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Efficiently Compressing 3D Medical Images for Teleinterventions via CNNs and Anisotropic Diffusion

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Abstract

Purpose: Efficient compression of images while preserving image quality has the potential to be a major enabler of effective remote clinical diagnosis and treatment, since poor Internet connection conditions are often the primary constraint in such services. This paper presents a framework for organ-specific image compression for teleinterventions based on a deep learning approach and anisotropic diffusion filter.

Methods: The proposed method, DLAD, uses a CNN architecture to extract a probability map for the organ of interest; this probability map guides an anisotropic diffusion filter that smooths the image except at the location of the organ of interest. Subsequently, a compression method, such as BZ2 and HEVC-visually lossless, is applied to compress the image. We demonstrate the proposed method on 3D CT images acquired for radio frequency ablation (RFA) of liver lesions. We quantitatively evaluate the proposed method on 151 CT images using peak-signal-to-noise ratio (PSNR), structural similarity (SSIM) and compression ratio (CR) metrics. Finally, we compare the assessments of two radiologists on the liver lesion detection and the liver lesion center annotation using 33 sets of the original images and the compressed images.

Results: The results show that the method can significantly improve CR of most wellknown compression methods. DLAD combined with HEVC-visually lossless achieves the highest average CR of 6.45, which is 36% higher than that of the original HEVC and outperforms other state-of-the-art lossless medical image compression methods. The means of PSNR and SSIM are 70 dB and 0.95, respectively. In addition, the compression effects do not statistically significantly affect the assessments of the radiologists on the liver lesion detection and the lesion center annotation.

Conclusions: We thus conclude that the method has a high potential to be applied in teleintervention applications.

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INTRODUCTION

Teleradiology is the transmission of the medical images such as CT, MRI, X-ray and UI-86 trasound over the Internet from one location to another for diagnostic or therapeutic decision-87 making. Teleradiology is becoming an increasingly important part of modern diagnostic medicine, 88 although the capabilities of medical imaging technology continue to improve rapidly, the educa-89 tion of radiologists with the expertise required to fully utilize its capabilities has been unable to 90 keep up. This has resulted in a lack of radiological experts, particularly in undeveloped/rural 91 medical centers. At the same time, the rapid enrollment of the Internet worldwide has enabled 92 increasingly convenient transfer of data among medical centers¹. It has been reported that 86% 93 of the radiologists in the United States have undertaken medical practice using teleradiology² 94 while in an online survey, 74% of European radiologists claimed that teleradiology is currently 95 used in their countries^{3,4}. Teleradiology is now being employed in several developing countries in 96 Africa, South America and Asia⁵. Vietnam has launched a teleradiology system which connected 97 several hospitals during the Covid-19 pandemic in 2020⁶. 98

Next to supporting radiological diagnosis, teleradiology has been used in the context of 99 interventional therapeutic. Live teleinterventions in vascular endotherapy have recently been used 100 for training of interventionalists via the Internet⁷. The interventions were often performed under 101 the guidance of 2D angiography at a frame rate of 1-2 frame/ s^8 . Teleultrasound scanning using 102 2D real-time US images has become popular in several telehealth applications⁹. However, live 103 teleinterventions using 3D image modalities, such as CT-guided radiofrequency ablation (RFA) 104 liver intervention is still challenging due to the frequently insufficient bandwidth for transmission 105 of 3D CT images during live intervention. For example, a typical 3D CT image of 100 MB takes 5 106 to 8 minutes to be transferred between two locations via a network with an effective transmission 107 speed of 2 Mbps, while a compressed image with a compression ratio of 6:1 may be transmitted 108 within a minute. For some CT-guided liver interventions, such as tumor ablations, such a long 109 waiting time is a significant delay in the procedure, compared to the average ablation time of 16 110 minutes (range 6-29 minutes)¹⁰ while the delay is expected to be less than the scanning time 111 (from few seconds to a minute). During the scanning time, the interventionists move out of the 112 intervention room to avoid radiation exposure and go back the intervention room after a brief 113 overview of the acquired image. 114

Though modern broadband telecommunications technologies, such as 5G or optical fibers, 115 are able to transmit with a speed of up to several Gbps, and with very low latency¹¹, this advanced 116 infrastructure is not available in many regions. The 4G network has been shown to be able to 117 transfer data from a hospital to other sites at a distance of 10 km and at a data rate up to 118 12 Mbps, however the package loss due to the unstable wireless channel is a challenge for live 119 intervention application¹¹. Coaxial cable seems to be a reasonable network for teleradiology, 120 which enables to transfer data at a stable rate of 20-50 Mbps¹². Another problem is that the 121 networks are often a shared resource and the actual data transfer speed are much smaller than 122 the maximum capability of the networks. In most hospitals and medical centers, LAN and WAN 123 are available infrastructures which supply a typical data transmission rate of 10-100 Mbps, while 124 the actual speed of a Wifi, under IEEE 802.11 standard, often is 2-5 Mbps¹³. Such regions 125 with relatively poor Internet connectivity, therefore, are severely limited in their ability to take 126 advantage of teleradiology, especially in live-view radiological interventions/operations. Image 127 compression may enable effective utilization of teleradiology in such regions. 128

Several studies on methods for medical image compression have been published. These 129 approaches fall into one of three categories: lossless, lossy or ROI-based compression methods. 130 Lossless compression methods are often utilized because there is no reduction in image quality 131 relative to the original. The compression ratios of various lossless compression methods (e.g. 132 JPEG-LS, JPEG-2000, TIFF, PNG, CALIC, LZW, LZ77, and Gzip) range from 1.7 to 3.9 (Culnie, 133 2000)¹⁴. The original JPEG standard included a lossless compression option based on a simple 134 differential PCM predictive coding scheme plus entropy encoding, while the more recent JPEG-135 LS employs a coding method based on a combination of separate decorrelation, error modelling 136 and encoding schemes, which yields higher compression ratios and with lower computational 137 complexity compared to the original JPEG lossless process¹⁵. HEVC also has a lossless option 138 which has been used for medical image compression¹⁶. Beyond these general-purpose image 139 compression standards, Mahenswari and Raghavan (2020) developed the tetrolet transform for 140 medical image compression¹⁷. Amri et al. (2016) proposed watermark reduction combined 141 with the standard JPEG-LS and TIFF formats¹⁸. Guarda el al. (2017) proposed a method to 142 improve HEVC coding for volumetric medical image compression using Least-Squares Prediction 143 (LSP)¹⁹. Lucas et al. (2016) proposed a method utilizing 3D predictors²⁰. Hulsken (2020)²¹ 144 introduced a lossless wavelet-based method, iSyntax, to compress several types of medical images for web view purpose. In general, the main drawback of lossless compression methods is the low 146

¹⁴⁷ compression ratio and thus without further improvement, they seem to be not suitable for the¹⁴⁸ live teleintervention application.

In contrast, lossy and near lossless compression methods offer much better compression 149 ratios. Marcelo et al. (2000)²² investigated standard lossy compression (JPEG) and reported 150 that compressed (JPEG) images could be used for diagnosis with similar accuracy to using non-151 compressed images. Parikh et al. (2017) applied lossy HEVC for medical image compression, 152 and determined a medically acceptable compression range for HEVC²³. Sharma et al. (2019) 153 proposed a detector (RIGED) and block adaptive arithmetic encoding (BAAE) for medical im-154 ages²⁴. The optimal level of quantization was selected based on overall visual quality as assessed 155 by radiologists. Zerva et al. (2020)²⁵ introduced the 3D-WDR-MCPD method for lossily com-156 pressing 3D medical images, which explores the spatiotemporal coherence property to improve 157 the compression ratio. Senapati et al. (2016)²⁶ proposed the 3D-HLCK embedded coder with 158 the aim to reduce memory use in medical image compression. However, the use of lossy compres-159 sion in teleradiology is still controversial because the reduced image quality may affect clinical 160 decision-making. 161

In medical images, the regions of the image that contain information on the pathology, and 162 that are being used for decision-making, are called regions of interests (ROIs); anything outside of 163 these areas - the rest of the image - are denoted non-ROIs. The non-ROIs generally constitute the 164 majority of the image. Therefore, ROI-based methods often losslessly compress the ROIs while 165 lossily compressing the area outside the ROIs. Many researchers therefore define ROIs in the image 166 and use a ROI-based method for achieving high compression ratios. In general, there are two 167 strategies to define the ROIs. The first uses classical image processing methods such as such as 168 graph cuts²⁷, region growing²⁸, levelsets and active shape/appearance models²⁹; and the second 169 utilizes modern machine-learning approaches, which can automatically separate ROIs with high 170 accuracy and fast processing time. Recently, the machine learning approach has demonstrated 171 superior performance over classical methods if a sufficient amount of training data is available 30 . 172 Ahmadi et al. (2018)³¹ used a CNN for segmenting ROIs and background from an angiogram 173 image. A DCT was used for tilling the ROIs and non-ROIs, after which the image was smoothed 174 via Gaussian blurring, followed by JPEG-LS-based compression. Wavelet transforms were also 175 used to lossily compress the non-ROIs^{32,33,34,35}. Sreenivasulu and Varadarajan (2018) applied 176 a DCT and hierarchical tree encoding method for ROI compression²⁸. Manpreet and Wassona 177 (2015) processed the ROIs by context tree weighting, and applied fractal lossy compression for 178

the non-ROIs³⁶. Other approaches applied lossy compression methods for both the ROIs and the non-ROIs with different quality. Kurma et al. (2018) introduced the CVQ-SA method which compresses the ROIs with a low compression ratio and the non-ROIs with a high compression ratio³⁷. Chaabouni et al. (2016) first applied a DWT, and then compressed the coefficients using an incremental self organizing map (ISOM)²⁷. However, those methods do not preserve the edges in non-ROIs, which may be relevant in separating the objects in medical images.

The main challenges of medical image compression methods for live interventions are:

- The compression rate should be sufficiently high while preserving the image quality for clinical purposes (should be larger than 6); and
- ¹⁸⁸ 2.

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The compression and decompression process must be sufficiently fast (should be in order of seconds).

In this paper, we propose an image processing method, deep learning and anisotropic diffusion 190 (DLAD), to improve medical image compression for live interventions using 3D images. Our 191 approach falls under the ROI compression approach. The proposed method exploits the principle 192 of Shannon's information theory³⁸ that the smoothed version of an image contains less entropy 193 than the original image. Therefore, compressing the smoothed image should achieve higher 194 compression rates compared to the original image. Our approach is to first apply a trained 195 application-specific convolutional neural network (CNN) to the image for extracting a probability 196 map, which highlights the organ of interest region. Subsequently, the probability map modulates 197 the diffusion coefficient function of an anisotropic diffusion filter, which controls the rate of 198 diffusion in the original image. Differing from the other lossy ROI-based compression methods, 199 the key idea of our method is that the anisotropic diffusion filter blurs the homogeneous areas 200 outside the organ of interest region while preserving the edges and keeping the organ of interest 201 region undiffused. Finally, the diffused image is compressed using a conventional compression 202 method. 203

We demonstrate the proposed compression framework for liver RFA interventions using CT images. During the intervention, the interventionist performs tumor ablation under CT guidance³⁹ (see Figure 1). With single-ablator interventions, one of the key factors for the success is that the tip of the ablator needs to be positioned at the center of the tumor⁴⁰. Therefore, locating the tumor centers in the CT images is a critically important task for the radiologist/interventionist.



Figure 1: A CT-guided along with US-guided intervention of the liver RFA ablation⁴¹. To perform the image compression, the probability map is derived from the interventional 209 CT image utilizing a fully convolutional network (FCN) based on the well-known U-net archi-210 tecture^{42,43}. The probability map is then embedded in the diffusion coefficient function of a 211 Perona-Malik diffusion filter⁴⁴. Afterwards, a lossless compression method (such as BZ2)⁴⁵ vi-212 sually lossless method (such as HEVC)⁴⁶, is applied on the diffused image. In our study, we 213 assess the extent to which image compression artifacts affect the radiologist's assessment of the 214 liver intervention images. This is crucial because image compression artifacts should not affect 215 the diagnostic assessment and treatment quality. In this study, two radiologists perform the liver 216 lesion detection and the liver lesion center localization. Based on the score of their assessments, 217 compared with the ground truth, we qualitatively evaluate the effect of the image compression 218 on the clinical process. 219

The remainder of the paper is organized as follows. The next section presents the compression process, the proposed image processing method based on CNN and diffusion filter, as well as the two conventional image compression methods. In Section III., we describe the experiments and evaluation of the proposed method which includes image quality metrics and assessments by radiologists. Section IV. discusses the implications of these results, while the final section summarizes the outcomes and draws conclusions from the study.

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226 II. METHOD

II.A. CNN-based liver probability map extraction

In this study, we applied a fully convolutional network (FCN) based on the well-known U-net 228 architecture⁴⁷ to extract the probability map $P(I(\mathbf{x}_i)) = \{p(\mathbf{x}_i)\}$ of the liver CT image *I*, where 229 \mathbf{x}_i is the position of the *i*-th voxel⁴². The probability $p(\mathbf{x}_i) \in [0,1]$ should yield the value of 230 closing to 1 when \mathbf{x}_i is inside the liver region while approaching 0 outside of the liver region. The 231 FCN network introduced by Christ et al. (2017)⁴² was used to compute the probability map of 232 the each 2D slice of the 3D interventional CT image. The key idea of a FCN is that it contains 233 only convolutional layers, which allows the last layer of the network to predict dense pixel-wise 234 probabilities for an image. The FCN contains 19 layers, which are organized in five stages of 235 the U-net architecture (see Figure 2). We chose the FCN model due to its intrinsic multiscale 236 structure and the good results reported in other related applications. The relatively small size of 237 the network allows the probability map to be extracted within a short computation time. As in 238 the experiments in our previous study^{43,48}, the computational time, using a modern GPU, for the 239 whole 3D liver CT image is few seconds, while the inference time for a single 2D slice is of the 240 order of several hundred milliseconds on average.

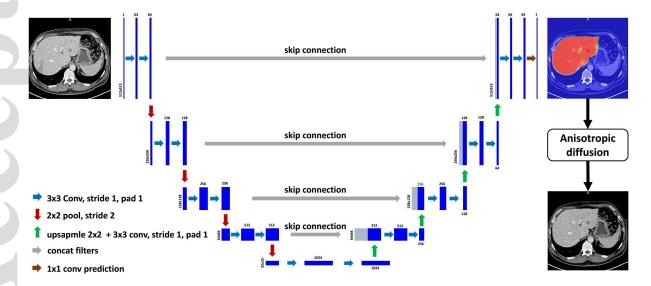


Figure 2: The proposed DLAD method: A 2D U-net architecture processes the 2D CT images and outputs 2D liver probability maps. These maps are used to steer the anisotropic diffusion filter. The FCN network contains five levels in a hierarchical structure.

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II.B. Deep learning anisotropic diffusion filter

Based on the fact that entropy coding can be used to compress the image⁴⁹, our strategy for improving the compression rate is to reduce the entropy of the image³⁸ by applying an anisotropic diffusion process on the images. It already has been demonstrated that a blurred version of an image has smaller entropy than the original image⁵⁰. In this section, we describe the anisotropic diffusion filter used in this work. The filter has two important properties:

- 1. It does not diffuse inside the liver region; and
- 2. It diffuses less at the edges while diffusing more in homogeneous regions.

The diffusion process of the image *I* can be described as the following equation⁴⁴:

$$\frac{\partial I(\mathbf{x}_i, t)}{\partial t} = div(C(\mathbf{x}_i, t)\nabla I) = \nabla C(\mathbf{x}_i, t)\nabla I + C(\mathbf{x}_i, t)\Delta I,$$
(1)

where ∇ is gradient operator, \triangle is Laplacian operator, div(...) is divergence operator and $C(\mathbf{x}_i, t)$ is a diffusion coefficient function. In this study, we proposed the following diffusion coefficient function:

$$C(\|\nabla I(\mathbf{x}_i)\|, p(\mathbf{x}_i)) = (1 - p(\mathbf{x}_i))exp(-\frac{\|\nabla I(\mathbf{x}_i)\|}{K}),$$
(2)

where $\|\nabla I(\mathbf{x}_i)\|$ is the magnitude gradient of the image l, $p(\mathbf{x}_i)$ is the probability values of the probability map $P(I(\mathbf{x}_i))$ and K is conductivity parameter which rescales gradient magnitude values. This diffusion coefficient function ensures that when $p(\mathbf{x}_i) \rightarrow 1$, the function $C(\|\nabla I(\mathbf{x}_i)\|) \rightarrow 0$, i.e. there is no diffusion in the liver region, while when $p(\mathbf{x}_i) \rightarrow 0$, $C(\|\nabla I(\mathbf{x}_i)\|) \rightarrow 0$, i.e. there is no diffusion in the liver region, while when $p(\mathbf{x}_i) \rightarrow 0$, $C(\|\nabla I(\mathbf{x}_i)\|)$ depends more on the value of the magnitude gradient image $\|\nabla I(\mathbf{x}_i)\|$ (at a specific time point t).

²⁶² II.C. Image compression methods

The aim of the proposed method is to reduce the entropy of the image to achieve a higher compression rate for several well-known compression methods. Furthermore, we intend to use lossless compression methods because they do not affect the quality of the images, which is relevant for medical applications. For the live intervention application, the compression methods should allow fast decompression because typically the receiver devices have low computational

power while the computational power of the transmitter can easily be matched by advanced 268 hardware (such as a computational cluster or modern GPUs). Therefore, image compression 269 methods which require long decompression times, such as JPEG-LS and JPEG2000, are not 270 suitable for our application. In this section, we briefly present two compression methods, BZ2 271 and HEVC, which support lossless and visually lossless compression, respectively. Those methods 272 will be assessed in the experimental section and then compared to several well-known lossless 273 compression methods such as Gzip (GZ), Rar (RAR), Zip (ZIP), 7Z, JPEG-LS (JLS), JPEG2000 274 (J2K), iSyntax²¹ and Set partitioning in hierarchical trees (SPIHT)⁵¹. 275

BZ2, also called Bzip2, is a lossless compression algorithm mainly based on the Burrows–Wheeler transform (BWT)⁵² and Huffman coding⁵³. BWT is block-sorting text compression algorithm, which rearranges a symbol string into runs of similar symbols⁵⁴. The rearranged symbols are then effectively compressed by applying Huffman coding. The 3D CT images can be considered as a raw data stream and thus can be compressed using BZ2. In addition, Patel et al. (2012) suggested that BZ2 can be implemented in parallel in multicore CPUs and GPUs to reduce processing time⁵⁵.

HEVC, also called H.265, is a state-of-the-art compression standard which can be used for both video and image compression⁵⁶. HEVC achieves a high compression ratio because it contains several advanced techniques such as spatial and temporal prediction, DST and DCT transforms, quantization and entropy coding²³. HEVC-lossless/visually lossless compressions⁴⁶ are suitable for medical image compression^{16,19,23,57,58}. Furthermore, HEVC also can be implemented in parallel and thus also can potentially be applied in live teleinterventions⁵⁹.

EXPERIMENT AND EVALUATIONS

²⁹⁰ III.A. Dataset and annotations

²⁹¹ We used 151 abdominal diagnostic and interventional CT images aquired before and during ²⁹² RFA liver interventions, which were retrospectively used in our previous studies^{43,60}. The datasets ²⁹³ were collected from three sources: Erasmus MC, Mayo Clinic and LiTS challenge. The images ²⁹⁴ were acquired on Siemens, GE and Philips scanners and were reconstructed according to standard ²⁹⁵ medical protocols. All datasets were anonymized before they were used in this study. The ²⁹⁶ images were converted into *nifti* format with 16-bit depth. The details of the datasets are

Dataset	Number of	In-plain	Spacing	Number of	0	Tube current
Dataset	images	resolution (mm)	(mm)	slices	(kV)	(mAs)
EMC	103	0.55-0.98	0.8-10.0	21-261	80-120	4-12
Mayo	20	0.66-0.82	3.0	128-343	100-120	18-21
LiTS	28	0.63-1.0	0.7 - 5.0	31-234	-	-

Table 1: Characteristics of the datasets used in this study.

summarized in Table 1. Of those datasets, 33 contrast-enhanced CT images with 61 visible 297 liver legions (35 HCCs, 20 metastases, 3 benign cysts and 3 hemangiomas), 1 to 5 lesions per 298 image with diameters smaller than 3 cm, were selected for the radiologist evaluation section. The 299 selected images contain either liver tumor segmentations or tumor center markers performed by 300 a certified radiologist and then reviewed by one to three radiologist experts. From the liver tumor 301 segmentations, we determined the center of the tumors by extracting the middle point of the 302 longest diameter of the tumor segmentations. Those centers were used as the ground truth in the 303 radiologist evaluation section (Section III.D.1.). Table 1 lists the characteristics of the datasets 304 used in this study. 305

³⁰⁶ III.B. Quantitative evaluation criteria

307 III.B.1. **PSNR**

PSNR is commonly used to measure the quality of lossy image compression method. PSNR is defined as the ratio between the maximum possible power of a signal and the power of the difference between in intensities of the original image and the reconstructed-compressed image (the diffused image). Generally, PSNR for a 3D image is formulated as follows:

$$PSNR = 10\log_{10}(\frac{L^2}{MSE}),\tag{3}$$

$$MSE = \frac{\sum [I(x_i) - D(x_i)]^2}{V},$$
(4)

where $L = 2^{16} - 1$ for 16 bit depth CT images, MSE is the average power of the difference of the the original image $I(\mathbf{x}_i)$ and the compressed image $D(\mathbf{x}_i)$ across a total of V voxels.

317 III.B.2. SSIM

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While PSNR quantifies the difference of the two images globally, SSIM, introduced by Wang and Bovik (2004)⁶¹, measures the quality of the image based on the fact that the pix-

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els/voxels have a strong relation when they are spatially close. The SSIM index of two images is calculated using the following formula⁶²:

$$SSIM(x,y) = \frac{(2\mu_x\mu_y + C_1)(2\sigma_{xy} + C_2)}{(\mu_x^2 + \mu_y^2 + C_1)(\sigma_x^2 + \sigma_y^2 + C_1)},$$
(5)

where x and y are pairs of local square patches at the same spatial locations (as sub-volumes/subimages of image I and D respectively); μ_x and μ_y are the mean intensity values of the two corresponding patches x and y; σ_x and σ_y are standard deviations of the intensities of the patches x and y respectively; σ_{xy} is the covariance intensities of the of the two patches; $C_1 = (K_1L)^2$ and $C_2 = (K_2L)^2$, with K_1 and K_2 are preset constants, are variables to stabilize the division by the small dominator. In this study, we chose $K_1 = 0.01$ and $K_2 = 0.03$ and the patch size of 11x11x1 with Gaussian weight scale of $\sigma = 1.5$ as suggested by Nilsson (2020)⁶³.

330 III.B.3. Compression ratio

The compression ratio, CR, measures the ratio between the original image size and the compressed image size:

$$CR = \frac{OS}{CS},\tag{6}$$

 $_{334}$ where OS and CS are the original image size and the compressed image size, respectively.

335 III.B.4. Radiologist assessments

PSNR and SSIM are objective image quality metrics that are not explicitly related to 336 diagnostic and treatment decision-making by medical experts. In this study, two radiologists, with 337 3 and 8 years of experience in reading liver tumors and performing RFA liver intervention using 338 CT images, detected the liver lesions and annotated the centers in the CT images. Specifically, 339 the 33 CT images, with the ground truth of the liver lesion centers (section III.A.), were processed 340 using the proposed DLAD method and then compressed using BZ2 and HECV-visually lossless 341 method. The file names of the original and decompressed images were anonymized and sorted in a 342 random order. The two radiologists blindly read and annotated the center of the detected lesions 343 in each image separately. In addition, the spatial accuracy of the liver tumor center annotation is 344 important because the radiologist typically aims to insert the RF ablator through the center of a 345 tumor in liver RFA interventions. Therefore, for the TP detection annotations, we compute the 346

Euclidean distances between the liver lesion annotations by the two radiologists and the ground truth.

For the liver lesions detection evaluation metric, we used a per-lesion scoring metric as suggested by McCollough $(2017)^{64}$ as follows:

- The reader gets +1 point for a true positive (TP) lesion detected, i.e. when the annotated center is less than 10 mm apart;
- The reader gets -1 point for a false positive (FP) lesion annotation;

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- The reader gets -1 point for a false negative (FN) lesion annotation;
- The normalized score (NS) is the total score/number of lesions (expressed as a percentage); and
- The sensitivity score (SC) is TP/(TP+FN) (expressed as a percentage).

Note that the evaluation does not include true negative (TN) score because it is medically necessary to detect the liver tumor rather than the healthy liver parenchyma in the RFA intervention.

³⁶¹ III.C. Implementation and parameter verification

The study was carried on an Ubuntu 16.04 Linux PC, with a 2.40 GHz 16-core Intel® 362 E5-2665 Xeon(R) CPU, 64 GB DDR3 and 1333 MHz bus. The proposed DLAD method was 363 implemented using the ITK 5.1.1 library in C++ with a Python 3.7 wrapper. The CNN model 364 for the liver probability map extraction was reused from our previous study⁴³, which was trained 365 on 115 CT images from the LiTS dataset⁶⁵ using an NVidia TITAN V GPU and was tested 366 on 40 contrast enhanced CT images of the liver. The parameter settings for training the CNN 367 model were inhered from the the original work by Christ et al. $(2017)^{42}$. In this study, the 368 probability map is dilated with a circular kernel of 30x30x1 voxels and then is thresholded so 369 that the probability values are 1 when they are larger than 0.75 to ensure the liver is inside the 370 undiffused region. As investigated in our previous study⁴³ that the threshold of 0.75 guarantees 371 liver segmentation from the probability map to be larger than 90% on average. 372

Compression and decompression were performed with Python 3.7 using only the CPU. We used BZ2 compression which is available as a standard Linux library and standalone utility. HEVCvisually lossless, implemented in the *FFMPEG* library in multicore CPU, was applied to compress each 2D slice of the image (the implementation has no support for 3D image compression yet). *PNSR* and *SSIM* scores were computed using the *Skimage* library while the entropy of the image was measured using the *Scipy* library. ISyntax source code was implemented and experimented in Matlab 2018b, provided by the author²¹.

In the parameter tuning section for the DLAD method, we use the 10 images in the Mayo 380 dataset as a training dataset. We performed the diffusion while varying the number of iterations 381 and the conductivity parameter K. The results of the experiment are shown in Figure 3. While 382 the PSNR score does not show differences w.r.t the number of iterations (Figure 3a), the 383 SSIM and CR score are both strongly dependent on these two parameters. According to 384 Flynn $(2013)^{66}$, the processed image should maintain an SSIM score higher than 0.95 to ensure 385 visual acceptance. In addition, the number of iterations should be as low as possible to limit the 386 processing time. Note that the number of iterations is linearly related to the processing time while 387 the compression ratio does not linearly increase with the number of iterations. Thus, we chose 388 the optimal conductivity parameter K = 0.4 and the number of iterations as 15. A summary of 389 the pilot experiment using the BZ2 compression method is provided in Table 2. 390

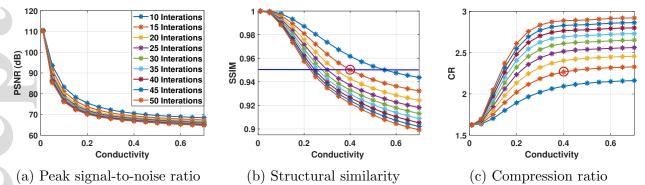


Figure 3: The effect of varying the number of iterations and the conductivity parameter K on the PSNR score (a), SSIM score (b) and the compression ratio (c) on average. The circle is at the optimal parameter point.

Figure 4 is an example CT image processed by the DLAD method. In the diffused image (B) the liver region is indistinguishable from the original (A), whereas the rest of the image is anisotropically diffused. Moreover, the histogram of the diffused image is more peaked than that of the original image, with a corresponding lower entropy value (8.72) than the original image

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Table 2: The evaluation on the pilot images (Mayo) with the conductivity parameter K = 0.4 and the number of iterations = 15 using CPU only. The average entropy shows that the diffused images have lower entropy than that of the original images. The numbers in (parentheses) are the standard deviations while the numbers in the [square bracket] are the min and the max values. The compression ratio is for the BZ2 method.

Number of	Diffusion time PSNR		SSIM	CR	Entropy		
slices	(\mathbf{s})	(dB)	001111	On	Original	Diffusion	
90.5 [75-110]	34.7 [32.4-36.8]	$70.33\ (0.85)$	0.95(0.02)	2.25(0.20)	9.15(0.12)	8.66(0.20)	

(9.14). The average entropy of the full set of diffused Mayo images (8.66) is also lower than the average entropy of the original images (9.15) (Table 2)

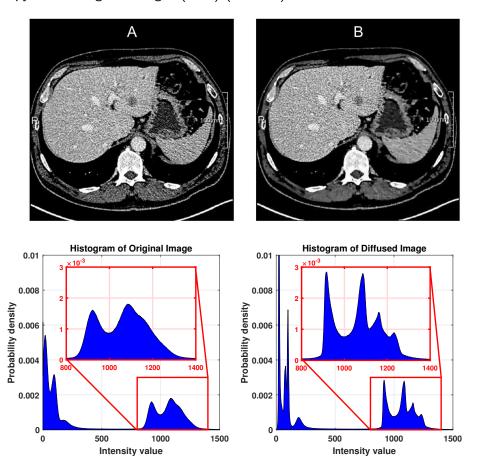


Figure 4: An example of the effect the DLAD method on a liver CT image: The original image (the left) and the diffused image (the right) have entropy values of 9.14 and 8.72, respectively.

³⁹⁷ III.D. Experiment results

³⁹⁸ III.D.1. Quantitative evaluation results

We applied the DLAD diffusion on the rest of the datasets (141 CT images). The diffused images were compressed with several well-known lossless compression methods such as GZ, RAR, 400 ZIP, BZ2, 7Z, JLS, J2K, iSyntax, SPIHT and HEVC-visually lossless. The compression ratios 401 of the original images and the diffused images are shown in Figure 5 and Table 3 for each 402 of these compression methods. HEVC-visually lossless achieves the highest compression ratio, 403 compressing the original images and the diffused images by factors of 4.73 and 6.45, respectively, 404 with the improvement of 36%. We also perform paired T-tests on the compression ratios for 405 the original images versus those of the diffused images. The results show that for all of the 406 compression methods, the improvement in compression ratios with diffused vs. original images 407 was statistically significant, with p-values of smaller than 0.01. The results also suggest that 408 the HEVC compression method on the DLAD diffused image statistically significantly performed 409 better than the other compression methods (p < 0.001). 410

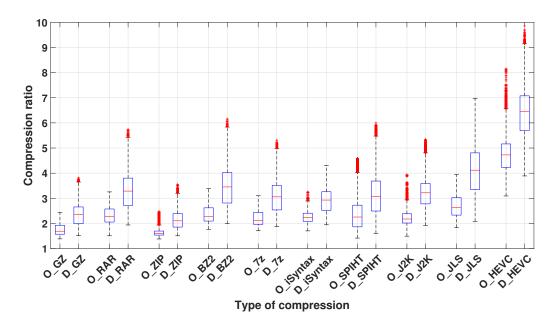


Figure 5: The compression ratios achieved by each compression method on the original images (the left) and the DLAD diffused images (the right).

The average computation time of the compression methods on a 2D slice of the original images and the diffused images, using the CPU only, are shown in Figure 6. It can be seen that, in general, all of the compression methods require less than a half of a second to compress and Table 3: Compression ratios, maximum processing time and maximum memory usage of several compression methods applied on the abdominal 3D CT images. The numbers in the round bracket are standard deviations. The implementation of iSyntax is in Matlab with unreliable computation time and used memory resource, and thus those values are not included in the table.

					1	Type of	f compress	sion			
		GZ	RAR	ZIP	BZ2	7z	iSyntax	SPIHT	JLS	J2K	HEVC
Median of	Original	1.7	2.28	1.6	2.28	2.13	2.26	2.54	2.63	2.18	4.73
	Original	(0.21)	(0.33)	(0.2)	(0.32)	(0.28)	(0.26)	(0.94)	(0.42)	(0.32)	(0.77)
compression ratio	Diffused	2.1	3.29	2.34	3.45	3.06	2.93	3.3	4.12	3.22	6.45
Tatio	Diffused	(0.37)	(0.70)	(0.43)	(0.78)	(0.63)	(0.51)	(1.07)	(0.95)	(0.61)	(1.06)
Improve	Improvement		44%	29%	47%	38%	29%	33%	53%	46%	36%
Improve			(18%)	(14%)	(20%)	(16%)	(15%)	(24%)	(22%)	(19%)	(13%)
Maxim	Maximum		26.8	23.6	23.8	34.9		32.8	27.5	38.2	36.3
processing	processing time (s)		(22.3)	(19.9)	(19.0)	(28.6)	-	(21.3)	(22.6)	(34.0)	(29.4)
	Maximum memory usage (MB)		490.8	491.2	499.6	597.0	-	490.9	490.9	490.9	490.9

decompress a single 2D liver CT image. In addition to the diffusion processing time and the liver probability map extraction time (using the CPU), the whole process requires an average processing time of less than one and a half minutes for a 3D CT image of 90 slices (see Table 2). Moreover, the J2K and JLS compression methods require more time to decompress than to compress the images.

Figure 7 illustrates the differences between the original image (A) and the images with BZ2 (B) and the HEVC (C) compression. The difference images show that the BZ2-compressed image does not show any difference in the liver region, since it is lossless in this region; the HEVC-compressed image yields some tiny differences in the liver region. The *PSNR* and *SSIM* score are computed within the liver region of the HEVC-decompressed diffused images, yielding average values of 92 dB and 0.998, respectively.

425 III.D.2. Radiologist assessment result

Liver lesion detection by the two radiologists on the images with BZ2 and the HEVCcompression is summarized in Table 4. The table shows that the sensitivity metrics for radiologist 1 and 2 to the original images are 67.2% and 72.1%, respectively. Those scores are within the range [53% - 81%] of the expected performance of radiologists in the task of liver lesion detection reported in Fletcher et al. $(2018)^{67}$. Both radiologists detected one or two lesions fewer in the diffused images and the diffused HEVC images, which are not statistically significant (< 5%). Moreover, false detections are fewer in the decompressed images than that in the original images.

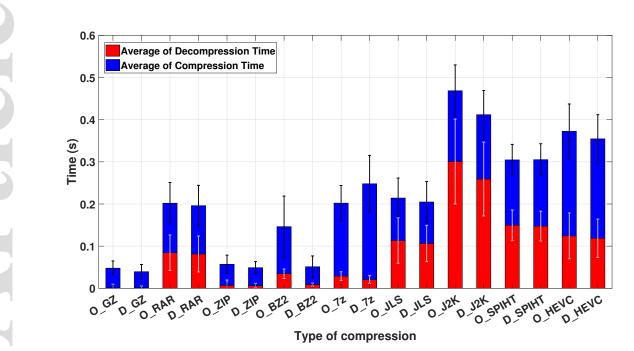


Figure 6: The average compression and decompression times of the different compression methods on both the original images and the diffused images. The prefix "O" indicates that the compression ratios are for the original images, while the prefix "D" indicates that the result is for the diffused images. The computation time of iSyntax is excluded due to the unreliable implementation for time-consuming measurement in Matlab.

The NS scores suggest that radiologist 2 (with 8 years of experience) performs better than the radiologist 1 (3 years of experience), but there is no significant difference in the performances of the two radiologists.

Figure 8 illustrates the displacements in the annotations at the liver lesion centers performed by two radiologists. The median values of the displacements for the original, BZ2-decompression diffused and HEVC-decompression diffused images by radiologists 1 and 2 (with the standard deviations in parentheses) are 2.4 (1.6) mm, 2.2 (2.2) mm, 2.6 (1.9) mm, 2.0 (1.3) mm, 2.3 (1.6) mm and 2.3 (1.3) mm, respectively. The *p*-values for *T*-tests on the performance of the Table 4: The evaluation scores performed by the two radiologists on the 33 sets of the original, the BZ2-decompression diffused and the HEVC-decompression diffused images.

Evaluation		Radiologist	1	Radiologist 2				
parameter	Original	Difffused-	Diffused-	Original	Difffused-	Diffused-		
	8	BZ2	HEVC	8	BZ2	HEVC		
TP	41/61	39/61	40/61	44/61	43/61	42/61		
FP	21	16	19	15	13	12		
FN	20	22	21	17	18	19		
SC (%)	67.2	63.9	65.5	72.1	70.4	68.8		
NS (%)	0.0	1.6	0.0	19.7	19.7	18.0		

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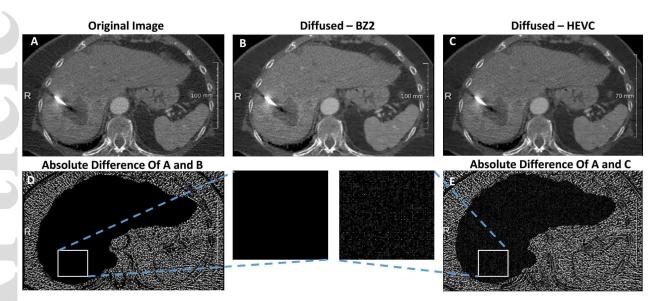


Figure 7: Examples of the decompressed CT images in RFA liver intervention using BZ2 and HEVC method.

radiologist 1 and 2 with "Original" vs "Diffused-BZ2", and "Original" vs "Diffused-HEVC" are 441 0.72, 0.25, 0.13 and 0.53, respectively. There are thus no statistically significant differences in 442 placing the liver lesion centers when comparing the radiologists performance on the original images 443 and the compressed images. The medians of the difference in placing the annotations between 444 the two radiologists are 0.97 (2.76) mm, 1.08 (2.53) mm and 1.04 (2.38) mm, respectively. 445 The *p*-values for T-tests on the inter-observer performance with "Original" vs "Diffused-BZ2", 446 and "Original" vs "Diffused-HEVC" are 0.36 and 0.91, respectively, suggesting that there is no 447 statistically significant difference in annotating the liver tumor centers by the two radiologists. 448

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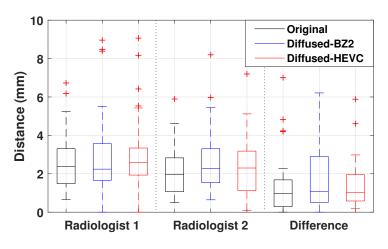


Figure 8: The distances between the annotations at the liver lesion centers performed by the two radiologists and the ground truth of the liver tumor centers. "Original" is the displacements in the original images, "Diffused-BZ2" indicates the displacements in the BZ2-decompression diffused images, while "Diffused-HEVC" stands for the displacements in the HEVC-decompression diffused images. "Difference" illustrates the distances between the annotations of the radiologist 1 and 2 at the same liver lesions.

449 IV. DISCUSSION

The experimental results presented in Section III.D.1. show that the JLS compression method 450 achieves a median compression ratio of 4.12 (0.95) which is the highest compression ratio among 451 the lossless compression methods, while HEVC-visually lossless achieves the highest median com-452 pression ratio of 6.45 (0.95). These results are comparable to those of the best state-of-the-art 453 of medical image compression methods^{17,19,20,23,25,28,37,58}. A summary of the state-of-the-art 454 medical image compression methods is listed Table 5. The DLAD method is a preprocessing 455 method to reduce entropy of the images while maintaining the quality of the image for medical 456 purposes, and thus it may also be combined with other compression methods. Parikh et al. 457 (2016) suggested that lossy HEVC-based compression can reduce the size of a medical image 458 by up to 71-94%, and Pole and Shriam (2018) showed that the proposed lossy HEVC method 459 for compressing 3D medical images achieves a CR of 10-15. Obviously, the lossy HEVC-based 460 methods perform better than our proposed method; however, we suggest that the effect of the 461 lossy compression methods should be carefully verified for the specific medical application by the 462 (interventional) radiologists before being a compression scheme is chosen in practice. Recently, 463 the H.266 compression method has been released ⁶⁸; it is expected that the combination of DLAD 464 and H.266-lossless also has great potential in medical image compression applications. 465

Citations	Method	Data	PSNR (dB)	SSIM	CR	Max processing time (s)	
		(3D)	(uD)			Comp.	Decomp.
Kurmar <i>et al.</i> $(2018)^{37}$	ROI lossy/ CVQ - SA	10 abdomen CT images	$\begin{array}{c} 39.0 \ (1.0), \\ [37.27 - 40.28] \end{array}$	-	6.27 (0)	-	-
$\begin{bmatrix} \text{Sreenivasulu} \\ et \ al. \\ (2020)^{28} \end{bmatrix}$	ROI lossless/ Wavelet	5 images (Brain MRI)	42.3 (3.3), [38.65 - 46.69]	-	2.6 (0.8), [1.68 - 3.88]	-	-
Lucas <i>et al.</i> $(2017)^{20}$	Lossless/ 3D predictors	CT and MR image	-	-	$\begin{array}{c} 4.1 \ (1.8), \\ [2.57 - 8.38] \end{array}$	220148.7	15.1
Parikh <i>et al.</i> $(2018)^{23}$	Lossy/ HEVC	MR Brain (12 bit)	[60.3 - 63.8]	[0.78 - 0.92]	29 - 35 (Intra), 29 - 33 (Inter)	-	-
Zerva <i>et al.</i> $(2020)^{25}$	Lossy/ 3D-WDR-MCDP	MR Brain	[50.6 - 52.3]	[0.68 - 0.78]	16	-	-
Guarda $et al.$ (2017) ¹⁹	Lossless/ HEVC	2 MR images	-	-	$2.8 (0.1), \\ [2.68 - 3.66]$	-	-
Pole and Shriam $(2018)^{58}$	Lossy/ HEVC	MR video images of the brain	-	-	10 - 15	-	-
Maheswari and Raghavan (2020) ¹⁷	Lossless/ Tetrolet transform	MRI and CT	-17.8	-	$ \begin{array}{r} 4.18, \\ [4.12 - 4.24] \end{array} $	7.02	-
This work	ROI lossless/ DLAD-HEVC	151 liver CT images	70.03 (0.85)	0.95 (0.02)	6.45(1.06)	138.5	21.6

Table 5: The summary of the state-of-the-art for 3D medical image compression	on methods.
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From Table 4 and Figure 8, we can conclude that the compression effect of the proposed 466 method does not statistically significantly affect the image quality as assessed by the radiologists 467 for liver lesion detection and lesion center annotation. Note that the ground truth can be at 468 between two slices while the annotations are on a slice, which may cause apart of the displace-469 ments. In general, the median displacements for both radiologists are around 2 mm, which are 470 also smaller than the minimal safety margin of 5 mm in the RFA liver intervention 69,70 . Based 471 on the inter-observer scores, we suppose that the median displacements are dominated by the 472 uncertainty in human performance at this task. The main reason, of course, is the selective 473 diffusion, since it does not degrade the quality of the part of the image containing the lesions 474 in the liver. Whereas the visual appearance of the tissue outside the liver in the diffused images 475 is different compared to typical images, this does not seem to hamper the radiologists in their 476 assessment. 477

Several limitations remain in our study. First, evaluation of the processing time suggests that a large part of the time used for the compression process is the diffusion processing time. In this study, it requires 34.7 [32.4-36.8] seconds using the CPU to diffuse a 3D CT image of around 90 slices (see Table 2). Yet, according to Kalaiselvi (2018)⁷¹, anisotropic diffusion filters can be sped up by 1-2 orders of magnitude when they are implemented on a modern GPU (such

as the NVIDIA QUADRO K5000). Furthermore, Wei et.al. (2018)⁵⁹ suggested that HEVC 483 compression implementation on a multicore CPU/GPU can save 99% of the processing time. 484 Thus, with the current computational capabilities of modern GPUs, that the processing time 485 of the compression process using DLAD method can be reduced significantly with a hardware-486 optimized implementation. Secondly, we only demonstrate the compression framework for the 487 purpose of RFA liver intervention using 3D CT abdominal images. However, several recent studies 488 on multi-organ segmentations using CNNs^{72,73} have showed that regions of others organs such 489 as the kidneys and spleen can be extracted from the 3D medical images within one minute 490 with high accuracy. In practice, the teleradiologist may not aim to see several organs at once. 491 Thus, the teleradiologist may manually interact with the images to choose the organ of interest; 492 this can then be used to guide the DLAD method to diffuse the images while preserving the 493 organ of interest. Furthermore, we suggest that after the first compressed image is sent, further 494 studies may subsequently transfer the subtraction image and then combine it with the diffused 495 image to fully restore the original image. In order to reduce the delayed time at the receiver, 496 Zerva et al.²⁵ suggested that progressive transmission of compressed images can be applied. In 497 our proposed framework, a part of the compressed 3D images can be progressively transmitted 498 while the compression process is applied on the remanding part of the images. Thirdly, the 499 HEVC-visually lossless implementation we used in this study only supports a series of 2D images. 500 Nevertheless, we expect HEVC-based compression methods for 3D image compression, using 501 inter-slice information, may further improve CR score at the expense of greater computational 502 resource requirements. 503

Recently, the Covid-19 pandemic has been first reported in Wuhan in China and spread out 504 to 215 countries around the world within only a few months. The pandemic has become a global 505 threat with at least 40 million infected cases and 1 million deaths⁷⁴, causing the lockdowns and 506 the social distancing in many countries around the world. In such a situation, telemedicine in 507 general and teleradiology in particular are a potential solution for clinical diagnosis and treatment. 508 Although the application of the teleradiology still faces many challenges, such as safeguarding 509 privacy and security, we believe that along with the development of telecommunications technol-510 ogy, medical image compression approaches may have a significant contribution on accelerating 511 the use of teleradiology, especially in less well connected areas. 512

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V. CONCLUSIONS

In conclusion, we introduced a framework for teleintervention using 3D medical images. 514 The framework is based on the proposed image processing method, DLAD, which uses a CNN 515 network for region of interest selection and an anisotropic diffusion filter to reduce the entropy 516 of the image without affecting image quality in the region of the organ of interest. DLAD was 517 further combined with a lossless compression method to compress the image. We showed that 518 the method can obtain compression ratios up to 6.45, which is 36% better than compressing 519 without DLAD, while the means of PSNR and SSIM are 70 dB and 0.95, respectively. In 520 addition, we demonstrated that, for liver cancer CT images, images processed in this way do not 521 degrade the detection and localization abilities of radiologists using these images. These results 522 indicated that the compression framework can be used to effectively compress 3D medical images 523 while preserving the quality required for the clinical use. The method thus has a high potential 524 to be implemented in teleradiology applications. 525

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531 CONFLICT OF INTEREST

The authors have no conflicts to disclose.

533 ETHICAL STATEMENT

The data from Erasmus Medical Center was obtained under a waiver by the Medical Ethics Committee of the Erasmus MC, University Medical Center Rotterdam. The local medical research ethics committee decided that the Medical Research Involving Human Subjects Act does not apply to this study. The data from the LiTS challenge and Mayo Clinic is publicly available for research, agreed by the local medical research ethics committees.

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