

# Geoscience Frontiers

## Spatial Landslide Susceptibility Assessment Using Machine Learning Techniques Assisted by Additional Data Created with Generative Adversarial Networks --Manuscript Draft--

<b>Manuscript Number:</b>	GSF-D-20-00191R2
<b>Article Type:</b>	Research Paper
<b>Keywords:</b>	Landslide susceptibility; Machine learning; Generative adversarial networks; Convolutional neural networks; Geographic information systems
<b>Corresponding Author:</b>	Biswajeet Pradhan, PhD University Technology Sydney Sydney, AUSTRALIA
<b>First Author:</b>	Husam A. H. Al-Najjar
<b>Order of Authors:</b>	Husam A. H. Al-Najjar Biswajeet Pradhan, PhD
<b>Abstract:</b>	<p>In recent years, landslide susceptibility mapping has substantially improved with advances in machine learning. However, there are still challenges remain in landslide mapping due to the availability of limited inventory data. In this paper, a novel method that improves the performance of machine learning techniques is presented. The proposed method creates synthetic inventory data using generative adversarial networks (GANs) for improving the prediction of landslides. In this research, landslide inventory data of 156 landslide locations were identified in Cameron Highlands, Malaysia, taken from previous projects the authors worked on. Altitude, slope, aspect, plan curvature, profile curvature, total curvature, lithology, land use and land cover, distance from the road, distance from the stream, stream power index, sediment transport index, terrain roughness index, topographic wetness index and vegetation density are geo-environmental factors considered in this study based on suggestions from previous works on Cameron Highlands. To show the capability of GANs in improving landslide prediction models, this study tests the proposed GAN model with artificial neural network (ANN), support vector machine (SVM), decision trees (DT), random forest (RF) and bagging ensemble models with ANN and SVM models. These models were validated using the area under the receiver operating characteristic curve (AUROC). The DT, RF, SVM, ANN and Bagging ensemble could achieve the AUROC values of (0.90, 0.94, 0.86, 0.69 and 0.82) for the training; and the AUROC of (0.76, 0.81, 0.85, 0.72 and 0.75) for the test, subsequently. When using additional samples, the models achieved the AUROC values of (0.92, 0.94, 0.88, 0.75 and 0.84) for the training and (0.78, 0.82, 0.82, 0.78 and 0.80) for the test, respectively. Without the use of additional samples created by the GAN model, SVM achieved the highest AUROC of 0.85, whereas ANN had the lowest AUROC of 0.72. RF and SVM achieved AUROC of 0.82 when the additional samples were used for training these models. Using the additional samples improved the test accuracy of all the models except SVM. As a result, in data-scarce environments, this research showed that utilizing GANs to generate supplementary samples is promising because it can improve the predictive capability of common landslide prediction models.</p>

# Spatial Landslide Susceptibility Assessment Using Machine Learning Techniques Assisted by Additional Data Created with Generative Adversarial Networks

Husam A. H. Al-Najjar <sup>a</sup> and Biswajeet Pradhan <sup>a,b\*</sup>

<sup>a</sup> Centre for Advanced Modelling and Geospatial Information Systems (CAMGIS), Faculty of Engineering and IT, University of Technology Sydney, 2007 NSW, Australia; Husam.al-najjar@student.uts.edu.au; Biswajeet.Pradhan@uts.edu.au

<sup>b</sup> Department of Energy and Mineral Resources Engineering, Sejong University, Choongmu-gwan, 209, Neungdong-ro, Gwangjin-gu, Seoul 05006, Korea

\*Corresponding author Email: [biswajeet24@gmail.com](mailto:biswajeet24@gmail.com) or [Biswajeet.Pradhan@uts.edu.au](mailto:Biswajeet.Pradhan@uts.edu.au)

## Abstract

In recent years, landslide susceptibility mapping has substantially improved with advances in machine learning. However, there are still challenges remain in landslide mapping due to the availability of limited inventory data. In this paper, a novel method that improves the performance of machine learning techniques is presented. The proposed method creates synthetic inventory data using Generative Adversarial Networks (GANs) for improving the prediction of landslides. In this research, landslide inventory data of 156 landslide locations were identified in Cameron Highlands, Malaysia, taken from previous projects the authors worked on. Elevation, slope, aspect, plan curvature, profile curvature, total curvature, lithology, land use and land cover (LULC), distance to the road, distance to the river, stream power index (SPI), sediment transport index (STI), terrain roughness index (TRI), topographic wetness index (TWI) and vegetation density are geo-environmental factors considered in this study based on suggestions from previous works on Cameron Highlands. To show the capability of GANs in improving landslide prediction models, this study tests the proposed GAN model with benchmark models namely Artificial Neural Network (ANN), Support Vector Machine (SVM), Decision Trees (DT), Random Forest (RF) and Bagging ensemble models with ANN and SVM models. These models were validated using the area under the receiver operating characteristic

30 curve (AUROC). The DT, RF, SVM, ANN and Bagging ensemble could achieve the AUROC  
31 values of (0.90, 0.94, 0.86, 0.69 and 0.82) for the training; and the AUROC of (0.76, 0.81, 0.85,  
32 0.72 and 0.75) for the test, subsequently. When using additional samples, the same models  
33 achieved the AUROC values of (0.92, 0.94, 0.88, 0.75 and 0.84) for the training and (0.78,  
34 0.82, 0.82, 0.78 and 0.80) for the test, respectively. Using the additional samples improved the  
35 test accuracy of all the models except SVM. As a result, in data-scarce environments, this  
36 research showed that utilizing GANs to generate supplementary samples is promising because  
37 it can improve the predictive capability of common landslide prediction models.

38 **Keywords:** Landslide susceptibility, Inventory, Machine learning, Generative adversarial  
39 network, Convolutional neural network, Geographic information system

## 40 **1. Introduction**

41 Natural hazards are major challenges worldwide, and many countries are spending a significant  
42 amount of their yearly budget to control and prevent them. Landslides pose a serious risk to  
43 human habitats. The risk of landslides is a major barrier to agricultural and urban development  
44 practices. In addition, ongoing urbanization is placing vast demands on infrastructure and  
45 escalating the threat to property and human lives. As a result, landslide hazard assessment has  
46 become a major step in planning the most suitable for risk mitigation measures. Experts  
47 frequently use the maps generated from this assessment to identify regions where thorough in-  
48 situ studies should be conducted. Landslide hazard assessment is a complex task that includes  
49 comprehension of the science of geotechnics, geomorphology, hydrology and statistics (Glade  
50 et al., 2012). This objective has motivated computational modeling studies, particularly the  
51 evaluation of landslide susceptibility. Statistical and physical models are often used to  
52 accomplish this task (Formetta et al., 2014).

53 Physical-based models combine susceptibility analysis with soil and rock mechanics, creating  
54 a physical basis for this method (Wang et al., 2019). They are appropriate at a local scale such  
55 as single slope, basin/ catchment and requires site-specific geotechnical data (Park et al., 2019).  
56 Generally, the infinite slope model is used in the analysis of slope stability with hydrological  
57 or earthquake models. Although reliable geotechnical parameters are essential for such models,  
58 lack of geotechnical data throughout a large scale area and the expensiveness remain the main  
59 obstacles in the physical-based models (Lee et al., 2014). Various landslides studies and  
60 assessments were carried out to develop landslide-prone areas in Malaysia (Fanos and Pradhan,  
61 2019; Mezaal and Pradhan, 2018; Pradhan and Lee, 2010; Sameen et al., 2020).

62 Statistical models, also known as empirical models, use landslide inventories and other  
63 conditioning factors (e.g. terrain and land use), which can be extracted at large scales using  
64 remote sensing data and Geographical Information Systems (GIS). Such techniques have  
65 gained popularity in the field of landslide susceptibility assessment, especially when addressing  
66 the challenge of landslide mapping of prone areas at large scales, where enough geotechnics  
67 information is not available to perform physical-based models (Goetz et al., 2011). These  
68 models have also been supported by the latest progress in the availability and accessibility of  
69 remote sensing-based derived information, such as topography, land cover and precipitation  
70 products, thereby improving the application of the method at large scales.

71 Several scholars have evaluated various statistical models to assess landslide susceptibility  
72 (Akbar and Chen, 2018; Braun et al., 2018; Ciurleo et al., 2017; Goetz et al., 2015; Huang and  
73 Zhao, 2018; Kavzoglu et al., 2019; Süzen and Doyuran, 2004; Xiao et al., 2019; Zêzere et al.,  
74 2017). Early approaches to modeling landslide susceptibility are based on field investigations.  
75 Such techniques, however, are costly and site-specific, and they heavily involve extensive  
76 expertise in geology and geomorphology. Statistical approaches of landslide susceptibility  
77 modeling have become very popular during the last two decades. Recently, several scholars

78 including (Kawabata and Bandibas, 2009; Lee and Sambath, 2006; Mandal and Mondal, 2019;  
79 Pradhan, 2013) evaluated several statistical models, such as frequency ratio (FR), logistic  
80 regression (LR), artificial neural network (ANN), certainty factor (CF), analytical hierarchy  
81 process (AHP) and fuzzy logic (FL). They suggested that ANN-, CF and FR-based FL are the  
82 most reliable techniques in assessing and predicting landslide susceptibility, at least for their  
83 case study. Regardless of the type of models and where they belong (statistical or machine  
84 learning), they are good for landslide susceptibility assessment of large areas. Statistical models  
85 can also be evaluated quantitatively at lower costs than evaluating a physical model. In  
86 addition, these models are computationally more efficient than physical models because the  
87 latter require simulations with numerous iterations to determine some geotechnical parameters  
88 that are used to prepare the susceptibility products. However, they have certain limitations,  
89 which include difficulties in explaining the results of the black box models and over-fitting in  
90 the case of limited training samples.

## 91 **2. Related Works**

92 Landslide susceptibility mapping has improved substantially during the last decade because of  
93 new data processing techniques such as sampling methods, machine learning models, and  
94 validation measures. Some studies have focused on sampling strategies, selection of training  
95 samples and addressing the effects of incomplete inventory datasets. In landslide susceptibility  
96 mapping, training data play a critical role in determining the accuracy and generalization of the  
97 model. The size of the training data has a significant effect on the accuracy of the susceptibility  
98 model. For example, in the training data under some sample threshold limits, Hussin et al.  
99 (2016) showed that model performance was very low, while the use of a large number of  
100 landslides above the threshold created a plateau effect, with no increase in model performances.  
101 Tsangaratos and Ilia (2016) also reported that the size of training data influences the prediction  
102 accuracy when using models such as LR and Naive Bayes.

103 Several studies have attempted to improve landslide susceptibility models by proposing new  
104 factors into the process including conditioning factors optimization (Al-Najjar et al., 2019;  
105 Canoglu et al., 2019; Dou et al., 2015; Kavzoglu et al., 2015; Kornejady et al., 2018; Samia et  
106 al., 2018; Soma et al., 2019). Moreover, model parameterization and integration methods have  
107 been studied to improve landslide susceptibility mapping. Statistical and machine learning  
108 models are often affected by the selection of proper hyper-parameters for a specific case study  
109 (Can et al., 2019; Feizizadeh et al., 2017). Moreover, the model's integration has also been  
110 active research for improving the landslide susceptibility in the last few years (Kalantar et al.,  
111 2018). Examples of model integration studies include ensemble models (Bragagnolo et al.,  
112 2020; Kadavi and Lee, 2018) and integration of data-driven and knowledge-based models  
113 (Ashournejad et al., 2019; Yan et al., 2019; Zhang et al., 2019).

114 Studies on sampling strategies for landslide susceptibility mapping have been active in recent  
115 years. Hussin et al. (2016) assessed different landslide sampling strategies (scarp centroid,  
116 points populating the scarp and entire scarp polygon) in a grid-based statistical model. These  
117 strategies achieve the highest performance when sampling shallow landslides as grid points  
118 and debris flow scarps as polygons. Yilmaz and Ercanoglu (2019) discussed the necessity of  
119 studying the selection of data mining techniques; they emphasized that sampling methods such  
120 as polygon features or seed cells representative pre-failure settings appear to be more genuine  
121 in obtaining truthful maps than other methods. Lai et al. (2019) also explored the influence of  
122 sampling strategies for improving landslide susceptibility mapping.

123 In addition to the size of training data and the sampling strategy, studies have investigated  
124 various ways of selecting training samples. Conoscenti et al. (2016) performed landslide  
125 susceptibility mapping through investigating the impact of landslide absence (negative  
126 samples) on the models; they extracted the landslide absence using randomly distributed circles  
127 that have a diameter equivalent to the mean width of the landslide source areas. Moreover, the

128 individual grid cells were randomly distributed to distinguish the non-landslide zones (absence  
129 selection). Experiments from this study based on multivariate adaptive regression splines  
130 showed that absences selection using random circles are significantly better than the other  
131 method when learning and validation samples were extracted from the same area, and no  
132 significant difference was observed when testing the models outside the training area. Kalantar  
133 et al. (2018) evaluated the impact of landslide samples varieties on the SVM, LR and ANN  
134 methods; their investigation demonstrated that randomness in the training sample selection has  
135 a significant effect on the susceptibility models. The outcome showed that, in the section of  
136 training samples, the LR model is less sensitive than the SVM and ANN models. Zhu et al.  
137 (2019) proposed a method based on similarity sampling for absence selection; their  
138 experiments on a common machine learning models showed that this new method  
139 outperformed the existing methods, such as buffer control and target space exteriorization.  
140 Hong et al. (2019) assessed the impact of absence data selection on the RF model. Aktas and  
141 San (2019) developed a new automatic sampling method based on a two-level random  
142 sampling.

143 The impact of landslide inventory incompleteness on susceptibility mapping was also carried  
144 out in recent studies. Du et al. (2020) assessed landslide susceptibility in Tibet Chinese  
145 Himalayas, with a multinomial logistic regression model with reported average AUC of 0.867;  
146 however, there were some uncertainties in the landslide-prone areas defined by their AHP  
147 model. Steger et al. (2016) assessed the impact of spatially heterogeneous completeness of  
148 landslide information on statistical landslide susceptibility models (e.g. logistic regression) by  
149 artificially introducing two different mapping biases into available landslides and synthetically  
150 generated landslides. Although they reported AUROCs greater than 0.85, they suggested the  
151 method needed to be evaluated with other different models. In another study, Lee et al. (2018)  
152 employed optimized data mining and statistical methods for various scenarios considering

153 limited inventories. In their model, SVM achieved the AUC of 0.85 when either the full or  
154 limited landslide inventories were used; however, generating additional inventories was not  
155 considered in their study. Steger et al. (2016) suggested that models directly associated with  
156 inventory-based incompleteness should be rejected regardless of their performance.  
157 Furthermore, they proposed using mixed-effects modeling if systematically missing landslide  
158 information can be attributed to a spatial variable (Steger et al., 2018).

159 The aforementioned studies indicate several ways to improve landslide susceptibility models,  
160 such as data-related methods and others that target the model construction and training process.  
161 This study aims to develop a new method for additional landslide sample creation with  
162 generative adversarial networks (GANs) which could be useful in the inventory-scarce  
163 environment. Several machine learning models, such as ANN, SVM, DT, RF and Bagging  
164 ensemble, with ANN and SVM as base classifiers, are used to evaluate the new method of  
165 landslide susceptibility mapping. These methods are compared in a case study in Cameron  
166 Highlands, Malaysia.

### 167 **3. Study area and materials**

#### 168 **3.1. Study area**

169 The Cameron Highlands district, located in the state of Pahang, Malaysia (Fig. 1), was selected  
170 as a study area because it often experiences landslides and flash floods. These events are caused  
171 by heavy and prolonged rainfall causing significant damages to properties. In this tropical  
172 mountainous area, landslides are common as shown by government reports and past studies by  
173 (Matori and Basith, 2012; Pradhan and Lee, 2010).

174 From the geomorphology aspect, the region is characterized as hilly, and altitudes are in the  
175 range of 840–2110 meters (Sameen and Pradhan, 2019). The primary drainage characteristics  
176 of the area consist of two rivers, namely, the Bertam and the Telom. Considerable types of



177 vegetation in Cameron Highlands include tropical forest and tea plantations, flower fields and  
178 temperate crops. Concerning lithology, the greater part of the region contains mega crystal  
179 biotite granites and phyllite as well as some schists layers (Pradhan and Lee, 2010). The area  
180 has a fair climate with an average annual rainfall starting from March to May and from  
181 November to December. The average nightly temperature of the study area is 14 °C, whereas  
182 the daily temperature reaches 24 °C. Approximately 8.0% (55 km<sup>2</sup>) of the area is classified as  
183 cropland, 86% (600 km<sup>2</sup>) is categorized as cultivated area, and 4.0% (27.5 km<sup>2</sup>) represents as  
184 residential areas.

### 185 **3.2. Landslide inventory map**

186 Data-driven landslide susceptibility assessment requires landslide inventories for model  
187 training and validation. Landslide inventory can be prepared using field investigations,  
188 historical landslide events from news and government reports and remote sensing data analysis.  
189 In this investigation, landslide inventories were taken from the study compiled by (Mezaal and  
190 Pradhan, 2018; Pradhan and Lee, 2010; Sameen et al., 2020). Overall, 156 landslides were  
191 identified and verified in the study area.

### 192 **3.3. Landslide conditioning factors**

193 Fifteen conditioning factors including elevation, slope, aspect, plan curvature, profile  
194 curvature, total curvature (Fig. 2a-f), lithology, LULC, distance to road, distance to river, SPI,  
195 STI (Fig. 2g-l), TRI, TWI and vegetation density (Fig. 2m-o) were selected as geo-  
196 environmental factors because they have been widely used in landslide susceptibility studies  
197 (Al-Najjar et al., 2019; Can et al., 2019; Canoglu et al., 2019; Huang and Zhao, 2018; Lee and  
198 Sambath, 2006). The related data were obtained over the study area on 15 January 2015 by  
199 utilizing a light detection and ranging (LiDAR) airborne system with a specification of 25,000  
200 HZ pulse frequency rate and a density of 8 points/m<sup>2</sup>. Then, a one-meter spatial resolution of

201 the digital elevation model was generated after removing non-ground points. Non-ground point  
202 removal was performed utilizing multi-scale curvature and inverse distance weighted  
203 interpolation approaches via ArcGIS Pro 2.4 software.

204 This study used six geomorphological factors, i.e. total curvature, plan curvature, profile  
205 curvature, slope, elevation and slope aspect in the susceptibility mapping given that landslides  
206 are influenced by terrain type. The elevation was included because it affects the extent of rock  
207 weathering and is used by many scholars for landslide susceptibility assessment (Ayalew and  
208 Yamagishi, 2005). The elevation of the investigation region was in the range from 690 to 1487  
209 meters. The slope is another important factor, often included in landslide susceptibility studies  
210 (Kamp et al., 2008). The slope values ranged from  $0^\circ$  to  $78.88^\circ$ . We also included the slope  
211 direction (also known as slope aspect) because its task is to control concentrations of  
212 topographic wetness affected by precipitation and solar radiation. In addition, plan, profile and  
213 total curvature were also used (Ozdemir and Altural, 2013). In general, curvature affects slope  
214 instability. Plan curvature represents the curvature when it is vertical to the path of the highest  
215 slope. Profile curvature is parallel to the slope and designates the maximum slope orientation.  
216 It affects the speeding up and slowing down of stream movement (Lee et al., 2004). The total  
217 curvature is formed by combining the plane and profile curvatures (Romer and Ferentinou,  
218 2016). If the surface is convex, the curvature is considered as positive; if it is concave, then it  
219 is considered as negative. The value of zero reveals a linear surface (Al-Najjar et al., 2019).

220 Lithology and LULC were also used as conditioning factors for the preparation of landslide  
221 susceptibility mapping. Lithology is important for landslide susceptibility assessment studies  
222 because it affects the nature and system of landslides as rocks vary in form of mineral structure  
223 besides internal formation (Kornejady et al., 2017). The lithology types in the study area are  
224 mostly granite. The study area also contains schist, phyllite and slate types of lithology  
225 (Pradhan and Lee, 2010). Human activities are also considered influential to landslides because

226 they affect patterns of land use and land cover. The LULC map of the study area obtained from  
227 the Department of Survey and Mapping, Malaysia which shows that the area contains forest,  
228 agricultural areas, urban areas, water bodies, transportation, barren lands and others (industrial,  
229 infrastructure and utilities, institutions and community facilities). Also, the distance to the road  
230 and river were included in our analysis.

231 Moreover, four hydrological factors were used in this study. These factors are topographic  
232 wetness index (TWI), sediment transport index (STI), stream power index (SPI) and terrain  
233 roughness index (TRI). SPI represents the movement of solid particles when gravity plays its  
234 role on deposits (Rotigliano et al., 2012). STI represents slope failure and deposition. TRI  
235 describes the coarseness of the local terrain which affects the topographic and hydrological  
236 processes in the development of landslide occurrence. TWI reflects the direction and slope of  
237 the flow, which is considered as a measurement for mastering the hydrological processes.  
238 These factors were calculated using the following formulas (Yilmaz, 2009). Finally, vegetation  
239 density was also used as a landslide conditioning factor. The vegetation density was calculated  
240 using the normalized difference vegetation index variable (Pradhan, 2013) extracted from  
241 Landsat 8 images. A vegetation density map was classified under four types, i.e. high-density  
242 vegetation, medium density, poor density and non-vegetation.

$$243 \quad \text{SPI} = A_s \times \tan\beta \quad (1)$$

$$244 \quad \text{STI} = \left(\frac{A_s}{22.13}\right)^{0.6} \times \left(\frac{\sin\beta}{0.0896}\right)^{1.3} \quad (2)$$

$$245 \quad \text{TRI} = \sqrt{\text{Abs}(\max^2 - \min^2)} \quad (3)$$

$$246 \quad \text{TWI} = \ln\left(\frac{A_s}{\tan\beta}\right) \quad (4)$$

247 where,  $A_s$  is defined as a specific area of the catchment ( $m^2/m$ );  $(\beta)$  in radian, is a slope gradient  
248 (in  $^\circ$ ); min and max values represent the highest and lowest number of rectangular cells within  
249 nine DTM windows, respectively. The definition of the specific catchment is the area of the  
250 slope in the upper slide per unit of the length of a contour, which is the area of cells divided by  
251 the size of the cell (Kalantar et al., 2018).

## 252 **4. Methodology**

### 253 **4.1. Overview**

254 The proposed method creates synthetic inventory data using GANs for improving the  
255 prediction of landslides. Fig. 3 illustrates the overall workflow of the current study. First, a  
256 landslide inventory of 156 landslide locations and 15 conditioning factors were set as inputs  
257 for the models. The inventory dataset was split into 70% of training and 30% of testing samples.  
258 Then, five machine learning models (e.g. DT, RF, SVM, RF and Bagging ensemble) utilized  
259 to evaluate the landslide susceptibility without additional samples. Thereafter, the GAN  
260 method was used to create additional training samples with the existing inventory dataset; these  
261 new samples were combined with the original training dataset and used to train the same  
262 machine learning models again. Once the models were trained, they were tested with the same  
263 test dataset used in the first case (without additional samples). Finally, the landslide  
264 susceptibility maps were produced by the proposed models. Each map was classified into five  
265 susceptibility categorical classes. These models were then validated and assessed using the area  
266 under the receiver operating characteristic curve (AUROC).

### 267 **4.2. Description of machine learning techniques**

268 The following subsections describe the machine learning models used in this study.

#### 269 **4.2.1. ANN model**

270 ANNs exhibit advantages over traditional computational methods (e.g. rule-based) because the  
271 model does not require a straightforward practice to estimate desired yields (Jain et al.,  
272 1996).After deciding on the number of hidden layers and the number of processing units in an  
273 individual layer, the ANN starts learning from the training samples (Aditian et al., 2018).

#### 274 **4.2.2. SVM model**

275 The goal of SVM models is to find the widest margin between two classes in feature space, by  
276 a hyperplane (Vapnik, 1995). In landslide susceptibility, the aim is to discriminate between  
277 susceptible (1) and not susceptible (-1) pixels. Its main advantages include mapping the data  
278 to a high dimensional space where it is easier to classify with linear decision surfaces, also  
279 reformulating problems so that data is mapped implicitly into this space.

#### 280 **4.2.3. Decision tree (DT) model**

281 The DT model is a supervised and nonparametric machine learning technique that is operable  
282 without prior knowledge about data distribution, with easy interpretation and capability to  
283 model as well as it handles the reduction of data complexity and the relationships between  
284 variables. Compared to other models, it is a flexible, fast, and robust algorithm that can be used  
285 to control the nonlinearity between the input features and discrete classes so that nonlinear  
286 relationships between parameters do not affect tree performance. Moreover, DT models are  
287 simple to construct and clarify for decision-makers (Kadavi et al., 2019; Saito et al., 2009;  
288 Yeon et al., 2010).

#### 289 **4.2.4. Random forest (RF) model**

290 RF is a group of DTs that form an ensemble learning model used for classification and  
291 regression problems (Liaw and Wiener, 2002). These models are effective for prediction  
292 because they utilize the strength of each tree and their correlations and less sensitive to over-  
293 fitting problems. The difference between RF and DT is that a decision tree is built on a whole

294 dataset, utilizing all the variables of interest, while a random forest randomly adopts  
295 observations and specific variables to construct multiple decision trees from, and then averages  
296 the results. In the present study, samples for landslide and non-landslide events were selected  
297 to construct the classification tree (30% of the samples were kept aside from the training and  
298 500 nodes were set as a favorite value).

#### 299 **4.2.5. Bagging ensemble model**

300 In machine learning, several classifiers sometimes are combined and trained to boost the  
301 prediction competence of a model (Polikar, 2012). Several combination methods, such as  
302 Bagging, AdaBoost, multi boost and stacking can be used such as averaging or majority voting  
303 (Breiman, 1996; Freund and Schapire, 1995; Kadavi and Lee, 2018; Webb, 2000). In landslide  
304 susceptibility, Bagging has shown superiority over the other methods. Bagging, which is also  
305 known as bootstrap aggregating, is a method of sub-dataset generation and combining learners.  
306 In this study, the bootstrap samples were employed to build base learners utilizing similar  
307 classification approaches, such as SVM and ANN. These based learners were then united by  
308 the dominant voting technique.

#### 309 **4.3. Additional data creation with GANs**

310 The GAN which was introduced by Goodfellow et al., (2014) is a type of neural network that  
311 trained in an adversarial pattern to produce novel data mimicking specific divisions or  
312 distributions. Since their invention, numerous upgraded versions of GANs (concerning  
313 firmness of training and perceptual quality) have been developed, including Wasserstein,  
314 conditional, Laplacian pyramid and deep convolutional GANs. GANs have been applied for  
315 the generation of images, image in-painting, semi-supervised learning and image super-  
316 resolution in various domains.

317 The general design of a GAN consists of two functions (Goodfellow et al., 2014), i.e. a  
318 generator ( $G$ ) and a discriminator ( $D$ ) which its functionality is demonstrated in (Fig. 4). In  
319 consideration of a random uniform distribution, the  $G$  maps a sample from the data distribution.  
320 Meanwhile, the  $D$  is trained to discriminate whether the generated sample has a place in the  
321 genuine distribution of the data. The  $G$  and  $D$  are generally learned together following game  
322 theory, although they can be learned through other approaches and techniques.

323 For each duty, a sample from arbitrary noise  $z$  is created by the  $G$  to mislead  $D$ . Then, the real  
324 samples are presented by the  $D$ , as well as the samples created by the  $G$ , to categorize the  
325 samples as fake or real. By producing samples that can fool the  $D$ , the  $G$  is rewarded. By  
326 generating correct classification,  $D$  is also rewarded. Both tasks are continuously revised until  
327 a Nash equilibrium is obtained. Then, the repetition is paused. More particularly, let  $D(s)$  be  
328 the likelihood that  $s$  originates from genuine information (real data) rather than the generator.  
329  $G$  and  $D$  play a minimax game with the following value function (Goodfellow et al., 2014).

$$330 \quad \min_G \max_D V(D, G) = E_{s \sim p_{\text{data}}(s)}[\log D(s)] + E_{z \sim p_z(z)}[\log(1 - D(G(z)))] \quad (5)$$

#### 331 **4.4. Validation of susceptibility maps**

332 For a given set of models, the validation was tested by calculating the area under the receiver  
333 operating characteristic curve (AUROC). The inventory dataset was split into 70% of training  
334 and 30% of testing samples. The ROC was created by plotting the sensitivity of the model  
335 versus 1-specificity. The values of AUROC ranged from 0.5 to 1.0, where a high value  
336 indicates the superiority of a model.

337

## 338 **5. Results**

### 339 **5.1. Application of RFs in selecting factors for modeling**

340 This study applied RFs to remove irrelevant factors from the analysis. The model was used  
341 with 180 base estimators and the entire inventory dataset. After the model was trained, the  
342 importance values of the 15 factors along with the standard deviation values were computed.  
343 Table 1 shows the results of this analysis. The results indicate that the slope factor has the  
344 greatest importance value (0.178), followed by LULC (0.171) and aspect (0.125). Most of the  
345 landslides have occurred in moderate to high steep areas (slope > 18°). This characteristic  
346 allowed the model to distinguish slides from non-slide pixels easily. Similarly, past landslides  
347 have occurred in certain land use areas, such as forest, agriculture and barren lands. Schist  
348 bedrock is more frequently exposed to slopes facing north through the southwest. The  
349 remaining factors, except SPI and STI, also have significant contributions to landslide  
350 occurrence. Thus, only SPI and STI were removed from the analysis in this study.

## 351 **5.2. Evaluation of five applied models (without additional data)**

352 The five models were evaluated by the most commonly used statistical measure, AUROC,  
353 where 70% and 30% of the inventory samples were used as training and test data, respectively.  
354 In all five models, the best values of the hyper-parameters as computed by the grid search over  
355 a specific search space were used, which is shown in (Table 2). Table 3 shows the results  
356 obtained for the studied models. The highest AUROC values for the training and test datasets  
357 were achieved by the RF (0.94) and SVM (0.85) models, respectively. Using either the training  
358 or test dataset, the ANN model has the lowest AUROC value compared with the other models.  
359 The Bagging ensemble model was disadvantageous in the current study when SVM was used  
360 as a base learner. The training and test accuracy of the SVM model was decreased by 0.04 and  
361 0.1, respectively after the Bagging ensemble model was used. Therefore, the SVM model was  
362 a good choice for the study area. However, SVM still faces challenges. For example, it slows  
363 down with additional factors, its predictive capability can be degraded with a smaller training



364 sample size and it requires careful optimization of the penalty parameter and the kernel  
365 function.

### 366 **5.3. Evaluation of applied models (with additional data)**

367 Additional training samples were generated by the proposed GAN model. These new samples  
368 were combined with the original training dataset and used to train the same models again. Once  
369 the models were trained, they were tested with the same test dataset used in the previous section  
370 (Section 5.2). Thus, a fair comparison was conducted to evaluate the proposed GAN model.  
371 Table 4 shows the AUROC values obtained for the five models using the training (with  
372 additional samples) and test datasets. The highest training accuracy was achieved by the RF  
373 model (0.94). The RF and SVM models achieved the same accuracy (0.82) using the test  
374 dataset. ANN has the lowest training accuracy of 0.75. However, ANN is as accurate as of the  
375 DT model on the test dataset.

376 The additional samples created by the GAN model contributed to increasing the training  
377 accuracy of the five models, except that the RF model that achieved the same accuracy in both  
378 cases. The ANN model gained the greatest benefit from the additional samples as its training  
379 accuracy increased by 0.06. Using the test dataset, the additional samples improved the  
380 predictive capability of the models, except that of the SVM model whose test accuracy was  
381 decreased by 0.03.

382 By employing the proposed models, five landslide susceptibility maps were generated from the  
383 study area using natural break methods (Fig. 5). Each map was classified into five categorical  
384 classes, i.e. very low, low, moderate, high and very high. The blue indicates a low susceptible  
385 area, whereas red indicates a highly susceptible area.

### 386 **5.4. Influence of additional samples created by GANs on model performance**

387 Various numbers of additional samples (5, 10, 20, 30, 40, 50, 100 and 500) were tested to  
388 analyze the influence of the number of generated samples on the performance of the models'  
389 prediction (Fig. 6). The analysis showed that the DT model performed the best using 10  
390 additional samples on the training dataset, but performed worse using more than 50 additional  
391 samples. On the test dataset, the DT model performed the best with 40 additional samples.  
392 Meanwhile, the SVM model suffered from over-fitting on the training dataset using additional  
393 samples. With 500 additional samples, the SVM model achieved 0.97 AUROC on the training  
394 dataset, but it achieved only 0.72 AUROC on the test dataset. Similar results were observed for  
395 the Bagging ensemble model. With 500 additional samples, the model achieved 0.94 AUROC  
396 on the training dataset and 0.65 AUROC on the test dataset, thereby indicating over-fitting.  
397 Similarly, the ANN model also suffered from over-fitting on the training dataset. It achieves  
398 0.75 AUROC with 5 additional samples and 0.91 with 500 additional samples. Among the  
399 models, the RF model was less sensitive to the number of additional samples. The best accuracy  
400 remained with the 50 additional samples on both datasets. The generation of samples with  
401 GANs does not always guarantee to improve model accuracy. Various tests should be evaluated  
402 before deciding on the final susceptibility models.

## 403 **6. Discussion**

404 Machine learning has been an effective landslide susceptibility mapping method. However,  
405 with insufficient data, these machine learning models often suffer from generalizing to areas  
406 other than the training area. Especially in landslide susceptibility mapping, gathering inventory  
407 data is expensive, and some areas have not experienced a large number of landslides.  
408 Nevertheless, many studies have attempted to develop models that work with insufficient data.  
409 For example, sampling strategy and validation methods have been validated to address the  
410 challenges of modeling with limited data effectively. Given that randomness of the training,  
411 data selection influences the model performance (Kalantar et al., 2018), sampling strategies

412 that avoid model over-fitting to the training data have been proposed (Aktas and San, 2019;  
413 Conoscenti et al., 2016). More often than not, landslide inventory data are incomplete. Such  
414 incomplete data affect the selection of the absence samples. For this problem, Steger et al.  
415 (2016) suggested that models can correlate with landslide inventory incompleteness, and thus,  
416 they should be rejected regardless of their performance. Techniques such as factor  
417 optimization, development of new factors and model ensembling have also been extensively  
418 discussed in the recent literature.

419 Removing insignificant factors was useful to decrease the impact of model over-fitting due to  
420 the limited training. The RF model showed that SPI and STI were not influential and thus were  
421 removed from the analysis. Estimation of the factors also plays an important role in obtaining  
422 insights into the factors included in the model. Similar to previous studies, the present study  
423 found the slope to be a significant factor. The landslide inventory dataset showed that most of  
424 the landslides have occurred in moderate to high steep areas. A significant number of past  
425 landslides have occurred in certain land use areas, such as forest, agriculture and barren lands.  
426 The results of the RF model were also consistent with the inventory data, where LULC and  
427 aspect were found to be significant.

428 The evaluation of the models with and without additional samples showed that the proposed  
429 GAN can improve the performance of the susceptibility model. When the training data were  
430 used, the GAN model improved the accuracy of all the models except RF. Some models, such  
431 as ANN, performed better than others. Using the test data contributed to increasing the  
432 accuracy of all the models except SVM. Moreover, the number of additional samples  
433 significantly affected the modeling performance. The DT, SVM and ANN models over-fitted  
434 the training data when a large number of additional samples were included in the training set.  
435 The RF model was less sensitive to the number of additional samples than other models. Thus,  
436 adding newly generated samples to the training set may not always lead to an increase in model

437 accuracy, especially on the test data. Therefore, the number of additional samples should be  
438 considered as a parameter and fine-tuned before training any machine learning model.

## 439 **7. Conclusions**

440 This study addressed the aforementioned problem with a GAN-based method. This model was  
441 used to create an additional training sample with the existing inventory dataset. The proposed  
442 method was evaluated on a dataset taken from Cameron Highlands, Malaysia. Five machine  
443 learning and statistical models were implemented to assess the proposed GAN model. The  
444 outcomes revealed that using additional samples created by the proposed GAN model can  
445 improve the predictive capability of the studied models, except SVM.

446 Generative models, such as GANs, can be useful for landslide susceptibility mapping,  
447 especially when the training data for the area under study are inadequate. However, the used  
448 models should be carefully analyzed to avoid over-fitting to the training samples. In addition,  
449 the hyper-parameters of the used models can be optimized to improve the overall performance  
450 of the landslide susceptibility models when samples created by generative models are used.  
451 Improvements in landslide susceptibility maps can help in the implementation of land use  
452 planning and the design of landslide mitigation strategies. Improvements in landslide  
453 susceptibility models also contribute towards improving landslide hazard and risk assessment.  
454 The proposed method, therefore, can be a useful tool for engineers, geoscientists and planners.

455 **Funding:** This research is funded by the Centre for Advanced Modelling and Geospatial  
456 Information Systems (CAMGIS), Faculty of Engineering and Information Technology, the  
457 University of Technology Sydney, Australia.

458 **Conflicts of Interest:** The authors declare no conflict of interest.

459 **Acknowledgments:** The authors would like to thank the Faculty of Engineering and  
460 Information Technology, the University of Technology Sydney for providing all facilities

461 during this research. We are also thankful to the Department of Mineral and Geosciences, the  
462 Department of Surveying Malaysia, and the Federal Department of Town and Country  
463 Planning.

#### 464 **References**

465 Aditian, A., Kubota, T., Shinohara, Y., 2018. Comparison of GIS-based landslide susceptibility  
466 models using frequency ratio, logistic regression, and artificial neural network in a  
467 tertiary region of Ambon, Indonesia. *Geomorphology* 318, 101–111.  
468 <https://doi.org/10.1016/j.geomorph.2018.06.006>

469 Akbar, A.Q., Chen, G., 2018. Comparison of major statistical methods and their combination  
470 using matrix validation for landslide susceptibility mapping. *Lowland Technology*  
471 *International* 20, 401–412.

472 Aktas, H., San, B.T., 2019. Landslide susceptibility mapping using an automatic sampling  
473 algorithm based on two level random sampling. *Computers and Geosciences* 133,  
474 104329. <https://doi.org/10.1016/j.cageo.2019.104329>

475 Al-Najjar, H.A.H., Kalantar, B., Pradhan, B., Saeidi, V., 2019. Conditioning factor  
476 determination for mapping and prediction of landslide susceptibility using machine  
477 learning algorithms, in: *In Earth Resources and Environmental Remote Sensing/GIS*  
478 *Applications*. International Society for Optics and Photonics. p. 19.  
479 <https://doi.org/10.1117/12.2532687>

480 Ashournejad, Q., Hosseini, A., Pradhan, B., Hosseini, S.J., 2019. Hazard zoning for spatial  
481 planning using GIS-based landslide susceptibility assessment: a new hybrid integrated  
482 data-driven and knowledge-based model. *Arabian Journal of Geosciences* 12.  
483 <https://doi.org/10.1007/s12517-019-4236-0>

- 484 Ayalew, L., Yamagishi, H., 2005. The application of GIS-based logistic regression for  
485 landslide susceptibility mapping in the Kakuda-Yahiko Mountains, Central Japan.  
486 *Geomorphology* 65, 15–31. <https://doi.org/10.1016/j.geomorph.2004.06.010>
- 487 Bragagnolo, L., Silva, R.V. d., Grzybowski, J.M.V., 2020. Artificial neural network ensembles  
488 applied to the mapping of landslide susceptibility. *Catena* 184, 104240.  
489 <https://doi.org/10.1016/j.catena.2019.104240>
- 490 Braun, A., Urquia, Elias Leonardo Garcia Lopez, Rigoberto Moncada Yamagishi, H., 2018.  
491 Landslide Susceptibility Mapping in Tegucigalpa, Honduras, Using Data Mining  
492 Methods, in: *Slope Stability: Case Histories, Landslide Mapping, Emerging*  
493 *Technologies*. pp. 207–215.
- 494 Breiman, L., 1996. Bagging Predictors. *Machine Learning* 24, 123–140.  
495 <https://doi.org/10.1007/BF00058655>
- 496 Can, A., Dagdelenler, G., Ercanoglu, M., Sonmez, H., 2019. Landslide susceptibility mapping  
497 at Ovacık-Karabük (Turkey) using different artificial neural network models:  
498 comparison of training algorithms. *Bulletin of Engineering Geology and the*  
499 *Environment* 78, 89–102. <https://doi.org/10.1007/s10064-017-1034-3>
- 500 Canoglu, M.C., Aksoy, H., Ercanoglu, M., 2019. Integrated approach for determining spatio-  
501 temporal variations in the hydrodynamic factors as a contributing parameter in landslide  
502 susceptibility assessments. *Bulletin of Engineering Geology and the Environment* 78,  
503 3159–3174. <https://doi.org/10.1007/s10064-018-1337-z>
- 504 Ciurleo, M., Cascini, L., Calvello, M., 2017. A comparison of statistical and deterministic  
505 methods for shallow landslide susceptibility zoning in clayey soils. *Engineering*  
506 *Geology* 223, 71–81. <https://doi.org/10.1016/j.enggeo.2017.04.023>

507 Conoscenti, C., Rotigliano, E., Cama, M., Caraballo-Arias, N.A., Lombardo, L., Agnesi, V.,  
508 2016. Exploring the effect of absence selection on landslide susceptibility models: A  
509 case study in Sicily, Italy. *Geomorphology* 261, 222–235.  
510 <https://doi.org/10.1016/j.geomorph.2016.03.006>

511 Dou, J., Bui, D.T., Yunus, A.P., Jia, K., Song, X., Revhaug, I., Xia, H., Zhu, Z., 2015.  
512 Optimization of causative factors for landslide susceptibility evaluation using remote  
513 sensing and GIS data in parts of Niigata, Japan. *PLoS ONE* 10.  
514 <https://doi.org/10.1371/journal.pone.0133262>

515 Du, J., Glade, T., Woldai, T., Chai, B., Zeng, B., 2020. Landslide susceptibility assessment  
516 based on an incomplete landslide inventory in the Jilong Valley, Tibet, Chinese  
517 Himalayas. *Engineering Geology* 270, 105572.  
518 <https://doi.org/10.1016/j.enggeo.2020.105572>

519 Fanos, A.M., Pradhan, B., 2019. A novel hybrid machine learning-based model for rockfall  
520 source identification in presence of other landslide types using LiDAR and GIS. *Earth  
521 Systems and Environment* 3, 491–506. <https://doi.org/10.1007/s41748-019-00114-z>

522 Feizizadeh, B., Roodposhti, M.S., Blaschke, T., Aryal, J., 2017. Comparing GIS-based support  
523 vector machine kernel functions for landslide susceptibility mapping. *Arabian Journal  
524 of Geosciences* 10. <https://doi.org/10.1007/s12517-017-2918-z>

525 Formetta, G., Rago, V., Capparelli, G., Rigon, R., Muto, F., Versace, P., 2014. Integrated  
526 Physically based System for Modeling Landslide Susceptibility. *Procedia Earth and  
527 Planetary Science* 9, 74–82. <https://doi.org/10.1016/j.proeps.2014.06.006>

528 Freund, Y., Schapire, R.E., 1995. A decision-theoretic generalization of on-line learning and  
529 an application to boosting., in: *In European Conference on Computational Learning  
530 Theory*. pp. 23–37. <https://doi.org/10.1007/3-540-59119-2>

531 Glade, T., Anderson, M., Crozier, M.J., 2012. Landslide hazard and risk.  
532 <https://doi.org/10.1002/9780470012659>

533 Goetz, J.N., Brenning, A., Petschko, H., Leopold, P., 2015. Evaluating machine learning and  
534 statistical prediction techniques for landslide susceptibility modeling. *Computers and*  
535 *Geosciences* 81, 1–11. <https://doi.org/10.1016/j.cageo.2015.04.007>

536 Goetz, J.N., Guthrie, R.H., Brenning, A., 2011. Integrating physical and empirical landslide  
537 susceptibility models using generalized additive models. *Geomorphology* 129, 376–  
538 386. <https://doi.org/10.1016/j.geomorph.2011.03.001>

539 Goodfellow, I., Pouget-Abadie, J., Mirza, M., Xu, B., Warde-Farley, D., Ozair, S., Courville,  
540 A., Bengio, Y., 2014. Generative Adversarial Nets, in: *In Advances in Neural*  
541 *Information Processing Systems* (Pp. 2672-2680). pp. 2672–2680.

542 Hong, H., Miao, Y., Liu, J., Zhu, A.X., 2019. Exploring the effects of the design and quantity  
543 of absence data on the performance of random forest-based landslide susceptibility  
544 mapping. *Catena* 176, 45–64. <https://doi.org/10.1016/j.catena.2018.12.035>

545 Huang, Y., Zhao, L., 2018. Review on landslide susceptibility mapping using support vector  
546 machines. *Catena* 165, 520–529. <https://doi.org/10.1016/j.catena.2018.03.003>

547 Hussin, H.Y., Zumpano, V., Reichenbach, P., Sterlacchini, S., Micu, M., van Westen, C.,  
548 Bălteanu, D., 2016. Different landslide sampling strategies in a grid-based bi-variate  
549 statistical susceptibility model. *Geomorphology* 253, 508–523.  
550 <https://doi.org/10.1016/j.geomorph.2015.10.030>

551 Jain, A.K., Mao, J. and, Mohiuddin, K.M., 1996. Artificial neural networks: A tutorial.  
552 *Computer* 29, 31–44.



553 Kadavi, P.R., Lee, C., 2018. Application of Ensemble-Based Machine Learning Models to  
554 Landslide Susceptibility Mapping. *Remote Sensing* 10, 1–18.  
555 <https://doi.org/10.3390/rs10081252>

556 Kadavi, P.R., Lee, C.W., Lee, S., 2019. Landslide-susceptibility mapping in Gangwon-do,  
557 South Korea, using logistic regression and decision tree models. *Environmental Earth*  
558 *Sciences* 78, 1–17. <https://doi.org/10.1007/s12665-019-8119-1>

559 Kalantar, B., Pradhan, B., Amir Naghibi, S., Motevalli, A., Mansor, S., 2018. Assessment of  
560 the effects of training data selection on the landslide susceptibility mapping: a  
561 comparison between support vector machine (SVM), logistic regression (LR) and  
562 artificial neural networks (ANN). *Geomatics, Natural Hazards and Risk* 9, 49–69.  
563 <https://doi.org/10.1080/19475705.2017.1407368>

564 Kamp, U., Growley, B.J., Khattak, G.A., Owen, L.A., 2008. GIS-based landslide susceptibility  
565 mapping for the 2005 Kashmir earthquake region. *Geomorphology* 101, 631–642.  
566 <https://doi.org/10.1016/j.geomorph.2008.03.003>

567 Kavzoglu, T., Colkesen, I., Sahin, E.K., 2019. Landslides: Theory, Practice and Modelling 50,  
568 283–301. <https://doi.org/10.1007/978-3-319-77377-3>

569 Kavzoglu, T., Kutlug Sahin, E., Colkesen, I., 2015. Selecting optimal conditioning factors in  
570 shallow translational landslide susceptibility mapping using genetic algorithm.  
571 *Engineering Geology* 192, 101–112. <https://doi.org/10.1016/j.enggeo.2015.04.004>

572 Kawabata, D., Bandibas, J., 2009. Landslide susceptibility mapping using geological data, a  
573 DEM from ASTER images and an Artificial Neural Network (ANN). *Geomorphology*  
574 113, 97–109. <https://doi.org/10.1016/j.geomorph.2009.06.006>

- 575 Kornejady, A., Ownegh, M., Bahremand, A., 2017. Landslide susceptibility assessment using  
576 maximum entropy model with two different data sampling methods. *Catena* 152, 144–  
577 162. <https://doi.org/10.1016/j.catena.2017.01.010>
- 578 Kornejady, A., Ownegh, M., Rahmati, O., Bahremand, A., 2018. Landslide susceptibility  
579 assessment using three bivariate models considering the new topo-hydrological factor:  
580 HAND. *Geocarto International* 33, 1155–1185.  
581 <https://doi.org/10.1080/10106049.2017.1334832>
- 582 Lai, J.S., Chiang, S.H., Tsai, F., 2019. Exploring influence of sampling strategies on event-  
583 based landslide susceptibility modeling. *ISPRS International Journal of Geo-*  
584 *Information* 8. <https://doi.org/10.3390/ijgi8090397>
- 585 Lee, J.H., Sameen, M.I., Pradhan, B., Park, H.J., 2018. Modeling landslide susceptibility in  
586 data-scarce environments using optimized data mining and statistical methods.  
587 *Geomorphology* 303, 284–298. <https://doi.org/10.1016/j.geomorph.2017.12.007>
- 588 Lee, M.L., Ng, K.Y., Huang, Y.F., Li, W.C., 2014. Rainfall-induced landslides in Hulu Kelang  
589 area, Malaysia. *Natural Hazards* 70, 353–375. [https://doi.org/10.1007/s11069-013-](https://doi.org/10.1007/s11069-013-0814-8)  
590 [0814-8](https://doi.org/10.1007/s11069-013-0814-8)
- 591 Lee, S., Ryu, J.H., Won, J.S., Park, H.J., 2004. Determination and application of the weights  
592 for landslide susceptibility mapping using an artificial neural network. *Engineering*  
593 *Geology* 71, 289–302. [https://doi.org/10.1016/S0013-7952\(03\)00142-X](https://doi.org/10.1016/S0013-7952(03)00142-X)
- 594 Lee, S., Sambath, T., 2006. Landslide susceptibility mapping in the Damrei Romel area,  
595 Cambodia using frequency ratio and logistic regression models. *Environmental*  
596 *Geology* 50, 847–855. <https://doi.org/10.1007/s00254-006-0256-7>
- 597 Liaw, A., Wiener, M., 2002. Classification and regression by randomForest. *R News* 2, 18–22.

598 Mandal, S., Mondal, S., 2019. Statistical approaches for landslide susceptibility assessment  
599 and prediction, in: Statistical Approaches for Landslide Susceptibility Assessment and  
600 Prediction. pp. 181–189. <https://doi.org/10.1007/978-3-319-93897-4>

601 Matori, A.N., Basith, A., 2012. Evaluation of landslide causative factors towards efficient  
602 landslide susceptibility modelling in the Cameron Highlands, Malaysia. WIT  
603 Transactions on Engineering Sciences 73, 207–218.  
604 <https://doi.org/10.2495/DEB120181>

605 Mezaal, M.R., Pradhan, B., 2018. An improved algorithm for identifying shallow and deep-  
606 seated landslides in dense tropical forest from airborne laser scanning data. Catena 167,  
607 147–159. <https://doi.org/10.1016/j.catena.2018.04.038>

608 Ozdemir, A., Altural, T., 2013. A comparative study of frequency ratio, weights of evidence  
609 and logistic regression methods for landslide susceptibility mapping: Sultan mountains,  
610 SW Turkey. Journal of Asian Earth Sciences 64, 180–197.  
611 <https://doi.org/10.1016/j.jseaes.2012.12.014>

612 Park, J.Y., Lee, S.R., Lee, D.H., Kim, Y.T., Lee, J.S., 2019. A regional-scale landslide early  
613 warning methodology applying statistical and physically based approaches in sequence.  
614 Engineering Geology 260, 105193. <https://doi.org/10.1016/j.enggeo.2019.105193>

615 Polikar, R., 2012. Ensemble Learning, In Ensemble machine learning.  
616 <https://doi.org/10.1007/978-1-4419-9326-7>

617 Pradhan, B., 2013. A comparative study on the predictive ability of the decision tree, support  
618 vector machine and neuro-fuzzy models in landslide susceptibility mapping using GIS.  
619 Computers and Geosciences 51, 350–365. <https://doi.org/10.1016/j.cageo.2012.08.023>

620 Pradhan, B., Lee, S., 2010. Regional landslide susceptibility analysis using back-propagation  
621 neural network model at Cameron Highland, Malaysia. *Landslides* 7, 13–30.  
622 <https://doi.org/10.1007/s10346-009-0183-2>

623 Romer, C., Ferentinou, M., 2016. Shallow landslide susceptibility assessment in a semiarid  
624 environment - A Quaternary catchment of KwaZulu-Natal, South Africa. *Engineering  
625 Geology* 201, 29–44. <https://doi.org/10.1016/j.enggeo.2015.12.013>

626 Rotigliano, E., Cappadonia, C., Conoscenti, C., Costanzo, D., Agnesi, V., 2012. Slope units-  
627 based flow susceptibility model: Using validation tests to select controlling factors.  
628 *Natural Hazards* 61, 143–153. <https://doi.org/10.1007/s11069-011-9846-0>

629 Saito, H., Nakayama, D., Matsuyama, H., 2009. Comparison of landslide susceptibility based  
630 on a decision-tree model and actual landslide occurrence: The Akaishi Mountains,  
631 Japan. *Geomorphology* 109, 108–121. <https://doi.org/10.1016/j.geomorph.2009.02.026>

632 Sameen, M.I., Pradhan, B., 2019. Landslide detection using residual networks and the fusion  
633 of spectral and topographic information. *IEEE Access* 7, 114363–114373.  
634 <https://doi.org/10.1109/access.2019.2935761>

635 Sameen, M.I., Pradhan, B., Bui, D.T., Alamri, A.M., 2020. Systematic sample subdividing  
636 strategy for training landslide susceptibility models. *Catena* 187, 104358.  
637 <https://doi.org/10.1016/j.catena.2019.104358>

638 Samia, J., Temme, A., Bregt, A.K., Wallinga, J., Stuiver, J., Guzzetti, F., Ardizzone, F., Rossi,  
639 M., 2018. Implementing landslide path dependency in landslide susceptibility  
640 modelling. *Landslides* 15, 2129–2144. <https://doi.org/10.1007/s10346-018-1024-y>

641 Soma, A.S., Kubota, T., Mizuno, H., 2019. Optimization of causative factors using logistic  
642 regression and artificial neural network models for landslide susceptibility assessment

643 in Ujung Loe Watershed, South Sulawesi Indonesia. *Journal of Mountain Science* 16,  
644 383–401. <https://doi.org/10.1007/s11629-018-4884-7>

645 Steger, S., Brenning, A., Bell, R., Glade, T., 2018. Incompleteness matters – An approach to  
646 counteract inventory-based biases in statistical landslide susceptibility modelling 20,  
647 8551.

648 Steger, S., Brenning, A., Bell, R., Glade, T., 2016. The influence of systematically incomplete  
649 shallow landslide inventories on statistical susceptibility models and suggestions for  
650 improvements. *Landslides* 14, 1767–1781. <https://doi.org/10.1007/s10346-017-0820-0>

651 Süzen, M.L., Doyuran, V., 2004. A comparison of the GIS based landslide susceptibility  
652 assessment methods: Multivariate versus bivariate. *Environmental Geology* 45, 665–  
653 679. <https://doi.org/10.1007/s00254-003-0917-8>

654 Tsangaratos, P., Ilia, I., 2016. Comparison of a logistic regression and Naïve Bayes classifier  
655 in landslide susceptibility assessments: The influence of models complexity and  
656 training dataset size. *Catena* 145, 164–179.  
657 <https://doi.org/10.1016/j.catena.2016.06.004>

658 Vapnik, V.N., 1995. Constructing learning algorithms. In *The nature of statistical learning*  
659 theory.

660 Wang, H.J., Xiao, T., Li, X.Y., Zhang, L.L., Zhang, L.M., 2019. A novel physically-based  
661 model for updating landslide susceptibility. *Engineering Geology* 251, 71–80.  
662 <https://doi.org/10.1016/j.enggeo.2019.02.004>

663 Webb, G.I., 2000. MultiBoosting: a technique for combining boosting and wagging. *Machine*  
664 Learning 40, 159–196. <https://doi.org/10.1023/A:1007659514849>

- 665 Xiao, T., Yin, K., Yao, T., Liu, S., 2019. Spatial prediction of landslide susceptibility using  
666 GIS-based statistical and machine learning models in Wanzhou County, Three Gorges  
667 Reservoir, China. *Acta Geochimica* 38, 654–669. [https://doi.org/10.1007/s11631-019-](https://doi.org/10.1007/s11631-019-00341-1)  
668 00341-1
- 669 Yan, F., Zhang, Q., Ye, S., Ren, B., 2019. A novel hybrid approach for landslide susceptibility  
670 mapping integrating analytical hierarchy process and normalized frequency ratio  
671 methods with the cloud model. *Geomorphology* 327, 170–187.  
672 <https://doi.org/10.1016/j.geomorph.2018.10.024>
- 673 Yeon, Y.K., Han, J.G., Ryu, K.H., 2010. Landslide susceptibility mapping in Injae, Korea,  
674 using a decision tree. *Engineering Geology* 116, 274–283.  
675 <https://doi.org/10.1016/j.enggeo.2010.09.009>
- 676 Yilmaz, I., 2009. Landslide susceptibility mapping using frequency ratio, logistic regression,  
677 artificial neural networks and their comparison: A case study from Kat landslides  
678 (Tokat-Turkey). *Computers and Geosciences* 35, 1125–1138.  
679 <https://doi.org/10.1016/j.cageo.2008.08.007>
- 680 Yilmaz, I., Ercanoglu, M., 2019. Natural Hazards GIS-Based Spatial Modeling Using Data  
681 Mining Techniques, in: *Landslide Inventory, Sampling and Effect of Sampling*  
682 *Strategies on Landslide Susceptibility/Hazard Modelling at a Glance*. pp. 205–224.
- 683 Zêzere, J.L., Pereira, S., Melo, R., Oliveira, S.C., Garcia, R.A.C., 2017. Mapping landslide  
684 susceptibility using data-driven methods. *Science of the Total Environment* 589, 250–  
685 267. <https://doi.org/10.1016/j.scitotenv.2017.02.188>
- 686 Zhang, T. yu, Han, L., Zhang, H., Zhao, Y. hua, Li, X. an, Zhao, L., 2019. GIS-based landslide  
687 susceptibility mapping using hybrid integration approaches of fractal dimension with

688 index of entropy and support vector machine. *Journal of Mountain Science* 16, 1275–  
689 1288. <https://doi.org/10.1007/s11629-018-5337-z>

690 Zhu, A.X., Miao, Y., Liu, J., Bai, S., Zeng, C., Ma, T., Hong, H., 2019. A similarity-based  
691 approach to sampling absence data for landslide susceptibility mapping using data-  
692 driven methods. *Catena* 183, 104188. <https://doi.org/10.1016/j.catena.2019.104188>

693

#### 694 **Figure caption**

695 **Fig. 1.** Location of the study area and landslide inventory map

696 **Fig. 2a-f.** Maps of landslide conditioning factors: (a) Elevation, (b) Slope, (c) Aspect, (d) Plan  
697 curvature, (e) Profile curvature, and (f) Total curvature.

698 **Fig. 2g-l.** Maps of landslide conditioning factors: (g) Lithology, (h) LULC, (i) Distance to road, (j)  
699 Distance to river, (k) SPI, and (l) STI.

700 **Fig. 2m-o.** Maps of landslide conditioning factors: (m) TRI, (n) TWI, and (o) Vegetation density.

701 **Fig. 3.** Overall workflow used in this study.

702 **Fig. 4.** The general architecture of GANs.

703 **Fig. 5.** Landslide susceptibility maps produced by proposed (a) DT, (b) RF, (c) SVM, (d) Bagging  
704 ensemble, and (e) ANN models.

705 **Fig. 6.** Training and test AUROC values calculated for the five models trained with original training  
706 dataset and additional samples created by GANs.

707

#### 708 **Table caption**

709 **Table 1.** Importance of affecting factors.

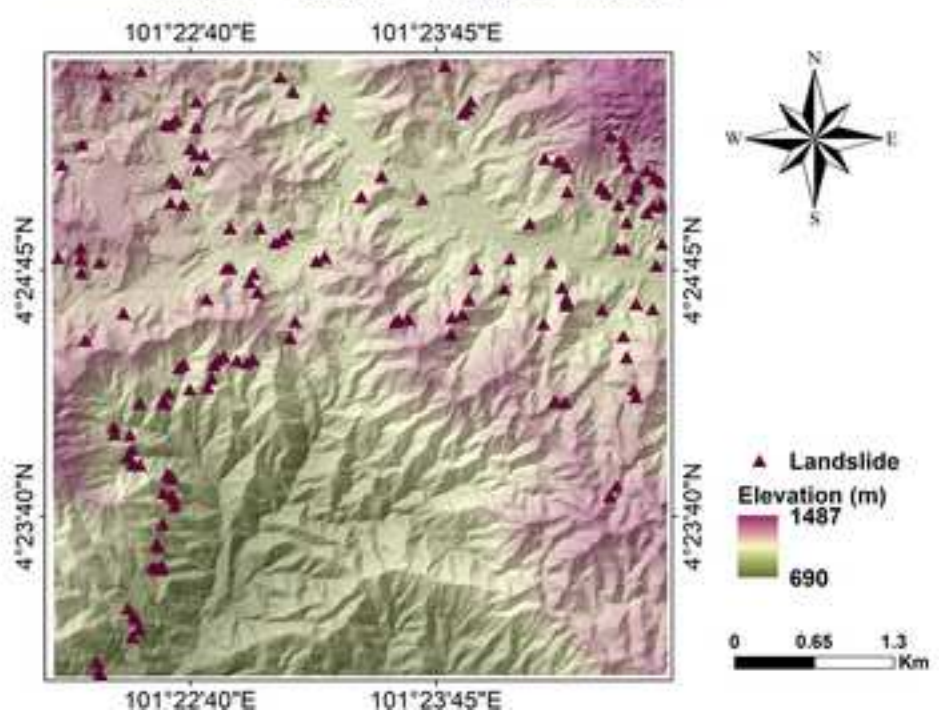
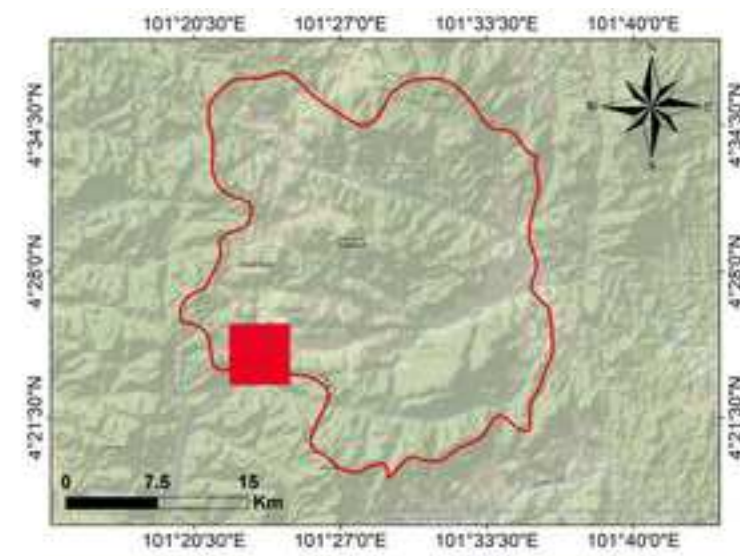
710 **Table 2.** Optimised parameters of five models and search spaces.

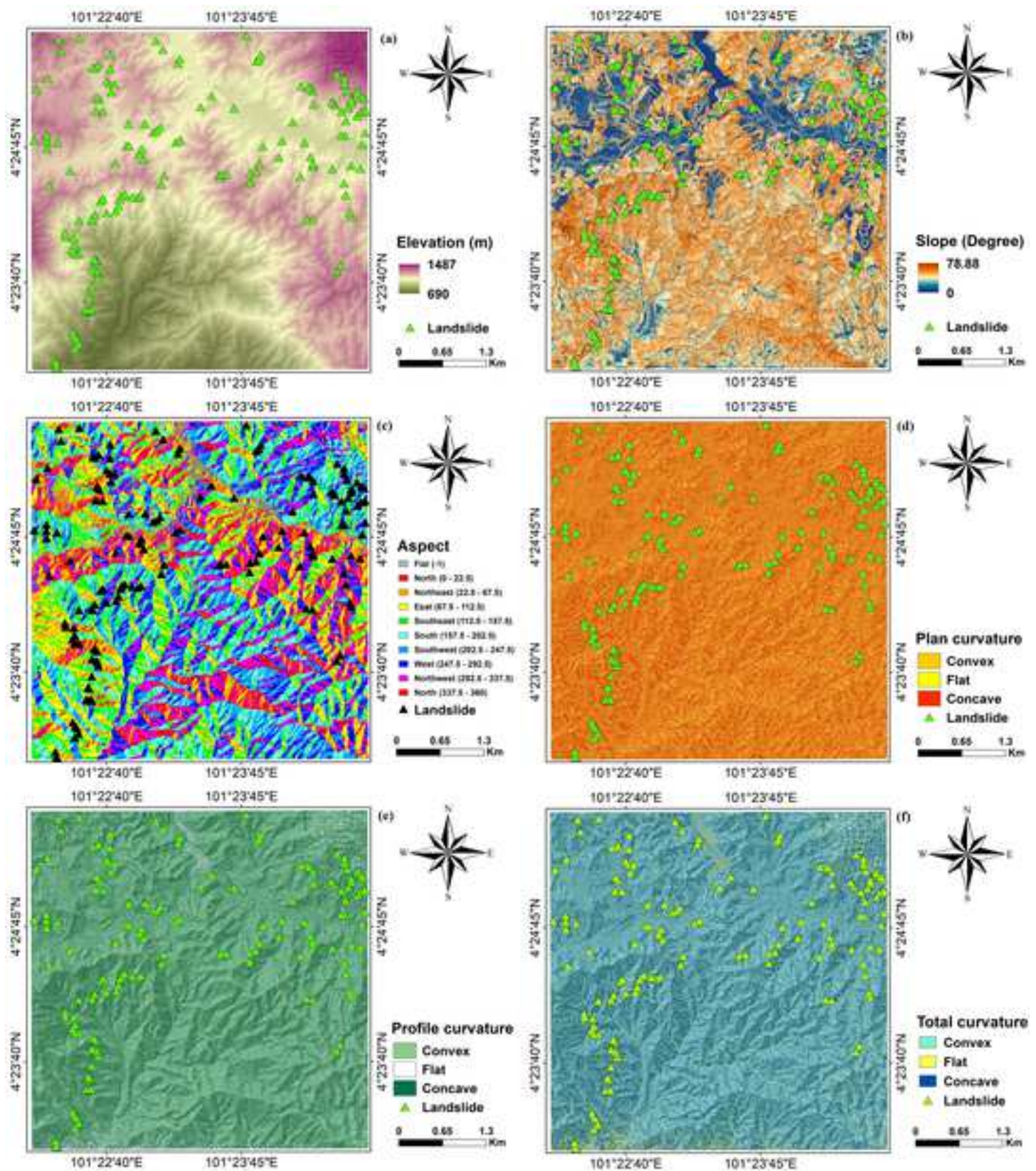
711 **Table 3.** AUROC values of five models using training and test datasets.

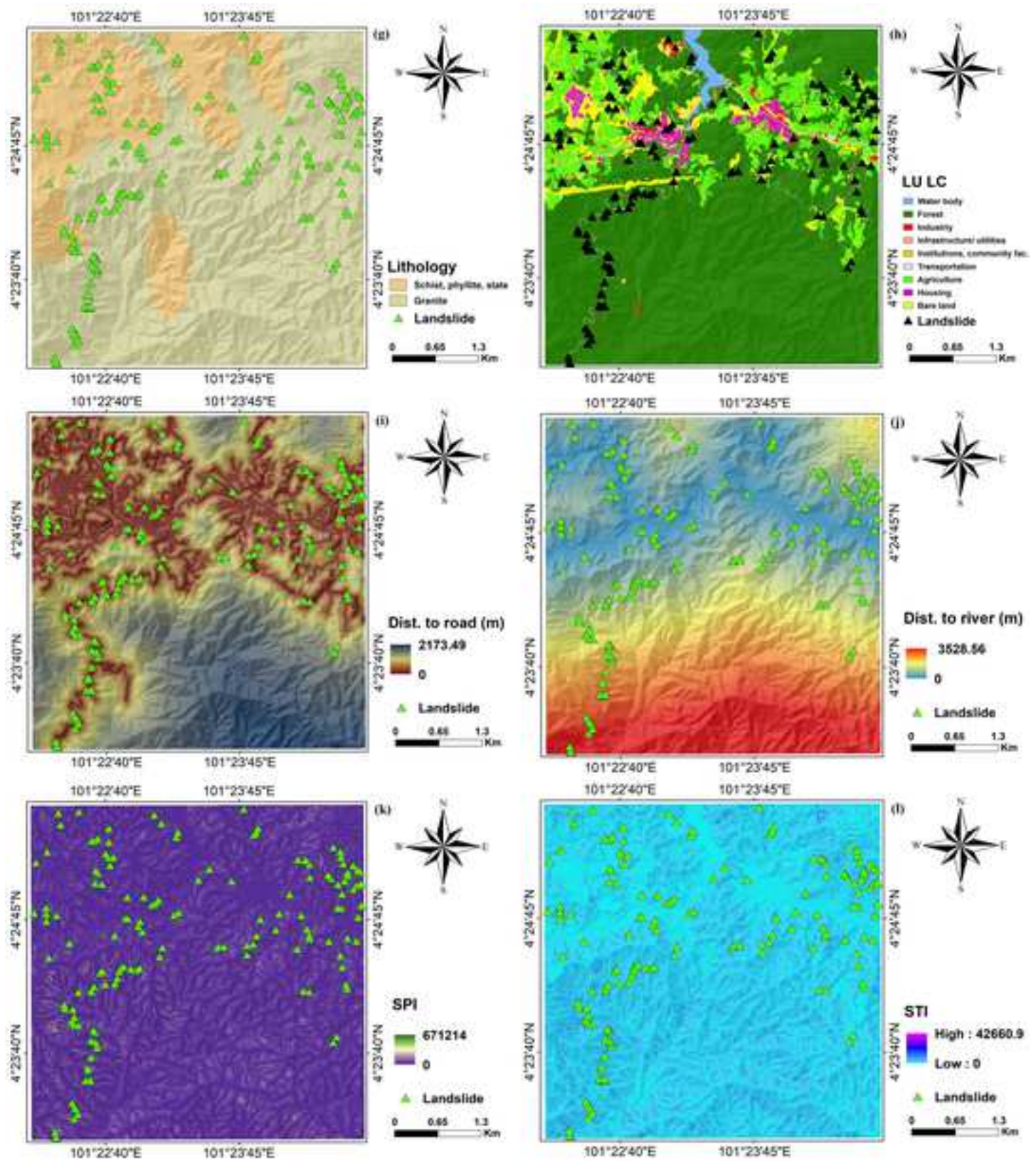
712 **Table 4.** AUROC values of models using training (with additional samples) and test datasets.

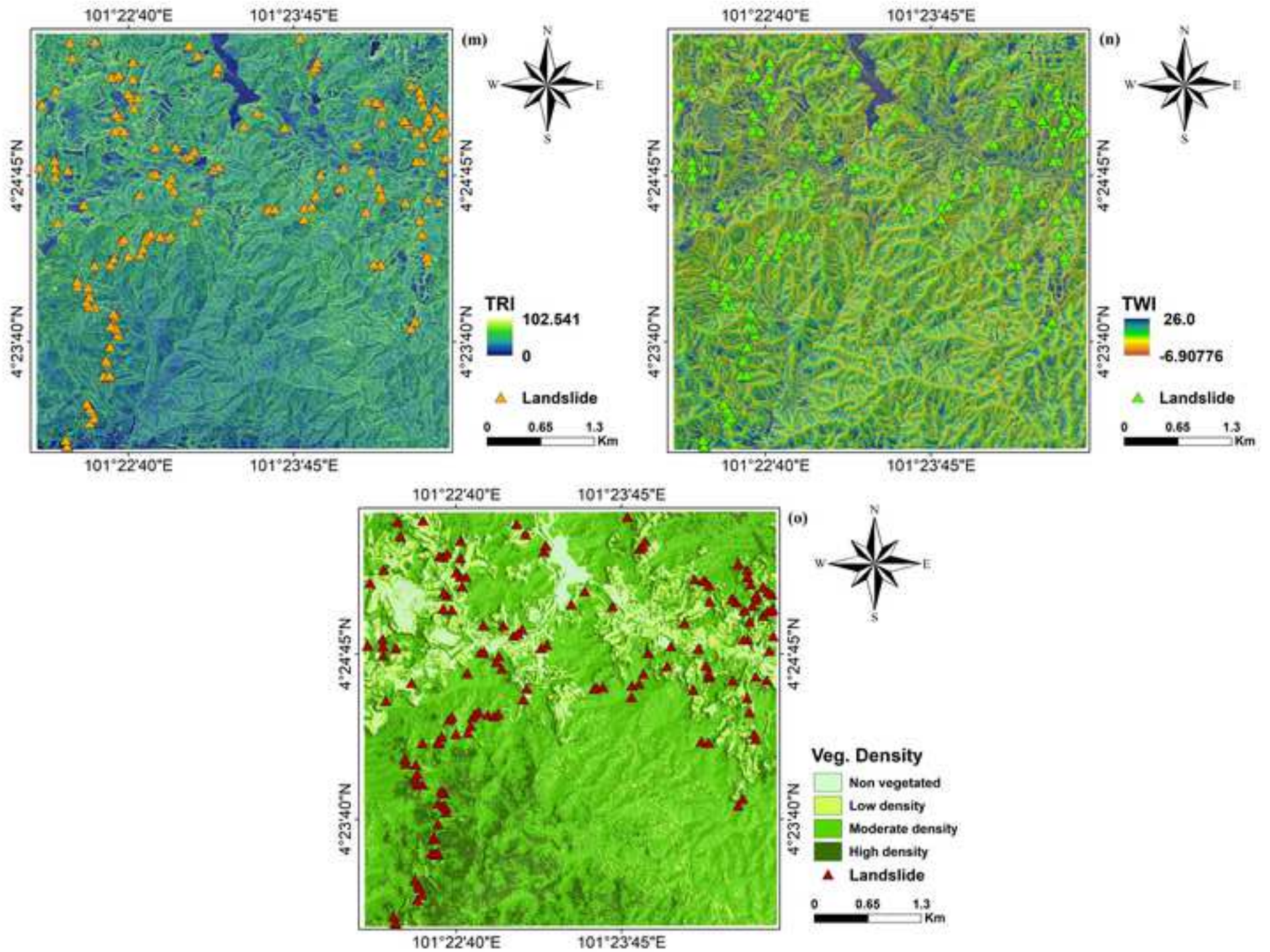
713

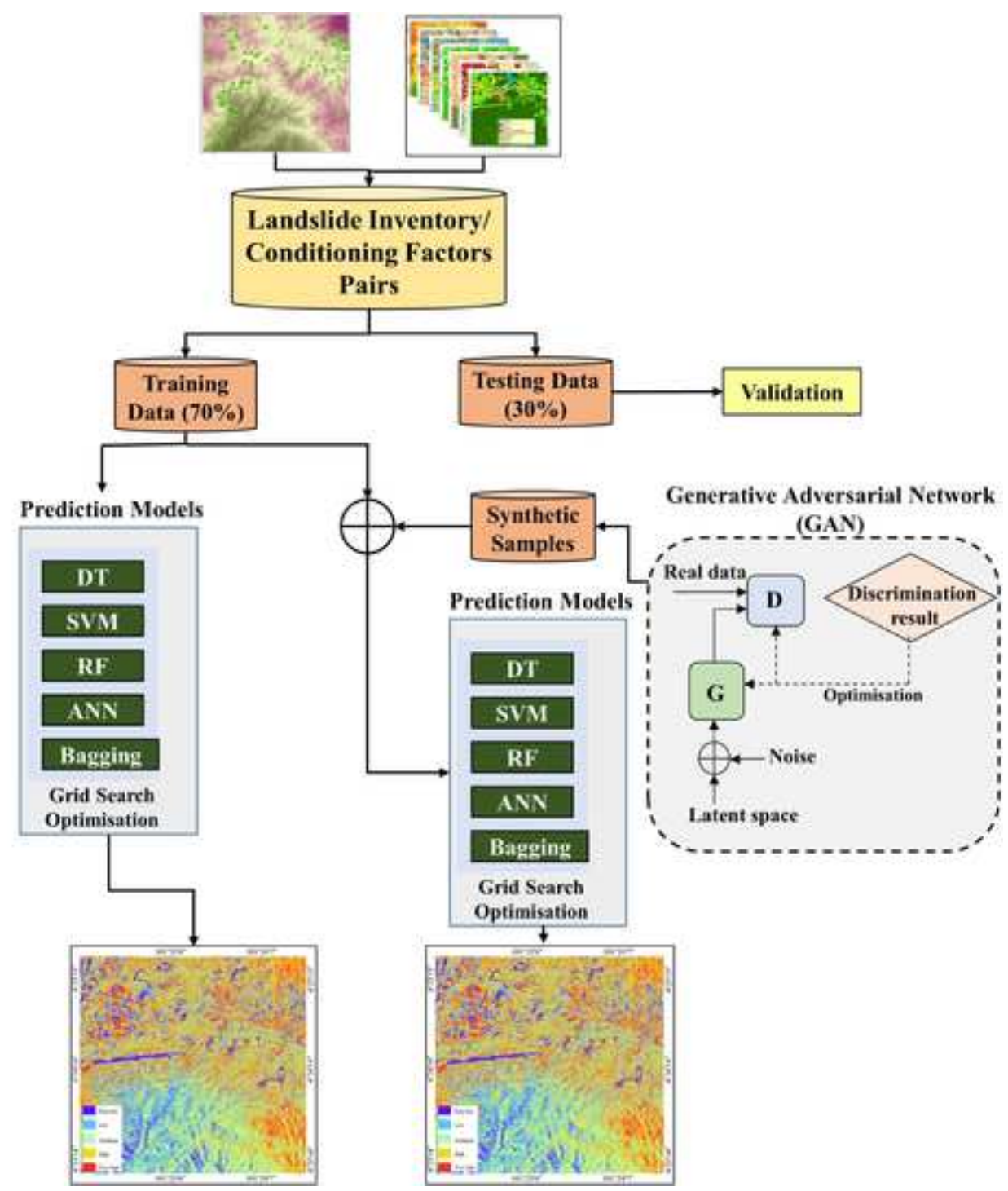


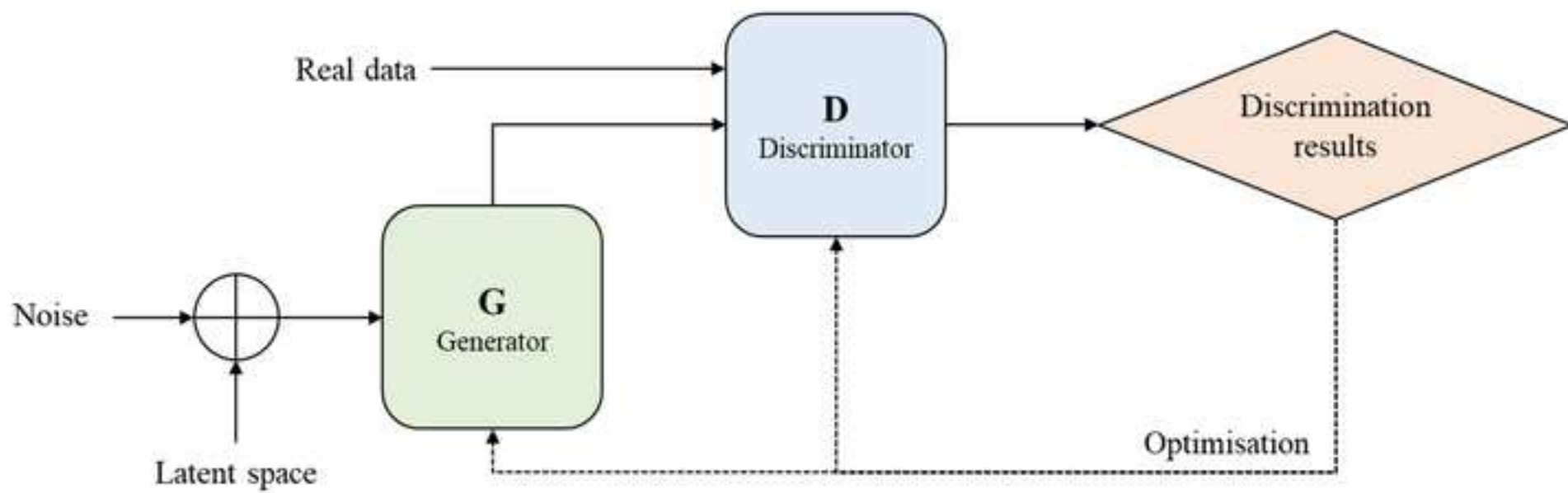


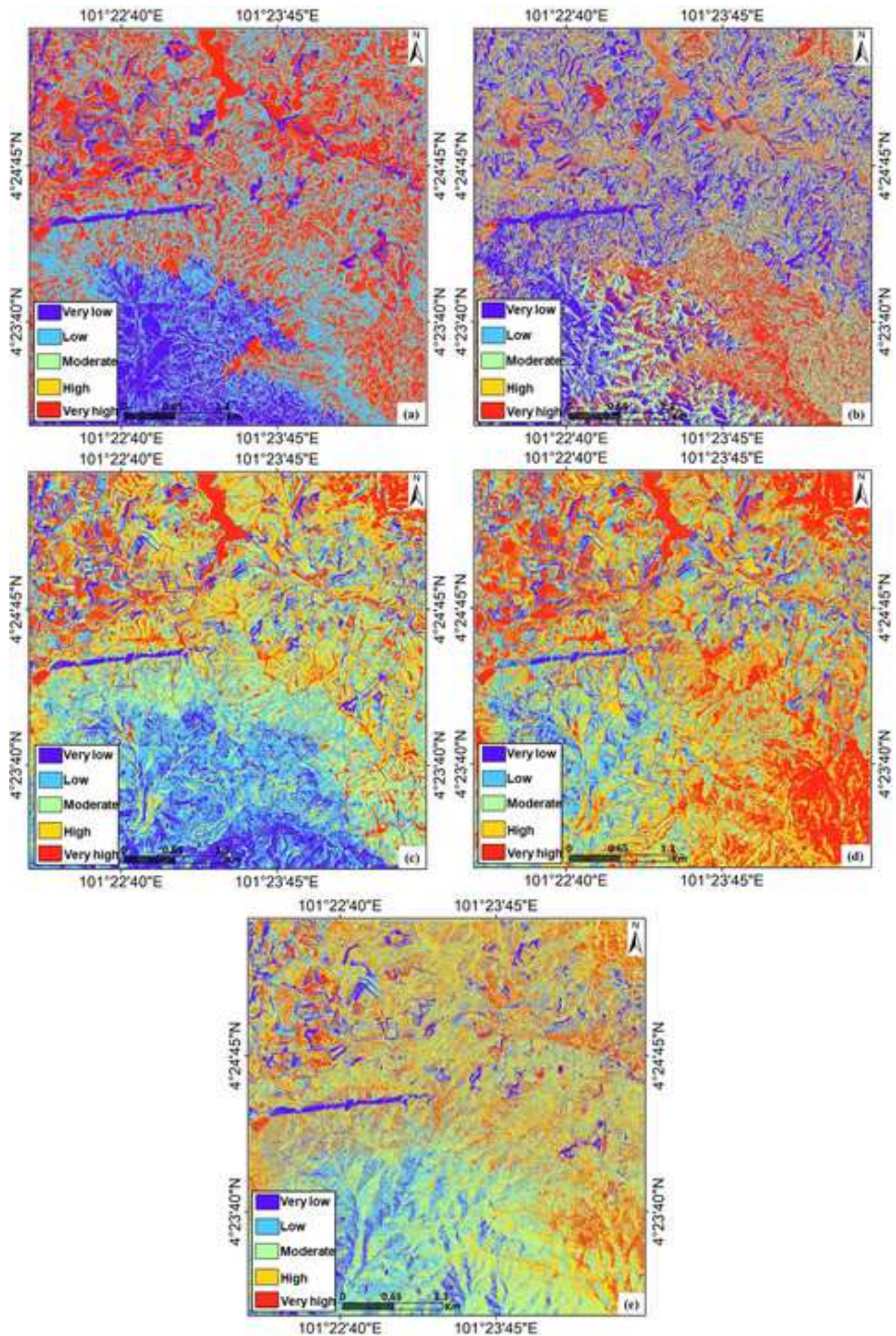












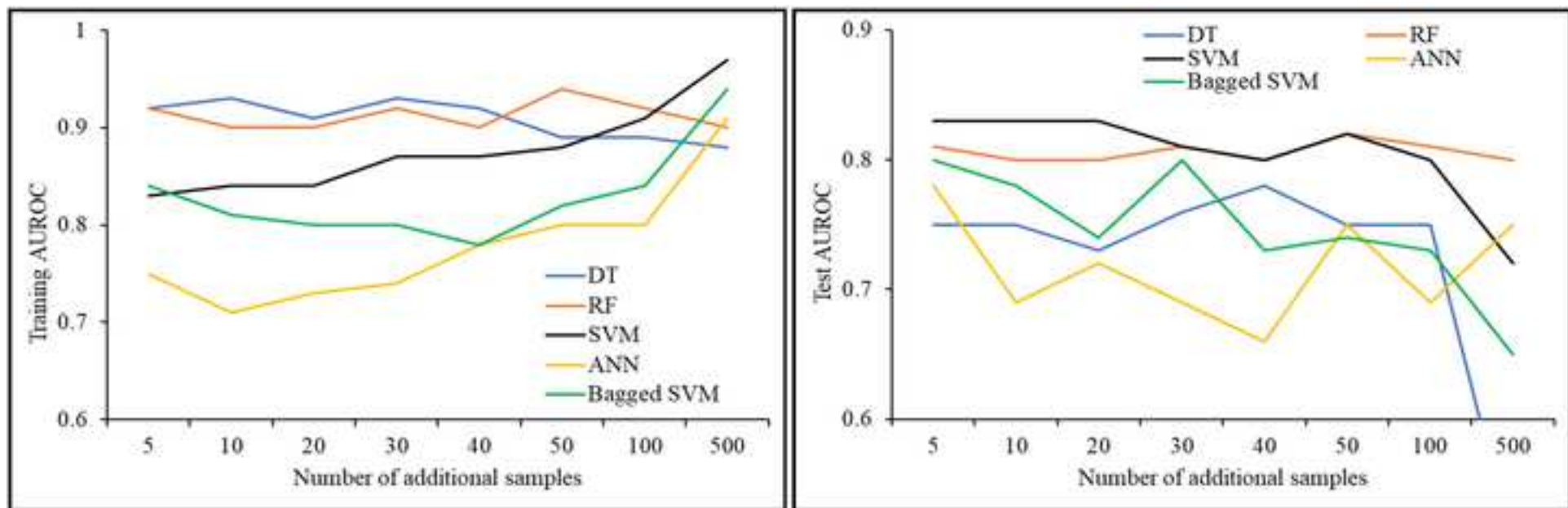




Table 1

<b>Factor</b>	<b>RF importance value</b>	<b>Standard deviation</b>
Slope	0.178	0.022
LULC	0.171	0.012
Aspect	0.125	0.013
Elevation	0.09	0.026
Vegetation density	0.073	0.017
TWI	0.063	0.023
Distance to river	0.061	0
TRI	0.06	0.018
Lithology	0.041	0.019
Total curvature	0.038	0.014
Plane curvature	0.034	0.039
Profile curvature	0.032	0.015
Distance to road	0.029	0.045
SPI	0	0
STI	0	0.015

Table 2

<b>Model</b>	<b>Parameters</b>	<b>Search space</b>	<b>Best value (grid search with 10-fold cross validation)</b>
DT	Maximum tree depth	[2–13]	5
RF	Number of base estimators	[10–1000]	180
	C	[1–1000]	5
SVM	Kernel function	[Linear, RBF, Sigmoid]	RBF
	Learning rate	[ $10^{-5}$ –1.0]	0.01
	Activation function	[ReLU, Tanh, Sigmoid, Linear]	ReLU
ANN	Number of hidden layers	[1–12]	1
	Number of hidden units in a hidden layer	[2–1024]	62
Bagging ensemble	Base learner	[DT, RF, SVM, ANN]	SVM

Table 3

<b>Model</b>	<b>Training AUROC</b>	<b>Test AUROC</b>
DT	0.9	0.76
RF	0.94	0.81
SVM	0.86	0.85
ANN	0.69	0.72
Bagging ensemble	0.82	0.75

Table 4

<b>Model</b>	<b>Training AUROC</b>	<b>Test AUROC</b>
DT	0.92	0.78
RF	0.94	0.82
SVM	0.88	0.82
ANN	0.75	0.78
Bagging ensemble	0.84	0.8

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: