

A new strategy for spatial predictive mapping of mineral prospectivity: Automated hyperparameter tuning of random forest approach

Mehrdad Daviran¹, Abbas Maghsoudi^{✉,2}, Reza Ghezelbash², Biswajeet Pradhan^{3,4}

¹*School of Mining, Petroleum and Geophysics Engineering, Shahrood University of Technology, Shahrood, Iran*

²*Faculty of Mining and Metallurgical Engineering, Amirkabir University of Technology, Tehran, Iran*

³*Centre for Advanced Modelling and Geospatial Information Systems (CAMGIS), University of Technology Sydney, Sydney, Australia*

⁴*Department of Energy and Mineral Resources Engineering, Sejong University, Choongmu-gwan, 209*

Neungdong-ro, Gwangjin-gu, Seoul 05006, Korea

Abstract

Machine learning algorithms (e.g., random forest (RF)) have recently been performed in data-driven mineral prospectivity mapping. These methods are highly sensitive to hyperparameter values, since the predictive accuracy of them can significantly increase when the optimized hyperparameters are predefined and then adjusted to training procedure. The main goal of this contribution is to propose a hybrid genetic-based RF model, namely GRF, which is able to automatically adjust the optimized hyperparameters of RF with the excellent predictive accuracy. Therefore, three primary parameters of RF comprising NT, NS and d, were well-tuned employing genetic algorithm (GA) in establishing an efficient RF model. The proposed GRF model and also conventional RF were tested on mineralization-related geo-spatial dataset and the predictive models were generated for comparing the accuracy of the proposed GRF model with that of RF. The input dataset (e.g., multi-element geochemical signature, geological-structural layer and hydrothermal alteration evidences) which acquired from Feizabad district, NE Iran, were translated into mappable targeting criteria in the form of four predictor maps. In addition, the locations of 13 known Cu–Au deposits as prospect data and the locations of 13 randomly selected non-prospect data were used as target variables to train the models. Three authentic validation measures, K-fold cross-validation, confusion matrix and success-rate curves, were employed to evaluate the overall performance of two predictive models. Experimental results suggested the superiority of GRF model over the RF, as the favorable areas derived by GRF model occupy only 9% of the study area while predicting 100% of the known deposits.

Keywords: Mineral prospectivity mapping; Machine learning; Hyperparameter tuning; Genetic algorithm; GIS; Random forest.

✉ Corresponding author: Abbas Maghsoudi, a.maghsoudi@aut.ac.ir

34 **1. Introduction**

35 Mineral prospectivity mapping (MPM) is a multiple criteria decision-making procedure which
36 strives to explore and prioritize favorable exploration targets for facilitating the exploration of
37 undiscovered mineral deposits (cf. Bonham-Carter, 1994; Carranza, 2008; Ghezelbash et al., 2020a).
38 Developing a suitable algorithm or methodology for processing, analyzing and integrating various
39 geospatial dataset (e.g., geochemistry, geophysics, geology and remote sensing) is highly necessary for
40 obtaining an efficient mineral prospectivity map in order to visualize areas with a high favorability to
41 be discovered further. However, MPM is a challenging procedure plagued with stochastic and also
42 systemic uncertainties from various sources (Kreuzer et al., 2008; Zuo et al., 2009; Ghezelbash et al.,
43 2019a). Using inefficient as well as insufficient exploration datasets leads to stochastic uncertainty in
44 MPM (McCuaig et al., 2010; Lisitsin et al., 2013). On the other hand, inaccurate elicitation of
45 exploration criteria, sensitivity of prospectivity model to inefficient and less efficient ore-related
46 evidence layers and improper selection or application of a methodology for establishing the
47 interrelations between geospatial features and known mineral deposits may propagate systemic
48 uncertainties to MPM (Ghezelbash et al., 2020a).

49 Data-driven mapping of mineral prospectivity, unlike knowledge-driven mapping, is well-suited to
50 discover new exploration targets in moderate to well-explored areas (Carranza et al., 2008). In this kind
51 of modeling, various range of mathematical methods can be used for quantifying the spatial association
52 between different evidential features and training locations. Recently, machine learning algorithms
53 (MLAs), e.g., support vector machines (SVMs) (Zuo and Carranza, 2011), artificial neural networks
54 (ANNs) (Porwal et al., 2003; Ghezelbash et al., 2020a) and random forests (RF) (Rodriguez-Galiano et
55 al., 2015; Parsa et al., 2018) have gained much reputation and popularity in MPM, because of not
56 requiring conditional independence of input features as well as ability to handle nonlinear correlations
57 between known mineral deposits and spatial evidential features. Moreover, capability of managing a
58 huge amount of spatial features and also no need evidence layers to follow any special distribution have
59 led to wide applicability for MPM.

60 Machine learnings are self-calibration predictive models which are generated using training dataset
61 (Brown et al., 2000; Pradhan, 2013). In other words, MPM is considered as a classification problem in
62 application of MLAs, as the study region is categorized as either prospect or non-prospect (Ghezelbash

63 et al., 2019a). Such classification strategy significantly affected by sufficiency of input training data,
64 abundance of predictor variables and also accurately determined hyperparameters for training model
65 (Carranza and Laborte, 2015). Thus, the method to find the ideal values for hyperparameters (or tuning
66 the model to input training data) is a fundamental step in MPM. Traditionally, researchers mostly set
67 hyperparameters to MLAs based only on their experiences to train models. Nevertheless, the optimal
68 settings of hyperparameters will change with different dataset and, thus, prescribing the hyperparameter
69 values according to the previous expertise may lead to the bias and also increase the systemic
70 uncertainty in MPM. To address this, the hyperparameters of MLAs must be well-tuned using
71 optimization algorithms such as genetic algorithm (GA) (Srinivas and Patnaik, 1994) which is much
72 effective and accurate than the default values. GA is a reputable evolutionary approach which has been
73 frequently and successfully applied for resolving optimization issues in order to derive optimum
74 solutions (Ghezelbash et al., 2020a, b).

75 In this research, we proposed a novel genetic-based RF approach, namely GRF, for predictive
76 modeling of mineral systems associated with Cu-Au mineralization in Feizabad district, NE Iran. The
77 hyperparameters of GRF methodology were well-tuned and adjusted based on GA to train predictive
78 model. To evaluate the performance of GRF model, the classical random forest (CRF) model was built
79 for MPM based on the same training dataset to verify if GRF does indeed yield improved results.

80 **2. Methods**

81 *2.1. Conventional random forest method*

82 Conventional random forest (CRF) (for both classification and regression) (Breiman, 2001), which
83 is a developed form of decision trees (DTs) (Breiman, 1984), is a highly robust machine learning
84 algorithm (MLA) consists of a large number of DTs that operates as an ensemble. Indeed, CRF is a
85 classifier in which the training process is carried out using “bagging” method (Liaw and Wiener, 2002).
86 CRF generates multiple DTs and integrates them to derive an accurate and stable prediction. Each DT
87 learns from a random sample of datasets. The samples are drawn with replacement, called
88 bootstrapping, meaning that some samples will be utilized for a number of times in an individual DT.
89 A sample is categorized into the class that can win the majority votes of overall DTs within the forest
90 (Fig. 1). An unbiased estimation of the prediction accuracy can be obtained based on the training data

91 that at each bootstrap iteration roughly $1/e$ training samples are left out as out-of-bag (OOB) data (Liaw
92 and Wiener, 2002).

93 The CRF commences with the purification of child nodes through splitting the target variable based
94 on predictor variables from the parent node. The splitting successively iterates until a pre-defined stop
95 criterion is reached. Through this process, every DT reaches to its simple regression or classification
96 model. CRF then averages the results of various DTs to achieve the final model. In this way, there are
97 three hyperparameters that can be adjusted as following (Breiman, 2001):

98 **1. Number of trees (N_T):** The N_T parameter determines the number of trees in the forest of the
99 model. Additional DTs typically can improve the accuracy of model, as the predictions are carried out
100 using a large number of votes from diverse DTs, although, the large number of DTs lead to increase the
101 computing time.

102 **2. Number of split (N_S):** The N_S parameter can control the minimum number of samples needed to
103 split an internal leaf node. Too large values may cause under-fitting, as the DTs will not be able to split
104 enough times to reach node purity.

105 **3. Depth (d):** Each DT in CRF model produces numerous splits for isolating homogeneous classes
106 of outcomes. Larger numbers of splits permitted the DTs to describe more variation in dataset, however,
107 DTs with many splits may lead to over-fitting.

108 Adjusting the parameters utilized to train models is the primary stage to reach an accurate
109 prediction. Although, there are no definitive rules for defining the optimum parameters with the aim of
110 increasing the accuracy of a model. In many studies, some empirical trial and error procedures were
111 recommended to optimally adjust the hyperparameters. K-fold cross-validation is one of these model
112 validation methods by which the data are categorized into K equal subsets, namely fold. A single subset
113 is maintained for validation and the training is done using the rest K-1 folds. Training happens K times
114 until each subset has been utilized once for validation of dataset. This process can make a better
115 representation of error across the entire data set, because all samples are contributed as both training
116 and validation (Rodriguez et al., 2009).

117 2.2. Genetic-based hyperparameter tuning of random forest method

118 Optimization of hyperparameters for machine learning models is a key step in generating an
119 accurate prediction. Hyperparameters specify the characteristics of model which can strongly affect the
120 accuracy of a model as well as the computational efficiency (Wu et al., 2007). Indeed, accurate selection
121 of hyperparameters is one of the complicated phases of MLAs (i.e. RF) for which there is no definitive
122 rule and, thus, the hyperparameters are selected during a trial-and-error procedure (Rodriguez-Galiano
123 et al., 2015). This can increase the systemic uncertainty in predictive modeling of mineral prospectivity,
124 not because the data used is noisy, or the algorithm used is non-robust, but due to the inappropriate
125 selection of hyperparameter values. To address this, the optimization algorithm such as GA can be
126 incorporated to the MLAs to achieve a higher accuracy of prediction (Wu et al., 2007).

127 GA is a random-based evolutionary algorithm which has been successfully implemented to solve
128 different optimization problems (Mühlenbein et al., 1991). GA is suitable to simultaneous manipulating
129 of models with different resolution and structures which is able to search non-linear solution spaces and
130 make slight changes to that solutions until reaching the best solution without needing a priori knowledge
131 about model characteristics (Wu et al., 2007). GA starts by initializing a population of chromosomes
132 applying default or random values. Then, a fitness function is used to form the structure of that
133 population. The fittest chromosomes of the population are selected during a reproducing process using
134 a reproduction function (crossover and mutation). Then, the reproducing process is repeated until
135 passing a favorable number of iterations, and finally, deriving the best population based on the fitness
136 function.

137 This study introduces a new genetic-based methodology, namely GRF, for optimizing the three RF
138 parameters (N_T , N_S and d), simultaneously. In the proposed GRF methodology, the RF parameters are
139 dynamically tuned by applying GA evolutionary process and the RF algorithm then executes to generate
140 a predictive model based on optimized parameters values. The various stages of GRF are shown in Fig.
141 2 and are described as following stages (Kim and Han, 2000):

142 **1) Chromosome representation:** The three parameters N_T , N_S and d of RF were directly coded to
143 create chromosome G which is denoted as $G=\{a_1, a_2, a_3\}$, where a_1 , a_2 and a_3 represent the
144 regularization parameter N_T , N_S and d , respectively.

145 **2) Initial population:** The process starts with a group of chromosomes, namely a population.
146 Initializing the population is performed through a selection of the suitable number of chromosomes
147 within it.

148 **3) Fitness function:** A fitness function which can evaluate the performance of each chromosome,
149 should be outlined prior to starting to search the tuned hyperparameters of RF. In this study, the OOB
150 error as well as 10-fold cross-validation has been applied to assess the predictive accuracy of a model.

151 **4) Selection:** The roulette wheel as a well-known selection method of GRF was used whereby a
152 number of chromosomes were selected from the population in the mating pool. According to the
153 previously computed fitness value, the best chromosomes with minimum fitness value were selected
154 for reproduction.

155 **5) Crossover:** For each pair of parents to be mated, the crossover took place by choosing a random
156 point in the chromosome and exchanging genes of parents result in generating new offspring
157 chromosomes.

158 **6) Mutation:** In specific new offspring generated, some of their genes were subjected to mutation.
159 Mutation is a background operator can be applied to preserve genetic variety in the population. Mutation
160 operator can alter gene values from their primary condition.

161 In the final step, the genetic-based tuned hyperparameters N_T , N_S and d were contributed to create
162 GRF algorithm using training dataset which was then executed to generate a predictive model of mineral
163 prospectivity.

164 2.3. *Model evaluation*

165 In the field of machine learning, particularly in the statistical classification problems, a confusion
166 matrix can be used for describing the performance of a classification model. In a 2-class confusion
167 matrix, four possible results are summarized, including: (a) true positive (*TP*), where the model
168 correctly predicts the prospect locations; (b) true negative (*TN*), where the model correctly predicts the
169 non-prospect locations; (c) false positive (*FP*), where the model incorrectly predicts the prospect
170 locations; and (d) false negative (*FN*), where the model incorrectly predicts the non-prospect locations.
171 Classification accuracy of a trained model can be described and formulized as follows (Liu et al., 2005):

$$Sensitivity = \frac{TP}{TP + FN} \quad (1)$$

$$Specificity = \frac{TN}{TN + FP} \quad (2)$$

$$Precision = \frac{TP}{TP + FP} \quad (3)$$

$$Accuracy = \frac{TP + TN}{TP + TN + FP + FN} \quad (4)$$

$$F - measure = \frac{2 \times Sensitivity \times Precision}{Sensitivity + Precision}$$

(5)

172 3. Study area and exploration dataset

173 3.1. General geological setting

174 The study area is located in northeastern of Iran between Central Iran and Lut Block structural zones
 175 (Fig. 3), which covers an area of roughly 2,500 km². The oldest rock units comprising thinly bedded
 176 limestone, sandy limestone, silt, olive-green silty shale, dolomite and dolomitic limestone of middle-
 177 to-upper Cambrian age. Extensive sedimentary facies of Tertiary rocks are exposed throughout the
 178 study area. Granodioritic and dioritic intrusions of Eocene-Oligocene age in related to volcanic rock
 179 units host numerous IOCG and vein-type Cu-Au deposits in northern parts of the study area. Andesitic-
 180 basaltic volcanic rock units which are covered by Quaternary sediments appeared mainly in central,
 181 northern and southern parts (Behroozi, 1987) (Fig. 4a).

182 Fault movements which have played a vital role in the formation of intrusive-related deposits in the
 183 Feizabad district are categorized into two major groups: (1) east-west-trending faults, specifically
 184 Doruneh sinistral strike-slip fault, which is strongly affect the emplacement of magma intrusion and
 185 mineralization, and (2) northeast- and northwest-trending faults/lineaments (Hu et al., 1995) (Fig. 4a).

186 3.2. Input data

187 The exploration dataset used were (a) the 1:100,000 scale geological map of Feizabad district from
 188 which the various geological units and also faults/fractures were digitized, (b) ASTER and Landsat
 189 ETM⁺ data which were implemented to detect hydrothermal alterations using remote sensing

190 techniques, and (c) analyzed geochemical data derived from 1033 composite stream sediment samples
191 collected over a regular network of sampling (Fig. 4b) (Ghezelbash et al., 2019b).

192 3.3. *Target variable*

193 The occurrence of mineral deposits can be represented as a prospect (locations of known deposits
194 and occurrences with a labeled value 1) and non-prospect (locations of non-deposits with a labeled
195 value 0). Since each occurrence (prospect or non-prospect) is occupied a single pixel in each geo-spatial
196 map, we expanded the boundaries occurrences to surrounding pixels. In this study, the locations of 13
197 known Cu-Au occurrences were used as prospect sites and 10-15 pixels covering each occurrence were
198 used as positive training data. For selection of non-prospect locations, following points were considered
199 (Carranza and Laborte, 2015):

200 (1) The number of non-prospect sites must be equal to that of prospect sites, so that the number of
201 positive and negative objectives could be balanced and as a result the overall performance of the MLAs
202 could be enhanced.

203 (2) Non-prospect sites should be completely distal to prospect sites because spatial features of non-
204 prospect locations should differ from those of prospect ones.

205 (3) Unlike the prospect sites that follow cluster distribution, non-prospect sites must be randomly
206 selected.

207 For optimally selection of non-deposit sites in this study, 13 sterile sites as non-prospect were
208 selected by integrating the available spatial feature maps and through stratified random sampling
209 technique (Ding et al., 1997) from non-favorable locations. Then, 10-15 pixels around each site were
210 used as negative training data.

211 **4. Results and discussions**

212 4.1. *Generating input data for efficient evidence features*

213 4.1.1 *Geochemical factor*

214 Determination of significant anomalies in geochemical perspectives using stream sediment
215 geochemical data is crucial for generation of geochemical evidence layers to be used in MPM. For this,
216 the concentration data of 12 elements (Ag, As, Au, Bi, Cr, Cu, Hg, Mo, Ni, Pb, Sb and Zn) were used.

217 More information about the preparation procedure of stream sediment samples and also their descriptive
218 statistics could be found in Ghezelbash et al. (2019b). Then, as the stream sediment geochemical data
219 are composite, the raw analytical data of 12 analyzed elements were transformed using isometric
220 logratio (or ilr) with the aim of addressing the inherent closure problem (Aitchison, 1986).

221 For selection of efficient elements which are significantly associated with Cu-Au mineralization in
222 the study area, a trustworthy method called success-rate curve was applied (Agterberg and Bonham-
223 Carter, 2005). This method has been used in many studies for measuring the degree of efficiency of
224 spatial evidence layers as well as mineral prospectivity maps. For constructing a success-rate curve, the
225 portion of the studied region classified as favorable for mineralization occurrences in x-axis plots versus
226 the portion of mineralization occurrences correctly categorized in y-axis (Ghezelbash et al., 2020b).
227 Then, a diagonal line is drawn which is a measure for distinguishing the efficient evidence layers from
228 inefficient ones and also determining the relative importance of each evidence layer. In this study, the
229 success-rate curves for 12 selected elements with ilr-transformed values were plotted and the results are
230 shown in Fig. 5. As can be seen, the Au, Cu, Mo, Pb, Sb and Zn are the most efficient geochemical
231 elements as their success-rate curves lie above the diagonal line indicating that the distribution of these
232 six elements strongly associated with the locations of Cu-Au occurrences in the study area. The Ag, As
233 and Bi are the least efficient geochemical elements, because some parts of their success-rate curves lie
234 below the diagonal line and some parts lie above that (Fig. 5). Thus, these elements could not
235 significantly representative of Cu-Au mineralization in this region. Moreover, the Cr, Hg and Ni are
236 inefficient geochemical elements which did not spatially associated with the locations of Cu-Au
237 occurrences in the study area (Fig. 5). Accordingly, the raster maps of Au, Cu, Mo, Pb, Sb and Zn with
238 ilr-transformed values (Fig. 6) were selected as the most efficient evidence geochemical layers to be
239 used for generating the enhanced multi-element geochemical signature associated with Cu-Au
240 mineralization in the Feizabad district.

241 To generate the enhanced multi-element geochemical signature reflecting the Cu-Au
242 mineralization, the maps of the most efficient geochemical elements must be integrated. In this regard,
243 the ilr-transformed values of Au, Cu, Mo, Pb, Sb and Zn (Fig. 6) should be transformed to a same
244 domain (e.g., fuzzy values). For this purpose, a GIS-based fuzzy member function namely mean-
245 standard deviation large (or MSLarge) was applied and the ilr-transformed values of six geochemical

246 elements were transformed to [0-1] range. Then, the fuzzified geochemical layers which consider as
247 weighted fuzzy evidence layers were subjected to fuzzy GAMMA operator in order to generate multi-
248 element geochemical layer. The fuzzy GAMMA operator is the most significant fuzzy operator among
249 the other operators (e.g., OR, AND, SUM and PRODUCT) which is widely applied for producing the
250 mineral prospectivity maps. Appropriate using of GAMMA operator requires the optimum selection of
251 γ parameter. After a trial-and-error procedure, it was found that the $\gamma=0.9$ is more succeeded in
252 restricting the high-favorable multi-element geochemical landscapes associated with Cu-Au
253 mineralization in Feizabad district. Thus, the fuzzified multi-element geochemical layer (Fig. 7a) which
254 is representative of Au, Cu, Mo, Pb, Sb and Zn mineralization was selected to contribute to Final MPM.

255 *4.1.2 Heat source factor*

256 The Cu-Au mineralization in the Feizabad district is spatially linked to the dioritic and granodioritic
257 intrusions of Eocene-Oligocene age (Hu et al., 1995) which are the proxy indicators of magmatic-related
258 processes that led to the generation of ore-forming materials. Therefore, their surface outcrops could be
259 served as a substantial spatial feature (the heat source) for related-mineralization in the study area. The
260 locations of intrusions on 1:100,000 scale geological map of the study area were digitized and a map of
261 presence of these intrusions was generated (Fig. 7b).

262 *4.1.3 Structural factor*

263 Transportation of ore-bearing magmatic fluids through rocks were structurally controlled by faults
264 and fractures especially in the intersection points of the faults and fractures. This is because the
265 faults/fractures and their intersection points provided pathways to transport the ore-forming materials
266 from mantle- or crustal-derived sources and concentrate them near the surface of the earth. Thus, the
267 density of faults/fractures is considered as a key structural factor controlling the Cu-Au mineralization
268 in the study area. Finally, we have generated the fault density map (Fig. 7c) to be used in final MPM.

269 *4.1.4 Factors derived from remotely senses data*

270 Combination of remote sensing data and image processing techniques lead to detection of the
271 outcrops of the hydrothermal alterations (e.g., argillic, phyllic, propylitic and Fe-oxide) which can
272 provide a distinctive assemblage of minerals and vary according to the position, degree and longevity

273 of flow processes (Simpson et al., 2001). Therefore, mineralization-related hydrothermal alterations can
274 be utilized as main exploration clues. In this regard, argillic, phyllic, propylitic alterations interpreted
275 from ASTER images as well as Fe-oxide alteration extracted from ETM⁺ images (Daviran et al., 2019)
276 (Fig. 8) were assembled and the evidence map of presence of hydrothermal alterations was generated
277 (Fig. 7d).

278 4.2. Sensitivity analysis of data-driven prospectivity models

279 A cell size of 200 m was objectively selected to generate raster maps of (a) geochemical factor (Fig.
280 7a), (b) heat source factor (Fig. 7b), (c) structural factor (Fig. 7c) and remote sensing factor (Fig. 7d).
281 All 4 evidence layers which were translated to predictor maps were used for extraction of test and
282 training data. Based on the 200 m cell size, a total of 40848 pixel values were generated for each
283 predictor map. Then, a 40848×4 matrix representing 40848 cells and 4 predictor maps were prepared
284 as test dataset. In addition, using the locations of prospect and non-prospect sites, a total of 290 pixels
285 including occurrence locations were extracted from 4 predictor maps and a 298×5 matrix were
286 generated as training dataset. In this matrix, the first 4 columns represent the multi-attribute features of
287 predictor maps derived from the locations of prospect and non-prospect sites while the fifth column is
288 a target variable in which the score 1 was labeled for prospect cells and score 0 was labeled for non-
289 prospect cells. These labeled data were then divided into two parts; three-fourth of them (75%) were
290 utilized for training the CRF and GRF models, while the rest of them (25%) as OOB data were not
291 involved in the training procedures and used to validate the results through OOB error.

292 In this study, CRF modeling was conducted in MATLAB software and the three relevant
293 hyperparameters N_T , N_S and d were experimentally set prior to CRF modeling. In this regard, a range of
294 1-350, 1-10 and 1-5 were considered for the number of trees (N_T), number of splits (N_S) and depth (d),
295 respectively, during a trial-and-error procedure. In this paper, CRF algorithm with 320 number of trees
296 as optimum value was executed to model mineral prospectivity (Table 1) based on 10-fold cross-
297 validation. It should be noted that the increasing the number of trees do not necessarily lead to decrease
298 the error, but may only increase the computing time. Besides, $N_S = 6$ and $d = 2$ was selected (Table 1),
299 although these parameters have lesser impact on performance of CRF. Finally, the CRF model of Cu-
300 Au mineralization prospectivity was generated (Fig. 9a).

301 For hyperparameter-tuning of GRF model proposed in this study, the accurate number of
302 populations (N_p) must be defined according to the minimum value of fitness (best fitness) with 10-fold
303 cross validation as well as the appropriate number of iterations (N_i). The performance of GRF algorithm
304 was tested by successive runs for 10 to 200 N_p , and for any population, the N_i varied between 25 and
305 500. As can be seen in Fig. 10, tuning of GRF parameters terminated after 225 iterations in $N_p=200$
306 with best fitness function of 0.08. Then, the tuned parameters of GRF model were derived. As a result,
307 the GRF prospectivity model was generated (Fig. 9b) based on $N_T=957$, $N_S=10$ and $d=3$ (Table 1).

308 The graphical confusion matrices for CRF and GRF models are shown in Fig. 11 that used for
309 evaluating the classification performance in both training and OOB dataset. As shown in Fig. 11a, the
310 OOB error for GRF model was 4.16% and, thus, the model accuracy was 95.83%, while for CRF model
311 (Fig. 11b) was 6.95% and, thus, the model accuracy was 93.05% %. Besides, it can be seen that the
312 performance of GRF is much better than CRF in the training processes, reaching 97.93 % accuracy rate
313 of classification (Fig. 11c); while the CRF reaches 95.17 % accuracy rate of classification (Fig. 11d).
314 The classification accuracy evaluation indices (e.g., sensitivity, specificity, precision and F-measure)
315 for CRF and GRF models that were calculated from confusion matrices described above are listed in
316 Table 2. Both GRF and CRF models gained the highest and nearly highest possible value of sensitivity
317 (100 % and 99.32 %, respectively), representing that both models were able to correctly classify the
318 prospect cells to the prospect class (Table 2). On the other hand, the specificity of GRF reaches 90.6 %
319 meaning that GRF was able to correctly classify 90.6 % of the non-prospect cells to the non-prospect
320 class compared to CRF which was able to correctly classify only 85.9 % of the non-prospect cells to
321 the non-prospect class (Table 2). Moreover, GRF model achieves 91.41 % of precision, representing
322 that among the predicted cells that labeled as prospect, 91.41 % of them are actually true prospect
323 locations (Table 2). While, 87.57 % of predicted cells that labeled as prospect, are truly prospect
324 locations by CRF model (Table 2). Finally, F-measure which is the weighted average of precision and
325 sensitivity and also takes both false positives (FP) and false negatives (FN) into account was used for
326 measuring the classification accuracy. As can be seen in Table 2, the GRF model gained higher F-
327 measure value (95.51 %) than CRF model (93.07 %) indicating that the GRF model reflects stronger
328 correlation between the predictions and reality compared to CRF. Thus, the GRF model is more reliable
329 for modeling the Cu-Au prospectivity in the study area.

330 Evaluation of prospectivity models of CRF (Fig. 9a) and GRF (Fig. 9b) were conducted through
331 measuring the correlation between the prospectivity values and known mineral occurrences, for each,
332 the success-rate curves were plotted. In this study, a 10-percentile interval was selected for constructing
333 the success-rate curves of CRF and GRF model using P_a , the portion of the study area classified as
334 favorable for Cu-Au occurrences, in horizontal axis, and P_o , the portion of Cu-Au occurrences correctly
335 classified, in vertical axis. As shown in Fig. 12, the success-rate curves of CRF and GRF models lie
336 above the gauge line, meaning that both models are perfectly plausible. However, the success-rate curve
337 of GRF model is much better than CRF model, and thus, GRF model has performed over the CRF
338 model and is more reliable for delineating high-favorable areas as well as discovering new deposits
339 associated with Cu-Au mineralization.

340 Accurate interpretation of prospectivity models requires restriction of prospect areas in order to
341 delineate high-favorable targets. For this purpose, a threshold value of 90% confidence interval was
342 selected for discretizing the prospectivity scores of CRF and GRF models. Finally, the favorable targets
343 derived from CRF and GRF models based on 90 % interval were delineated (Fig. 13). The favorable
344 areas in the GRF model (Fig. 13b) captures 100% of known Cu-Au occurrences within only 9% of the
345 study area, while the favorable areas of CRF model (Fig. 13a) contain same percent of known Cu-Au
346 occurrences but within larger areas (14%).

347 **5. Conclusion**

348 This contribution in this work has pioneered on applying the genetic-based RF, namely GRF, to
349 predictive modeling of mineral prospectivity. The main objective of this study is to modulate the
350 exploration uncertainty to MPM and, thus, enhance the predictive accuracy of mineral exploration.
351 Empirical achievements of this study revealed that the proposed GRF model is a highly promising
352 hybrid RF model for predictive modeling of mineral prospectivity. The proposed GRF model was able
353 to automatically adjust the optimized values of RF hyperparameters and exhibited more remarkable
354 increasing in predictive accuracy in given multi-source geo-information than conventional RF. The
355 GRF model was selected as the more applicable predictive model after comprehensive quantitative
356 comparisons using validation techniques (e.g., confusion matrix and success-rate curves). The prospect
357 targets including favorable areas occupy only 9% of the study area while estimating all of the known

358 Cu-Au deposits. This represents the capability of the proposed model not only for discovering new
359 target explorations in the study region, but also for exploring undiscovered deposits in other promising
360 areas.

361 **References**

- 362 Behroozi, A. (1987). Geological map of Iran 1: 100,000 series, Feizabad. *Geological Survey of Iran,*
363 *Tehran.*
- 364 Bonham-Carter, G. F. (1994). Geographic information systems for geoscientists-modeling with GIS.
365 *Computer methods in the geoscientists, 13,* 398.
- 366 Breiman, L. (2001). Random forests. *Machine learning, 45*(1), 5-32.
- 367 Breiman, L., Friedman, J., Stone, C. J., & Olshen, R. A. (1984). *Classification and regression trees.*
368 CRC press.
- 369 Brown, W. M., Gedeon, T. D., Groves, D. I., & Barnes, R. G. (2000). Artificial neural networks: a
370 new method for mineral prospectivity mapping. *Australian journal of earth sciences, 47*(4), 757-770.
- 371 Carranza, E. J. M. (2008). *Geochemical anomaly and mineral prospectivity mapping in GIS.* Elsevier.
- 372 Carranza, E. J. M., & Laborte, A. G. (2015). Random forest predictive modeling of mineral
373 prospectivity with small number of prospects and data with missing values in Abra (Philippines).
374 *Computers & Geosciences, 74,* 60-70.
- 375 Carranza, E. J. M., Hale, M., & Faassen, C. (2008). Selection of coherent deposit-type locations and
376 their application in data-driven mineral prospectivity mapping. *Ore geology reviews, 33*(3-4), 536-
377 558.
- 378 Daviran, M., Maghsoudi, A., Cohen, D. R., Ghezelbash, R., & Yilmaz, H. (2020). Assessment of
379 Various Fuzzy c-Mean Clustering Validation Indices for Mapping Mineral Prospectivity: Combination
380 of Multifractal Geochemical Model and Mineralization Processes. *Natural Resources Research, 29*(1),
381 229-246.
- 382 Ding, C. S., Haieh, C. T., Wu, Q., & Pedram, M. (1996, November). Stratified random sampling for
383 power estimation. In *Proceedings of International Conference on Computer Aided Design* (pp. 576-
384 582). IEEE.
- 385 Ghezelbash, R., Maghsoudi, A., & Carranza, E. J. M. (2019a). Performance evaluation of RBF-and
386 SVM-based machine learning algorithms for predictive mineral prospectivity modeling: integration of
387 SA multifractal model and mineralization controls. *Earth Science Informatics, 12*(3), 277-293.
- 388 Ghezelbash, R., Maghsoudi, A., & Carranza, E. J. M. (2020a). Sensitivity analysis of prospectivity
389 modeling to evidence maps: enhancing success of targeting for epithermal gold, Takab district, NW
390 Iran. *Ore Geology Reviews, 103*394.
- 391 Ghezelbash, R., Maghsoudi, A., & Carranza, E. J. M. (2020b). Optimization of geochemical anomaly
392 detection using a novel genetic K-means clustering (GKMC) algorithm. *Computers & Geosciences,*
393 *134,* 104335.
- 394 Ghezelbash, R., Maghsoudi, A., & Daviran, M. (2019b). Combination of multifractal geostatistical
395 interpolation and spectrum–area (S–A) fractal model for Cu–Au geochemical prospects in Feizabad
396 district, NE Iran. *Arabian Journal of Geosciences, 12*(5), 152.
- 397 Hu, D., Liu, D., & Xue, S. (1995). Explanatory text of geochemical map of Feizabad (7760). *Geological*
398 *Survey of Iran, Tehran.*
- 399 Kim, K. J., & Han, I. (2000). Genetic algorithms approach to feature discretization in artificial neural
400 networks for the prediction of stock price index. *Expert systems with Applications, 19*(2), 125-132.
- 401 Kreuzer, O. P., Etheridge, M. A., Guj, P., McMahon, M. E., & Holden, D. J. (2008). Linking mineral
402 deposit models to quantitative risk analysis and decision-making in exploration. *Economic Geology,*
403 *103*(4), 829-850.
- 404 Liaw, A., & Wiener, M. (2002). Classification and regression by randomForest. *R news, 2*(3), 18-22.
- 405 Lisitsin, V. A., González-Álvarez, I., & Porwal, A. (2013). Regional prospectivity analysis for
406 hydrothermal-remobilised nickel mineral systems in western Victoria, Australia. *Ore Geology*
407 *Reviews, 52,* 100-112.

408 Liu, C., Berry, P. M., Dawson, T. P., & Pearson, R. G. (2005). Selecting thresholds of occurrence in the
409 prediction of species distributions. *Ecography*, 28(3), 385-393.

410 McCuaig, T. C., Beresford, S., & Hronsky, J. (2010). Translating the mineral systems approach into an
411 effective exploration targeting system. *Ore Geology Reviews*, 38(3), 128-138.

412 Mühlenbein, H., Schomisch, M., & Born, J. (1991). The parallel genetic algorithm as function
413 optimizer. *Parallel computing*, 17(6-7), 619-632.

414 Parsa, M., Maghsoudi, A., & Yousefi, M. (2018). Spatial analyses of exploration evidence data to
415 model skarn-type copper prospectivity in the Varzaghan district, NW Iran. *Ore Geology Reviews*, 92,
416 97-112.

417 Pradhan, B. (2013). A comparative study on the predictive ability of the decision tree, support vector
418 machine and neuro-fuzzy models in landslide susceptibility mapping using GIS. *Computers &
419 Geosciences*, 51, 350-365.

420 Rodriguez, J. D., Perez, A., & Lozano, J. A. (2009). Sensitivity analysis of k-fold cross validation in
421 prediction error estimation. *IEEE transactions on pattern analysis and machine intelligence*, 32(3), 569-
422 575.

423 Rodriguez-Galiano, V., Sanchez-Castillo, M., Chica-Olmo, M., & Chica-Rivas, M. J. O. G. R. (2015).
424 Machine learning predictive models for mineral prospectivity: An evaluation of neural networks,
425 random forest, regression trees and support vector machines. *Ore Geology Reviews*, 71, 804-818.

426 Simpson, M. P., Mauk, J. L., & Simmons, S. F. (2001). Hydrothermal alteration and hydrologic
427 evolution of the Golden Cross epithermal Au-Ag deposit, New Zealand. *Economic Geology*, 96(4), 773-
428 796.

429 Srinivas, M., & Patnaik, L. M. (1994). Adaptive probabilities of crossover and mutation in genetic
430 algorithms. *IEEE Transactions on Systems, Man, and Cybernetics*, 24(4), 656-667.

431 Wu, C. H., Tzeng, G. H., Goo, Y. J., & Fang, W. C. (2007). A real-valued genetic algorithm to optimize
432 the parameters of support vector machine for predicting bankruptcy. *Expert systems with applications*,
433 32(2), 397-408.

434 Zuo, R., Cheng, Q., & Agterberg, F. P. (2009). Application of a hybrid method combining multilevel
435 fuzzy comprehensive evaluation with asymmetric fuzzy relation analysis to mapping prospectivity. *Ore
436 Geology Reviews*, 35(1), 101-108.

437

438 **Table captions:**

439 Table 1. Tuned hyperparameter values used for construction of CRF and GRF models.

440 Table 2. The classification accuracy evaluation indices of CRF and GRF models.

441

442 Table 1. Tuned hyperparameter values used for construction of CRF and GRF models.

Model	N_T	N_S	443
CRF	320	6	444
GRF	957	10	445 446

447

448

449

450 Table 2. The classification accuracy evaluation indices of CRF and GRF models.

Indices	CRF	GRF
<i>Sensitivity</i>	99.32 %	100 %
<i>Specificity</i>	85.9 %	90.6 %
<i>Precision</i>	87.57 %	91.41 %
<i>F-Measure</i>	93.07 %	95.51 %

451

452

453 **Figure captions:**

454 Figure 1. Flowchart of classification using RF algorithm.

455 Figure 2. Different stages of GRF procedure.

456 Figure 3. Location of the study area in NE of Iran.

457 Figure 4. (a) Simplified geological map of Feizabad (1:100,000, modified after Behroozi, 1987), (b) location of
458 the systematically collected stream sediment samples from the study area.

459 Figure 5. Success-rate curves for 12 selected elements including: (a) Ag, (b) As, (c) Au, (d) Bi, (e) Cr, (f) Cu, (g)
460 Hg, (h) Mo, (i) Ni, (j) Pb, (k) Sb and (l) Zn.

461 Figure 6. Continuous-value maps for ilr-transformed values of 6 efficient elements including: (a) Au, (b) Cu, (c)
462 Mo, (d) Pb, (e) Sb and (f) Zn.

463 Figure 7. Maps of (a) fuzzy-based multi-element geochemical signature, (b) presence of Eocene-Oligocene
464 intrusive rocks, (c) fault density and (d) presence of hydrothermal alterations.

465 Figure 8. Dispersion patterns of different hydrothermal alterations derived from ASTER and Landsat ETM⁺ data.

466 Figure 9. Predictive models of mineral prospectivity derived by (a) CRF and (b) GRF models.

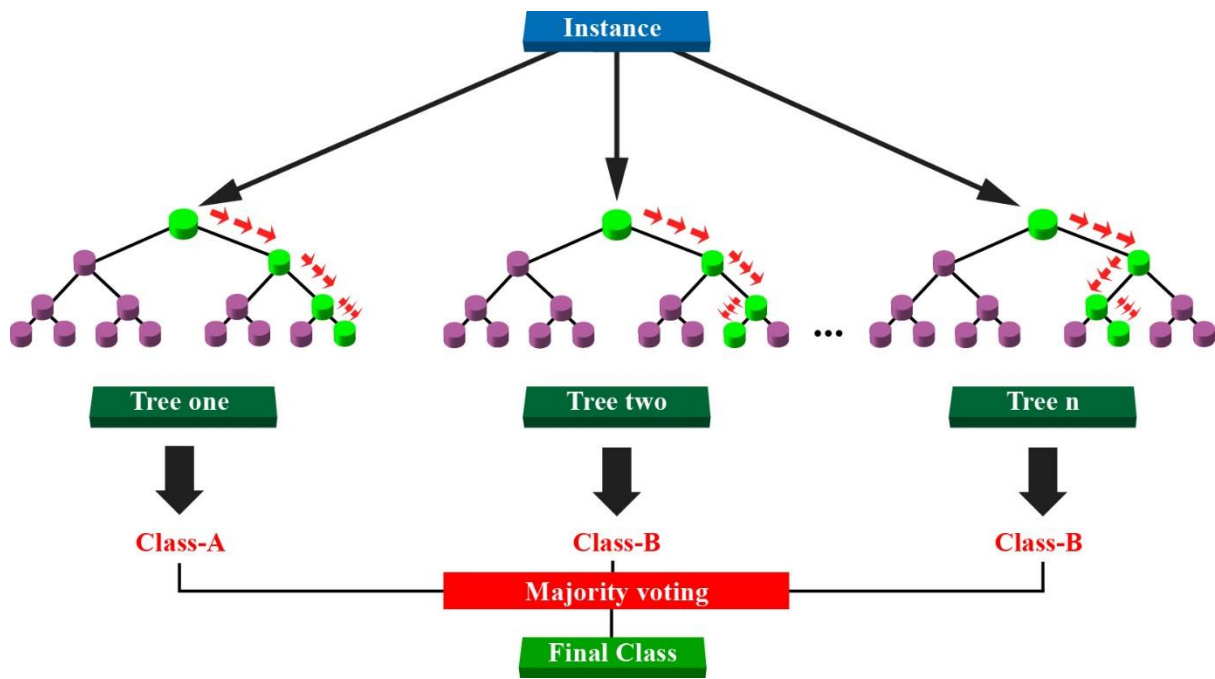
467 Figure 10. 3D-plot of GRF procedure indicating the number of populations (N_p), number of iterations (N_i) and
468 best fitness in x, y and z axes, respectively. Bold red square represents the optimized condition for calculation of
469 GRF hyperparameters.

470 Figure 11. Graphical confusion matrices of (a) GRF model-OOB data, (b) CRF model-OOB data, (c) GRF model-
471 training data and (d) CRF model-training data.

472 Figure 12. Success-rate curves for CRF and GRF predictive models of mineral prospectivity.

473 Figure 13. Predictive maps of (a) CRF and (b) GRF models showing favorable and non-favorable areas by a
474 threshold value of 90% confidence interval.

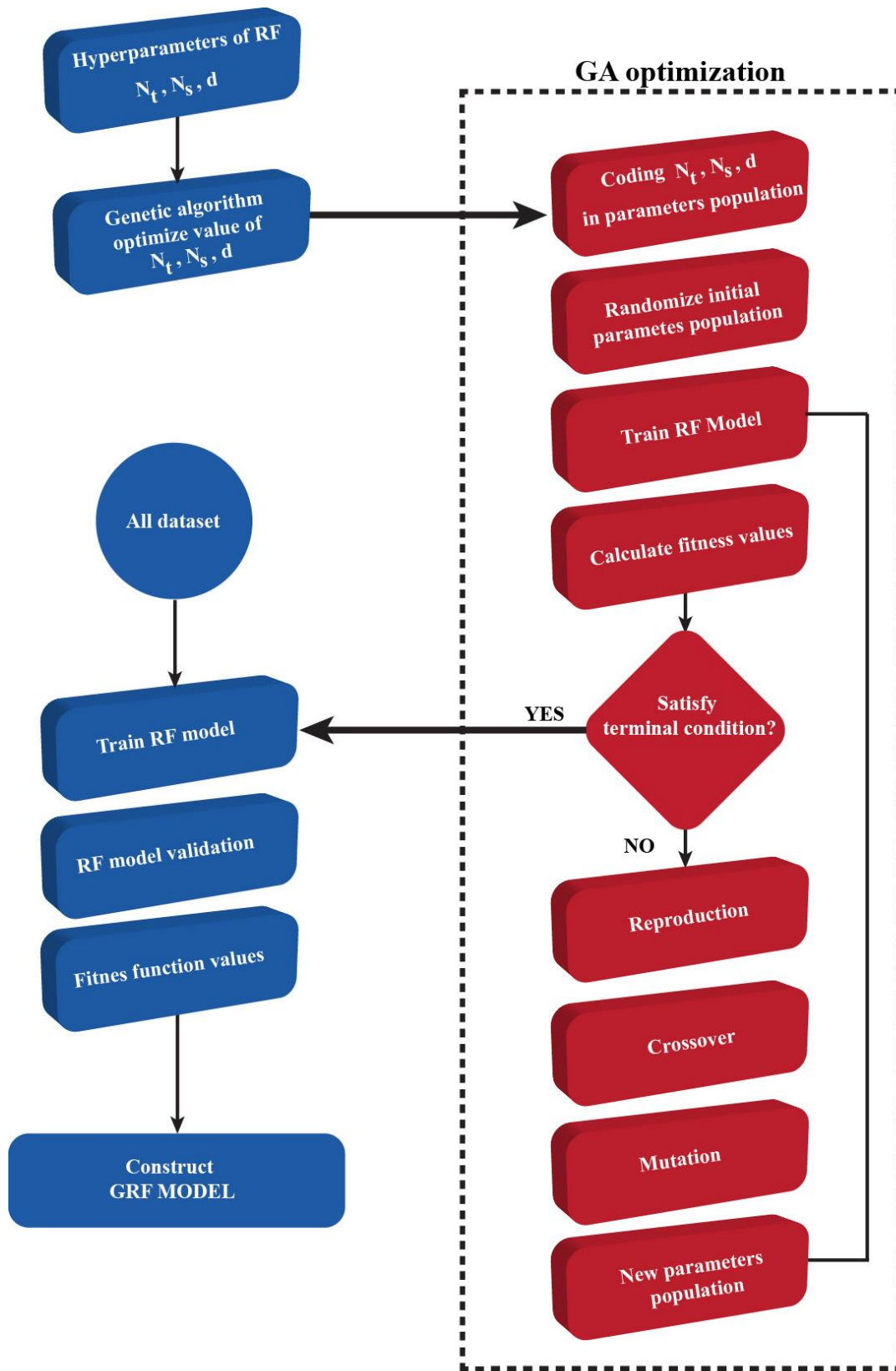
475



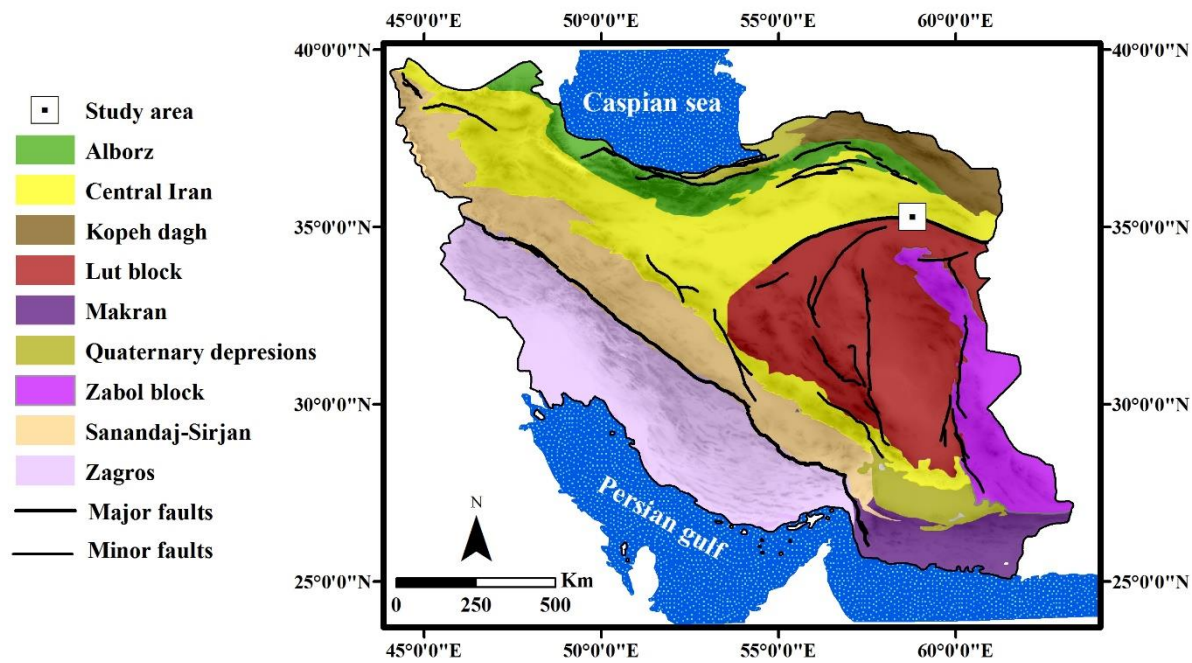
476

477 Fig. 1

478



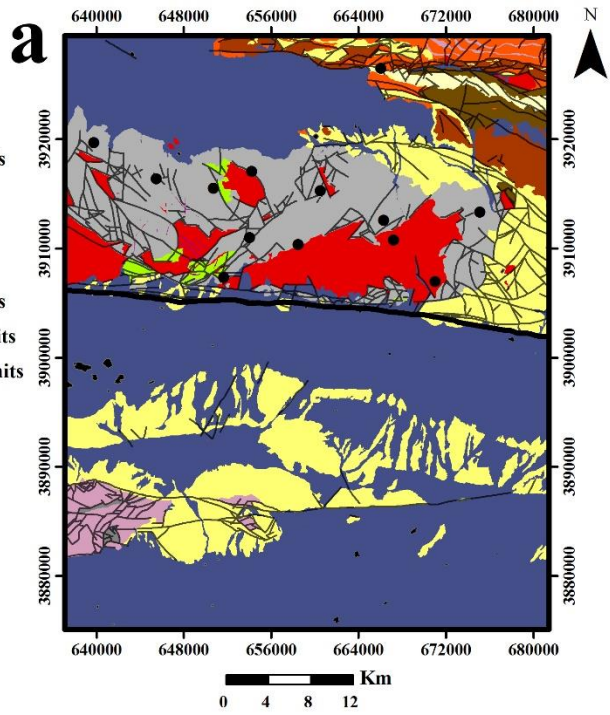
479
480 Fig. 2
481



482
 483
 484 Fig. 3
 485

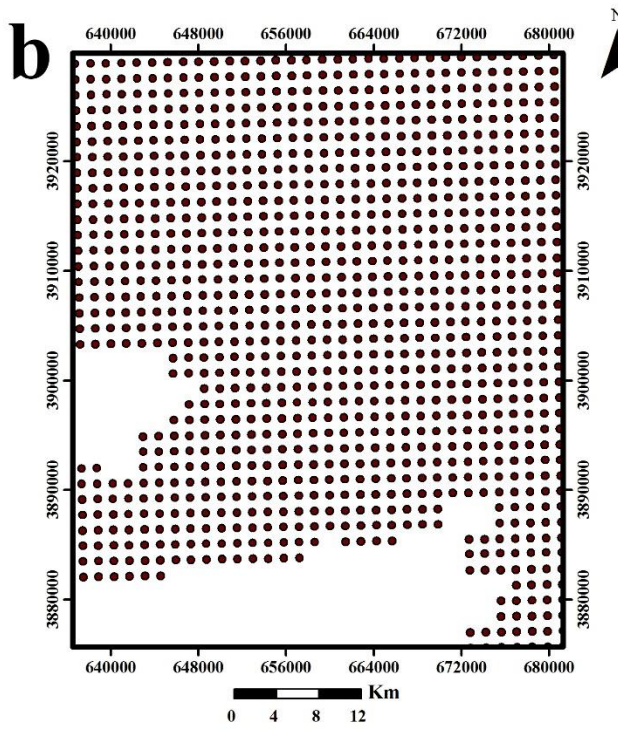
Simplified geological units

- Quaternary sedimentary units
- Tertiary sedimentary units
- Tertiary volcano-sedimentary units
- Tertiary volcanic units
- Eocene volcanic tuffs
- Cretaceous limestones
- Jurassic volcano-sedimentary units
- Paleozoic volcano-sedimentary units
- Cambrian volcano-sedimentary units
- Hydrothermal alterations
- Intrusive rocks
- City
- Cu-Au occurrences
- Dorunch fault
- Faults



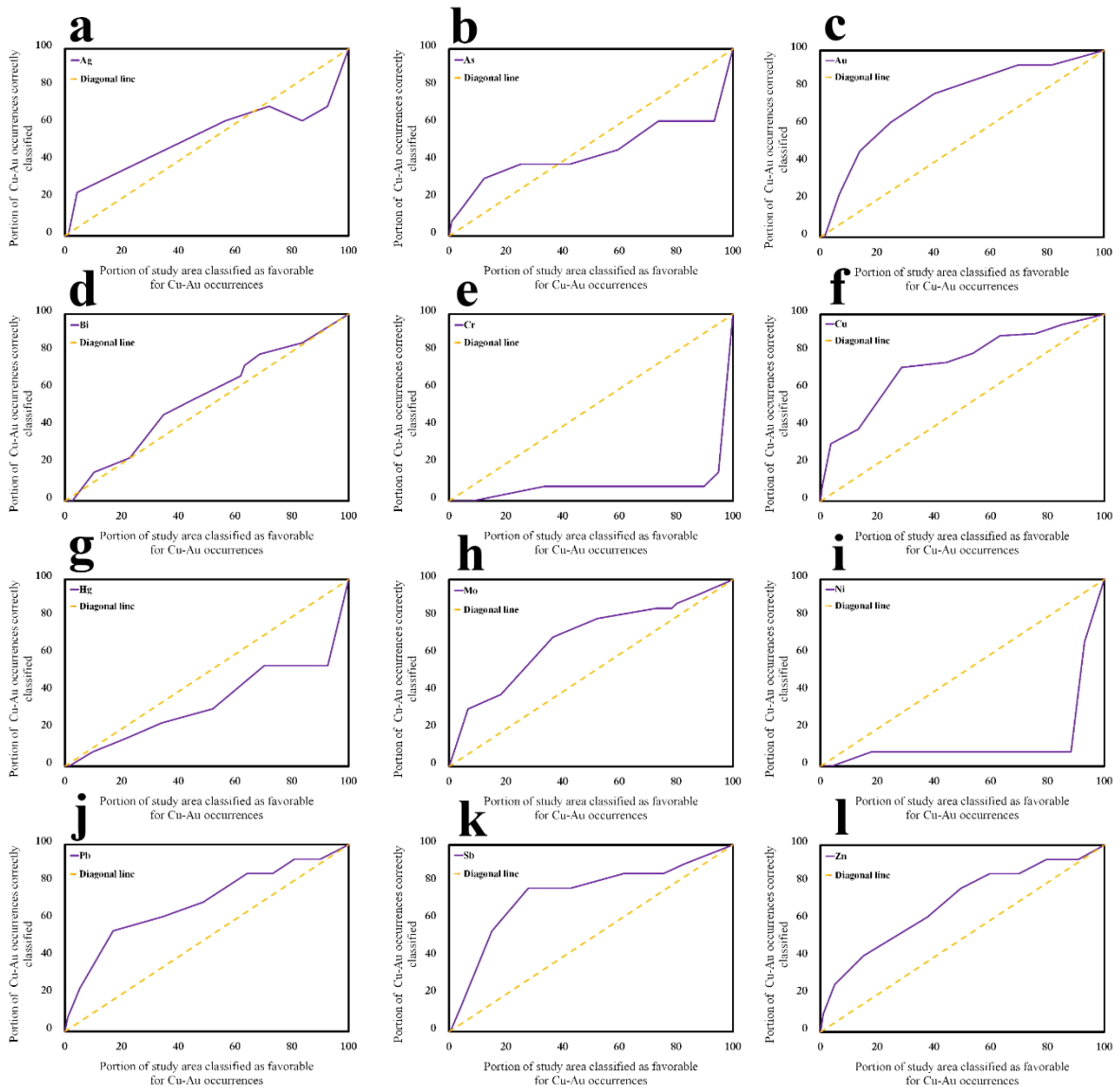
Systematically collected sediment samples

- Points



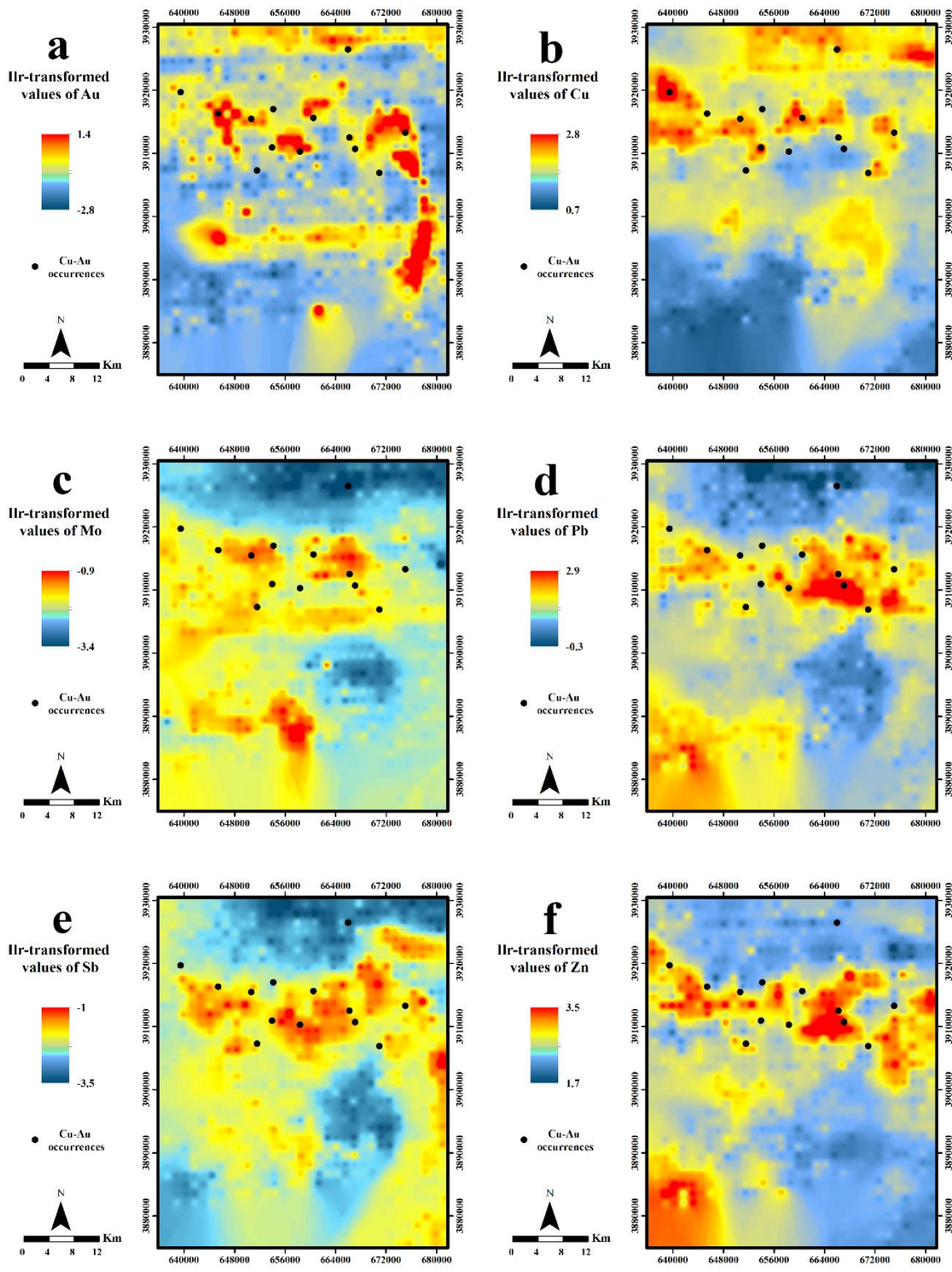
486
487
488
489
490

Fig. 4



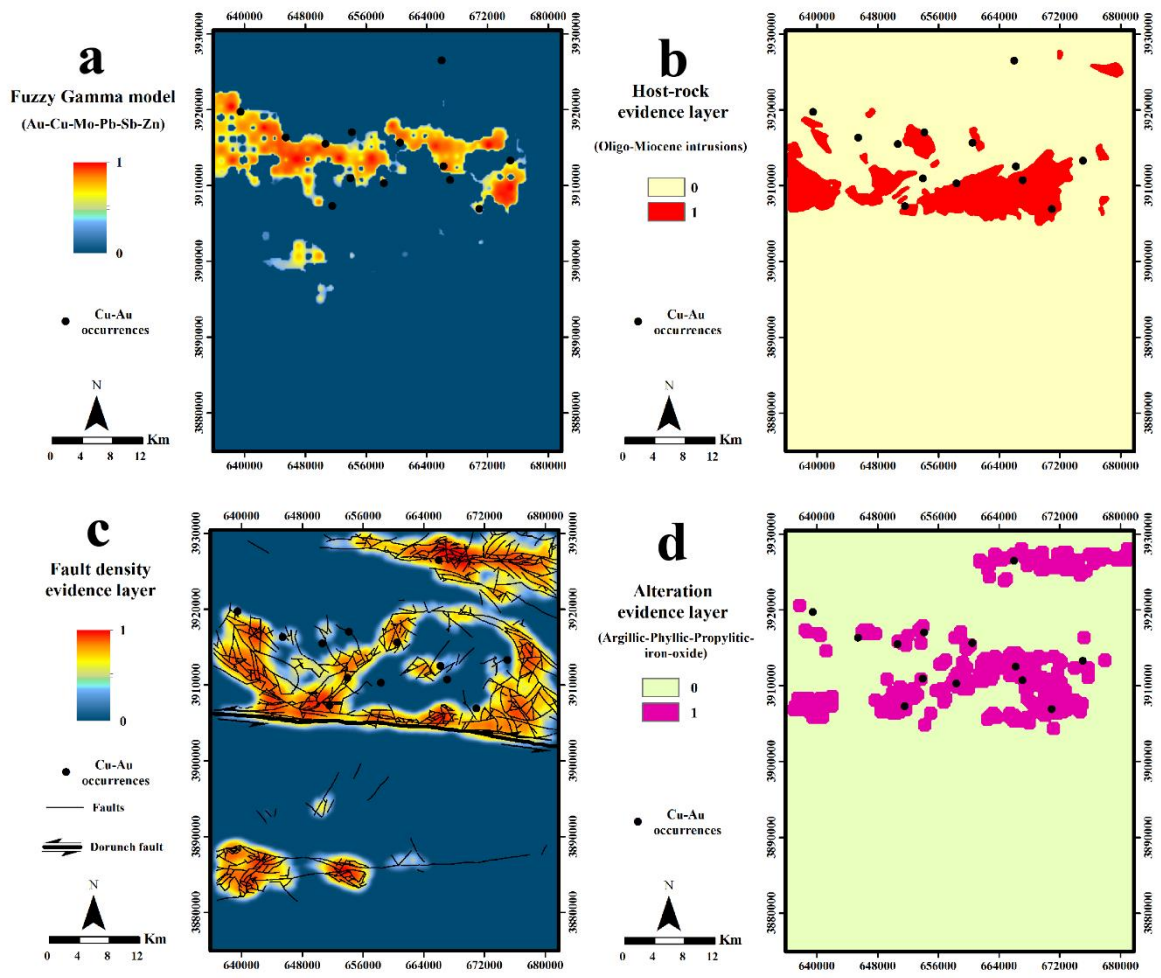
491
 492
 493
 494
 495
 496

Fig. 5



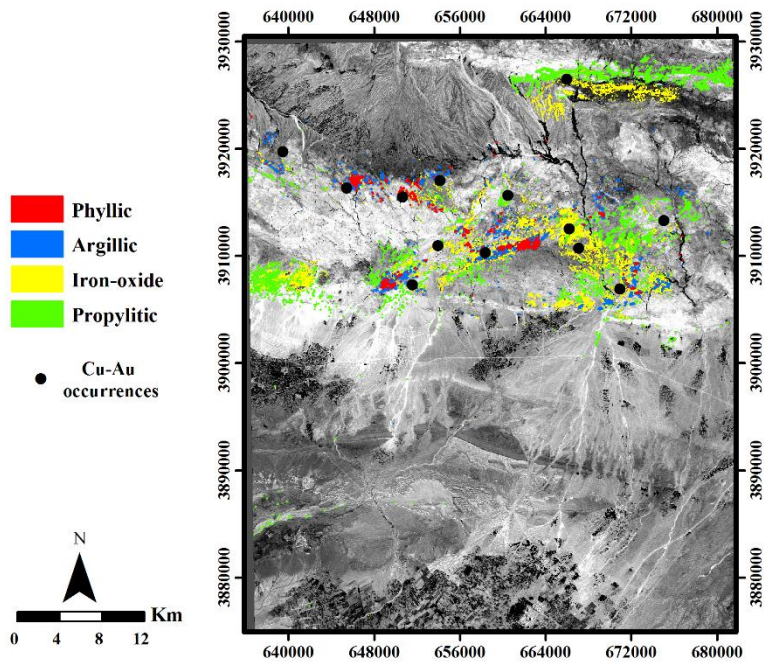
497
498
499
500

Fig. 6



501
502
503
504

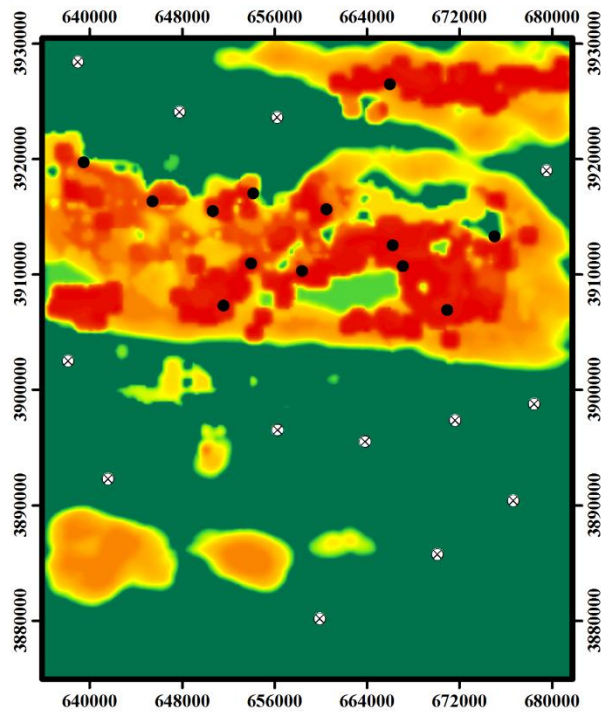
Fig. 7



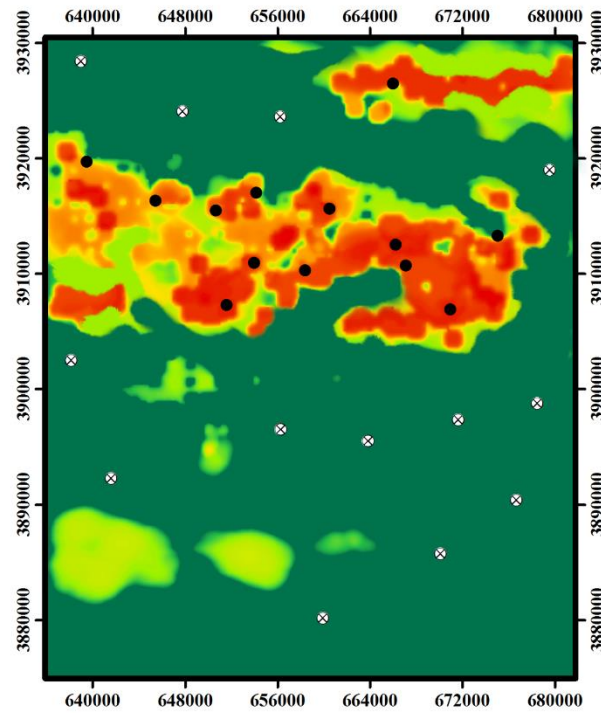
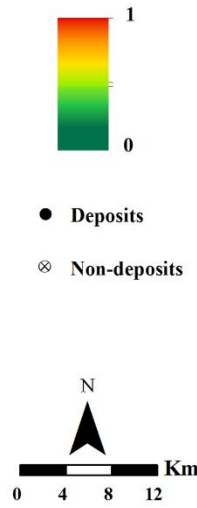
505
 506
 507
 508
 509

Fig. 8

a
CRF prospectivity
model

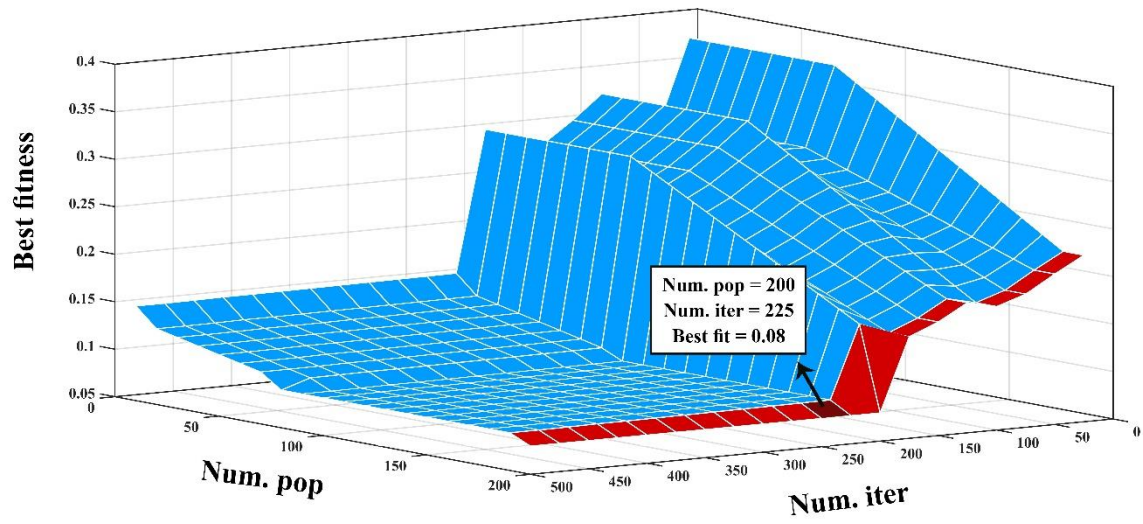


b
GRF prospectivity
model

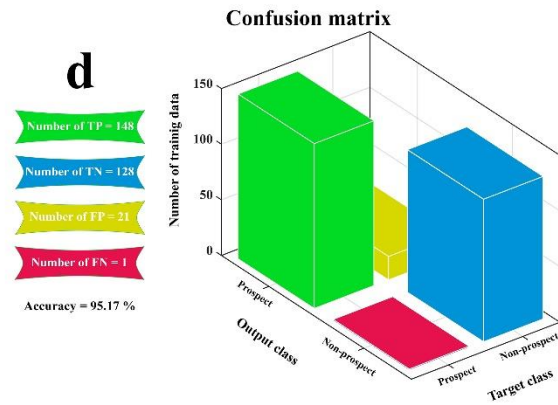
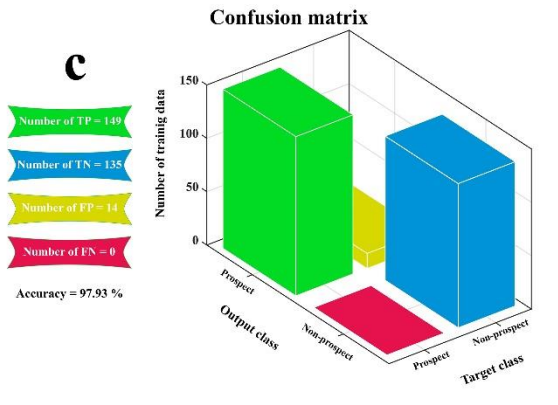
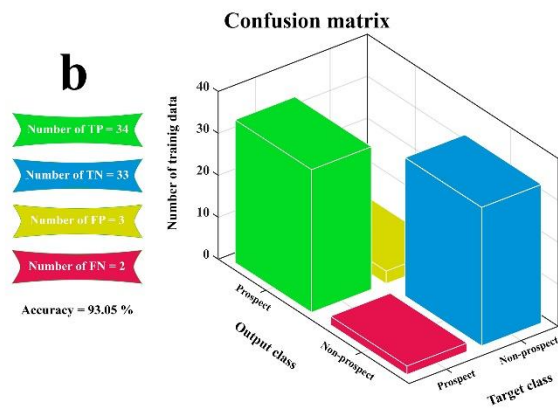
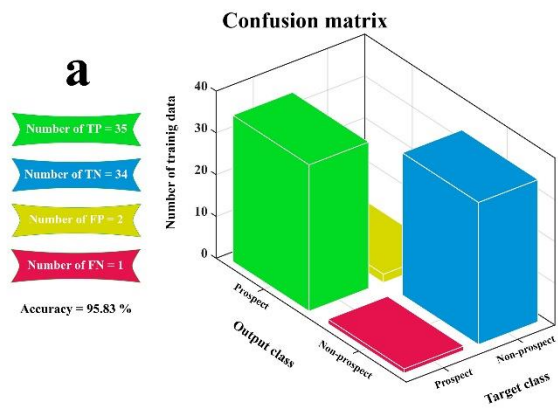


510
511
512
513
514

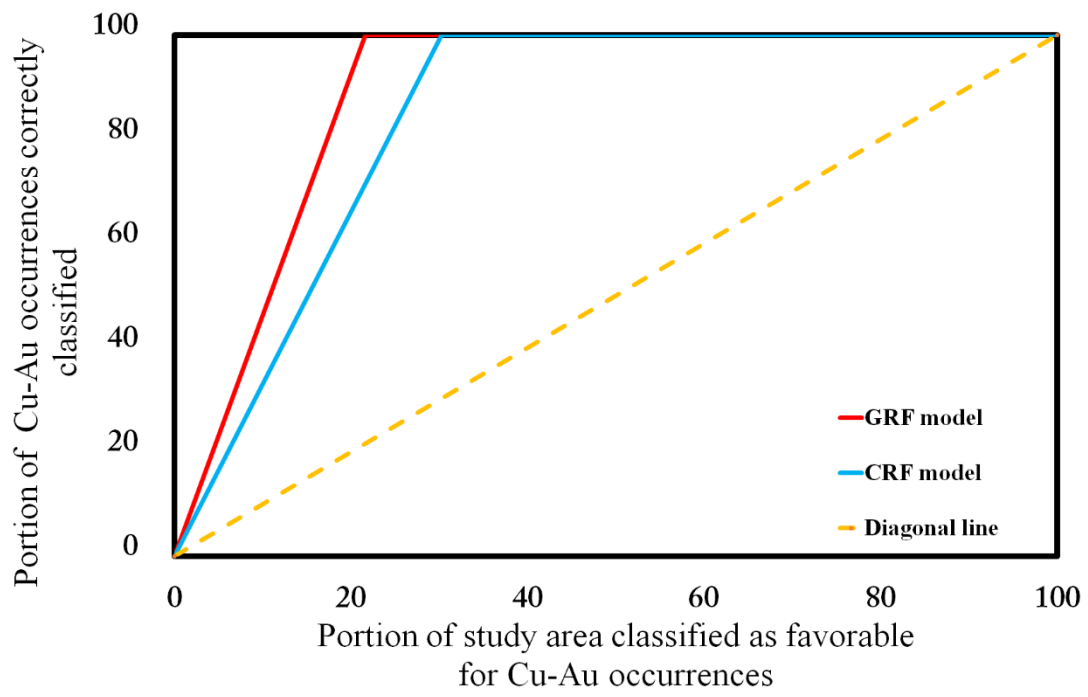
Fig. 9



515
516 Fig. 10
517



518
519
520 Fig. 11
521

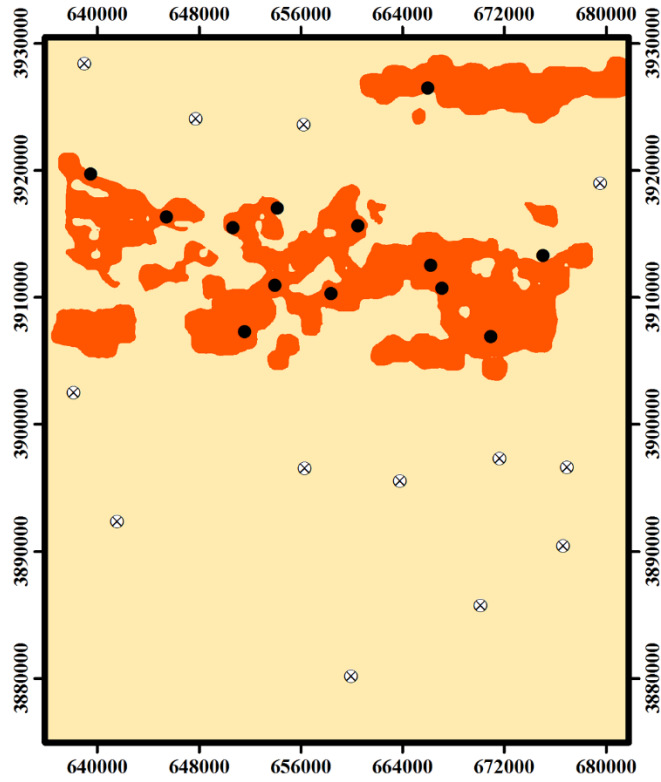
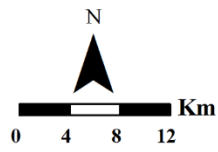


522
523 Fig. 12
524

a
CRF prospectivity
model

Non-favorable
Favorable

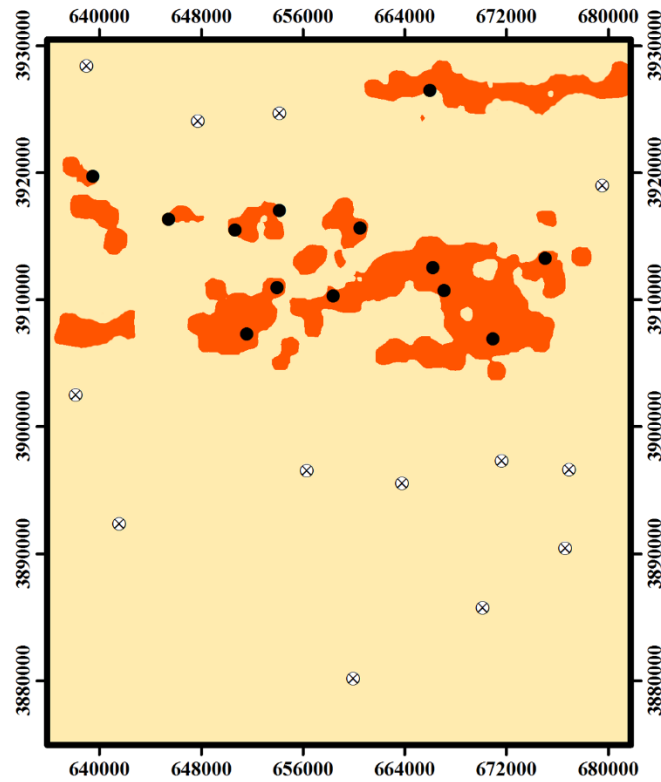
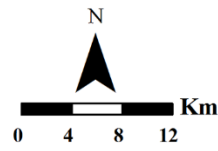
● Deposits
⊗ Non-deposits



b
GRF prospectivity
model

Non-favorable
Favorable

● Deposits
⊗ Non-deposits



525
526
527

Fig. 13