

The Air Pollution Impact to Maternal Mice and Offspring

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Certificate of original authorship

I, Baoming WANG declare that this thesis is submitted in fulfilment of the requirements for the award of Doctor of Philosophy (PhD), in the School of Life Sciences at the University of Technology Sydney.

This thesis is wholly my own work unless otherwise reference or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis. This document has not been submitted for qualifications at any other academic institution.

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Publications arising from my PhD candidature:

Unfortunately I started my PhD in a group which was not able to supervise me correctly, and after one year I changed supervisors and group to Prof Oliver. The data in my thesis is from only the work which I did as part of Prof Oliver's group.

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Conference Presentations

1. Thoracic Society of Australia and New Zealand meeting (2018): Poster presentation

Baoming WANG, Yik Lung Chan, Sonia Saad, Hui Chen, and Brian Oliver. Maternal L-Carnitine Supplement Relieves Lung Damage in Offspring from Cigarette Smoke Exposed Mothers

2. Centre for Air pollution, energy and health Research (2019): Poster presentation

Baoming WANG, Yik Lung Chan, Sonia Saad, Hui Chen, and Brian Oliver. Chronic low dosage of maternal particulate matter exposure can affect dam's and offspring's lung health

3. New Horizon 2019: Poster presentation

Baoming WANG, Yik Lung Chan, Sonia Saad, Hui Chen, and Brian Oliver L-Carnitine mitigates impact of maternal smoking on lung health in mice offspring

4. Thoracic Society of Australia and New Zealand branch meeting (2019): Oral presentation

Baoming WANG, Yik Lung Chan, Sonia Saad, Hui Chen, and Brian Oliver. Maternal exposure to low dose particulate matter induced transgenerational hyperresponsiveness in mice

5. 19th NSW Asthmatic Meeting (2019): Poster presentation

Baoming WANG, Yik Lung Chan, Sonia Saad, Hui Chen, and Brian Oliver. Maternal exposure to low dose particulate matter induced transgenerational hyperresponsiveness in mice

6. European Respiratory Society Meeting (2019) :

Poster presentation:

Baoming WANG, Yik Lung Chan, Sonia Saad, Hui Chen, and Brian Oliver. Impact of chronic maternal particulate matter exposure during pregnancy on offspring's lung health

Poster discussion:

Baoming WANG, Yik Lung Chan, Sonia Saad, Hui Chen, and Brian Oliver. Impact of chronic maternal particulate matter exposure during pregnancy on offspring's lung health

Awards and Prizes

2016: 4 years PhD scholarship from China Scholarship Council

2019: Best presentation from Thoracic Society of Australia and New Zealand branch meeting

2019: Best poster from 19th NSW Asthmatic Meeting

Abstract

Epidemiological studies have shown that maternal exposure to cigarette smoke and air pollution are two predominant *in utero* environmental toxicants which can increase the risk of developing multiple respiratory diseases in the offspring. The proposed mechanisms include reducing mitochondrial function and mitochondrial renewal mechanisms (mitophagy) and activating inflammasome and other inflammatory pathways. However, whether maternal smoking could induce the sex-dependent susceptibility in respiratory disorders and whether chronic low dose particulate matter (PM) exposure which is within the international standard could induce any transgenerational pulmonary disease has not been widely studied.

Firstly, Female Balb/c mice (8 weeks) were exposed to cigarette smoke (SE) for 6 weeks prior to mating, during gestation and lactation. Half of the SE dams (mothers) were given L-Carnitine supplementation (1.5mM in drinking water, SE+LC) during gestation and lactation. Then, another Male Balb/c Mice (6 weeks, Animal Resources Centre, WA, Australia) batch was intranasally exposed to saline or traffic-related PM₁₀ (1 µg or 5 µg/day) for 3 weeks. Furthermore, the female BALB/c mice (6 weeks) were exposed to PM_{2.5} (PM_{2.5}, 5 µg/day) or saline (SHAM) 6 weeks before pregnancy and during pregnancy and lactation; or for only 6 weeks before pregnancy (Cessation, 5 µg/day). Lung tissues from models were analysed.

Results: Compared to female offspring, maternal SE significantly increased levels of inflammatory markers (phosphorylated(p)-extracellular signal-regulated kinase (ERK1,2), p-p38 Mitogen-activated protein kinase (P38) MAPK, p-Mitogen-activated protein kinase (NF-kB). Three weeks of PM exposure (5 µg/day) significantly increased total macrophages and lymphocytes number in the bronchoalveolar lavage fluid (BALF) accompanied by increased levels of NLRP3 and Interlukin-1 (IL1-β). Chronic exposure to low dose PM significantly increased tissue elastance and damping during lung function tests, followed by increased leukocytes in the BALF, mitochondrial dysfunction, and airway remodelling, including alveolar membrane damage and increased collagen deposition. Maternal exposure to low dose

PM also significantly increased tissue elastance and damping during lung function test followed by increased leukocytes in the BALF and mitochondrial dysfunction without airway remodelling. The mouse model of asthma induced by ovalbumin (OVA) showed that maternal exposure to the low dose PM could significantly increase tissue elastance during lung function test in the offspring, suggesting the worse asthmatic symptoms.

In conclusion, male offspring are more susceptible to the adverse effects of maternal smoking. Chronic exposure to the low dose PM could induce chronic obstructive pulmonary disease (COPD)-likes pathology in the dams and worsen asthmatic symptoms in the female offspring.

Chapter 1 General Introduction

Section 1.2 has been published as a review article in,

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Contribution:

- Writing original draft and finalising the printed version

1.1 Ambient particulate matter in air pollution

1.1.1 Different sources of PM

Air pollution plays an important role in the global burden of disease. Epidemiological cohort studies have identified that Sulphur dioxide¹, Nitrogen dioxide², Nitrous oxide³, Carbon monoxide⁴, Ozone⁵, and particulate matter (PM) are the top 6 factors in air pollution and can induce various diseases. Those components of air pollution have diverse health impacts, such as the development of asthma, chronic obstructive pulmonary disease (COPD) and even lung cancer⁶. When compared with other components of air pollution, PM was detected as the main putative culprit to cause morbidity and mortality^{7,8}. More than 3 million global premature deaths each year can be attributed to the PM pollution⁹.

The prenatal stage, crucial to the organogenesis of the developing foetus, is highly susceptible to the environmental toxicants exposure¹⁰. Current study proved that the chemical composition on the PM surface can be transferred to the foetal circulation via blood placental barrier and induce various adverse impacts in the offspring¹¹. Particulate matter, especially fine PM with a diameter of less than ten microns (PM₁₀), has significant adverse effects on pregnant women¹². The underlying mechanisms for the influence of maternal PM exposure on adverse birth outcomes are not clearly understood. The current consensus opinion is that it is related to oxidative stress and inflammation during pregnancy^{13,14}.

Although PM can be produced through volcanic eruption and forest fire, the main source of PM comes from anthropogenic activities. These pollutants can be broadly divided into two categories: indoor and outdoor contaminants and both categories consist of similar particulate matter¹⁵. During the global urbanization, emissions from vehicle exhausts have become an important source of outdoor air pollution in both developed and developing countries. Urbanisation has resulted in residents living within 500 metres of busy roads which exposes them to a variety of adverse respiratory health outcomes^{16,17}.

Indoor air pollution mainly comes from residential energy use such as heating and cooking which is prevalent in India and China, where it has the largest impact on premature mortality globally. Acute respiratory infections in children and patients with COPD are also strongly associated with living in poorly ventilated homes¹⁸.

1.1.2 Classification of PM

Researchers define the inhaled fraction of ambient PM as PM₁₀ with a median aerodynamic diameter less than 10µm. According to the size, PM₁₀ can be further divided into three major fractions based on the size: coarse PM (2.5-10 µm) which can easily deposit in the upper airways, being removed by mucociliary clearance; fine PM (0.1-2.5 µm), and ultrafine particulate matter (<0.1 µm), which can reach deep into the lung (ie. alveoli)¹⁹ and can even reach other organs through blood circulation. Suspended PMs with a median diameter of less than 2.5 µm are defined as PM_{2.5}, including the fine and ultrafine particles. Sometimes, PM₁ (median diameter less than 1 µm) is also be used. In fact, due to the complexity of PM, the content of PM₁₀ also encompasses more than 50% of fine and ultrafine particles. Furthermore, most researchers believe that the smaller components (PM_{2.5} or PM_{0.1}) in PM₁₀ have higher toxicity compared to PM₁₀ itself²⁰. Furthermore, smaller particles have a larger surface area which can adsorb more metal and other toxic components.

Many publications have explored the health risks of exposure to various sizes of PM. The study has proved that PM_{2.5} has a higher chance to be retained in the airways and alveoli²¹. This study was further confirmed by the analytical electron microscopy measurements, which showed that PM_{2.5} could retain in the lung parenchyma with more than 90% efficiency. Numerous studies also found that increasing in PM_{2.5} exposure during pregnancy could significantly increase various respiratory diseases in the offspring, such as asthma, COPD^{22,23}. Therefore, the size of the PM plays an important role in the cytotoxic effects.

During the PM forming, carbonaceous cores will be surrounded by the diverse chemicals, minerals and organic components. PM produced in different cities and countries is produced from different sources such as combustion sources and climate change. Because of this PM can also be defined by chemical composition in addition to classifying by size. Suspended PM consists of a mixture of organic material (polycyclic aromatic hydrocarbons (PAH) and endotoxins), minerals (quartz and silicates), salts (sulphates and nitrates), and other inorganic components (transition metals)²⁴.

No single compound in the PM was identified to explain the cytotoxic effects of PM. The biotic contaminants, such as endotoxin, allergens, and pollen fragments, can be adsorbed on the carbon core of PM²⁵. A study in Germany suggested that the concentration of endotoxin in coarse particles were 10-fold higher than PM_{2.5}²⁶. Endotoxin exerts its effects on the intact and isolated lung cells as well, such as activating Toll-like receptors (TLR2/4) signaling pathways which are closely associated with asthma²⁷. In animal models, endotoxin was also found to change the physiological functions of both airways and pulmonary circulations resulting in changes in lung function²⁸. PM_{2.5} with polycyclic aromatic hydrocarbons (PAH)-like characteristics can form an undesired mutagenic risk²⁹. In mice, high PAH concentration could induce higher a chance of mutations³⁰. Another study demonstrated that PAH can even cross the blood placental barrier and interfere with the development process of the foetus. Metals, another contaminant on the surface of PM, can penetrate cellular organelles and interfere with their functions³¹. For example, lead could accelerate Ca²⁺ release from the cell and reduce membrane potential, leading to the reduced mitochondrial function and further cellular dysfunction³¹. Metals, like iron, copper and chromium could induce redox cycling and further damage cell function. Other metals like lead, cadmium could cause oxidative stress by using up the antioxidants. It has been shown that these metals caused an increase in the production of ROS, such as hydroxyl radical (HO[•]), leading to oxidative stress in cardiopulmonary

damage³². The same process may also happen in the other organs, including the lung, liver and even the growing foetus³³.

1.1.3 Inflammation in the lung

Inhalable PM smaller than 10 μ m (PM₁₀) can reach the lower airways, while smaller particles can reach the alveoli and are therefore considered to be more damaging to the lung³⁴. The inhalation of PM regardless of the size can induce oxidative stress and result in increased inflammation³⁵. Nuclear factor- κ B (NF- κ B) and Mitogen-activated protein kinase (MAPK) are the common inflammatory signalling pathways which could be activated through the endocytosis or phagocytosis of PM_{2.5}^{36,37}. NF- κ B, is a major transcription factor that is crucially involved in inflammation, apoptosis, and proliferation in lung³⁸. A previous study found that PM_{2.5} exposure triggered nuclear translocation, DNA-binding, and transcriptional activation of the NF- κ B pathway in human alveolar epithelial cell line A549³⁹. NF- κ B can also activate MAPK signalling cascades, including extracellular signal-regulated kinase (ERK), c-JUN N-terminal kinase (JNK), and p38 Mitogen-activated protein kinase (p38)⁴⁰. The activated NF- κ B and MAPK pathways result in the release of pro-inflammatory cytokines, such as interleukin-1 β (IL-1 β), leading to heightened inflammatory responses.

In order to avoid the excessive damage induced by inflammation, autophagy is a selfregulation mechanism important for stress adaptation and cellular homeostasis⁴¹. Autophagy can modulate inflammation through eliminating unwanted intracellular components, eg. proteins, to reduce the inflammatory stimuli to suppress the inflammation⁴². PM_{2.5} has been shown to induce autophagy in A549 cells through oxidative stress⁴³.

1.2 Intrauterine exposure to particulate matter and cigarette smoke and asthma

1 **Why do intrauterine exposure to air pollution and cigarette**
2 **smoke increase the risk of asthma?**

3

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22 Abstract

23 The prevalence of childhood asthma is increasing worldwide and increased in-utero exposure
24 to environmental toxicants may play a major role. As current asthma treatments are not
25 curative, understanding the mechanisms underlying the aetiology of asthma will allow better
26 preventative strategies to be developed. This review focuses on the current understanding of
27 how in-utero exposure to environmental factors increases the risk of developing asthma in
28 children. Epidemiological studies show that maternal smoking and particulate matter exposure
29 during pregnancy are prominent risk factors for the development of childhood asthma. We
30 discuss the changes in the developing foetus due to reduced oxygen and nutrient delivery
31 affected by intrauterine environmental change. This leads to foetal underdevelopment and
32 abnormal lung structure. Concurrently an altered immune response and aberrant epithelial and
33 mesenchymal cellular function occur possibly due to epigenetic reprogramming. The sequelae
34 of these early life events are airway remodelling, airway hyperresponsiveness, and
35 inflammation, the hallmark features of asthma. In summary, exposure to inhaled oxidants such
36 as cigarette smoking or particulate matter increases the risk of childhood asthma and involves
37 multiple mechanisms including impaired foetal lung development (structural changes),
38 endocrine disorders, abnormal immune responses, and epigenetic modifications. These make
39 it challenging to reduce the risk of asthma, but knowledge of the mechanisms can still help to
40 develop personalised medicines.

41

42 Keywords: asthma; foetus; placental; smoking; particulate matter.

43

44

45

46 Introduction

47 Asthma is a disease that generally affects 5-20% of children globally (1, 2). It is a complex
48 condition in which symptoms are mainly caused by bronchoconstriction (3). Airway
49 constriction occurs rapidly in response to a variety of inhaled substances, for example,
50 allergens such as pollen and house dust mite, and environmental sources such as dust and
51 smoke, which usually can be fully or partially reversed by bronchodilators. Pathologically it is
52 defined by airway remodelling, typified by increased smooth muscle and epithelial layer
53 thickness, and increased numbers of inflammatory cells. However, the type of inflammation
54 varies. For example, sputum based phenotyping of inflammation categorises people into
55 eosinophilic, neutrophilic, or paucigranulocytic asthma. The other factors that can add to the
56 complexity of asthma including the age of onset, aetiological cause (if known), co-existence of
57 other respiratory diseases, comorbidities, the degree of reversibility, and the ability for the
58 symptoms being effectively controlled by pharmaceutical interventions.

59

60 The susceptibility to asthma is complex, which involves both genetic susceptibility,
61 environmental insults (both pre and post birth), and is further complicated by asthma symptoms
62 initiating and sometimes ceasing at different ages, as well as differences in asthma prevalence
63 between the male and female sexes.

64

65 It is known that boys are more susceptible than girls before puberty, but less than girls after
66 puberty. Many theories exist to explain this phenomena including: dysnapsis due to different
67 sized lungs in boys and girls, increased allergy (more IgE production in boys), different innate
68 and adaptive immune responses in boys and girls, and the influence of sex hormones (4-6).
69 The incidence of asthma is also related to the use of life saving medical interventions in
70 premature and newborn children such as oxygen supplementation or mechanical ventilation due
71 to physical permanent damage to the newborn's lungs (7).

72

73 However, it has increasingly been recognised that certain factors during the intrauterine period
74 affects childhood asthma susceptibility. In particular, maternal smoking (MS) and particulate
75 matter (PM) exposure (8, 9), are the best described/researched *in-utero* challenges which affect
76 asthma susceptibility. This review will discuss the current understanding of multiple
77 mechanisms underlying these two factors, which may help to develop personalised medicines.

78

79 Epidemiology of asthma

80 The prevalence of allergic disorders has been rising since the early 1980s. The average global
81 rate of allergic disorders is 22%, ranging from 15%-35% of the population in different
82 countries (10). According to the WHO, the number of children with asthma is around 14%
83 globally (11). Severe asthma is common in children. A recent study reported that the
84 prevalence of severe asthma was 4.9% in 6-7 years old children, however, the incidence was
85 increased to 6.9% in 13-14 years olds. These phenomena demonstrated that age is an important
86 factor for the onset of asthma (12).

87

88 Environmental toxicant exposure during pregnancy is a significant factor that has been shown
89 to increase the incidence of asthma (13). In particular, maternal smoke exposure (MSE) is the
90 largest modifiable risk factor for the development of asthma. Although the harmful effect of
91 smoking is well-known in the general public, smoking mothers find it difficult to quit due to
92 nicotine addiction, even during pregnancy when nicotine metabolism is faster than non-
93 pregnant status(14). A systematic review and meta-analysis in the Lancet showed that the top
94 3 countries with the highest smoking rate during pregnancy are Ireland (38.4%), Uruguay
95 (29.7%) and Bulgaria (29.4%) (15). Even in Australia where anti-smoking legislation is one of
96 the most aggressive in the world, the smoking rate in pregnant women is 11.7% (16).

97

98 Epidemiological studies have demonstrated a dose-dependent increase in asthma risk in
99 offspring due to MSE (Table 1). Currently, several cohort studies have confirmed the
100 association between MSE and asthma risk in the offspring (17-20). For example, a birth cohort
101 study has found that women smoking during pregnancy could increase asthma incidence in the
102 offspring with an adjusted hazard ratio of 1.79 (95% CI 1.20–2.67) (21). The same outcome
103 has been found in another cohort study where MSE during pregnancy caused higher asthma
104 risk in the child in the first year of life with an odds ratio (OR) of 1.83 (22). Similarly, a
105 systematic review of 14 studies revealed a wheezing (OR 1.41 (95% CI 1.19–1.67)) and asthma
106 risk (OR 1.85 (95% CI 1.35–2.53)) in 2 years old and younger children, followed by a higher
107 asthmatic risk in 5–18 years old children (OR 1.23 (95% CI 1.12–1.36)) caused by smoking
108 during pregnancy (18). One study found a strong asthma risk in 14 year old girls whose mothers
109 smoked during pregnancy, however this was not found in boys (23); whereas a different study
110 found that boys at the age of 11 are more susceptible to the maternal and postnatal secondhand
111 smoke (24). These differences might be related to the changes in asthma prevalence in boys
112 and girls around puberty.

113

114 Around 91% of the world's population are living in the areas where the levels of air pollutants
115 exceed the WHO limits (25). Epidemiological studies demonstrated a strong association
116 between pulmonary disease and particulate matter (PM) exposure(9). Compared to cigarette
117 smoking which can be avoided through quitting, the dangers of airborne pollution are hard to
118 avoid in heavily polluted countries, such as China and India. In China, 74,000 premature deaths
119 were attributed to PM_{2.5} exposure in the year 2013 (26). It was estimated that 22% of these
120 deaths could have been avoided if indoor PM_{2.5} level met National Class I standards (26).

121

122 There are many different types of airborne pollution, but simplistically these can be divided
123 into gasses and particulate matter (PM). PM is considered as particularly dangerous as
124 respirable particles can remain airborne over large distances.

125

126 As shown in Table 2, prenatal PM exposure is also associated with childhood asthma. A cohort
127 study found that prenatal PM₁₀ exposure could cause pulmonary function changes with higher
128 minute ventilation in newborns (27). Another birth cohort study including pre-school and
129 school-age children demonstrated that prenatal PM₁₀ exposure increased the risk of developing
130 asthma in both age groups, especially for those pregnant mothers who lived near the highways
131 (28). The correlation between maternal PM exposure and asthma risk in different genders was
132 also investigated. High levels of PM_{2.5} exposure during mid-gestation increased the
133 development of asthma by the age of 6 years in boys, but not in girls (29). The above evidence
134 indicates that maternal PM exposure during pregnancy has similar effects to MSE in terms of
135 increasing the risks of developing asthma in childhood.

136

137 The difference of asthma prevalence between boys and girls and the change in prevalence
138 which occurs around puberty naturally gives credence to the involvement of sex hormones.
139 Animal models of estrogen receptor knockouts suggests that estrogen promotes the
140 development of the asthma (30); while male mice lacking testosterone showed more severe
141 asthma symptom (31). These studies help to explain why boys are more susceptible to asthma
142 before puberty, and girls more susceptible after puberty. However, the etiology of asthma is
143 complex and is multifactorial.

144

145 **The role of oxidative stress in the development of asthma in children**

146 Various chemicals can be found in both cigarette smoke and PM. It is unlikely that a single
147 chemical is responsible for all the adverse effects of in-utero exposure to cigarette smoke or

148 PM on lung health in the offspring. Cigarette smoke and PM are two major environmental
149 sources of inhaled free radicals and strong oxidants. The balance between excessive oxidant
150 activity and the antioxidant capacity can tip in favour of excess oxidants causing oxidative
151 stress. However, it is important to note that the production of oxidants is necessary to maintain
152 healthy cell function, and important in regulating processes such as inflammatory responses.
153 Oxidative stress induces adverse effects in tissues. The developing foetus is highly vulnerable
154 to oxidative stress injury, as the immune system remains immature during the prenatal period
155 (32). Free radicals and chemicals inhaled during MSE and maternal PM exposure can pass the
156 blood-placental barrier to directly increase the level of oxidative stress in the offspring.
157 Therefore, we propose the first common and prominent mechanism underlying these two
158 factors to induce pathological changes in the offspring is oxidative stress.

159

160 Our previous studies in mice have repeatedly shown that MSE can reduce the level of
161 endogenous antioxidant Manganese Superoxide Dismutase in the brain, kidney, and lungs of
162 adult offspring accompanied by increased Reactive Oxygen Species (ROS) levels in those
163 organs; interestingly, antioxidant supplementation during pregnancy could completely or
164 partially reverse the adverse effects on those organs induced by MSE (33-35). The
165 endogenous antioxidant enzyme system is established in the second and third trimester of
166 pregnancy and continues to develop in early childhood (36). Interestingly, lung development
167 also matures in the early postnatal period, suggesting that the antioxidant system may protect
168 early-life lung development from the adverse impacts of environmental oxidant pollutants (37).
169 After all, the function of the respiratory system is vital for survival immediately after birth.
170 Vitamin C is an antioxidant which contributes to cellular antioxidant defence(38, 39). A study
171 in pigs found that vitamin C deficiency during pregnancy could cause brain damage in the
172 offspring (40). Giving smoking women vitamin C during pregnancy was shown to improve
173 lung function (better airflow and less wheezing) in children during the first year of life (41).
174 This again provided evidence that oxidative stress and insufficient capacity of antioxidants play
175 a key role in organ dysfunction in the offspring due to MSE. PM consists of metals and
176 endotoxins (polycyclic aromatic hydrocarbons) which also can generate ROS (42) and produce
177 oxidative damage (43). Therefore, the pathways associated with oxidative stress are regarded
178 as playing an important role in inducing adverse respiratory outcomes after the exposure to
179 environmental pollutants (44, 45).

180

181 *In utero*, any adverse effects that occur during foetal development can have long-lasting

182 negative influences on organ development and later function after birth (46, 47). In fact, local
183 tissue oxidative stress and injury due to the imbalance between free radicals and antioxidant
184 capacity is a key factor in asthma pathogenesis. As such we propose that oxidative stress is the
185 pathological insult that drives changes in the intrauterine environment and disturbs normal
186 foetal development which subsequently increases the risks of developing asthma. It is also
187 worth noting that maternal smoking is a strong risk factor for miscarriage, a process also linked
188 to oxidative stress (48).

189

190 **Intrauterine growth restriction – The Barker Hypothesis**

191 In 1990, the epidemiologist David Barker presented his hypothesis which linked chronic and
192 degenerative diseases, such as heart disease, to the poor intrauterine environment caused
193 intrauterine growth retardation (IUGR), low birth weight, and premature birth. This theory
194 inspired scientists and has been expanded to the other organ systems including the respiratory
195 system (49). Numerous studies have confirmed that environmental toxicant exposure during
196 pregnancy, such as cigarette smoke, can cause IUGR and subsequently abnormal lung
197 development in the offspring (49). Nicotine is the most widely studied component in cigarette
198 smoke due to its addictive effects. Early studies showed that cotinine, the stable metabolite of
199 nicotine, can be found in foetal circulation and body fluids (50). This indicates that chemicals
200 in cigarette smoke can cross the blood-placental barrier and reach the foetus. A more recent
201 study by Geelhoed *et al* showed that MSE can decrease blood flow in the ascending aorta
202 because of higher arterial resistance in the uterus, which can reduce the oxygen and nutrient
203 delivery to the growing foetus resulting in IUGR (51). Inadequate nutrient availability in the
204 developing foetus, especially during the periods of rapid lung growth, has been shown to induce
205 lung developmental defects (52, 53) and respiratory morbidity in the offspring (54, 55). Animal
206 studies have demonstrated a decrease in both alveolarisation and vessel density in the lung of
207 sheep with IUGR (56).

208

209 **How do MSE and maternal PM exposure impact on foetal lung development?**

210 In brief, MSE can induce such effects in two ways: the direct influence on the developing
211 foetus, and indirect effects on the fetoplacental unit. Recently, studies have demonstrated that
212 a small fraction of the circulating nicotine in the mothers can cross the trophoblastic membrane
213 and reach the unborn child, and as such cotinine can accumulate in the foetal circulation and
214 fluids in measurable concentrations (57, 58). Furthermore, a similar concentration of cotinine
215 in both foetal lung tissue and blood was found, suggesting cotinine may bind to the receptors

216 in the lung to directly affect foetal lung development (59). Maternal air pollution exposure can
217 also cause foetal growth restriction (60). Polycyclic aromatic hydrocarbons on the surface of
218 PM can easily cross the blood-placental barrier and circulate in the foetal blood because of its
219 small size (61). Therefore, lung development in the foetus can be directly affected by the PM
220 inhaled by the mothers.

221

222 The fetoplacental unit has a significant influence on foetal development. The damage to
223 fetoplacental unit caused by maternal smoking can be seen during early pregnancy. For
224 example, MSE significantly increases villous membrane thicknesses and trophoblastic layer in
225 the placenta during the first trimester (58). There are also signs of reduced capillary volume in
226 placental vasculature in pregnant smokers (62). The consequence of reduced capillary volume
227 is nutrient delivery decrement. Intrauterine nutrient deficiency has been suggested as the major
228 factor contributing to foetal growth restriction and low birth weight due to MSE (63). Low
229 birth weight can increase the asthma risk in later life, evidenced by a meta-analysis including
230 1.1 million people (64). In rat models, maternal PM exposure was found to change placental
231 morphology, and decrease placental weight, size and surface area (65). Similar findings have
232 also been confirmed in humans, where PM₁₀ exposure can decrease placental weight with
233 higher anti-angiogenic factors in cord blood (66). As a result, increased vascular resistance
234 can be predicted, which will reduce uteroplacental perfusion and lead to various maternal and
235 foetal complications, such as low birth weight and miscarriage (67-69).

236

237 The abovementioned evidence indicates that MSE and maternal PM exposure during
238 pregnancy can impair foetal lung development through a direct effect on the foetus and indirect
239 influence on placental morphology and function. However, the molecular mechanisms
240 underlying the increased risk of asthma due to MSE and maternal PM exposure are not well
241 understood. In monkeys, MSE upregulated nicotinic acetylcholine receptors in the foetal lung,
242 associated with lung function decline after birth (70, 71). Several *in vitro* and *in vivo* animal
243 models have also shown that both MSE and PM exposure during pregnancy affects the
244 development of the neonatal immune system, lung structure, and lung function in the offspring,
245 making them more susceptible to the development of asthma(72, 73). These will be discussed
246 in greater detail later.

247

248 **The development of asthma in children**

249 *The role of altered lung structure*

250 Just as discussed above, MSE and maternal PM exposure during pregnancy can result in
251 oxidative stress, and cause nutrition deficiency resulting in IUGR, which eventually alters lung
252 development and structure. Foetal lung development starts from embryo Weeks 3-5 when the
253 laryngotracheal groove forms on the floor of the foregut and matures during the early postnatal
254 year. Therefore, inhaled environmental toxicants by pregnant mothers may change lung
255 morphology and function as early as gestational Weeks 5-17 when epithelial and smooth
256 muscle cell differentiation takes place. Epidemiological evidence well supports this theory,
257 where significant lung function impairment was found in the newborns of mothers who smoked
258 during pregnancy or inhaled high levels of PM (74, 75). Such lung function disorders can last
259 until later childhood (76, 77). It needs to be noted that lung function deficiency in early life has
260 been correlated with increased asthma incidence later on (78).

261

262 Lung dysfunction after birth can be attributed to lung structural changes during foetal
263 development. Animal studies have shown that both MSE and maternal PM exposure could
264 decrease lung volume, alveoli number and mean linear intercept in the offspring as well as
265 reduced alveolar-bronchiolar attachment points (72, 73, 79). Nicotine as the ‘addictive
266 substance’ in tobacco smoke has often been used in animal models to investigate the potential
267 mechanisms underlying the adverse effects of maternal tobacco smoking. For example,
268 increased airway collagen deposition and altered vascular structure were found in a monkey
269 model after prenatal nicotine exposure (80, 81). However, it is uncertain if these results can
270 be translated to humans as nicotine replacement therapy during pregnancy has not been found
271 to be associated with the same adverse outcomes as maternal cigarette smoking (82) or nicotine
272 administration in animal models (80, 81). This suggests that the whole constituent of tobacco
273 smoke is needed to study the mechanism in animals.

274

275 *The role of endocrine disorders.*

276 Endocrine disruption during pregnancy is a potential cause of adverse pregnancy outcomes.
277 Endocrine glands form an important part of the fetoplacental unit that can secrete a significant
278 amount of hormones including the oestrogen to support pregnancy. Oestrogen plays a key role
279 in regulating neuroendocrine homeostasis in the developing foetus and promotes Th2 immune
280 cell development in the foetus (83, 84). A human study demonstrated that abnormal oestrogen
281 level in pregnant mothers affects foetal development (85). A reduction in oestrogen and
282 oestrone (a weak oestrogen) levels in the cord blood has been found if the mother smoked
283 during pregnancy (86)(87). This is because smoking can produce an anti-oestrogenic effect

284 and induce androgenisation in pregnant mothers to disturb hormonal homeostasis (88). Such
285 changes may influence the risk of asthma in offspring (89).

286

287 The evidence to prove the relationship between maternal PM exposure and its impact on
288 endocrine homeostasis are scarce. It has been shown that the endocrine-disrupting chemicals
289 (EDCs) on the surface of PM can disrupt sex hormone synthesis (90). Polycyclic aromatic
290 hydrocarbons in both tobacco smoke and PM, can also affect steroidogenesis through inhibiting
291 steroidogenic enzymes (91). However, there is no direct evidence suggesting the correlation
292 between hormone change induced by maternal PM exposure and foetal lung development,
293 neither is known about the risk of asthma in the offspring (92).

294

295 However, the information collected from cord blood at birth can't accurately reflect the
296 changes in foetal lung development during particular sensitive windows of embryo
297 development induced by MSE and Maternal PM exposure. Amniocentesis is an alternative
298 method to measure hormone levels at different time points and explore endocrine disruption,
299 but access is limited. Animal modelling may shed a light on the correlation between placental
300 hormone changes and foetal lung development, as well as postnatal lung function and
301 susceptibility to asthma. Future research can focus on this aspect to better understand the niche
302 factors contributing to lung development and the risk of asthma.

303

304 ***The role of epigenetic programming***

305 Programming is a term used to describe an altered phenotype due to changes in the *in utero*
306 environment. Epigenetic programming describes stable inheritable phenotypic changes without
307 the alteration in the DNA sequence. Such a process controls mRNA expression and protein
308 production through changing the transcriptome, including DNA methylation and histone
309 modifications. Mounting evidence has closely linked asthma to epigenetic programming due
310 to intrauterine environmental changes. For example, asthma is also an inheritable disease (93).
311 The parent-of-origin effect which is usually due to epigenetic mechanism, also shows a
312 prominent influence on the development of asthma, eg. asthmatic mothers are more likely to
313 have offspring with asthma than the asthmatic fathers (94). As mitochondrial DNA is 100%
314 inherited from the mothers, epigenetic modification of this genome may largely contribute to
315 this phenomenon. In addition, the foetal period is a vulnerable stage and thus very sensitive to
316 environmental toxicant exposure, when maternal protection is vital. During embryogenesis,

317 cells divide rapidly and therefore the genome is in a relatively unstable status. During this
318 period, oxidative stress induced by environmental toxicant exposure may easily interrupt
319 genomic duplication process (95), leading to abnormal epigenetic modifications or even
320 mutation, rendering the foetus susceptible to future chronic diseases after birth, such as asthma.

321

322 In a cohort study on MSE, CpGs methylation has been found on genes responding to the
323 pollutants in tobacco smoke in the newborns of smokers who smoked during pregnancy (96).

324 In addition, CpG methylation was also found in the genes involved in foetal development in
325 cord blood by MSE, suggesting a mechanism by which MSE results in intrauterine
326 underdevelopment (96). Previous studies have shown that maternal PM exposure could alter
327 DNA methylation in the offspring. Prenatal PM₁₀ exposure induced superoxide dismutase 2
328 (SOD2) promoter methylation in cord blood cells (97), which is related to phthalate and
329 diisocyanate-induced asthma (98, 99). As the epigenetic changes are inheritable, they will
330 change gene expression to affect normal embryo development and persist throughout life,
331 resulting in the susceptibility to chronic diseases in later life (100). It may also result in the
332 transfer of certain respiratory diseases to subsequent generations, such as asthma, establishing
333 a family history. For a detailed review on epigenetic changes due to *in utero* oxidative
334 challenges, please see Zakarya *et al.* 2019 (101).

335

336 ***The role of the immune response***

337 The mother's immune system plays a central role in the protection of foetal development. The
338 foetus and newborns need maternal antibodies (Ig) to protect them from infectious diseases
339 (102). Previous studies have shown that parental smoking and PM exposure increased Ig E
340 levels in the cord blood (43, 103). MSE and maternal PM exposure can also alter immune
341 responses through activating inflammatory macrophages and memory B cells in the offspring
342 (104, 105). These changes in immune responses suggest that MSE and maternal PM exposure
343 can alter the innate and adaptive immune response in the offspring. In addition, MSE and
344 maternal PM exposure have also been shown to delay the maturation of immune system
345 ^{(106),(107)}, which may also make such offspring more susceptible to allergic disorders.

346

347 Toll-like receptors (TLRs) play an important role in the neonatal immune response (108). MSE
348 can inhibit neonatal immune system maturation through impairing TLR mediated responses
349 (such as TLR2 and TLR9) (109). We also have similar observations in the brains of mice who
350 are offspring which had MSE. At postnatal day 1, mRNA expression of TLR4 was decreased

351 in the offspring from MSE compared to those from Sham-exposed mothers, suggesting
352 suppressed immune response or delayed maturation of immune response (110). However,
353 TLR4 mRNA expression was increased in 13 weeks old offspring which had MSE along with
354 increased inflammatory cytokines expression (110), suggesting that MSE has a sustainable
355 influence on the immune system leading to heightened inflammatory cytokines production.
356 Maternal PM exposure could induce similar adverse effects. High levels of TLR2 and TLR4
357 expression were found in the human offspring and animals from mothers exposed to increased
358 levels of PM during pregnancy(106).

359

360 Asthma is typified by T cell dysregulation, including Th1, Th2 and Th17 cells (111). In most
361 asthmatic patients, accumulating evidence shows the suppression of Th1 cytokines (for
362 example IFN γ) with higher Th2 cytokine expression (IL-4, IL-5, and IL-13) (112). Furthermore,
363 clinical data showed that allergic responses are more prevalent among the children who have
364 developed attenuated Th1 responses during infancy (113). Similar changes were found in
365 animal studies. In pregnant C57BL/6 mice, intranasal exposure to diesel exhaust particles has
366 been shown to increase the Th2 cell percentage in the bronchoalveolar lavage fluid with higher
367 levels of pro-inflammatory cytokines (IL-4 and IL-5) in the offspring with asthma (114). MSE
368 was also shown to increase Th2 cytokines (IL-4 and IL-5) and other pro-inflammatory
369 cytokines (such as IL6) with suppressed Th1 cytokines (IFN- γ) due to reduced NK cell
370 activities (115, 116).

371

372 However, the immune response is complicated, and difficult to investigate from a broader
373 spectrum. A study has found that PM_{2.5} exposure differentially impacts the immune system at
374 different stages of gestation. High level of CD3⁺ and CD4⁺ lymphocytes and low percentage
375 of CD19⁺ lymphocytes and NK cells can be found in the cord blood during the early gestation;
376 however, the opposite changes with low level of CD3⁺ and CD4⁺ lymphocytes and high
377 percentage of CD19⁺ lymphocytes and NK cells were found if PM exposure occurs during late
378 gestation (117). These studies suggest that immune response has been programmed by *in-utero*
379 exposure to air pollution, however, future studies are needed to fully understand the extent of
380 the changes in this system.

381

382 **Conclusion and perspectives**

383 In conclusion, cigarette smoking and PM exposure during pregnancy is detrimental to foetal
384 development and increase the risk of childhood asthma. As summarised in Fig 1, Fig 2 and Fig

385 3, oxidants inhaled by the mother result in increased oxidative stress in the intrauterine
386 environment. This results in persistent changes to both the structure of the lung and the
387 epigenome, altering immune and endocrine systems. Collectively these changes increase the
388 risk of childhood asthma. Although smoking cessation is preferred, the success rate remains
389 low during pregnancy. Given the similarity between MSE and maternal PM exposure,
390 antioxidant supplementation during pregnancy may be a plausible prophylactic strategy, which
391 is yet to be confirmed by large clinical trials.

392

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396

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398

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778 Placental Nitrosative Stress and Exposure to Ambient Air Pollution During Gestation: A
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783 Figure 1. MSE and maternal PM exposure can increase the rate of childhood asthma.
784 MSE and maternal PM exposure can induce various adverse impacts on the foetus during
785 different intrauterine developmental stages, such as DNA methylation, oxidative stress,
786 inflammatory responses, and placental dysfunction. The resulting intrauterine growth
787 retardation, low birth weight, and premature birth can increase the risk of childhood asthma
788 with a lower alveolar number and reduced lung function, as well as increased lung inflammation.
789

790 Figure 2. MSE and maternal PM exposure increase oxidative stress in the womb which
791 increases the risk of developing asthma due to the epigenetic modification of fetal DNA.
792 Environmental toxicants can induce histone modifications and DNA methylation, which results
793 in Th2 cytokine overproduction, eosinophils accumulation, goblet cell hyperplasia, and mucin
794 hypersecretion.
795

796 Figure 3. MSE and maternal PM exposure can dysregulate the immune system in the foetus.
797 The numbers of Th2 and Th17 cells are increased with a lower number of Th1 cells. This is
798 caused by several epigenetic mechanisms, for example, miRNA 223 is increased in Treg
799 cells. B cell and macrophages differentiation are also affected, and a lower number of NK
800 cells are found.

801 **Table 1. Maternal smoking during pregnancy and the risk of asthma in children**

Smoking exposure	Age	Relative risk Odds ratio (95% CI)		References
		Male	Female	
Smoker at some stage	14 years	1.15 (1.01-1.72)	1.25 (0.85-1.22)	(118)
>20 cigarettes (early and late)	14 years	0.57 (0.20-1.60)	1.09 (0.47-2.51)	(118)
Total of 1–9 cigarettes/day	4-16 years	1:19 (0.98, 1.43)		(119)
< 10 Cigarettes per day	7 years	1.20 (1.04, 1.38)		(120)
Total of ≥10 cigarettes/day	<5 years	1.68 (1.10 to 2.58)		(121)
> 10 Cigarettes per day	7 years	1.31 (1.09, 1.58)		(120)
Total of ≥10 cigarettes/day	4-16 years	1:66 (1.29, 2.15)		(119)
Smoking during pregnancy	First 3 years	1.88 (1.14 – 3.12)		(122)
Smoking during pregnancy	4-6 years	1.65 (1.18–2.31)		(123)
Smoking during pregnancy	2-7 years	1.7(1.2-2.2)		(124)
Smoking during pregnancy	5-9 years	0.97 (0.51 to 1.84)		(125)
Smoking during pregnancy	14 years	1.49 (0.91–2.45)		(126)
Smoking during pregnancy	7-16 years	0.99 (0.78 to 1.25)		(127)

802

803 **Table 2. Maternal PM exposure and the development of asthma in offspring**

Pollutant	Age	Concentration increase	Relative Risk	References
PM _{2.5}	6 years	1.7 µg/m ³ (per IQR)	1.15(1.03-1.26)	(128)
PM _{2.5}	3-4 years	1 µg/m ³ (exposure interval)	0.95 (0.91–1.00)	(129)
PM _{2.5}	0-5years	1.45 µg/m ³ (per IQR)	0.99 (0.97–1.01)	(130)
PM _{2.5}	6-10 years	1.46 µg/m ³ (per IQR)	1.01 (0.97–1.06)	(130)
PM _{2.5}	0-6years	3.7 µg/m ³ (per IQR)	1.01 (0.99 – 1.04)	(131)
PM ₁₀	3-6 years	12 µg/m ³ (per IQR)	0.89 (0.68, 1.16)	(132)
PM ₁₀	3-4 years	1 µg/m ³ (exposure interval)	1.09 (1.05–1.13)	(129)
PM ₁₀	0-5years	1.3 µg/m ³ (per IQR)	1.12 (1.05–1.19)	(130)
PM ₁₀	6-10 years	1.36 µg/m ³ (per IQR)	1.09 (0.96–1.24)	(130)

804 IQR: interquartile range.

Figure 1.TIF

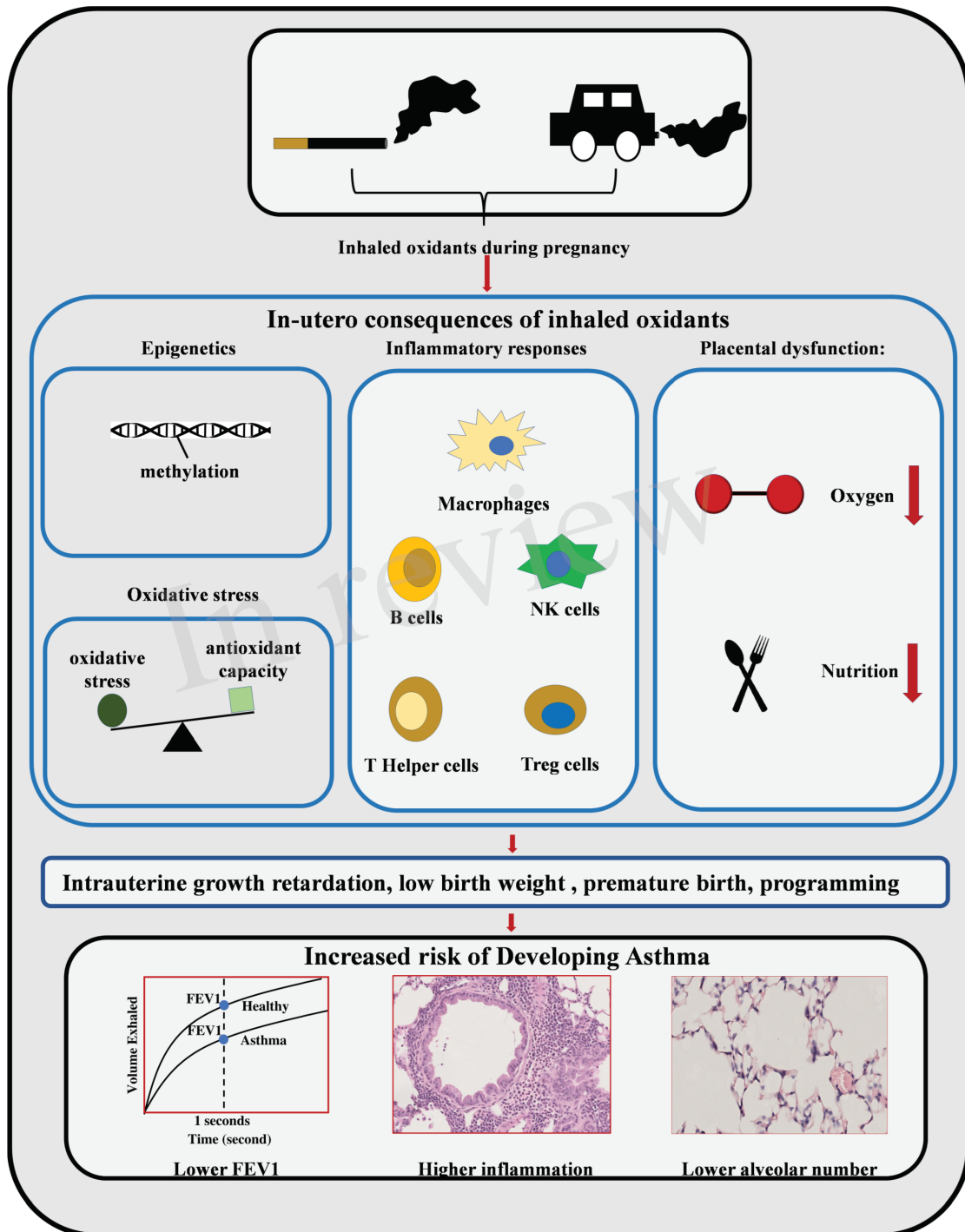


Figure 2.TIF

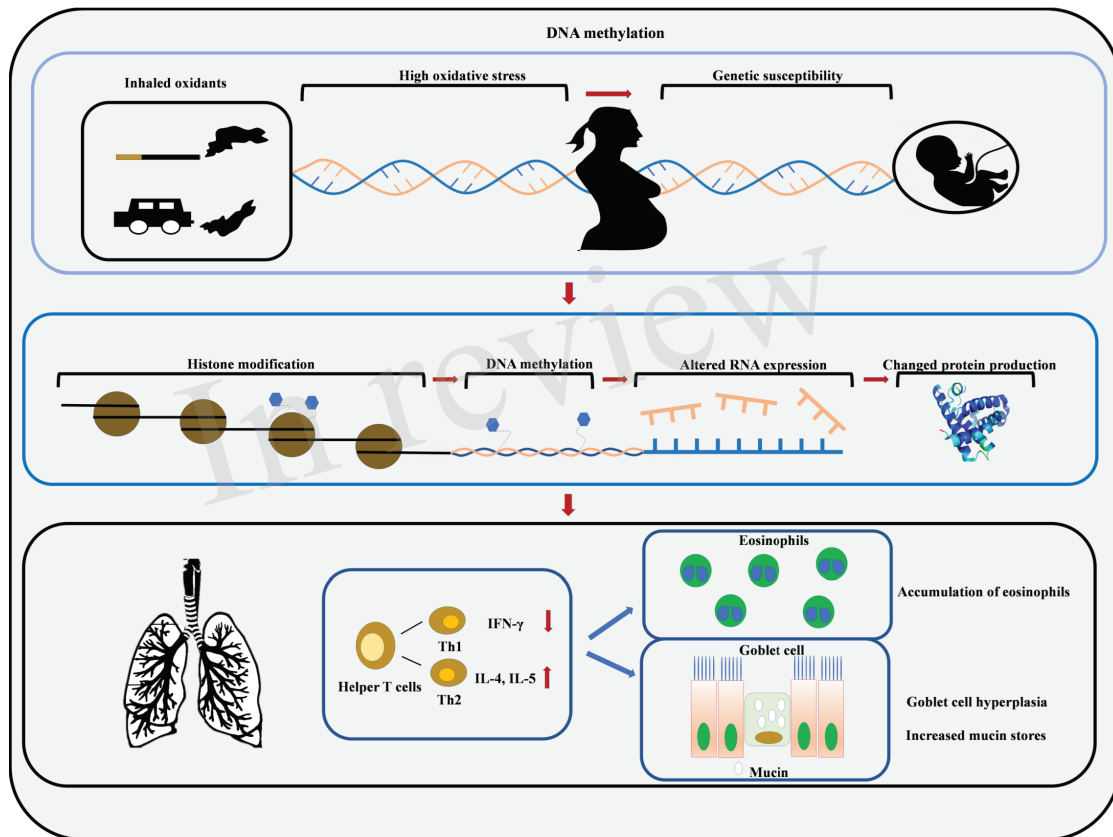
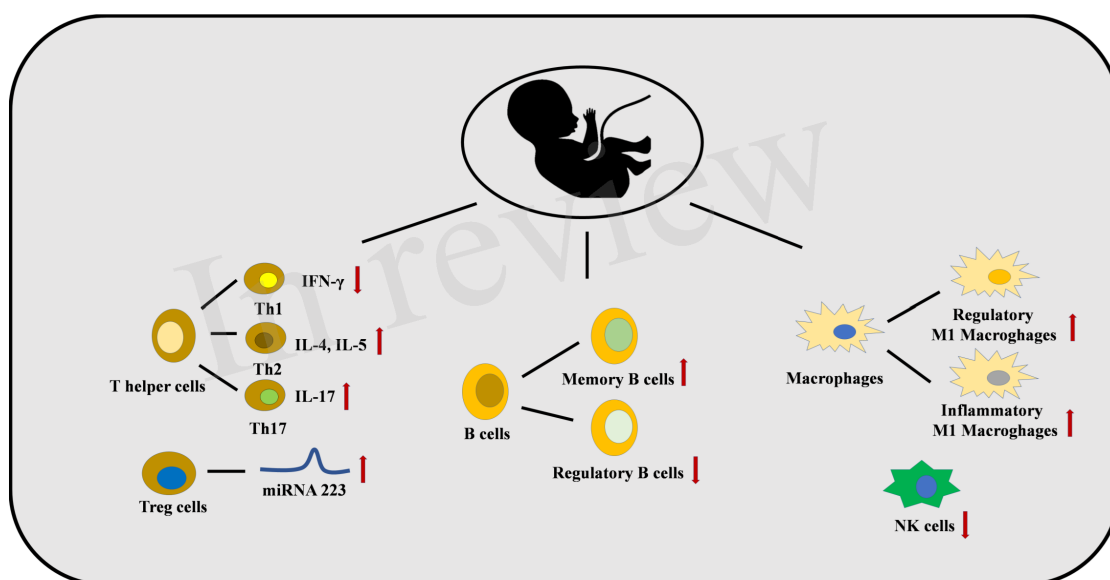


Figure 3.TIF



1.3 Overall hypothesis and aims of this thesis

We hypothesised that, in utero exposure to environmental pollutants such as cigarette smoke and PM would cause respiratory diseases in offspring.

To address this hypothesis, in mouse models, we aimed to investigate,

1. The inflammatory response in the offspring. This was evaluated by measuring the number of inflammatory cells BAL, and in tissue sections, as well as individual factors by both PCR and Western blotting
2. Mitochondrial dysfunction and oxidative stress in the offspring. This was evaluated by measuring the mitophagy markers by Western blotting and ROS level in cryo sections by immunofluorescence.
3. Respiratory hyper-responsiveness and lung remodelling in the offspring. This was evaluated by measuring lung function by FlexiVent measurements, and pathology in tissue sections using histological staining and immunohistochemical staining of individual factors .

The abovementioned aims were addressed in the studies in Chapters 2, 3, and 4.

Chapter 2 Offspring sex affects the susceptibility to maternal smoking-induced lung inflammation and the effect of maternal antioxidant supplementation in mice

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- All tissue analysis
- Draft preparation
- Finalising the manuscript

Offspring sex affects the susceptibility to maternal smoking-induced lung inflammation and the effect of maternal antioxidant supplementation in mice

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Abstract

Background: Cigarette smoke exposure (SE) during pregnancy is the largest modifiable risk factor for the development of lung disorders in offspring. We have previously shown that maternal L-Carnitine treatment can reduce the adverse impacts of maternal SE on renal and brain disorders in offspring. Here, we investigated the effect of maternal L-Carnitine supplementation on lung inflammatory pathways, autophagy, and mitophagy markers in the offspring in response to maternal SE.

Female Balb/c mice (8 weeks) were exposed to cigarette smoke for 6 weeks prior to mating, during gestation and lactation. Half of the SE mothers were given L-Carnitine supplementation (1.5mM in drinking water, SE+LC) during gestation and lactation. Lungs from the offspring were studied at birth and adulthood (13 weeks) in both genders.

Results: At birth, in male offspring, there were increased levels of inflammatory markers (phosphorylated(p)-ERK1,2, p-P38 MAPK, p-NF-kB), and inflammasome marker (NLRP3), as well as mitophagy fission marker Drp-1 and autophagosome marker (LC3A/B-II) in the lung. Maternal L-Carnitine supplementation significantly reduced NLRP3 level. In contrast, maternal SE only increased IL1- β in female offspring, which was reversed by maternal L-Carnitine supplementation. At 13 weeks, there was an increase in LC3A/B-II and p-NF-kB in the male SE offspring with reduced p-JNK1,2, which were partially normalised by maternal L-Carnitine treatment. Female offspring were not affected by maternal SE at this age.

Conclusion: Maternal SE had adverse impacts on the male offspring's lung, which were partially alleviated by maternal L-Carnitine supplementation. Females seem to be protected from the adverse effects of maternal SE.

Keywords: antioxidant, sex differences, inflammasome, mitophagy.

Background

Smoking during pregnancy is a major cause of maternal and newborn morbidity and mortality (1), with pulmonary diseases being a major adverse outcome (2, 3). In-utero smoke exposure (SE) reduces lung function in human newborns (4, 5). Animal models have shown a decreased number of saccules, septal crests, and decreased elastin fibres in foetuses (6) and suckling pups (7), as well as increased airway thickness, collagen deposition, inflammation, and airway hyper-responsiveness due to intrauterine SE (8-10).

In humans, certain diseases including chronic obstructive pulmonary disease (COPD), occur disproportionately in males and females (11). The common pathophysiological process includes increased inflammation, oxidative stress, impaired mitochondrial renew mechanism (mitophagy), and cellular self-cleaning mechanism (autophagy)(12). In keeping with this, our previous murine studies found that the changes in inflammation, oxidative stress, mitophagy, and autophagy have a marked sex difference in the offspring's brain and kidney following in-utero SE, wherein female offspring are more protected from such adverse effects(13, 14).

A previous study only demonstrated that maternal SE causes lung inflammatory response in the male offspring (15); whereas a recent study suggested that prenatal SE can differentially affect methylation in mice lungs in different sexes, which found hypo and hypermethylation (CpG-site-specific methylation) in male in female offspring respectively because of the various exons in the *Igf1* gene response to the hormone (estrogen) activation (16). Therefore, we hypothesised that sex differences also exist regarding the effects of in-utero SE on other pulmonary changes.

The regulation of inflammation involves several signalling pathways, such as NF- κ B and

MAPK pathways (17). Three well-characterised subfamilies of MAPK include the extracellular signal-regulated kinase (ERK)1/2, Jun N-terminal kinase (JNK) stress-activated protein kinase, and p38 (18). NF- κ B is often regarded as the master controller of inflammation (19). Inflammatory response requires a considerable amount of energy derived from the mitochondria (20), whereas mitochondrial function is often compromised during this process. There is a close relationship between the activation of the nucleotide-binding domain and leucine-rich repeat-containing family pyrin domain containing 3 (NLRP3) inflammasome (increasing IL-1 β activity) and mitochondrial dysfunction (21). Thus, the inflammasome is regarded as the bridge between inflammatory response and subsequent mitochondrial damage (21), including oxidative stress (21) and mitochondrial DNA impairment (22). This has been observed in conditions like COPD but has not been investigated in the setting of maternal SE(23).

The autophagic elimination of injured mitochondria is termed mitophagy, which is regulated by fusion and fission (24). The balance between fusion and fission is essential to mitochondrial integrity. Fission is to separate damaged mitochondrial fragments from the healthy part, while fusion is to generate a new mitochondrion from two healthy mitochondrial fragments (25, 26). We have observed dysregulated mitophagy in the brain and kidney caused by maternal SE which was associated with organ pathology(13, 27); however, whether this also occurs in the lung is unknown.

In-utero SE results in considerable foetal oxidative stress and inhibits the endogenous antioxidant activity (28). Therefore, improving the antioxidant ability may alleviate the adverse effects of maternal SE. L-Carnitine has been shown to attenuate age-related disorders by reducing oxidative stress and increasing antioxidant capacity in rats (29, 30). A clinical study

also showed that L-Carnitine supplementation can suppress serum levels of inflammatory cytokines in humans (31). We have shown that maternal L-Carnitine supplementation during pregnancy and lactation can alleviate brain (13) and renal dysfunction (32) in offspring from the SE mothers. As such, this approach may also ameliorate the adverse impact of maternal SE on lung health in the offspring.

Given the known differences in the susceptibility of developing lung diseases between males and females(33), we hypothesised that *in-utero* smoke exposure would result in chronic hyperactivation of inflammatory markers and dysregulated autophagy and mitophagy in male offspring, but not in female offspring. Maternal L-carnitine may ameliorate the adverse impact of maternal SE on the offspring's lung.

Results:

Effect of maternal SE on body weight

At P1, both male and female offspring from the SE dams appeared smaller than the SHAM offspring (Table 1). Maternal L-Carnitine supplement during gestation and lactation increased the birth weight of both male and female offspring ($P < 0.05$ vs SE). There were no differences in body weight among the 3 groups at 13weeks for both males and females (Table 1).

Effect of maternal SE on lung p-ERK, p-p38, p-JNK, and p-NF-kB in the offspring.

At P1, maternal SE significantly increased the levels of p-ERK1,2 ($P < 0.01$ vs SHAM, Figure 1A), p-P38 ($P < 0.01$ vs SHAM, Figure 1E) and p-NF-kB ($P < 0.01$ vs, SHAM, Figure 1G) in the male offspring. Only p-NF-kB appeared to be partially reversed by maternal L-Carnitine treatment without statistical significance (Figure 1G). In the female offspring, maternal SE did

not significantly affect phosphorylated ERK1,2, JNK1,2, p38, or NF- κ B levels, whereas maternal L-Carnitine supplementation significantly reduced p-ERK1,2 ($P < 0.05$ vs SHAM, $P < 0.01$ vs SE, Figure 1B) and p-P38 ($P < 0.05$ vs SHAM, Figure 1F) levels.

At 13 weeks, p-JNK1,2 level was lower and p-NF- κ B was higher in the male offspring ($P < 0.05$ vs SHAM offspring, Figure 2C, G). which was not reversed by maternal L-Carnitine supplementation. In the adult females, neither maternal SE nor maternal L-Carnitine supplementation had any effect on the abovementioned proteins (Figure 2).

Effect of maternal SE on lung NLRP3 and IL1- β levels in the offspring.

In P1 offspring, a trend towards increased NLRP3 and IL-1 β was observed in male and female offspring, however only NLRP3 in the male ($P < 0.01$ vs SHAM, Figure 3A) and IL-1 β in female ($P < 0.05$ vs SHAM, Figure 3D) were significant. Maternal L-Carnitine treatment normalised both markers ($P < 0.05$ vs SE, Figure 3A).

At 13 weeks, maternal cigarette smoke exposure significantly increased NLRP3 expression in female offspring ($P < 0.01$ vs SHAM, Figure 3F); maternal L-Carnitine supplementation did not have any effect ($P < 0.01$ vs SE, Figure 3F). Maternal smoke exposure significantly increased IL-1 β level ($P < 0.01$ vs SHAM, Figure 3G) in the male offspring, which was further increased after maternal L-Carnitine treatment ($P < 0.01$ vs SE, Figure 3G).

Effect of maternal SE on lung mitophagy markers in the offspring

At P1, total cell autophagy marker LC3A/B-II and mitochondrial fission marker Drp-1 protein levels were significantly increased in the male SE offspring ($P < 0.05$, Figure 4A, C). Maternal L-carnitine supplementation further increased LC3A/B-II level, but normalised Drp-1 levels in the SE+LC offspring ($P < 0.001$ vs SHAM, Figure 4A, C). No changes in autophagy and

mitophagy markers were found in P1 female offspring among the 3 groups (Figure 4B, D, E).

At 13 weeks, LC3A/B-II protein was significantly increased by maternal SE in the male offspring ($P < 0.01$, Figure 5A) which was not affected by maternal L-Carnitine supplementation. In the females offspring, no difference in autophagy and mitophagy markers was observed among the 3 groups (Figure 5B, D, E).

Discussion

Maternal smoking during pregnancy is well-documented to cause long-term adverse effects on the offspring's health outcomes in multiple organs, including respiratory, neurological, and renal systems (34). However, the sex difference in such susceptibility in respiratory disorders has not been broadly studied, perhaps due to the preference of using one gender to model asthma or COPD.

In this study, male offspring from the SE dams had smaller body weight from birth to adulthood, consistent with previous studies and human birth weight suggesting the reproducibility and human relevance of our model (35, 36). Maternal SE activated inflammatory NF- κ B and MAPK pathways, which were more prominent in the male offspring at P1. It is well known that cigarette smoking can induce inflammation via the MAPK signalling cascade (37), reflected by increased phosphorylation of ERK and P38 (38, 39). MAPK pathway activation can also lead to increased phosphorylation of certain transcription factors, such as NF- κ B (40). In the current study, these effects in P1 male SE offspring are likely due to the chemicals including free radicals in cigarette smoke accessing the foetal circulation via the placenta. NLRP3 inflammasome activation in the male offspring at P1 is in accordance with other inflammatory pathways especially NF- κ B. However, only NF- κ B hyperactivation was

maintained at adulthood. This may be due to a lack of a second insult after birth. As NF- κ B regulates acute responses to external stimuli, its innate hyperactivation may enhance the response to postnatal environmental factors, such as an increased risk of asthma or COPD (41). This requires further investigation with additional modelling in the offspring.

It is not surprising to observe that female offspring are mostly protected from the adverse effect of maternal SE compared with the male littermates. Such a lack of response in the females is consistent with our previous observations in the brain and kidney(14, 42, 43). One possible reason is the different innate and adaptive immune responses in the boys and girls, and the influence of sex hormones (44, 45).

A recent study found that inflammasomes can be regarded as the bridge between inflammation and mitochondrial function(46). There is increasing recognition that mitochondrial dysfunction plays a key role in the development of various diseases including COPD and asthma(24, 47, 48). Maternal smoking can induce high oxidative stress levels in the developing foetus (49) persistent until adulthood which can directly damage mitochondria(14, 50). Injured mitochondria can also induce more oxidative stress and inflammation. As such, mitophagy and autophagy are key to recycle intact mitochondrial fragments and eliminate damaged ones to maintain cellular homeostasis (51).

Increased fission marker Drp-1 and autophagosome marker LC3A/B-II in the male SE offspring at birth, suggest increased damaged mitochondria due to maternal SE. The fusion marker Opa-1 was not increased accordingly suggesting less healthy fragment can be recycled. At adulthood, only LC3A/B-II remained elevated, suggesting a higher demand to eliminate other injured cellular elements by maternal SE. This may drive the development of lung disorders in the SE

offspring (52). Interestingly, mitophagy markers in the lung were not changed in the female offspring at any age, again suggesting gender-specific protection from maternal SE. These results are consistent with our previous research in other organs (13).

In vivo and *in vitro* studies have demonstrated that L-Carnitine can prevent oxidative stress-induced injury (53-55). In this study, maternal L-Carnitine supplementation increased the birth weight in both male and female SE offspring. This suggests that L-Carnitine can ameliorate *in-utero* underdevelopment caused by maternal SE. Additionally, maternal L-Carnitine supplementation exhibited some anti-inflammatory effects in newborns from the SE dams, by partially suppressing NF- κ B activation and NLRP3 inflammasome formation in the males as well as MAPK pathway and IL-1 β in the females. This may be due to its ability to inhibit oxidative stress induced by maternal SE in utero. However, the protection of maternal L-Carnitine supplementation on the lung did not persist until adulthood, especially in the male offspring.

The protection effects of L-Carnitine were observed in the other organs (13, 27, 32, 56), however, we did not find significant protective effect in the lung. This is surprising, but may be explained by the limitation of how we assessed the lung in this study. firstly, we did not collect BAL fluid, and as such typical markers of inflammation such as cytokine levels in bronchoalveolar lavage fluid to perform inflammatory cell counts which is a more direct way to access lung inflammation. The hyperactivation of signalling cascades may represent an increased ability to respond to external stimuli such as allergens or cigarette smoke, but in and of itself may not cause lung disorders. We did not measure reactive oxygen species (ROS) levels, and as such whilst it is likely that L-Carnitine supplementation acts via scavenging ROS, we can not definitively say this was the case.

Conclusions

In conclusion, there are gender differences in the susceptibility to lung disorders in response to maternal smoking, with male offspring more vulnerable to increased inflammatory changes. Maternal L-Carnitine supplementation during pregnancy may partially alleviate the adverse effects of maternal SE on lung health outcomes only in the newborn offspring.

Materials and Methods:

Animals

The animal experiments were approved by the Animal Care and Ethics Committee at the University of Technology Sydney (ACEC#2011-313A). All protocols were performed according to the Australian National Health and Medical Research Council Guide for the Care and Use of Laboratory Animals. Female Balb/c mice (8 weeks, Animal Resources Centre, Perth, WA, Australia) were housed at $20 \pm 2^\circ\text{C}$ and maintained on a 12 h light, 12 h dark cycle (lights on at 06:00 h) with ad libitum access to standard rodent chow and water. Female Balb/c mice were divided into 3 groups. The SHAM group (n=12) was exposed to air in a 15L perspex chamber for 6 weeks prior to mating, during gestation and lactation, SE group (n=12) was exposed to cigarette smoke generated from 2 cigarettes (Winfield Red, 1.2 mg nicotine; VIC, Australia) per session (5-minute interval between), twice daily during the same period of time as we have previously described (43). A sub-group of the SE dams (n=12) was provided with L-Carnitine in drinking water (1.5 mM, SE+LC) during gestation and lactation as we have previously described (13). L-Carnitine dose was determined according to a previous publication(57). Mice pregnancy was detected through continuous weight gain during mating. P1 mice were sacrificed by decapitation, while animals older than 20 days were sacrificed by anesthetic overdose (Pentothal®, 0.1 mg/g, i.p., Abbott Australasia Pty. Ltd., Macquarie Park,

NSW, Australia) between 9:00–12:00 h. The lungs from the offspring were collected at birth (postnatal day (P)1) and adulthood (13 weeks) and stored at -80°C for later analysis.

Western Blotting

The protein levels of the markers of interest were measured in the lung, including inflammatory markers, phosphate(p)-ERK1,2 (1:2,000; Cell Signalling Technology), p-JNK1,2 (1:2,000; Cell Signalling Technology), p-p38 MAPK (1: 2,000; Cell Signalling Technology), p-NF-kB (1:2,000; Cell Signalling Technology), and autophagy markers light chain 3 microtubule-associated protein A/B (LC3A/B)-II (1:2,000; Cell Signalling Technology), mitophagy fission marker dynamin-related protein (Drp)-1 (1:2,000; Cell Signalling Technology) and mitophagy fusion marker optic atrophy (OPA)-1 (1:2,000; Cell Signalling Technology), inflammasome marker NLRP3 (1:2,000; Abcam), IL-1 β (1:2,000; Cell Signalling Technology). B-actin (1:10000; Cell Signalling Technology).

The lung was homogenised using cell lysis buffers for whole protein and mitochondrial protein extraction through differential centrifugation as previously described (32). Protein concentrations were measured using DC Protein assay (Bio-Rad, Hercules, CA); 15 μ g of proteins were separated on CtiterionTMTGX Stain Free Precast Gel (BIO-RAD, USA) and then transferred to PVDF membranes (BIO-RAD, USA), which was then blocked with TBST. The membranes were incubated with the primary antibodies, followed by horseradish peroxidase-conjugated secondary antibody (Santa Cruz Biotechnology). Protein expression was detected by SuperSignal West Pico Chemiluminescent substrate (Thermo, MA, USA) by exposure of the membrane in ChemiDoc (BIO-RAD, USA). The density of the protein band was determined using Image J (National Institute of Health, Bethesda, Maryland, USA).

Statistical Methods

The results are expressed as mean \pm SEM. Normality was tested prior to the statistical analysis. If the data were not normally distributed, they were log transformed to research normality. The differences between groups were analysed by one-way ANOVA followed by Tukey's post hoc tests. $P < 0.05$ was considered statistically significant.

Abbreviations:

SE: smoke exposure;

COPD: chronic obstructive pulmonary disease;

ERK: extracellular signal-regulated kinase;

JNK: Jun N-terminal kinase;

NLRP3: nucleotide-binding domain and leucine-rich repeat-containing family pyrin domain containing 3;

p38: p38 Mitogen-activated protein kinase;

NF-kB: Nuclear factor-Kb;

SE+LC, maternal smoke exposure with L-Carnitine supplement;

Declarations:**Ethics declarations:**

The animal experiments were approved by the Animal Care and Ethics Committee at the University of Technology Sydney (ACEC#2011-313A).

Consent for publication

N/A

Availability of data and materials

The datasets herein used and analyzed are available from the corresponding author on reasonable request.

Interest conflict: There is no conflict of interest.

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Author Contributions

HC (University of Technology Sydney), SS (University of Sydney), and BGO (University of Technology Sydney) designed the study. BW (University of Technology Sydney), SZ (Shandong University, China) and YLC (University of Technology Sydney) performed all the experiments. BW, HC, SS, BGO, and YLC contributed to the writing of the manuscript. BW and YLC prepared all the figures. All the authors reviewed the final manuscript

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Table 1: Body weight of the offspring at different ages.

Male offspring	Day 1			13 weeks		
	SHAM n=8	SE n=9	SE+LC n=8	SHAM n=8	SE n=7	SE+LC n=8
Body weight (g)	1.53±0.29	1.30±0.12	1.62±0.2*	25.6±0.9	24.7±0.9	25.7±1.24
Female offspring	SHAM n=8	SE n=6	SE+LC n=8	SHAM n=8	SE n=8	SE+LC n=8
	Body weight (g)	1.48±0.38	1.21±0.06	1.68±0.19*	22.0±1.2	20.7±1.0

Results are expressed as mean ± SEM. Data were analysed by one-way ANOVA followed by Tukey's post hoc tests. * $P < 0.05$, compared with the SE offspring at the same age. SE, maternal smoke exposure; SE+LC, maternal smoke exposure with L-Carnitine supplement.

Table 2 Litter demographics

	SHAM	SE	SE+LC
Litter size (pup / litter)	6.2 ± 0.8	5.3 ± 1.0	6.0 ± 1.0
Male pup / litter	3.5 ± 0.5	2.9 ± 0.7	3.0 ± 0.6
Female pup / litter	2.6 ± 0.6	2.4 ± 0.6	3.0 ± 0.5

Results are expressed as Mean ± SEM. n = 9–12. The data were analysed by One-way ANOVA followed by Turkey's post hoc tests. SE, maternal smoke exposure; SE+LC, maternal smoke exposure with L-Carnitine supplement.

Figure legends

Figure 1. Lung p-ERK1,2, p-JNK1,2, p-p38 and p-NF-kB in the offspring at P1.

Protein expression of p-ERK1,2 (A, B), p-JNK1,2 (C, D), p-p38 (E, F) and p-NF-kB(G, H) in the lung of the male and female offspring at P1. Results are expressed as means \pm SE, (male $n = 8$, female, $n = 6-8$). Data were analysed by one-way ANOVA followed by Tukey's post hoc tests. $*P < 0.05$, $**P < 0.01$, $*** P < 0.001$, $****P < 0.0001$. ERK, extracellular signal-regulated kinase; JNK, c-JUN N-terminal kinase; p38, p38 Mitogen-activated protein kinase; NF-kB: Nuclear factor-kB. SE, maternal smoke exposure; SE+LC, maternal smoke exposure with L-Carnitine supplement.

Figure 2. Lung p-ERK1,2, p-JNK1,2, p-p38 and p-NF-kB in the offspring at 13 weeks.

Protein expression of p-ERK1,2 (A, B), p-JNK1,2 (C, D), p-p38 (E, F) and p-NF-kB(G, H) in the lung of the male and female offspring at 13 weeks. Results are expressed as means \pm SE, (male $n = 7-8$, female $n = 8$). Data were analysed by one-way ANOVA followed by Tukey's post hoc tests. $*P < 0.05$, $**P < 0.01$. ERK, extracellular signal-regulated kinase; JNK, c-JUN N-terminal kinase; p38, p38 Mitogen-activated protein kinase; NF-kB: Nuclear factor-kB. SE, maternal smoke exposure; SE+LC, maternal smoke exposure with L-Carnitine supplement.

Figure 3. Lung inflammasome markers NLRP3 and IL-1 β in the offspring at P1 and 13 weeks.

Protein expression of NLRP3 (A, B) and IL-1 β (C, D) in the lung of male and female offspring at P1. Protein expression of NLRP3 (E, G) and IL-1 β (F, H) in the lung of male and female offspring at 13 weeks. Results are expressed as means \pm SE (male $n = 8$, female $n = 6-8$). Data were analysed by one-way ANOVA followed by Tukey's post hoc tests. $*P < 0.05$, $**P < 0.01$, $****P < 0.0001$. NLRP3, nucleotide-binding domain and leucine-rich repeat-containing (NLR) family pyrin domain containing 3; SE; maternal smoke exposure; SE+LC, maternal smoke

exposure with L-Carnitine supplement.

Figure 4. Lung LC3A/B-II, Drp-1 and Opa-1 in the offspring at P1.

Protein expression of LC3A/B-II (A, B), Drp-1 (C, D) and Opa-1 (E, F) in the lung of male and female offspring at P1. Results are expressed as means \pm SE, (male $n = 8$, female $n = 6-8$). Data were analysed by one-way ANOVA followed by Tukey's post hoc tests. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. LC3A/B, light chain 3 microtubule-associated protein; Drp-1, dynamin-related protein; Opa-1, optic atrophy-1; SE, maternal smoke exposure; SE+LC, maternal smoke exposure with L-Carnitine supplement.

Figure 5. Lung LC3A/B-II, Drp-1 and Opa-1 in the offspring at 13 weeks.

Protein expression of LC3A/B-II (A, B), Drp-1 (C, D) and Opa-1 (E, F) in the lung of male and female offspring at 13 weeks. Results are expressed as means \pm SE, (male $n = 7-8$, female $n = 8$). Data were analysed by one-way ANOVA followed by Tukey's post hoc tests. ** $P < 0.01$. Drp-1, LC3A/B, light chain 3 microtubule-associated protein; dynamin-related protein; Opa-1, optic atrophy-1; SE, maternal smoke exposure; SE+LC, maternal smoke exposure with L-Carnitine supplement.

Fig. 1

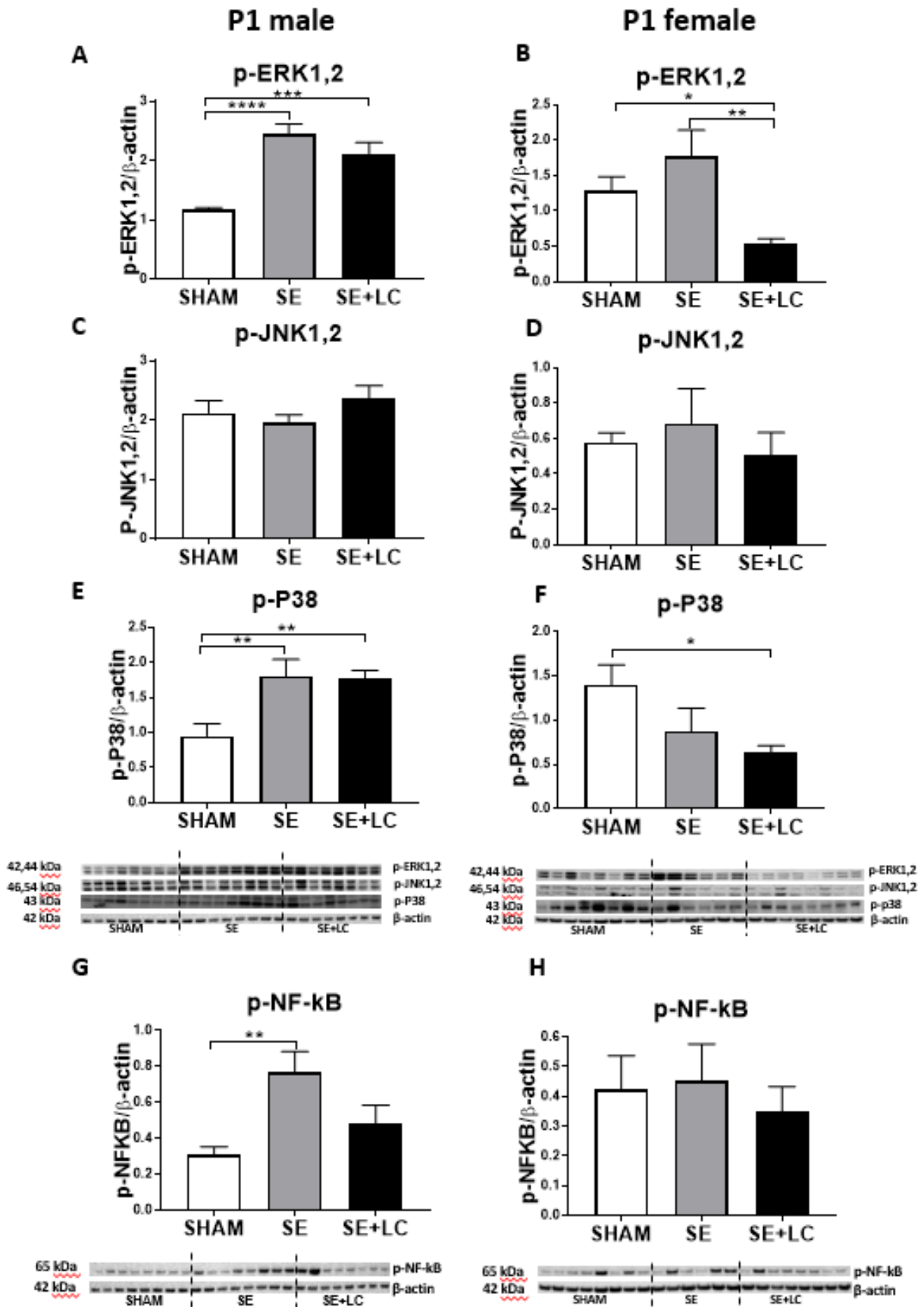


Fig. 2

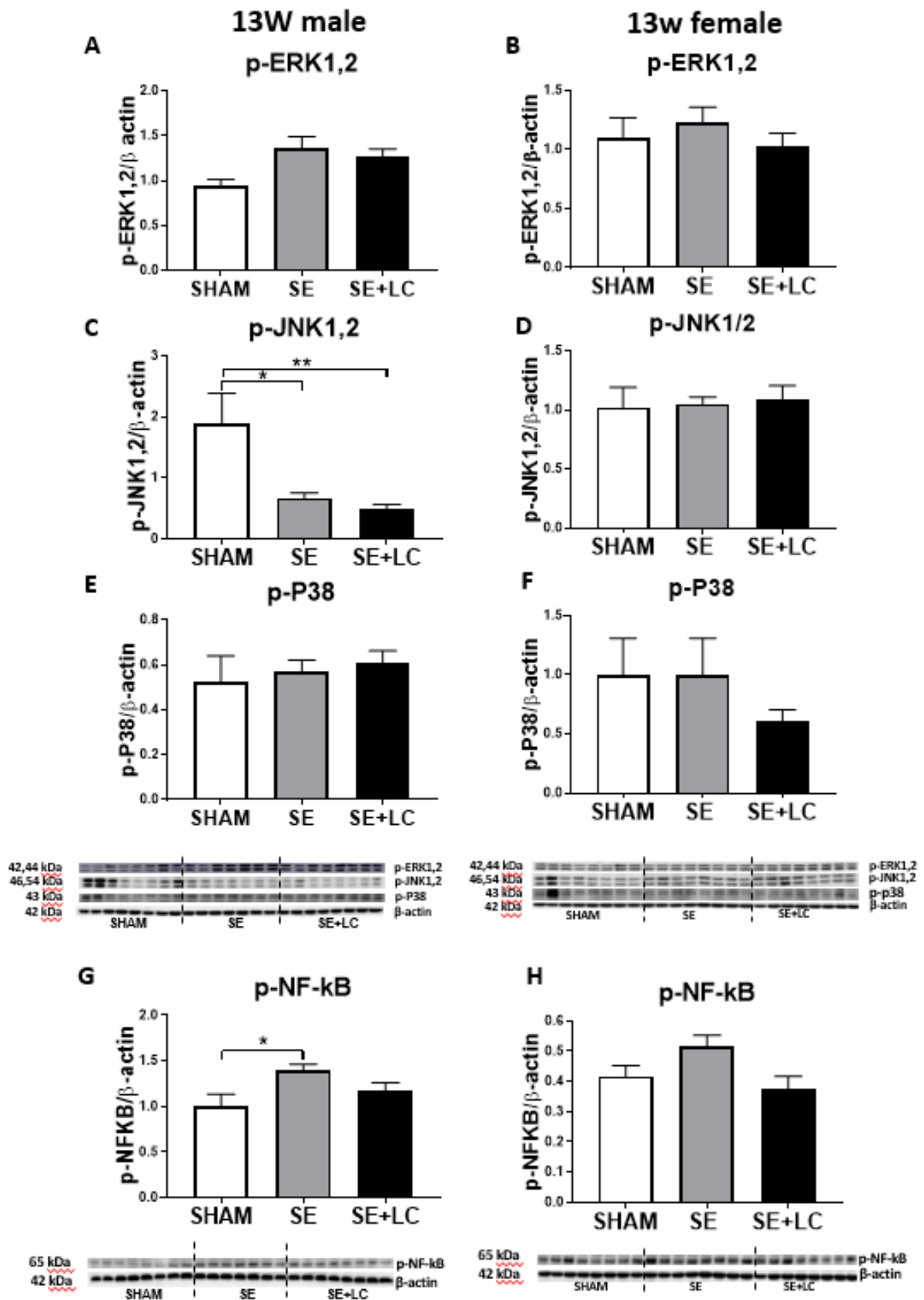


Fig 3.

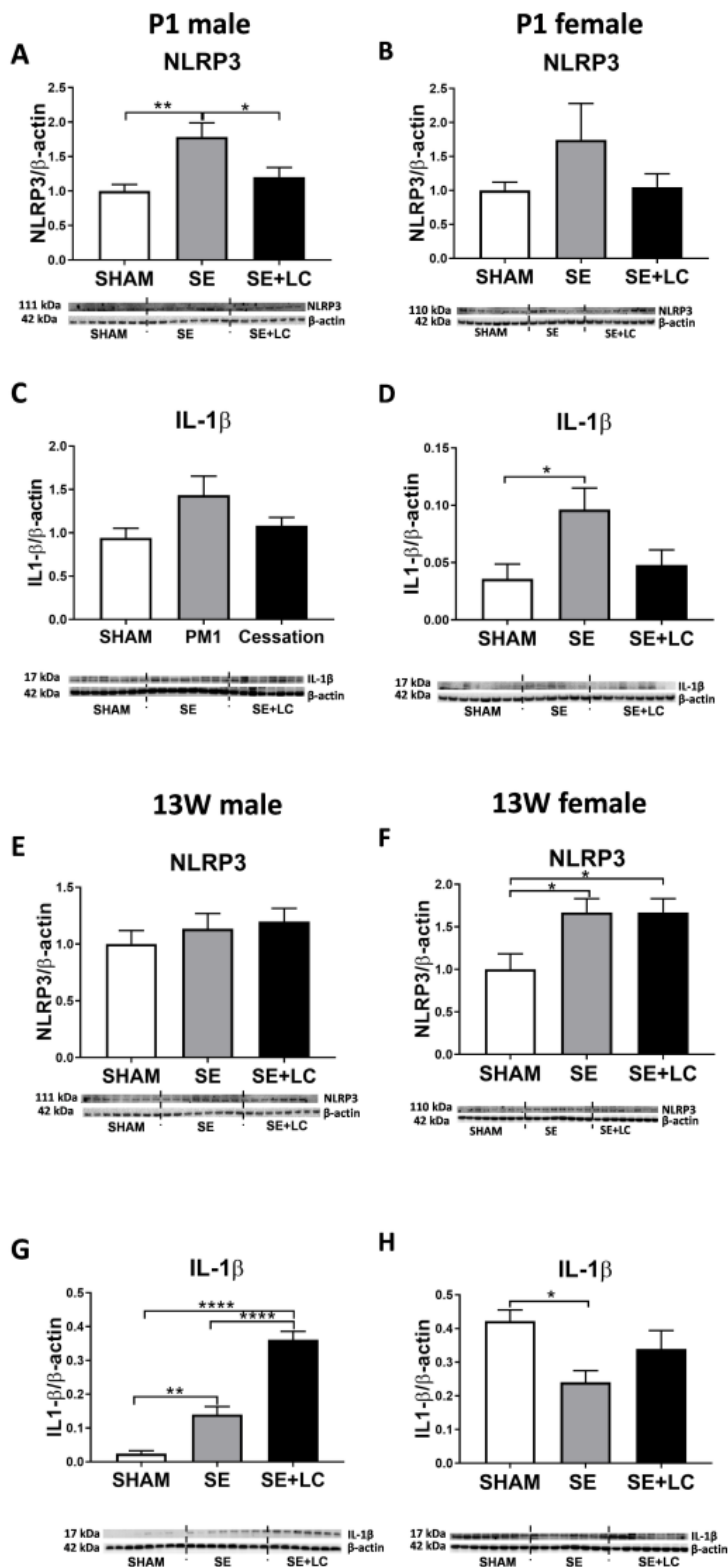


Fig. 4

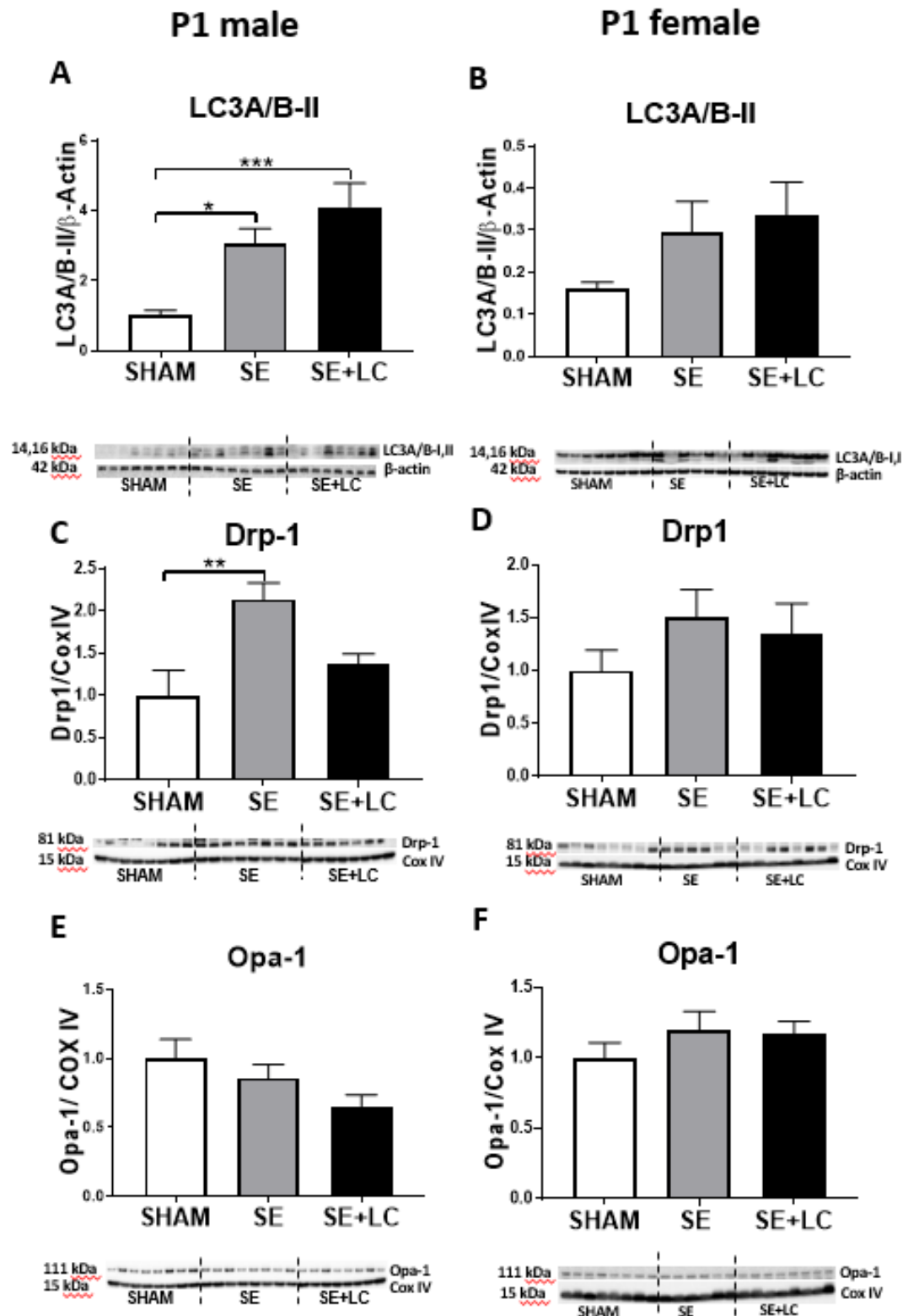
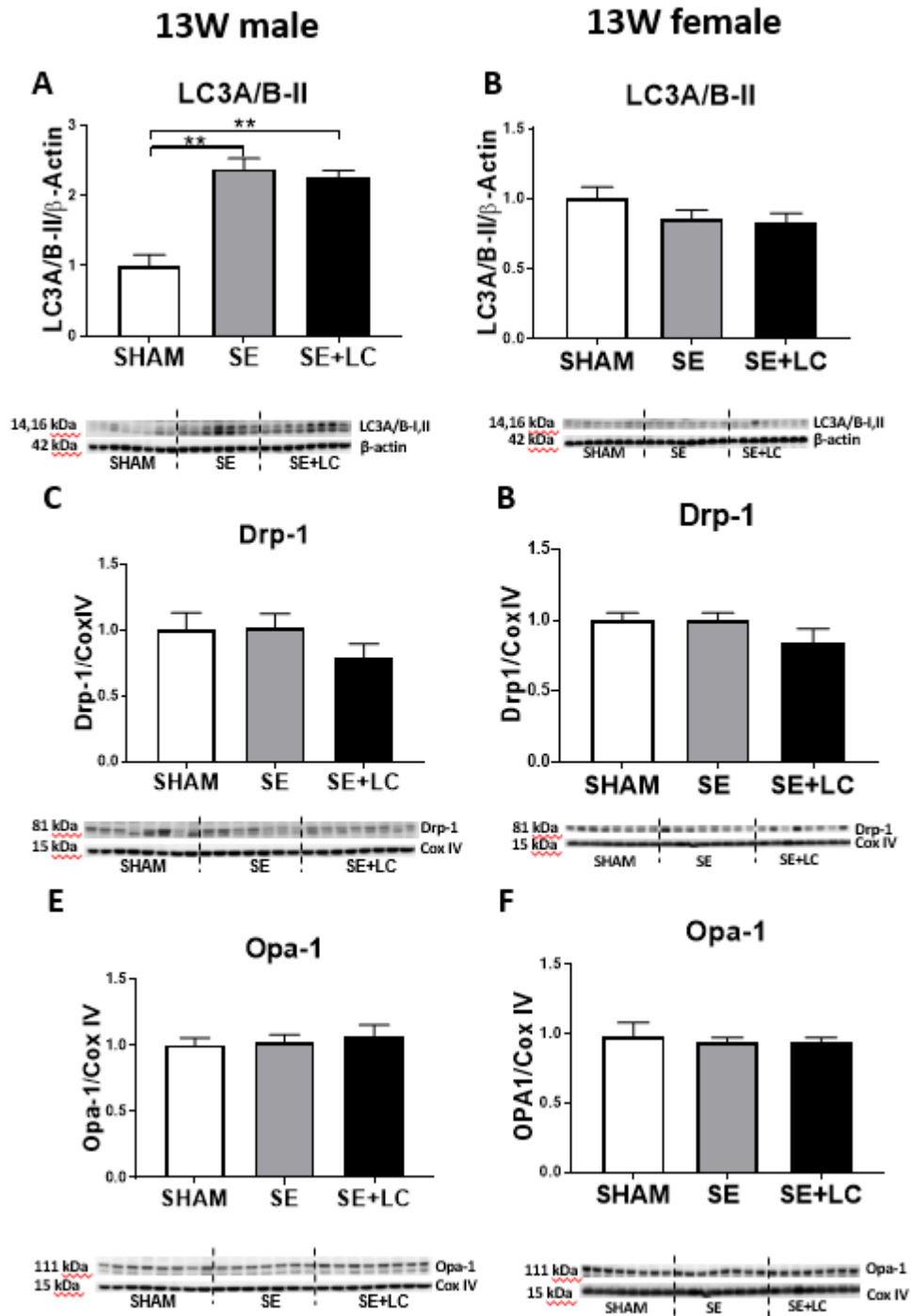


Fig. 5



Chapter 3 Pulmonary inflammation induced by low-dose particulate matter exposure in mice

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Contribution:

- All tissue analysis in Figures 1 and 2
- wrote the first draft of the manuscript

1 Pulmonary inflammation induced by low dose particulate matter exposure in mice

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27

28 Running title: Low dose PM causes inflammation and affects mitochondria

29

30

31 **Abstract**

32 Air pollution is a ubiquitous problem and comprises gaseous and particulate matter (PM).
33 Epidemiological studies have clearly shown that exposure to PM is associated with impaired
34 lung function and the development of lung diseases such as chronic obstructive pulmonary
35 disease and asthma. To understand the mechanisms involved, animal models are often used.
36 However, the majority of such models represent high levels of exposure and are not
37 representative of the exposure levels in less polluted countries, such as Australia. Therefore,
38 in this study we aimed to determine whether low dose PM₁₀ exposure has any detrimental
39 effect on the lungs. Mice were intranasally exposed to saline or traffic-related PM₁₀ (1µg or
40 5µg per day) for three weeks. Bronchoalveolar lavage (BAL) and lung tissue were analysed.
41 PM₁₀ at 1µg did not significantly affect inflammatory and mitochondrial markers. At 5µg,
42 PM₁₀ exposure increased lymphocytes and macrophages in BAL fluid. Increased NACHT,
43 LRR and PYD domains-containing protein 3 (NLRP3) and IL-1β production occurred
44 following PM₁₀ exposure. PM₁₀(5µg) exposure reduced mitochondrial antioxidant manganese
45 superoxide (antioxidant defence system) and mitochondrial fusion marker (OPA-1) whilst
46 increased fission marker (Drp-1). Autophagy marker Light chain 3 microtubule-associated
47 protein (LC3)-II and phosphorylated-AMPK were reduced, and apoptosis marker (Caspase-3)
48 was increased. No significant change of remodelling markers was observed. In conclusion, a
49 sub-chronic low level exposure to PM can have an adverse effect on lung health, which
50 should be taken into consideration for the planning of roads and residential buildings.

51

52

53 Introduction

54 The World Health Organisation (WHO) air quality model demonstrates that ambient air
55 pollution annually causes 4.2 million deaths, and 91% of the world's population lives in
56 places where air quality exceeds the limits of WHO guidelines. Air pollution causes 1.8
57 million deaths from lung diseases (1). Forty three percent of chronic obstructive pulmonary
58 diseases (COPD) and 29% of lung cancer deaths are attributable to air pollution (2). PM is
59 the sum of all particles suspended in the air which includes both organic and inorganic
60 particles such as dust, pollens, and vehicle emissions. Respirable PM is thought to be the
61 most detrimental to human health. PM sized equal or below 10 microns (PM_{10}) is capable of
62 entering the lungs, whilst PM sized equal or below 2.5 microns ($PM_{2.5}$) can reach the distal
63 lung segments including alveoli (17).

64

65 In adults, every $5 \mu\text{g}/\text{m}^3$ increment of PM exposure is associated with a 39% to 56%
66 increased risk of developing COPD (13). In developed countries such as the UK, traffic
67 related air pollution (TRAP) accounts for 13% of total PM (4). In Sydney Australia, the
68 levels of TRAP are amongst the lowest in the world, accounting for 14% of total PM (5),
69 which often assumed to be safe. However, a study on 65,000 children in Canada found that
70 children exposed to TRAP, even in urban areas with low levels of pollution, had a 25%
71 increased risk of developing asthma by the age of 5 years.

72

73 PM is a strong oxidant, with its oxidant capacity regulated by antioxidants such as manganese
74 superoxide dismutase (16). However, in humans, even short-term exposure of PM_{10} increased
75 circulating levels of Interleukins (IL)- 1β , IL-6 and TNF- α (28). PM_{10} contains approximately
76 10^{16} free radicals/g which can increase oxidative stress in human macrophages and lung
77 epithelial cells (8, 29). ROS can induce inflammatory responses via the activation of the

78 nucleotide-binding domain and leucine-rich repeat protein (NLRP3) inflammasome, which
79 in-turn cleaves pro-interleukin (IL)-1 β into IL-1 β . Interestingly, Hirota et al have shown that
80 PM activates the NLRP3 inflammasome resulting in increased IL-1 β in bronchial epithelial
81 cells (14).

82

83 Mitochondria can be damaged by both oxidative stress and the activation of NLRP3
84 inflammasome, resulting in reduced capacity to produce ATP. Mitophagy is a quality control
85 process where fission removes damaged mitochondria fragments and fusion merges healthy
86 mitochondrial fragments to regenerate new mitochondria (7), which has been shown to
87 ameliorate inflammatory disorders (23). The impact in low level PM exposure on mitophagy
88 markers has not been reported.

89 TRAP contains both gaseous and PM components. While the gaseous components are
90 equally toxic as PM, gases dissipate quicker in air than the PMs which can remain airborne
91 for long periods of time. However, most PM / TRAP exposure models used very high PM
92 exposure regimens (e.g. 50 to 200 μ g (11, 21)), which are not relevant to the PM/TRAP
93 levels in countries with low levels of air pollution. We hypothesized that exposure to low
94 levels of PM would be detrimental for lung health. Our objective was to establish an
95 environmentally relevant model of TRAP-related PM exposure and to characterise
96 pulmonary changes including inflammasome activation (NLRP 3 and IL-1 β), IL-6 production,
97 mitochondrial fission and fusion markers (Optic atrophy (Opa)-1 and dynamin-related protein
98 (Drp)-1), autophagy markers and fibrotic markers (fibronectin, collagen III and transforming
99 growth factor beta 1 (TGF β 1)).

100

101 **Materials and Methods**

102 *PM collection*

103 Twenty-four-hour integrated PM₁₀ were collected through a 47-mm Teflon (Pall Life
104 Sciences, Ann Arbor, MI) and pre-fired (800 °C, 3 hr) 47-mm quartz-fibre filters (Whatman
105 Inc., Clifton, NJ) from a busy roadside in Hong Kong (114,000 vehicles per day) with URG
106 PM samplers (URG-2000-30EH) in the summer (24th June to 11th July, 2017) with a flow
107 rate of 8 L/min at each channel. Filter preparation (e.g. equilibrated for 24 hr at 25 °C and
108 relative humidity of 40% before and after sampling) and gravimetric analysis were conducted
109 in a high-efficiency particulate absorption clean room (ISO 14644 Class 7) at The Hong
110 Kong Polytechnic University. All filters were stored at -20 °C and in dark prior to the
111 analysis. PM was extracted in 90% ethanol with 5 minutes of sonication, followed by freeze
112 drying overnight.

113

114 *PM analysis*

115 Energy-dispersive x-ray fluorescence spectrometry (PANalytical Epsilon 5) was used to
116 determine concentrations of Al, Si, Ca, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Ba and Pb. Each
117 sample was analysed for 30 min. Thin-film standards were used for calibration (MicroMatter,
118 Arlington, USA) (34). All reported chemical concentrations were corrected for field blanks,
119 and duplicated samples were analyzed for quality assurance.

120

121 Ion chromatography (IC) for water-soluble inorganic ions analysis. One quarter of the filter
122 was extracted with 10 mL of distilled deionized water and the extract underwent IC (Dionex
123 DX-600) analysis (IonPac CS12A and AS14A columns) Six species were analysed as
124 previously described (36). Analysis of organic carbon and elemental carbon were by thermal
125 optical reflectance (TOR) technique on a thermal/optical carbon analyser (DRI Model 2001,
126 Atmoslytic Inc., Calabasas, CA as described in Pathak et al (22).

127

128 *In vivo PM exposure.*

129 Animal experiments were approved by the Animal Care and Ethics committee at the
130 University of Technology Sydney (ACEC#ETH16-0886). Male Balb/c mice (6 weeks,
131 Animal Resources Centre, Perth, Australia) were housed at 20 ± 2 °C and maintained on a
132 12-h light, 12-h dark cycle (lights on at 06:00 h) with *ad libitum* access to standard laboratory
133 chow and water. After the acclimatisation period, mice were assigned to 3 groups (n =10)
134 which were exposed to either particulate matter with 1µg (PM₁₀(1µg)) or 5µg (PM₁₀(5µg)) or
135 saline as control (SHAM). In urban Sydney, the average PM₁₀ levels are 17 µg/m³, equating
136 to a daily human exposure of 181µg (3). Based on the breathing volumes, mice should be
137 exposed to around 5µg/day to reflect air pollution levels in Sydney. Mice were exposed
138 intranasally by instillation of 40µl of saline or saline resuspended PM₁₀ daily for three weeks.

139

140 At the endpoint, the animals were sacrificed via cardiac puncture after deep anaesthesia (3%
141 isoflurane). Lungs were perfused with phosphate buffered saline to obtain bronchoalveolar
142 lavage (BAL) fluid. Lungs were then harvested, snap frozen and stored at -80°C for protein
143 analysis. Anthropometry measurements were done following dissection and measurement on
144 a microbalance.

145

146 BAL analysis.

147 The BAL cells evaluated by Diff-Quik staining (Polyscience Inc, Taipei, Taiwan).
148 Differential cell counts were performed for macrophages, lymphocytes, eosinophils and
149 neutrophils.

150

151 Western blotting.

152 Lung tissue homogenates (20µg) were analysed using standard techniques, as described
153 previously (9). Antibodies were purchased from Cell Signaling Technology, USA: IL-1β and
154 IL-6 (1:1000); Caspase-3, p-Akt, Akt, p- AMP-activated protein kinase (AMPK), AMPK,
155 light chain 3 microtubule-associated protein (LC)3A/B-I/II (1:2000); from Novus
156 Biotechnology, USA: Drp-1, Opa-1 (1:2000) and Collagen-III (1:1000); from Millipore,
157 USA: MnSOD (1:2000,); from Sigma-Aldrich, USA Fibronectin (1:2000); and R&D systems,
158 USA: TGF-β1 (1:500).

159

160 Mitochondrial DNA copy number.

161 mtDNA was measured using qPCR on DNA as we have previously published (25, 26).

162

163 *Statistical methods.*

164 The data conformed to the normal distribution and differences between groups were analysed
165 using one-way ANOVA followed by a Bonferroni post-hoc tests. P<0.05 was considered
166 significant.

167

168 **Results**

169 PM characterisation

170 The main components of the PM were organic carbons. Sulphate, elemental carbon, chloride
171 and nitrate were the other components in abundance in the PM sample. Traces of other
172 substances such as titanium, manganese, lead, chromium and nickel were also detected, see
173 Table 1.

174

175 Anthropometry markers

176 Weight gain was used as a generic indicator of health status. As shown in Table 2, body
177 weight was not affected by PM exposure (Table 2). However, PM₁₀ (5µg)-exposed animals
178 had significantly more retroperitoneal fat mass compared to the SHAM group (p<0.05).
179 There were no significant changes in liver or muscle weights.

180

181 Bronchoalveolar (BAL) cell count

182 PM₁₀ (5µg) exposure increased leukocyte counts in BAL fluid (P<0.01, PM₁₀ (5µg) vs
183 SHAM, Figure 1A), as well as lymphocytes and macrophages (both P<0.01 vs SHAM,
184 Figure 1A, B). There were no neutrophils or eosinophils observed.

185

186 Lung Inflammation

187 NLRP 3 and IL-1β were increased in the PM₁₀ (5µg) group compared to the SHAM group
188 (P<0.05, Figure 1D/E), but not IL-6 (Figure 1F).

189

190 Markers of matrix remodelling

191 Protein levels of fibronectin, TGF-β1 and collagen-III were not changed in any of the PM
192 groups compared to the SHAM group (Figure 1G-I).

193

194 Mitochondrial antioxidant, mitophagy markers and mitochondrial DNA copy number

195 PM₁₀ (5µg) exposure significantly increased mitochondrial fission protein Drp-1 (P<0.05,
196 PM₁₀ (5µg) vs SHAM, Figure 2A) and reduced mitochondrial fusion protein OPA-1 and the
197 antioxidant MnSOD levels (both P<0.05, PM₁₀ (5µg) vs SHAM, Figure 2B/C). Mitochondrial
198 DNA copy number was not changed between SHAM and PM₁₀ (5µg) (Figure 2D).

199

200 Autophagy and apoptosis

201 Autophagy marker LC3A/B-II, LC3A/B-II to I ratio were reduced in PM₁₀ (5µg) compared to
202 SHAM (P<0.05, Figure 2E/F). Apoptotic marker Caspase-3 was increased in the PM₁₀ (5µg)
203 group compared to the SHAM group (P<0.05, Figure 2G). The upstream marker of
204 autophagy, p-AMPK and p-AMPK to AMPK ratio were reduced by the exposure to PM₁₀
205 (5µg) compared to the SHAM exposure (P<0.05 vs SHAM, Figure 2K/M). Akt and AMPK
206 protein levels were increased in the PM₁₀ (5µg) group compared to the SHAM group (P<0.05
207 vs SHAM, Figure 2I/L), but there were no changes in p-Akt protein levels and p-Akt to Akt
208 ratio by PM₁₀ exposure (Figure 2I/J).

209

210 Discussion

211 We found that the exposure to low levels of traffic related PM₁₀ induced marked pulmonary
212 activation of NLRP3 inflammasome, and inflammation, as well as reduced mitochondrial
213 antioxidants, and impaired mitophagy capacity.

214

215 PM₁₀ exposure for three weeks did not affect the overall wellbeing of the mice reflected by
216 body weight, suggesting low toxicity. However, fat mass was increased following the
217 exposure to 5µg of PM₁₀, consistent with other human and mouse studies (27, 31).

218

219 We found increased lymphocytes and macrophages, which has also been observed with high
220 dose PM exposure (8). However, PM₁₀ (5µg) did not induce eosinophilic or neutrophilic
221 inflammation. Increased IL-1β was accompanied by NLRP3 inflammasome activation as
222 expected. Zheng et al (37) also found that 3 weeks exposure to 50µg of PM_{2.5} daily increased
223 IL-1β and TGF-β1 levels in BAL. Inflammasome activation has been observed in asthma,
224 COPD and during pulmonary inflammation (10, 18, 35), suggesting that continuous exposure
225 to even low level of PM may increase the susceptibility to these conditions.

226

227 Mitochondrial dysfunction is associated with various pulmonary diseases. COPD patients
228 have mitochondrial fragmentation through an increase in Drp-1. In-vitro prolonged cigarette
229 smoke exposure increased mitochondrial fission (6, 15). Damaged mitochondria increase
230 oxidative stress which can consume the antioxidative MnSOD. Our study shows that 5µg of
231 PM reduced MnSOD, suggesting reduced antioxidant capacity. Mitochondrial DNA copy
232 number was unaffected, suggesting mitochondrial biogenesis was not changed by PM in this
233 model. The reduction in LC3A/B-II protein in the PM₁₀ (5µg) group indicates that there was
234 reduced capacity of autophagy which can increase apoptosis. This was confirmed with the
235 increased protein levels of caspase-3 in our study.

236

237 Activated AMPK was reduced by PM₁₀ exposure. AMPK is a stress sensor which is crucial
238 for maintaining intracellular homeostasis during oxidative stress and importantly, AMPK
239 deficient mice have increased progression of COPD (19). AMPK typically supresses Akt, but
240 we found no change in Akt levels, suggesting dysregulation of AMPK/Akt signalling. In our
241 study we found PM reduced AMPK activation with reduced autophagy, however *in-vitro*
242 studies have found PM increases AMPK and autophagy. We postulate that such differences
243 are related to the 10-20 times higher dose of PM used *in-vitro* which induce cell death, in-
244 addition to activating AMPK and autophagy (20, 30, 32). The *in-vitro* response is consistent
245 with the notion that autophagy generally acts to keep cells alive, and is upregulated in
246 response to stress (for a review see (12)). Differences may also occur due to PM processing
247 for *in-vitro* studies in which steam sterilisation to remove LPS may also remove other PM
248 components. Interestingly LPS inhibits AMPK activation (33).

249

250 Inflammation activation by asbestos or crystalline silica is strongly associated with the
251 development of lung fibrosis (24). However, in this study, exposure to a low level of PM did
252 not induce fibrosis. The negative findings are most likely attributable to the low PM dose
253 and the short duration of this study.

254

255 This study has several limitations. PM₁₀ composition varies by generation source, and as such
256 future studies need to compare different types of PM. We did not assess endotoxin levels in
257 PM which are likely to influence the proinflammatory capacity of the PM. The lung tissues
258 were not fixed to assess any histological changes or mitochondrial morphology, which need
259 to be addressed in future studies.

260

261 In conclusion, this study shows that the exposure to low levels of roadside PM has
262 detrimental effects on lung health. As such people living alongside major traffic corridors
263 need to be aware of the potential adverse effects on their respiratory health. Our results also
264 have implications for government agencies responsible for urban planning.

265

266

267

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400

401 **Figure Legends**

402 Figure 1. Leukocytes counts bronchoalveolar lavage (A-C). Lung protein levels of NLRP3
403 (D), IL-1 β (E), IL-6 (F), fibronectin (G), TGF- β 1 (H) and collagen-III (I) in Sham, particulate
404 matter (PM)₁₀ (1 μ g) and PM₁₀ (5 μ g) groups. Results are expressed as mean \pm SEM, n = 8-10
405 (one-way ANOVA followed by Bonferroni post hoc test). *p<0.05, **p<0.01, compared with
406 SHAM; #P<0.05, ##p<0.01, compared with PM₁₀ (1 μ g).

407

408 Figure 2. Lung mitochondrial protein levels of Drp-1(A), Opa-1(B), MnSOD (C),
409 Mitochondrial DNA copy number (D) , Lung protein levels of LC3A/B-II (E), LC3A/B-II to
410 I ratio (F), Caspase-3 (G), p-Akt (H), Akt (I), p-Akt/Akt ratio (J), p-AMPK (K), AMPK (L)
411 and p-AMPK to AMPK ratio (M) in Sham, PM₁₀ (1 μ g) and PM₁₀ (5 μ g) groups. Results are
412 expressed as mean \pm SEM, n=5-8. (one-way ANOVA with Bonferroni tests). *P<0.05
413 compared to SHAM. **P<0.01 compared to SHAM, #P<0.05, compared to PM₁₀ (1 μ g). Akt,
414 protein kinase 3; AMPK, 5' adenosine monophosphate-activated protein kinase; Drp-1,
415 dynamin related protein 1; LC3A/B, Light chain 3 microtubule-associated protein A/B;
416 MnSOD, manganese superoxide dismutase; Opa-1, optic atrophy 1; PM, particulate matter.

417

418 **Chemical components of PM**419 **Table 1. Chemical characteristic of PM₁₀**

	$\mu\text{g}/\text{m}^3$		$\mu\text{g}/\text{m}^3$
PM₁₀ mass	22.61±1.26	Ammonium	0.16±0.03
Organic Carbon (OC)	4.19±0.20	Barium	0.08±0.003
Sulfate	4.00±0.34	Zinc	0.08±0.01
Elemental Carbon (EC)	3.26±0.17	Copper	0.04±0.03
Chloride	2.52±0.41	Titanium	0.02±0.004
Nitrate	1.92±0.13	Manganese	0.02±0.002
Iron	0.85±0.04	Lead	0.02±0.002
Calcium	0.43±0.03	Vanadium	0.01±0.002
Silicon	0.35±0.02	Chromium	0.01±0.001
Aluminium	0.17±0.02	Nickel	0.01±0.001

420 Results are expressed as mean ± SEM. Data showing different components inside the traffic
 421 related air pollutants (n=10).

422

423

424 **Table 2. The effects of PM₁₀ exposure on anthropometry markers**

	SHAM	PM ₁₀ (1 μg)	PM ₁₀ (5 μg)
Body Weight	22.39±0.31	22.26±0.36	22.13±0.37
Liver (g)	1.26±0.045	1.21±0.037	1.15±0.037
Liver %	5.62±0.0015	5.47±0.0011	5.21±0.0015
Muscle (g)	0.073±0.0024	0.075±0.0023	0.072±0.0032
Muscle %	0.33±0.00013	0.34±0.00011	0.33±0.00019
Retroperitoneal fat weight (g)	0.077±0.0037	0.109±0.014	0.12±0.012*
Retroperitoneal fat %	0.34±0.00016	0.50±0.00064	0.55±0.00052*
Glucose (mM)	9.13±1.14	9.6±1.07	9.27±1.1

425 Results are expressed as mean \pm SEM, n = 10. Data were analysed by one-way ANOVA
426 followed by Bonferroni post hoc test. *p<0.05, compared with SHAM. PM₁₀: particulate
427 matter.

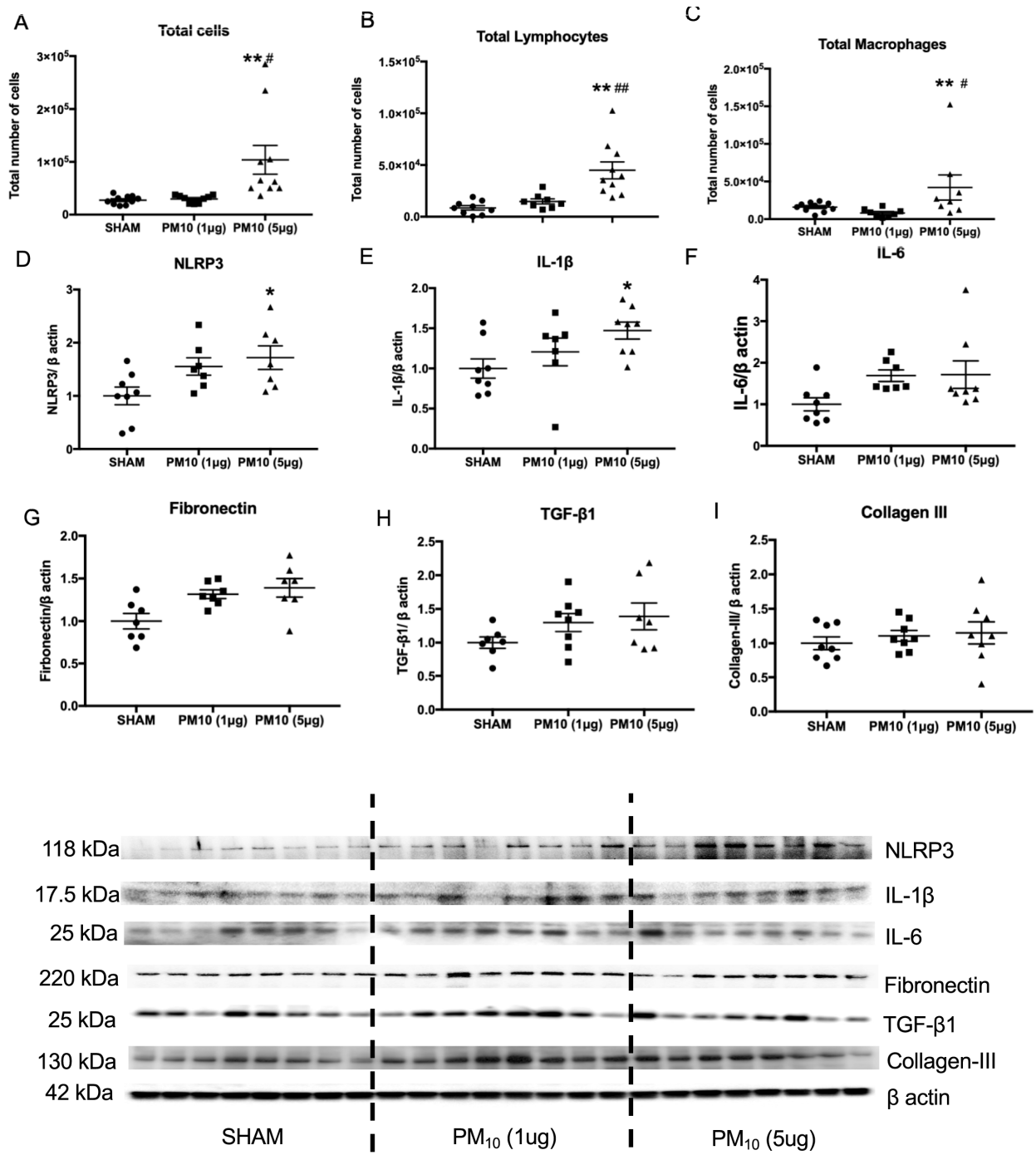


Figure 1.

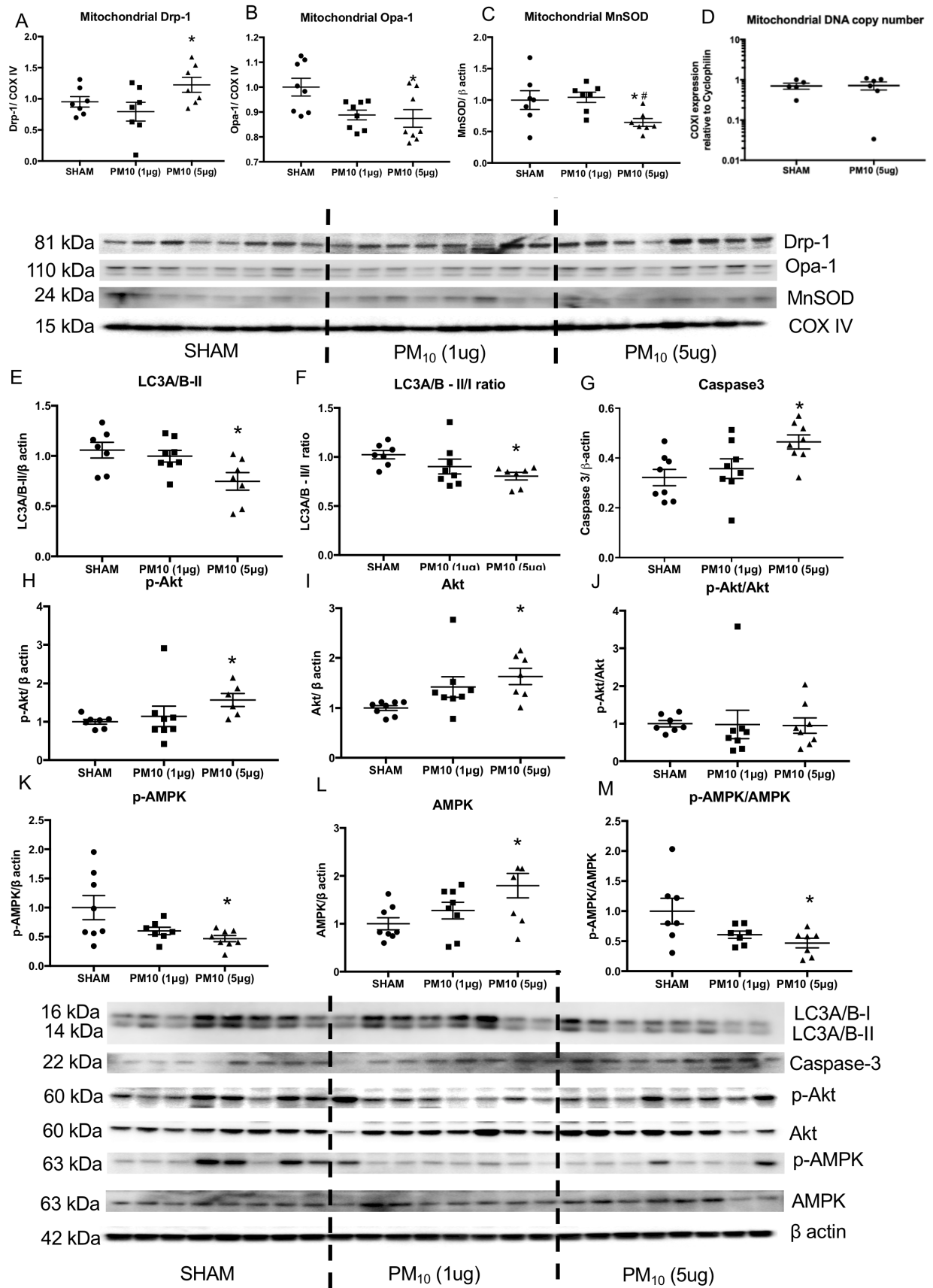


Figure 2.

Chapter 4 Maternal particulate matter exposure impairs transgenerational lung health and is associated with mitochondrial damage

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Contribution:

- Animal experiments and all tissue analysis
- preparing the manuscript
- Finalising the manuscript

Maternal particulate matter exposure impairs transgenerational lung health and is associated with mitochondrial damage

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HC and BGO designed the study. BW, BS, JL, GL, and YLC performed all the experiments.

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contributed to the writing of the manuscript. BW and YLC prepared the figures and tables.

All the authors reviewed the final manuscript.

Abstract:

Relatively little is known about the transgenerational effects of chronic maternal exposure to low-level traffic-related air pollution (TRAP) on the offspring lung health, nor are the effects of removing such exposure prior to pregnancy. Female BALB/c mice were exposed to PM_{2.5} (PM_{2.5}, 5 µg/day) for 6 weeks before mating and during gestation and lactation; in a subgroup, PM was removed after mating to model mothers moving away from TRAP during pregnancy to protect their unborn child (Cessation). Lung pathology was characterised in both dams and offspring. A subcohort of offspring were also exposed to ovalbumin to model allergic airways disease. PM_{2.5} and Cessation dams exhibited airways hyper-responsiveness (AHR) with mucus hypersecretion, increased mitochondrial reactive oxygen species (ROS) and mitochondrial dysfunction in the lung. Offspring from PM_{2.5} and Cessation dams displayed AHR with increased lung inflammation and mitochondrial ROS production. After the ovalbumin challenge, airway resistance was worse in offspring from PM_{2.5} dams compared with those from control dams. Using an in-vitro model the mitochondria-targeted antioxidant MitoQ reversed mitochondrial dysfunction by PM stimulation, suggesting the lung pathology is oxidative stress-driven. In conclusion, chronic exposure to low dose PM_{2.5} exerted transgenerational impairment on lung health.

Keywords: air pollution, lung function, reactive oxygen species, mitochondrial dysfunction, asthma

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Chapter 5 General Discussion and future perspective

Epidemiological studies have shown that maternal smoking is one of the prominent *in utero* environmental risk factors for the development of respiratory diseases in childhood. Smoking during pregnancy is a risk factor for asthma, COPD and lung cancer in the offspring^{83,84}. However, the sex difference in such susceptibility to respiratory disorders has not been well studied, perhaps due to the preference in animal models to use only one gender to model asthma or COPD.

Our study in Chapter 2 shows that male offspring are more vulnerable to the adverse effects of maternal cigarette smoke exposure during pregnancy, which was demonstrated by the smaller body weight, and higher levels of inflammatory markers in the lung, such as increased expression level of p-ERK1,2, total p-P38, and p-NF-kB. Maternal cigarette smoke exposure also increased the levels of mitochondrial fission marker Drp-1. The fusion marker Opa-1 was not increased accordingly suggesting less healthy mitochondrial fragment can be recycled. Those results demonstrated that maternal smoking during pregnancy could induce mitochondrial dysfunction in the offspring.

Maternal cigarette smoke exposure is also an *in utero* environmental toxicant which can induce excessive oxidative stress. *In vivo* and *in vitro* studies have demonstrated that the antioxidant L-Carnitine can prevent oxidative stress-induced injuries to the kidneys and cardiometabolic systems in mouse models⁸⁵⁻⁸⁷. In our study (Chapter 2), maternal L-Carnitine supplementation increased the birth weight of both male and female SE offspring and partially ameliorated the adverse impacts of maternal cigarette smoke on lung health outcome. This suggests that oxidative stress may be the primary mechanism of *in-utero* underdevelopment by maternal smoking and L-Carnitine is capable of ameliorating such oxidative stress.

Summary of the markers expression levels in chapter 2

		P1 Male		P1 Female		13w Male		13w Female	
		Smoking	L-carnitine	Smoking	L-carnitine	Smoking	L-carnitine	Smoking	L-carnitine
Inflammation	P-ERK1,2	↑	↑	—	↓	—	—	—	—
	p-JNK1,2	—	—	—	—	↓	↓	—	—
	p-P38	↑	↑	—	↓	—	—	—	—
	P-NF-kB	↑	—	—	—	↑	—	—	—
Inflammasome	NLRP3	↑	↓	—	—	—	—	↑	↑
	IL1-β	—	—	↑	—	↑	↑	↓	—
Autophagy	LC3A/B-II	↑	↑	—	—	↑	↑	—	—
Mitophagy	Drp-1	↑	—	—	—	—	—	—	—
	Opa-1	—	—	—	—	—	—	—	—

Another well-known environmental toxicant to foetal lung development is air pollution⁸⁸. It is common sense that high levels of air pollution could induce adverse impacts on foetal lung development and health. Previous mouse models also demonstrated the possible mechanisms, such as changed immune cell development^{59,89}. Those mouse models using high levels of PMs may reflect the high levels of annual ambient air pollution in Asia and Africa⁶⁰. However, the population weighted mean annual PM concentrations in Europe, North America, and Oceania are lower (5-15 $\mu\text{g}/\text{m}^3$) than Asia and Africa regions⁶⁰. Few studies explored whether exposure to PM lower than the WHO air quality guideline (50 $\mu\text{g}/\text{m}^3$ 24-hour mean) can also induce adverse impacts on lung development.

The study in Chapter 3 shows that even low dose PM₁₀ exposure (5 $\mu\text{g}/\text{day}$) for 3 weeks can still cause a high level of inflammation in mice. In this study, we found several effects similar to the model using high doses of PM exposure. For example, PM induced marked pulmonary activation of the NLRP3 inflammasome. Inflammasome activation has been observed in asthma and COPD, as well as during pulmonary inflammation⁹⁰⁻⁹² suggesting that continuous exposure to even a low level of PM may increase the susceptibility to these conditions.

Mitochondria play an important role in lung function. Mitophagy plays a key role in maintaining mitochondrial integrity and normal mitochondrial function through the balance of fusion and fission. Mitochondrial dysfunction is related to several pulmonary diseases, such as asthma, COPD and IPF⁹³. In this study, we found PM₁₀ exposure impaired mitophagy markers only after 3 weeks of exposure, which may promote lung structure damage and functional impairment in the long term, as we have shown in PM exposed dams in Chapter 4. This suggests that even living in the less polluted areas where the PM concentration is within the WHO air quality guideline still can induce pulmonary diseases.

Summary of the markers expression levels in chapter 3

	PM10 (1ug/day)	PM10 (5ug/day)
<u>Immune cells number</u>		
Total cells number	—	↑
Total lymphocytes	—	↑
Total Macrophages	—	↑
<u>Inflammasome activation</u>		
NLRP3	—	↑
IL1-β	—	↑
<u>Mitophagy</u>		
Drp-1 (Fission)	—	↑
Opa-1 (Fussion)	—	↑
<u>Autophagy</u>		
LC3A/B-II	—	↓

People living near busy roads and industrial areas are exposed to more to air pollution and thus have a higher risk of developing respiratory diseases. A previous study confirmed that air pollution exposure during pregnancy decreases placental growth factor⁵⁵, increases cord blood immune biomarkers (e.g. Ig E, IL-33)⁵⁶, and causes mitochondrial oxidative DNA damage⁵⁷. Previous studies in mouse models have also found *in-utero* exposure to 100 µg PM from

residential roof spaces impaired somatic growth, reduced lung volume and lung function in offspring⁵⁸. Pregnant mice exposed to combustion generated free radical containing particles (200nm, 50 µg) have systemic oxidative stress and the offspring developed asthma⁵⁹. Those mouse models demonstrated the adverse impacts induced by high levels of air pollution. Our study in Chapter 4 found that chronic exposure to low dose PM (5 µg/day) induced airway hyper-responsiveness, increased inflammation level in the lung, and higher number of leukocytes in the bronchial alveolar lavage fluid. We also observed lung tissue remodelling with increased collagen deposition, excessive mucous production and damaged alveolar membranes.

A previous study showed that chronic exposure to environmental toxicants could induce COPD in humans⁹⁴. The characteristic of the COPD lung is airflow limitation because of the airway obstruction and parenchymal destruction. In the COPD lung, there is increased tissue density (small airway fibrosis) in the places where the alveolar membrane is not damaged⁹⁵. We observed increased tissue elastance and tissue damping, excessive inflammation, and airway remodelling which resemble lung pathology in patients with COPD. Those results demonstrated that chronic low dose PM exposure could induce COPD-liked pathology in the mouse.

Maternal exposure to the low dose PM also induced AHR and higher inflammation in the offspring, which can't be reversed by removing PM exposure during pregnancy. These results indicate that exposure to low dose PM in the dams also can induce respiratory diseases in the offspring, even only exposed before pregnancy. Multiple epidemiological studies confirmed that maternal PM exposure could increase the risk of asthma in the offspring. A classical Ovalbumin (OVA)-sensitized and challenged asthmatic model confirmed that maternal low dose PM exposure can possess the same risk in the offspring and worsen their asthmatic symptoms.

We suspected that the mitochondrial dysfunction was closely associated with these transgenerational adverse impacts induced by maternal low dose PM exposure, as the mitochondria in offspring are exclusively inherited from the mothers⁹⁶. As shown in Chapter 3, 3-week low dose PM exposure, 5 µg/day impaired mitophagy, with increased level of total ROS in the lung tissue further confirming increased oxidative stress. Similar results were found in the female offspring, including high mitochondrial density, mitochondrial ROS and total ROS level. The mitophagy markers were also impaired by maternal PM exposure. In order to further confirm the role of mitochondria in PM exposure induced pathology, we examined the mitochondrial functional change in Beas-2B cells. Results in Chapter 4 show that MitoQ, a mitochondrion targeted antioxidant, significantly ameliorated mitochondrial dysfunction induced by the PM exposure. These results strongly suggest that mitochondrial dysfunction is closely associated with the adverse impacts induced by PM exposure.

Summary of the markers expression levels in chapter 4

	PM _{2.5}	Cessation
<u>Lung Function</u>		
Tissue Elastance	↑	↑
Tissue Damping	↑	—
<u>Cells differentiation</u>		
Macrophages	↑	—
Eosinophils	↑	—
Neutrophils	↑	—
Lymphocytes	↑	—
<u>Inflammation Level</u>		
	↑	↑
<u>Airway Remodelling</u>		
Epithelial thickness	↑	↑
Alveolar Damage (MLI)	↑	—
Fibrosis level	↑	—
Mucus secretion	↑	↑
Muscle thickness	↑	↑

In conclusion, this thesis confirmed that male offspring are more susceptible to *in utero* environmental toxin exposure. Maternal low dose PM exposure can induce transgenerational adverse impacts on pulmonary health in offspring.

Limitations:

Our studies do have limitations. We measured the targeted proteins expression levels in the chapter 2 with western blot without more dimensional approaches, such as PCR and immunohistochemistry. More analysis approaches will be used in the future work.

Take home message

1. Male offspring are more vulnerable to maternal smoking induced lung impairment than their female littermates.
2. Maternal L-Carnitine supplement during pregnancy could partially alleviate the adverse impacts on the offspring's lung induced by maternal smoking.
3. Short-term exposure to low dose PM can increase the pulmonary inflammatory response
4. Chronic exposure to low dose PM could induce COPD-like pathology in the lung
5. *In utero* exposure to low dose PM could exacerbate asthmatic symptoms in the adulthood

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