



Persistent Scatterer Interferometry in the Post-Event Monitoring of the Idukki Landslides

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Persistent Scatterer Interferometry in the Post-Event Monitoring of the Idukki

Landslides in August 2018

Abstract

The monitoring of pre-failure movements and their evolution over time is necessary to assess the predisposing and triggering factors. This research focusses on assessing terrain displacements in the Idukki district (Kerala, India) over a year before the deadly disaster, which occurred in August 2018; this disaster was claimed to be a dreadful one as it took off hundreds of human lives and properties. The post-event Persistent Scatterers interferometric analysis on a stack of 20 Sentinel-1 images was performed to analyse the precursory deformations of the landslides. From the results obtained, the study region is estimated to have undergone a displacement of -26 to +19 mm/year, which indicates the instability of the terrain. Also it is evident that the occurrence of the landslides in August 2018, has been due to the effect of the torrential rainfall and the increase in the pore water inducing instability, thereby causing displacement in the region.

Keywords: PS-InSAR; Sentinel-1; landslide monitoring; remote sensing; Idukki landslide

1. Introduction

Landslides are “*the specific mass movement characterised by a down-slope movement of rock, debris, earth or a combination thereof under the influence of gravity*” (D.M.Cruden 1991; Glade and Crozier 2005; Höser 2018). The non-flat terrain exists when the resisting forces of friction and cohesion balance gravity, preventing it from flattening the terrain (Höser 2018). Concerning rainfall induced-landslides, researchers have observed and analysed various mechanisms that relate the pore pressure with slope stability. Positive pore pressure generates possible static liquefaction due to the effect of multidirectional groundwater seepage into the

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3 24 soil profile (Iverson and Major 1986; Johnson and Sitar 1990; Fannin and Jaakkola 1999),
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5 25 while without the formation of positive pore pressures from rainfall infiltration, the failure
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7 26 occurs due to loss in the unsaturated shear strength with the dissipation of suction (Rahardjo et
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9 27 al. 2001; Collins and Znidarcic 2004). However, the environment is continuously under
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11 28 change, which has an impact on the occurrence of landslides on long- and short-term scales
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13 29 over a large or localized region. Thus, the role of preparatory, controlling and triggering factors
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15 30 of landslides change considerably concerning time and space, irrespective of the type and
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17 31 location of the landslide (Glade and Crozier 2010).
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23 32 The space-borne SAR instruments provide popular widespread application because of the
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25 33 globally available SAR data. ERS-1, ERS-2, Sentinel-1A&B operated by the European Space
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27 34 Agency, JERS-1 and ALOS series operated by the National Space Development Agency of
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29 35 Japan, RadarSAT-1&2 operated by the Canadian Space Agency and SIR-C/X-SAR operated
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31 36 by the United States, Germany and Italian space agencies (Rosen et al. 2000). The data
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33 37 available from these satellite missions have led to the extensive utilization of SAR in varied
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35 38 applications. The year 1993 gave rise to a new era in geodetic measurements measuring 3-
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37 39 dimensional surface displacements (Simons and Rosen 2007). The deforming surface of the
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39 40 ice-stream in Antarctica was mapped for the first time using satellite-based InSAR technique
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41 41 (Goldstein et al. 1993) followed by the mapping of continuous spatial surface deformation of
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43 42 the 1992 Landers earthquake in the Mojave desert of southern California in the same year
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45 43 (Massonnet et al. 1993).
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51 44 The SAR sensor detects the signal and describes it as a complex number consisting of
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53 45 amplitude and phase. The amplitude differentiates the types of scatterers and the topography
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55 46 of the terrain, whereas, the phase component contributes to the formation of the interferogram.
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57 47 The signal can be described as,
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$$g = Ae^{-j\varphi} = Ae^{-j\frac{4\pi}{\lambda}\rho} \quad (1)$$

where g is the signal measured by the SAR sensor, A is the amplitude, ' $j\varphi$ ' is the recorded phase, ρ is the slant range distance between the sensor and the respective scatterer and λ is the wavelength of the radar signal (Tom Rune Lauknes 2004; Rosen et al. 2000; Simons and Rosen 2007; Höser 2018).

Interferometry depends on the constructive or destructive interference of the electromagnetic waves from the source obtained from two different vantage points; the distance between these vantage points in the perpendicular plane to the orbit is called the interferometric baseline, and its projection perpendicular to the slant range is the perpendicular baseline. Thus, InSAR works on the phase difference between two images of the same scene acquired from different vantage points or at different times or both (Alessandro Ferretti et al. 2007; Simons and Rosen 2007). The term 'phase' describes the path travelled twice between a target (or scatterer) and the SAR sensor by the radar pulse; this path traverses in the slant range and is dependent on it (Höser 2018) as shown below:

$$\varphi = -\frac{4\pi}{\lambda}\rho = -\frac{2\pi}{\lambda}2\rho \quad (2)$$

Where φ is the phase when the phase of the emitted signal is assumed to be zero. The negative sign indicates the phase delay of the measured signal, and ' 2ρ ' denotes that the signal travels twice the distance between the antenna and target. An interferogram is formed by the complex conjugate multiplication of the slave image with the master. The interferometric phase is thus obtained, which represents the phase difference between the two SAR images, the master (φ_{mst}) and the slave (φ_{slv}) (Alessandro Ferretti et al. 2007; A Hooper, Segall, and Zebker 2007; Simons

and Rosen 2007; Höser 2018; Rosen et al. 2000). Therefore, the interferometric phase (ϕ) can be written as:

$$\phi = \varphi_{mst} - \varphi_{slv} = \frac{4\pi}{\lambda} (\rho_{mst} - \rho_{slv}) \quad (3)$$

Phase per cell in the image is a coherent sum of all the scatterers within this cell; with more scatterers, more phase noise is prone to occur. Though the radar system is independent of atmospheric disturbances (this applies only for the amplitude values), the phase signal is however influenced by the atmosphere and thereby contributes to the noises. The phase signal is also dependent on the temporal changes, and different look angles of the SAR scenes (Alessandro Ferretti et al. 2007; Plank 2014; Hanssen 2001). The cells with reliable phase values are chosen by estimating the coherence of each resolution cell; high coherence values indicate reliable phase, and it occurs in a neighbourhood without a dominant portion of noise (like double-bounce scatterers) exists (Höser 2018). Thus the interferometric phase can be represented as the composite of several components like flat earth phase (ϕ^{flat}), topographic phase (ϕ^{topo}), the displacement between two images (ϕ^{disp}), noise due to the atmosphere (ϕ^{atm}), phase contribution due to changes in platform's orbit (ϕ^{orbit}) and phase noise (ϕ^{noise}) (Hanssen 2001; Berardino et al. 2002; Crosetto et al. 2016), which can be written as:

$$\phi = \phi^{flat} + \phi^{topo} + \phi^{disp} + \phi^{atm} + \phi^{orbit} + \phi^{noise} \quad (4)$$

The isolation of the phase contribution due to displacement (ϕ^{disp}) from equation (4), by subtracting or minimizing other phase contributions, is known as the Differential InSAR (DInSAR) technique. DInSAR enables the detection of the velocity (mm/year) from the two SAR images. The Landers earthquake of 1992 demonstrated and initiated the deformation using DInSAR from the space-borne data (ERS-1) (Massonnet et al. 1993), which led to a more different and advanced 3-D measurements and time-series analysis.

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3 92 Regarding landslides, the pre-failure movements cause extreme displacements at the time of
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5 93 the actual event, which cannot be mapped just by two images forming a single interferogram.
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7 94 Unlike, interferograms from earthquake event, the interferogram obtained from the landslide
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9 95 are too extreme to be detectable as the ϕ^{disp} signal is not dominant and obscured by other phase
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11 96 contributions (Andrew Hooper et al. 2012; Haghighi and Motagh 2017). These interferograms
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13 97 contain pure noise without any fringes or visible signs of displacements; instead, a time-series
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15 98 of interferograms facilitates the detection of displacement rates and shows the accelerating
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17 99 behaviour that leads to the landslide event (Höser 2018). The time-series approach adopts many
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19 100 bad interferograms, which are dominant with noise and thus, unwrapping using all of these
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21 101 pixels leads to wrong results. To overcome this issue, a fraction of the pixels from the image
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23 102 which are considered as coherent or with stable phase are equipped for phase unwrapping
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25 103 (Höser 2018). The concept of persistent or permanent scatterers (PS) was thus introduced (A
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27 104 Ferretti, Prati, and Rocca 2000; Alessandro Ferretti, Prati, and Rocca 2001). The pixels with
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29 105 relatively stable phase value over the whole time series were considered as the scatterers or PS,
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31 106 which were subsequently used for unwrapping process and displacement analysis (A Hooper,
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33 107 Segall, and Zebker 2007). Each target cell holds a phase value, which is the sum of all the
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35 108 scatterers within the cell. The persistent scatterers are target cells, which contains a single
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37 109 dominant scatterer that maintains a stable phase over time with low variance. Thus, the changes
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39 110 in the phase of the persistent scatterer are said to be contributed by the dominant scatterer
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41 111 within the target cell, and this contributes to the precise displacement measurement (Hooper et
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43 112 al. 2007; Hooper et al. 2012).

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45 113 Various methods exist to identify the persistent scatterers, including the SqueeSAR algorithm
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47 114 (Ferretti et al. 2011; Ferretti et al. 2001) and STAMPS/MTI (Stanford Method for Persistent
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49 115 Scatterers Multi-Temporal InSAR)(Andrew Hooper et al. 2012). The STAMPS/MTI method
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51 116 utilizes the amplitude and phase information of the side look data to pick the PS or DS
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3 117 (Distributed Scatterers), thereby also preserving the spatial resolution of the image; a 3D phase
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5 118 unwrapping technique is used (Osmanoğlu et al. 2016; Höser 2018).

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8 119 Several researchers have applied the time series and persistent interferometry technique,
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10 120 especially in landslide applications, analysing the displacement and acceleration of the targets
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12 121 over the years (A Hooper, Segall, and Zebker 2007; Bianchini et al. 2012; Righini, Pancioli,
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14 122 and Casagli 2012; Cigna, Bianchini, and Casagli 2013; Tofani et al. 2013; T. R. Lauknes et al.
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16 123 2010; Bianchini et al. 2013; Osmanoğlu et al. 2016). Specific research works have provided a
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18 124 detailed explanation on the adoption and implementation of the STAMPS/MTI in displacement
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20 125 mapping (Ferretti et al. 2000; Ferretti et al. 2001; Hooper et al. 2004, 2007, 2012, 2013; Hooper
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22 126 2006, 2008, 2010).

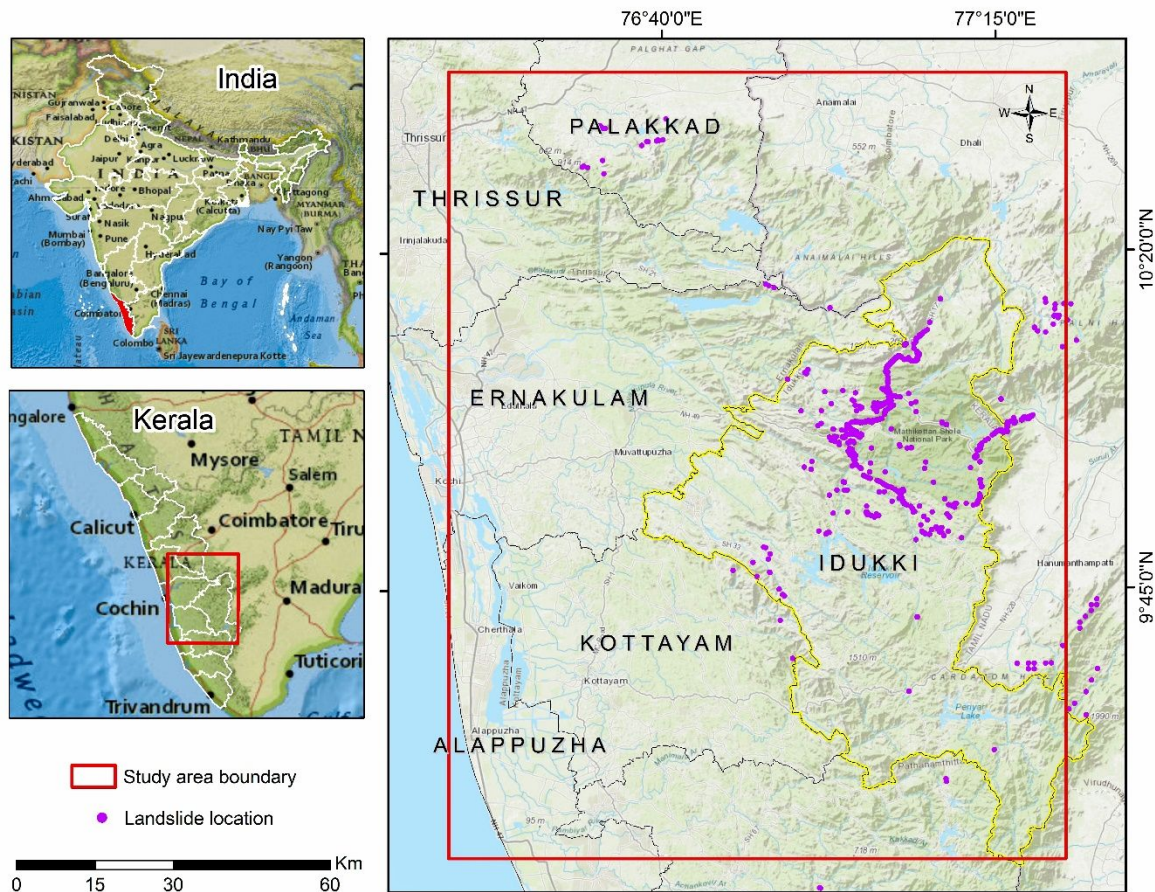
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27 127 Kuriakose et al. 2009 has provided the historical data on the susceptible landslide zones in the
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29 128 Western Ghats region, India. Few researchers have assessed the landslide susceptible zones of
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31 129 the Idukki region using various slope stability techniques and probabilistic methods
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33 130 (Sreekumar 2009; Biju Abraham and Shaji 2013; Abraham et al. 2019). However, no research
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35 131 works are available for assessment of landslides using persistent scatterer interferometry in the
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37 132 Idukki region.

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42 133 The objective of this research is to explore the possibility of identifying the unstable terrain in
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44 134 the landslide-prone region, which could be easily triggered at the onset of any external factors.
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46 135 While there are various techniques and SAR satellite systems are available to achieve this goal,
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48 136 there exist few drawbacks accompanied with it. The availability of satellite imagery with the
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50 137 high temporal resolution is the preliminary challenge, and the next hurdle arrives with the
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52 138 availability of a probable technique which could serve best in analysing the temporal variations
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54 139 in the displacement of the terrain. The S-1 imageries and the STAMPS/MTI method played a
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56 140 vital role in this research due to their high temporal resolution and free availability,
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3 141 respectively, which produces noticeable results using the PS-InSAR technique. The research
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5 142 focussed on the Idukki landslide 2018, discovering the unstable spots that underwent higher
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7 143 displacement throughout the year and finally ending up with a significant landslide. The results
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9 144 illustrated the velocity of the permanent scatterers along with their respective time-series
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11 145 displacement trends. The highly vulnerable locations were validated using the ground verified
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13 146 landslide locations.
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17 147 **2. Description of Study Area**

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20 148 Idukki is one of the districts of Kerala situated in the Western Ghats of Kerala, lying in the
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22 149 9.85°N latitude and 76.94°E longitude. It's the second-largest district of the state with the
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24 150 lowest population density. The district has a vast forest reserve, covering almost half of the
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26 151 district, with dense urban and sparse village settlements in villages along with tea plantations.
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28 152 The total area coverage of the district is 4,358 km², with rugged mountains and forest covering
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30 153 97% of the total area; thus the district has only road access without any rail and air connectivity.
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32 154 Anamudi, the highest point of South India lies in the Idukki district, with thirteen other peaks
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34 155 exceeding the height of 2000 m. The principal rivers flowing through the district are Periyar,
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36 156 Thodupuzhayar, Muthirappuzhayar and Thalayar. Asia's largest dam, Idukki dam, is located
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38 157 in the district, where the Periyar River flows. Idukki region has a tropical climate with
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40 158 significant rainfall throughout the year with a short dry period. The average temperature and
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42 159 precipitation are 24.4°C and 2226 mm, respectively.
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161 **Figure 1.** Location map of the study region with landslide locations.

162 In August 2018, the state underwent a disastrous event due to floods and landslides, creating a
 163 major catastrophe by killing many and destroying the livelihoods. Kerala received heavy
 164 monsoon rainfall, which was 116% more than the usual that led to the filling up of dams to its
 165 maximum capacity. All dams were opened due to the incessant rainfall, flooding the local low-
 166 lying areas. Out of 54 dams, 35 were opened for the first time in the state's history. The flood
 167 surplus from all five overflow gates of Idukki dam was considered being one of the main
 168 reasons which left the district panic and led to the worst scenario compared to other districts.
 169 In Idukki district, 49 died, and 11 went missing, leaving 51 people injured. Devikulam, Idukki
 170 and Udumbanchola taluks were the most affected region of the district. The devastating effect
 171 and the numerous landslides which occurred in the district made the district to be viewed as a
 172 vulnerable region, thus chosen as the study region for this study.

173 3. Materials and Method

174 Earth observation and monitoring on a global scale are facilitated, employing space-borne
 175 remote sensing data. To envision the monitoring of earth throughout the day at the time of
 176 natural calamities like floods, where the optical imageries remain incapacitated due to the
 177 presence of clouds, the SAR imageries came into play. The European Space Commission
 178 (ESA) has been providing a variety of available products called the Sentinels since 2014. The
 179 Sentinel 1A and 1B (S-1A & 1B) launched on April 2014, and April 2016 respectively are C-
 180 band (5.6 cm wavelength) SAR systems, share the same orbital plane with a 180° orbital phase
 181 difference. Both S-1A & 1B have an improved temporal resolution from twelve to six days
 182 (with two sensors functioning together), and high spatial resolution of 5 x 20 m with precise
 183 orbits has paved the way for investigating various research questions and helps in addressing
 184 numerous land applications (Intrieri et al. 2018; Höser 2018). The characteristics of the Sentinel
 185 1A & 1B sensors are listed in table 1 (ESA 2019).

186 **Table 1.** Specifications of ESA's Sentinel-1 mission

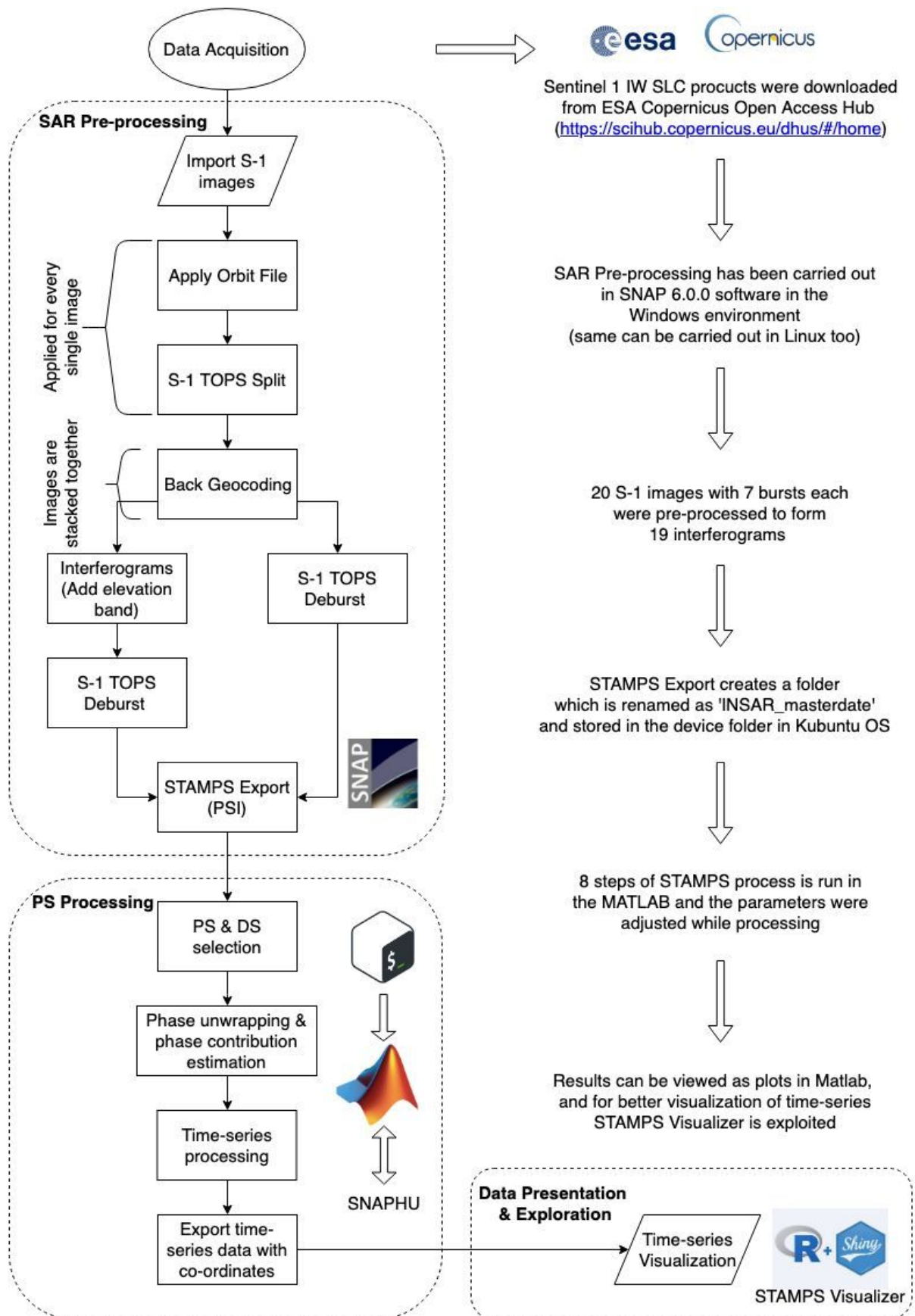
Parameter	Sentinel 1A & 1B
Launch date	April 03, 2014 – S-1A April 22, 2016 – S-1B
Orbit type	Sun Synchronous Orbit
Orbital attitude	693 km
Repeat cycle	12 days
Sensor complement	C-SAR (5.55 cm wavelength)
Bandwidth	0 – 100 MHz
Polarization	Dual polarization for all modes (HH+HV, VV+VH)
Incidence angle range	20° - 46°
Look direction	Right
Antenna beamwidth	0.23°

Acquisition modes	Stripmap Mode (SM) Interferometric Wide swath mode (IW) Extra Wide swath mode (EW) Wave Mode (WM)
Specification of IW mode	250 km swath width 5 x 20 m spatial resolution Single-look Images three sub-swaths using TOPSAR (Terrain Observation with Progressive Scans SAR)

187 Since the introduction of Sentinel 1A and 1B missions, several researchers have adopted it in
 188 the analysis of surface displacements in the form of slope failures or landslides (Barra et al.
 189 2016; Dai et al. 2016; Raspini et al. 2018; Intrieri et al. 2018; Höser 2018). The Sentinel
 190 provides data in the Interferometric Wide Swath mode so as to adopt it extensively in the
 191 InSAR technique. The IW mode captures three sub-swaths using TOPSAR, which in addition
 192 to steering the beam in range direction, also electronically steers from backward to forward in
 193 the azimuth direction for each burst, avoiding scalloping and producing homogenous image
 194 quality throughout the swath (De Zan and Guarnieri 2006; European Space Agency (ESA)
 195 2019).

196 The pre-processing of Sentinel-1 images are carried out in the SNAP 7.0.0 (Sentinel
 197 Application Platform) software platform (European Space Agency 2015); also the export of
 198 processed interferograms to STAMPS/MTI (freely available)(Hooper et al. 2012; 2018) is also
 199 feasible in it, and PS processing is supported well in this platform. MATLAB is used to further
 200 modify, run and analyse the outputs from PS processing. For better visualization of the time-
 201 series output, STAMPS-Visualizer was used (Höser 2018).

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3 202 The literature studies have paved a way to exploit the Sentinel 1 data efficiently to arrive with
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5 203 promising time series analysis on Idukki landslides. However, the current research follows a
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8 204 unique methodology which adopts the do's and don't's reviewed from the literature studies,
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10 205 providing more emphasis on the conceptual formulation of the study. Researchers have adopted
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12 206 the PS analysis on single bursts (Intrieri et al. 2018; Höser 2018), due to the extensive time-
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14 207 consumption and over-demanding hardware features, however here 7 bursts of 20 images have
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16 208 been taken for the analysis, which forms 19 interferograms. Figure 4 depicts the flow of the
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18 209 methodology adopted in the study. This chapter explains the workflow of PS process to analyse
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21 210 pre-movement time-series of the landslides.
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212 **Figure 2.** Flow chart of the methodology adopted in the study

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3 213 The primary requirements to carry out the PS processing are a standalone computer with at
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5 214 least 16 GB RAM capacity; a dual operating system (OS) (a windows 10 OS dual boot with
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7 215 Kubuntu 18.04 was utilised for this study), with SNAP 7.0.0, MATLAB R2018a,
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9 216 STAMPS/MTI and R 3.4.3 software installed. The SNAP 7.0.0 along with R 3.4.3 was installed
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11 217 in Windows OS, while MATLAB R2018a and STAMPS/MTI were installed in the Linux OS.
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13 218 Though the dual handling of two software was found annoying, it provided smooth execution
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15 219 of the entire process. Only the MATLAB license is required for working with STAMPS/MTI,
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17 220 while the other Softwares mentioned could be downloaded freely, thus proving to be cost
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19 221 efficient in comparison to other DInSAR tools like Gamma software and SARscape (Höser
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21 222 2018).

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27 223 Initially, 20 Sentinel-1A IW SLC images of the study region from 23 March 2017 to 20 October
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29 224 2018 were downloaded, which are available freely in the ESA's Copernicus Open Access Hub
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31 225 (<https://scihub.copernicus.eu/dhus/#/home>). The polarisation is VV, and the slopes face to the
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33 226 west, thus descending orbit direction was selected (Höser 2018).

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37 227 The GUI (Graphical User Interface) of SNAP is quite useful in handling pre-processing
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39 228 procedures. The TOPS mode of data acquisition produces SLC data products in the
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41 229 Interferometric Wide swath mode, which could be preferably adopted in interferometric
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43 230 studies. The usage of TOPS provides stable signal-to-noise ratios (SNR) over the large swath
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45 231 of 250km, accomplishing it by splitting the swath into three sub-swaths in the range direction,
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47 232 which has nine bursts each in the azimuth direction (Yague-Martinez et al. 2016; Höser 2018).
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49 233 Every scene is thus provided as a .zip file with size up to two to five-gigabyte size, thus
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51 234 resulting in a massive amount of input data when 7 bursts for 20 images are in concern. The
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53 235 S-1 TOPS Split process separates the required region (in terms of bursts) from the entire scene.
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55 236 The Apply Orbit File operator downloads the information of each image, which is made
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57 237 available after three weeks since the image is available for download (Höser 2018). This

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3 238 operator is usually carried out before the TOPS Split process. The split images are stacked
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5 239 together using the Back Geocoding operator; the images are co-registered to a single-master
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7 240 stack using the master-image-grid as the reference. The TOPS Deburst operator carries out the
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9 241 de-bursting process by solving the pixel overlap between the two bursts and also the void at
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11 242 the edges of the bursts, which arises from the sensing specifications during the TOPSAR mode
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13 243 of acquisition is also handled in this process. After this step, it is also feasible to subset the
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15 244 image further in order to reduce the size of the image.

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20 245 The PS processing requires interferograms formed using the optimal master image. The
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22 246 Interferogram Formation operator forms single master-slave interferograms from the single-
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24 247 master stack, here each slave image is combined with the optimal master to provide n-1
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26 248 interferograms (here, 19 interferograms are formed from 20 images). It is essential to output
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28 249 the elevation band along with the interferograms; the Interferogram formation operator
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30 250 provides a check option where the 'Output Elevation' can be checked on. The input required
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32 251 for PSI processing is carried out by the StaMPS Export operator. The debursted-interferograms
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34 252 and the debursted-back-geocoded product are given as in input to the StaMPS Export operator,
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36 253 which generates the input for PSI processing. This input folder is renamed as
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38 254 'INSAR_masterdate' and saved in the device directory of the Kubuntu OS.

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43 255 The PSI processing is done in StaMPS/MTI using an initial bash script followed by the MatLab
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45 256 scripts, as mentioned in the StaMPS/MTI manual (Hooper et al. 2013). The STAMPS PSI
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47 257 process is an eight-step procedure, which is carried out patch wise over the entire scene. At
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49 258 first, the phase noise is estimated for each candidate pixel in every interferogram. Based on the
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51 259 evaluated noise characteristics, the pixels are chosen, and the PSs are selected by accounting
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53 260 for the percentage of non-PS pixels in a scene. The chosen pixels are further weeded, dropping
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55 261 out the pixels that seem too noisy due to the contribution of neighbouring ground resolution
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57 262 elements. Before phase unwrapping, the selected pixels are corrected for spatially-uncorrelated
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3 263 look angle error, and the patches are merged. Finally, the DEM error, master atmosphere and
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5 264 orbit error are eliminated from the unwrapped phase. The mean velocity and the time series
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8 265 plot can be viewed in MATLAB (Hooper et al. 2013, 2015).
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10 266 The time-series results are exported along with the co-ordinates as a .csv file and then imported
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13 267 into the StaMPS-Visualizer using the Shiny package of R software to get an enhanced
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15 268 visualisation of the results (Höser 2018). The documentation and the manuals regarding the
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18 269 StaMPS-Visualizer were availed from the online scientific community 'STEP Forum', which
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20 270 has also provided several insights regarding the PS workflow (<https://forum.step.esa.int/>).
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23 271 **4. Results**

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26 272 A field expedition was carried out in September 2018 to the site after the occurrence of the
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28 273 landslides in August 2018; the landslide scarps were photographed, and their location was
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30 274 demarked. Based on the on-site visual interpretation of the landslide typologies vary from creep
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33 275 and subsidence to debris and avalanche along with many complex landslides. The earth/debris
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35 276 landslides were found common along the major corridors of the road. The vertical cuts and the
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37 277 sharp turnings were highly susceptible to cut-slope failures. Major events in the Idukki district
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40 278 were of debris flow triggered by incessant rainfall, which was also influenced by the terrain
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42 279 slope, land-use/land-cover and the disposition of streams.
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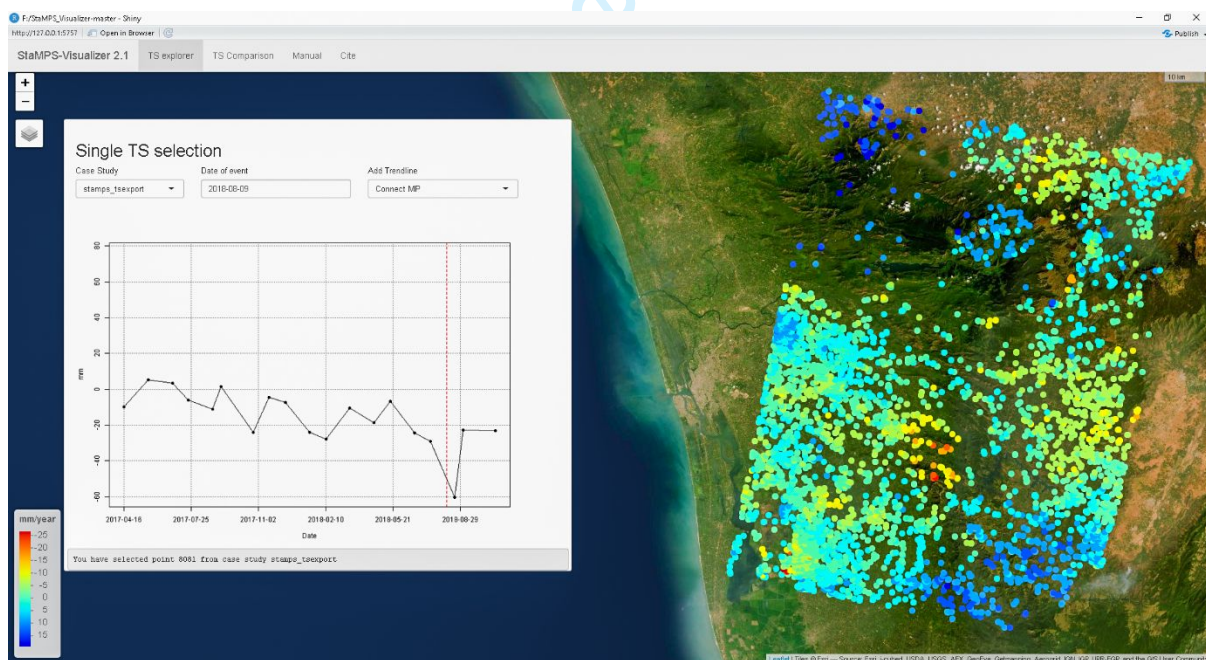
45 280 As a result of the STAMPS procedure, the permanent scatterers are obtained. These PS points
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47 281 were predominantly found to be located in the region which underwent measurable
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50 282 displacements throughout the period. The PS of the spotted landslide scarps were identified to
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52 283 analyse the time-series displacement of the landslide locations.
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55 284 It is to be noted that, the full potential of the ESA Sentinel constellation has not been equipped
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57 285 here since the acquisitions are characterised by a return period of 12 days as only Sentinel-1A
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59 286 acquire imagery on this study location. However, the drastic transition from historical analysis
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287 to continuous monitoring of ground deformation at regional scales has been made feasible
 288 using satellite radar data (Intrieri et al. 2018).

289 StaMPS/MTI technique provided a high spatial density of measurement points (PS and DS)
 290 over the study area. Figure 3 shows the distribution of measurement points over the study area;
 291 this can be viewed in the StaMPS Visualizer (Höser 2018). As each measurement points were
 292 chosen, its time series displacement was plotted simultaneously in the side. The pattern of the
 293 PS location and the velocity (mm/year), which ranges from -25 to 15 mm/year, could be seen
 294 in the legend of Figure 3. With the trend of the plot of time series, it is possible to assess the
 295 critical situations and set up an early warning system in place, which could help evacuation
 296 possible before the disaster. However, more accuracy in the trend of time series could be
 297 obtained with an increased temporal resolution of the Sentinel images.

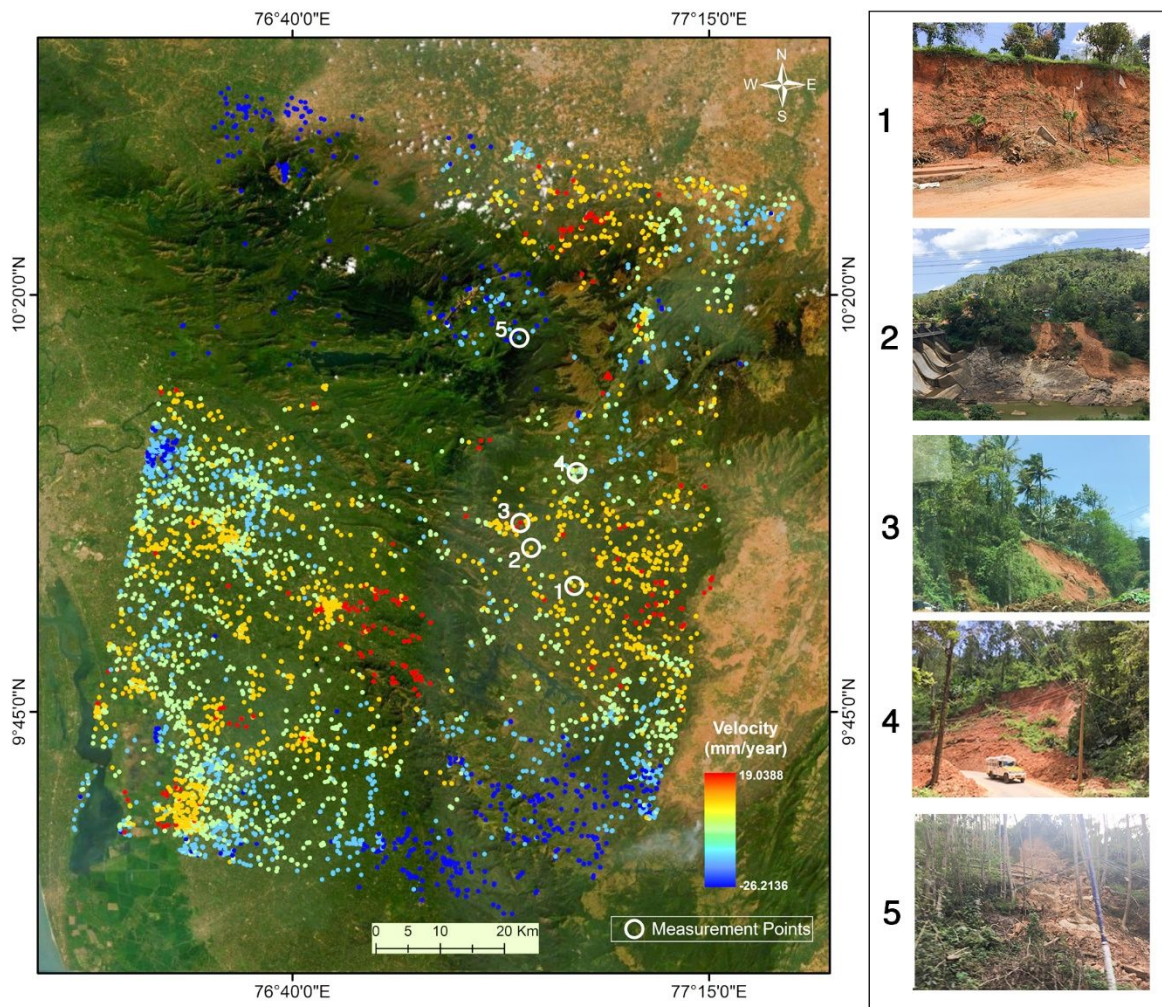
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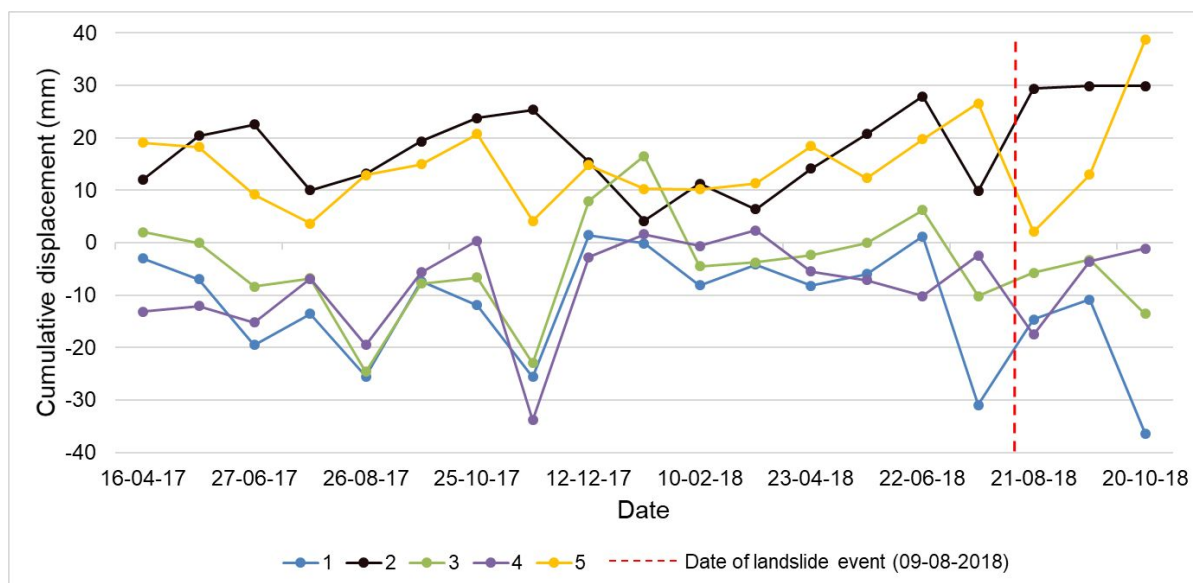
300 **Figure 3.** A view of StaMPS Visualizer window where the time series plot of each
 301 measurement points can be obtained by clicking on the individual MP's.

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3 302 Numerous landslides occurred in the Idukki district on 9 August 2018; however, few of the
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5 303 major landslides have been demarcated on the PS processing generated resultant measurement
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7 304 points in figure 4 along with the corresponding images of the landslides taken at the time of a
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9 305 site visit. The respective time series plots of the demarcated landslides have been provided in
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11 306 figure 5. Figure 3 and 6 shows the distribution of the measurement points over the study region,
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13 307 also representing their vulnerability based on velocity using colour codes, which range from -
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15 308 26 to +19 mm/year. It can be inferred from the time-series plots that, there existed considerable
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17 309 displacements throughout the period of two years (2017 & 2018). The initial dip in the plot,
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19 310 which exists between the dates 25/10/2017 to 12/12/2017 represents a significant displacement
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21 311 at that period which also coincides with the occurrence of North-east monsoon in that region.
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23 312 With the increase in the downpour, the displacements are triggered. Moreover, the occurrence
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25 313 of the landslide on pre-occurred scarps was found to be more predominant in the region, and it
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27 314 is also evident from the time series plots of landslides numbered 1,2,3,4 and 5 (figure 5).
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316 **Figure 4.** PS processing results of Idukki landslide. The locations of the major landslides have
 317 been marked from 1 to 5, and their corresponding images of landslide scarps have been
 318 provided. The authors took these images during the site survey in September 2018.



319

320 **Figure 5.** Time series plot of the landslides marked in figure 4; the date of the landslide event
 321 has been demarked in the plot and, notably, there is a sharp trend change at the time of the
 322 event.

323 5. Discussions

324 The Idukki district falls on the southern part of the Western Ghats where regolith thickness
 325 ranges from 0.25 to 5 m, and the region is prone to shallow landslides (Sreekumar 2009;
 326 Abraham, Pothuraju, and Satyam 2019). Geologically, the rocks in the region comprise of
 327 Charconite, Khondalite and Migmatite groups, which contributes to the formation of the part
 328 of South Indian Precambrian metamorphic shield. The terrain geomorphology of the study
 329 region divided the area into four viz., rugged hills, ridges and valley, fringe slope and plateau.
 330 The scarps of the Western Ghats are comprised of frictional soil with less cohesion, which
 331 behaves stable during dry conditions while losing their strength with the increase in its moisture
 332 content (Abraham et al. 2019). High precipitation in the region contributes to the weathering
 333 process of the rocks (Deepthy and Balakrishnan 2005).

334 The Western Ghats experience annual rainfall as high as 5000 mm from the southwest,
 335 northeast monsoons along with premonsoon showers (Kuriakose et al. 2009). The Western

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3 336 flank and the eastern side of the Western Ghats experience landslides during the southwest
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5 337 monsoon and the northeast monsoon, respectively (Sekhar Lukose Kuriakose 2010). The high-
6
7 338 intensity rainfalls increase the pore water pressure of the soil mass, leading to an eventual
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9 339 decrease in the shear strength of the soil. The fissures in the bedrock siphon the excess
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11 340 rainwater into the unstable zones in the steep terrain during the monsoon, thereby inducing
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13 341 landslides and debris flow (Abraham, Pothuraju, and Satyam 2019). The region underwent a
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15 342 breakthrough due to a quest for infrastructure because of the rapid population increase after the
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17 343 19th century when the people from midlands started migrating into the hilly region (Jha, Dutt,
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19 344 and Bawa 2000). As an effect, significant changes in land-use occurred in a short-span
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21 345 favouring the occurrence of landslides. Hill-toe modifications in the district, for infrastructure
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23 346 development, made the slopes steep without any lateral support. These combined with terraced
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25 347 slopes, monoculture plantations with improper drainage provisions further aggravated the
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27 348 scenario.

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33 349 Between 9th to 15th August to 2018, Idukki district received 679 mm of rainfall which is nearly
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35 350 5.3 times more than the usual rainfall of 126.3 mm (Mishra et al. 2018). This severe, incessant
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37 351 rainfall, induced floods followed by landslides in the region. A significant share of landslide
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39 352 events was found to be biased towards the antecedent rainfall. The six out of seven major
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41 353 reservoirs of Kerala were at more than 90% of their full capacity before August 2018, and when
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43 354 followed by the extreme rainfall in August 2018, the catchment upstream of the three major
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45 355 reservoirs viz., Idukki, Kakki and Periyar had reached the return period of more than 500 years.
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47 356 The full reservoir and extreme rainfall condition resulted in the release of water from the
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49 357 reservoirs in a short period thus flooding the region entirely. The pore water pressure in the
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51 358 fully saturated soil triggered slides causing numerous landslides in the region (Mishra et al.
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53 359 2018). Conclusively, the disaster in August 2018 was also due to the occurrence of torrential
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55 360 rainfall. The field survey and the obtained results from the PS approach coincide well, thereby
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3 361 validating the authentication of the technique applied. This further concludes that the hilly
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5 362 slopes of Idukki district are highly vulnerable to rainfall and early warning can be provided to
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8 363 the locals with the onset of incessant rainfall. Furthermore, precautionary repair works have to
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10 364 be carried out necessarily on the landslide scarps to prevent further devastating slides over
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12 365 these scarps in the future.

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15 366 The PS technique facilitates and agrees well with the Idukki region in mapping the time-series
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17 367 displacement. With more number of satellite images with a high temporal resolution, better
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20 368 results with well-defined trend could be obtained. Furthermore, specific landslides with high
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22 369 vulnerability can be analysed exclusively as the size of the image would be considerably
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24 370 reduced along with the processing time (as done for Maoxian landslide (Intrieri et al. 2018)).
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27 371 This particular research focused on carrying out the PS processing in the Idukki district, which
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29 372 was intensely affected during the disaster of August 2018; and hundreds of landslides occurred
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31 373 in the district, thus picking out of single landslide was not feasible.

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34 374 The validation of results are supported by the field verified landslide locations. Figure 4
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36 375 portrays the pictures obtained from the field investigation. A quantitative approach has been
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39 376 opted for the validation of the results, where the occurrence of landslides are well matched with
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41 377 the persistent scatterers, which has more velocity.

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44 378 The results obtained from the STAMPS/PSI technique has been more useful in understanding
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46 379 the instability of the terrain. Incorporation of the triggering factors like rainfall has
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49 380 substantially caused considerable changes in the stability of the terrain. This study thus
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51 381 recommends continuous monitoring of the vulnerable region that are highly susceptible to
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53 382 landslides. The analysis based on the remote sensing approach is highly economical and time-
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55 383 consuming, which aids sufficiently in sorting the disaster management measures.

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3 384 There exist few limitations in this approach which are essential to be specified. The exposition
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5 385 of the slope is a limiting effect. With a right looking antenna in a polar circular platform, east
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7 386 and west-facing slopes have proper conditions, where landslide occurs down the slope resulting
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9 387 in movement in LOS direction. On the other hand, in the north and south-facing slopes, the
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11 388 movement of landslide occur along azimuth direction, thus less chance to monitor (Alessandro
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13 389 Ferretti et al. 2007; Höser 2018). The PS-InSAR approach requires a high amount of data
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15 390 processing to arrive at the end interpretable results. Significant technical limitations are the
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17 391 accessibility to the hardware/software and a high amount of expert knowledge (Höser 2018).
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19 392 The time consumption is another limitation, but this could be addressed by taking a smaller
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21 393 region for the study; however, this condition only applies to study a single major landslide. If
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23 394 numerous landslides are under consideration, it is recommended to split the study region, and
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25 395 analyse each burst separately. The lack of interface between the processor and other software
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27 396 can be seen as another limitation; hence results are not easily revisable. Each process is carried
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29 397 out in each respective software, thereby making the methodology tiresome.

36 398 **6. Conclusion**

39 399 2018 Kerala floods and landslides was a disastrous historical event that threatened the Indian
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41 400 sub-continent by taking off several lives and billions of worth properties. Idukki district was
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43 401 immensely affected by hundreds of landslides isolating the district from the world. This deeply
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45 402 earned the attention of researchers, government and social activists to bring out a feasible and
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47 403 efficient disaster monitoring technique to mitigate the effects in the future. Freely available
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49 404 spaceborne radar data of Sentinel-1 system and the open-source software packages like SNAP
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51 405 and StaMPS/MTI along with the modern PS interferometric technique facilitated the study on
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53 406 the landslides effectively. The investigation of surface displacement has its history with a
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55 407 traditional ground measuring approach, and it has been replaced recently with the modern
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57 408 remote sensing tools. This evolution has paved a better path in analysing the time-series surface
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3 409 displacements using the high temporal radar images. Though the precise predictions of the
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5 410 failure event cannot be derived from this analysis, the interpretation of the evolution and onset
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8 411 of preparatory and triggering factors can be assessed (Höser 2018).
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10 412 The current paper gives insights into the technical and methodological concepts of time-series
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12 413 analysis to investigate the external factors that trigger the landslide process. However, a major
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14 414 focus has been given to the Idukki landslides of August 2018. The opted research methodology
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16 415 has provided promising insights on the stability of the region over a period of nearly 20 months
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18 416 including the duration of the disaster. Based on the results obtained from the study, the extreme
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20 417 rainfall condition accompanied by the release of water from the reservoirs in the region has
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22 418 made the terrain unstable making it vulnerable to landslides. Though additive factors like slope,
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24 419 land-use, lineaments, the flow of streams, drainage pattern, geology and geomorphology are
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26 420 involved in the stability of the region, rainfall has played a vital role in triggering the landslides.
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28 421 The proposed approach can be used preferably in the landslide susceptible region incorporating
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30 422 continuous monitoring to mitigate the calamitous events.
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36 423 The major drawbacks are the high amount of expert knowledge required and the demanding
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38 424 hardware systems to run the process efficiently in a short period. The StaMPS visualizer has
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40 425 served as a functional interface in visualising the time-series results; however, the same can be
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42 426 achieved by extracting the time series information as a .csv file and analysing it separately.
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44 427 Therefore, the obtained results can be used to guide the authorities to detect and monitor slopes
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46 428 that are at the risk of failure, which is a threat to human lives and infrastructures.
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52
53
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55
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59 432 **Declaration of Interest statement**

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3 433 The author(s) declare no competing interests.
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