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# Quantifying key model parameters for wheat leaf gas exchange under different environmental conditions



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#### Abstract

The maximum carboxylation rate of Rubisco ( $V_{cmax}$ ) and maximum rate of electron transport ( $J_{max}$ ) for the biochemical photosynthetic model, and the slope (m) of the Ball-Berry stomatal conductance model influence gas exchange estimates between plants and the atmosphere. However, there is limited data on the variation of these three parameters for annual crops under different environmental conditions. Gas exchange measurements of light and CO<sub>2</sub> response curves on leaves of winter wheat and spring wheat were conducted during the wheat growing season under different environmental conditions. There were no significant differences for  $V_{cmax}$ ,  $J_{max}$  or m between the two wheat types. The seasonal variation of  $V_{cmax}$ ,  $J_{max}$  and m for spring wheat was not pronounced, except a rapid decrease for  $V_{cmax}$  and  $J_{max}$  at the end of growing season.  $V_{cmax}$  and  $J_{max}$  show no significant changes during soil drying until light saturated stomatal conductance ( $g_{ssat}$ ) was smaller than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>. Furthermore, the misestimation of  $V_{cmax}$  and  $J_{max}$  had great impacts on the net photosynthesis rate simulation, whereas, the underestimation of m resulted in underestimated stomatal conductance and transpiration rate and an overestimation of water use efficiency. Our work demonstrates that the impact of severe environmental conditions and specific growing stages on the variation of key model parameters should be taken into account for simulating gas exchange between plants and the atmosphere. Meanwhile, modification of m and  $V_{cmax}$  (and  $J_{max}$ ) successively based on water stress

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severity might be adopted to simulate gas exchange between plants and the atmosphere under drought.

Keywords: biochemical photosynthetic model, stomatal conductance model, maximum carboxylation rate of Rubisco, maximum rate of electron transport, drought

# 1. Introduction

Gas exchange between leaf and the atmosphere plays a critical role for plant growth and survival in various environmental conditions (Kosugi and Matsuo 2006). It includes two main processes, the uptake of carbon dioxide from the atmosphere for photosynthesis and the release of water vapor from the plant for transpiration. These two processes are regulated by the aperture, or the conductance of stomas, on the leaf surface. However, multiple signal transduction mechanisms contribute to opening and closing of the aperture of stomas, involving both biochemical and biophysical aspects, and they are still not fully understood (Buckley and Mott 2013).

A large number of models have been commonly used in simulating stomatal conductance (Damour et al. 2010). Among others, the Ball-Berry (BB) Model (Ball et al. 1987) is the most commonly utilised empirical model. It estimates stomatal conductance  $(g_s)$  based on net photosynthesis  $(P_{\rm p})$ , carbon dioxide  $(C_{\rm s})$  and relative humidity  $(RH_{\rm s})$  at the leaf surface. In the BB Model, C and RH can be easily obtained by meteorological factors and plant leaf characters, whereas the  $P_n$  can not be observed easily or calculated directly from meteorological data. Therefore, the Biochemical Photosynthetic Model for computing P. (Farguhar et al. 1980) was adopted to couple with the BB Model for estimating gas exchange between plants and the atmosphere. The linking of these two models has proven to be hugely popular and has accurately simulated gas exchange for a large number of plant species at the leaf and canopy scales (Yu et al. 2002; Wang et al. 2006), as well as on regional and global scales (De Kauwe et al. 2015).

The maximum carboxylation rate of Rubisco ( $V_{cmax}$ ) and maximum rate of electron transport ( $J_{max}$ ) in the Biochemical Photosynthetic Model represent the intrinsic photosynthetic capacity of leaf (Egea *et al.* 2011). The slope (m) of the BB Model reflects a compromised relation between the benefits and costs of  $g_s$  relative to leaf photosynthetic activity (Ball *et al.* 1987). These three parameters are the most important model parameters for leaf gas exchange simulation. Misestimation of their values influences gas exchange simulation results greatly (Bauerle *et al.* 2014; Rogers 2014; Ali *et al.* 2015). Because it is time-consuming to obtain robust values by observation, these parameters have been mainly specified from literature and short-term measurements in field, pot or greenhouse. Meanwhile, identical and fixed values of these parameters have been used to simulate gas exchange in a large number of models for different species under various environmental conditions. However, their values vary not only with different species and functional types (Zhou et al. 2013), but also with diverse environmental conditions (Bunce 1998; Medlyn et al. 2002). At present, the great variation of biochemical photosynthetic model parameters and m for the BB Model between different species and plant functional types have been commonly accepted (Medlyn et al. 2002; Zhou et al. 2013; Miner et al. 2016). The impacts of environmental factors, such as temperature, carbon dioxide, water, and radiation, on these parameters also have been widely discussed (Bunce 1998; Muraoka et al. 2010; Ali et al. 2015; Miner and Bauerle 2017). However, the results of variation of these model parameters under different environmental conditions often disagree with each other. For example, several land surface models take m as a constant for plants under both well-watered and water deficit conditions, and they change  $V_{\rm cmax}$  and  $J_{\rm max}$  as drought develops (Sellers et al. 1996; Colello et al. 1998). On the contrary, a larger number of researchers suggest reducing m to simulate gas exchange between plant and the atmosphere as drought occurs (Beeck et al. 2010; Raab et al. 2015). Nevertheless, Xu and Baldocchi (2003) observed that m remained constant for blue oak during the whole growing season while severe water stress and extremely high air temperature affected the other two parameters,  $V_{\text{cmax}}$  and  $J_{\text{max}}$ .

Furthermore, a large number of previous researchers evaluated the effect of various environmental conditions on key model parameters, mainly focusing on trees (Baldocchi 1997; Xu and Baldocchi 2003; Osuna *et al.* 2015). Nevertheless, annual crops play critical roles in determining water and energy exchange between vegetation and the atmosphere in some areas of the world, especially in arid and semi-arid areas (Vote *et al.* 2015). Meanwhile, the life cycle of annual crops has unique characteristics. The whole growing season of annual crops is commonly limited to 1 year, and its morphological indexes might change greatly during a short period with different phenological stages (Ahuja *et al.* 2008). Recently, some crop models have used leaf gas exchange to simulate crop growth and yield formation, and to evaluate the effect of environmental factors on these processes taking global changes impact on crops into account (Fleisher *et al.* 2015; Masutomi *et al.* 2016; Seidel *et al.* 2016). This highlights the need for determining the variation of key model parameters of annual crops for accurate gas exchange simulation under different environmental conditions (Miner and Bauerle 2017, 2019).

Wheat (Triticum aestivum L.) is a typical annual crop, comprising the third largest crop in the world, and mainly grown in semi-humid, semi-arid and even some arid areas, under irrigation or totally rainfed condition (Wang et al. 2009). The prediction and quantification of carbon dioxide and water exchange for wheat cropland is very critical for agricultural water use and management in these areas. Hence, in this study, the leaf gas exchange of two wheat types during different growth stages under well-watered and drought conditions were measured. Meanwhile, a coupled model of the biochemical photosynthetic model and the BB Model was established. Our objectives were to: (1) quantify the variation of key parameters for the wheat leaf gas exchange model,  $V_{\rm cmax}$ ,  $J_{\rm max}$  and m, under different wheat growth stages, different water supply conditions and diurnal changes of meteorological factors; and (2) evaluate the sensitivity of the coupled model to key parameters in simulating gas exchange under different meteorological conditions.

## 2. Materials and methods

#### 2.1. Site description and experiments

The experiments for spring wheat were conducted in 2014, 2015 and 2017 at the Dingxi Arid Meteorology and Ecological Environment Field Experimental Station, Dingxi County of Gansu Province, Northwest China (35°33'N, 104°35'E, 1896.7 m elevation). The site has a typical semi-arid climate with average annual precipitation of 386 mm (Zhao and Wang 2014; Zhao *et al.* 2018) for a full description of spring wheat growing conditions in the study region.

Spring wheat seeds were planted both in pots filled with 14 kg of air-dried soil and in the field in 2014 and 2017. In 2015, only the pot experiment was carried out. The spring wheat variety was Dingxixin 24 in all 3 years and it was sown in late March each year. For the pot experiments, 20 pots were sown with spring wheat, and 10 of these were randomly assigned to a well-watered treatment, the other 10 to a drought treatment. In 2014 and 2015, the different treatments were conducted during jointing stage and the drought treatment consisted of withholding water during the flowering period in 2017. Field experiments in 2014 had two water treatments, well-watered and drought, which were carried out during the jointing stage. In 2017, the field spring wheat was treated with two water supply conditions,

one with enough water through the whole growth season and another under rainfed condition only. Air temperature, relative humidity (RH) and solar radiation were measured hourly at the experimental station.

The experiment for winter wheat was carried out at Yucheng Comprehensive Experiment Station (36°57'N, 116°36'E, 28 m elevation), Chinese Academy of Sciences, located in the North China Plain. Only one treatment was conducted at this station, winter wheat growing in the field with well-watered conditions. The experimental management and environmental conditions had been described by Yu *et al.* (2004) in detail.

#### 2.2. Gas exchange measurements

Gas exchange for spring wheat was measured by two portable steady-state photosynthetic systems (Li-6400, Li-Cor Inc., Lincoln, NE, USA). Two types of response curve of  $P_n$  to photosynthetically active radiation ( $P_n/Q_n$ ) and CO<sub>2</sub> concentration  $(P_{p}/C_{i})$  were conducted in different years using a red-blue LED artificial light source (Table 1). Two first fully expanded leaves, with four replications, were selected to produce the response curves. For spring wheat, the P\_/ Q<sub>n</sub> curve was mainly monitored during the jointing stage (s2) in the pots and from the tillering stage (s1) to the milk stage (s6) in the field during 2014 and 2015. The  $P_{\rm e}/C_{\rm i}$ curve was produced in 2017 at the anthesis stage (s4) in the pots and from s1 to s6 in the field. For  $P_{\rm p}/Q_{\rm p}$  curves, wheat leaves were acclimated in the chamber with leaf temperature at 25°C and Q<sub>p</sub> at 1500 µmol m<sup>-2</sup> s<sup>-1</sup> for more than 40 min before making measurements. The  $Q_{_{D}}$  used to generate the  $P_{n}/Q_{n}$  curves were 1800, 1500, 1200, 900, 600, 300, 200, 120, 60, 30, 15, and 0 µmol m<sup>-2</sup> s<sup>-1</sup> while the CO<sub>2</sub> concentration was at a constant value, 400 µmol m<sup>-2</sup> s<sup>-1</sup>. Meanwhile, the measurement of  $P_{\rm p}/Q_{\rm p}$  curves under four different air temperatures (20, 25, 30, and 35°C) was conducted for spring wheat growing in the field during s2, to validate the coupling model. For production of  $P_n/C_i$ curves, before making measurements, wheat leaves were also acclimated in the chamber with a Q of 1500 µmol m<sup>-2</sup> s<sup>-1</sup>, but two constant leaf temperatures at 25 and 30°C, were adopted, respectively. Then the CO<sub>2</sub> concentration was changed sequentially at 400, 200, 100, 50, 400, 600, 800, 1000, and 1200 µmol mol<sup>-1</sup>. During measurement of the two types of curves, RH in the chamber was varied at (40±15)%. The minimum of 120 s and the maximum of 240 s were adopted respectively for individual measurements of each curve response to reach a steady state. Diurnal changes of gas exchange parameters for spring wheat under well-watered and rainfed conditions were monitored by Li-6400 with sunlight and free air conditions on 19 May in 2017 (day 57 after sowing, at s2). Three typical periods were

When the the	Treatment		Veer	Cotogon ( of mosouromont <sup>1</sup> )	Stage <sup>2)</sup>	
wheat type	Pot/Field	Pot/Field Well-watered/Drought		Category of measurement?		
Winter wheat	Field	Well-watered	2003	P <sub>n</sub> /C <sub>i</sub>	s2–s4	
	Pot	Well-watered	2014, 2015		s2	
	Pot	Drought	2014, 2015	P,/Q	s2	
	Field	Well-watered	2014		s1–s6	
	Field	Drought	2014		s4, s5	
Spring wheat	Pot	Well-watered	2017		s3	
	Pot	Drought	2017		s3	
	Field	Well-watered	2017		s1–s6	
	Field	Drought	2017		s4, s5, s6	
	Field	Well-watered	2017	Sunlight	s3	

Table 1 Experimental information for leaf gas exchange observation of winter wheat and spring wheat growing in pots and the field for different years

<sup>1)</sup> P<sub>n</sub>, net photosynthesis; C<sub>i</sub>, intercellular CO<sub>2</sub>; Q<sub>p</sub>, photosynthetically active radiation.

<sup>2)</sup>s1, tillering stage; s2, jointing stage; s3, booting and heading stage; s4, anthesis stage; s5, grain-filling stage; s6, milk stage.

chosen to be analysed in this study, morning (08:00–11:00), noon (11:00–14:00) and afternoon (14:00–17:00).

At Yucheng Comprehensive Experiment Station, the  $P_n/C_i$  curve for winter wheat growing in the field under wellwatered conditions was measured in approximately 1 week intervals, during late s2, whole booting and heading stages (s3) and early s4. The  $P_n/C_i$  curve was produced by the same approach for spring wheat under two controlled leaf temperatures, 25 and 30°C.

# 2.3. Calculation of model parameters and model validation

The coupling models and parameters for leaf gas exchange simulation are described and summarized in Appendices A and B. The input variables of the coupling models are radiation, temperature, RH, and  $CO_2$  concentration and there are nine unknowns ( $P_n$ ,  $g_s$ , leaf temperature ( $T_L$ ), vapor pressure at the leaf surface ( $e_s$ ),  $C_s$ ,  $C_i$ , total water vapor conductance ( $g_v$ ), heat conductance for boundary layer ( $g_n$ ), and radiative conductance ( $g_r$ ), refer to Appendix B). A nested iterative procedure was adopted to obtain these unknown variables numerically (Kim *et al.* 2003). The  $T_L$  was assumed to be equal to air temperature and  $C_i$  was at  $0.7C_a$  firstly, and then used to estimate the  $P_n$  and  $g_s$ . The coupled mathematical model in this study was written and run by the computer programming language, Fortran90.

The  $P_n/C_i$  curve was used to estimate parameters  $V_{cmax}$ and  $J_{max}$ . As  $P_n$  was limited by Rubisco when  $C_i$  was less than 150 µmol mol<sup>-1</sup>,  $V_{cmax}$  was estimated based on eq. (A2) in Appendix A. However, as  $P_n$  was limited by the regeneration of RuBP at higher  $C_i$  exposures, greater than 250 µmol mol<sup>-1</sup>,  $J_{max}$  was determined by eqs. (A3) and (A4) in Appendix A. Furthermore, the data of the  $P_n/C_i$  curve collected during s2 to s4 were used for comparison of  $V_{cmax}$ and  $J_{max}$  under different temperatures between the two different wheat types.

To estimate the parameter m by eq. (A10) in Appendix A, we mainly used the data when  $Q_n$  was higher than 150  $\mu$ mol  $m^{-2}~s^{-1}$  and the  $CO_{_2}$  concentration greater than 100  $\mu mol$ mol-1, as recommended by previous researchers (Miner et al. 2016). For calculating m for winter wheat, the data of the  $P_{\rm p}/C_{\rm i}$  curve during s2 to early s4 were used. To compare the m values between winter wheat and spring wheat, the data of the  $P_{p}/C_{i}$  curve for spring wheat growing in the field in 2017 during s2 to s4 were used. The seasonal variation of m was calculated based on data of the  $P_p/Q_p$  curve for spring wheat growing in the field under well-watered conditions at s1, s2, s3, s4, grain-filling stage (s5), and s6. Photosynthetic parameters with Q<sub>n</sub> at 1500 µmol m<sup>-2</sup> s<sup>-1</sup> (defined as light saturated condition), temperature at 25°C and CO<sub>2</sub> at 400  $\mu$ mol mol<sup>-1</sup> from the  $P_n/Q_n$  curve in 2014 and 2015 and the  $P_n/C_i$  curve in 2017 for spring wheat both growing in pots and the field under different water conditions were used to calculate m. Hence, other environmental factors have relatively small impacts on m estimation under different water conditions.

The coupled model was tested by validation data sets. The data sets included the response of the main photosynthetic parameters,  $P_n$ , transpiration ( $T_r$ ),  $g_s$ , and water use efficiency (WUE,  $P_n/T_r$ ), to varied  $Q_p$  under four different ambient temperatures, 20, 25, 30, and 35°C.

#### 2.4. Sensitivity analyses

Sensitivity analyses were conducted to determine the impact for variation of key model parameters ( $V_{cmax}$ ,  $J_{max}$ , and m) on gas exchange simulation under diurnally meteorological conditions. Each parameter's effect was evaluated individually, and one parameter changed while other parameters were kept constantly. We chose a typical day in the Dingxi region during the spring wheat growing

season and set each parameter to vary from a given value to –30% of it, and then compare the simulated results. Each parameter's effect (PE) was calculated as follows:

 $PE (\%) = (S_{s} - S_{a}) \times 100/S_{a}$ (1)

where  $S_s$  is the simulated gas exchange parameters under 30% reduced key parameters and  $S_g$  is the simulated gas exchange parameters for a given key model parameter.

#### 2.5. Redefinition of water supply condition

Due to the impact of different environmental conditions on drought occurrence, and adaptation strategies of plant to drought, plants would not suffer water stress immediately after withholding water supply. Therefore, we need to redefine water supply condition accurately for wheat in the current study. Medrano et al. (2002) suggested that g under light saturated condition  $(g_{ssat})$  can be used as a reference of water stress indicator. Various water stress levels, mild, moderate, and severe, can be classified by  $\boldsymbol{g}_{\rm ssat}$  at 0.15 and 0.05 mol m<sup>-2</sup> s<sup>-1</sup> for C<sub>3</sub> crops (Cifre et al. 2005). In the current study, we adopted this approach instead of soil water content to divide spring wheat growing in pots and the field into two main water supply conditions. Spring wheat grown in pots and the field with  $g_{ssat}$  greater than 0.15 mol m<sup>-2</sup> s<sup>-1</sup> were defined as well-watered conditions (potww and fieldww). Spring wheat growing in pots and the field with  $g_{exact}$ less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup> were defined as water-stressed conditions (potdr and fielddr).

#### 2.6. Statistical analyses

Non-linear regressions were used to calculate  $V_{cmax}$  and  $J_{max}$  and linear regressions were used to estimate m. The differences of  $V_{cmax}$  and  $J_{max}$  for spring wheat and winter wheat under different environmental conditions were tested by variance analysis. Linear, non-linear regressions and variance analysis were accomplished in R Software with functions of 'Im', 'nls', and 'TukeyHSD' (R Development Core Team 2014). Results were considered 'significant' as *P*-value less than 0.05. Before conducting statistical tests, data used in the study were examined to ensure homogeneity and normality of variances.

## 3. Results

### 3.1. Values of $V_{\text{cmax}}$ and $J_{\text{max}}$ for wheat

Comparison of  $V_{cmax}$  and  $J_{max}$  for winter wheat and spring wheat The average  $V_{cmax}$  for spring wheat at 25°C was about 95.9 µmol m<sup>-2</sup> s<sup>-1</sup>, vs. 107.5 µmol m<sup>-2</sup> s<sup>-1</sup> for winter wheat (Fig. 1-A), but there was no significant difference (*P*>0.05). As temperature increased, the  $V_{cmax}$  for both wheat types decreased significantly, and  $V_{cmax}$  was 76.1 µmol m<sup>-2</sup> s<sup>-1</sup> for spring wheat and 90.6 µmol m<sup>-2</sup> s<sup>-1</sup> for winter wheat at 30°C.

The average  $J_{\text{max}}$  values at 25°C were about 214.1 and 186.8 µmol m<sup>-2</sup> s<sup>-1</sup> for spring wheat and winter wheat, respectively (Fig. 1-B), and there was no significant difference. Meanwhile,  $J_{\text{max}}$  decreased for spring wheat and winter wheat as temperature increased to 30°C. However, there were no significant differences for  $J_{\text{max}}$  between the two temperatures for either wheat type.

Seasonal variation of  $V_{cmax}$  and  $J_{max}$  The  $V_{cmax}$  for spring wheat varied slightly from s1 to s5 and declined sharply at s6 (Fig. 2-A). The average  $V_{cmax}$  values for spring wheat were 93.1, 101.5, 95.1, 88.3, and 84.9 µmol m<sup>-2</sup> s<sup>-1</sup> at s1, s2, s3, s4, and s5, respectively. There were no significant differences of  $V_{cmax}$  among these stages. However, the  $V_{cmax}$  at s6, 78.2 µmol m<sup>-2</sup> s<sup>-1</sup>, was significantly lower than the value at s2.

The  $J_{\text{max}}$  for spring wheat at s1, s2, s3, s4, and s5 had no significant differences between them, whereas,  $J_{\text{max}}$ 



**Fig. 1** Comparison of maximum carboxylation rate ( $V_{cmax}$ , A) and maximum electron transport capacity ( $J_{max}$ , B) under two different temperatures for well-watered spring wheat and winter wheat (n=3 to 10). sw25, spring wheat under 25°C. ww30, winter wheat under 30°C. Different lowercase letters indicate differences at 0.05 significance level with each treatment. The cross and horizontal lines inside each box are the average and median, respectively, the upper and lower end points of each box are the upper and lower quartile, respectively, and whiskers are the 1.5 times the interquartile ranges.



**Fig. 2** Variation of maximum carboxylation rate ( $V_{cmax}$ , A) and maximum electron transport capacity ( $J_{max}$ , B) under different stages for well-watered spring wheat (n=3 to 5). s1–s6, tillering, jointing, booting, anthesis, grain-filling, and milk-ripe stages, respectively. Different lowercase letters indicate differences at 0.05 significance level with each treatment. The cross and horizontal lines inside each box are the average and median, respectively, the upper and lower end points of each box are the 1.5 times the interquartile ranges.

at these five stages were significantly larger than at s6 (Fig. 2-B). Additionally, unlike the maximum  $V_{cmax}$  obtained at s2, the  $J_{max}$  at s4, 242.1 µmol m<sup>-2</sup> s<sup>-1</sup>, was larger than other five stages.

**Variation of**  $V_{cmax}$  **and**  $J_{max}$  **under different water conditions** The response of  $V_{cmax}$  to  $g_{ssat}$  both in the field and pot experiments, showed two totally different stages (Fig. 3-A). When  $g_{ssat}$  was greater than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>, the  $V_{cmax}$  of spring wheat in the field showed no significant variation with the change of  $g_{ssat}$ , and it had a slightly decreasing trend for spring wheat in the pots (Table 2). Meanwhile, the statistical test of  $V_{cmax}$  for spring wheat grown in both pots compared with the field under well-watered conditions showed no significant difference (Fig. 3-B). However, when  $g_{ssat}$  was less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>, the  $V_{cmax}$  of spring wheat decreased sharply, especially for spring wheat growing in pots. Meanwhile, the average  $V_{cmax}$  for spring wheat at water-stressed conditions was significantly smaller than it was under well-watered conditions.

Furthermore, the  $V_{\text{cmax}}$  for spring wheat growing in pots and the field were significantly different. The difference might be due to the spring wheat grown in the field suffering less severe water deficit compared with the pots.

Similarly, the variation of  $J_{max}$  for spring wheat growing in pots and the field against  $g_{ssat}$  fell into two apparently different stages, divided by  $g_{ssat}$  at 0.15 mol m<sup>-2</sup> s<sup>-1</sup> (Fig. 3-C). These  $J_{max}$  values changed slightly as  $g_{ssat}$  decreased from 0.6 to 0.15 mol m<sup>-2</sup> s<sup>-1</sup> (*P*>0.05) (Table 2), and there were no significant difference in  $J_{max}$  between spring wheat grown in pots and the field (Fig. 3-D). However, as  $g_{ssat}$  decreased from 0.15 to 0 mol m<sup>-2</sup> s<sup>-1</sup>,  $J_{max}$  of spring wheat both in the field and pots decreased significantly, and the average  $J_{max}$ values were significantly different from their values under well-watered condition.

**Relationships between**  $V_{cmax}$  and  $J_{max}$  As spring wheat developed, the  $J_{max}/V_{cmax}$  increased from 2.05 at s1 to 2.75 at s5, and declined sharply at s6, down to 1.88 (Fig. 4-A). However, there were no significant difference of  $J_{max}/V_{cmax}$  among these six stages.

There was no significant difference between  $J_{max}/V_{cmax}$  for winter wheat (1.77) and spring wheat (2.06) grown in the field under well-watered conditions (Fig. 4-B). However, the  $J_{max}/V_{cmax}$  for spring wheat grown in pots under wellwatered conditions was 2.23, which was significantly larger than spring wheat grown in the field under well-watered condition. Meanwhile, when spring wheat suffered water deficit in both pots and the field, the  $J_{max}/V_{cmax}$  decreased. However, there was no significant difference of  $J_{max}/V_{cmax}$ for spring wheat under different water conditions, whether grown in pots or the field.

#### 3.2. Values of m for the stomatal conductance model

**Comparison of m for winter wheat and spring wheat** The BB index ( $P_n$ RH/ $C_s$ ) had a significant relationship with  $g_s$  for both wheat types, winter wheat and spring wheat grown in the field under well-watered conditions (Fig. 5). There was no significant difference between the m for winter wheat (12.172) and spring wheat (11.991) (Table 3). However, for a given BB index, the stomatal conductance of winter wheat was always slightly higher than that of the spring wheat. Combining the data of spring wheat and winter wheat, the m was 11.166 and  $g_0$  was 0.021 mol m<sup>-2</sup> s<sup>-1</sup>.

**Seasonal variation of m for spring wheat** At different growth stages for spring wheat under well-watered conditions, there was no apparent trend for the change of m (Fig. 6; Table 3). The m at s2 was 10.4, which was slightly higher than the values at s1, s3, s4, and s6, but with no significant differences. However, the m at s5 was 11.04, which had a significant difference with the slope in s6, 9.038. The overall m for spring wheat from s1 to s6 was 10.097,



**Fig. 3** Variation of maximum carboxylation rate ( $V_{cmax}$ , A and B) and maximum electron transport capacity ( $J_{max}$ , C and D) under different water conditions for spring wheat growing in pots and the field (n=10 to 19). Potww, spring wheat growing in pots with  $g_{ssat}$  greater than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Potdr, spring wheat growing in pots with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fieldww, spring wheat growing in the field with  $g_{ssat}$  greater than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fieldwr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>. Different capital letters above or below the boxes indicate differences at 0.01 significance level with each treatment. The cross and horizontal line inside each box are the average and median, respectively, the upper and lower end points of each box are the upper and lower quartiles, respectively, and whiskers are 1.5 times the interquartile ranges.

**Table 2** Response of maximum carboxylation rate of Rubisco ( $V_{cmax}$ ) and maximum rate of electron transport ( $J_{max}$ ) to light saturated stomatal conductance ( $g_{ssat}$ ) under different water supply conditions

Variable	Growth condition <sup>1)</sup>	Slope	Intercept	$R^2$
V <sub>cmax</sub>	Potww	45.224	70.482	0.308*
	Fieldww	_	_	-
	Potdr	377.332	6.294	0.934***
	Fielddr	-	-	-
	Potww+Fieldww	_	-	_
	Potdr+Fielddr	442.397	8.014	0.456***
J <sub>max</sub>	Potww	_	_	-
index.	Fieldww	_	-	-
	Potdr	736.542	9.616	0.897***
	Fielddr	956.195	5.132	0.488**
	Potww+Fieldww	104.064	159.719	0.136*
	Potdr+Fielddr	891.936	5.709	0.672***

<sup>1)</sup> Potww, spring wheat growing in pots with  $g_{ssat}$  greater than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fieldww, spring wheat growing in the field with  $g_{ssat}$  greater than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing in the field with  $g_{ssat}$  less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup>; Fielddr, spring wheat growing wh

-, the significance level of the relation between  $V_{cmax}$  or  $J_{max}$  and  $g_{ssat}$  greater than 0.05, and the slope and intercept not shown. , and , indicates significance level at 0.05, 0.01 and 0.001, respectively.



Fig. 4 Variation of ratios between maximum carboxylation rate  $(V_{cmax})$  and maximum electron transport capacity  $(J_{max})$  during different growth stages for spring wheat (A) and under different water condition for winter wheat and spring wheat (B). s1–s6, tillering, jointing, booting and heading, anthesis stage, grain-flling, and milk stages, respectively. Fwww, winter wheat growing in the field under well-watered condition; Fswww, spring wheat growing in the field under well-watered condition; Fswdr, spring wheat growing in the field under drought condition; Pswww, spring wheat growing in pots under well-watered condition; Pswdr, spring wheat growing in pots under drought condition. The same lowercase letters above or below boxes indicate no differences between each treatment. The cross and horizontal lines inside each box are the average and median of 3-19 individual measurements per treatment, respectively, the upper and lower end points of each box are the upper and lower quartile, respectively, and whiskers are 1.5 times the interguartile ranges.

# and the $g_0$ was 0.038 mol m<sup>-2</sup> s<sup>-1</sup>.

**Diurnal change of m for spring wheat** The BB index had significant relationships with stomatal conductance at different times of the day for spring wheat growing in the field (Fig. 7-A). However, the m in the morning was 17.03, which was significantly higher than the values at noon and in the afternoon (Table 3). There was no significant difference between m at noon and in the afternoon. Meanwhile, the relationship between  $P_n$  and  $g_s$  was also significantly different at various time of the day (Fig. 7-B). The slope of  $P_n-g_s$  in the morning was significantly lower than the slope at noon and in the afternoon (Table 4). There was no significant difference of the  $P_n-g_s$  slope between noon and in the afternoon. We found leaf temperature in the morning was

apparently lower than the temperature at noon and in the afternoon, and RH was higher than the value at noon and in the afternoon.

Variation of m for spring wheat under different water supply conditions There existed significant relationships between the BB index and  $g_{ssat}$  for spring wheat, when both grown in pots and the field under either well-watered or water-stressed conditions (Fig. 8-A). However, we found the m under well-watered condition was significantly greater than the value under water-stressed conditions (Table 3). The m was 10.6 and 10.8 in the field and pots under wellwatered condition, respectively, whereas it was 7.5 and 6.9 under water-stressed condition in the field and pots, representing decreases of 29.7 and 36.3%, respectively. Combining the data of pots and the field, the m was 10.5 for well-watered spring wheat, vs. 7.3 under water-stressed conditions, a decrease of up to 31%.

As shown in Fig. 8-B and Table 4, the relationship between  $P_n$  and  $g_{ssat}$  for spring wheat under different water conditions was significantly different, both grown in pots and the field. The  $P_n-g_{ssat}$  slope under well-watered conditions was apparently lower than the slope under water-stressed conditions. There was no significant difference of the  $P_n-g_{ssat}$  slope between spring wheat growing in pots and the field both under well-watered and water-stressed conditions.

# 3.3. Parameter perturbation and sensitivity of gas exchange simulation

The comparison between observed gas exchange and simulated gas exchange showed the coupling model could simulate leaf gas exchange successfully (Appendix C). By using the model, key model parameters were perturbed individually, which consisted of altering the value of each parameter from given set of values (120 and 200 µmol m<sup>-2</sup> s<sup>-1</sup> and 12 for  $V_{cmax}$ ,  $J_{max}$ , and m, respectively) to decreased them one-at-a-time by 30% while the others were kept constant. During a typical sunny day (Appendix C), the diurnal trends of  $P_n$ ,  $T_r$ ,  $g_s$ , and WUE with different key model parameters perturbation were nearly identical with the values calculated based on the given set of parameters (Fig. 9). However, it was shown that the values of  $P_n$ ,  $T_r$ ,  $g_s$ , and WUE were totally different from each other by parameter perturbation during the various time of the day.

For the 30% decreased value of  $V_{cmax}$ , the  $P_n$  was apparently lower than the normal treatment nearly all day (Fig. 9-A). The greatest difference, up to 30%, occurred at 11:00 as the  $P_n$  was at its maximum for the normal treatment during the day (Fig. 9-B). For  $T_r$  and  $g_s$ ,  $V_{cmax}$ perturbation showed a slight decrease compared with the normal value (Fig. 9-C). The greatest difference occurred



**Fig. 5** Relationship between observed stomatal conductance  $(g_s)$  and the Ball-Berry Model index  $(P_n \text{RH}/C_s)$  for winter wheat and spring wheat under well-watered conditions from jointing to anthesis stages. sw, spring wheat; ww, winter wheat.  $P_n$ , net photosynthesis rate; RH, relative humidity;  $C_s$ , carbon dioxide.

later in the day, while  $T_r$  and  $g_s$  were at their maxima for the normal treatment, and the differences were not up to 30% (Fig. 9-C–F). Meanwhile, the perturbation of  $V_{cmax}$  showed nearly no effect on the diurnal variation of WUE (Fig. 9-G and H). The perturbation of  $J_{max}$  had nearly same effect on the variation of diurnal gas exchange at the perturbation of  $V_{cmax}$ . However, the differences between perturbed and normal values for  $P_n$ ,  $T_r$  and  $g_s$  were slightly smaller than the difference of perturbation of  $V_{cmax}$  and the normal values, especially during the time from 10:00 to 18:00 (Fig. 9-A–F).

Compared with the perturbation of  $V_{cmax}$  and  $J_{max}$ , the decreased m value showed a very different effect on the diurnal variation of gas exchange. It decreased the  $P_n$  slightly as the normal treatment obtained the maximum  $P_n$ , but it had greater influence as  $P_n$  decreased in the afternoon (Fig. 9-A and B). The greatest difference for  $P_n$  between the normal and changed values of m occurred around noon. Meanwhile, perturbation of m had a great impact on the diurnal variation of  $T_r$  and  $g_s$ , and they decreased up to 30% nearly all day, sometimes even greater than 30% (Fig. 9-C–F). Furthermore, the decreasing of m apparently increased the WUE (Fig. 9-G and H), especially during the

**Table 3** Variation of slope (m) and intercept  $(g_o)$  of Ball-Berry stomatal conductance model for winter wheat and spring wheat growing under different environmental conditions

Wheat type	Label <sup>1)</sup>	Stage –	Growth condition		~	<b>D</b> 2	
			Well-watered/Water-stressed	Pot/Field		$g_{_{ m o}}$	K'
Spring wheat	SW	s2–s4	Well-watered	Field	11.991 a	-0.039	0.899***
Winter wheat	ww	s2–s4	Well-watered	Field	12.172 a	0.023	0.773***
Spring wheat+ Winter wheat	sw+ww	s2–s4	Well-watered	Field	11.166	0.021	0.731***
Spring wheat	sws1	s1	Well-watered	Field	9.451 ab	0.025	0.926***
	sws2	s2	Well-watered	Field	10.419 ab	-0.002	0.948***
	sws3	s3	Well-watered	Field	9.572 ab	0.064	0.735***
	sws4	s4	Well-watered	Field	9.044 ab	0.091	0.826***
	sws5	s5	Well-watered	Field	11.040 b	-0.010	0.942***
	sws6	s6	Well-watered	Field	9.038 a	0.103	0.751***
	s1–s6	s1–s6	Well-watered	Field	10.097	0.038	0.775***
Spring wheat	Fieldww	s2, s3, s4, s5	Well-watered	Field	10.645 B	0.010	0.774***
	Potww	s2, s4	Well-watered	Pot	10.837 B	-0.008	0.686***
	Fielddr	s4, s5	Water-stressed	Field	7.485 A	0.024	0.889***
	Potdr	s2, s4	Water-stressed	Pot	6.905 A	0.010	0.848***
	Potww+Fieldww	s2–s5	Well-watered	Field+Pot	10.688 B	0.0033	0.730***
	Potdr+Fielddr	s2, s4, s5	Water-stressed	Field+Pot	7.239 A	0.024	0.852***
	Potww+Fieldww+ Potdr+Fielddr	s2–s5	Well-watered+Water-stressed	Field+Pot	10.494	0.006	0.928***
Spring wheat	Morning	s3	Well-watered	Field	17.029 A	-0.048	0.836***
	Noon	s3	Well-watered	Field	12.702 B	0.017	0.979***
	Afternoon	s3	Well-watered	Field	11.564 B	0.036	0.910***
	All day	s3	Well-watered	Field	13.809	0.020	0.965***

<sup>1)</sup> sw, spring wheat; ww, winter wheat; s1–s6, tillering, jointing, booting and heading, anthesis, grain-filing, and milk stages, respectively. Fieldww, wheat growing in field under well-watered condition; Potww, wheat growing in pots under well-watered condition; Fielddr, wheat growing in field under drought condition; Potdr, wheat growing in pots under drought condition. Morning, observation at 08:00– 11:00: noon. observation at 11:00–14:00: afternoon. observations at 14:00–17:00.

11:00; noon, observation at 11:00–14:00; afternoon, observations at 14:00–17:00. indicates significance level at 0.001. Different lowercase letters indicate differences at 0.05 significance level; different uppercase letters indicate differences at 0.01 significant level. time from 07:00 to 12:00 when the  $g_s$  was relatively high.

# 4. Discussion

# 4.1. Variation of $V_{\rm cmax}$ and $J_{\rm max}$ for wheat under different conditions

The  $V_{\rm cmax}$  of spring wheat and winter wheat under wellwatered conditions at 25°C were nearly identical to those



**Fig. 6** Relationship between observed stomatal conductance  $(g_s)$  and the Ball-Berry Model index  $(P_n \text{RH/C}_s)$  for spring wheat during different growth stages.  $P_n$ , net photosynthesis rate; RH, relative humidity;  $C_s$ , carbon dioxide.

in previous reports, (88.14±6.3) to (108.44±8.2) µmol m<sup>-2</sup> s<sup>-1</sup> for four varieties wheat of Katarina et al. (2016), for instance. The  $J_{max}$  of spring wheat and winter wheat under well-watered conditions at 25°C were in the range of seasonal variation for winter wheat reported in Sun et al. (2015), although they took mesophyll conductance into account when calculating  $V_{\text{cmax}}$  and  $J_{\text{max}}$ . Meanwhile, we found the photosynthesis rate for wheat under wellwatered conditions in the current study was higher than in most trees (Sala and Tenhunen 1996), and so was the  $V_{\rm cmax}$  and  $J_{\rm max}$  (Kosugi and Matsuo 2006). However, as the  $V_{\rm cmax}$  and  $J_{\rm max}$  for wheat were comparable with the maximum values of blue oak (Xu and Baldocchi 2003); the  $P_{nmax}$  (net photosynthesis rate obtained under saturating Q<sub>n</sub>) of blue oak at the time with the highest  $V_{cmax}$  and  $J_{max}$  was nearly identical to the values in this study, i.e.,  $g_{\rm ssat}$  approached 0.5 mol m<sup>-2</sup> s<sup>-1</sup> in Fig. 8. This finding clearly confirmed the close relationship between biochemical parameters and P<sub>nmax</sub>, and higher photosynthetic capability of the plants indicates a higher photosynthesis rate. Furthermore, it also verifies the fact that the  $P_{\rm nmax}$  could be used to determine key model parameters,  $V_{\rm cmax}$  and  $J_{\rm max}$  (Xu and Baldocchi 2003; De Kauwe et al. 2016), if one lacks of the equipment or enough time to measure the  $P_{\rm p}/C_{\rm i}$  curve.

Temperature greatly affects the variation of  $V_{cmax}$  and  $J_{max}$ , which has been found by previous studies (Medlyn *et al.* 2002; Hikosaka *et al.* 2006). The optimal temperature for wheat growth ranges from 19 to 23°C (Slafer and Rawson 1995). As the temperature increased to 30°C, it significantly decreased  $V_{cmax}$  for wheat compared with its value at 25°C. Meanwhile, we found the  $V_{cmax}$  and  $J_{max}$  in our study



+ Morning (08:00–11:00) △ Noon (11:00–14:00) ○ Afternoon (14:00–17:00)

**Fig. 7** Diurnal variation of the relationship between observational stomatal conductance ( $g_s$ ) and the Ball-Berry Model index ( $P_n$ RH/ $C_s$ ) (A) and relationship between  $P_n$  and  $g_s$  (B) for spring wheat. The slopes of the BB Model were significantly different between morning and noon.  $P_n$ , net photosynthesis rate; RH, relative humidity;  $C_s$ , carbon dioxide.

Label <sup>1)</sup>	Growth condition		Clana	Intercent	<b>D</b> <sup>2</sup>	T <sub>leaf</sub>	Q	RH	CO <sub>2</sub>
	Well-water/Water-stress	Pot/Field	- Slope	mercept	R-	(°Ĉ)	(µmol m <sup>-2</sup> s <sup>-1</sup> )	(%)	(µmol mol <sup>-1</sup> )
Morning	Well-watered	Field	18.111 A	9.125	0.652***	22±1	1600±100	50±5	400±10
Noon	Well-watered	Field	84.106 B	1.775	0.927***	33±2	1 800±50	27±3	400±10
Afternoon	Well-watered	Field	88.486 B	-1.287	0.820***	31±2	1400±100	28±4	400±10
Fielddr	Water-stressed	Field	115.163 B	-0.049	0.818***	25±2	1 500±5	40±10	400±5
Fieldww	Well-watered	Field	28.419 A	10.69	0.720***	25±2	1 500±5	50±10	400±5
Potdr	Water-stressed	Pot	114.623 B	-0.380	0.936***	25±2	1 500±5	40±10	400±5
Potww	Well-watered	Pot	19.086 A	13.999	0.473***	25±2	1 500±5	50±10	400±5

**Table 4** Relationships between light saturated stomatal conductance  $(g_{sat})$  and net photosynthesis rate  $(P_n)$  under different times and different water conditions for spring wheat growing in pots and the field

<sup>1)</sup> All numbers of XX±YY represent the maximum and minimum of leaf temperature ( $T_{\text{leaf}}$ ), photosynthetically active radiation ( $Q_p$ ), relative humidity (RH), and CO<sub>2</sub> concentration (CO<sub>2</sub>), respectively.

indicates significance level at 0.001. Different uppercase letters indicate differences at 0.01 significant level.



**Fig. 8** Variation of relationship between observed light saturated stomatal conductance ( $g_{ssat}$ ) and the Ball-Berry Model index ( $P_n$ RH/ $C_s$ ) (A) and relationship between net photosynthesis rate ( $P_n$ ) and  $g_{ssat}$  (B) for spring wheat grown in pots and the field under different water conditions.  $P_n$ , net photosynthesis rate; RH, relative humidity;  $C_s$ , carbon dioxide. Potww, wheat growing in pots under well-watered condition; Potdr, wheat growing in pots under drought condition; Fieldww, wheat growing in field under well-watered condition; Fielddr, wheat growing in field under drought condition.

were slightly lower than the values obtained by Driever *et al.* (2014) for 64 field-grown wheat genotypes under a leaf temperature of 20°C. Taking the range of optimal temperatures for wheat into account, we speculated that the differences in the two parameters between our study and Driever *et al.* (2014) is not only due to the diversity of photosynthetic capacity among wheat varieties, but also the temperature effect.

Although the trend in the data is similar to the results from other studies (Medlyn *et al.* 2002; Xu and Baldocchi 2003; Bauerle *et al.* 2012), the seasonal variation of  $V_{cmax}$  and  $J_{max}$  for spring wheat did not significantly fluctuated. The exception was a sharp reduction at the end of the growth season, which was identical with the results reported by Grossman *et al.* (1999). Several researchers have speculated that the seasonal variation in  $V_{cmax}$  might be

related to leaf ontogeny, water stress, temperature and Rubisco specific activity (Grassi et al. 2005; lio et al. 2008; Urban et al. 2012). Meanwhile, others have suggested that the biochemical model parameters are tightly correlated with leaf nitrogen content or nitrogen use efficiency (Ali et al. 2015; Tatsumi et al. 2019). The duration of the whole growth season for spring wheat in the current research was only 110 days. With enough nitrogen supply at planting time, there would be no nitrogen deficit for spring wheat compared with winter wheat, which has a longer growth season, generally more than 200 days. The nitrogen could be transferred from old leaves to new leaves as the wheat grows. Therefore, there might have been great variation in  $V_{\rm cmax}$  and  $J_{\rm max}$  for winter wheat leaves during different growth stages in other studies (Feng et al. 2015; Sun et al. 2015). Meanwhile, Rubisco content has an inverse



**Fig. 9** Effects of variable key model parameters on diurnal leaf gas exchange for spring wheat.  $V_{cmax}$ , the maximum carboxylation rate of Rubisco;  $J_{max}$ , the maximum rate of electron transport.  $P_n$ , net photosynthesis; PE, parameters effect;  $T_r$ , transpiration rate;  $g_s$ , stomatal conductance; WUE, water use efficiency.

relationship with its specific activity (Urban *et al.* 2012). The increase of Rubisco specific activity that accompanies its content decrease might offset the reduction of  $V_{cmax}$  and  $J_{max}$  during the middle-late growing season for spring wheat. Furthermore, a group of studies have found that photoperiod could result in seasonal variation of  $V_{cmax}$  and  $J_{max}$  (Bauerle *et al.* 2012; Way *et al.* 2017). The main growing season for spring wheat is from April to June. The longer day length in June might prevent the down-regulation of  $V_{cmax}$  and  $J_{max}$  at the middle-late growing season for spring wheat. It should be noted also that the observation of seasonal  $V_{cmax}$  and  $J_{max}$ 

for spring wheat in the current study was conducted under well-watered conditions with strict environmental control, and, thus, without water and temperature stress. Therefore, the fluctuation of seasonal  $V_{cmax}$  and  $J_{max}$  for spring wheat was not pronounced, except at the end of the growing season with leaf senescence and a sharp reduction of leaf nitrogen content (Grossman *et al.* 1999).

Water deficit has significant impacts on the biochemical parameters of the photosynthetic model. However, it did not affect the model parameters until the water stress progressed to a specific threshold,  $g_{\rm ssat}$  at 0.15 mol m<sup>-2</sup>

s<sup>-1</sup> in the current study. Previous studies have found that biochemical limitation for photosynthesis only occurs under moderate to severe water deficit condition (Brodribb 1996; Medrano *et al.* 2002). We speculated that spring wheat only suffered mild water stress before  $g_{ssat}$  approached 0.15 mol m<sup>-2</sup> s<sup>-1</sup> in the current study and the stomatal conductance decreased without  $V_{cmax}$  and  $J_{max}$  being reduced in proportion. Meanwhile, in the field experiment, the  $g_{ssat}$  was relatively higher, therefore, the  $V_{cmax}$  was significantly higher than the wheat growing in pots, which suffered an extremely severe water stress at the end of the observation period, as  $g_{ssat}$  approached zero.

Previous studies have reported mean  $J_{max}/V_{cmax}$  ranging from 1.6 to 1.7 for a large number of plant species, and it was shown to be sensitive to changes in environmental factors (Medlyn *et al.* 2002). We noted that the  $J_{max}/V_{cmax}$  for spring wheat under well-watered condition was slightly higher than those reported by Osuna *et al.* (2015) and Medlyn *et al.* (2002) for tree species. This indicates that spring wheat may allocate more nitrogen to electron transport than to Rubisco, which could be an optimal strategy for spring wheat in the study area, which has high radiation for spring wheat growth. However, more research is certainly warranted on this topic.

#### 4.2. Variation of m under different conditions

The m values for the two wheat types during s2 to s4 in the current study were nearly identical with each other, and comparable with the results obtained in Lei *et al.* (2011) for winter wheat in the middle of the growing season. However, the m was higher than the commonly used value for  $C_3$  plant in several land surface models, e.g., nine in Simple

Biosphere Model 2 (SiB2) (Sellers *et al.* 1997). The land surface models always adopts an average value for  $C_3$  plants, despite the fact that great variation exists in the m values for different  $C_3$  plants, 13.3±10 (Miner *et al.* 2016). This variation suggests that species differences of m should be taken into account as we simulate the gas exchange of various plants.

Some previous researchers have stated that the relationship between g and the BB index remained constant both under water-stressed and well-watered conditions (Wong et al. 1979, 1985). In contrast, a large number of studies have modified the m by multiplying it by a water stress coefficient, to make the simulated gas exchange more comparable with observations (Baldocchi 1997; Liu et al. 2009). In the current research, we found that the m for spring wheat had two significantly different values under different water conditions, which could be divided by  $g_{ssat}$  at 0.15 mol m<sup>-2</sup> s<sup>-1</sup>. Due to the strict environment at controls used in the current study (Table 4), the only factor affecting the variation of m was water. Meanwhile, we found that the  $P_{\rm n}$  and  $g_{\rm ssat}$  relationship was also affected by different water conditions (Fig. 8-B), which was identical to the previous statement that water deficit could modify the relationship between  $P_{n}$  and  $g_{s}$ , and consequently the  $g_{s} - P_{r}$ ratio (Bunce 1998; Damour et al. 2010). In the studies of Wong et al. (1979, 1985), they found the  $P_n$  and  $g_s$  varied in proportion even under mild water-stressed conditions, and the ratio of  $C_i$  and  $C_a$  remained constant. Nevertheless, in our study, we found that the slope of  $P_n - g_s$  apparently increased under drought conditions, to nearly three times that of well-watered wheat. Meanwhile, the  $C/C_a$  was not a constant, but decreased with g<sub>s</sub> and then increased sharply



**Fig. 10** The variation of the ratio of intercellular  $CO_2$  to atmospheric  $CO_2(C_1/C_a)$  for spring wheat grown in pots under different water conditions (A) and response of the slope (m) to variation  $C_1/C_a$  under different relative humidities (RH) (B).

as  $g_s$  became smaller than 0.05 mol m<sup>-2</sup> s<sup>-1</sup> (Fig. 10-A).  $P_n$  can be calculated as follows:

$$P_{n} = \frac{g_{s}}{1.6} C_{a} (1 - C_{i} / C_{a})$$
<sup>(2)</sup>

If  $C_1/C_a$  is constant and  $C_a$  is at a fixed value, then the relation between  $P_n$  and  $g_s$  would not change and m could remain constant even when water stress occurs. However, as the relationship between  $P_n$  and  $g_s$  changes, the m might vary. We took the derivative of eq. (A10) in Appendix A and eq. (2), and obtained the following eq.:

$$m = \frac{1.6}{RH(1 - C_1/C_a)}$$
(3)

This reflects that the m is determined both by the RH and  $C_i/C_a$  (Fig. 10-B). Given a fixed RH, 50% for instance, we calculated the m for  $C_3$  and  $C_4$  plants to be 10.7 and 5.3, respectively, as the mean  $C/C_{a}$  for  $C_{3}$  and  $C_{4}$  plant were 0.7 and 0.4, respectively (Wong et al. 1979). The calculated m for  $C_3$  and  $C_4$  plants are nearly identical to the values most often used in several land surface models, nine for C<sub>3</sub> and four for C<sub>4</sub> plants (Sellers et al. 1997). In the current research, the RH for the well-watered condition was 50%, and 40% for the water-stressed condition (Table 4). The average  $C_i/C_a$  for well-watered spring wheat was 0.7 and it was 0.45 for water-stressed wheat, if we neglect the sharply increased  $C_i/C_a$ , where we speculated the impairment of the photosynthesis apparatus occurred (Brodribb 1996). Therefore, we obtained the m for spring wheat under wellwatered and drought conditions from Fig. 10-B, 10.2 and 7.1, respectively. We found the values from the figures were nearly identical to the values obtained from the linear relationships in Table 3, 10.6 and 7.3, respectively. In the study of Xu and Baldocchi (2003), they showed there was no difference in m under different water supply conditions. However, they obtained the m without controlling the ambient temperature, and the RH would be very low with temperatures up to 33°C as seasonal drought occurred in their study. Fig. 10-B shows that the m would be higher if RH is low, even with small  $C_i/C_a$ . We speculate this might be the reason that some researchers found m had not decreased under water-stressed condition.

The environmental conditions during different growth stages or different times of the day have an effect on m. Ono *et al.* (2013) found m was higher during early and late growing seasons for rice. Lei *et al.* (2011) showed the m remained nearly constant at first, but rapidly increased at the end of the winter wheat growing season. In the study of Lei *et al.* (2011), the m was calculated based on data from daily observations with skylights for radiation and without temperature control. However, under identical meteorological conditions for observations in the current study, the m varied slightly and nearly remained constant

with the development of spring wheat, except in s5 when m was higher than the other stages. Meanwhile, we found the m calculated during different time of the day with skylights for radiation and without temperature control were not identical, especially a higher value was obtained in the morning. Shimono et al. (2010) also found that m for irrigated rice varied diurnally, with an especially lower value in the afternoon. The relationships between P<sub>n</sub> and g in the current study were totally different in the morning, at noon and in the afternoon (Fig. 7-B), which contrast with Ball (1987), who found a constant relationship of  $g_s$  and  $P_s$ with no influence of meteorological factors. In our study, we found the environmental factors, leaf temperature and RH at noon and in the afternoon, were apparently higher and lower than in the morning, respectively. A previous study had verified that increasing vapor pressure deficit, which is calculated from temperature and RH, results in C/C<sub>a</sub> decreasing (Kemanian et al. 2005). Based on eq. (3), with variation of RH and  $C_i/C_a$ , m might vary diurnally. Furthermore, these two meteorological factors might induce greater variation of P, at noon and in the afternoon compared with in the morning with the same change of  $g_{s}$  (Fig. 7-B), which also represents an adjustment of the relationship between  $P_n$  and  $g_s$ , hence, a change of m.

Decreased m indicates that plants adopt a more conservative water use strategy. Therefore, it could increase intrinsic water use efficiency (IWUE,  $P_n/g_s$ ) (Egea *et al.* 2011), to maximize carbon gain while minimizing water loss (De Miguel *et al.* 2012). This might be a very efficient approach for a crop to escape environmental stress, such as high temperature, low relative humidity and water deficit. As the plant grows under optimal conditions, the m would increase to a maximum value, in the morning and under well-watered conditions in the current study, for instance. Under such conditions, the plant could accumulate more dry matter without taking water loss into account.

# 4.3. Implications for coupling models to simulate gas exchange

Because of no significant differences among key model parameters between spring wheat and winter wheat, it has been suggest that we could use these parameters obtained from references to simulate gas exchange for wheat during main growth season under favorable environmental conditions, if we do not have experimental data to calculated these parameters directly. However, it should be noted that a limited understanding of model parameterization could result in much uncertainty of the carbon and water cycle estimates at different scales. At the leaf scale, we found the variation of key model parameters,  $V_{\rm cmax}$ ,  $J_{\rm max}$  and m,

had totally different impacts on gas exchange with variable meteorological conditions, which were consistent with the finding of Bauerle *et al.* (2014) at the canopy scale. Due to the significant decrease of model parameters under water-stressed, high temperature, large vapor pressure deficit conditions and late growing season, the variation of key model parameters under both severe environmental conditions and specific growing stages should be taken into account, to simulate gas exchange between plants and the atmosphere accurately.

What processes limit  $P_n$  under drought is still under debate. Generally, the variation of m and  $V_{cmax}$  (and  $J_{max}$ ) are related to stomatal and non-stomatal factors influencing photosynthetic process (Keenan *et al.* 2010). Hence, modification of m or  $V_{cmax}$  (and  $J_{max}$ ) are commonly adopted for simulating gas exchange under drought (Egea *et al.* 2011). However, accounting for water stress in a coupling model by modification of m or  $V_{cmax}$  (and  $J_{max}$ ) separately has failed to represent the variation of several observed key leaf gas exchange attributes during drought, especially IWUE (Egea *et al.* 2011). In field experiments, plant leaf IWUE always increases as water stress develops (Limousin *et al.* 2010), and we speculate that decreased m could capture this phenomenon in the gas exchange estimate (eq. (4), derived from eq. (A10) in Appendix A with neglect of  $g_o$ ).

$$IWUE = \frac{P_n}{g_s} = \frac{C_s}{mRH}$$
(4)

However, IWUE decreased with water stress up to a threshold in field experiments (Medrano *et al.* 2009). This might indicate that m stops increasing at a specific water stress degree and never approaches to zero, resulting in IWUE approaching infinity, which is verified in our research in Fig. 10-B. The non-stomatal factors would limit photosynthetic process greatly as severe drought occurs ( $V_{cmax}$  and  $J_{max}$  decreased while  $g_{ssat}$  was less than 0.15 mol m<sup>-2</sup> s<sup>-1</sup> in the current study), which might result in  $P_n$  and IWUE decreasing sharply (Medrano *et al.* 2002; Egea *et al.* 2011). These results suggest that we could modify m and  $V_{cmax}$  (and  $J_{max}$ ) successively based on water stress severity to simulate gas exchange under drought.

## 5. Conclusion

Meteorological factors and water supply conditions greatly affect the variation of key model parameters for wheat leaf exchange, including  $V_{cmax}$ ,  $J_{max}$  and m. However, there were no significant differences for  $V_{cmax}$ ,  $J_{max}$  and m between spring wheat and winter wheat. Meanwhile, the seasonal variation of  $V_{cmax}$ ,  $J_{max}$  and m for spring wheat was not obvious, except a rapid decrease for  $V_{cmax}$  and  $J_{max}$  at maturity. The study demonstrates that environmental factors alter key parameter values of ecological models over specific growing stages,

which should be taken into account for simulating gas exchange between plants and the atmosphere.

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Appendices associated with this paper can be available on http://www.ChinaAgriSci.com/V2/En/appendix.htm

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