

Optimal path planning for autonomous underwater gliders in time-varying flow fields

by

James Ju Heon Lee

A thesis submitted in partial fulfilment of the requirements for the degree of Doctor of Philosophy

at the

School of Mechanical and Mechatronic Engineering Faculty of Engineering and Information Technology University of Technology Sydney

June 2021

Certificate of Original Authorship

I certify that the work in this thesis has not previously been submitted for a degree nor

has it been submitted as part of requirements for a degree except as fully acknowledged

within the text.

I also certify that the thesis has been written by me. Any help that I have received in my

research work and the preparation of the thesis itself has been acknowledged. In addition,

I certify that all information sources and literature used are indicated in the thesis.

This research is supported by the Australian Government Research Training Program.

Production Note:

Signed: Signature removed prior to publication.

Date: 10th June, 2021

iii

Optimal path planning for autonomous underwater gliders in time-varying flow fields

by

James Ju Heon Lee

A thesis submitted in partial fulfilment of the requirements for the degree of Doctor of Philosophy

Abstract

Marine robots perform various oceanic missions for commercial, scientific and military purposes. Some of these tasks include resource tracking, environmental surveying and coastal surveillance. Underwater gliders are a special class of marine robots that do not use active propulsions to move forward. This property makes the gliders more energy-efficient compared to other marine robots, and thus well-suited for long-duration missions. These missions benefit from autonomous operations that are either energy-optimal or time-optimal to maximising glider operation time and minimise human interactions. Such a level of automation is difficult to achieve, however. The underwater glider operates under a high-dimensional dynamic model with non-linear control, making it difficult to model mathematically. Optimal navigation in a flow field environment, known as Zermelo's Problem, is also a century-old open problem. This research introduces a trim-based model that reduces the glider control problem to a simpler 6D kinodynamic problem. We address this simpler problem using a state-of-the-art sampling-based algorithm to demonstrate full 3D underwater glider motion planning over various static flow fields and obstacles.

For real-world applications, it is also essential to consider the dynamics of the environment. Therefore it is natural to expect planning algorithms for underwater gliders to handle variations in flow fields. As the glider's performance heavily depends on the surrounding vi Abstract

flow field, planning involves the time-dependent shortest path (TDSP) problem, which has been open since the original work on graph search problem in the 1960s. This research introduces a new special case of the TDSP problem for vehicles in dynamic ocean currents. An optimal policy is solved for a time-dependent discrete graph over a dynamic flow field in polynomial time. Integrating both the trim-based and TDSP work addresses the path planning problem for underwater gliders by synthesising a continuous path from the optimal policy using the trim-based model.

The significance of this research is that it introduces an increased level of autonomy in underwater robots. The theoretical work allows for more accurate glider navigation, and considering dynamic ocean currents allows the glider to exploit the environment for practical advantages. These results also improve autonomous operation so that it requires less manual intervention from humans. This thesis shows examples of these ideas, and we are currently planning a long-duration field deployment to demonstrate these results in practice.

Acknowledgements

The submission of this dissertation marks the conclusion of a major life goal, which would not have been possible without the support of many extraordinary people. First and foremost, I would like to give praise to God, for nothing would be possible without Him. I would like first to thank my supervisor, Professor Robert Fitch, who has been a fantastic supervisor during my PhD degree. Rob's leadership skills, tactics, encouragement and patience were all instrumental in providing the best PhD experience that I could receive. Another whom I want to thank is my co-supervisor, Chanyeol Yoo, for his invaluable assistance in writing papers, and offering constant support during some of the most uncertain times of my candidature. This thesis could have never been completed without his help. A special abrupt thanks also go to Felix Kong who, despite arriving near the end of my candidature, has been a tremendous help during the final writing period. I would also like to thank Stuart Anstee (DSTG) for providing me with a wonderous opportunity to work with underwater gliders, which has become one of the main topics of my thesis.

Outside my superiors, I want to express my biggest gratitude to the Gumnut Crew: Jeremy Chang, Kevin Hendrawan, Kelvin Hsu, and Andrew Mak, for being my anchor to sanity throughout my academic journey since high school. I would also like to thank my church group for being patient with me for the last four years, and for their prayer for my wellbeing. Thank you also to all my friends: Rocky Pang, Stephanie Gunadi, Gloria Wang, Kranthi Baddam, David Shalavin, Cadmus To, Stefan Kiss, Giuseppe Riggio, Julien Collart, Mitchell Usayiwevu, Lan Wu, Anna Lidfors Lindqvist, Hasti Hyt, Jasprabhjit Mehami, Karthick Thiyagarajan, and so many others that I can't name due to the page limit. Thank you all for sticking by me after all these years despite my many short fallings. Without you guys, I would be fumbling in my depression, probably flipping burgers at a fast-food restaurant.

Lastly, I want to dedicate this thesis to my family: my Mom, my Dad, Jacob, Grandpa, Grandma, Jin, Min, Peter, my Cousins, my Aunts and my Uncles. Thank you for all your unconditional love and support for the past 27.5 years. I would not have even attempted a PhD if not for your trust in my abilities and my decisions. I love you all.

Contents

D	eclar	ion of Authorship	iii
A	bstra	;	v
\mathbf{A}	cknov	edgements	vii
Li	st of	'igures x	iii
Li	st of	ables	χv
\mathbf{A}	crony	ns & Abbreviations x	vii
1	Intr	duction	1
	1.1	Underwater glider missions	2
	1.2	Optimal glider navigation	3
		.2.1 Complex glider dynamics	3
		.2.2 Zermelo's navigation problem	3
		.2.3 Zermelo's problem across dynamic environment	4
	1.3	Approach to optimal glider navigation	5
		.3.1 Energy-optimal path planning for underwater glider in time-invariant	
		flow fields	5
		.3.2 Minimum travel time problem for discrete graph	5
		.3.3 Minimum travel time problem for continuous path	6
	1.4	Contribution	6
	1.5	List of publications	8
	1.6	Thesis Outline	8
2	Rela	ed work	11
	2.1	Inderwater glider navigation	11
		2.1.1 Zermelo's navigation problem	12
		2.1.2 Our approach	13
	2.2	Time-dependent shortest path	14
		2.2.1 Our approach	15

 \mathbf{x}

	2.3	Motion planning
		2.3.1 Grid-based planning
		2.3.2 Sampling-based planning
	2.4	Edge Evaluation
		2.4.1 Hierarchical Planner
	2.5	Multi-robot coordination
	2.6	Summary
3	Bac	kground and problem statement 25
	3.1	Underwater glider model
		3.1.1 Dynamic model
	3.2	Sampling-based algorithm
		3.2.1 PRM
		3.2.2 RRT
		3.2.3 FMT*
	3.3	Time dependent shortest path problem (TDSP)
	0.0	3.3.1 Time-dependent directed graph
		3.3.2 Arrival and travel time
		3.3.3 FIFO and non-FIFO properties
	3.4	Piecewise-constant function
	0.1	3.4.1 Definition of piecewise-constant function (PF)
		3.4.2 Piecewise-constant function syntax
	3.5	Problem statement
4	Kin	odynamic planning in 3D static flow fields 41
	4.1	Trim-based control for underwater glider
		4.1.1 Trim-based model
		4.1.2 Computing a trim state
		4.1.3 Trim-based model energy cost
	4.2	Trim-based FMT*
	4.3	Analysis
		4.3.1 Asymptotic optimality
		4.3.2 Convergence rate
		4.3.3 Computational complexity
		4.3.4 Comparison with standard sawtooth profiles
	4.4	Examples
		4.4.1 Opposing current with no obstacles
		4.4.2 Opposing current with sand dunes
		4.4.3 Irrotational flows with islands
		4.4.4 Quad vortices
	4.5	Summary
5	Pla	nning in non-FIFO time-dependent flow fields 63
	5.1	TDSP with Piecewise-constant functions
	5.2	Optimal travel time policy for non-FIFO TDSP problems

Contents xi

	5.3	Analysis
	5.4	Optimal Planning Over Time-Dependent Flow
		5.4.1 Time-dependent flow field and FIFO condition
		5.4.2 Asymptotically optimal planning for time-dependent flow fields 75
	5.5	Examples
		5.5.1 3-by-3 grid
		5.5.2 Time-dependent flow fields
	5.6	Summary
6	Kin	odynamic planning in non-FIFO time-dependent flow fields 83
	6.1	Time-dependent graph for hierarchical planning framework 84
		6.1.1 Building a time-dependent graph in a dynamic flow field 84
		6.1.2 Hierarchical planning for continuous TDSP
	6.2	Analysis
	6.3	Examples
		6.3.1 Autonomous surface vehicle (ASV) case
		6.3.2 Underwater glider in 3D ocean current case
	6.4	Summary
7	Rea	l world simulations and applications 95
	7.1	Planning in real-world static ocean environments
	-	7.1.1 Planner implementation
		7.1.2 Energy-optimal path for underwater gliders across the EAC 97
	7.2	Planning in real-world dynamic ocean environments
		7.2.1 Planner implementation
		7.2.2 Travel time-optimal path across a dynamic EAC 101
	7.3	RRT synthesis for TDSP framework
		7.3.1 Planner implementation
		7.3.2 Synthesising RRT path
	7.4	Summary
8	Con	aclusion and future work 107
_	8.1	Thesis summary
	8.2	Contributions
	0.2	8.2.1 Energy-optimal path in static flow field with complex underwater
		glider dynamics
		8.2.2 Travel time-optimal path in a non-FIFO TDSP framework 110
		8.2.3 Travel time-optimal path through dynamic flow fields with complex
		glider dynamics
	8.3	Future work
	2.0	8.3.1 Hardware validation
		8.3.2 Mission implementation
		8.3.3 Algorithmic improvements

xii		Contents

\mathbf{A}	Unc	lerwater glider dynamics	115
	A.1	Glider parameters	115
	A.2	Trim state evaluation	116
	A.3	Glide angle control with moving mass	117
Bi	bliog	graphy	119

List of Figures

1.1	A Teledyne Slocum G2 underwater glider	2
1.2	The ocean model of the East Australian Current	4
3.1	An approximate representation of the forces and moments acting on the	_
	glider in the inertial reference frame	26
3.2	Partial construction of a PRM* graph	28
3.3	Steps-by-step demonstration of RRT algorithm	29
3.4	Partial propagation of FMT*	30
3.5	Two-node graph example with time-dependent edge times	32
4.1	Kinematic model for 6D glider	43
4.2	Comparison in finding trim conditions to reach goal position without and	46
4.3	with current	46 50
4.4	Comparing path costs between the proposed framework and fixed sawtooth	
	profiles	53
4.5	Optimal path using trim-based method in opposing currents that weakens linearly with depth	55
4.6	Optimal path using trim-based method in opposing current that weakens linearly with depth and the seafloor consisting of sand dune obstacle	56
4.7	Optimal path using trim-based method through two irrotational vortices	
	and an island obstacle	58
4.8	Optimal path using trim-based method in a quad vortex	59
5.1	Example node sequence in a time-dependent directed graph	65
5.2	Two-node graph example with time-dependent edge times	66
5.3	Travel and arrival time for an example travel policy	68
5.4	3-by-3 graph example to demonstrate optimal policy in non-FIFO environment	77
5.5	The optimal policy at each state	78
5.6	Navigating at different initial departure time through time-dependent flow field	80
5.7	Navigating at different initial departure time through time-dependent flow	
5.1	field	81
6.1	Example time-dependent directed graph	85

xiv List of Figures

6.2	Step-by-step visualisation of a continuous path branching to follow a discrete
	path
6.3	2D continuous path of an ASV across a time-dependent flow field 90
6.4	2D continuous path of an ASV across a time-dependent flow field when
	deploying at $t_0 = 9$
6.5	TDSP across a time-varying 3D flow field with underwater glider dynamics. 93
7.1	Full view of the EAC environment and the ellipical planning region 96
7.2	Zoomed-in view of the optimal path using trim-based method in a EAC 98
7.3	2D continuous path from Brisbane to Sydney in a time-dependent East
	Australian Current representation
7.4	Step-by-step visualisation of a continuous path branching using RRT algo-
	rithm
7.5	RRT algorithm synthesising a path across the TDSP framework in a time-
	varying flow field environment
8.1	Example GUI for glider mission control
A.1	Relation between the nominal glider speed and its glider velocity 116

List of Tables

A.1 Definition of glider parameters and their values	115	,
--	-----	---

Acronyms & Abbreviations

1D One-Dimensional

2D Two-Dimensional

3D Three-Dimensional

6D Six-Dimensional

12D Twelve-Dimensional

AO Asymptotically optimal

ASV Autonomous Surface Vessel

AUV Autonomous Underwater Vehicle

Dec-MCTS Decentralised monte carlo tree search

Dec-POMDP Decentralised partially observable markov decision process

 $\mathbf{dRRT}\:$ Discrete rapidly-exploring random tree

DSLX Discrete search leading continuous exploration

DSTG Defence Science and Technology Group

EAC East Australian Current

FIFO First-in-First-Out

FMT Fast marching tree

KPIECE Kinodynamic motion planning by interior-exterior cell exploration

MCTS Monte carlo tree search

PF Piecewise-constant function

PRM Probabilistic roadmap

RRT Rapidly-exploring random tree

SBL Single-query, bi-directional, lazy in checking collision

TDSP Time-dependent shortest path

UCB Upper confidence bound

UTS University of Technology, Sydney