oceanic and Atmospheric Administration (NOAA)
215 (www.esrl.noaa.gov/psd/data/climateindices/), Kao and Yu [99] (www.ess.uci.edu/~yu/2OSC/) and
216 Di Lorenzo *et al.* [17] (www.o3d.org/nngo/).

Table 1. Sample size (n), mean and standard deviation (SD) of the initial slope (α^B in mg C mg Chl-a⁻¹ h⁻¹ μ mol photons m⁻² s⁻¹) and the assimilation number (P_m^B in mg C mg Chl-a⁻¹ h⁻¹) of the photosynthesis versus irradiance (P-I) curve for each biogeographic province and season. Values in blue are obtained from statistical comparisons between seasons and biogeographic provinces, while other values are directly obtained from the P-I database. Biogeographic provinces are listed in Appendix B.

BIOME /BASIN	PROV	Spring						Summer						Autumn						Winter					
		n	α^B Mean	α^B SD	n	P_m^B Mean	P_m^B SD	n	α^B Mean	α^B SD	n	P_m^B Mean	P_m^B SD	n	α^B Mean	α^B SD	n	P_m^B Mean	P_m^B SD	n	α^B Mean	α^B SD	n	P_m^B Mean	P_m^B SD
Coastal																									
Atlantic	NECS	18	0.020	0.005	18	3.25	0.64	12	0.022	0.007	15	2.83	1.62	30	0.021	0.006	1	2.22		30	0.021	0.006	34	3.04	1.18
	CNRY	1	0.035		1	4.00		33	0.022	0.006	34	3.92	1.56	11	0.026	0.005	34	4.08	1.70	1	0.016		3	3.30	1.13
	GUIN	1	0.012		1	1.50		48	0.017	0.008	50	1.68	1.04	20	0.017	0.006	19	1.68	0.62	48	0.017	0.008	50	1.68	1.04
	GUIA	77	0.024	0.017	34	2.52	1.88	109	0.023	0.016	56	2.71	1.90	2	0.024	0.008	2	2.71	1.35	109	0.023	0.016	6	2.90	1.21
	NWCS	495	0.031	0.020	515	2.49	1.18	259	0.024	0.017	260	3.23	1.47	335	0.044	0.021	332	3.58	1.66	121	0.037	0.018	125	2.85	1.36
	CHSB	41	0.036	0.018	41	3.29	1.46	35	0.016	0.013	36	2.04	1.66	18	0.041	0.018	19	4.80	1.43	59	0.038	0.018	96	3.12	1.82
	BRAZ	9	0.029	0.016	10	2.22	1.82	48	0.017	0.008	15	2.69	1.95	5	0.014	0.005	5	3.63	2.05	48	0.017	0.008	15	2.69	1.95
	FKLD	28	0.017	0.009	31	1.68	1.24	48	0.017	0.008	50	1.68	1.04	20	0.017	0.006	19	1.68	0.62	48	0.017	0.008	50	1.68	1.04
	BENG	2	0.044	0.000	4	4.24	2.52	25	0.027	0.012	26	3.66	1.67	23	0.026	0.011	22	3.56	1.52	25	0.027	0.012	26	3.66	1.67
Indian	EAFR	117	0.027	0.009	101	4.76	1.66	32	0.030	0.009	17	5.36	1.07	285	0.027	0.011	6	3.43	1.27	280	0.027	0.010	203	4.38	1.62
	REDS	46	0.013	0.007	85	3.29	1.36	4	0.022	0.006	4	4.13	2.07	117	0.027	0.009	101	4.76	1.66	32	0.030	0.009	17	5.36	1.62
	ARAB	13	0.034	0.010	98	4.00	1.48	114	0.024	0.011	81	3.72	1.40	117	0.027	0.009	101	4.76	1.66	32	0.033	0.009	17	5.36	1.07
	INDE	65	0.042	0.021	62	3.39	2.13	5	0.034	0.028	7	4.10	1.53	56	0.034	0.018	56	2.97	1.89	6	0.039	0.015	6	2.50	1.01
	INDW	9	0.033	0.027	6	3.43	1.27	114	0.024	0.011	64	3.94	1.70	117	0.027	0.009	35	3.65	1.48	32	0.030	0.009	29	4.29	1.91
	AUSW	56	0.034	0.018	56	2.97	1.89	6	0.039	0.015	6	2.50	1.01	56	0.047	0.019	56	3.38	2.21	19	0.020	0.007	3	4.05	0.80
Pacific	ALSK	7	0.029	0.012	8	3.91	0.89	61	0.026	0.020	35	4.67	1.90	23	0.023	0.011	43	4.53	1.77	51	0.025	0.010	43	4.53	1.77
	CCAL	42	0.038	0.023	53	3.80	1.76	9	0.012	0.007	26	4.17	1.91	11	0.008	0.001	28	3.21	1.47	2	0.016	0.006	20	1.70	1.08
	CAMR	1	0.026		2	1.50	0.20	31	0.020	0.009	26	4.17	1.91	18	0.026	0.014	28	3.21	1.47	2	0.016	0.006	28	3.48	2.22
	CHIL	8	0.032	0.011	7	2.50	0.93	79	0.031	0.012	78	2.35	1.36	33	0.029	0.010	89	2.35	1.35	4	0.016	0.016	4	2.10	1.12
	CHIN	25	0.026	0.007	17	5.48	1.72	31	0.020	0.009	22	3.59	1.99	18	0.026	0.014	10	4.48	3.23	2	0.016	0.006	2	4.55	1.34
	SUND	26	0.025	0.010	20	4.10	2.30	12	0.041	0.018	16	3.43	2.13	67	0.031	0.012	68	2.85	1.51	11	0.029	0.014	12	2.55	2.13
	AUSE	19	0.050	0.020	320	4.41	1.53	4	0.050	0.018	4	4.65	3.15	31	0.047	0.019	15	2.53	2.13	8	0.042	0.018	11	1.75	0.94
	NEWZ	8	0.045	0.013	9	4.48	1.46	23	0.021	0.011	22	4.63	1.51	9	0.036	0.009	8	3.70	1.42	2	0.044	0.003	2	3.30	0.28
Polar																									
Antarctic	ANTA	1	0.007		2	1.01	0.92	41	0.018	0.009	75	1.27	0.50	12	0.021	0.005	9	1.58	0.72	54	0.019	0.008	11	1.48	0.74
	APLR	52	0.035	0.009	67	1.66	0.92	268	0.029	0.014	340	1.89	1.26	140	0.026	0.009	162	1.86	0.90	54	0.019	0.008	569	1.86	1.13
Atlantic	BPLR	141	0.031	0.016	154	2.03	0.83	542	0.030	0.017	572	1.67	0.99	189	0.024	0.013	192	1.65	0.84	21	0.031	0.019	21	1.85	0.78
	ARCT	278	0.039	0.017	329	2.38	1.07	298	0.036	0.016	313	2.24	0.99	39	0.032	0.018	59	2.18	0.78	27	0.028	0.013	27	2.38	0.85
	SARC	116	0.044	0.017	126	2.74	1.09	89	0.041	0.014	92	2.59	1.27	206	0.043	0.016	2	1.40	0.46	206	0.043	0.016	220	2.66	1.17
Pacific	BERS	7	0.029	0.012	8	3.26	1.81	21	0.024	0.007	22	2.85	1.18	23	0.023	0.011	25	2.41	1.39	51	0.025	0.010	55	2.71	1.38
Trades																									
Atlantic	NATR	15	0.025	0.015	14	3.60	1.76	165	0.035	0.021	165	2.85	1.84	27	0.027	0.011	26	2.52	2.40	6	0.032	0.018	7	5.23	1.60
	WTRA	16	0.013	0.007	16	3.06	2.18	109	0.023	0.016	6	2.90	1.21	32	0.025	0.019	34	2.52	21.88	109	0.023	0.016	56	2.71	1.90
	ETRA	4	0.037	0.026	4	2.90	3.23	62	0.026	0.016	61	3.01	1.79	6	0.024	0.014	6	2.97	1.06	52	0.025	0.016	51	3.02	1.76
	SATL	77	0.024	0.017	77	1.99	1.37	109	0.023	0.016	109	1.87	1.35	32	0.020	0.014	32	1.59	1.28	109	0.023	0.016	109	1.87	1.35
	CARB	22	0.010	0.005	21	3.20	1.99	16	0.023	0.010	2	6.25	0.92	25	0.028	0.012	16	5.73	1.76	28	0.022	0.009	28	3.48	2.22
Indian	MONS	5	0.028	0.005	64	3.94	1.70	9	0.024	0.007	64	3.94	1.70	40	0.022	0.007	35	3.65	1.48	35	0.027	0.006	29	4.29	1.91
	ISSG	10	0.007	0.003	10	1.94	1.61	14	0.007	0.003	6	2.50	1.01	4	0.009	0.002	26	2.86	1.31	14	0.007	0.003	3	4.05	0.80
Pacific	NPTG	3	0.013	0.008	9	4.97	0.66	8	0.015	0.003	6	4.39	2.12	10	0.017	0.006	18	4.79	1.70	7	0.016	0.004	26	4.88	0.74
	PNEC	2	0.031	0.011	9	3.46	1.49	12	0.017	0.006	15	3.94	1.85	11	0.017	0.004	27	3.54	1.74	27	0.018	0.005	3	1.75	0.77
	PEOD	11	0.017	0.004	18	4.85	1.58	27	0.018	0.005	6	3.79	1.04	27	0.018	0.005	8	4.76	0.97	16	0.019	0.005	17	4.39	1.33
	WARM	163	0.030	0.015	160	2.23	1.31	220	0.031	0.016	221	2.16	1.28	220	0.031	0.016	221	2.16	1.28	57	0.033	0.020	61	1.97	1.19
	ARCH	67	0.031	0.012	68	2.85	1.51	11	0.029	0.014	12	2.55	2.13	26	0.025	0.010	20	4.10	2.30	19	0.028	0.017	22	2.81	1.92
Westerlies																									
Antarctic	SSTC	18	0.021	0.016	47	4.12	2.01	45	0.034	0.011	83	2.25	1.56	33	0.029	0.010	53	4.42	1.39	4	0.016	0.016	5	5.30	1.57
	SANT	3	0.026	0.002	28	1.85	0.42	41	0.023	0.006	136	1.58	0.53	10	0.027	0.003	18	1.99	0.54	55	0.023	0.006	242	1.62	0.54
Atlantic	NADR	47	0.032	0.014	42	3.32	1.25	4	0.051	0.023	4	3.03	0.73	49	0.025	0.014	52	2.14	1.20	7	0.036	0.007	7	2.83	0.45
	GFST	50	0.034	0.012	47	4.39	1.60	14	0.013	0.006	13	2.40	1.99	24	0.040	0.016	28	3.08	1.67	7	0.054	0.020	7	4.32	2.06
	NASW	137	0.031	0.018	96	3.84	2.53	113	0.029	0.021	92	2.13	1.86	65	0.036	0.024	57	4.09	2.23	33	0.041	0.019	30	4.66	1.35
	MEDI	46	0.013																						

annual primary production were related to the surface area of the specific ocean basins and biomes ($r^2 = 0.643$, $p < 0.01$), with the coastal regions being relatively more and polar regions relatively less productive than the other regions when computed as a rate per unit area (Table 2; Figure 3A).

Table 2. Climatological mean and standard deviation ($n = 21$) of annual primary production (in Gt C y^{-1}) between 1998–2018 for each ocean basin and biome as defined by Longhurst (2007). Range in annual primary production between 1998–2018 is given in parenthesis. Results are given for primary production estimates based on mean, -1 standard deviation and +1 standard deviation photosynthesis versus irradiance (P-I) parameters. Surface areas (in km^2) for each ocean basin and biome are also provided.

<i>Mean P-I</i>						
		Coastal 47 · 10 ⁶	Polar 57 · 10 ⁶	Trades 141 · 10 ⁶	Westerlies 131 · 10 ⁶	Total 376 · 10 ⁶
Antarctic	79 · 10 ⁶		0.77±0.07 (0.64-0.87)		3.76±0.10 (3.60-3.99)	4.53±0.14 (4.27-4.75)
Atlantic	94 · 10 ⁶	2.58±0.16 (2.36-2.78)	1.12±0.06 (1.02-1.27)	5.32±0.13 (5.07-5.49)	2.45±0.04 (2.38-2.54)	11.48±0.33 (10.90-11.92)
Indian	48 · 10 ⁶	3.12±0.17 (2.88-3.36)		3.60±0.11 (3.37-3.75)		6.71±0.26 (6.24-7.11)
Pacific	155 · 10 ⁶	3.97±0.21 (3.59-4.22)	0.71±0.04 (0.62-0.79)	7.42±0.27 (6.87-7.74)	5.84±0.13 (5.67-5.99)	17.94±0.54 (16.98-18.64)
Total	376 · 10 ⁶	9.67±0.51 (8.92-10.35)	2.61±0.14 (2.30-2.83)	16.33±0.44 (15.41-16.76)	12.05±0.23 (11.72-12.48)	40.66±1.19 (38.79-42.07)
<i>Mean P-I -1 standard deviation</i>						
		Coastal 47 · 10 ⁶ km ²	Polar 57 · 10 ⁶	Trades 141 · 10 ⁶	Westerlies 131 · 10 ⁶	Total 376 · 10 ⁶
Antarctic	79 · 10 ⁶		0.41±0.03 (0.35-0.46)		2.19±0.06 (2.09-2.33)	2.59±0.08 (2.45-2.74)
Atlantic	94 · 10 ⁶	1.35±0.08 (1.23-1.46)	0.59±0.03 (0.54-0.65)	2.09±0.06 (1.98-2.17)	1.18±0.02 (1.15-1.22)	5.21±0.16 (4.94-5.42)
Indian	48 · 10 ⁶	1.85±0.10 (1.72-1.99)		2.18±0.06 (2.04-2.27)		4.03±0.15 (3.76-4.26)
Pacific	155 · 10 ⁶	1.93±0.10 (1.73-2.05)	0.40±0.02 (0.35-0.44)	4.13±0.15 (3.81-4.32)	3.15±0.07 (3.01-3.24)	9.61±0.29 (9.10-9.97)
Total	376 · 10 ⁶	5.13±0.26 (4.72-5.48)	1.39±0.07 (1.25-1.51)	8.40±0.24 (7.89-8.62)	6.52±0.13 (6.33-6.76)	21.45±0.63 (20.43-22.21)
<i>Mean P-I +1 standard deviation</i>						
		Coastal 47 · 10 ⁶	Polar 57 · 10 ⁶	Trades 141 · 10 ⁶	Westerlies 131 · 10 ⁶	Total 376 · 10 ⁶
Antarctic	79 · 10 ⁶		1.10±0.11 (0.90-1.24)		5.24±0.13 (5.03-5.56)	6.33±0.20 (5.95-6.63)
Atlantic	94 · 10 ⁶	3.78±0.23 (3.45-4.06)	1.66±0.10 (1.50-1.89)	8.43±0.20 (8.05-8.70)	3.69±0.06 (3.59-3.83)	17.57±0.50 (16.69-18.24)
Indian	48 · 10 ⁶	4.35±0.24 (4.01-4.69)		4.95±0.15 (4.63-5.15)		9.30±0.37 (8.64-9.85)
Pacific	155 · 10 ⁶	5.94±0.31 (5.39-6.32)	1.02±0.06 (0.89-1.13)	10.60±0.38 (9.86-11.06)	8.39±0.18 (7.99-8.61)	25.94±0.79 (24.56-26.97)
Total	376 · 10 ⁶	14.07±0.75 (12.98-15.07)	3.77±0.22 (3.31-4.10)	23.98±0.64 (22.71-24.61)	17.31±0.33 (16.83-17.93)	59.14±1.73 (56.49-61.20)

3.2. Trends in primary production

Linear trends in annual primary production between 1998 and 2018 varied considerably on regional scales (Figure 3B). At low and mid latitudes, trends in primary production were generally weak and negative (up to -3.0%), although large areas of positive trends were also observed in the South Atlantic Ocean and the South Pacific Ocean. In polar and coastal (upwelling) regions, stronger, positive trends in primary production were observed (up to +4.5%). Although significant linear trends were observed at individual pixels, the observed inter-annual changes in primary production on global and regional scales did not follow a linear pattern.

Inter-annual trends in global primary production showed an increase in rates between 1998 and 2003, relatively stable rates between 2003 and 2011 and a subsequent decrease in rates until 2015 after which rates showed a minor increase (Figure 4A). Annual primary production in the Atlantic and Pacific Oceans showed similar inter-annual trends to global primary production ($r^2 = 0.866$, 0.926 , $p < 0.001$) (Figure 4C). Trends in annual primary production in the other ocean basins varied from the global trend, with relatively lower production between 2003–2011 in the Antarctic Ocean ($r^2 = 0.675$, $p < 0.001$) and a relatively early decrease in production in the Indian Ocean ($r^2 = 0.828$, $p < 0.001$) (Figure 4C). Annual primary production in the Coastal, Trades and Westerlies biomes showed inter-annual trends comparable with that in global primary production ($r^2 = 0.815$ – 0.856 , $p < 0.001$) with the highest rates observed between 1998 and 2000 in the Trades biome and a relatively slow increase in production between 1998 and 2011 in the Westerlies biome (Figure 4E). In the Polar biome, production decreased relatively early between 2004 and 2011 and was relatively high after 2015 compared with trends for global annual primary production ($r^2 = 0.510$, $p < 0.001$).

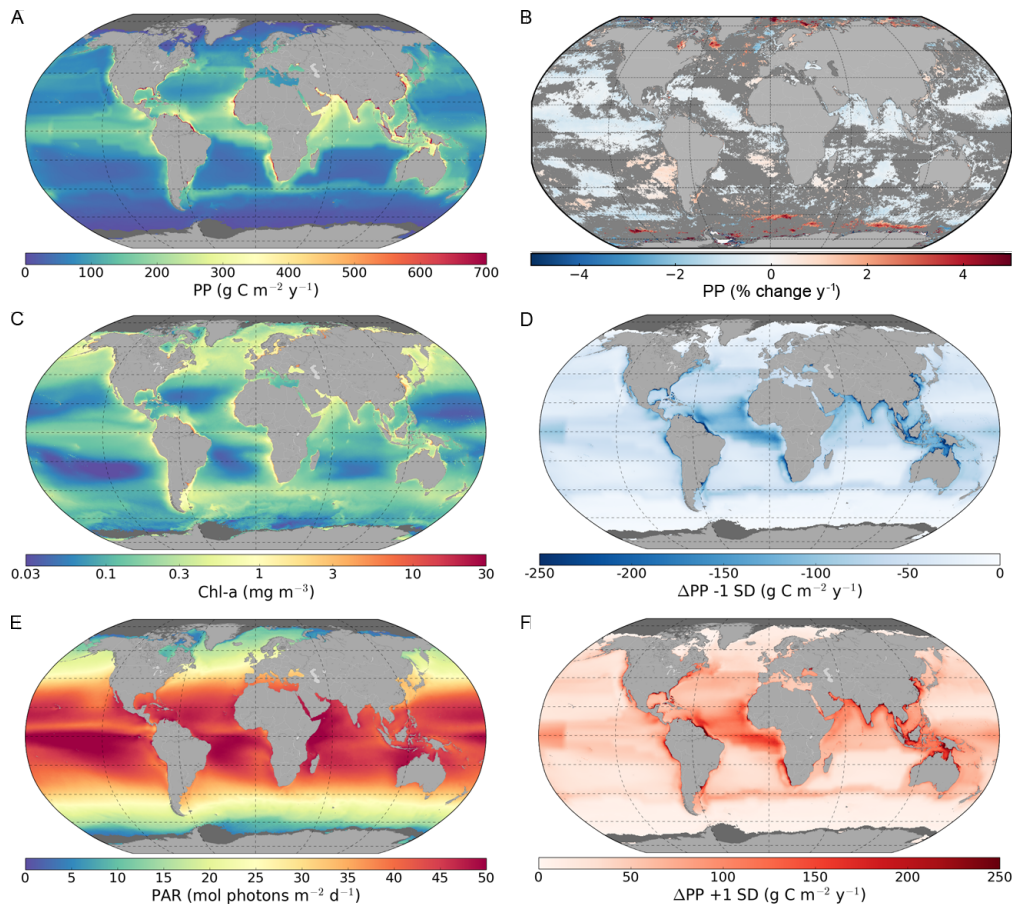


Figure 3. Maps of global annual primary production (PP) and associated parameters for the period of 1998-2018. A) Global annual primary production based on mean photosynthesis versus irradiance (P-I) parameters, B) Linear trends in global annual primary production between 1998-2018 given as percentage change per year (dark grey colour represents non-significant trends), C) Remote-sensing derived mean surface chlorophyll-*a* (Chl-*a*), D) Difference in primary production between mean P-I parameters and -1 standard deviation (-1 SD) based estimations, E) Remote-sensing derived Photosynthetic Active Radiation (PAR, 400-700 nm), and F) Difference in primary production between mean P-I parameters and +1 standard deviation (+1 SD) based estimations.

252 Trends in seasonal global primary production were highest in late spring to mid-summer with
 253 the lowest rates observed in December for the Northern Hemisphere and in June for the Southern
 254 Hemisphere (Figure 4B). Most regions showed similar seasonal trends in primary production with
 255 the peak occurring either earlier (Pacific Ocean and Westerlies and Coastal biomes) or later (Antarctic
 256 and Atlantic Oceans and Polar biome) in summer ($r^2 = 0.782-0.962$, $p < 0.001$) (Figure 4D,F). Monthly
 257 primary production in the Trades biome was more variable from spring to autumn compared with the
 258 global trend ($r^2 = 0.782$, $p < 0.01$) (Figure 4D). Trends in seasonal primary production in the Indian
 259 Ocean deviated most from the global trend, with two peaks in monthly primary production observed
 260 in spring and autumn and the lowest rates observed in summer (Figure 4F).

261 Inter-annual and seasonal trends in global primary production were closely related to
 262 chlorophyll-*a* biomass (Spearman's Rank Correlation coefficient $r_s = 0.742-0.939$, $p < 0.05$) (Figure 3C).
 263 In the Antarctic and Indian Oceans and Trades biome, annual primary production was also related
 264 to PAR ($r_s = 0.484-0.600$, $p < 0.05$) (Figure 3E). The variations in global primary production were
 265 associated with trends in the El Niño-Southern Oscillation (ENSO) (Multivariate ENSO Index (MEI), r
 266 $= -0.389$; ENSO Eastern Pacific (EP) index, $r = -0.419$) and the Atlantic Multidecadal Oscillation (AMO)
 267 ($r = 0.304$). The initial increase in global annual primary production between 1998-2003 was related to
 268 ENSO (EP index, $r = -0.953$), AMO ($r = 0.973$) and the Indian Ocean Dipole (IOD) ($r = 0.551$), while the
 269 decrease in global annual primary production after 2011 was related to ENSO (MEI, $r = -0.716$; ENSO
 270 Central Pacific (CP) index, $r = -0.902$) and the Pacific Decadal Oscillation ($r = -0.861$).

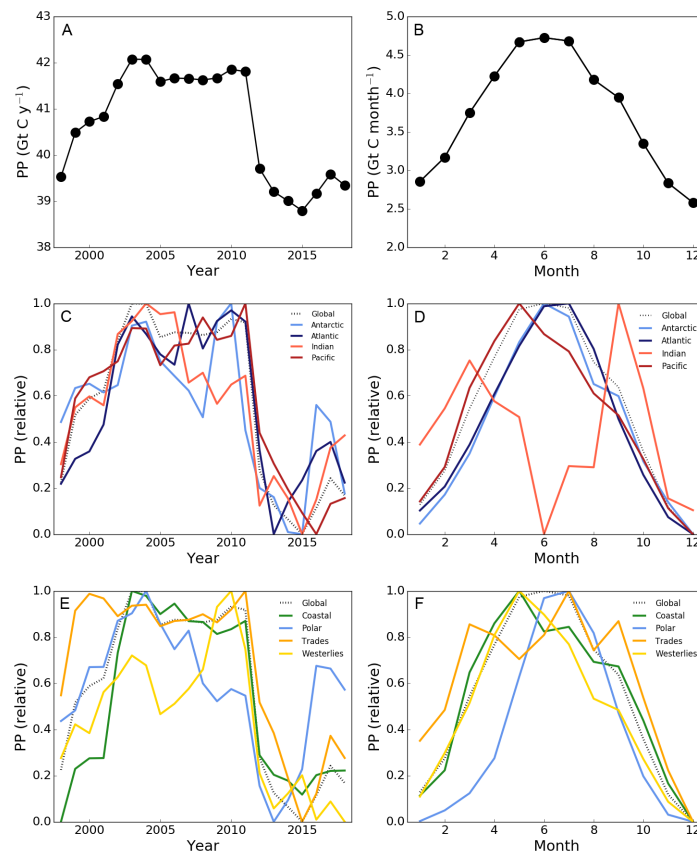


Figure 4. Trends in primary production (PP) with A) Annual global primary production for each year in the period between 1998-2018, B) Mean monthly primary production, C) Relative annual and D) Monthly primary production for each oceanic basin and E) Relative annual and F) monthly primary production for each biome as defined by Longhurst (2007). The dotted lines illustrate the relative global primary production per year (C,E) and month (D,F). Estimates of monthly primary production for the Southern Hemisphere were shifted to depict the summer season (December-February) along with that of the Northern Hemisphere (June-August) in months 6-8. Relative trends for each basin and biome were calculated by subtracting the minimum PP from the annual (C,E) or monthly (D,F) PP and dividing this by the difference between the minimum and maximum PP between 1998-2018 or between January-December.

271 3.3. Sensitivity of primary primary production to changes in photosynthetic parameters

272 Global annual primary production varied from 20.4 to 22.2 Gt C y^{-1} between 1998 and 2018 when
 273 both P-I parameters were reduced simultaneously by one standard deviation (-1 SD), whereas the
 274 values ranged from 56.5 to 61.2 Gt C y^{-1} when the P-I parameters were increased by one standard
 275 deviation ($+1$ SD) (-46.5% and $+44.9\%$ compared with the results using the mean P-I estimates) (Table 2;
 276 Figures 3D, 3F, 5). The magnitude of the decrease in primary production when the P-I parameters were
 277 adjusted by -1 standard deviation was always greater than the increase in production when the P-I
 278 parameters were adjusted by $+1$ standard deviation (Figure 5). The sensitivity of primary production
 279 to changes in P-I parameters was highest in the Atlantic Ocean, followed by the Pacific, Antarctic and
 280 Indian Oceans (Figures 3D, 3F, 5; Table 2). The sensitivity was highest in the Trades biome and lowest
 281 in the Westerlies biome (Figures 3D, 3F, 5; Table 2). Trends in global and regional annual primary
 282 production for the sensitivity analyses (data not shown) were similar to those observed for the main
 283 model run with mean P-I parameters (Table 2; Figures 3B, 4) ($r^2 = 0.978-0.999$, $p < 0.001$).

284 On a seasonal basis, global primary production changed between -50.1 to -43.7% and $+42.0$
 285 to $+48.6\%$ when the photosynthetic parameters were adjusted by -1 and $+1$ standard deviation,
 286 respectively (Figure 5). The highest deviation from the mean P-I based primary production estimates
 287 was observed during spring and summer in the Atlantic Ocean and in the Trades biome, whereas the
 288 lowest deviation was observed during autumn and winter in the Antarctic Ocean and Westerlies biome.

289 Trends in seasonal primary production were similar to those observed for the mean photosynthetic
 290 parameters estimates (Figure 4) when the photosynthetic parameters were adjusted by +1 standard
 291 deviation (data not shown). When the photosynthetic parameters were adjusted by -1 standard
 292 deviation, seasonal trends changed in the Indian Ocean and Coastal and Trades biomes. Primary
 293 production in these regions became relatively lower in spring and summer compared with other
 294 seasons (data not shown). No changes in seasonal primary production trends were observed in
 295 the Antarctic, Atlantic and Pacific Oceans and Polar and Westerlies biomes when photosynthetic
 296 parameters were adjusted by -1 standard deviation.

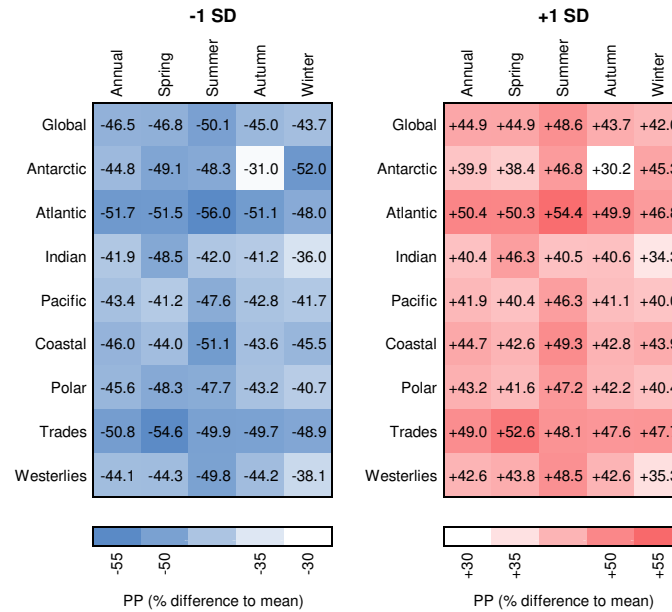


Figure 5. Percentage change in primary production (PP) for estimates based on mean photosynthesis versus irradiance (P-I) parameters ± 1 standard deviation compared with estimates based on mean P-I parameters. Mean percentage differences in annual and seasonal primary production for each ocean basin and biome are given. Data was obtained from model computations in which both P-I parameters were adjusted simultaneously and the light adaptation parameter (I_k) was unchanged.

297 3.4. Relationship between photosynthetic parameters and primary production

298 It was expected that the changes in the magnitude of global and regional primary production were
 299 driven by variations in photosynthetic parameters as all other input variables remained unchanged
 300 between the different model computations. When the relative change in primary production was
 301 compared with that of the P-I parameters for -1 SD and +1 SD estimates, variations were shown to be
 302 closely coupled (the light adaptation parameter I_k was unchanged) (Figure 6). Both the initial slope of
 303 the P-I curve (α^B) ($r^2 = 0.490$ for -1 SD and $r^2 = 0.508$ for +1 SD estimates) and the assimilation number
 304 (P_m^B) ($r^2 = 0.750$ for -1 SD and $r^2 = 0.719$ for +1 SD estimates) showed positive linear relationships
 305 with primary production for each season and biogeographic province. The weaker sensitivity of
 306 daily, water column primary production to change in α^B , relative to that of P_m^B , could be explained
 307 by the importance of α^B under light-limited conditions, as opposed to P_m^B whose effect is dominant
 308 at light-saturating conditions. It is important to note that the ratio of P_m^B to α^B (i.e. I_k) remained
 309 unchanged between these different estimates of primary production. Independent variations in α^B
 310 and P_m^B that modify I_k could lead to higher sensitivity of primary production to the change [100–103].
 311 The sensitivity analysis in which α^B and P_m^B were independently adjusted by ± 1 standard deviation
 312 (variable I_k) showed that changes in P_m^B caused greater variation in global annual primary production
 313 than changes in α^B (Figure 7). Significant relationships between P-I parameters and primary production
 314 were also observed when α^B and P_m^B were varied independently (-1 SD α^B : $y = 0.570x$, $r^2 = 0.836$; +1
 315 SD α^B : $y = 0.322x$, $r^2 = 0.440$; -1 SD P_m^B : $y = 0.741x$, $r^2 = 0.908$; +1 SD P_m^B : $y = 0.492x$, $r^2 = 0.733$).

316 When I_k increased (-1 SD α^B and $+1$ SD P_m^B), primary production became more sensitive to changes in
 317 P_m^B compared with those in α^B (see slope of relationships above).

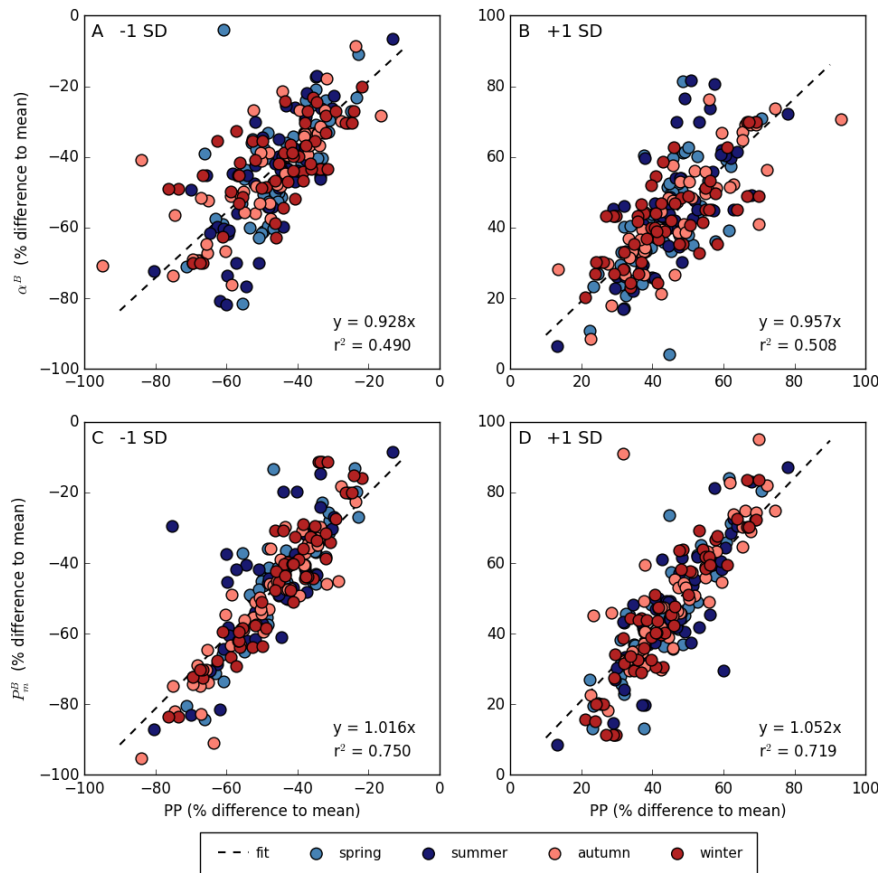


Figure 6. Relationship between photosynthesis versus irradiance (P-I) parameters and primary production (PP) expressed as percentage difference in A,B) the initial slope (α^B) and C,D) the assimilation number (P_m^B) of the P-I curve and primary production (PP) for -1 standard deviation (A,C) and +1 standard deviation (B,D) compared with mean P-I parameters estimates. Each point represents a biogeographic province and season for the period between 1998-2018.

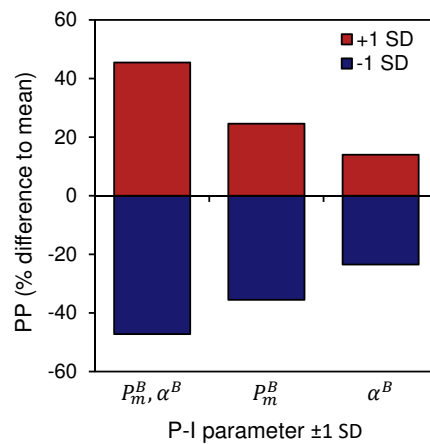


Figure 7. Percentage change in global annual primary production (PP) compared with estimates based on mean photosynthesis versus irradiance (P-I) parameters. Results from three different sensitivity analysis are given: 1) Both the initial slope (α^B) and assimilation number (P_m^B) of the P-I curve were adjusted by ± 1 standard deviation (SD) [P_m^B, α^B], 2) Only P_m^B was adjusted by ± 1 standard deviation [P_m^B], and 3) Only α^B was adjusted by ± 1 standard deviation [α^B].

318 3.5. Variation in photosynthetic parameters

319 In the global P-I parameter database α^B ranged between 0.002-0.085 mg C mg Chl-a⁻¹ h⁻¹ ($\mu\text{mol photons m}^{-2} \text{ s}^{-1}$)⁻¹ and P_m^B between 0.20-8.00 mg C mg Chl-a⁻¹ h⁻¹. Mean values for each
 320 biogeographic province ranged between 0.005 and 0.054 mg C mg Chl-a⁻¹ h⁻¹ ($\mu\text{mol photons m}^{-2} \text{ s}^{-1}$)⁻¹ for α^B and between 1.01 and 6.25 mg C mg Chl-a⁻¹ h⁻¹ for P_m^B (Table 1). Lowest mean
 321 values of α^B and P_m^B were observed in the Mediterranean (MEDI, summer) and Antarctic (ANTA, spring) provinces, whereas the highest values were observed in the Gulf Stream (GFST, winter) and
 322 Caribbean (CARB, summer) provinces, respectively (Table 1). Standard deviations varied between
 323 0.2 and 99.1% (average of 43.8%) for α^B and between 8.6 and 111.6% (average of 47.1%) for P_m^B
 324 (Table 1). Similar to observations reported in Bouman *et al.* [33], spatial and temporal variations in
 325 photosynthetic parameters could be related to local environmental conditions. Relationships between
 326 α^B and environmental conditions were variable between ocean basins and biomes resulting in relative
 327 weak relationships on a global scale (Figure 8). The initial slope α^B increased with daily photosynthetic
 328 active radiation (PAR) in the Atlantic and Indian Oceans and with nitrate concentrations in the
 329 Antarctic, Atlantic and Pacific Oceans (Figure 8). Positive relationships between α^B and chlorophyll-*a*
 330 were observed at mid latitudes (Trades biome), but a negative relationship was observed in the Coastal
 331 biome. The standard deviation of α^B increased at lower levels of PAR, at lower nitrate and silicate
 332 concentrations and at higher chlorophyll-*a* concentrations, but no other significant relationships with
 333 environmental parameters were observed (Figure 8). The assimilation number P_m^B showed overall
 334 stronger relationships with environmental conditions compared with α^B (Figure 8). Notably, P_m^B
 335 increased with temperature and PAR, possibly coinciding with latitudinal differences (Figure 8). The
 336 Pacific Ocean deviated from these results with an opposite trend observed between P_m^B and temperature
 337 at higher latitudes (data not shown). A negative relationship was observed between P_m^B and depth in
 338 all ocean basins and biomes, consistent with the known decline in P_m^B with decreasing temperature
 339 and light. P_m^B was generally lower at low phosphate concentrations, with strongest relationships
 340 observed in the Antarctic, Atlantic and Indian Oceans at higher latitudes in the Polar and Coastal
 341 biomes. Variation in P_m^B as expressed by the standard deviation increased at higher temperatures and
 342 lower latitudes (Figure 8). The standard deviation of P_m^B also showed a positive relationship with
 343 depth and negative relationships with nutrient conditions.

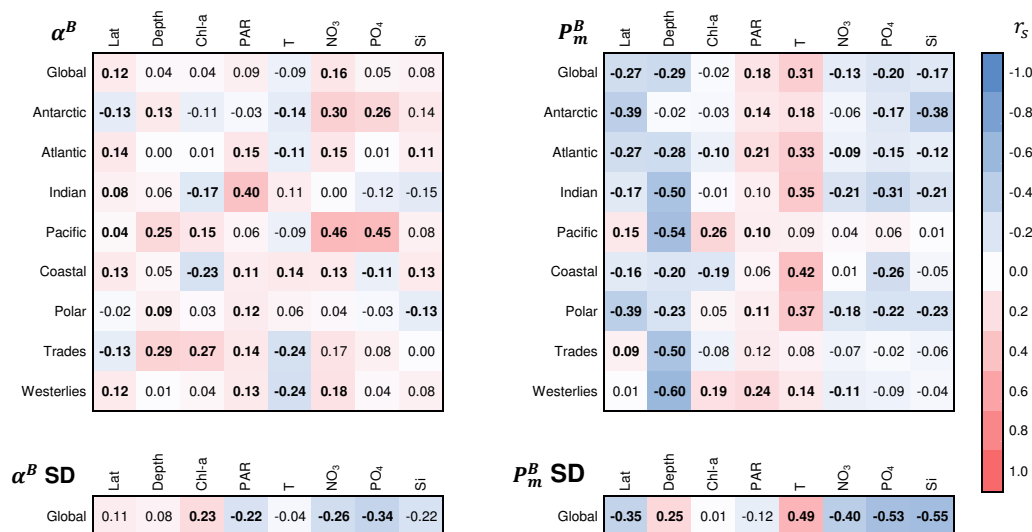


Figure 8. Spearman's Rank Correlation coefficients (r_s) for relationships between the mean and standard deviation (SD) of the initial slope (α^B) and the assimilation number (P_m^B) of the photosynthesis versus irradiance (P-I) curve and environmental variables available in the P-I database, including absolute latitude (Lat), depth, chlorophyll-*a* (Chl-a), daily photosynthetic active radiation (PAR), temperature (T), nitrate (NO₃), phosphate (PO₄) and silicate (Si). Significant relationships ($p < 0.05$) are given in bold.

4. Discussion

In the present study, a global database of photosynthesis versus irradiance (P-I) parameters, together with a 20-year time series of remote-sensing based chlorophyll-*a* concentrations, was used to study the magnitude and variability in marine primary production on a global scale. The estimate for global annual primary production of 38.8-42.1 Gt C y⁻¹ between 1998 and 2018 in this study was within the range reported before (32.0-70.7 Gt C y⁻¹) [5,104] and close to earlier reported values for depth- and wavelength-resolved primary production models (~44 Gt C y⁻¹) [2,4,5,7,22]. According to the model used in this study, primary production depends on phytoplankton biomass (in chlorophyll units), photosynthetic active radiation (PAR, 400-700 nm; total value and its spectral and angular distribution) and on the assigned values of the photosynthetic and chlorophyll-*a* profile parameters. Although the model does not explicitly include the effects of environmental variables such as temperature and nutrients, or mixed-layer dynamics, these were implicitly accounted for through the photosynthetic and chlorophyll-*a* profile parameters which were assigned by season and biogeographic province [2,16]. Based on an inter-comparison of various primary production models, it has been reported that primary production generally increases at higher chlorophyll-*a* concentrations, higher PAR and shallower mixed-layer depths, whereas variability in temperature could either increase or decrease primary production [4]. In the present study, trends in global and regional annual primary production were best explained by variations in chlorophyll-*a* concentration, which in turn may vary with seasonal, inter-annual and multi-decadal variations in physico-chemical conditions of the water column [17–19]. This study confirmed that global annual primary production varied with the ENSO and AMO [17–19,26], but not all variation in global annual primary production could be explained by large scale ocean-atmospheric oscillations. The previously reported negative (linear) trend in global annual primary production [25,27,28] was not observed in the present study. Instead a more dynamic pattern of inter-annual trends in primary production was revealed at global and regional scales (also see 26,29).

The assignment of photosynthetic parameters remains one of the major challenges in the assessment of global annual primary production using numerical models based on remote-sensing observations [31–34]. In this study, we have tackled this problem by assembling a database of around ten thousand observations that covered the majority of the biogeographic provinces of Longhurst [16]. The sensitivity of primary production to variations in the photosynthetic parameters was further studied by investigating the effect on primary production of changing P-I parameters from their mean values. P-I parameters may vary 2-10 fold among different biogeographic provinces (this study; 33,86,105). This variation may reflect natural variability, but might also be affected to some extent by small differences in measurement protocols from author to author [33,86]. In the database used here, we have tried to minimise the latter source of variability, for example by correcting α^B values for the spectral quality of the light from the lamp used in the P-I experiment (also see 33). A sensitivity analysis in which P-I parameters were adjusted by ± 1 standard deviation revealed that the variation in photosynthetic rates may lead to a decrease or increase in the magnitude of global annual primary production by 45-47%. Global annual primary production remained within the range of earlier observations (32.0-70.7 Gt C y⁻¹) [2,4,5,22] when both P-I parameters were adjusted by +1 standard deviation (+1 SD) (56.5-61.2 Gt C y⁻¹), but adjustments by -1 standard deviation (-1 SD) resulted in considerable lower global annual primary production rates (20.4-22.2 Gt C y⁻¹). Seasonal trends in global primary production were little affected as the magnitude of change in P-I parameters was similar among seasons. The sensitivity analysis illustrated the importance of the parameters that describe the relationship between phytoplankton biomass and PAR in the calculations of primary production, but adjusting P-I parameters by ± 1 standard deviation would represent the lower and upper limits of change in the photosynthetic response of phytoplankton cells. It would therefore be important to better understand the variability in P-I parameters and subsequent estimates of primary production under natural variations in environmental conditions and under global climate change.

Over the past three decades, considerable efforts have been made to establish a global database of P-I parameters (2,31,33,86; this study) and to decipher their empirical relationships with

397 physico-chemical and optical properties to enable prediction of photosynthetic parameters on regional
398 and global scales [38,40,41,105–108]. The observed relationships between physico-chemical conditions
399 and P-I parameters in the present study confirmed earlier observations that temperature may be a
400 good predictor of P_m^B , especially in coastal regions and temperate oceanic regions where temperature
401 and associated water column stability dictates seasonal changes in the taxonomic and size structure of
402 phytoplankton communities [40,86,109]. We note however, that the correlation coefficient between P_m^B
403 and temperature is nowhere higher than 0.42, indicating that the importance of other factors (such as
404 light and nutrient availability) in determining the variability in the assimilation number cannot be
405 ruled out. The temperature dependence of P_m^B is of particular interest for assigning photosynthetic rates
406 on regional and global scales as sea surface temperature (SST) can be obtained from remote-sensing
407 observations on similar spatial and temporal scales as chlorophyll-*a* concentrations. Moreover, SST is a
408 strong predictor of global climate change [24]. However, in regions with different underlying physical
409 forcing that experience a smaller range in temperature, such as the Arabian Sea and open ocean gyres,
410 the relationship between temperature and P_m^B is less obvious (this study; 40,41,105,107,110). In such
411 regions, chlorophyll-*a* concentration as well as the taxonomic and size structure of the phytoplankton
412 community may be better indicators of variability in P_m^B [38,41,86,107,108]. The initial slope of the P-I
413 curve (α^B) seems to be more difficult to predict based on empirical relationships with physico-chemical
414 conditions (this study, 41,86) and it has earlier been suggested that the simplest approach to estimate
415 α^B would be to relate α^B to the assimilation number [33,110,111]. This approach may be supported by
416 the strong dependence of I_K (P_m^B/α^B) on latitude and depth, two spatial indicators that can be seen as
417 general proxies of water column conditions [16,33,105].

418 The relationship between photosynthetic parameters and temperature is of particular interest in
419 understanding the scope of change in primary production under global climate change. Over the past
420 decades, SST has increased by 0.5 °C and is projected to increase a further 1.5–4.0 °C under different
421 CO₂ emission scenarios [24]. The rise in SST and subsequent changes in stratification and nutrient
422 loading into the euphotic zone are expected to affect phytoplankton growth and primary production
423 [23,24]. One estimate of a potential change in annual primary production arising from variations in
424 photosynthetic parameters under global climate change can be arrived at by using SST as the main
425 driver of change in P_m^B . Assuming a simplified linear relationship between P_m^B and temperature in
426 the Coastal biome (where temperature dependence of P_m^B was highest; $P_m^B = 0.13 * T + 1.82$, $r^2 =$
427 0.872 for $T < 20$ °C), P_m^B might be expected to increase by 8.3% under a rise of SST of +2 °C. Based
428 on the relationships between P_m^B and primary production estimates presented in this study (Figure
429 7; assuming I_K is unchanged), annual primary production in the Coastal biome could increase by
430 +0.69 Gt C y⁻¹. Depending on the specific relationship with temperature, variations in P-I parameters
431 and subsequent estimates of global primary production may vary on regional scales (for example
432 +13.4% in P_m^B in the Polar biome). The actual variation in P-I parameters and primary production under
433 global climate change would be more complex and the interplay between different physico-chemical
434 conditions will have a major effect on the direction of change.

435 5. Conclusions

436 It is the first time that highly quality-controlled, multi-sensor, inter-sensor-bias-corrected, ocean-colour
437 observations extending over some two decades have been combined with increased spatial and
438 temporal coverage of *in situ* observations of the photosynthetic parameters of phytoplankton, to
439 compute the magnitude and variability in primary production on a global scale. This has led to a
440 more accurate assessment of global annual primary production and its trends over the past 20 years.
441 Variability in global annual primary production could be related to inter-annual and multi-decadal
442 oscillations, such that the present record of ocean-colour observations is not of sufficient length
443 to detect trends associated with climate change [112]. Here, we report an inter-annual variability
444 (standard deviation) of ±2.9% around a mean of 40.7 Gt C y⁻¹ within the two decades studied. The
445 importance of accurately assigning photosynthetic parameters in global and regional calculations of

primary production has been illustrated by a sensitivity analysis. With the recent development of a global database of *in situ* measurements of P-I parameters [33] and the subsequent enhancement of the database (this study), photosynthetic parameters could be assigned to almost all biogeographic provinces as defined by Longhurst [16]. This considerably improved the confidence with which regional primary production can be estimated, especially in those regions that were previously known to be different from others, such as the Arabian Sea and the Antarctic Ocean [110]. Yet, the need to improve P-I data coverage in large areas of the global ocean still remains (this study, Figure 1; 33,49,86). In particular, large areas of the Pacific and Indian Ocean remain poorly sampled. Methods designed to assign photosynthetic parameters based on their relationships to other variables amenable to remote-sensing [106,110], could, in the future, lead to a more dynamic assignment of these parameters. Sea surface temperature and phytoplankton community size structure (this study; 33,38,40,41,86,105,107,108) could be suitable variables for further development of such methods for different ocean basins and biomes.

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Appendix A. Model of daily, water-column primary production

Appendix A.1. Phytoplankton biomass

In the model of Platt and Sathyendranath [31] and Sathyendranath *et al.* [49], ocean-colour remote-sensing products and a standard Gaussian function are used to calculate the distribution of phytoplankton biomass ($B(z)$ in mg m^{-3}) at depth. Depth profiles of chlorophyll-*a* were computed as a shifted Gaussian function:

$$B(z) = B_0 + \frac{h}{\sigma\sqrt{2\pi}} \exp - \left(\frac{(z - z_m)^2}{2\sigma^2} \right) \quad (\text{A1})$$

with

$$\rho' = \left(\frac{h}{\sigma\sqrt{2\pi}} \right) / \left(\left(\frac{h}{\sigma\sqrt{2\pi}} \right) + B_0 \right) \quad (\text{A2})$$

using the background biomass (B_0 in mg m^{-3}), the total peak biomass (h in mg m^{-2}), the depth of the chlorophyll maximum (z_m in m), the standard deviation around the peak value (σ in mg m^{-3}) and the ratio of the chlorophyll peak height to the total peak biomass at z_m (ρ' , dimensionless) [52].

Each profile parameter can vary independently, resulting in a versatile expression that can describe the biomass profile in a wide variety of oceanographic regimes. Profile parameters (h , σ and ρ') were obtained for 57 biogeographic provinces [16] and 4 seasons from an archived global database of 26,232 *in situ* chlorophyll-*a* measurements [2,101]. At each pixel, the profile parameters were scaled such that the surface biomass matched the satellite chlorophyll-*a* value. Phytoplankton biomass profiles were then used for calculating the underwater light field and for estimating primary production (see below).

Appendix A.2. Irradiance field

Spectrally-resolved irradiance at the sea surface was computed using a clear-sky model and expressed as the sum of a direct sunlight component and a diffuse skylight component. The surface direct and diffuse components were then scaled to match the daily Photosynthetic Active Radiation (PAR, 400-700nm) products from the National Aeronautics and Space Administration (NASA) (<https://oceancolor.gsfc.nasa.gov/>) and corrected for reflection and refraction at the sea surface assuming a flat ocean. The spectrally-resolved irradiance just below the surface was then used to construct the underwater light field ($I(z, \lambda, \theta)$ in $\mu\text{mol photons m}^{-2} \text{s}^{-1}$), as the sum of a direct (d) and a diffuse (s) component of solar irradiance [113]:

$$I(z, \lambda, \theta) = I_d(z - \Delta z, \lambda, \theta_d) e^{-K_d(z, \lambda)\Delta z} + I_d(z - \Delta z, \lambda, \theta_s) e^{-K_s(z, \lambda)\Delta z} \quad (\text{A3})$$

with

$$K_d(z, \lambda) = [a(z, \lambda) + b_b(z, \lambda)] (\cos \theta_d)^{-1} \quad (\text{A4})$$

$$K_s(z, \lambda) = [a(z, \lambda) + b_b(z, \lambda)] \langle \cos \theta_s \rangle^{-1} \quad (\text{A5})$$

where z is the depth (in m), λ is the wavelength (in nm), θ_d is the zenith angle of sun in water (in degrees), K_d is the light attenuation coefficient (in m^{-1}) for direct sunlight, K_s is the light attenuation coefficient (in m^{-1}) for diffuse skylight, $a(z, \lambda)$ is the volume absorption coefficient (in m^{-1}), $b_b(z, \lambda)$ is the backscattering coefficient (in m^{-1}) and $\langle \cos \theta_s \rangle$ is the mean cosine for the angular distribution of diffuse skylight after refraction at the sea surface [31,102]. The calculations make use of the chlorophyll-*a* profile to account for the influence of depth-dependent biomass on the light attenuation coefficient at each depth. The value of $a(z, \lambda)$ is expressed as the sum of the contributions to absorption from pure seawater, phytoplankton, coloured dissolved organic matter and detritus. Absorption by phytoplankton depends on the concentration of chlorophyll-*a* ($B(z)$):

$$a_B(z, \lambda) = a_B^*(\lambda) B(z) \quad (\text{A6})$$

where $a_B^*(\lambda)$ is the chlorophyll-*a* specific absorption coefficient (in $\text{m}^2 \text{mg Chl-a}^{-1}$) at wavelength λ . Concentrations of $B(z)$ were obtained from ocean-colour remote-sensing observations (see main text) and expressed as the sum of chlorophyll-*a* concentrations contained in three size classes: pico- (p), nano- (n) and microphytoplankton (m). Phytoplankton absorption $a_B(z, \lambda)$ was estimated as the sum of the contributions of pico-, nano- and microphytoplankton to total phytoplankton absorption following Brewin *et al.* [96,97]:

$$\begin{aligned} a_B(z, \lambda) = & a_p^*(\lambda) B_p^m [1 - \exp(-S_p B(z))] \\ & + a_n^*(\lambda) \{B_{p,n}^m [1 - \exp(-S_{p,n} B(z))] - B_p^m [1 - \exp(-S_p B(z))]\} \\ & + a_m^*(\lambda) \{B(z) - B_{p,n}^m [1 - \exp(-S_{p,n} B(z))]\} . \end{aligned} \quad (\text{A7})$$

Here, the size-specific absorption coefficients $a_p^*(\lambda)$, $a_n^*(\lambda)$ and $a_m^*(\lambda)$ (in $\text{m}^2 \text{mg Chl-a}^{-1}$) are the values reported by Brewin *et al.* [96] and the fitted parameters B_p^m ($0.13 \text{ mg Chl-a m}^{-3}$) and $B_{p,n}^m$ ($0.77 \text{ mg Chl-a m}^{-3}$) are the maximum concentrations attainable by picophytoplankton and combined pico- and nanophytoplankton, respectively. The parameters S_p ($6.15 \text{ m}^3 \text{ mg Chl-a}^{-1}$) and $S_{p,n}$ (1.26

499 $\text{m}^3 \text{mg Chl-a}^{-1}$) determine the rate of change in the chlorophyll-*a* concentrations associated with
 500 picophytoplankton and the combined concentration of pico- and nanophytoplankton with changes in
 501 total chlorophyll-*a* concentration (model parameters are from Brewin *et al.* [97]).

Similar to absorption, the total backscattering coefficient b_b used in equations (A4) and (A5) depends on back-scattering by pure seawater and by chlorophyll-*a* concentration, as in Sathyendranath *et al.* [114]:

$$b_b(z, \lambda) = b_{bw}(\lambda) + b_{bB}(z, \lambda) \quad (\text{A8})$$

502 with $b_{bw}(z, \lambda)$ being the backscattering coefficient of water according to Morel [115] and $b_{bB}(z)$
 503 the particle backscattering coefficient modelled as a function of chlorophyll-*a* concentration as in
 504 Sathyendranath *et al.* [114], following [116] and Loisel and Morel [117].

505 Appendix A.3. Daily primary production over the water column

The model of Platt and Sathyendranath [31], with the updates as in Sathyendranath *et al.* [49], uses a local algorithm based on surface biomass fields from ocean-colour remote-sensing, chlorophyll-*a* profile parameters, irradiance resolved with wavelength, angular distribution and depth, and photosynthesis versus irradiance (P-I) parameters to estimate water column primary production. Here, by the word “local” we imply that the model is implemented with parameters that are specific to the location and time. Primary production at depth z and time t ($P^B(z, t)$ in $\text{mg C mg Chl-a}^{-1} \text{h}^{-1}$) is given by:

$$P^B(z, t) = P_m^B(z, t) \left(1 - \exp \left[\frac{-\Pi^B(z, t)}{P_m^B(z, t)} \right] \right) \quad (\text{A9})$$

where

$$\Pi^B(z, t) = \int_{400}^{700} \alpha^B(z, t, \lambda) [I_d(z, t, \lambda, \theta_d) \sec \theta_d + 1.20 I_s(z, t, \lambda)] d\lambda \quad (\text{A10})$$

506 where $\alpha^B(z, t, \lambda)$ is the photosynthetic action spectrum (in $\text{mg C mg Chl-a}^{-1} \text{h}^{-1} (\mu\text{mol photons m}^{-2}$
 507 $\text{s}^{-1})^{-1}$) and integrals are taken over the range of PAR (400-700 nm) [31,37]. In equation (A10), the
 508 shape of $\alpha^B(z, t, \lambda)$ is scaled such that the mean value is equal to the non-spectral value of α^B for flat,
 509 white light [118] and the spectral shape of α^B is taken to be the same as that of the phytoplankton
 510 absorption spectrum. Note that the P-I parameters do not change with depth in the present primary
 511 production model.

512 Model calculations were performed at 9 km spatial resolution using a wavelength resolution of
 513 5 nm, a depth interval of 0.5 m from the surface to the euphotic depth (depth at which light is reduced to
 514 1% of its value at the surface) and at 12 time steps from dawn till local noon. The computed production
 515 at each depth and at each time step was summed over depth and time, and then multiplied by
 516 two to obtain daily, water column primary production. In the event of any missing data in monthly
 517 OC-CCI chlorophyll-*a* fields, the computed primary production in each biogeographic province and
 518 in each month was scaled to full coverage using the mean primary production and the area of that
 519 province, with a weighting function accounting for variability in PAR in the specific biogeographic
 520 province. Mean monthly production (in $\text{mg C m}^{-2} \text{d}^{-1}$) in each biogeographic province was then
 521 summed to obtain global annual primary production (in Gt C y^{-1}) for each year between 1998 and
 522 2018.

523 **Appendix B. Biogeographic provinces****Table A1.** List of biogeographic provinces according to Longhurst (2007).

Number	Basin	Biome	Acronym	Province
1	Atlantic	Polar	BPLR	Boreal Polar Province
2	Atlantic	Polar	ARCT	Atlantic Arctic Province
3	Atlantic	Polar	SARC	Atlantic Subarctic Province
4	Atlantic	Westerlies	NADR	North Atlantic Drift Province
5	Atlantic	Westerlies	GFST	Gulf Stream Province
6	Atlantic	Westerlies	NASW	North Atlantic Subtropical Gyral Province (West)
7	Atlantic	Trades	NATR	North Atlantic Tropical Gyral Province
8	Atlantic	Trades	WTRA	Western Tropical Atlantic Province
9	Atlantic	Trades	ETRA	Eastern Tropical Atlantic Province
10	Atlantic	Trades	SATL	South Atlantic Gyral Province
11	Atlantic	Coastal	NECS	Northeast Atlantic Shelves Province
12	Atlantic	Coastal	CNRY	Canary Current Coastal Province
13	Atlantic	Coastal	GUIN	Guinea Current Coastal Province
14	Atlantic	Coastal	GUIA	Guianas Coastal Province
15	Atlantic	Coastal	NWCS	Northwest Atlantic Shelves Province
16	Atlantic	Westerlies	MEDI	Mediterranean Sea, Black Sea Province
17	Atlantic	Trades	CARB	Caribbean Province
18	Atlantic	Westerlies	NASE	North Atlantic Subtropical Gyral Province (East)
19	Atlantic	Coastal	CHSB	Chesapeake Bay Province
20	Atlantic	Coastal	BRAZ	Brazil Current Coastal Province
21	Atlantic	Coastal	FKLD	Southwest Atlantic Shelves Province
22	Atlantic	Coastal	BENG	Benguela Current Coastal Province
30	Indian	Trades	MONS	Indian Monsoon Gyres Province
31	Indian	Trades	ISSG	Indian South Subtropical Gyre Province
32	Indian	Coastal	EAFR	Eastern Africa Coastal Province
33	Indian	Coastal	REDS	Red Sea, Arabian Gulf Province
34	Indian	Coastal	ARAB	Northwest Arabian Sea Upwelling Province
35	Indian	Coastal	INDE	Eastern India Coastal Province
36	Indian	Coastal	INDW	Western India Coastal Province
37	Indian	Coastal	AUSW	Australia-Indonesia Coastal Province
50	Pacific	Polar	BERS	North Epicontinental Sea Province
51	Pacific	Westerlies	PSAE	Pacific Subarctic Gyres Province (East)
52	Pacific	Westerlies	PSAW	Pacific Subarctic Gyres Province (West)
53	Pacific	Westerlies	KURO	Kuroshio Current Province
54	Pacific	Westerlies	NPPF	North Pacific Polar Front Province
55	Pacific	Westerlies	NPSE	North Pacific Subtropical Province (East)
56	Pacific	Westerlies	NPSW	North Pacific Subtropical Province (West)
57	Pacific	Westerlies	OCAL	Offshore California Current Province
58	Pacific	Westerlies	TASM	Tasman Sea Province
59	Pacific	Westerlies	SPSG	South Pacific Subtropical Gyre Province
60	Pacific	Trades	NPTG	North Pacific Tropical Gyre Province
61	Pacific	Trades	PNEC	North Pacific Equatorial Countercurrent Province
62	Pacific	Trades	PEQD	Pacific Equatorial Divergence Province
63	Pacific	Trades	WARM	Western Pacific Warm Pool Province
64	Pacific	Trades	ARCH	Archipelagic Deep Basin Province
65	Pacific	Coastal	ALSK	Alaska Coastal Downwelling Province
66	Pacific	Coastal	CCAL	California Upwelling Coastal Province
67	Pacific	Coastal	CAMR	Central American Coastal Province
68	Pacific	Coastal	CHIL	Chile-Peru Current Coastal Province
69	Pacific	Coastal	CHIN	China Sea Coastal Province
70	Pacific	Coastal	SUND	Sunda-Arafura Shelves Province
71	Pacific	Coastal	AUSE	Eastern Australian Coastal Province
72	Pacific	Coastal	NEWZ	New Zealand Coastal Province
80	Antarctic	Westerlies	SSTC	South Subtropical Convergence Province
81	Antarctic	Westerlies	SANT	Subantarctic Water Ring Province
82	Antarctic	Polar	ANTA	Antarctic Province
83	Antarctic	Polar	APLR	Austral Polar Province

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