

# **Deep Graph Neural Networks for Unsupervised Graph Learning**

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**Doctor of Philosophy**

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Long and Dr. Shirui Pan

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## Certificate of Authorship/Originality

I, Chun Wang declare that this thesis, is submitted in fulfilment of the requirements for the award of Doctor of Philosophy, in the Faculty of Engineering and Information Technology at the University of Technology Sydney.

This thesis is wholly my own work unless otherwise referenced or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

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## ABSTRACT

### Deep Graph Neural Networks for Unsupervised Graph Learning

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Graphs are widely used to represent networked data, which contains complex relationships among individuals, and therefore cannot be well represented by traditional flat-table or vector format. Network applications, like social networks or citation networks, have been developing rapidly in recent years. Consequently, graph learning has also attracted much more attention.

Unsupervised graph learning is an important branch of the field since label information is usually not easily accessible. It is much more challenging as unsupervised graph learning aims to model the networked data without training supervision. Associated downstream tasks of unsupervised graph learning may include clustering, link prediction, visualization, etc., which are also very popular in modern graph analysing.

To perform unsupervised graph learning, previous methods may (1) consider only one aspect of the graph information; (2) use shallow approaches to capture simple or linear relationships among the data; (3) separate graph embedding learning and the downstream task as two steps and learn sub-optimal results since the learned embedding could not best fit the downstream method; (4) not able to manage corrupted data and perform robust learning, and (5) not able to make use of side information. These limitations have held back the development of unsupervised graph learning.

Therefore, we aim to address of the following challenges in our research: (1) How to characterize the individual properties of each node, and at the same time capture complex relationships in the graph; (2) How to learn deep and informative

representation for graph data; (3) How to perform end-to-end learning for a certain graph data-based task; and (4) How to deal with different types of abnormal graph data information.

In this thesis, we aim to perform effective graph learning, with deep graph neural networks in an unsupervised manner. Firstly, we propose a special marginalized graph autoencoder, to integrate both node content and graph structure information into a unified framework. We add noise to the graph data, and employ a marginalized process for efficient computation. By further stacking multiple layers of such autoencoder, we learn deep and informative unsupervised graph embedding for graph clustering; Secondly, we combine graph autoencoder with a self-training model, to conduct a goal-directed training framework. In such a process, the clustering and embedding learning are performed simultaneously. Both of them can benefit from the other, thereby learn better graph embedding and clustering. Facing possible data corruption, especially structural corruption for graph data, we develop a dual-autoencoder interaction framework Cross-Graph, which takes advantage of the deep learning memorization effect that DNNs fit clean and easy data first. Two autoencoders filter out untrusted edges alternatively and learn robust embedding from graphs with redundant edges. Finally, to take advantage of possible side information in graph learning, we also propose a contrastive regularized graph autoencoder, that can improve the unsupervised graph learning ability using constraint information. All these frameworks are validated with unsupervised tasks like clustering in the experiments.

Dissertation directed by Dr. Guodong Long, Dr. Shirui Pan and Prof. Chengqi Zhang

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## List of Publications

[1] Chun Wang, Shirui Pan, Guodong Long, Xingquan Zhu, Jing Jiang, “MGAE: Marginalized graph autoencoder for graph clustering”, CIKM 2017 (CORE rank A, citations: 106)

[2] Chun Wang, Shirui Pan, Ruiqi Hu, Guodong Long, Jing Jiang, Chengqi Zhang, “Attributed graph clustering: A deep attentional embedding approach”, IJCAI 2019 (CORE rank A\*, citations: 56)

[3] Chun Wang, Bo Han, Shirui Pan, Jing Jiang, Gang Niu, Guodong Long “Cross-Graph: Robust and Unsupervised Embedding for Attributed Graphs with Corrupted Structure”, ICDM 2020 (CORE rank A\*)

[4] Chun Wang, Shirui Pan, Celina P Yu, Ruiqi Hu, Guodong Long, Chengqi Zhang, ”Deep Neighbor-aware Embedding for Node Clustering in Attributed Graphs”, Pattern Recognition (CORE rank A\*, under the 3rd review process with minor revision decision)

[5] Chun Wang, Shirui Pan, Bo Han, Guodong Long, Chengqi Zhang, ”Constrained Node Clustering for Attributed Graphs with Regularized Autoencoder”, (It will be submitted to a CORE rank A journal in 2021)

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# Abbreviation

NMF - Non-negative Matrix Factorization

GCN - Graph Convolutional Network

GAT - Graph Attention Network

DNN: Deep Neural Networks

AE - Autoencoder

GAE - Graph (Convolutional) Autoencoder

DA - Denoising Autoencoder

SDA - Stacked Denoising Autoencoder

DEC - Deep Embedded Clustering

KL - Kullback-Leibler

2D: Two-dimensional

SGD: Stochastic Gradient Descent

NMI: Normalized Mutual Information

AE: Average Entropy

ARI: Adjusted Rand Index



# Nomenclature and Notation

Capital letters denote matrices.

Lower-case alphabets denote column vectors.

$(\cdot)^T$  denotes the transpose operation.

$I_n$  is the identity matrix of dimension  $n \times n$ .

$\mathbb{R}$ ,  $\mathbb{R}^+$  denote the field of real numbers, and the set of positive reals, respectively.

$n$  is the number of nodes in the graph.

$m$  is the number of individual attributes of each node.

$k$  is the number of clusters for the node clustering task.

$X$  is a  $n \times m$  matrix representing the node attribute information, each row  $x_n$  is a  $m$ -dimensional vector representing the attribute values of node  $n$ .

$A$  is a  $n \times n$  adjacency matrix representing the graph structure information, in which  $A_{i,j} = 1$  representing there is an edge between node  $i$  and  $j$ , and  $A_{i,j} = 0$  otherwise.

$\|\cdot\|_F^2$  represents the squared Frobenius norm.

$\tilde{\cdot}$  represents the corrupted or approximated version.

$tr(\cdot)$  represents the trace of the matrix.

$Z^{(l)}$  is the learned node embedding in the  $l$ -th neural network layer.