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Title: The Effect of Adding Rubber Crumbs on the Cyclic Permanent Deformation of Waste Mixtures Containing Coal Wash and Steel Furnace Slag

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28 **Abstract**

29 Among the numerous studies into the dynamic loading behaviour of rubber crumbs-soil/waste mixtures,
30 the main focus is on how the content of rubber crumbs ($R_b\%$) affects the damping ratio, shear modulus
31 and total deformation. However, the research into the influence of $R_b\%$ on the permanent strain rate
32 ($\dot{\epsilon}_p$) and the deformation mechanism under repeated loading is very limited. In this current study, the
33 cyclic deformation response for the waste mixtures of steel furnace slag (SFS), coal wash (CW) and
34 rubber crumbs (RC) are analysed and the test results reveal that $R_b\%$ has a significant influence on the
35 initial $\dot{\epsilon}_p$ and the slope of the permanent axial strain rate line (PASRL), while cyclic deviator stress
36 ($q_{cyc,max}$) mainly affects the initial $\dot{\epsilon}_p$. The influence of $R_b\%$ and $q_{cyc,max}$ on $\dot{\epsilon}_p$ of the waste mixture is
37 incorporated in an empirical model, which enables to predict the permanent deformation mechanism of
38 SFS+CW+RC mixtures with broader ranging amounts of RC and higher cyclic deviator stresses.

39

40 **Keywords:** repeated loading; deformation; strain; industrial wastes;

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42

43 **List of notations**

44 α is the the slope of the permanent axial strain rate line in $\log \dot{\epsilon}_p - \log N$ space

45 β the $\dot{\epsilon}_p$ (the initial vertical strain) when $N=1$

46 ϵ_a is the permanent axial strain

47 $\dot{\epsilon}_p$ is the permanent strain rate

48 σ'_3 is the effective confining pressure

49 $q_{cyc,max}$ is the cyclic deviator stress

50 $q_{peak,static}$ is the peak deviator stress under static loading

51 R_b is the content of rubber crumbs (%)

52 m, n are empirical coefficients to determine α

53 A_1, A_2, B_1, B_2 are empirical coefficients to determine β

54 *CW* is coal wash

55 *CSR* is the cyclic stress ratio

56 N is the number of loading cycles

57 *PASRL* is the permanent axial strain rate line

58 *RC* is rubber crumbs

59 *RCA* is recycled concrete aggregates

60 *SFS* is steel furnace slag

61 Introduction

62 When granular materials are subjected to cyclic loading, the vertical deformation response can be
63 divided distinctly into the resilient and the permanent components. The excessive permanent vertical
64 deformation is one of the main factors that lead to the failure of a roadway or track over a large number
65 of cycles albeit the incremental vertical deformation for each loading cycle (permanent vertical strain
66 rate; $\dot{\epsilon}_p$) is usually very small. To characterize the permanent deformation behaviour of granular
67 materials subjected to repeated loading, $\dot{\epsilon}_p$ is usually taken as the main indicator for different patterns
68 of the deformation mechanism. Previous studies (e.g. Li and Selig, 1996; Wichtmann *et al.*, 2007; Cerni
69 *et al.*, 2012; Sun *et al.*, 2015; Saberian *et al.*, 2018) indicated that $\dot{\epsilon}_p$ of granular materials is mainly
70 affected by the type and physical state of the soil and stress state. However, the influence of soil
71 physical condition (e.g. density, water content) and stress state on $\dot{\epsilon}_p$ have been investigated
72 extensively (e.g. Tseng and Lytton, 1989; Saberian *et al.*, 2018; Cerni *et al.*, 2012; Monismith *et al.*,
73 1975; Li and Selig, 1996), whereas the influence of the soil type has not been studied in depth due to
74 the complexity in the variety of soil, especially as marginal materials are becoming popular for dynamic
75 loading projects.

76 In recent years, the use of rubber crumbs-soil/waste mixtures in rail tracks has been introduced due to
77 the enhanced damping property and energy absorbing capacity of the mixture (Sol-Sánchez *et al.*, 2015;
78 Esmaeili *et al.*, 2016; Fathali *et al.*, 2016; Indraratna *et al.*, 2017). For instance, Indraratna *et al.* (2017)
79 developed a synthetic energy absorbing layer for railway subballast by mixing the waste materials
80 together including steel furnace slag (SFS), coal wash (CW) and rubber crumbs (RC). The inclusion of
81 RC can significantly affect the geotechnical properties of the RC-soil/waste mixtures, e.g. the shear
82 strength, damping ratio, the critical state, the resilient modulus, and the overall deformation (Youwai
83 and Bergado, 2003; Fu *et al.*, 2014; Mashiri *et al.*, 2015; Madhusudhan *et al.* 2017; 2019ab; Qi *et al.*,
84 2018ab; 2019ab). Previous studies have investigated the influence of the rubber particle properties (e.g.
85 shapes, size, orientation) on the deformation (i.e. mainly compressibility) of the rubber-soil mixtures
86 (e.g. Edil and Bosscher, 1994; Rao and Dutta, 2006; Lee *et al.*, 2007, 2010, Fonseca *et al.*, 2019;
87 Sheikh *et al.*, 2012). These studies show that inter-particle sliding, grain rearrangement and distortion,
88 as well as void-filling capacity of individual rubber particles contribute as key factors to the deformation
89 mechanisms of rubber-soil mixtures. In contrast, for mixtures having much larger rubber particles (e.g.
90 rubber chips and shredded rubber) bending and reorientation can be additional influential factors.

91 Generally, the addition of rubber will increase the compressibility of the mixture regardless of the size
92 and shape of the blended rubber particles, but when the size of rubber particles is comparable to that
93 of the rigid particles in the mixture, the geometry of the rubber particles have less influence on the
94 overall deformation behaviour (Kim and Santamarina, 2008). Despite these findings, there is very
95 limited research into how the amount of RC ($R_b\%$) will affect the permanent strain rate ($\dot{\epsilon}_p$) of mixtures
96 subjected to repeated loading, or how $R_b\%$ will affect the deformation mechanism under large number
97 of cyclic loading. It is therefore imperative to investigate $\dot{\epsilon}_p$ to gain further insight into the deformation
98 mechanism of rubber-soil/waste mixtures used in dynamic projects (i.e. highway pavements and
99 railways), and to facilitate future road and track design.

100 The objective of this paper is to follow up the previous work conducted by the authors, (i.e. Indraratna
101 *et al.* 2017 and Qi *et al.* 2018a) to investigate the effect of $R_b\%$ and maximum cyclic deviator stresses
102 ($q_{cyc,max}$) on $\dot{\epsilon}_p$ and further on the deformation mechanism associated with the SFS+CW+RC mixtures
103 subjected to repeated loading. In the SFS+CW+RC mixture, SFS (specific gravity 3.43) is a granulated
104 by-product from steel industry with high shear strength and high abrasion and impact resistance; CW
105 (specific gravity 2.11) is the commonest form of coal refuse from coal mining, and CW aggregates have
106 less shear strength than conventional rockfill (base) materials and they are usually composed of both
107 angular and flaky grains with a high potential for degradation; while RC (specific gravity 1.15) are
108 shredded granular particles from waste tyres with high elasticity, and they are also highly deformable.,
109 The data from a series of consolidated drained cyclic triaxial tests on saturated SFS+CW+RC mixtures
110 (SFS: CW=7:3, with $R_b\% = 0, 10, 20, 30,$ and 40% blended by weight) by Qi *et al.* (2018a) is considered
111 here. All particles of RC, SFS and CW were sieved and mixed to the same particle size distribution as
112 per the typical standards for subballast materials in Australian tracks as described by Indraratna *et al.*
113 (2017) to avoid any overly influence of rubber particle size on the geotechnical properties of the
114 mixtures. All the test specimens were prepared with the optimum moisture content and compacted to
115 95% of their maximum dry density. The effective confining pressures applied were $\sigma'_3 =$
116 $10, 40,$ and 70 kPa , and the cyclic stress ratio ($CSR = \frac{q_{cyc,max}}{2\sigma'_3}$) equals to 0.4 and 0.8. Further details of
117 sample preparation and test procedures can be found elsewhere (Indraratna *et al.*, 2017; Qi *et al.*,
118 2018a). To further verify the empirical relationship derived from this study for RC-waste mixtures, two
119 sets of cyclic triaxial test data from previous studies (e.g. Saberian *et al.*, 2018 and Tawk *et al.*, 2021)

120 on recycled concrete aggregate (RCA) and RC mixtures (RCA+RC) and CW+RC mixtures are also
121 adopted in the current study.

122 **The influence of R_b and q on $\dot{\epsilon}_p$**

123 Fig.1 illustrates how $\dot{\epsilon}_p$ evolves with the number of loading cycles (N) for the SFS+CW+RC mixture and
124 the recycled concrete aggregate (RCA)+RC mixtures tested by Saberian *et al.* (2018). Note that the
125 relationship of $\dot{\epsilon}_p$ with N can be represented by a power function which is commonly used by other
126 studies for granular materials (e.g. Monismith *et al.*, 1975; Li and Selig, 1996):

$$\dot{\epsilon}_p = \beta \cdot N^\alpha \quad (1)$$

127 where N is the number of loading cycles; α is the slope of the permanent axial strain rate line in $\log \dot{\epsilon}_p -$
128 $\log N$ space, β is the $\dot{\epsilon}_p$ (the initial vertical strain rate) when $N=1$. α and β are the parameters to reflect
129 the influence of the type and condition of the soil and stress state. Note that a very good correlation can
130 be noticed between the power fitting curves and the test data with R^2 over 0.97 for all conditions (Fig.
131 1).

132 From Fig. 1, it can be seen that when R_b % increases, $\dot{\epsilon}_p$ plots higher for the same loading cycle, and
133 the permanent axial strain rate line (PASRL) moves slightly anti-clockwise in $\dot{\epsilon}_p - N$ space, which can
134 also be reflected by the value of α which is the slope of PASRL as presented in Table 1, where the
135 value of α increases with the increasing R_b (%) suggesting that the PASRL inclines upwards. This
136 indicates that when an increased amount of RC is included, the reduction in $\dot{\epsilon}_p$ with N is slower and
137 thereby the vertical deformation is harder to achieve a constant value. This is understandable because
138 as more RC is included, the mixture becomes less dense under the same compaction effort (Indraratna
139 *et al.*, 2017; 2019), which induces a higher densification rate under cyclic loading. When increasing the
140 rubber content from 0 to 40%, the skeleton of the mixture will transform from rigid particles (SFS and
141 CW) to a softer matrix (reduced stiffness), as the rubber particles have lesser stiffness and higher
142 compressibility than the CW and SFS aggregates. Therefore, it is expected that the rubber-blended
143 matrix will transform from a traditional rigid granular material to a rubber-like behaviour (increased
144 ductility) and continue to deform over the testing period, especially under higher vertical loads. The
145 authors have shown earlier that this rubber-like transformation becomes significant when $R_b = 15-20\%$
146 by mass (33-38% by volume) for the current particle gradation of the SFS+CW+RC mixtures (Qi *et al.*,
147 2018a). This observation is certainly in line with the results obtained for the sand-rubber mixtures

148 studied by Lee *et al.*, (2007) and Kim and Santamarina (2008). Comparing with SFS+CW+RC matrix in
 149 the present study, this phenomenon is more obvious for RCA+RC mixtures even though the addition of
 150 RC is within a very small range ($\leq 2\%$). This is because the sensitivity of the influence of RC on
 151 parameter α is affected by the materials mixed with RC (i.e. SFS+CW and RCA). Since SFS and CW
 152 are different with RCA, the slope inclination of PASRL varies. Note that the increase in $q_{cyc,max}$ enlarges
 153 the difference of $\dot{\epsilon}_p$ for waste mixtures having different $R_b\%$ at a certain cycle (Fig. 1a-c).

154 The influence of $q_{cyc,max}$ on $\dot{\epsilon}_p$ can be clearly seen in Fig. 2, where the PASRL for SFS+CW+RC
 155 mixtures with $R_b = 10 (\%)$ under different values of $q_{cyc,max}$ are provided. At a certain number of cycle,
 156 $\dot{\epsilon}_p$ increases as $q_{cyc,max}$ increases suggesting that higher axial loads can accelerate the accumulation
 157 of plastic deformation; however, the PASRL appears to be parallel, which indicates that $q_{cyc,max}$ has a
 158 negligible effect on the parameter α . This result is in conformity with previous studies on granular
 159 materials where the parameter α can be considered to be mainly represented by the type and physical
 160 condition of the material, rather than the loading conditions (Brown, 1974; Li and Selig, 1996). Given
 161 that all the SFS+CW+RC mixtures have been prepared under the same conditions but by only changing
 162 $R_b\%$, the parameter α can be represented in a linear relationship (Fig. 3) for $0 < R_b < 40 (\%)$, thus:

$$\alpha = m \cdot R_b + n \quad (2)$$

163 where m and n are empirical coefficients. This linear relationship is also suitable for RCA+RC mixtures,
 164 as shown in Fig. 3, but for a much narrower range of RC. A previous study by Tawk *et al.* (2021) on
 165 CW+RC mixtures with $0 < R_b < 15 (\%)$ tested under $q_{cyc,max} = 100 \text{ kPa}$ is also considered here, and
 166 it is found that Eq. (2) is capable of expressing adequately the relationship between α and R_b of the
 167 mixtures.

168 To better validate the proposed empirical relationships for α and β in this study, the available test data
 169 has been divided into two groups, i.e. Group A (for parameter calibrations) and Group B (for Validation),
 170 as shown in Table 1. The values of parameters m and n (Table 2) are obtained through best-fit
 171 regression using data in Group A, as shown in Fig. 3. Plotting the independent test data of Group B in
 172 Figure 3 shows that these points also fit the linear relationship proposed in Equation (2).

173 The parameter β which controls the initial $\dot{\epsilon}_p$ of the materials is influenced by $R_b\%$ and $q_{cyc,max}$, as
 174 shown in Fig. 4a, where β is found to have a well-fitted logarithm relationship with $R_b\%$ ($R^2 > 0.95$):

$$\beta = A \cdot \ln(R_b + 1) + B \quad (3)$$

175 where A and B are calibration parameters related with $q_{cyc,max}$ based on data in Group A. Parameters
 176 A and B are then examined to have a linear relationship with $q_{cyc,max}$ for SFS+CW+RC mixtures (Fig.
 177 4b):

$$A = A_1 \cdot q_{cyc,max} + A_2 \quad (4)$$

$$B = B_1 \cdot q_{cyc,max} + B_2 \quad (5)$$

178 where A_1 , A_2 , B_1 , and B_2 are empirical coefficients. Therefore, by substituting Eqs. (4-5) into Eq. (3),
 179 the relationship between β with $R_b\%$ and $q_{cyc,max}$ can be represented by Eq. (6), as plotted in Fig. 5:

$$\beta = (A_1 q_{cyc,max} + A_2) \cdot \ln(R_b + 1) + (B_1 q_{cyc,max} + B_2) \quad (6)$$

180 Note that the value of β increases with $R_b\%$ and $q_{cyc,max}$ for the SFS+CW+RC mixtures. For RCA+RC
 181 mixtures (Saberian *et al.*, 2018) and CW+RC mixtures (Tawk *et al.*, 2021), as the data for different
 182 $q_{cyc,max}$ is not available, only the influence of $R_b\%$ on β is incorporated through Eq. (3), as plotted in
 183 Fig. 4a. Independent data from Group B are also shown in Fig. 4a and Fig. 5 for validation, where a
 184 good match can be observed. The values of all the calibration parameters are given in Table 2.

185 Substituting Eqs. (2) and (6) into Eq. (1), the PASRL can be obtained as:

$$\varepsilon_p = [(A_1 q_{cyc,max} + A_2) \cdot \ln(R_b + 1) + (B_1 q_{cyc,max} + B_2)] \cdot N^{m \cdot R_b + n} \quad (7)$$

186 Fig. 6 shows that the predictions obtained by the empirical model (Eq. 7) match well with the test data
 187 from both Group A and Group B for SFS+CW+RC mixtures (Fig. 6ab), RCA+RC mixtures (Fig. 6c), and
 188 CW+RC mixtures (Fig. 6d).

189 There are discrepancies between the fitting curves for SFS+CW+RC mixtures (present study), CW+RC
 190 mixtures (Tawk *et al.*, 2021), and the RCA+RC mixtures (Saberian *et al.*, 2018) shown in Fig. 3 and Fig.
 191 4a. The trend of best-fit matching curves for SFS+CW+RC mixtures is rather similar to the CW+RC
 192 mixtures, hence, SFS seems to have less influence compared to the more pronounced response
 193 attributed to CW and RC. However, it is noteworthy that the discrepancy of the fitting curves becomes
 194 more pronounced when the waste mining materials are replaced by RCA. This is most likely due to the
 195 difference in hardness between the blended materials with RC, such as CW (crushable and
 196 compressible) and SFS (high strength with good particle interlocking) compared to the more rigid and
 197 less crushable RCA (recycled concrete aggregates in Saberian *et al.*, 2018). These different waste
 198 materials can influence the sensitivity of the effect induced by the added rubber. For instance, PASRL
 199 rotates anti-clockwise much more obviously for RCA+RC mixtures than for SFS+CW+RC mixtures as

200 R_b % increases, as shown in Fig. 1. Since this paper is focused on the influence of RC, the influence of
201 other materials is out of scope of this work.

202 **Predictive permanent deformation mechanism**

203 The failure pattern of the granular materials under static triaxial loading usually featured with a distinct
204 shearing plane or bulging as the loading stress achieves to the peak deviator stress $q_{peak,static}$ (Sun *et*
205 *al.*, 2015; Indraratna *et al.*, 2017). Unlike static failure, the material failure criterion under repeated
206 loading with a constant $q_{cyc,max}$ is usually characterized by the accumulation of plastic deformation due
207 to the absence of the $q_{peak,static}$ and the applied $q_{cyc,max}$ is generally relatively smaller than $q_{peak,static}$.
208 Therefore, to better understand the deformation mechanism under repeated cyclic loading, the
209 shakedown theory was introduced initially for engineering structures, then for pavement design, and
210 later popularized for railway materials (Sharp and Booker, 1984; Sharp, 1985; Brett, 1987; Indraratna
211 *et al.*, 2005; Tao *et al.*, 2010; Sun *et al.*, 2015; Qi and Indraratna, 2020). The basic assumption of the
212 shakedown concept is that a test specimen or an engineering structure under repeated loading will
213 eventually respond in a resilient (elastic/shakedown) manner or fail/collapse with extensive
214 accumulated plastic deformation (Tao *et al.*, 2010). The criterion to discern the shakedown or failure
215 was firstly proposed with a critical shakedown load/stress, and then prevailed by using the permanent
216 strain rate (Werkmeister, 2005, 2006; Tao *et al.*, 2010).

217 Inspired by the shakedown theory (Werkmeister *et al.*, 2005; Tao *et al.*, 2010; Saberian *et al.*, 2018),
218 the deformation response of SFS+CW+RC mixtures can be divided into the following categories (i.e.
219 plastic shakedown, plastic creep and incremental collapse) based on the permanent axial strain
220 behaviour in $\varepsilon_a - N$ space and the PASRL in $\dot{\varepsilon}_p - \varepsilon_a$ space up to 50,000 cycles shown in Fig. 7, by
221 which most traditional subballast (granular) materials were found to achieve a stable deformation phase
222 (Indraratna *et al.*, 2014; Bian *et al.*, 2016; Pirozzolo *et al.*, 2017).

223 **Range A:** Plastic shakedown, where the applied cyclic load is less than that required to induce the
224 failure after the incremental accumulation of permanent deformation, and the material achieve a long-
225 term steady state with insignificant permanent axial strain rate and hence ignorable accumulation of
226 plastic strain for a finite number of loading cycles (here refers to 50,000 cycles), as shown in Fig. 7(a-
227 b). This occurs (i) when SFS+CW+RC mixtures ($R_b \leq 40\%$) are tested at a lower $q_{cyc,max}$ (e.g. 16 kPa)
228 or (ii) when $R_b < 20\%$, as shown in Fig. 7(c-h), whereby the vertical deformation of the mixtures rapidly

229 stabilizes before 1000 loading cycles (Fig. 7c-e) and $\dot{\epsilon}_p$ decreases to a very small level ($<10^{-8}$) before
230 the end of the test (N=50,000; Fig. 7f-h). It can be easily understood that all the SFS+CW+RC mixtures
231 with $R_b \leq 40$ (%) can achieve the plastic shakedown under a much smaller $q_{cyc,max}$ (e.g. 16 kPa)
232 comparing to their peak deviator stress under static loading which can be 80-160 kPa (Qi *et al.*, 2019a).
233 Moreover, when R_b % is within a low range (less than 20%), the waste mixtures can also achieve plastic
234 shakedown even with a high $q_{cyc,max}$ (e.g. 112 kPa). This can be attributed to the relatively high stiffness
235 of the SFS+CW+RC mixture having a low R_b % ($< 20\%$) (Qi *et al.*, 2018a), and also a proper amount
236 of added rubber ($<20\%$) can effectively increase the energy absorbing property of the mixture to balance
237 the finite amount input of the external energy without generating undesired elastic strain (Qi and
238 Indraratna, 2020).

239 **Range B:** Plastic creep, where the specimen experiences a faster plastic strain accumulation than the
240 specimen in **Range A** with the permanent strain rate gradually decreases to a relatively low level
241 ($10^{-8} \leq \dot{\epsilon}_p < 10^{-7}$) after a high number of loading cycles (Fig. 7a-b). Note that the permanent axial
242 strain rate boundary between **Range A** and **B** is set to be $\dot{\epsilon}_p = 10^{-8}$ as distinctly different deformation
243 behaviour can be observed for SFS+CW+RC mixtures ending with $\dot{\epsilon}_p > 10^{-8}$ and $\dot{\epsilon}_p < 10^{-8}$ (Fig. 7),
244 which is consistent with similar observations reported earlier by Tao *et al.*, (2010). The boundary of
245 Range B (plastic creep) and range C (incremental collapse) is set as $\dot{\epsilon}_p = 10^{-7}/\text{cycle}$, which is a typical
246 common boundary for granular materials/mixtures as suggested in previous studies, e.g. Tao *et al.*,
247 (2010) for blended recycled granular base materials (blended calcium sulfate, blast furnace slag, Class
248 C fly ash, recycled asphalt pavement, foamed-asphalt), Saberian *et al.*, (2018) for recycled concrete
249 aggregates and crushed rock mixed with rubber crumbs, and Werkmeister *et al.*, (2005) and Sun *et al.*
250 (2015) for crushed rock aggregates. As there is no failure/collapse observed for the current waste
251 mixtures with $R_b \leq 40\%$ under the test conditions reported in this study, $\dot{\epsilon}_p = 10^{-7}/\text{cycle}$ is adopted as
252 the boundary of Range B and C which the authors consider to be reasonable. The plastic creep occurs
253 for SFS+CW+RC mixtures having higher RC contents ($R_b > 20\%$) and subjected to a higher $q_{cyc,max}$
254 (e.g. $q_{cyc,max} \geq 64 \text{ kPa}$) as shown in Fig. 7 (c-h), where the permanent axial strain continues to increase
255 until the end of the test with $10^{-8} \leq \dot{\epsilon}_p < 10^{-7}$ albeit an obvious reduction in $\dot{\epsilon}_p$ is observed after 1000
256 cycles. Note that when both R_b % and $q_{cyc,max}$ increase, ϵ_a increases and the slope of PASRL inclines
257 upwards, especially under higher values of $q_{cyc,max}$ (Fig. 7f-h). This indicates that a combination of

258 $R_b\%$ and $q_{cyc,max}$ with higher magnitudes will certainly accelerate the accumulation of permanent
259 deformation of the waste mixture. Further, higher $R_b\%$ in the waste mixtures will end up with a looser
260 specimen during specimen preparation (Indraratna *et al.*, 2017), hence taking a longer time for
261 densification under cyclic loading, and the increased elasticity caused by a higher $R_b\%$ will induce
262 instability in terms of displacement (Qi and Indraratna, 2020), thus preventing the specimen achieving
263 plastic shakedown.

264 **Range C:** Incremental collapse. The typical description is that $\dot{\epsilon}_p$ decreases very slowly or not at all to
265 the end of the test ($\dot{\epsilon}_p \geq 10^{-7}$), while the large plastic strain that accumulates rapidly causes early failure
266 after a relatively low number of loading cycles. During the cyclic loading test on the SFS+CW+RC
267 mixtures, no failure or collapse occurred due to the limited range of $R_b\%$ and $q_{cyc,max}$ tested in this study.
268 Therefore, it is imperative to predict the permanent deformation response of the waste mixtures for a
269 wider range of $R_b\%$ and $q_{cyc,max}$.

270 A large accumulation of permanent strain will induce a high risk of track failure, therefore the
271 SFS+CW+RC mixture in Range A (plastic shakedown) is the most preferable to be used in railways. To
272 facilitate future design when using SFS+CW+RC mixtures as a potential subballast, $\dot{\epsilon}_p$ of waste
273 mixtures with a broader range of $R_b\%$ and $q_{cyc,max}$ at loading cycle $N=50,000$ can be predicted using
274 the empirical Eq. (7), as illustrated in Fig. 8. The $q_{cyc,max}$ is used up to 166 kPa to simulate the vertical
275 load of a heavy haul train with a 30-tonne axle load (Indraratna *et al.*, 2014). The predictive permanent
276 axial strain rate surface is divided into three parts (i.e. Range A, Range B and Range C) based on
277 shakedown theory using the boundaries of $\dot{\epsilon}_p = 10^{-7}$ and $\dot{\epsilon}_p = 10^{-8}$. Note that under $q_{cyc,max} =$
278 166 kPa , the waste mixture with $15.56\% < R_b\% < 62.22\%$ falls within Range B (plastic creep), while the
279 mixtures having $R_b > 62.22\%$ will tend to collapse. Therefore, it is suggested that for a heavy haul
280 track with axle loads over 30 tonnes, the amount of RC added to the subballast mixtures should be less
281 than 15% by weight.

282 The proposed empirical model Eq. (7) is based on the test results of SFS+CW+RC mixtures with $R_b \leq$
283 40% and the particle sizes of the rubber crumbs being comparable to the sizes of other materials in the
284 mixtures (i.e. SFS and CW). The model should not be applied for RC greater than 40% without
285 exercising caution. It is also noteworthy that the effect of varying the rubber particle size and shape is
286 not captured in the model. The purpose of the model predictions is to provide some guidance to
287 practicing engineers, noting the inherent limitations of this empirical model. It is obvious that further

288 validations will need to be conducted in the future research for significantly higher rubber contents over
289 a wider range of strain rates applied to a greater range of cyclic loading conditions.

290 **Conclusions**

291 This study was focused on the effect of rubber contents and loading conditions on the cyclic permanent
292 deformation behaviour of a waste granular matrix containing coal wash (CW) and steel furnace slag
293 (SFS) based on a series of cyclic loading tests on SFS+CW+RC mixtures with $R_b\% \leq$
294 40% and $q_{cyc,max} = 16 - 112 \text{ kPa}$). The following conclusions can be drawn from this study:

- 295 • The RC content ($R_b\%$) has a significant influence on the trend of the permanent axial strain
296 rate line (PASRL) and the initial $\dot{\epsilon}_p$, while $q_{cyc,max}$ mainly affects the initial $\dot{\epsilon}_p$ of the waste
297 mixture.
- 298 • The PASRL in the $\dot{\epsilon}_p - \epsilon_a$ space obtained from cyclic loading tests indicates that SFS+CW+RC
299 mixtures can easily achieve plastic shakedown with a smaller $R_b\%$ (<20%) or under very low
300 $q_{cyc,max}$ (e.g. 16 kPa), whereas waste mixtures with a greater value of $R_b\%$ (30-40%) and under
301 higher $q_{cyc,max}$ (64-112 kPa) show plastic creep towards the end of the test.
- 302 • An empirical model was proposed for the permanent axial strain rate of SFS+CW+RC mixtures
303 capturing the effects of $R_b\%$ and $q_{cyc,max}$. Independent sets of data of SFS+CW+RC mixtures
304 from the current study and rubber-waste mixtures from previous studies (e.g. RCA+RC mixture
305 from Saberian *et al.*, 2018 and CW+RC mixtures from Tawk *et al.*, 2021) have been used to
306 validate the model, and the model prediction matches the test data very well. This indicates
307 that this empirical model is able to predict the permanent vertical deformation behaviour of other
308 RC-waste granular mixtures under repeated loading.
- 309 • The empirical model was extended to predict the $\dot{\epsilon}_p$ of waste mixtures having a broader range
310 of $R_b\%$ and $q_{cyc,max}$ up to 166 kPa (the pressure on top of the subballast under an axle load of
311 30 tonnes) to provide references for practical engineers. Based on the model prediction, it is
312 recommended that the RC content in the SFS+CW+RC mixtures should be less than 15% for
313 heavy haul trains with axle loads over 30 tonnes to avoid the risk of track failure due to
314 excessive settlement.

315 It is noteworthy that the empirical model has some limitations given the range of rubber content
316 tested in this study (< 40%), and the influence of RC that can vary according to the type of other

317 waste materials in the mixture (e.g. properties of CW and SFS can also change geographically).
318 Moreover, different particle sizes and shapes in the matrix, and the cyclic loading characteristics
319 can influence the rate and magnitude of accumulated deformations. Therefore, the proposed
320 empirical model when used beyond the tested conditions and material characteristics will require
321 caution to be exercised.
322

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433

434 **Figure caption**

435 Fig. 1 Permanent axial strain rate for SFS+CW+RC (present study) and RCA+RC mixtures tested by
436 Saberian *et al.* (2018)

437 Fig. 2 Permanent axial strain rate for SFS+CW+RC mixtures with $R_b = 10$ (%) under different cyclic
438 deviator stresses

439 Fig. 3 The relationship of parameter α and RC contents

440 Fig. 4 Calibration coefficients for parameter β

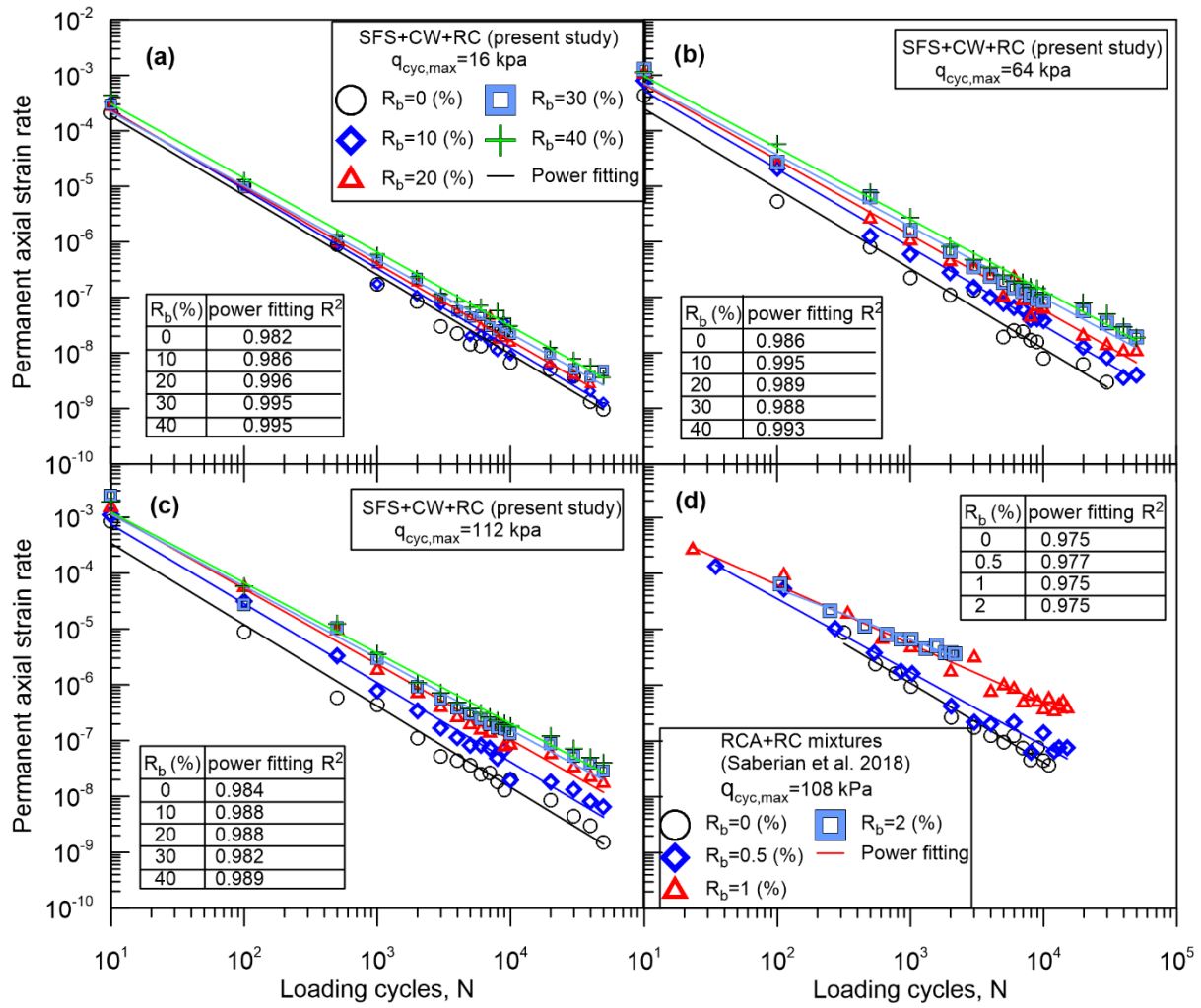
441 Fig. 5 The relationship of parameter β , RC contents and cyclic deviator stress

442 Fig. 6 Test data and model prediction of Equation (7)

443 Fig. 7 (a-b) Permanent deformation response of SFS+CW+RC mixtures based on shakedown theory;
444 (c-e) Permanent axial strain versus number of loading cycles (modified after Qi *et al.*, 2018); (f-h)
445 permanent axial strain rate versus permanent axial strain for SFS+CW+RC mixtures

446 Fig. 8 Predictive permanent axial strain rate at $N=50,000$ cycles varying with cyclic deviator stress and
447 RC contents

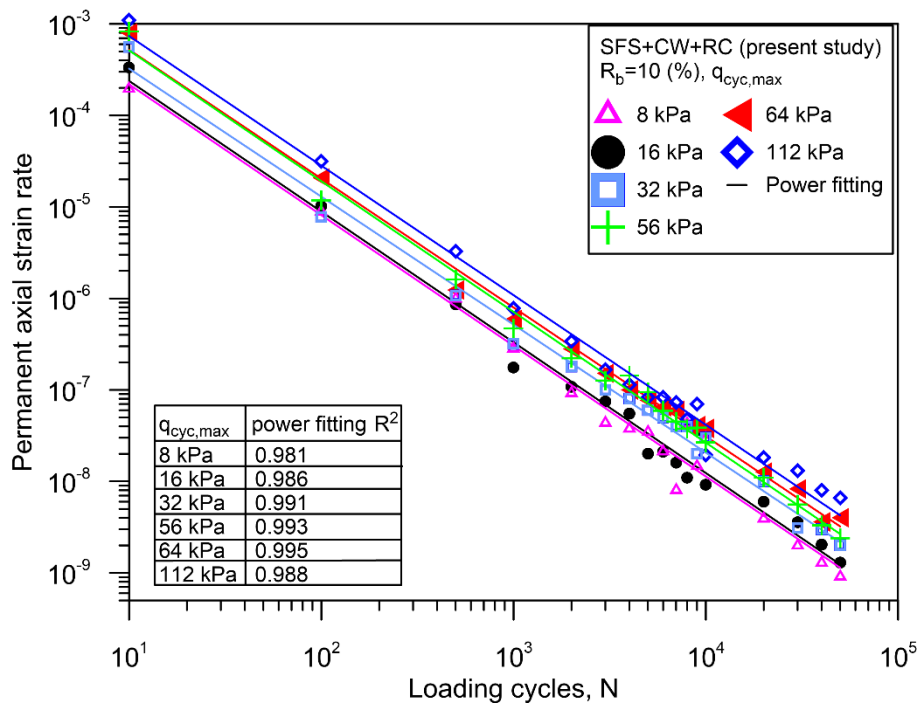
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 451 Saberian *et al.* (2018)

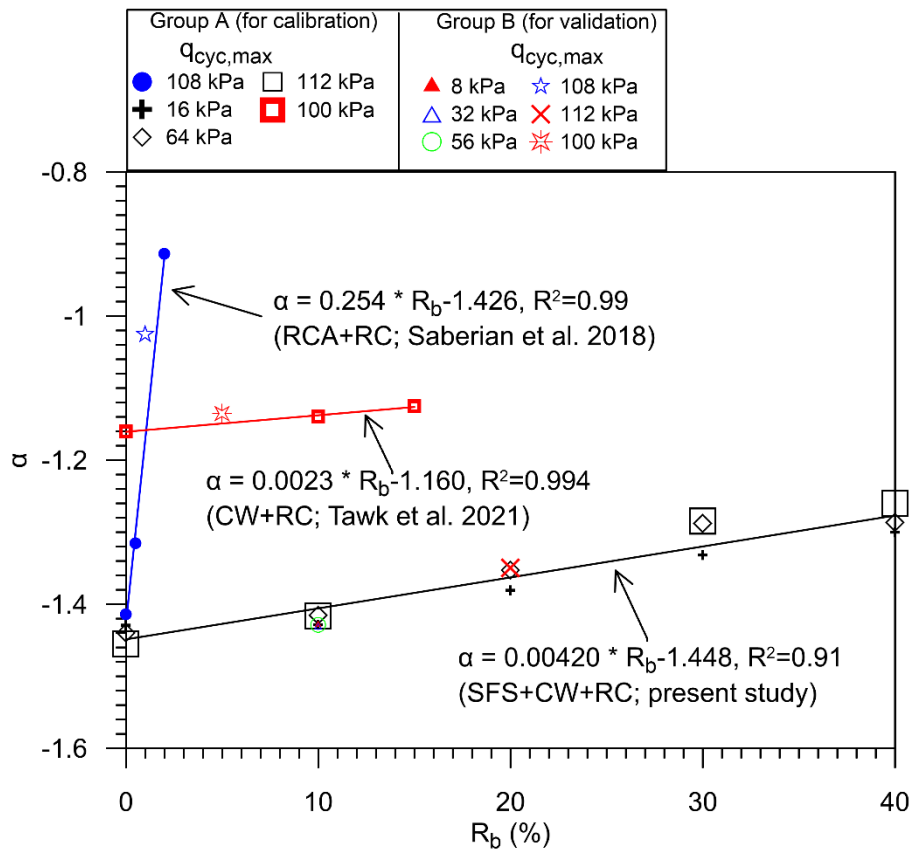
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454 Fig. 2 Permanent axial strain rate for SFS+CW+RC mixtures with $R_b = 10$ (%) under different cyclic
 455 deviator stresses

456

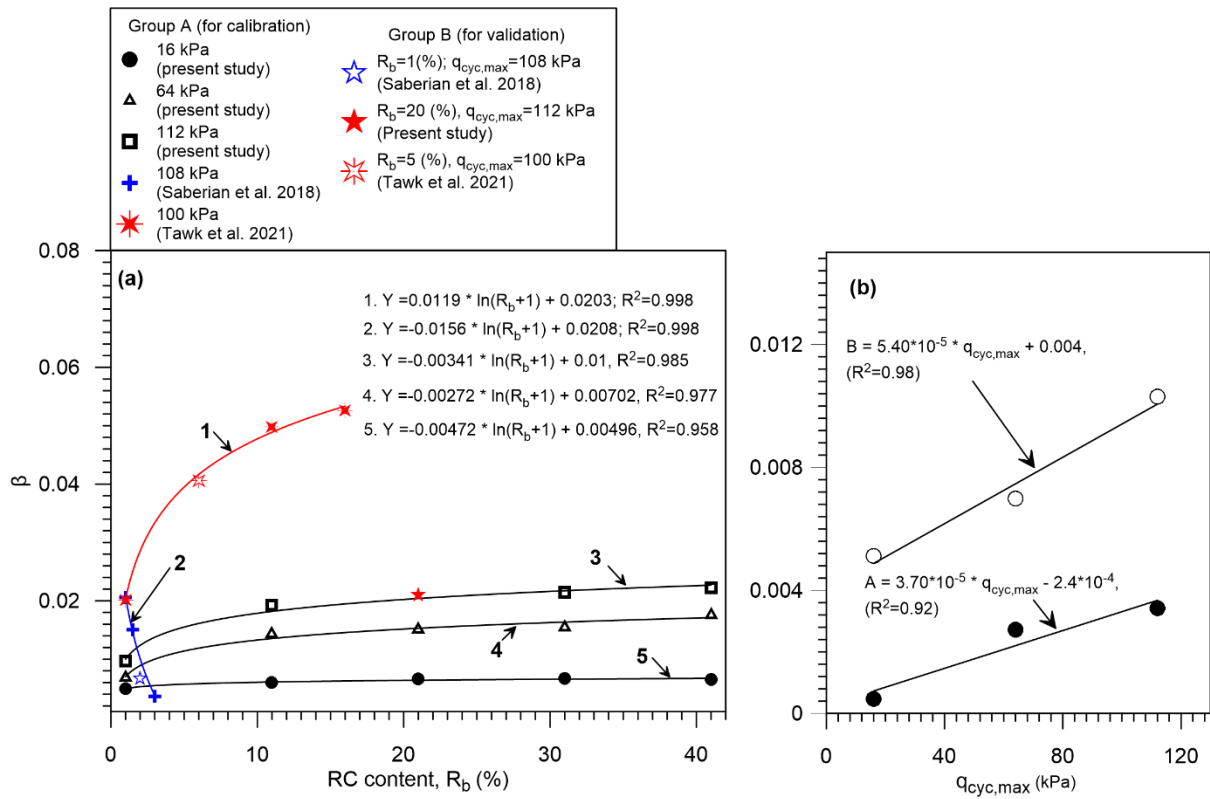


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458 Fig. 3 The relationship of parameter α and RC contents

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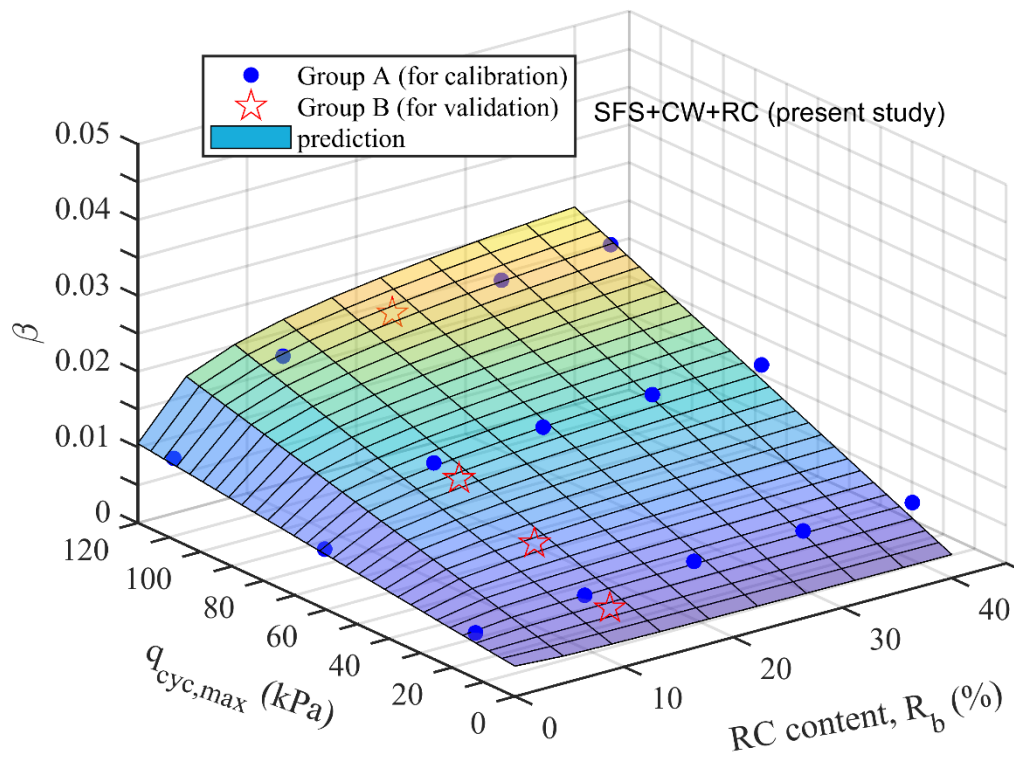


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462 Fig. 4 Calibration coefficients for parameter β

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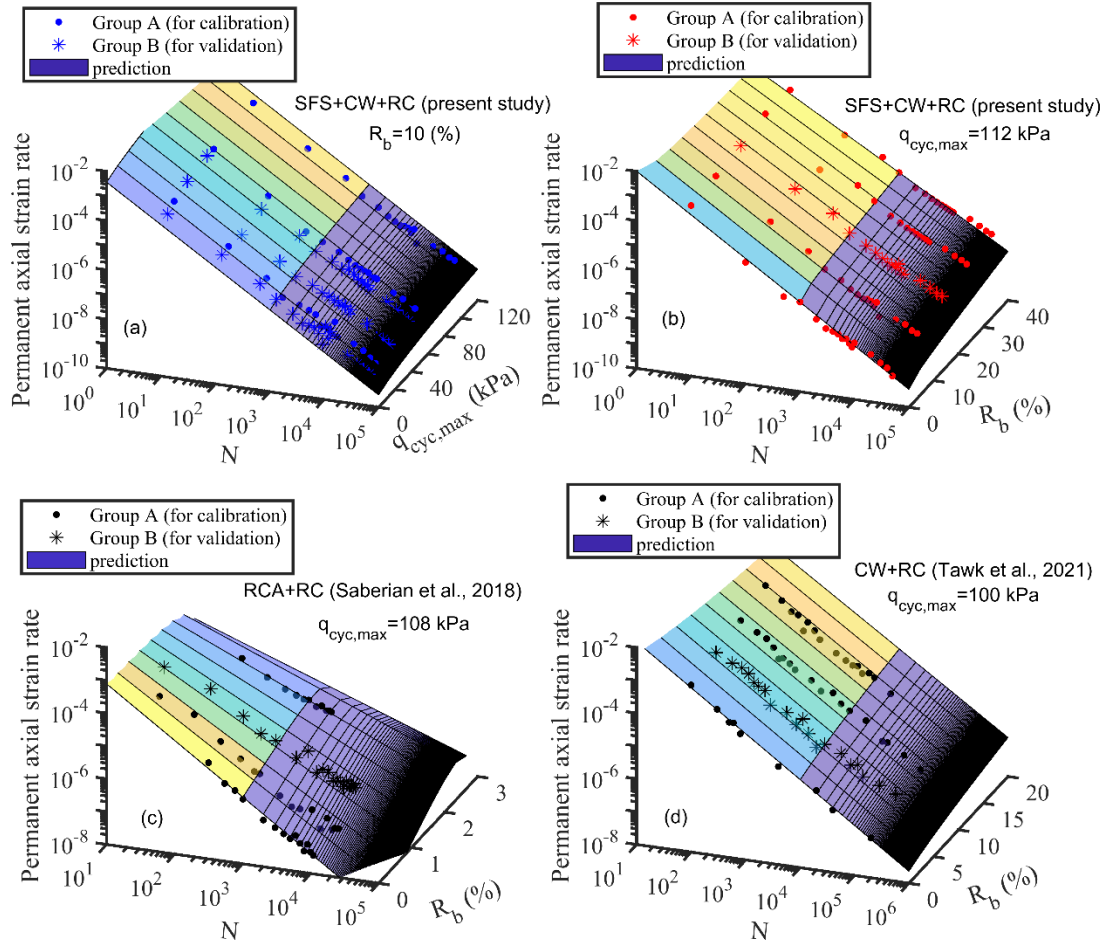
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466 Fig. 5 The relationship of parameter β , RC contents and cyclic deviator stress

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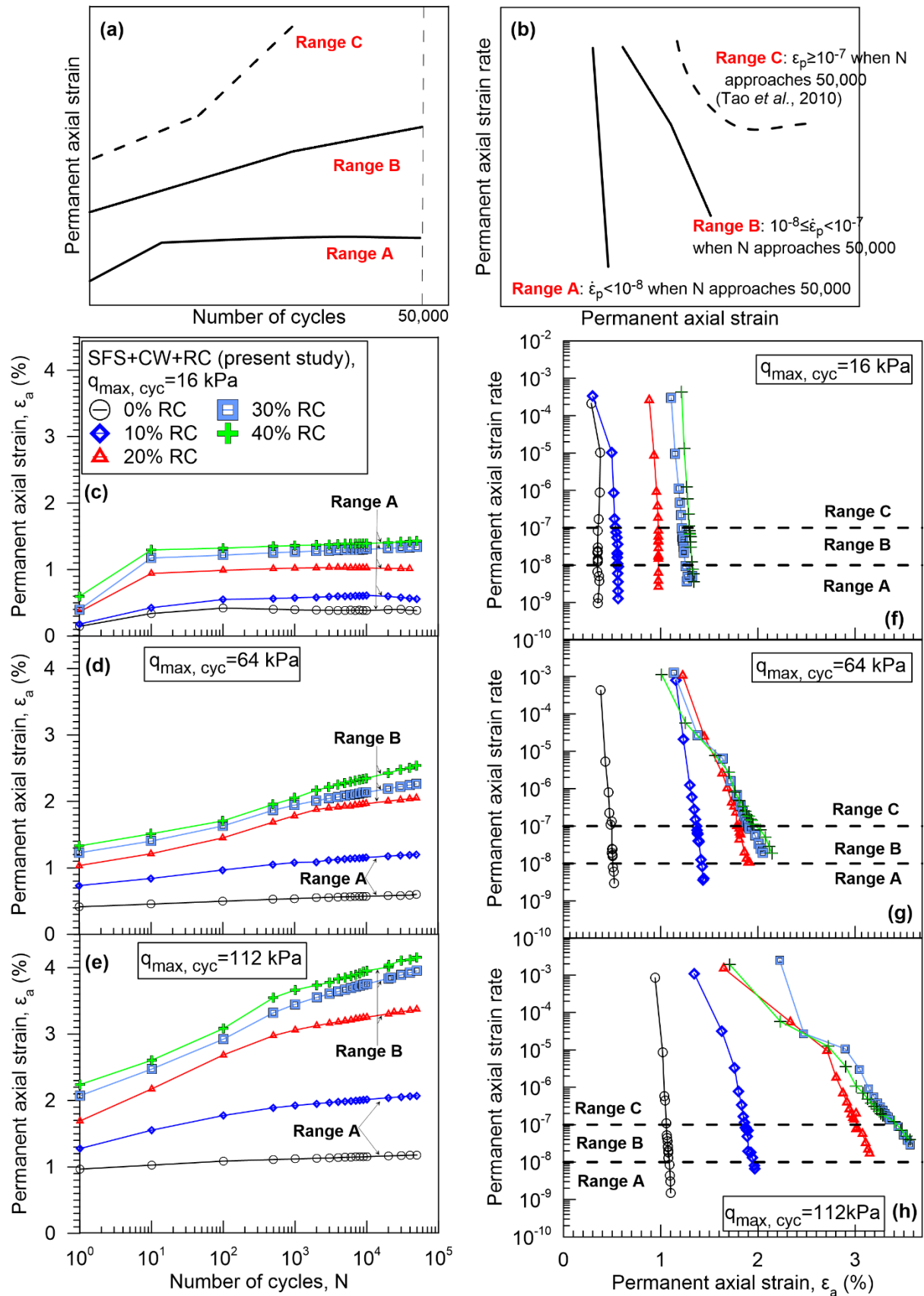


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469 Fig. 6 Test data and model prediction of Equation (7)

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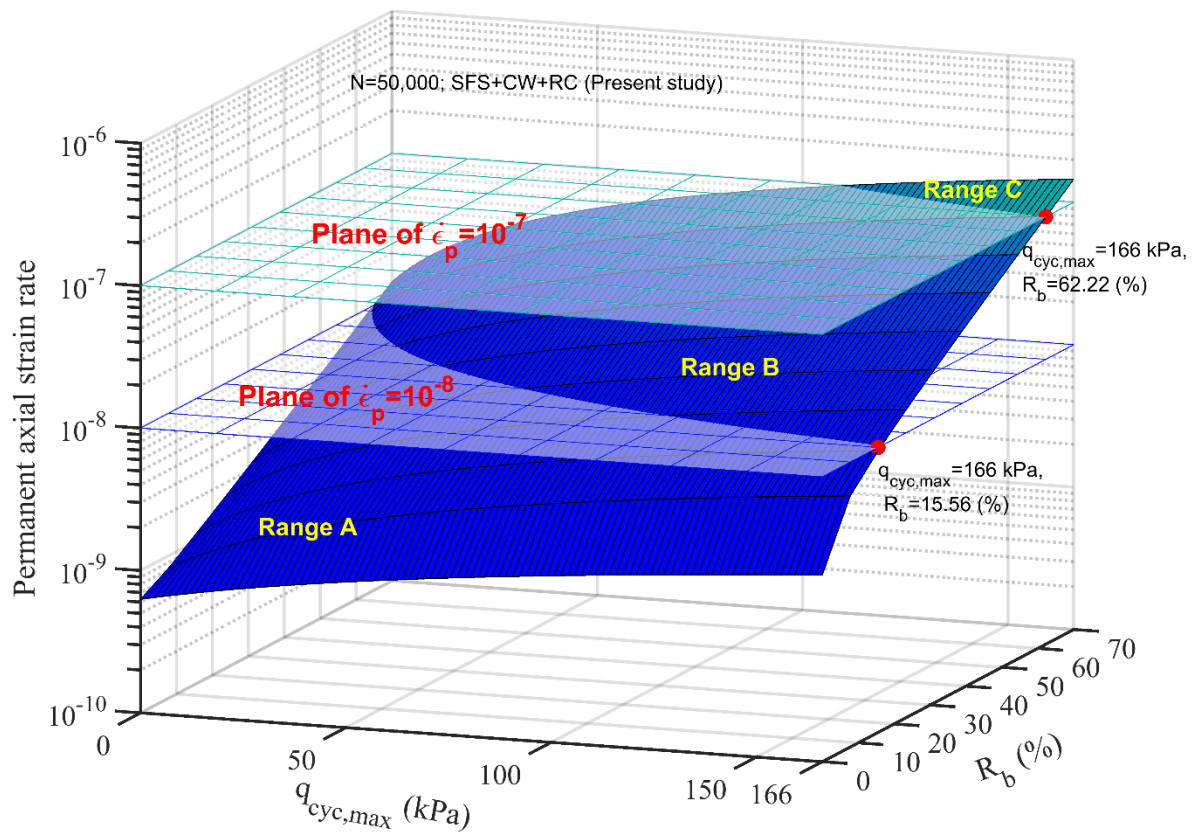
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476

477 Fig. 8 Predictive permanent axial strain rate at $N=50,000$ cycles varying with cyclic deviator stress and
 478 RC contents

479 **Table list**

480 Table 1 Value of α and β for Equation (1)

481 Table 2 Model parameters based on test data in Group A

482

Table 1 Value of α and β for Equation (1)

SFS+CW+RC (present study)							RCA+RC (Saberian <i>et al.</i> , 2018)		
R _b (%)	q _{cyc,max} = 16 kPa		q _{cyc,max} = 64 kPa		q _{cyc,max} = 112 kPa		R _b (%)	q _{cyc,max} = 108 kPa	
	α	β	α	β	α	β		α	β
0 (A)	-1.429	0.00495	-1.440	0.00683	-1.455	0.00966	0 (A)	-1.414	0.0206
10 (A)	-1.428	0.00640	-1.415	0.0143	-1.416	0.0192	0.5 (A)	-1.315	0.0150
20 (A,B)	-1.381	0.00557	-1.353	0.0151	-1.350	0.0210	1 (B)	-1.026	0.00667
30 (A)	-1.330	0.00668	-1.288	0.0155	-1.285	0.0215	2 (A)	-0.914	0.00358
40 (A)	-1.310	0.00653	-1.286	0.0175	-1.260	0.0222	CW+RC (Tawk <i>et al.</i>, 2021)		
							q _{cyc,max} = 100 kPa		
R _b (%)	q _{cyc,max} = 8 kPa		q _{cyc,max} = 32 kPa		q _{cyc,max} = 56 kPa		0 (A)	-1.160	0.020167
	α	β	α	β	α	β	5 (B)	-1.135	0.040590
10 (B)	-1.428	0.00585	-1.430	0.00983	-1.429	0.0138	10 (A)	-1.139	0.049763
-	-	-	-	-	-	-	15 (A)	-1.125	0.052591

483

*where data in Group A is used for parameters calibration, and data in Group B is used for validation.

484

For SFS+CW+RC mixtures with 20%RC, only the test data obtained under q_{cyc,max} = 112 kPa is in

485

Group B, and those obtained under q_{cyc,max} = 16, 64 kPa are in Group A.

486

Table 2 Model parameters based on test data in Group A

Waste mixtures	m	n	A_1	A_2	B_1	B_2
SFS+CW+RC mixtures (present study)	0.00420	-1.448	3.69×10^{-5}	-2.40×10^{-4}	5.40×10^{-5}	0.004
RCA+RC mixtures (Saberian <i>et al.</i> , 2018)	0.254	-1.426	A=-0.0156		B=0.0208	
CW+RC mixtures (Tawk <i>et al.</i> , 2021)	0.0023	-1.160	A=0.0119		B=0.0203	

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