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The Role of Geosynthetics in Reducing the Fluidisation Potential of Soft Subgrade under Cyclic Loading

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Submitted to: Geotextiles and Geomembranes

1 1. ABSTRACT

2 The instability of railway tracks including mud pumping, ballast degradation, and differential settlement 3 on weak subgrade soils occurs due to cyclic stress from heavy haul trains. Although geotextiles are 4 currently being used as a separator in railway and highway embankments, their ability to prevent the 5 migration of fine particles and reduce cyclic pore pressure has to be investigated under adverse 6 hydraulic conditions to prevent substructure failures. This study primarily focuses on using 7 geosynthetics to mitigate the migration of fine particles and the accumulation of excess pore pressure 8 (EPP) due to mud pumping (subgrade fluidisation) using dynamic filtration apparatus. The role that 9 geosynthetics play in controlling and preventing mud pumping is analysed by assessing the 10 development of EPP, the change in particle size distribution and the water content of subgrade soil. 11 Using 3 types of geotextiles, the potential for fluidisation is assessed by analysing the time-dependent excess pore pressure gradient (EPPG) inside the subgrade. The experimental results are then used to 12 13 evaluate the performance of selected geotextiles under heavy haul loading.

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15 Keywords: Mud pumping; Track substructures; Geosynthetics; Heavy haul trains, Excess pore pressure16 gradient

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25 2. INTRODUCTION

26 Since the demand for safe and resilient rail tracks for faster and heavier traffic has been growing steadily over the past decades, so too have ongoing improvements to increase track capacity and reduce 27 28 maintenance costs. The performance of railway substructure is greatly affected by dynamic stresses caused by axle loads and the speed of freight trains. Under repeated cyclic loading, the samples can 29 become unstable under the applied stress well below the undrained static shear strength (Indraratna et 30 al. 2020c). Without appropriate drainage, cyclic loading can cause undrained shear failure of the soft 31 32 subgrade and also induce localised 'mud pumping' that will result to a serious loss of stiffness and 33 fouling of the track. Ballast mixed with pumped-up mud fines (fouled ballast) can result in excessive deformation and localised failure under undrained condition due to the reduction of its overall drainage 34 properties, shear strength and resilient modulus (Tennakoon & Indraratna 2014). Nguyen & Indraratna 35 36 (2021) found that when the fouling index exceeds 30%, the drainage capacity of the track can be 37 insufficient considering a significant rainfall event (>67.5 mm/hour). Ballast particle movement at mud pumping locations can be considered to identify the problematic railway tracks (Liu et al. 2019). 38 39 Remediation techniques and frequent maintenance are then needed to stabilise railway tracks to ensure 40 safe and effective operations (Arulrajah et al. 2009; Hudson et al. 2016; Wheeler et al. 2017).

41 According to Nguyen et al. (2019), saturated subgrade soil in low lying areas becomes internally 42 unstable and begins to pump up to the ballast layer due to the excessive upward hydraulic gradient induced by an increase in excess pore water pressure (EPP) at shallow depths. Under cyclic loading 43 44 conditions the Cyclic Stress Ratio (CSR), the frequency (f), and the characteristics of subgrade such as the consistency and degree of compaction of soil, are among the key factors which lead to mud pumping 45 46 in railway tracks (Indraratna et al. 2020c). The repetitive train loading can develop EPP and excessive 47 seepage velocity in the subgrade, thus leading to fluidization of shallow soil layers and the loss of fines 48 from the subgrade soil (Hayashi & Shahu 2000). The increased cyclic load can intensify the occurrence 49 of subgrade fluidization with interlayer mixing due to the penetration of sub-ballast (gravel) into the 50 softened subgrade (Zhang et al. 2021). Indeed, a rapid generation in EPP can lead to a sharp drop in the 51 mean effective stress and thus initiate subgrade fluidisation (mud pumping) and/or shear failure.

Although the undrained instability of subgrade soil has been addressed in previous studies, further
investigation is required under free drainage conditions that represent more realistic railway track
environments.

Experimental investigations to study the factors affecting subgrade fluidisation have been carried out in previous studies (Duong et al. 2014; Indraratna et al. 2020a; Indraratna et al. 2020b). The key factors contributing to mud pumping are the in-situ hydraulic gradients and the amount of erodible fines present in the subgrade. The difference in pore pressure between two locations generates the hydraulic uplift to facilitate migration of these fine particles (Yu et al. 2016). When the hydraulic gradient exceeds the critical hydraulic gradient, the finer particles begin to displace significantly to induce instability of the subgrade (Indraratna et al. 2021).

62 Mud pumping and the migration of fine particles can be controlled by installing a compacted capping layer and placing appropriate drainage geotextiles in the track substructure (Feng et al. 2019). Together 63 64 they can provide sufficient drainage and load-carrying capacity which can prevent subgrade yielding 65 by alleviating excessive hydraulic gradients (Moffat & Herrera 2015; Sabiri et al. 2020). Israr & Indraratna (2017) have already analysed the internal instability of compacted granular soils under static 66 and cyclic loading conditions as well as effectiveness of granular filters by measuring the amount of 67 68 eroded fine particles and observing failures such as internal suffusion and subsequent piping failure. 69 The geosynthetics can be applied to highway embankments and railway tracks to improve strength, stiffness and load bearing capacity of weak subgrade soils (Arulrajah et al. 2015; Rajagopal 2017; 70 71 Rajagopal et al. 2014). While certain geosynthetics can mitigate the migration of fines in typical rigid pavements and track foundations (Kermani et al. 2020), several studies and field investigations confirm 72 73 that surface drainage via geotextiles can help to prevent subgrade erosion, limit excessive deformation and mud pumping under heavy haul loading (Aw 2007; Kermani et al. 2018; Selig & Waters 1994). 74

75 Design guidelines for the use of geotextiles under rail tracks have been proposed as common filtration 76 design elements by incorporating permeability and retention criteria while addressing the durability and 77 instability of subgrade, and survivability issues (Ayres 1986; Luettich et al. 1992). Characteristics of 78 filtration and permeability depend mainly on the pore opening sizes of geotextiles, and the filtration 79 opening sizes may vary under tension and confinement. The pore dimensions of nonwoven geotextile mainly rely on the manufacturing process, fibrous material distributions, shape of fiber and 80 intertwinement (Palmeira et al. 2019). Dry and wet sieving, hydrodynamic sieving, mercury intrusion 81 82 porosimetry, image analysis, and bubble point methods are commonly used to evaluate the pore size distribution of geotextiles (Avdilek et al. 2002; Bhatia & Smith 1996); the different methods used to 83 84 define soil and geotextile filtration, retention, and clogging criteria have also been studied earlier 85 (Bhatia & Huang 1995; Faure et al. 2006; Ghataora et al. 2006; Ghosh & Yasuhara 2004; Palmeira et 86 al. 1997; Palmeira 2009; Xiao & Reddi 2000). The Gradient Ratio (GR) and Hydraulic Conductivity 87 Ratio (HCR) are the common methods used to determine the permeability, hydraulic conductivity, and 88 filtration capacity of geotextiles (Khan et al. 2018; Palmeira & Gardoni 2000; Williams & Abouzakhm 89 1989). To evaluate the filtration of soil geotextile systems, the GR method is mainly used to describe 90 the relationship between the hydraulic gradient across the soil geotextile interface and the hydraulic 91 gradient that develops within the soil.

92 Cyclic tests were carried out on a full-panel railway track model where geosynthetics are commonly 93 used to reinforce the track and mitigate mud pumping (Chawla & Shahu 2016). The filtrameter used to 94 measure the retention capability of geotextile/soil filter system revealed that the formation of a bridging network within the base soil through the geotextile would not necessarily provide stable filtration 95 96 (Bhatia & Huang 1995). This is because any change in the hydraulic gradient can collapse the bridging 97 network and filtration process, and subsequently lead to instability in the subgrade soil. Small scale 98 equipment under cyclic triaxial conditions was used to simulate unit cells to measure changes in the 99 EPP and also determine how geotextile in highway embankments can control the rate at which fine 100 particles are pumped (Alobaidi & Hoare 1994; Alobaidi & Hoare 1998; Alobaidi & Hoare 1996). The 101 rate of pumping through the geotextile, the development of pore water pressure in the subgrade, and the 102 creation of an interlayer at the interface have been addressed for highway embankments. Anti-pumping 103 geosynthetics should have a high compression modulus to prevent a larger hydraulic gradient from 104 being generated below the loaded area and also provide sufficient permeability over the long term 105 (Alobaidi & Hoare 1999). The pore water pressure contours show that the subgrade soil beneath the106 interface is the most vulnerable and the rate of mud pumping can increase by applying moving loads.

107 The main focus of this study is to evaluate how well the geotextiles placed in weak subgrade soil can 108 alleviate the development of excess pore water pressure and prevent particles from migrating across the 109 soil/geotextile interface. To study the inception of subgrade fluidisation and the role of geotextiles, large 110 scale dynamic filtration tests were carried out to simulate the in-situ hydraulic conditions in railway 111 tracks and assess how effective track substructures/geosynthetics are in terms of filtration and drainage. 112 The effects of the loading characteristics on the performance of geosynthetics have also been evaluated 113 under typical rail track conditions.

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116 **3. EXPERIMENTAL PROGRAM**

117 **3.1 Testing Material**

Disturbed subgrade soil was collected from a rail track at Wollongong (NSW, Australia) that was 118 119 experiencing mud pumping. Basic geotechnical tests such as the Atterberg Limit (ASTM D4318-00 120 2003), particle size distribution (ASTM D422-63 2007), permeability (ASTM D5856-95 2002), Proctor 121 compaction (ASTM D698-00 2000) and specific gravity (ASTM D854-02 2002) were then carried out on samples of this soil. Falling head tests (ASTM D4491-99 1999) were also carried out on geotextiles 122 because their permittivity is less than 0.05 sec⁻¹. The liquid limit (LL) and plastic limit (PL) are 42% 123 124 and 26%, respectively. Figure 1(a) and 1(b) show the soil properties at various mud pumping sites (Alobaidi & Hoare 1996; Avres 1986; Boomintahan & Srinivasan 1988; Chawla & Shahu 2016; Duong 125 et al. 2014; Indraratna et al. 2020c; Kuo et al. 2017; Liu et al. 2013; Muramoto et al. 2006; Raymond 126 1986; Trinh et al. 2012; Voottipruex & Roongthanee 2003). According to the Unified Soil Classification 127 128 System, this soil could be classified as inorganic clay with medium plasticity. The maximum dry density and optimum moisture content were obtained using the standard Proctor test (ASTM D698-00 2000), 129 130 and they are 1682 kg/m³ and 18.5%, respectively. An in-situ soil density of 1600 kg/m³ is used for the

laboratory experiments because it corresponds to a relative compaction (RC) of 95%. The permeability
of compacted soil of 8.9x10⁻⁷m/s was determined using the falling head method.

133 The fresh ballast material commonly used in New South Wales (NSW) tracks were adopted in this 134 study. The physical properties of the ballast were provided in elsewhere by Indraratna et al. (1998). The 135 maximum and mean particle sizes were 37.5 mm and 30 mm respectively.

- 136 Three types of geotextiles with different size pore openings were chosen. The geocomposite G1 had a
- filter media in between nonwoven geotextile layers with a filter's aperture opening size (O_{95}) of <1 μ m,
- 138 whereas O₉₅ of G2 and G3 were 60 and 75 µm respectively (ASTM F316-03 2011). The tensile strength
- 139 of G1, G2, and G3 follows EN ISO 10319 (2008) and are 50, 52.5 and 30 kN/m respectively. G1, G2,
- and G3 have a maximum CBR puncture resistance of 10 kN, 9 kN and 5 kN respectively (EN ISO
- 141 12236 2006). All the properties of the geotextiles are listed in Table 1 (Fiberweb 2012).
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144 **3.2 Dynamic filtration tests**

145 The filtration apparatus developed by Israr et al. (2016) has been modified to monitor the local EPP, 146 soil porosity, and deformation as cyclic loads are applied. As Figure 2(a) shows, the apparatus has ten 147 components, (1) Load cell and linear variable differential transformer (LVDT), (2) Miniature pore 148 pressure transducers (MPs), (3) Body pressure transducers (Ps), (4) Amplitude Domain Reflectometry 149 Probes (ADRs), (5) Datalogger, (6) Computer (7) Camera (8) Power supply, (9) Inlet for saturating the 150 sample from a de-aired tank, and (10) Hydraulic actuator. The load cell actuator can apply a vertical 151 monotonic or cyclic load up to 40 kN with a frequency up to 40 Hz, through the piston connected to the 152 loading plate.

The polycarbonate glass cell has a 240 mm internal diameter, and it is 300 mm high and 13 mm thick. Its internal wall is coated with Teflon to minimise friction between the surface and soil particles. During the design of this equipment, it was checked that the radial relaxation was relatively small (less than $5x10^{-4}$ mm) for the lateral pressure induced by applied cyclic loading based on Young's modulus of the 157 13 mm thick shell (E = 2.6 GPa). The ratio between the largest particle to the internal diameter of the cell is less than 1/6 to minimise boundary effects (ASTM D3999-91 2003). There are four miniature 158 159 pore pressure transducers (1 kPa accuracy) at the centreline of the soil specimen 20, 40, 80, and 120 160 mm from the top ballast/subgrade interface. At the edge, there are six body transducers (0.5 kPa 161 accuracy) at 25, 55, 85, 115, 145, and 175 mm from the ballast/subgrade interface, as shown in Figure 162 2(b). A linear variable differential transformer (LVDT) is built into the hydraulic actuator to capture the 163 total axial compression of a sample. A 50 mm diameter load cell is attached to the bottom of the test 164 chamber to monitor vertical stress.

165 **3.3 Test procedures**

166 The test procedures consisted of: (1) compaction, (2) saturation, (3) consolidation, (4) interface 167 preparation, and (5) a loading application. The mass of dry soil and the volume of water needed were mixed beforehand and left overnight in the humidity controlled room and then compacted inside the 168 169 test chamber in eight layers. The target bulk density (1600 kg/m³) and moisture content (17%) were 170 attained by compacting the dry soil and water to the desired volume. The nonlinear undercompaction 171 criterion proposed by Jiang et al. (2003) was employed to achieve uniform density of test specimens. As proposed by Indraratna et al. (2020c), the required height of each layer was calculated using the 172 average predetermined thickness of an individual layer. After compaction, the uniformity of each 173 174 specimen was also assessed by coring additional samples to measure their overall dry density, and the dry density of each layer. The uniformity of each specimen was assessed by preparing additional 175 samples and then measuring, (a) their overall dry density, and (b) the dry density of each layer. 176 Saturation was carried out in two steps (1) de-airing the sample by applying 100 kPa of suction 177 178 (Kamruzzaman et al. 2008), and (2) filling the cell with filtered and de-aired water until the water level 179 reached the top of the specimens. The saturation of this specimen was monitored by three ADR probes 180 installed at different depths (Israr et al. 2016; Trani & Indraratna 2010); these probes remain in situ 181 until uniform readings are attained (i.e., 80 F/m apparent permittivity of water at a room temperature of 182 20⁰). The miniature pore pressure transducers, body pore pressure transducers, and the linear variable 183 differential transformer (LVDT) were calibrated and then installed after saturating the soil specimen.

184 A total vertical pressure of 30 kPa was applied for 48 hours to consolidate the soil specimen; the change in volume (ΔV) was considered negligible (i.e., < 0.5 mm³/hour). After placing the ballast and/or 185 geotextile, a sinusoidal load was applied through a servo-controlled actuator. The cyclic loading was 186 applied to the specimen through a circular loading plate with a diameter of 235 mm, within inner cell 187 diameter of 240 mm. This rigid loading plate could induce the uniform stress on the subgrade soil with 188 minimal rigid wall boundary effects (Mohammadinia et al. 2019). The details of the applied loading 189 190 system have been explained elsewhere by Trani & Indraratna (2010). In this study a uniform normal stress was applied as a minimum vertical stress, while the sinusoidal vertical cyclic stress ($\sigma_{min} = 30$) 191 192 kPa and $\sigma_{max} = 70-100$ kPa) simulates a maximum axle load of 35 tonnes. The frequency was varied 193 between 1.0 and 5.0 Hz, which corresponds to train speeds of 45-225 km/h (Indraratna et al. 2020c; Mamou et al. 2017; Powrie et al. 2007). Wheeler et al. (2017) reported that a single train passing at 194 approximately 40 km/h (25 miles/h) could pump fluid and fines upwards, so the frequency range 195 196 selected for the cyclic tests realistically agree with typically known actual range of speed of trains 197 (minimum and maximum speed of 45 and 225 km/h respectively). The entire test program consisted of 11 laboratory tests covering three distinct experimental phases, all of which had corroborated with 198 199 the ballast-subgrade interface conditions summarised in Table 2. The repeatability and reliability of the 200 plotted data could be ensured by the responses of 2 additional test specimens at each test condition.

201 Phase 1 (without geotextiles): To define the failure criteria the tests were carried out under (a) 202 undrained conditions where an impermeable boundary was created by a geomembrane, and (b) free 203 drainage where there was a layer of ballast directly over the subgrade specimen. A vertical stress (σ_d) 204 of 40 kPa (i.e. $\sigma_{min} = 30$ kPa, $\sigma_{max} = 70$ kPa) and f = 5.0 Hz were applied.

Phase 2 (performance of different geotextiles): The main objective of Phase 2 was to evaluate the performance of 3 different geotextiles (G1, G2, and G3) in terms of controlling the development of EPP and preventing or delaying the initiation of subgrade fluidisation. Geotextiles were installed at the interface between the ballast and subgrade specimens and the cyclic loading was applied as described in Phase 1. Phase 3 (influence of frequency and amplitude): The laboratory experiments under Phase 3 were
necessary to investigate the performance of geotextiles under different axle loads and speeds. In this
instance the loading frequency and amplitude were applied from 1 to 5 Hz and 40-70 kPa, respectively.
The geotextiles at the ballast subgrade interface were selected on the basis of the results under Phase 2.

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216 4. RESULTS AND DISCUSSIONS

217 Phase 1: Subgrade behaviour influenced by drainage conditions at the ballast and subgrade218 interface

219 The variations of EPPs and axial strains for Tests T1 and T2 are shown in Figure 3(a) and 3(b). Test T1 220 is where there is no drainage at the ballast-subgrade interface (undrained), whereas Test T2 simulates 221 the most common situation where ballast is placed directly over the subgrade soil (no capping). Test T1 222 shows a rapid development of EPP up to 500 cycles, after which all the miniature pressure transducer readings are above 22 kPa (EPP_{TI}), and without any significant reduction afterwards, as shown in Figure 223 3(a). The EPPs at depths of 40 and 80 mm are higher than the EPP near the top of subgrade soil. The 224 transducer MP3 (@80 mm) has a maximum EPP of 27 kPa after 50,000 cycles. The generation of EPP 225 226 deeper in the subgrade soil profile (@40-120 mm) without continual reduction over time can lead to adverse hydraulic conditions. When free drainage is provided in Test T2, the EPPs reached a maximum 227 228 at 20 kPa after 500 cycles and decreased to 10-15 kPa at the end of the test. In terms of deformation, the maximum axial strain for T1 and T2 after 50,000 cycles is approximately 2% and 9%, respectively 229 (Figure 3(b)), with the time-dependent axial strain for T2 always higher than that of T1. Since 230 confinement near the interface is minimum the subgrade particles can migrate upwards and ballast 231 232 particles can penetrate the subgrade layer and induce fouling. Although the EPP at 40, 80, and 120 mm 233 from the interface in Test T2 is less than in Test 1 (undrained conditions), there is no continual 234 reduction, even after 40,000 cycles, as shown in Figure 3(a). This shows that the selected subgrade soil has a potential for subgrade fluidisation when the axial strain exceeds 6% and the EPP does not dissipate 235

continually as the loading cycles extend (EPP > EPP_{T1}). The tests under undrained (T1) and free drainage (T2) conditions were repeated to assess the repeatability of cyclic tests in the modified dynamic filtration apparatus. Similar performances were observed for the EPP and axial strain for samples tested under the same loading conditions.

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241 Figure 4(a) shows the variations of liquidity index (LI) with depth after 100,000 cycles. The LI indicates the consistency of soil in comparison to its liquid and plastic limits. When LI approaches unity, the 242 243 water content in the soil approaches its liquid limit that can be used to represent the fluidised state of 244 soil (slurry). Here, the LI in both specimens varies linearly from 1 at the top to 0.2 at the bottom. 245 Indraratna et al. (2020c) noted a similar change in the moisture content during cyclic triaxial testing under undrained conditions. Particle migration and the interlayer creation occurred after 500 cycles in 246 T2, while the top layer of soil in Test T1 became slurry. Visual observations after 500 cycles are shown 247 248 in Figure 4(b) and 4(c). In Test T1 the soil underneath the interface becomes a slurry, this is confirmed by the LI close to unity whereas the ballast layer sinks by 30 mm within 500 cycles, together with a 249 rapid increase in axial strain in Test T2. 250

A Malvern particle size analyser (Mastersizer) is used to measure the particle size distribution at the top 251 252 and middle regions at the end of loading. As Figure 5 shows, a lot more fines (< 75µm) have accumulated near the interface of the test specimen T1 (\approx 52%) than at the middle region (\approx 48%), 253 which previously had approximately 50% of fines. This proves that finer particles are transported during 254 255 cyclic load and the increased water content can facilitate the formation of a slurry at the interface. The 256 drainage conditions at the interface and in the soil mean that the excess pore pressure gradient (EPPG) 257 can be defined as the ratio between changes in the excess pore water pressure head (dUe) and the 258 corresponding distance between two specified locations (dL). The EPPG inside the subgrade soil may 259 create enough hydraulic pressure to pump the fines up from the soil matrix. Figure 6 shows the EPPG 260 and the depth of subgrade in Test T1. The excess pore pressure gradient is more than 35 after 500 cycles 261 (EPPG_{T1}), and it continued to increase up to 5000 cycles and then began to drop as the number of cycles increased. In Layers (2-1) and (3-2) (i.e. middle region), the EPPG reached above 45 after 5000 cycles 262 263 and thus induced the migration of fines towards the top layer.

265 Phase 2: Performance of different geotextiles

The performance of geotextiles (G1, G2, and G3) in terms of the EPP, the axial strain, and the excess 266 267 pore pressure gradient (EPPG) have been assessed under Phase 2. With G1, a higher EPP (>30 kPa) developed within 500 cycles, but dissipated all the EPP at the end of each test. With G1, Figure 7(a) 268 269 shows that all the readings from the miniature pressure transducer are lower than 22 kPa (EPP_{T1}) after 10,000 cycles, and continuously dissipated the EPP below 10 kPa at 100,000 cycles. Unlike the other 270 271 geotextiles (G2 and G3), at the end of 100,000 cycles G1 dissipated the EPP by more than 85% and 272 60%, at 20 and 40 mm below the interface, respectively. Over 65,000 cycles, the readings from the MP 273 2 40 mm below the interface are greater than 22 kPa (EPP_{T1}) for G2 (Figure 7(a)) and G3 (Figure 7(b)) 274 with relatively very low rate of dissipation compared to G1, especially near the geotextile/subgrade interface and the middle region. 275

276 The development of axial strain is controlled as the G1 prevents the formation of an interlayer creation 277 through an additional confinement at the interface, as shown in Figure 7(c). Although the axial strain initially increased rapidly, it remained constant (around 1%) for G1 as the number of cycles increased. 278 While this is insignificant compared to the axial strain measured under free drainage (Test T2), there 279 280 was still a continual increase in axial deformation in G2 and G3 because of the dissipation of pore 281 pressure and particle migration through the pore openings. The residual axial strain after 100,000 cycles remains above 2% for G2 and G3. As Figure 8 shows, the EPPG that developed in G1 is 90% and 80% 282 283 lower than G3 after 1000 and 100,000 cycles, respectively. This non-uniform development of EPPG 284 (up to 75) in middle/deeper subgrade soil (the critical layers), i.e. Layers (2-1) and (3-2), creates a strong 285 upward hydrodynamic force that dislocates the finer particles towards the top layers. The accumulation 286 of finer particles at the ballast and geotextile interface (slurry) with the inclusion of G2 and G3, and 287 particle migration through their pore openings could not be prevented. In fact, the percentage of fines 288 trapped in the pore openings are 5.92, 8.12 and 9.16 g using G1, G2, and G3 respectively, and where the geotextile area is 4.15x10⁻⁵ m². The amount of fines trapped in G1 after 100,000 cycles is minimal 289 290 and 35% less than G3, which show how effectively it can prevent fines from migrating into the ballast.

The fines that accumulated on top of the geotextiles (G1, G2 and G3) after applying the cyclic loadingare shown in Figure 9.

293 The efficiency at which different geotextiles could curtail the water content of subgrade soil by 294 providing adequate drainage is shown in Figure 9(a). The water content for Tests T1 and T2 are close 295 to the liquid limit at the interface and thus increase the potential for fluidisation as finer particles 296 accumulate below 500 cycles. However, geotextiles helped reduce the water content of the soil, unlike 297 the undrained (T1) and free drainage (T2) tests. The water content of the interface soil was more than 298 30% closer to the interface when G2 and G3 were tested under cyclic loading. The inclusion of G1 299 could reduce the water content by another 5%, unlike G2 and G3. This proves that geotextile inclusion 300 with an effective filter (G1) can prevent excessive particle migration and provide adequate drainage by dissipating the excess pore water pressure (EPP) that develops at the ballast/subballast layer. Chawla & 301 302 Shahu (2016) noted similar observations in terms of subgrade displacement and particle migration 303 during cyclic testing under large-scale testing on full panel railway track models. In fact, the rapid generation of EPP for the next train loading can also be reduced due to the inclusion of G1 rather than 304 305 G2 and G3.

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309 Phase 3: Effects of cyclic stress and frequency

The geotextile G1 was selected for this Phase because it successfully mitigated particle migration andreduced the development of EPP in Phase 2.

312 Effects of cyclic deviatoric stress

As expected, a rapid development in the EPP occurred as the amplitude of cyclic stress increased, as shown in Figure 10(a). Different deviatoric stresses (σ_{max} of 70, 85 and 100 kPa) were used to demonstrate how an increased axle load (25-35 tonnes) could affect the cyclic behaviour of subgrade soil and the performance of G1. The G1 could not reduce the cyclic EPP effectively at the middle to 317 lower region, i.e., at 40, 80, and 120 mm from the interface when σ_{max} increases to 100 kPa, whereas 318 the EPPs remained above 40 kPa within 500 cycles until the test ended. Figure 10(a) shows an approximately 85% lower in EPP 120 mm below the interface in Test D70 compared to Test D100 after 319 80,000 cycles. The increasing trend in axial strain in D100 attains 5% before 75,000 cycles (Figure 320 10(b)), which may induce instability due to excessive deformation. Figure 11 shows that the maximum 321 EPPG of 225 in Layer (3-2) occurred in less than 1000 cycles during Test D100. However, in Test D70 322 323 the EPPGs of the top layers (i.e., Layers (2-1), (3-2) and (4-3)) dropped to 10 after 1000 cycles and remained constant. In test D100, the rate of dissipation in EPPG in the critical layers of soil is minimal 324 325 compared to D70 after 1000 cycles, as a result that could create enough hydraulic pressure to dislocate the fines. 326

327 There was no continual particle migration through the geotextile in Tests D70 and D85, but the severe clogging and pumped-up fines observed at the interface in Test D100 due to cyclic loading is shown in 328 329 Figure 12(b), 12(c) and 12(d). When compared to the results under lower cyclic stresses (D70 and D85), there was an approximately 5% increase in the water content at the interface (Test D100), as shown in 330 331 Figure 12(a). This proves that G1 could not prevent the rapid increase in EPP and axial strain as the 332 cyclic stress increased, i.e., σ_{max} of 100 kPa (approximately 35 tonnes of axle loading). Accumulated 333 fines may therefore clog the pore openings of geotextiles and hinder the performance of geotextile in terms of filtration and drainage. 334

335 Effect of Frequency

336 Figure 13 shows the evolution in excess pore pressure (EPP) and axial strain that corresponds to the 337 cyclic load applied at different frequencies. Two different frequencies, i.e., 3 and 5 Hz were used to 338 compare and highlight the effect of frequency on the behaviour of soil. As shown in Figure 13(a), the 339 larger frequency (f = 5 Hz) leads to a 54% reduction in the EPP 120 mm below the interface after 50,000 340 cycles, unlike the smaller frequency (f = 3 Hz). Moreover, the residual EPP for f = 3 Hz is more than 341 22 kPa (EPP_{T1}) after 50,000 cycles, and finer particles are easier to pump up and are more vulnerable 342 to fluidisation under a lower frequency. This result corresponds to a greater accumulation of residual 343 axial strain (2.5% at 50,000 cycles) under a lower frequency (f = 3 Hz) as shown in Figure 13(b), and 344 similar observations for soil specimens under cyclic loading have been reported by Indraratna et al. (2020b). The EPPG plotted in Figure 13(c) shows the huge development in EPPG observed after 500 345 346 cycles in the deeper soil (i.e., Layer (3-2) and Layer (4-3)). In test F3, the EPPG is above 55 and 30 in 347 Layer (4-3) and Layer (3-2) after 10,000 cycles, and there is no significant reduction until it reached 348 50,000 cycles. Due to the increased EPPG, the void ratio of the soil layers changed due to pumped-up 349 fines from the middle to the lower region of subgrade soil and towards the top. When compared to the 350 results under lower frequencies (F1 and F3), there was an approximately 3% reduction in the water 351 content at the interface for Test F5, as shown in Figure 14(a). Severe clogging and migrated fine 352 particles observed at the interface in Tests F1 and F3 compared to F5, due to cyclic loading as shown 353 in Figure 14. This smaller frequency implies a longer period for the load to make contact with the soil 354 before unloading in each cycle, which led to a larger residual excess pore pressure (EPP) and axial strain in the test specimens. These observations support that train loading with a smaller frequency can initiate 355 356 an earlier fluidisation under the same loading conditions.

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358 5. CONCLUSION

359 The dynamic filtration tests were carried out to investigate the subgrade-subballast interface with 360 enhanced drainage conditions due to the use of geosynthetics. In this study, (1) undrained, (2) partially 361 drained, and (3) free drainage conditions were used to characterise subgrade fluidisation under heavy 362 haul train loading. This study explained and discussed the role of geosynthetics as a drainage medium 363 and filter in railway tracks to prevent particle migration and associated subgrade fluidisation. This study 364 found that the threshold cyclic stress, the loading frequency, and the inclusion of geosynthetics could 365 contribute to subgrade fluidisation as local excess pore pressures, excess pore pressure gradients, and 366 upward fine and moisture migration evolves.

367 The major findings based on this study are as follows:

Laboratory experiments suggest that particle migration and a substantially increased water
 content can induce mud pumping under cyclic loading. Test T1 (Undrained) experienced an

abrupt change in the water content along the height of the specimen and a finer fraction of less than 75 μ m pumped up from underneath soil became slurry at the top, whereas Test T2 (Free drainage) showed excessive deformation ($\varepsilon_a > 6\%$ at 500 cycles) and fouling at the ballast and subgrade interface without surface confinement. This concludes that subgrade soil subjected to repetitive cyclic loading generates higher EPP without continual dissipation can result in particle separation and associates subgrade fluidization under adverse hydraulic conditions in railway tracks.

The inclusion of geotextile (G1) could dissipate the EPP, reduce overall deformation, and 377 prevent fine particles from migrating under cyclic loading conditions better than in Tests T1 378 379 and T2. For example, G1 maintained the EPP at less than 10 kPa, which is approximately 55% of the EPP developed in Test T1 at 100,000 cycles, while the axial strain was less than 1% for 380 the same loading conditions ($\sigma_{max} = 70$ kPa). The EPP developed for G2 and G3 were higher 381 than EPP_{T1} after 10,000 cycles, and the rate of dissipation was not significant until the test 382 ended. The aperture opening size of the filter (G1) is less than 1µm, as reported in Table 1, but 383 it can still prevent particle migration and dissipate the EPP under cyclic loading. The larger 384 pore openings in G2 and G3 could not prevent the particle migration and then became more 385 386 clogged with fines than G1. This proves that the G1 effectively reduces the accumulation of 387 EPP with time and prevents particle migration through the interface.

The EPPG generated by cyclic excess pore water pressure plays a crucial role in inducing fines 388 to migrate from the middle region towards the top of the sample. For instance, in Test T1 the 389 390 EPPG that developed approximately 100 mm from the interface was more than 35 only after 391 500 cycles i.e., with less than 2 minutes of train loading. However, the installation of G1 reduced the EPPG by 90% after 1000 cycles in the middle layer, and it remained below 10 until 392 393 the test ended. This significant reduction in EPPG reduced the migration of fines by more than 35% than the other geotextiles (G2 and G3). These results imply that the geotextile (G1) with 394 395 an enhanced drainage capacity can reduce EPPG developed inside the subgrade soil, and thus 396 prevents the finer particle separation from the soil matrix.

397 Soil under lower frequencies may become more prone to subgrade fluidisation. The soil specimen subjected to f = 5 Hz experienced around 50% reduction in the EPP in the middle 398 region after 50,000 cycles, compared to the specimen under f = 3 Hz. The increase in cyclic 399 stress also led to the development of axial strain and EPP with the inclusion of G1 when the 400 401 cyclic deviator stress was more than 70 kPa. Specifically, in Tests D70 and D85, the readings 402 from the miniature pore pressure transducers near the interface within 40 mm below the 403 interface were below EPP_{T1} and the EPPs that developed for Test D100 were 300% to 400% 404 higher than Test D70 at 100,000 cycles. The EPPG was above 35 (EPPG_{T1}) in the middle layers 405 of soil up to 40,000 cycles and reached 5% of axial strain before 75,000 cycles (Tests D100). 406 The laboratory experiments show that the fine particle migration was significant with increased 407 cyclic stress. At the ballast subgrade interface, contact pressure due to the train loading and CSR is maximum at the location directly beneath the rails and it decreases towards the 408 centreline and the ballast shoulders. These results imply that the potential for fine migration 409 410 can become less as CSR decreases, and subsequent fluidisation can be triggered at lower frequencies in tracks under poor drainage conditions. 411

• The results of this study clearly suggest that selected geotextile G1 can prevent particle migration and dissipate the EPP at lower axle loads (25-30 tonne axle load). However, during the passage of heavy haul trains with an axle load up to 40 tonnes (σ_{max} of 140 kPa), the ability of G1 to prevent subgrade fluidisation and associated mud pumping can diminish.

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419 6. ACKNOWLEDGEMENT

This research was supported by the Australian Research Council's Industrial Transformation Training
Centre for Advanced Technologies in Rail Track Infrastructure (ITTC-Rail). The material support from
Polyfabrics Australasia is acknowledged. The series of laboratory experiments was conducted while the
first author was a PhD student at the University of Wollongong.

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8. TABLES

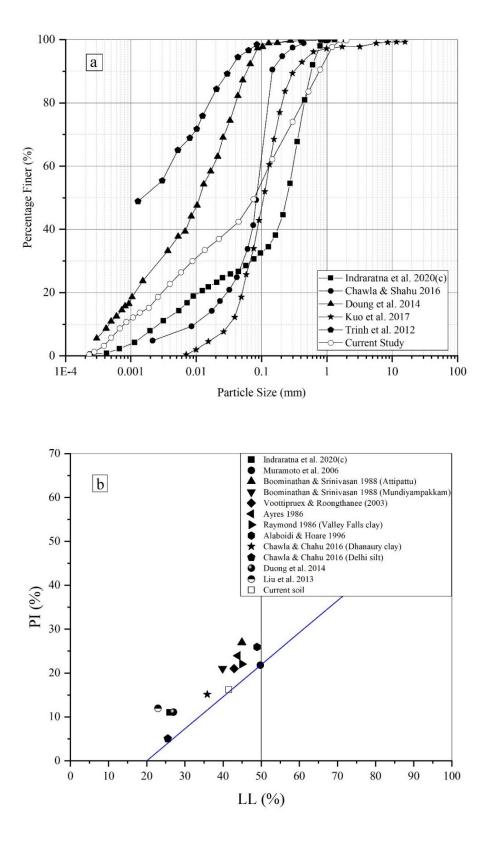
617 Table 1: Properties of tested geosynthetics

Geosynthetics	G1	G2	G3
Thickness (mm) @2 kPa (EN ISO 9863-1 2005)	4.5	2.5	3.5
Mean peak tensile strength (kN/m) (EN ISO 10319 2008)	50	52.5	30
Aperture Opening Size (μm) (ASTM F316–03 2011)	<1	60	75
CBR Puncture Resistance (kN) - (EN ISO 12236 2006)	10	9	5

619 Table 2: Experimental phases

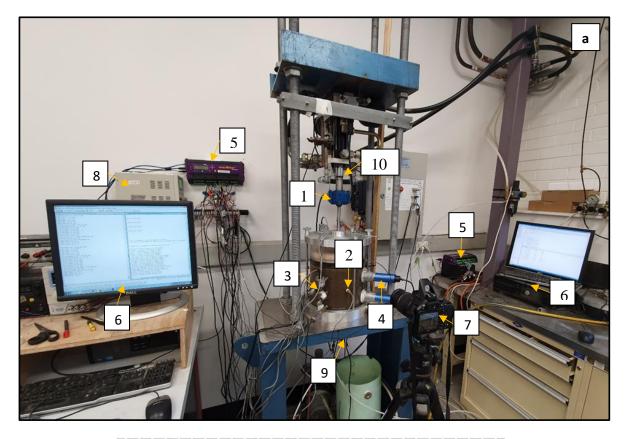
Phase	Test No.	Drainage condition at ballast- subgrade interface	σ _{min} (kPa)	σ _{max} (kPa)	Frequency (Hz)
1	T1	Undrained	30	70	5
1	T2	Free drainage (No capping)	30	70	5
	G1	Partially drained with G1	30	70	5
2	G2	Partially drained with G2	30	70	5
	G3	Partially drained with G3	30	70	5
	D70	Partially drained with G1	30	70	5
	D85	Partially drained with G1	30	85	5
3	D100	Partially drained with G1	30	100	5
3	F1	Partially drained with G1	30	70	1
	F3	Partially drained with G1	30	70	3
	F5	Partially drained with G1	30	70	5

9. FIGURES



622 Figure 1: Soils at mud pumping sites (a) particle-size distribution and (b) Plasticity Index





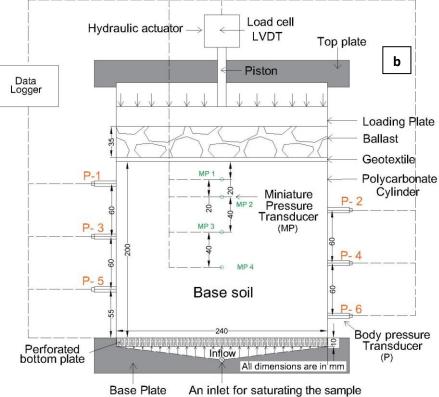


Figure 2: Dynamic filtration apparatus (a) Photo (b) Schematic illustration of the cell with locations of
 instrumentation

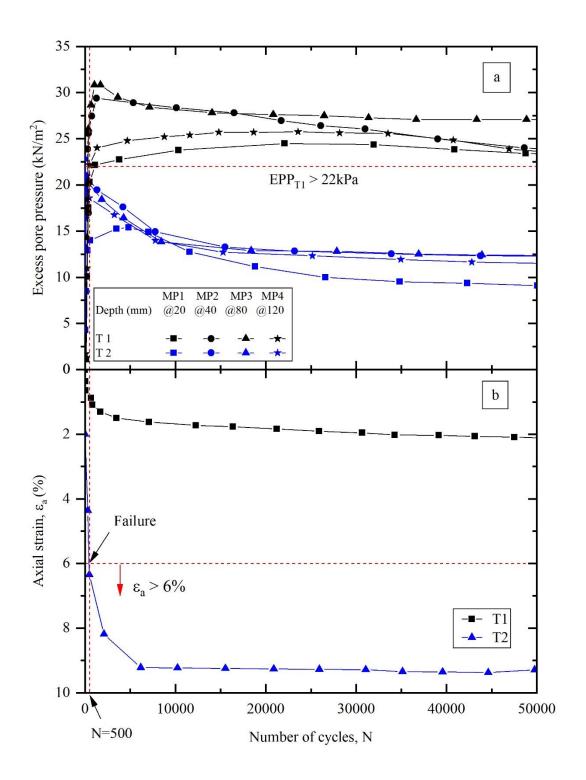




Figure 3: Tests T1 and T2 (a) Excess pore water pressure and (b) Axial strain Note: EPP _{T1} – Excess pore pressure for Test T1 after 500 cycles (N>500)

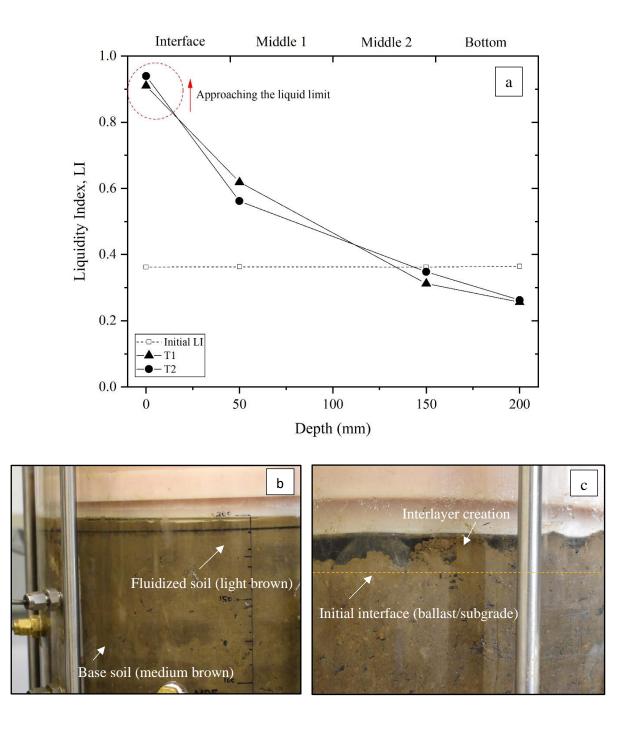


Figure 4: (a) Liquidity Index of the soil after cyclic load at various depths (b) fluidised specimen after
500 cycles for Test T1, and (c) interlayer creation due to the penetration of ballast into subgrade for
Test T2 after 500 cycles

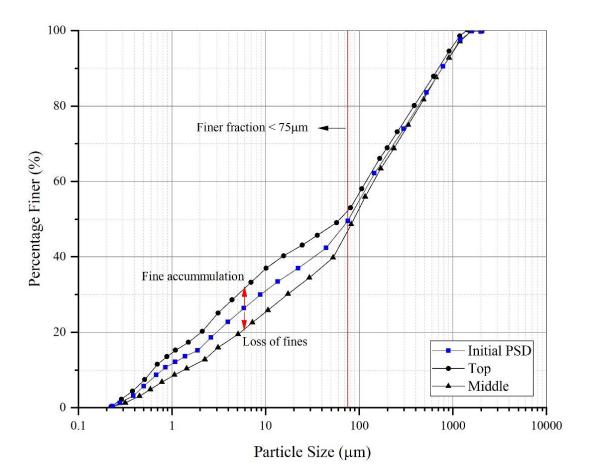
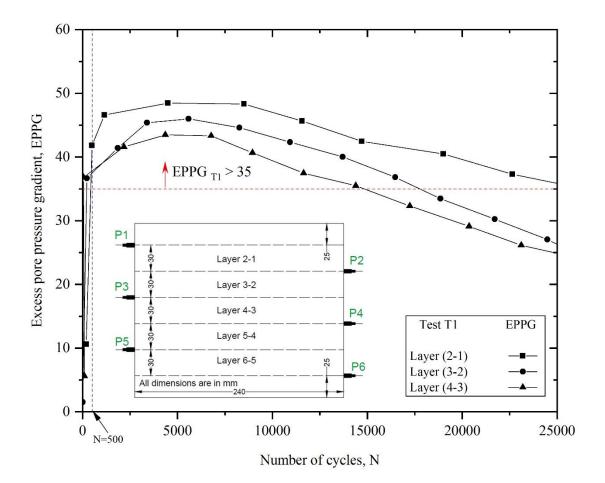
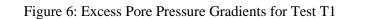




Figure 5: Particle size distributions after cyclic load for Test T1







Note: EPPG $_{T1}$ – Excess pore pressure gradients for Test T1 (500 < N < 15,000)

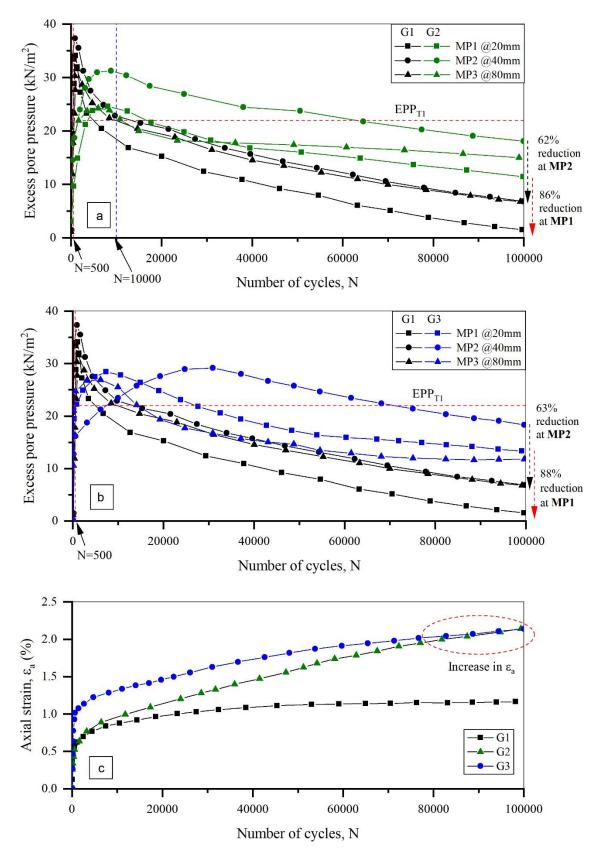
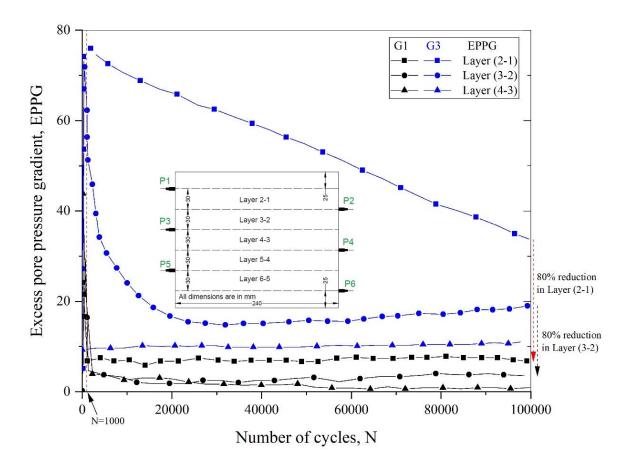


Figure 7: Excess pore water pressures (a) Tests G1 and G2 (b) Tests G1 and G3 (c) Axial strains for
 Tests G1, G2, and G3



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 Figure 8: Excess Pore Pressure Gradients for Tests G1 and G3

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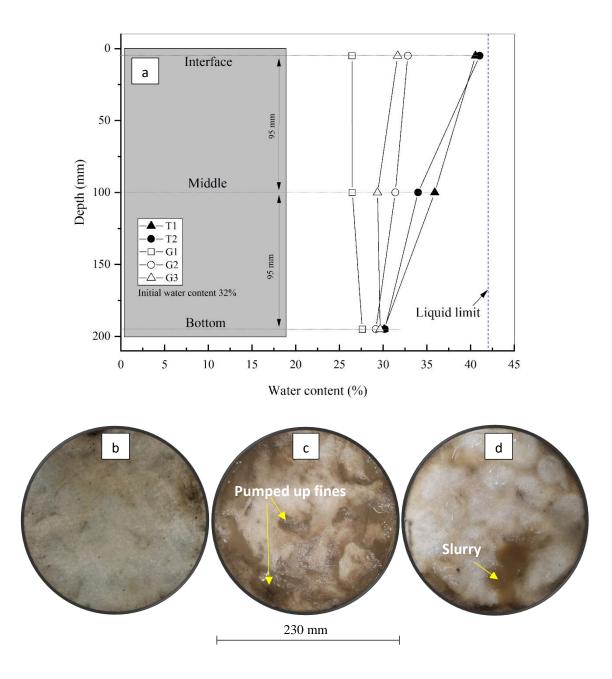
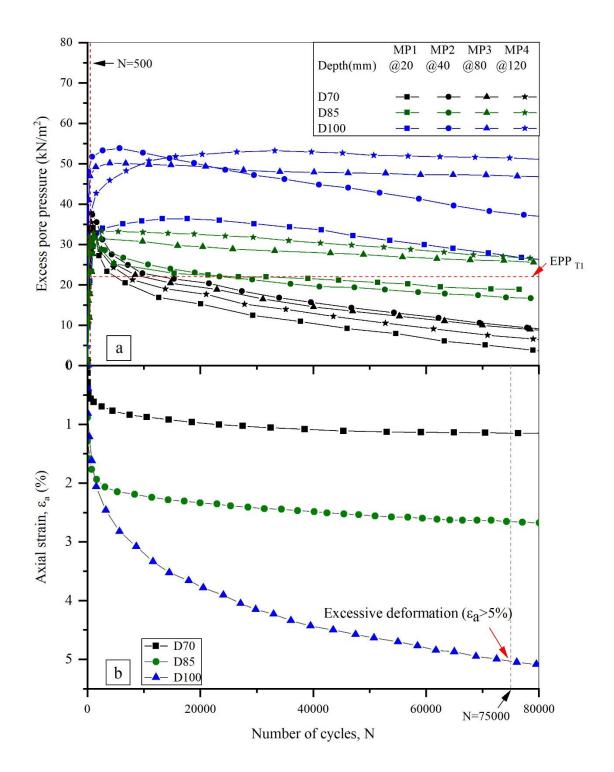
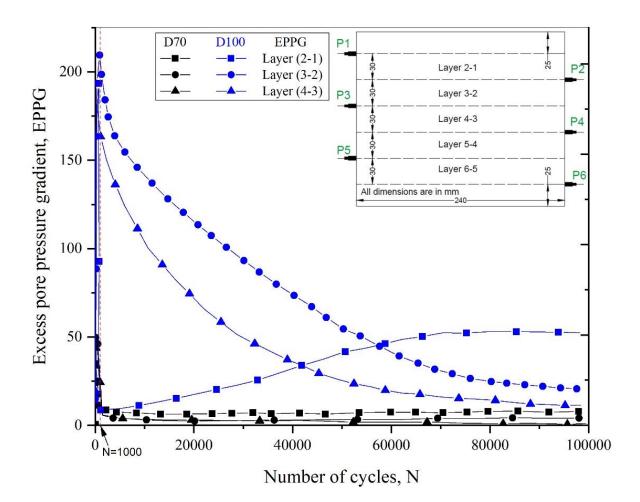


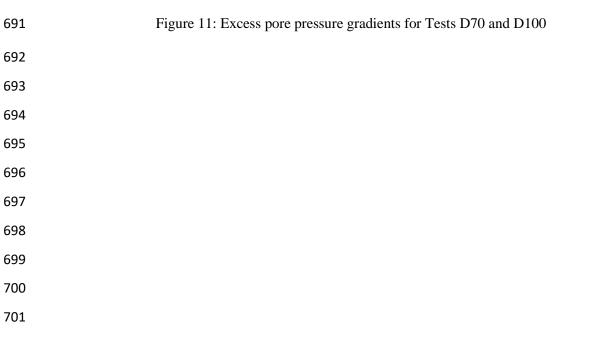
Figure 9: (a) Water contents after N = 100,000 cycles – Tests G1, G2 and G3, Photos of tested
geotextiles (magnification = 0.209x) after 100,000 cycles (b) Test G1 (c) Test G2 (d) Test G3

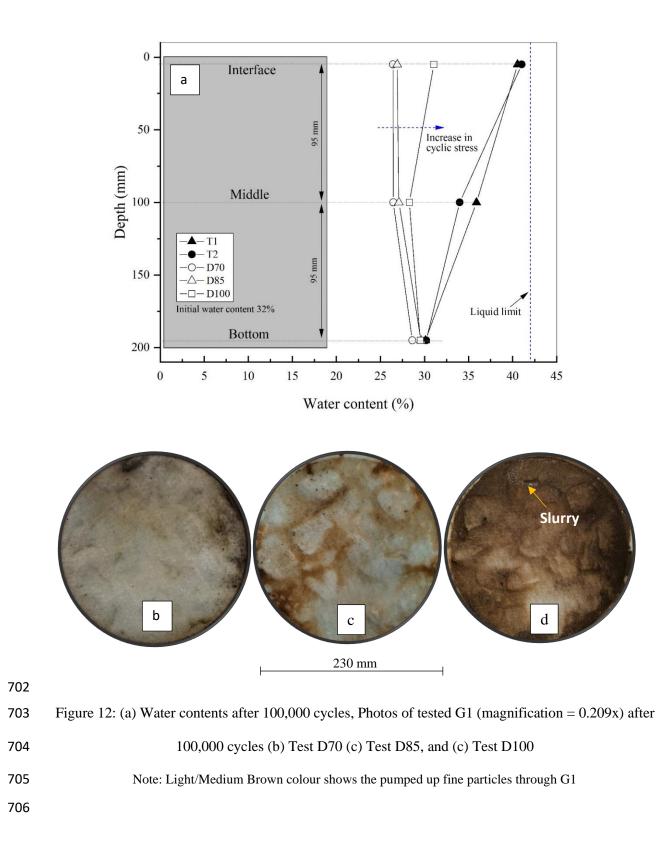


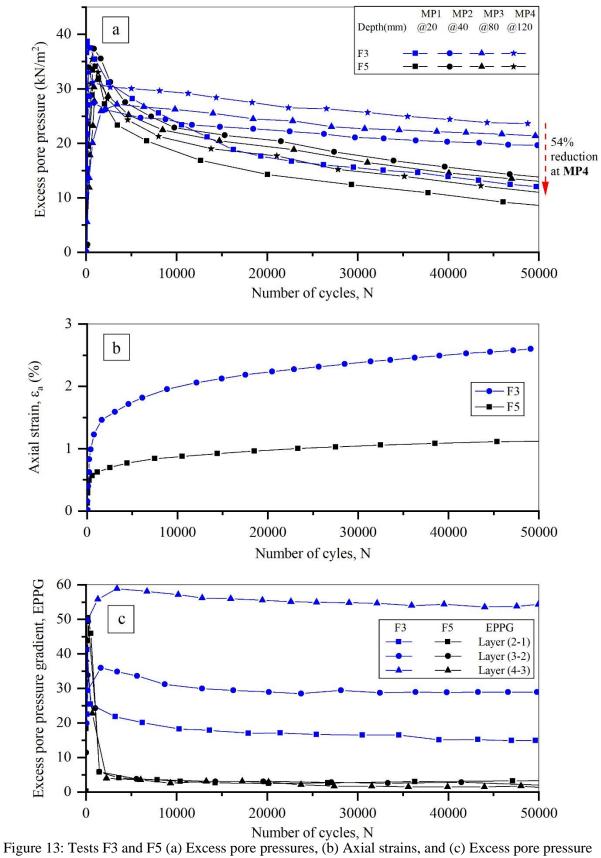
684 Figure 10: (a) Excess pore pressures, and (b) Axial strains under different cyclic deviatoric stresses

(Tests D70, D85, and D100)









gradients

