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#### ARTICLE

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# Remote sensing retrieval of winter wheat leaf area index and canopy chlorophyll density at different growth stages

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#### ABSTRACT

Leaf area index (LAI) and canopy chlorophyll density (CCD) are key indicators of crop growth status. In this study, we compared several vegetation indices and their red-edge modified counterparts to evaluate the optimal red-edge bands and the best vegetation index at different growth stages. The indices were calculated with Sentinel-2 MSI data and hyperspectral data. Their performances were validated against ground measurements using R<sup>2</sup>, RMSE, and bias. The results suggest that indices computed with hyperspectral data exhibited higher R<sup>2</sup> than multispectral data at the late jointing stage, head emergence stage, and filling stage. Furthermore, rededge modified indices outperformed the traditional indices for both data genres. Inversion models indicated that the indices with short red-edge wavelengths showed better estimation at the early jointing and milk development stage, while indices with long red-edge wavelength estimate the sought variables better at the middle three stages. The results were consistent with the red-edge inflection point shift at different growth stages. The best indices for Sentinel-2 LAI retrieval, Sentinel-2 CCD retrieval, hyperspectral LAI retrieval, and hyperspectral CCD retrieval at five growth stages were determined in the research. These results are beneficial to crop trait monitoring by providing references for crop biophysical and biochemical parameters retrieval.

# 1. Introduction

Vegetation growth condition can be evaluated by both biophysical parameters as leaf area index (LAI) and biochemical parameters as canopy chlorophyll density (CCD). The parameters can also be extensively used in crop yield prediction (Aase, 1978; Chen, Zhang, & Tao, 2018; Duchemin et al., 2008; Houles, Guerif, & Mary, 2007; Huang et al., 2015). Leaf area index (LAI) is defined as the total one-sided leaf area on per unit ground area (Chen & Black, 1992). It indicates plant biophysical status like the leaf structure and foliage cover and can be used as an intermediate indicator to estimate biomass and fraction of

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Figure 1. Distribution of 24 sampling points in Shunyi District, Beijing.

absorbed photosynthetically active radiation (fAPAR) (Wang et al., 2007; Xiao et al., 2015, 2016). Different from LAI, chlorophyll content demonstrates the inner biochemical vigor of the leaves which can be used to estimate photosynthesis status. Chlorophyll content is related to nitrogen and it has been used to imply the nutrition status (Houlès et al., 2001; Yoder & Pettigrew-Crosby, 1995). The seasonal dynamics of LAI and chlorophyll content among temperate deciduous forest (Croft et al., 2015; Croft, Chen, & Zhang, 2014) and crops including maize and soybean have been studied (Houborg et al., 2015). The results showed that the parameter values vary with the growth stage. This can be concluded from the diverse characteristics of vegetation at different growth stages. Winter wheat leaves are sparse in the early stages therefore the LAI and CCD are relatively low, then the leaves grow larger and turn green which leads to an increase in parameter values. The yellow and senescent leaves come after the emergence of stems and spikes which result in a decrease of the parameters (Zhao et al., 2016). To better assess the growth conditions of crops, it is crucial to estimate variable dynamics accurately at different development stages.

The most common way to estimate crop parameters is to build empirical models with vegetation indices (VIs). Many vegetation indices have been developed for the past several decades. The basis of VI is the spectral reflectance response to pigments and leaf structure. According to previous research, the maximum absorbance of chlorophyll occurs between 660 nm and 680 nm (Wu et al., 2008). Most indices take advantage of the

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Growth stages	Variables	LCD (µg/cm <sup>2</sup> )	LAI (m <sup>2</sup> /m <sup>2</sup> )	CCD (µg/cm <sup>2</sup> )
Early jointing	Min	42.952	0.982	44.938
	Max	62.091	3.093	170.975
	Mean	53.421	2.025	108.012
	SD	6.028	0.499	28.071
Late jointing	Min	36.972	2.248	92.627
	Max	60.415	5.627	277.251
	Mean	49.026	3.710	181.196
	SD	5.532	1.067	57.026
Head emergence	Min	49.088	3.354	213.425
	Max	82.885	8.270	546.374
	Mean	60.055	5.791	348.537
	SD	7.972	1.327	98.172
Filling	Min	67.800	3.899	265.724
	Max	101.230	7.751	661.892
	Mean	82.662	5.426	449.640
	SD	10.790	1.121	114.431
Milk development	Min	14.080	2.678	45.539
	Max	66.974	6.329	367.092
	Mean	42.354	4.618	206.950
	SD	14.542	0.879	94.856

Table 1. Statistical analysis of LCD, LAI and CCD at different growth stages.

Note: SD represents Standard Deviation.

	Sentinel-2A			
Band number	Central wavelength(nm)	Bandwidth (nm)	Central wavelength(nm)	Bandwidth(nm)
1	442.7	21	442.2	21
2	492.4	66	492.1	66
3	559.8	36	559.0	36
4	664.6	31	664.9	31
5	704.1	15	703.8	16
6	740.5	15	739.1	15
7	782.8	20	779.7	20
8	832.8	106	832.9	106
8a	864.7	21	864.0	22
9	945.1	20	943.2	21
10	1373.5	31	1376.9	30
11	1613.7	91	1610.4	94
12	2202.4	175	2185.7	185

Table 2. Central wavelength and bandwidth of Sentinel-2 spectral bands.

reflectance difference between red and near-infrared region for parameter retrieval. Normalized difference vegetation index (NDVI) is a benchmark among all the indices. It utilized the contrast between the maximum absorption in the red region attributed to chlorophyll pigments and the maximum reflection in the near infrared region because of leaf cellular structure (Rouse et al., 1974). Simple ratio (SR) takes advantage of the ratio between red and near-infrared reflectance based on the knowledge that leaves absorb more red light than infrared light (Jordan, 1969). To eliminate the effects from soil background, soil-adjust vegetation index (SAVI) was created with the adjusting factor to reduce the soil reflectance (Huete, 1988). To better grasp the spectral response to pigment variation, researchers developed triangular vegetation index (TVI) which is calculated as the triangle area embraced by the green peak, the chlorophyll absorption

minimum, and the NIR shoulder in spectral region (Broge & Leblanc, 2001). Besides, indices aimed to retrieve chlorophyll were created, including chlorophyll absorption vegetation index (CARI) (Kim et al., 1994). To maximize the merits of indices and minimize their demerits, indices composed of two or more indices were created. They were proved to be good indicators for variables (Zarco-Tejada et al., 2004). The interfering factors for vegetation indices are also different at distinct growth stages. Soil reflectance, the canopy architecture and the amount of vegetation are the three main variables affecting the indices (Rondeaux, Steven, & Baret, 1996). For early stages, the soil background plays an important role in reflectance. The amount of leaves and canopy structure make independent and joint contribution to the variation of VI.

In recent years, studies have been focused on the development of red-edge modified vegetation indices. Red-edge spectral region was correlated with biophysical parameters at canopy scale while less sensitive to noise from soil background and atmospheric effect (Baret, 1992). Besides, red-edge region is positively related to leaf chlorophyll content while hardly affected by other structural properties (Horler et al., 1983a). The red-edge is the important spectral region for LAI assessment (Herrmann et al., 2011). Red-edge inflection point shifts towards longer wavelengths with the increase of nitrogen content or development stage and just as it shifts back to shorter wavelengths once the leaves turn yellow or withered (Horler, 1983b). Due to the sensitiveness to LAI and chlorophyll, indices replaced by red-edge bands have been used for parameter retrieval and they were proved to have good correlation with parameters (Xie et al., 2018). Researches showed that Sentinel-2 red-edge bands had an advantage in estimating vegetation parameters over other traditional VIs (Xie et al., 2019). Despite that many red-edge indices have been developed for variable retrieval, it is hard to infer from existing studies what are the optimal red-edge indices for distinct growth stages.

The objectives of this study are to: (1) compare the performances of red-edge bands centered at different wavelengths at early jointing, late jointing, head emergence, filling, and milk development stages of winter wheat; (2) evaluate the estimation ability of indices for both LAI and CCD; (3) select the optimal vegetation index for variables at key growth stages using both hyperspectral and Sentinel-2 images.

#### 2. Study area and data acquisition

Winter wheat (*Triticum aestivum* L.), one of the main food crops that provides ample gluten protein to feed people, is the research object in this study. Accurate monitoring of the winter wheat growth condition helps to adjust the cultivation strategies and to increase production. We conducted field experiments at Shunyi District, Beijing, China (116°31′10″ E to 116°53′26″ E, 40°8′2″ N to 40°14′0″ N) as shown in Figure 1. The Shunyi experiment site is a continuous winter wheat farmland for more than three years covered with silt-clay loam soil. The average temperature is 13.8 °C with annual rainfall of 507.7 mm. Winter wheat in Shunyi District was planted in October and harvested in late May of the next year. Field measurements were conducted in 2016 at five main growth stages of winter wheat: early jointing stage (April 7<sup>th</sup> – 8<sup>th</sup>), late jointing stage (April 20<sup>th</sup> –21<sup>st</sup>), head emergence stage (May 3<sup>rd</sup> –5<sup>th</sup>), filling stage (May 18<sup>th</sup> –19<sup>th</sup>), and milky development stage (June 6<sup>th</sup>-8<sup>th</sup>), to collect LAI, leaf chlorophyll content, and canopy reflectance. Twenty-four sampling units of 30 m × 30 m that evenly distributed in the field

with different winter wheat cultivars were selected, as shown in Figure 1. For each sampling unit, a 1 m  $\times$  1 m area was selected as the representative for sample collection. Thus, there are 24 samples for each growth stage.

#### 2.1. Field data collection and processing

LAI measurements were collected by LAI-2200 plant canopy analyzer (LI-COR Biosciences, Inc., Lincoln, NE, USA). The LAI measurements were conducted during the daytime in bright sunny days. To minimize the measuring error, a 45° cap was used to avoid direct sunlight. To note that LAI measurements by LAI 2200 are effective LAI values (Chen, 1996). The final LAI value for each sampling unit was a statistical mean of 15 observations which includes five data readings (one A value above the canopy and four B values under the canopy with the same measuring heights) and two replications. The data for different growth stages were analyzed respectively for subsequent analysis.

There are two accepted units for chlorophyll content which are leaf chlorophyll concentration (LCC, % or q/q) and leaf chlorophyll density (LCD,  $\mu q/cm^2$ ). Research showed that remote sensing of leaf pigment should be performed on an area basis (Kattenborn et al., 2019). Besides, chlorophyll on canopy scale indicates more than leaf scale. Thus, the concept of chlorophyll density is used in this study. LCC measurements were conducted in the laboratory using winter wheat leaf samples with 1  $m^2$  guadrat in each sampling units. Two circular leaf parts with an area of 0.25 cm<sup>2</sup> were collected. One part was dried in the oven at 70°C for 48 h to obtain the determination of dry weight, while the other part was put into 10 ml of 80% acetone after measuring the fresh weight. Then another 15 ml of acetone were added, and there was a total of 25 ml in each tube. The mixture for each sample was filtered and placed in a cuvette. After storing in the dark at 25°C for 48 h, their absorbance at 470 nm, 646 nm and 663 nm were measured using an L6 ultraviolet-visible spectrophotometer (INESA, China). The calculation procedures of chlorophyll were based on the standard methods and equations (Zhou et al., 2017). To obtain chlorophyll based on area, the derived chlorophyll contents were multiplied by the corresponding leaf fresh weight and then divided by leaf area. Canopy chlorophyll density  $(CCD, \mu q/cm^2)$  was calculated as the multiplication of LCD with corresponding LAI for each sampling units (Broge & Mortensen, 2002).

The statistical analysis of measured winter wheat LCD, LAI, and CCD at five growth stages in 2016 shows that the data range varied across different growth stages, as can be seen in Table 1. The statistical values of LCD and LAI showed similar but slightly different trends for five stages. They both increased from early jointing stage and peaked at head emergence to filling stage and then decreased. The maximum value of LAI appeared earlier than LCD. The CCD values displayed the identical variation with its component LCD and LAI.

#### 2.2. Canopy spectra measurements and processing

For each sampling unit, canopy reflectance measurements were done within a 1 m  $\times$  1 m area of winter wheat using an ASD FieldSpec spectrometer (Analytical Spectral Devices, Inc., Boulder, CO, USA). The canopy spectra were collected under clear, blue-sky conditions between 10:00 and 14:00 local time. The spectrometer obtains reflectance from 350

to 1000 nm with a spectral resolution of 3 nm, and 10 nm resolution within the 1000–2500 nm spectral regions. Measurements were conducted from a nadir position at the height of 1.3 m above the ground and averaged by 10 scans. Reflectance was calibrated both before and after canopy measurements by a  $0.4 \text{ m} \times 0.4 \text{ m}$  white reference panel. In this study, reflectance between 400 nm and 1200 nm were used because of their sensitiveness and radiometric responses to vegetation variables (Boissard, Pointel, & Huet, 1993; Broge & Leblanc, 2001).

# 2.3. Sentinel-2 data acquisition and processing

Sentinel-2 satellites are part of Global Monitoring for Environment and Security (GMES) programme of the European Space Agency (ESA), including two sensors, Sentinel-2A and Sentinel-2B. The spatial resolution varies from 10 m to 60 m according to specific spectral bands. The satellite has 13 spectral bands ranging from the visible and near-infrared to the short-wave infrared including multiple red-edge bands which have been used widely. It has been used in agriculture widely and outperformed existing satellites with several red-edge bands (Dash & Curran, 2004; Gitelson, Keydan, & Merzlyak, 2006). Remote sensing images were acquired on five growth stages within three days of field measurements (April 8<sup>th</sup>, April 20<sup>th</sup>, May 3<sup>rd</sup>, May 18<sup>th</sup>, and June 9). We downloaded Sentinel-2 images taken on April 10<sup>th</sup>, April 23<sup>rd</sup>, May 3<sup>rd</sup>, May 14<sup>th</sup>, and June 9<sup>th</sup>, corresponding to the field campaigns at five growth stages. Spectral bands at 20 m spatial resolution were used and related to sampling units of 30 m × 30 m. Atmospheric correction was conducted using sen2cor plugin provided by http://step.esa.int/main/third-party-plugins-2/sen2cor. The details of sentinel-2 bands as shown in Table 2. Band 5 and band 6 are used as red-edge bands in this study.

# 3. Methods

# 3.1. Calculation of VIs

Spectral vegetation indices with various forms have been developed to estimate vegetation variables over a range of species under a variety of conditions. Some indices were created to estimate the specific vegetation parameter and others are related to many parameters due to their sensitiveness to reflectance. The estimation abilities of indices have been comprehensively analyzed and discussed in previous literature (Dong et al., 2019; Vincini, Amaducci, & Frazzi, 2014). Three types of indices were selected based on previous research in this study. Their modifications with rededge bands were also calculated. Spectral band 5, band 6 and band 7 of Sentinel-2 sensors are regarded as vegetation red-edge bands in certain studies (Delegido et al., 2011; Forkuor et al., 2018; Roy, Li, & Zhang, 2017). Considering the range of red-edge between 680 nm and 750 nm and the wavelengths of red-edge inflection point for winter wheat (Horler, 1983b), spectral band 5 with center wavelength of 705 nm and band 6 with center wavelength of 740 nm were used to modify the indices in this study (Clevers & Gitelson, 2013). The indices used in this study and the modified versions with red-edge are shown in Table 3.

		Sentinel-2 bands	Hyperspectral bands	
Index	Formulation	used	used	Reference
NDVI	<u>NIR-R</u> NIR-R	B7, B4	NIR: 783 nm, R: 665 nm	(Rouse et al., 1974)
NDVI <sub>re1</sub>	<u>NIR-re1</u> NIR+re1	B7, B5	NIR: 783 nm,	(Barnes et al., 2000)
NDVI <sub>re2</sub>	<u>NIR-re2</u> NIR+re2	B7, B6	re: 705 nm,720 nm, 740 nm	Revised index
Maac01	$\frac{R_{780} - R_{710}}{R_{780} - R_{680}}$	B7, B5,B4	780 nm, 710 nm, 680 nm	(Croft et al., 2014)
OSAVI	$(1+L) * \frac{NR-R}{NR+R+L}, L = 0.16$	B7, B4	NIR: 783 nm, R: 665 nm	(Rondeaux & Baret, 1996)
OSAVI <sub>re1</sub>	$(1+L) \times \frac{NIR-re1}{NIR+re1+L}, L = 0.16$	B7, B5	NIR: 783 nm,	Revised index
OSAVI <sub>re2</sub>		B7, B6	re: 705 nm, 720 nm, 740 nm	
Cl <sub>green</sub>	NIR Green — 1	B7, B3	NIR:783 nm, Green:550 nm	(Gitelson et al., 2003, Gitelson et al., 2006)
Cl <sub>red</sub>	$\frac{NIR}{R} - 1$	B7, B4	NIR: 783 nm, R:665 nm	(Gitelson et al., 2003, Gitelson et al., 2006)
CI <sub>re1</sub>	$\frac{NIR}{re1} - 1$	B7, B5	NIR: 783 nm, re: 705 nm,	(Gitelson et al., 2003, Gitelson et al., 2006)
Cl <sub>re2</sub>	$\frac{NIR}{re2} - 1$	B7, B6	720 nm,740 nm	Revised index
MTCI <sub>re1</sub>	NIR-re1 re1-Red	B7, B5, B4	NIR: 783 nm,	(Dash & Curran, 2004)
MTCI <sub>re2</sub>	<u>NIR-re2</u> re2-Red	B7, B6, B4	re: 705 nm, 720 nm, 740 nm	(Dash & Curran, 2004)
MCARI/OSAVI [670,800]	$\frac{[(R_{700}-R_{670})-0.2\times(R_{700}-R_{550})](R_{700}/R_{670})}{(1\!+\!0.16)(R_{800}-R_{670})/(R_{800}+R_{670}\!+\!0.16)}$	B7, B5, B4, B3	As equations	(Wu et al., 2008)
MCARI/OSAVI [705,750]	$\frac{[(R_{750}-R_{705})-0.2\times(R_{750}-R_{550})](R_{750}/R_{705})}{(1\!+\!0.16)(R_{750}-R_{705})/(R_{750}+R_{705}\!+\!0.16)}$	B6, B5, B3	As equations	(Wu et al., 2008)
TCARI/OSAVI [670,800]	$\frac{3[(\textit{R}_{700}-\textit{R}_{670})-0.2\times(\textit{R}_{700}-\textit{R}_{550})(\textit{R}_{700}/\textit{R}_{670})]}{(1{+}0.16)(\textit{R}_{800}-\textit{R}_{670})/(\textit{R}_{800}+\textit{R}_{670}{+}0.16)}$	B7, B5, B4, B3	As equations	(Wu et al., 2008)
TCARI/OSAVI [705.750]	$\frac{3[(\textit{R}_{750}-\textit{R}_{705})-0.2\times(\textit{R}_{750}-\textit{R}_{550})(\textit{R}_{750}/\textit{R}_{705})]}{(1\!+\!0.16)(\textit{R}_{750}-\textit{R}_{705})/(\textit{R}_{750}+\textit{R}_{705}\!+\!0.16)}$	B6, B5, B3	As equations	(Wu et al., 2008)

Table 3. The list of vegetation indices used in this study.

Note: re1 indicates Sentinel-2 Band 5; re2 indicates Sentinel-2 Band 6. VIre1 indicates VI modified with re1; VIre2 indicates VI modified with re2. For hyperspectral data, re indicates red-edge bands include 705 nm, 720 nm, and 740 nm, separately.

#### 3.1.1. Indices of normalized difference that are sensitive to LAI

Normalized difference vegetation index (NDVI) (Rouse et al., 1974), as a ubiquitous vegetation index in vegetation remote sensing, has been widely used in vegetation remote sensing due to its correlation with foliage density. It is an essential cornerstone for vegetation parameter retrieval. The contrast between red and near-infrared region makes it sensitive to chlorophyll pigment and leaf cellular structure while gets saturated under high vegetation cover or multi-layered canopy(Wu et al., 2008). Optimized soil-adjusted vegetation index (SAVI) based on the form of ratio indices. It belongs to the optimized soil-adjusted index (SAVI) family which include soil-line parameters and aim to minimize influences from surface characteristics, soil background and atmospheric effects (Rondeaux et al., 1996). OSAVI increase the response to LAI or foliage cover and decreasing the variabilities due to soil with the optimum adjusting factor of 0.16.

Macc01, as a modification of NDVI, was created to model the spatial and temporal variations of LAI and chlorophyll during the whole growing season (Croft et al., 2014). It was originally applied for broadleaf forest and performed a strong relationship with chlorophyll content at the canopy scale. The index also based on the reflectance among the red-edge region.

#### 3.1.2 Indices sensitive to chlorophyll

Green chlorophyll index ( $CI_{green}$ ) and red chlorophyll index ( $CI_{red}$ ) were derived from the research basis that reciprocal reflectance from 520 to 550 nm and 695 to 705 nm related

closely to the total chlorophyll content in leaves of all species (Gitelson, Gritz, & Merzlyak, 2003; Gitelson et al., 2006).

The MERIS terrestrial chlorophyll index (MTCI) was developed according to the high correlation between red-edge position (REP) and chlorophyll content (Dash & Curran, 2004). It is capable of model spectra, field spectra and MERIS data. The index was correlated strongly with REP but not as sensitive to high chlorophyll content values as REP.

# 3.1.3 Indices of integrated form

The merits and defects of existed indices are obvious. For instance, NDVI was used to estimate vegetation parameters but it got saturated under high foliage cover. Furthermore, the usage of indices is limit. They might be able to retrieve one parameter accurately but might be resistant to others. Thus, new indices assemble two or more existed indices were developed to take advantage of strength from multiple indices and overcome the weakness. The combination of MCARI and OSAVI, TCARI and OSAVI were discussed in the study.

Modified chlorophyll absorption ratio index (MCARI) (Daughtry et al., 2000) and transformed chlorophyll absorption ratio index (TCARI) (Haboudane et al., 2002) were created to measure the light absorption by chlorophyll pigment with three spectral bands. They are both modifications of chlorophyll absorption ratio index (CARI) which aimed to minimize the effects from non-photosynthetic materials for the vegetation canopy APAR estimation (Kim et al., 1994). Research proved that MCARI combined with soil line vegetation index can reduce the soil reflectance properties (Daughtry et al., 2000; Wu et al., 2008). Thus, the integrated indices, MCARI/OSAVI and TCARI/OSAVI are used in this study.

# 3.2. LAI and CCD estimation and validation

The best-fit models between vegetation parameters and VIs at five growth stages were built and further used as estimation models. Five kinds of regression including linear, 2<sup>nd</sup> exponential, 3<sup>rd</sup> exponential, exponential, and logarithmic regression were used to select the best-fit models. The k-fold (k = 4) cross-validation was used to evaluate the results. In k-fold cross-validation, all the data were partitioned into k equal sized data sets randomly. One data set is retained as a validation set to test the model and the other k-1 sets were used as training sets. The process was repeated k times to make sure each data sets will be used exactly once for a validation. The benefit of cross-validation is that all the observations will be participated in training and validation process. Each data set will be used as training set for only once. Biased estimations derived from single dataset can be avoided. The performances of VIs were evaluated and compared using coefficient of determination (R<sup>2</sup>), root-mean-square error (RMSE), and bias (Richter, Atzberger, Hank, & Mauser, 2012). The  $R^2$  indicates the proportion of the variance that can be explained by the model. The RMSE indicates the errors that are associated with the model. The bias indicates whether the models overestimate or underestimate the vegetation parameters. The P-value was computed by transforming the correlation to t-statistics as an indicator for significance test. For each sought variable at different growth stages, the VI that yielded the high  $R^2$ , low RMSE and low bias was considered a good retrieval index. To avoid the uncertainties

from limited red-edge bands of Sentinel-2 data, indices computed with more red-edge spectral wavelengths of hyperspectral data were also used to further figure out the red-edge shift at different growth stages. The estimation models were validated using both Sentinel-2 data and hyperspectral data.

#### 4. Results

#### 4.1. Estimation models using Sentinel-2 data

Relationships between sought variables and VIs calculated from Sentinel-2 images were established to estimate vegetation parameters. To better analyze the performances of indices, we regard spectral indices calculated with the same form and different wavelengths as one index class. For instance, NDVI, NDVI<sub>re1</sub>, NDVI<sub>re2</sub>, and Maac01 all utilized the contrast between red and NIR bands and they are classified into one index class.

As shown in Figure 2 and Table 4, the R<sup>2</sup> and RMSE of LAI and CCD varied at different growth stages. The R<sup>2</sup> values of LAI estimation are higher at early jointing stage and milk development stage than the three middle stages which are late jointing stage, head emergence stage, and filling stage. According to the statistical analysis of LAI in Table 1, LAI value reached the peak at head emergence stage. However, the R<sup>2</sup> are relatively low and the RMSE are high at head emergence stage. It can be contributed to the saturation effect when LAI is larger than 3 (Heiskanen et al., 2012). The variation trend also applies to indices for CCD estimation. Most red-edge modified indices performed better than their counterparts without red-edge bands over the five growth stages. Furthermore, red-edge modified indices are more apparent at early jointing, head emergence and filling stage than late jointing and milk development stage. The indices at different growth stages varied markedly.

At early jointing stage,  $VI_{re1}$  (indices modified with Sentinel-2 Band 5) have better estimation than  $VI_{re2}$  (indices modified with Sentinel-2 Band 6) and traditional indices. The  $R^2$  between vegetation parameters and the same VI are similar. NDVI<sub>re1</sub>, OSAVI<sub>re1</sub>, and CI<sub>re1</sub> showed better estimation for both LAI and CCD and they are much better than their counterparts with higher  $R^2$  and lower RMSE (as shown in Figure 2 and Table 4). At this stage, the vegetation cover is low and sparse leaves hardly cover the soil background. In this way, sensors collect more soil information than plant information. The indices modified with wavelengths that are sensitive to pigment or leaf structure can't play their roles.

At late jointing stage, there is no significant difference between red-edge modified indices and traditional indices, nor obvious gap between indices with short red-edge wavelengths and those with long red-edge wavelengths. Indices performed relatively low R<sup>2</sup> with both parameters and RMSE for all indices increased. The CCD estimation is slightly better than of LAI estimation.

At head emergence stage,  $VI_{re2}$  exhibited noticeably better estimation than that of  $VI_{re1}$ ;  $VI_{re1}$  performed higher R<sup>2</sup> than normal VI. The R<sup>2</sup> values are still low and RMSE values keep rising, though. Leaves keep growing larger and thicker and spikes appear at this stage. Different from late jointing stage, the estimation of LAI is better than that of CCD. For each index class, red-edge indices with longer wavelength have better performances

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Figure 2. Correlation results between LAI, CCD and VI calculated from Sentinel-2 data.

than those with shorter wavelength and then followed by indices without red-edge bands. MCARI/OSAVI[670,800] performed the best estimation for LAI.

At filling stage, VI<sub>re2</sub> showed noticeably better estimation results than VI<sub>re1</sub>. The difference between indices with short red-edge bands and indices with long red-edge bands is obvious. The R<sup>2</sup> ranking for each index class is VI<sub>re2</sub>, VI<sub>re1</sub>, and normal indices. The RMSE arranged from small to large for index class is VI<sub>re2</sub>, VI<sub>re1</sub>, and normal indices. This variation is similar to head emergence stage. What different from head emergence stage is that the indices showed better estimation for CCD than LAI.

At milk development stage, the performances of indices are similar with those at early jointing stage. VI<sub>re1</sub> outperformed VI<sub>re2</sub> with higher R<sup>2</sup> and lower RMSE values. Compared

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	Index	EARLY JOINTING	Late jointing	Head emergence	Filling	Milk development
LAI	NDVI	0.394	0.939	1.213	0.824**	0.680*
	NDVI <sub>re1</sub>	0.308**	0.937	1.172	0.955	0.689*
	NDVI <sub>re2</sub>	0.391*	0.936	1.099*	0.938	0.737*
	Maac01	0.467	0.909*	1.224	1.000	0.648*
	OSAVI	0.357*	0.926*	1.191	1.059	0.646*
	OSAVI <sub>re1</sub>	0.326**	0.933	1.177	0.989	0.695*
	OSAVI <sub>re2</sub>	0.401*	0.927	1.107*	0.953	0.738
	Clareen	0.362	0.887*	1.217	0.862*	0.713*
	Cl <sub>red</sub>	0.303**	0.924	1.167	0.976	0.688*
	Cl <sub>re1</sub>	0.303**	0.924	1.167	0.976	0.688*
	Cl <sub>re2</sub>	0.391*	0.935	1.099*	0.937	0.738
	MTCI <sub>re1</sub>	0.462	0.920*	1.221	0.975	0.639*
	MTCI <sub>re2</sub>	0.431	0.942	1.110*	0.931	0.748
	MCARI/OSAVI[670,800]	0.469	0.910*	1.094*	0.999	0.581**
	MCARI/OSAVI[705,750]	0.359**	0.921	1.232	0.960	0.747
	TCARI/OSAVI[670,800]	0.474	0.905*	1.092*	0.971	0.623**
	TCARI/OSAVI[705,750]	0.373**	0.968	1.113	1.019	0.689*
CCD	NDVI	21.724	46.852*	90.226	92.961*	61.286**
	NDVI <sub>re1</sub>	17.589**	45.811*	89.040	85.885*	58.326***
	NDVI <sub>re2</sub>	20.319**	45.414*	82.642*	91.432*	67.033**
	Maac01	25.208	46.253*	88.696	97.349	49.486***
	OSAVI	20.232	46.045*	89.298	101.209	59.439**
	OSAVI <sub>re1</sub>	19.078**	45.533*	88.423	91.534*	58.333***
	OSAVI <sub>re2</sub>	21.054*	44.966*	83.056*	92.743*	66.517**
	Clareen	20.025*	44.113**	90.801	87.455**	66.709**
	Cl <sub>red</sub>	17.530**	45.193*	89.003	85.864*	57.462***
	Cl <sub>re1</sub>	17.530**	45.193*	89.003	85.864*	57.462***
	Cl <sub>re2</sub>	20.326*	45.427*	82.697*	91.511*	67.038**
	MTCI <sub>re1</sub>	24.928	46.679*	89.353	96.695*	49.380***
	MTCI <sub>re2</sub>	24.392	47.519*	82.516*	93.295*	77.202
	MCARI/OSAVI[670,800]	26.044	46.981*	83.405*	105.280	52.698***
	MCARI/OSAVI[705,750]	20.022*	45.690*	89.878	91.586*	58.452***
	TCARI/OSAVI[670,800]	25.703	44.504*	83.145	91.871*	50.477***
	TCARI/OSAVI[705,750]	21.340*	48.773*	82.918*	90.989*	82.050*

Table 4. RMSE and p-value between vegetation indicese and parameters with Sentinel-2 data

Note: \*, \*\* and \*\*\* indicates the correlation is significant at the 0.950 confidence level, 0.990 confidence level and 0.999 confidence level, respectively.

with head emergence stage and filling stage, there is an increase in R<sup>2</sup> and a decrease in RMSE. The estimation of CCD is slightly better than that of LAI. It is obvious for Maac01 and MTCI<sub>re1</sub>. MCARI/OSAVI[670,800] is suitable for both LAI and CCD estimation. This can be attributed to more yellow and senescent leaves which leads to a decrease of chlorophyll content and an increase of brown pigment at this stage.

The finding on red-edge indices is consistent with previous result which indicates the REIP (red-edge inflection point) shifts toward shorter wavelengths are related to a decrease in green foliage cover, while the REIP shifts toward longer wavelengths are related to an increase in green vegetation density (Broge & Mortensen, 2002). Besides, the optimal vegetation index for each growth stage is distinct. The estimation for LAI and CCD showed similar trends but with slight difference for five growth stages. In general, NDVI and OSAVI are more capable of LAI estimation while CI, MTCI and the integrated indices TCARI/OSAVI and MCARI/OSAVI behaved better at late four growth stages. They took advantages of both chlorophyll absorption ratio index and soil-adjust vegetation index but displayed low correlation at early jointing stage. The estimation of CCD is better than that of LAI other than head emergence stage. This is consistent with the previous results that they are sensitive to chlorophyll content while resistant to LAI variations (Haboudane

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Figure 3. Correlation results between LAI, CCD and VI calculated from hyperspectral data.

et al., 2002). Generally, indices for LAI estimation showed good estimation for CCD, too. Indices aimed to retrieve CCD don't relate to LAI well. This can be attributed to that LAI plays a strong impact on the relationships between indices and chlorophyll content (Haboudane et al., 2002). While combining the LAI and chlorophyll content in CCD, the chlorophyll indices still work on CCD but are resistant to LAI. The highest R<sup>2</sup> with LAI were performed by NDVI<sub>re1</sub>, CI<sub>green</sub>, MCARI/OSAVI[670,800], NDVI, and MCARI/OSAVI[670,800] for five stages, respectively. NDVIre1, Clareen, TCARI/OSAVI[705,750], NDVIre2, and Maac01 showed the highest  $R^2$  with CCD at five growth stages.

# 4.2. Estimation models using hyperspectral data

In comparison with broad-band VIs, narrow-band VIs computed from hyperspectral data with higher spectral resolution may provide additional information for quantifying vegetation parameters. Two red-edge bands of Sentinel-2 sensors centred at 704 nm and 740 nm with moderate resolution were analyzed in section A. To further investigate the ability of red-edge bands with narrow wavelengths for vegetation

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	Index	Early jointing	Late jointing	Head emergence	Filling	Milk development
LAI	NDVI	0.421	0.796**	1.124*	0.826**	0.717*
	NDVI <sub>705</sub>	0.419	0.741**	1.065**	0.840**	0.730
	NDVI <sub>720</sub>	0.420	0.736**	1.051*	0.798**	0.734
	NDVI <sub>740</sub>	0.427	0.722*	1.011**	0.627***	0.713
	Maac01	0.388	0.721*	1.008**	0.790**	0.702
	OSAVI	0.427	0.851*	0.982*	0.639***	0.701*
	OSAVI <sub>705</sub>	0.425	0.798*	0.978*	0.691***	0.709*
	OSAVI720	0.421	0.758**	0.993**	0.722**	0.726
	OSAVI <sub>740</sub>	0.424	0.721**	0.983**	0.622***	0.713
	Clareen	0.431	0.755**	1.086*	0.836**	0.787
	Cl <sub>red</sub>	0.433	0.729**	1.062*	0.835**	0.770
	CI <sub>705</sub>	0.423	0.728**	1.065*	0.837**	0.770
	CI <sub>720</sub>	0.418	0.734**	1.047*	0.795**	0.751
	CI <sub>740</sub>	0.427	0.718**	1.010**	0.623***	0.717
	MTCI <sub>705</sub>	0.373*	0.716**	1.003**	0.812**	0.724
	MTCI <sub>720</sub>	0.395	0.728**	1.019**	0.758**	0.705
	MTCI <sub>740</sub>	0.432	0.705**	0.996**	0.594***	0.735
	MCARI/OSAVI[670,800]	0.416	0.981	1.265	0.982	0.791
	MCARI/OSAVI[705,750]	0.431	0.764**	1.001*	0.764**	0.736
	TCARI/OSAVI[670,800]	0.427	0.785*	1.140	0.973**	0.774
	TCARI/OSAVI[705,750]	0.426	0.732**	1.052*	0.844**	0.736
CCD	NDVI	24.105	41.269**	86.425	82.143**	78.019*
	NDVI <sub>705</sub>	23.069	38.054**	84.376	80.228**	75.609*
	NDVI <sub>720</sub>	22.362	38.641**	83.610*	74.479***	74.236
	NDVI <sub>740</sub>	23.053	39.287**	81.296*	65.190***	73.461*
	Maac01	19.410*	37.963**	78.734*	71.752***	70.391*
	OSAVI	24.664	44.660**	82.272	57.631***	76.867*
	OSAVI <sub>705</sub>	24.052	42.262**	80.701*	58.364***	75.039*
	OSAVI <sub>720</sub>	23.178	40.752**	80.663*	65.789***	74.049*
	OSAVI <sub>740</sub>	23.136	39.361**	80.026*	62.428***	73.034*
	Clareen	23.631	39.698**	86.496	79.834**	80.173
	Cl <sub>red</sub>	23.745	37.528**	84.560	80.054**	77.572
	Cl <sub>705</sub>	23.194	37.454**	84.816	80.418**	77.721
	CI <sub>720</sub>	22.320	38.483**	83.225*	74.404***	75.216*
	CI <sub>740</sub>	23.085	39.087**	81.112*	65.064***	73.531*
	MTCI <sub>705</sub>	19.604	37.438	78.231*	73.499***	72.303*
	MTCI <sub>720</sub>	20.859	39.112**	80.124*	70.156***	71.624*
	MTCI <sub>740</sub>	23.333	38.745**	79.718*	63.380***	73.249*
	MCARI/OSAVI[670,800]	21.880	52.912	90.503	91.929**	82.922
	MCARI/OSAVI[705,750]	24.606	39.568**	81.649*	55.910***	77.392*
	TCARI/OSAVI[670,800]	23.149	41.314**	88.291	91.947**	77.738*
	TCARI/OSAVI[705,750]	23.314	37.176**	84.447	79.183**	77.701

Table 5. RMSE and p-value between vegetation indices and parameters with hyperspectral data.

Note: \*, \*\* and \*\*\* indicates the correlation is significant at the 0.950 confidence level, 0.990 confidence level and 0.999 confidence level, respectively.

parameter estimation and the optimal red-edge bands at five growth stages, we analyzed the performances of vegetation indices with hyperspectral data. To avoid the uncertainty derived from merely two red-edge bands, we modified indices with red-edge region wavelengths at 705 nm, 720 nm and 740 nm. Total 21 vegetation indices were calculated and their performances in parameter retrieval were analyzed (as shown in Figure 3 and Table 5). The variation of indices at different growth stages is more regular, compared with Sentinel-2 indices.

It is worth noticing that the estimation results derived from hyperspectral data for different growth stages are similar with that from Sentinel-2 data. For the indices with various wavelengths, the results consist with the conclusions in section A that the rededge indices outperformed the normal ones under the most circumstances. The 14 🛛 N. XING ET AL.

performances of red-edge indices for different growth stages varied. For early jointing stage, indices with wavelength center at 705 nm are better than those using 720 nm, 740 nm and traditional indices; the difference between red-edge wavelengths for each index class is not clear at late jointing stage; but for head emergence and filling stage, indices centered at 740 nm are quite better than other counterparts. The R<sup>2</sup> can be ranked as VI<sub>740</sub>, VI<sub>720</sub>, VI<sub>720</sub>, and traditional indices. The indices with longer red-edge bands also performed the lower RMSE. When coming to the milk development stage, indices with shorter red-edge wavelength showed better estimation. These results are consistent with what we get from section A. The R<sup>2</sup> increased from early jointing stage at low values, and peaked at filling stage. Then the R<sup>2</sup> decreased to milk development stage. For RMSE, the variation kept pace with R<sup>2</sup>. The RMSE value increased from early jointing stage to head emergence stage and then decreased to milk development stage. Indices showed better estimation for LAI and CCD at late jointing stage, filling stage, and milk development stage with higher R<sup>2</sup>, lower RMSE, and p-value at higher significant level. The soil background has huge impact on canopy spectra at early growth stages.

For early jointing stage, there are sparse and young leaves above the soil ground. The dominant information can be soil background and dead leaves from the last year. The indices showed nearly equal performances with similar R<sup>2</sup> and RMSE. The correlations between indices and parameters are relatively low but the OSAVI family showed good estimation. NDVI and its revisions are also able to estimate LAI and CCD at low foliage cover.

Situation changed at head emergence stage, the red-edge advantages is not obvious for OSAVI class but clear for NDVI class and CI class. The R<sup>2</sup> slightly decreased and the RMSE increased at head emergence stage. The comparison between indices can be seen and OSAVI families performed better estimation for both CCD and LAI. The R<sup>2</sup> of indices came up prominently at filling stage while there is a decrease in RMSE for most indices.  $Cl_{740}$  showed a highest R<sup>2</sup> value of 0.668 and RMSE value of 0.623 m<sup>2</sup>/m<sup>2</sup> for LAI estimation and OSAVI performed with the highest R<sup>2</sup> value of 0.719 and RMSE value of 57.631  $\mu$ g/cm<sup>2</sup> for CCD. The estimation for CCD is better than LAI. The optimal vegetation index for LAI retrieval at five stages are MCARI/OSAVI[705,750], CI740, OSAVI740, CI740, OSAVI, respectively. From the perspective of optimal indices at five stages, the 740 nm is useful for LAI retrieval. The optimal vegetation index of CCD retrieval are MCARI/OSAVI [705,750], Maac01, OSAVI<sub>740</sub>, OSAVI and Maac01 for five growth stages. The estimation of CCD is better than LAI at early jointing, filling and milk development stages. The contrast can be readily observed at milk development stage. Most leaves turn yellow from green at this stage. Spikes take up the most information in the field and leaves are underneath. Indices of normalized difference and of soil-adjust are sensitive to both LAI and CCD. While indices of chlorophyll and integrated forms are more suitable for CCD. The integrated indices showed pretty poor estimation with both parameters. Compared to results from section A, they were not as good as those with multispectral data. They behaved better for early jointing stage and worse for the other four stages, especially at late jointing and head emergence stage. Even R<sup>2</sup> were low, the integrated indices correlated better with CCD than LAI. Most indices correlated with parameters very well with the highest  $R^2$  at the filling stage.

The estimation of variables with broad-band indices and narrow-band indices have been studied before (Broge & Leblanc, 2001; Elvidge & Chen, 1995). Although the defined



**Figure 4.** Scatterplots of estimated vegetation parameters versus measured vegetation parameters for spectral indices with Sentinel-2 data and hyperspectral data. Red solid lines indicate 1:1 lines. The five rows from up to bottom indicate the validation results at early jointing stage, late jointing stage, head emergence stage, filling stage and milk development stage, separately. The four columns from left to right indicate the vegetation parameters as Sentinel-2 LAI, Sentinel-2 CCD, hyperspectral LAI and hyperspectral CCD.

equations are the same, the calculated values can be different. The RMSE of CCD from hyperspectral and multispectral data didn't fluctuate much but vary a lot for LAI. Hyperspectral RMSE values are lower at late jointing, head emergence, and filling stages but higher at early jointing and milk development stages than multispectral RMSE values. The indices derived from multispectral data can contain more reflectance from more wavelengths while hyperspectral data are susceptible to canopy variation in narrow bands.

## 5. Validation

The estimation models were validated with Sentinel-2 satellite data and hyperspectral data for LAI and CCD with linear regression. The indices were calculated from their best fit models in Section A and Section B. The optimal indices at different growth stages were shown in Figure 4. The best fit indices and models for distinct growth stages were derived from the results in Section 7 evaluated by R<sup>2</sup> and RMSE. The illustrations of the validation results are shown in Figure 4, the R<sup>2</sup> of LAI and CCD are similar at five growth stages. They are consistent with results from 4.1. In general, the indices with shorter red-edge wavelengths are suitable for variables retrieval at early jointing stage and milk development stage. Indices with longer red-edge wavelengths are better for head emergence and filling stage.

Part of the validation results are consistent with estimation models. Indices with shorter red-edge bands are better at early jointing stage for both multispectral and hyperspectral data and milk development stage. Nevertheless, some of the results can be different from what we got from previous sections. Indices without red-edge bands as NDVI and OSAVI can exhibit the best performance. The best retrieval of LAI appeared at early jointing stage. NDVI is suitable for LAI retrieval especially for low forage cover and shorter red-edge wavelength shows better estimation for early jointing stage. There are some scatterings to the 1:1 line at the other four growth stages. The retrieval for CCD at five stages showed better estimation than that for LAI and the best accuracy is at milk development stage with the R<sup>2</sup> value of 0.845. The dispersion to 1:1 line is less but the parameter values at head emergence and filling stages were underestimated when CCD gets larger. The best indices for early jointing and milk development stage are with shorter red-edge wavelengths which are consistent with conclusions in section 4.1.

Overall, the optimal indices for Sentinel-2 LAI retrieval at five stages are NDVIre1, Maac01, Maac01, NDVI, and TCARI/OSAVI[670,800] in phenological order. The optimal indices for CCD are different from LAI retrieval which are Cl<sub>re1</sub> for early jointing stage, TCARI/OSAVI[670,800] for late jointing stage, TCARI/OSAVI[705,750] for head emergence stage, Cl<sub>re1</sub> for filling stage, and MTCl<sub>re1</sub> for milk development stage. The results demonstrated that NDVI, Maac01 are better at LAI retrieval and CI with Sentinel-2 data, MTCI performed better for CCD retrieval. The integrated index form of TCARI/OSAVI can be capable for both LAI and CCD. The best indices for hyperspectral LAI retrieval are TCARI/ OSAVI[670,800] for early jointing stage, TCARI/OSAVI[705,750] for late jointing stage, OSAVI740 for head emergence stage, OSAVI740 for filling stage, and OSAVI for milk development stage. The best indices for hyperspectral CCD retrieval are TCARI/OSAVI[670,800] for early jointing stage, Cl<sub>720</sub> for late jointing stage, Cl<sub>green</sub> for head emergence stage, MCARI<sub>705</sub> for filling stage and TCARI/OSAVI[670,800] for milk development stage, respectively. Generally, hyperspectral indices performed higher R<sup>2</sup> at late jointing stage, head emergence stage, and filling stage, compared with multispectral indices. The advantage of multispectral data showed at early jointing stage and milk development stage. MCARI<sub>705</sub> has a significant linear trend with CCD at filling stage. The optimal hyperspectral indices for LAI estimation at late jointing stage, head emergence stage and filling stage are with longer red-edge bands but indices without red-edge bands are better at early jointing stage and milk development stage.

The relationships of these indices with LAI and CCD were somehow divergent at late jointing stage, head emergence stage and filling stage.

# 6. Discussion

Both multispectral and hyperspectral data were utilized to establish the estimation models at different growth stages in this study. The performances of red-edge indices varied with different growth stages for both Sentinel-2 data and hyperspectral data. We analyzed the red-edge movement with Sentinel-2 Band 5 and Band 6 at first. For early growth stages, VI<sub>re1</sub> showed better estimation for both LAI and CCD; when crops grow to head emergence and filling stage,VI<sub>re2</sub> outperformed other indices; and finally, VI<sub>re1</sub> established better correlation with vegetation parameters at milk development stage. Furthermore, we took advantage of fine spectral resolution of hyperspectral bands at 705 nm, 720 nm, and 740 nm to analyze the red-edge movement. The 720 nm is a supplement between merely two red-edge bands from Sentinel-2. It turned out that at early jointing stage, the performances ranked as VI<sub>705</sub>, VI<sub>720</sub>, VI<sub>740</sub>, and normal indices. At head emergence and filling stage, the rank is in reverse which is VI740 is better than VI720, VI705, and normal indices. When coming to milk development stage, the performances are same as early jointing stage. The variation can be attributed to the shift of red-edge inflection point caused by the growth status of crop plant at different development stages. Studies showed that with the increase of nitrogen content or development stage, red-edge inflection point moves to longer wavelength. Once the leaves turn yellow or withered it moves back to shorter wavelength(Horler, 1983b). Foliage cover at early jointing and milk development stages can be relatively low. Soil information exerts an influence on reflectance. For late jointing, head emergence and filling stages, green leaves grow and leads to more absorption in red region (from 600 nm to 760 nm) and more reflection in green region (around 550 nm). Near-infrared region (from 700 nm to 1300 nm) reflect more due to the leaf cellular structure(Colwell, 1974).

The best indices between data genres are various. Most indices (Macc01, integrated indices MCARI/OSAVI, TCARI/OSAVI excluded) had better correlation with vegetation parameters computed with hyperspectral than multispectral images at late jointing, head emergence, and filling stage. However, the situation is opposite at early jointing stage and milk development stage. These can be contributed to several factors: 1) spatial resolution, 2) bandwidth, and 3) atmospheric effect. Imaging spectrometer sampled surface radiance information in contiguous narrow band between 400 and 2500 nm (Darvishzadeh et al., 2008). Hyperspectral data were collected by field measurement using imaging spectrometer with a spatial resolution at nm level. The mean orbital altitude of Sentinel-2 is 786 km with spatial resolution of 20 m for red-edge bands. In this way, hyperspectral images can track the spectral reflectance variation in narrow spectral regions caused by LAI or CCD. Bandwidth can be an important interfering factor in LAI inversion (Liang et al., 2020). Different vegetation indices have their own preference for bandwidths. The difference lies in whether the index needs to be accurately located or utilized the spectral region information as much as possible. Some vegetation indices are more sensitive to narrow bandwidths, just as some indices are better used with broad bandwidths. Indices like NDVI, OSAVI, and their counterparts with red-edge showed stable performance when using both Sentinel-2 and hyperspectral data. Integrated indices of TCARI/OSAVI[670,800] and MCARI/OSAVI[670,800] showed better estimations using

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Sentinel-2 data but did not perform as well when using hyperspectral data. Compared to Sentinel-2 multispectral images, ASD measured spectra are less affected by atmospheric scattering effects. Scattering in visible bands inflates the red and red-edge component of the related indices which dampens the contrast between NIR and red/red-edge, and diminishes indices values (Lee et al., 2004). It is guite interesting that the variation patterns of different data sources and different wavelengths are similar. Multispectral have better estimation than hyperspectral data at early jointing stage and milk development stage as shorter red-edge indices outperformed longer red-edge indices. Nevertheless, hyperspectral indices showed higher R<sup>2</sup> than multispectral indices as longer red-edge indices compared with shorter red-edge indices. The atmospheric scattering has more effect at late jointing, head emergence, and filling stages. This explains why hyperspectral indices were considerably higher than multispectral indices with vegetation parameters. The estimation results for LAI and CCD are similar and the estimation for CCD at milk development stage is relatively better than any other estimations. Compared to overall retrieval in pervious literature (Delloye, Weiss, & Defourny, 2018; Pasqualotto et al., 2019), the R<sup>2</sup> can be relatively low in this validation. The variable value range is relatively smaller than that of overall stages which makes it harder to generate a relationship. This can be another reason hampering the estimation.

# 7. Conclusion

Red-edge spectral region helps improve the retrieval accuracy of LAI and CCD retrieval. In this study, we evaluated the vegetation indices modified with various red-edge wavelengths at different growth stages. Summarizing, the indices modified with rededge have better correlation with LAI and CCD compared to the normal indices and the red-edge indices are especially advantaged over other indices at late jointing, head emergence, filling stages than early jointing stage and milk development stage. According to our research, the indices modified with longer red-edge wavelength performed better estimation for LAI and CCD at late jointing, head emergence, and filling stage. The results indicate that vegetation indices with spectral band centered at 740 nm (Sentinel-2 band 6) is suitable for LAI and CCD estimation at late jointing, head emergence, and filling stage. The spectral band 5 of Sentinel-2, centered at 704 nm, is suitable for variable estimation at early jointing and milk development stages. Indices calculated using hyperspectral data show consistent results with those calculated using Sentinel-2 data. NDVI, OSAVI and their modified counterparts performed remarkedly at both LAI and CCD estimation, while CI and integrated indices are more suitable for CCD estimation. This paper conducted vegetation parameter retrieval using both hyperspectral data and multispectral data combined with red-edge bands. The results can be further applied to vegetation dynamic monitoring. Remote sensing techniques provide insight into detection of physiological status. However, the established vegetation indices that generally for all growth stages, might lack predictive accuracy for vegetation growth at different growth stages. This study investigates the most relevant bands and VIs for crop traits monitoring. Moreover, it provides reference for the design of future sensors, particularly those with red-edge bands.

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# Data availability statement

For the moment, the data from the project are not available due to restrictions.

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