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- 1 **Title:** Mortality and resprouting responses in forest trees driven more by tree and ecosystem
- 2 characteristics than drought severity and fire frequency
- 3

Abstract

 Increases in tree mortality linked to drought and fires have been reported across a range of forests globally over the last few decades. Forests that resprout epicormically/aerially should be the most resistant and resilient to changes in fire regime, yet they may be at risk of increased mortality, demographic shifts and changes to species composition due to the compounding effects of drought and fire. Despite this, the synergistic effects of drought and fire frequency on resprouters has received less attention than for obligate seeder tree species. Our study examined the effects of drought severity and fire frequency on the fire resistance of eucalypts (i.e., including *Eucalyptus*, *Angophora* and *Corymbia*) that can resprout epicormically. Following large-scale wildfires and drought in 2013, we conducted field surveys of temperate eucalypt forests in the Sydney Basin Bioregion, focusing on two major *Eucalyptus* forest assemblages: dry ridgetops and wet gullies. We measured tree size, previous fire damage (i.e., fire scars), bark type, mortality and resprouting position (e.g., canopy, stem, base) of reproductive-age trees. We used a Bayesian modelling approach to derive bounded estimates of response probabilities for trees sampled in each combination of drought severity (mild/moderate versus severe) and fire frequency (low versus high), as well as bounded estimates of differences between trees with and without fire scars, different bark types and drought/fire histories. Eucalypt populations in both vegetation types were resilient to increases in mortality and changes in resprouting position under severe drought and frequent fire, and mortality and resprouting position varied substantially with tree size, fire scar presence/absence and among bark types. Tree mortality and changes in resprouting position were considerably more likely in smaller trees with fire scars. Species with non-compact bark (e.g., fibrous, stringy, rough) were less resilient to lowering in resprouting position, e.g., from canopy to stem. Populations dominated by species that have small stem diameters, thin/non-compact bark and

 previous fire damage are likely at elevated risk of mortality and changes in resprouting position under future climate change.

 Keywords: climate change, drought effects, *Eucalyptus*, fire effects, resprouting, temperate forest, tree bark, tree mortality

1.1 Introduction

 Climate and fire are major determinants of ecosystem structure, function and evolution at the global scale (Bond & van Wilgen 1996; Bowman *et al.* 2009; Keeley *et al.* 2011). Tree mortality linked to drought and fires has been increasingly reported for a range of vegetation types globally (Allen *et al.* 2010; Brando *et al.* 2014; Clark *et al.* 2016). Mass tree mortality associated with extreme drought or altered fire regimes may destabilize forest ecosystems and potentially lead to conversion of forest to a non-forest state (Bowman *et al.* 2013; Brando *et al.* 2014; Allen, Breshears & McDowell 2015). Drought is a key driver of large fire occurrence in forest ecosystems (Andrade *et al.* 2019; Cerano-Paredes *et al.* 2019; Silva Junior *et al.* 2019), with periods of severe drought often coupled with increased fire size, area burned and fire severity (Abatzoglou *et al.* 2018; Clarke *et al.* 2020; Parks & Abatzoglou 2020). The nature of the interactive effects of drought and fire on tree mortality (e.g., antagonistic, additive or synergistic) will have important implications for the stability of forest ecosystems under future climate change (Stephens *et al.* 2018; Coop *et al.* 2020; Nolan *et al.* 2021).

 Trees survive in drought- and fire-prone landscapes via a combination of resilience (i.e., regenerative) and resistance (i.e., structural/protective) traits that ultimately shape vegetation structure and species distributions (Clarke *et al.* 2013; Pausas *et al.* 2016). Vegetative resprouting is a key resilience trait in disturbance-prone ecosystems (Bellingham and Sparrow, 2000), enabling plants to produce new foliage following disturbances that cause partial or full defoliation (Pausas *et al.* 2016; Pausas & Keeley 2017). Plants are often grouped as either resprouters or non-resprouters, although among resprouters there is variability in resprouting location (Nicolle 2006; Burrows 2013; Clarke *et al.* 2013). Many species resprout exclusively from buds buried below-ground (i.e., basal resprouters), while some arborescent species that experience frequent canopy disturbing fire can resprout from aerial buds (i.e., epicormic resprouters) (Clarke *et al.* 2013; Pausas & Keeley 2017; Pausas *et al.* 2018). Forests dominated by epicormic resprouters recover canopy cover quickly following high severity fire (e.g. within 10–20 years, Vivian *et al.* 2008, Haslem *et al.* 2016; Collins *et al.* 2021b) and are generally considered resilient to disturbance (Catry *et al.* 2013; Matusick *et al.* 2016).

 Thick, protective bark is a resistance trait that shields the cambium and epicormic buds from lethal temperatures during fire (Lawes *et al.* 2011b; Wesolowski, Adams & Pfautsch 2014; Pausas 2015). Investment in protective bark to increase survival probability of stems is likely to be a trade-off with growth rate and overall-size (Jackson, Adams & Jackson 1999). For example, trees that grow in open-forest conditions that experience frequent fire may invest relatively more into thick protective bark than trees that grow in closed-forest conditions, where fire may be infrequent (Jackson, Adams & Jackson 1999). However, there may be wide variability in bark thickness patterns within a vegetation type, owing to interspecific differences, trade-offs between growth, form and the multiple functions of bark in protecting against fire, pathogens and moisture loss (Poorter *et al.* 2014). Bark thickness decreases with decreasing stem size (Lawes *et al.* 2011a), making small stems and thin canopy branches more vulnerable to mortality during fire than large stems and thick branches (Hoffmann & Solbrig 2003; Hoffman *et al.* 2009; Trouvé, Osborne & Baker 2021). Thus, eucalypts with relatively thin bark on either the main stem or canopy branches are likely more vulnerable to mortality or were less resilient to lowering in resprouting position on the stem via increased topkill, i.e., the extent to which above-ground components are killed during fire (Hoffman *et al.* 2009; Lawes *et al.* 2011a; Denham *et al.* 2016).

 Forests dominated by trees capable of epicormic resprouting typically experience low rates of fire-related mortality (e.g. 2–15%, Vivian et al. 2008; Catry *et al.* 2013) or stem mortality (i.e. topkill), allowing the overstorey to maintain stable biomass even under high fire frequencies (e.g. mean inter-fire intervals of 5–10 years, Gordon *et al.* 2018; Collins *et al.* 2019). However, higher mortality rates (e.g. 20–35%) have been reported following more extreme conditions (e.g. prolonged extreme drought preceding high severity fire; Trouvé, Osborne & Baker 2021). Consecutive high severity short-interval fires can also increase mortality, alter resprouting dynamics and shift population structure (Fairman *et al.* 2017; Fairman, Bennett & Nitschke 2019; Collins 2020). For example, the stem diameter (DBH) at which trees become increasingly likely to revert to basal resprouting may increase with the number of fires, e.g., from 15 cm (one fire) to 22 cm (two fires; Fairman *et al.* 2019). Additionally, high severity fire can reduce the likelihood of successful epicormic resprouting, causing topkill (Catry *et al.* 2013; Collins 2020), though degree of topkill varies as a function of species and stem size (Trouvé, Osborne & Baker 2021). Further, species that are more sensitive to the effects of high severity fire, such as those found in wet gullies, may be relatively more susceptible to topkill or mortality among larger size-classes than species with greater resilience to fire, such as those found on exposed ridgetops (Trouvé, Osborne & Baker 2021).

124 Stem collapse is a major cause of stem mortality in resprouting forests (Whitford & Williams 2001; Gibbons, Cunningham & Lindenmayer 2008). Basal 'fire scars', whereby the cambium is killed by fire and begins to erode stem integrity, can make trees more vulnerable to stem collapse during future fires and storms (Lawes *et al.* 2013; Silvério *et al.* 2019; Collins 2020). Variation in bark thickness/density among species may influence susceptibility to fire scar formation/stem collapse during and following fire (Gibbons *et al.* 2000b; Gibbons *et al.* 2000a; Collins *et al.* 2012; Collins 2020). Increased fire frequency in resprouting forests may accelerate the development of fire scars (Wardell-Johnson 2000; Collins *et al.* 2012), resulting in the loss of large stems (Fairman *et al.* 2017).

 With the expected future increases in concurrent drought and fire (IPCC 2021), several mechanisms could result in major structural and compositional changes to resprouting forests. For example, drought may increase fire severity and area burned via changes to soil moisture and fuel dynamics, leading to increased tree mortality (Van Nieuwstadt 2005; Fredriksson, Danielsen & Swenson 2006; Xiao & Zhuang 2007). Pre-fire drought may also increase the susceptibility of trees to mortality during fire, via drought-induced physiological changes, such as reduced xylem conductivity, increased branch cavitation and starvation of carbohydrates needed for post-fire resprouting (van Mantgem *et al.* 2013; Jacobsen *et al.* 2016; Matusick *et al.* 2016). Forests may also experience increased mortality, decreased recruitment and inhibited resprouting if antecedent drought/fire has a compounding effect on resistance and resilience traits (Allen *et al.* 2010; Enright *et al.* 2015; Clark *et al.* 2016). Developing a comprehensive understanding of coupled drought-fire effects on forest trees will facilitate better prediction of the likely responses of forests to global change.

 Temperate forests cover a diverse range of climates and are periodically subjected to drought and fire (van Mantgem & Stephenson 2007; Stevens-Rumann *et al.* 2018). Trees from the genera *Angophora*, *Corymbia* and *Eucalyptus*, referred to as 'eucalypts', dominate temperate forests across southeastern Australia and are generally resilient to fire, with most species possessing the capacity to resprout epicormically following canopy defoliating fires (Nicolle 2006; Burrows 2013). Eucalypts exhibit diverse physiological responses to drought (Merchant *et al.* 2006; Merchant *et al.* 2007). Substantial stem mortality (e.g. 26%) and crown mortality (e.g. >70%) can occur in temperate eucalypt forests following extended periods of low rainfall (Matusick *et al.* 2013), though mortality rates may be variable among eucalypt

 species (Ruthrof, Matusick & Hardy 2015). Drought can also result in structural changes, such as loss of canopy foliage, akin to fire-driven disturbance in some eucalypt communities (Ruthrof, Matusick & Hardy 2015; Matusick *et al.* 2016; Walden *et al.* 2019).

 Topography is an important driver of vegetation heterogeneity in temperate forests via its effects on resource gradients, such as soil nutrients and moisture (McColl 1969), and on fire behavior (Bradstock *et al.* 2010; Collins *et al.* 2019a). In the temperate forests of southeastern Australia, ridgetop environments are generally dry with low nutrient availability, while gully environments retain higher levels of moisture and soil nutrients (McColl 1969). Consequently, gully environments display greater resistance to canopy defoliating fires(Bradstock *et al.* 2010) and are more likely to provide unburnt refugia than adjacent ridgetops (Collins *et al.* 2019a). However, increasing drought severity may reduce the resistance of gully environments to wildfire (e.g., Collins *et al.* 2019a). Gullies typically support taller growth with dense understories of soft-leaved plants and a higher proportion of fire-sensitive species generally found in wet-sclerophyll forest (WSF) communities, whereas ridgetops are typically dominated by small-medium sized trees and sclerophyllous shrubs, indicative of dry-sclerophyll forest (DSF; Keith and Benson 1988). Thus, gullies may buffer vegetation from the effects of drought and fire by providing refugia within topographically complex landscapes.

 Our study investigated the effects of antecedent drought, fire frequency and tree characteristics (size, basal fire scars, bark type) on the stem resistance of trees in forests dominated by epicormic resprouters, across two contrasting vegetation types (DSF, WSF). Specifically, this study focused on reproductive-age trees, the cohort that have had sufficient time to develop traits related to fire resistance (e.g., thick bark, tall canopies). We asked whether severe drought and frequent fire: (i) increased the likelihood of mortality above typically expected levels (e.g., 2–15%; Vivian *et al.* 2008; Catry *et al.* 2013) or levels at the upper extreme (e.g., 20–35%; Trouvé, Osborne & Baker 2021) described in other studies of

 eucalypts and (ii) altered the expected resprouting position, i.e., from canopy branches to either the stem or stem-base. We also asked whether mortality and resprouting position changed as a function tree-size, bark type (i.e., compact, hard bark versus loose, fibrous bark) and previous fire damage, and assessed the importance of these factors relative to drought severity and fire frequency. We independently assessed these responses for two contrasting vegetation types to gain insight into community-level responses to coupled drought-fire effects.

2.1 Material and methods

2.1.1 Study area

 The study took place within the Sydney Basin bioregion of south-eastern Australia (approximate centre = 150.76743, -33.58654; Fig. 1). Topography across the bioregion is highly heterogeneous, consisting of coastal escarpments and steeply dissected terrain. Elevation ranges from sea level to over 1200 m in the more mountainous areas located along the western edge of the bioregion. Soils are mainly of low fertility, derived from sandstone and shale parent material (DPI 2017). Temperature and rainfall vary across the bioregion as function of both altitude and distance from coast. The mean minimum temperature of the 196 coolest month ranges between approximately 2–8°C and mean maximum temperature of the warmest month ranges between 25–32°C, while rainfall ranges between approximately 600 mm–1500 mm (e.g. stations 063292, 061397, 068052, 062100, 066062, *[http://www.bom.gov.au/climate/data/,](http://www.bom.gov.au/climate/data/)* 23/12/2021). DSF dominates ridgetops and WSF dominates gullies, with most forested land occurring within the National Park estate (see Supporting Information **1** for details on vegetation types).

 Fire regimes in DSF and WSF communities consists of a combination of mixed severity wildfires and low to moderate severity prescribed burns (NPWS 2004; Bradstock *et al.* 2010; Collins *et al.* 2021a). Typical fire intervals range between 5–20 years in DSF and 20–100 years

 in WSF (Murphy *et al.* 2013). Fires occurring at very short intervals (<5 years) in these forests are likely to result in changes to vegetation composition and structure (Arno & Allison-Bunnell 2002; Lewis *et al.* 2012; Cawson *et al.* 2017). Forests within the Sydney basin have been subjected to several major wildfire seasons in the past 30 years (i.e., 1993/94, 2001–03, 2006/07, 2013/14 and 2019/20), with large areas of forest being reburned by wildfires within this period. Since reliable fire history records began in the 1970s, most DSF and WSF in the 211 study region have burned 1–3 times, with a smaller proportion $(\sim 10\%)$ burning in excess of three times (Hammill, Tasker & Barker 2013).

2.1.2 Fire history

 The study focused on four areas that were most recently burnt by large wildfires in October 2013 (Fig. **1)**. We selected areas where the most recent fire occurred between October 2013 to February 2014 to control for the potentially confounding effects of fire frequency and time since fire. The fires targeted in our study occurred in four different sub-regions across the Sydney Basin, with two sub-regions experiencing mild/moderate drought (MD) and two experiencing severe drought (SD; Fig. **1**). Antecedent fire frequency was calculated as the number of fires in the 20-year period that occurred between 1993 and 2013 and was categorized as low frequency (1–2 fires; LF) or high frequency (3 or more fires; HF). Fire history data were obtained from the New South Wales National Parks and Wildlife Service (NPWS 2016).

225 **Figure 1.** Composite image of the study region showing site locations (DSF = circles; WSF = stars),
226 pre-fire drought severity and fire frequency combinations (coloured shading) within the Sydney Basin 226 pre-fire drought severity and fire frequency combinations (coloured shading) within the Sydney Basin
227 bioregion (panel a). Panel B shows location of Sydney Basin bioregion (magenta shading) within context 227 bioregion (panel a). Panel B shows location of Sydney Basin bioregion (magenta shading) within context 228 of Australia. Pre-fire drought severity was determined by calculating 6-monthly SPEI for October 2013. 228 of Australia. Pre-fire drought severity was determined by calculating 6-monthly SPEI for October 2013.
229 Fire frequency was calculated between June 1993–January 2014 (low ≤2 fires; high ≥3 fires). Black 229 Fire frequency was calculated between June 1993–January 2014 (low ≤2 fires; high ≥3 fires). Black 230 ellipses highlight sites locations within each sub-region. Coloured shading also reperesents extent of 230 ellipses highlight sites locations within each sub-region. Coloured shading also reperesents extent of 231 fires during the 2013/14 fire season. MD = mild/moderate drought; SD = severe drought; LF = low fire 231 fires during the 2013/14 fire season. MD = mild/moderate drought; SD = severe drought; LF = low fire 232 frequency; HF = high fire frequency (see $2.1.3$ Drought severity for details on drought severity classes). frequency; HF = high fire frequency (see $2.1.3$ Drought severity for details on drought severity classes). 233

234 *2.1.3 Drought severity*

235 Drought severity was quantified by using the Standardized Precipitation-Evapotranspiration 236 Index (SPEI, Vicente-Serrano *et al.* 2010). SPEI is an index of the climatic water balance 237 (precipitation minus potential evapotranspiration based on 30 years of gridded monthly weather 238 data) expressed as the number of standard deviation units from average values based on the 239 previous 30 years. Negative SPEI values indicate increased water deficit relative to long-term 240 conditions, while positive values indicate surplus water availability relative to long-term 241 conditions. We calculated SPEI at a 6-monthly time scale, which is sufficient for detecting 242 drought stress in temperate eucalypt forests (Pook 1986; Pook, Gill & Moore 1997). Slette *et* *al.* (2019) suggest SPEI values between -1 and +1 can be treated as falling within the range of normal climatic variability, while values below -1 represent progressively more severe drought conditions; however, values at or below -0.5 have been considered to be representative of drought conditions in temperate Australia (Ma *et al.* 2015). We partitioned sub-regions in our 247 study into either mild/moderate drought (MD; $SPEI = 0$ to -1.4) or severe drought (SD; SPEI $248 = \le -1.4$). This threshold was chosen as it divided the study area into two approximately equal- sized and climatically coherent regions, each containing substantial DSF and WSF populations. For example, values below -1.4 were restricted to typically drier, warmer areas at lower elevation in the north-west of the study region while values above -1.4 occurred along the coastal fringe and in cooler areas with higher elevation (Fig. **1**).

 In the six months preceding the 2013/14 fire season, drought severity varied considerably within the study region, with fires in the northern sub-regions burning under severe drought and fires in the southern sub-regions burning under mild/moderate drought (Fig. **1**). Most areas returned to low drought/normal conditions in the six months following October 2013. For each sub-region, SPEI was calculated at 0.05° x 0.05° resolution for the 6-month period prior to and after the 2013 fire. Field sites were only placed in areas where post-fire SPEI had returned to normal/near normal. Thus, we restricted our sampling to those areas with substantial variability in pre-fire drought severity and minimal variation in post-fire drought conditions. Climatic data used to calculate SPEI was obtained from the SILO database (SILO 2019). SPEI was calculated using the 'SPEI' package in R (Vicente-Serrano *et al.* 2010).

2.1.4 Study design

 The study design incorporated drought severity (mild/moderate; severe), fire frequency (low: 1–2 fires; high: 3 or more fires) and vegetation type (DSF; WSF) in a fully factorial manner. 111 sites were evenly distributed across the four sub-regions (27–28 sites per sub-region; 13 14 sites per treatment) in order to obtain sufficient spatial variability in drought severity (Fig. **1**). Sub-regions occupied narrow bounds of mean annual temperature and rainfall (e.g., ±2°C and 200 mm across sites within each sub-region). All DSF sites were last burnt in 2013/14 at moderate-high severity, with a high amount of scorching and consumption of canopy foliage (canopy 70–100% burnt; severity classes 3-5, Hammill & Bradstock 2006), whereas all WSF sites were last burnt in 2013/14 at low-moderate severity, with a mix of unburnt and scorched canopy foliage (canopy <70% burnt; severity classes 1-2, Hammill & Bradstock 2006). Our study did not consider the severity of antecedent fires when quantifying fire frequency, as this data was not available; thus, it is unclear whether trees were subjected to multiple high severity fire events prior to the fires in the 2013/2014 fire season. The topography of the study region limited the prevalence of high severity fires in gullies (Bradstock *et al.* 2010) so fire severity could not be matched between vegetation types. Instead, we contrasted the 'common' fire severity patterns between vegetation types, e.g., high severity in DSF and low/moderate severity in WSF (Bradstock *et al.* 2010).

 All data were collected between February 2018 and July 2018. A 50 m x 20 m plot was established at each site. DSF plots were confined to the top of ridges along contours, whereas WSF plots were confined to gully bottoms or lower slopes, adjacent to creeks along contours (see Supporting Information **1** for examples of typical sites). Plot aspect varied between sites to minimize aspect bias. Plots were selected randomly within a few kilometers of access roads and within the treatment levels identified in a GIS. Plots were placed at least 50 m from roads and trails to avoid edge effects and at least 300–500 m apart to reduce spatial autocorrelation.

2.1.5 Field methods

 Larger mature trees >20 cm diameter at breast height over bark (DBH) were identified (i.e., by species and bark type, Supporting Information **1**) and individually measured within the 50 x 20 m plot. Smaller mature trees 10–20 cm DBH were identified and measured on a 50 m x 10 m sub-plot located on the lower half of the main plot (Supporting Information **1**). We will address trees <10 cm DBH (reproductively immature trees) in a related paper. The variable plot-sizes were designed to ensure representative sample sizes were collected (McElhinny *et al.* 2005; McElhinny, Gibbons & Brack 2006). Species were identified using the keys provided by Klaphake (2012) and Brooker and Kleinig (1999). When stems were closely-spaced a 1000 mm x 4 mm steel rod was used to probe between stems to determine whether they were connected by a sub-surface lignotuber.

 For each stem we measured DBH, height, whether alive or dead, resprouting position and fire scar presence. DBH was measured over bark at 1.3 m above the ground on the uphill side of the tree. Mortality was defined as a dead standing tree (i.e., no observable live foliage), or log and associated stump representing a whole tree which had died due to the most recent fire (Fig. **2**). Stumps and logs were measured if they met the following criteria: (a) determined to be a product of the most recent fire by being allocated a decay state of '1' or less (wood hard and intact, see Gordon *et al.* 2018; Roxburgh *et al.* 2006); (b) had most likely fallen due to fire scar formation and collapse, evidenced by a fire scar at the break point, rather than wind-felled. Resprouting position was defined as the highest position on a tree at which resprouting was observed, classified as follows: *base =* all pre-fire stems killed during most recent fire and resprouting only observed within 30 cm of the tree base; *stem* = no live foliage observed on secondary and tertiary branches, but successful epicormic resprouting from main stems and/or primary branches, and; *canopy*= live foliage observed on secondary/tertiary branches, possibly accompanied by epicormic and basal resprouting (Fig. **2**; see Supporting Information **1** for further examples). For some taller WSF trees, a resprouting response was not elicited, because the fire was not intense enough to cause canopy scorch. These trees were grouped with trees that had resprouted from the canopy and classed as *canopy/unaffected*. Bark thickness was measured on both north and south sides of trees using a 50 mm bark gauge (Haglof Barktax, Sweden). The maximum height of dead branches (pre-fire) and maximum height of live foliage (post-fire) was measured using an angle-compensated laser rangefinder (Nikon Forestry Pro 500, Japan). Fire scar presence/absence was recorded for all trees (see Supporting Information **1** for fire scar examples). We measured all fire scars present before and/or created by the 2013/14 fires. We recorded over 40 *Eucalyptus* species across the sites, with only a handful occurring across all drought and fire combinations. Bark thickness patterns were consistent among bark types (see Supporting Information **2**). Thus, we aggregated species by bark type (i.e. rough, fibrous, hard, smooth, stringy) for analysis and this was determined according to bark texture and the height of persistent bark on the main stem (Collins 2019; Boland et al. 2006). Five bark type categories were identified: rough, fibrous, hard, smooth and stringy (see Supporting Information **1** for details on bark characteristics).

333 **Figure 2.** Diagram showing categories of pre-fire drought effects (panel a) and fire effects (panel b) on
334 trees. Panel a shows drought damage followed by initial resprouting response. Panel b shows potential 334 trees. Panel a shows drought damage followed by initial resprouting response. Panel b shows potential
335 fire effects on forest trees: dead canopy branches and change in maximum height of resprouting
336 position from fire effects on forest trees: dead canopy branches and change in maximum height of resprouting position from canopy to stem, fire scar formation, stem collapse, mortality and seedling recruitment. 337

338 *2.1.6 Data analysis*

- 339 We used a Bayesian modelling approach to derive bounded estimates of response probabilities
- 340 for trees sampled in each combination of drought severity (mild/moderate versus severe) and
-

 then used the matrix of posterior samples from the model to calculate posterior difference distributions for selected contrasts. Where applicable these calculations are referred to in the results as 'calculated mean difference', i.e. the mean value of summarised difference calculations. Credible intervals were calculated as highest posterior density intervals (HPDI), in order to display the central 50% of model predictions and lower/upper 95% bounds of model predictions. The term 'intermediate size' or similar is used throughout the results and accompanied in the first instance by a range of DBH values. Note that this value inherently varies between vegetation types. The data and R scripts used to generate the results are provided online in a data repository (https://github.com/erb418/EB.C- h2.scripts) and secondary results summaries can be found in Supporting Information **2**.

3.1 Results

 We recorded 3060 individual trees. On average there were 27.5±13.5 trees per site across the 112 sites. The average mortality rate was 28.8% per site, with greater mortality being recorded 381 on ridges (DSF = 32.8%) versus gullies (WSF = 24.7%). The average incidence of fire scars was 46.2% trees per site, with greater incidence of fire scars being recorded on ridges (DSF = 383 49.6%) versus gullies (WSF = 42.8%).

3.1.1 Dry sclerophyll forest

3.1.2 Mortality

 Trees without fire scars in DSF communities were very unlikely to be dead and the probability of mortality was below the lower bound of the expected level of mortality of 2%, regardless of DBH, drought/fire combination or bark type (Fig. **3a**). When trees had a fire scar, the probability of mortality increased as DBH decreased (Fig. **3b**, **d**). Trees with fire scars were more likely to exceed the upper bound of the expected level of mortality as DBH decreased

 below 45–55 cm (Fig. **3b**, **d**), and more likely to exceed the extreme mortality level when DBH decreased below 20–30 cm, with the maximum mean probability of mortality being 41–74% in the smallest stems (Fig. **3b**, **d**).

 Mortality was more likely for small trees (<20 cm DBH) with fire scars exposed to high fire frequency compared to low fire frequency (Fig. **3b**, **d**). Fire frequency had little effect on mortality for trees above ~30 cm DBH (Fig. **3b**). The mean diameter at which mortality 398 exceeded the upper expected threshold was \sim 10 cm greater under high fire frequency, relative to low frequency (Fig. **3b**). Drought severity had little effect on the probability of mortality (Fig. **3a**, **b**).

 When DBH was less than 50 cm, there were moderate to substantial differences in the probability of mortality for trees with fire scars compared to trees without fire scars (Fig. **3b**, **d**). For trees of intermediate size (25–35 cm) with fires scars, stringy bark was the most likely bark type to experience mortality, followed by fibrous bark, rough bark then hard and smooth bark, which had similarly low probabilities (Fig. **3d**).

408 **Figure 3.** The effect of DBH (x-axis), drought severity/fire frequency combination (panels a, b), fire scar
409 presence (left/right panels: n = no scar: y = scar present) and bark type (panels c, d) on the probabilit 409 presence (left/right panels; n = no scar; y = scar present) and bark type (panels c, d) on the probability 410 of mortality for trees 10–80 cm DBH in dry sclerophyll forest (DSF) of the Sydney Basin. Drought/fire 410 of mortality for trees 10–80 cm DBH in dry sclerophyll forest (DSF) of the Sydney Basin. Drought/fire combinations are notated as follows: MD = moderate drought; SD = severe drought; LF = low fire 411 combinations are notated as follows: $MD =$ moderate drought; $SD =$ severe drought; $LF =$ low fire 412 frequency; $HF =$ high fire frequency. Coloured ribbons represent 50% credible intervals; dotted lines 412 frequency; HF = high fire frequency. Coloured ribbons represent 50% credible intervals; dotted lines
413 represent the expected (black) and extreme (grev) levels of mortality described in other studies of represent the expected (black) and extreme (grey) levels of mortality described in other studies of 414 eucalypts (2-35%; Vivian et al. 2008; Catry et al. 2013; Trouvé, Osborne & Baker 2021). 415

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3.1.3 Resprouting position

 Trees in the DSF community typically resprouted from the *canopy* branches, across the range of DBH values, drought/fire combinations, bark types and fire scar classes (mean probabilities: 32–99%), followed by *stem* (mean probabilities: 1–56%), with *base* very unlikely to be the sole resprouting position (mean probabilities: 0–11.4%; Figs **4**, **5**). The probability of *stem* resprouting increased as DBH decreased below 25–35 cm across all combinations of drought severity and fire frequency, bark types and fire scar classes (Figs **4c**, **d**, **5c**, **d**), with corresponding reductions in *canopy* resprouting (Figs **4a**, **b**, **5a**, **b**). At smaller DBH values (10–15 cm), trees without fire scars were on average 7–25% more likely to be *canopy* resprouters than trees with fire scars, depending on bark type (Figs **4a**, **5a**). Small trees (10–15 cm DBH) with fire scars were 10–25% more likely to be *stem* resprouters than trees without fire scars (Figs **4c**, **5c**).

 Fire frequency and drought generally had a negligible effect on resprouting position. The exception was small trees (10–20 cm) with fire scars, which were 7–15% more likely to be *canopy* resprouters if they had experienced severe drought and high fire frequency (Figs **4b**, **5b**).

 Stem and *base* resprouting was most common in small trees (10–15 cm DBH) with fire scars and fibrous bark (Fig **5d**, **5f**). *Base or stem* resprouting was less likely in trees with rough bark (mean probabilities for *base/stem*: 6.5 / 47.7%), stringy bark (mean probabilities for *base/stem*: 5.4 / 44%), smooth bark (mean probabilities for *base/stem*: 2.6 / 29.8%), with hard bark trees having the lowest probability (range/mean probabilities for *base/stem*: <1 / 13.8%; Fig. **5d**, **f**).

Figure 4. The effect of DBH (x-axis), drought severity/fire frequency combination (coloured ribbons)
442 and fire scar presence (left/right panels; n = no scar; y = scar present) on the probability of membership 442 and fire scar presence (left/right panels; n = no scar; y = scar present) on the probability of membership
443 in each resprouting position category (canopy = complete resprouting; stem = stem resprouting only, 443 in each resprouting position category (canopy = complete resprouting; stem = stem resprouting only, 444 death of canopy; base = basal resprouting only, death of stem and canopy) for trees 10–80 cm DBH in death of canopy; base = basal resprouting only, death of stem and canopy) for trees 10–80 cm DBH in
445 dry sclerophyll forest (DSF) of the Sydney Basin. Drought/fire combinations are notated as follows: MD 445 dry sclerophyll forest (DSF) of the Sydney Basin. Drought/fire combinations are notated as follows: MD
446 = moderate drought: SD = severe drought: LF = low fire frequency: HF = high fire frequency: rows 446 = moderate drought; SD = severe drought; LF = low fire frequency; HF = high fire frequency; rows
447 represent resprouting position. Coloured ribbons represent 50% credible intervals; dotted black lines 447 represent resprouting position. Coloured ribbons represent 50% credible intervals; dotted black lines 448 represent the expected levels of mortality (2-15%). represent the expected levels of mortality (2–15%).

Figure 5. The effect of DBH (x-axis), bark type (coloured ribbons) and fire scar presence (left/right 452 panels; n = no scar; y = scar present) on the probability of membership in each resprouting position 452 panels; n = no scar; y = scar present) on the probability of membership in each resprouting position
453 category (canopy = complete resprouting; stem = stem resprouting only, death of canopy; base = basal 453 category (canopy = complete resprouting; stem = stem resprouting only, death of canopy; base = basal
454 resprouting only, death of stem and canopy) for trees 10–80 cm DBH in dry sclerophyll forest (DSF) of 454 resprouting only, death of stem and canopy) for trees 10–80 cm DBH in dry sclerophyll forest (DSF) of 455 the Sydney Basin. Rows represent resprouting position. Coloured ribbons represent 50% credible 455 the Sydney Basin. Rows represent resprouting position. Coloured ribbons represent 50% credible 456 intervals; dotted black lines represent the expected levels of mortality (2–15%). intervals; dotted black lines represent the expected levels of mortality (2–15%). 457

458 *3.2.1 Wet sclerophyll forest*

460 Trees without fire scars in WSF communities were very unlikely to be dead and the probability

- 461 of mortality was below the upper bound of the expected level of mortality of 15%, regardless
- 462 of DBH, drought, fire frequency or bark type (Fig. **6a**). When trees had a fire scar the
- 463 probability of mortality increased as DBH decreased (Fig. **6b**, **d**). Trees with fire scars were

⁴⁵⁹ *3.2.2 Mortality*

 more likely to exceed upper bound of the expected level of mortality as DBH decreased below 45–55 cm (Fig. **6b**, **d**), and more likely to exceed the extreme mortality level when DBH decreased below 25–35 cm (Fig. **6b**, **d**), with the maximum probability of mortality being 37– 84% in the smallest stems (i.e., 10 cm; Fig. **6b**, **d**). Trees that experienced low fire frequency remained mostly above the expected level of mortality regardless of DBH (Fig. **6b**).

 Mortality was more likely for small trees (<20 cm DBH) with fire scars exposed to high fire frequency compared to low fire frequency when drought was severe (calculated mean difference across bark types 25–31%; Fig. **6b**, **d**). At intermediate DBH (e.g., 40–41 cm) there was little difference in the probability of mortality for trees with fire scars due to drought severity (calculated mean difference <5%). However, trees that experienced low fire frequency compared to high fire frequency were 10–17% more likely to die (Fig. **6b**).

 At intermediate DBH there were substantial differences in mortality for trees with fire scars compared to trees without fire scars (calculated mean difference of 15–50%; Fig. **6b**, **d**). For trees of intermediate size, stringy barks had highest mortality (mean probability: 41.3%), followed by trees with smooth bark (mean probability: 32.4%), hard bark (mean probability: 26.9%), rough bark (mean probability: 26.9%), and fibrous bark (mean probability: 22.1%; Fig. **6b**), although there was overlap of 50% credible intervals among bark types.

484 **Figure 6.** The effect of DBH (x-axis), drought severity/fire frequency combination (panels a, b), fire scar
485 presence (left/right panels; n = no scar; y = scar present) and bark type (panels c, d) on the probabilit 485 presence (left/right panels; n = no scar; y = scar present) and bark type (panels c, d) on the probability 486 of mortality for trees 10–80 cm DBH in wet sclerophyll forest (WSF) of the Sydney Basin. Drought/fire 486 of mortality for trees 10–80 cm DBH in wet sclerophyll forest (WSF) of the Sydney Basin. Drought/fire 487 combinations are notated as follows: MD = moderate drought: SD = severe drought: LF = low fire 487 combinations are notated as follows: MD = moderate drought; SD = severe drought; LF = low fire 488 frequency: HF = high fire frequency. Coloured ribbons represent 50% credible intervals: dotted lines 488 frequency; HF = high fire frequency. Coloured ribbons represent 50% credible intervals; dotted lines 489 represent the expected (black) and extreme (grey) levels of mortality described in other studies of 490 eucalvots (2-35%; Vivian et al. 2008; Catry et al. 2013; Trouvé Osborne & Baker 2021). 490 eucalypts (2-35%; Vivian et al. 2008; Catry et al. 2013; Trouvé, Osborne & Baker 2021). 491

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3.2.3 Resprouting position

 Trees in the WSF community typically resprouted from the *canopy* branches or were *unaffected* by disturbance, across the range of DBH values, drought/fire combinations, bark types and fire scar classes (range of mean probabilities: 69–100%) followed by *stem* (range of mean probabilities: 0–23%) with *base* very unlikely (range of mean probabilities: 0–7%). The probability of *stem* resprouting increased as DBH decreased below 25–35 cm. Correspondingly, probability of *canopy*/*unaffected*, i.e., the expected response, decreased below 25–35 cm DBH. At minimum DBH values (10 cm) trees without fire scars were 4–18% more likely to be *canopy* resprouters or *unaffected* than trees with fire scars, i.e., somewhat more likely to conform to the expected response. Small trees (10–15 cm DBH) with fire scars were 3–12% more likely to be *stem* resprouters than trees without fire scars (see Supporting Information **2** for more details on WSF response).

 When small trees had a fire scar they were 18–31% more likely to be *canopy* resprouters or *unaffected* if they had experienced severe drought and low fire frequency. However, the magnitude of differences between all drought/fire combinations was generally less than 2% for average sized trees (~40 cm DBH). Rough barked trees were most likely to be *stem* resprouters (mean probability: 23%), followed by fibrous bark trees (mean probability: 17%). Trees with other bark types had low probabilities of *stem* resprouting (mean probabilities: 10–12%; see Supporting Information **2** for more details on WSF response).

4.1 Discussion

 In this large-scale field study investigating two key temperate forest types, we found that the effect of fire on the mortality and resprouting position of reproductive-age eucalypts was primarily determined by tree characteristics (i.e., size, bark type and previous fire damage), with pre-fire drought and fire frequency having little effect on stem resistance (mortality) and resilience (resprouting). This finding aligns with work from similar forests subjected to high severity fires (Collins 2020; Trouvé, Osborne & Baker 2021) and indicates that variations in short-term drought history do not greatly affect mortality rates or resprouting responses following fire in the study area. In contrast, fire-related mortality in forests in other global regions can be as high as 89% for obligate-seeders (e.g. coniferous species, *Catry et al.* 2010) and the effects of coupled drought and fire can result in mortality levels of 40–80% in tropical forests dominated by basal resprouters (Brando *et al.* 2014). The probability of mortality varied considerably with bark type in our study and so it is plausible that regional patterns of bark types may have masked other effects such as drought (e.g. see Nolan *et al.* 2020b).

 Fire scar presence and DBH were the most influential predictors of mortality and resprouting position in trees of both forest types (Figs **3**, **4**). Almost all dead trees (98.7%) in our study had a fire scar (see Supporting Information **2**), compared to 56.4% of live trees, highlighting the importance of fire scar presence on mortality. However, there was substantial variation in the magnitude of the DBH effect on mortality for trees with fire scars, and on resprouting more generally, as a function of bark type. Interestingly, the pattern was not consistent across our two forest types. For dry sclerophyll species, hard and smooth bark, the more compact bark types, provided greater resistance to mortality, while fibrous and rough bark provided greater resistance for wet sclerophyll species, highlighting potential species- level differences within bark types and between vegetation types. Variation in topkill and mortality response is known to be related to bark type and stem size among eucalypt species (Nolan *et al.* 2020b; Trouvé, Osborne & Baker 2021). In contrast to the effects of bark type on whether or not a tree died from fire, the influence of bark type on resprouting position provides insights for the future of trees that survived. Thinner, non-compact bark types (fibrous, stringy, rough; see Supporting Information **2** for details on bark thickness) were less resilient to lowering in resprouting position from *canopy* to *stem* or *base*, consistent with work elsewhere (Lawes *et al.* 2011b; Brando *et al.* 2012; Collins 2020). This phenomenon was consistent between vegetation types, but amplified for smaller trees on ridgetops (dry sclerophyll) and diminished for larger trees in gullies (wet sclerophyll), suggesting that interactions between topographically moderated soil water availability and fire severity, basal damage and bark morphology will determine stem and branch resistance and syndromes of resilience via resprouting. Finally, although species-level analysis was beyond the scope of this study, inherent species effects may also exist (see Trouvé *et al.* 2021). For example, drought-induced canopy damage can vary between co-occurring myrtaceous species in eucalypt dominated forests (Fensham *et al.* 2014). There is also evidence that species in our study may have been more prone to drought-induced canopy damage than others (see Li *et al.* 2018), leading to changes in the maximum height of resprouting position. Successful canopy resprouting was negatively affected by the presence of fire scars, providing support for the proposal that basal damage is a driver of topkill (Whitford & Williams 2001; Gibbons, Cunningham & Lindenmayer 2008; Collins 2020).

4.1.1 Biological mechanisms underlying mortality & resprouting responses

 The mortality and damage of stems and branches will be determined by the exposure of living tissues to lethal temperatures during fire (Wesolowski, Adams & Pfautsch 2014). Bark is an important insulator against the effects of fire (Pausas 2015) and the observed effects of stem size and bark type in our study reflect this: small trees were more likely to be killed, as found elsewhere (Catry *et al.* 2013; Bennett *et al.* 2016; Denham *et al.* 2016) and the likelihood of mortality varied substantially across bark type (Figs **3**, **6**). The reduced likelihood of mortality with increasing stem size may be explained by accumulation of bark thickness with stem size/age. Bark thickness increases with increasing stem diameter (Lawes *et al.* 2013), providing larger trees with greater protection from lethal temperatures during fire (Wesolowski, Adams

 & Pfautsch 2014). The differences across bark types observed in our study therefore likely reflect a combination of bark thickness/density and age among species (Wesolowski, Adams & Pfautsch 2014).

 Our finding that species with non-compact bark types (fibrous, stringy, rough) with fire scars were generally more likely to be killed by fire than those with compact bark types (hard, smooth), supports the proposition that resistance traits influence mortality trends in forested landscapes (Gibbons, Cunningham & Lindenmayer 2008; Brando *et al.* 2012; Collins 2020). Dense, thick bark may confer greater resistance to fire scarring and stem mortality than lower- density, fibrous bark types in eucalypts (Collins 2020). Conversely, thick bark with low density is also known to provide greater resistance to mortality than thick bark with high density (Nolan *et al.* 2020b). Complex interactions between bark density and water content are likely to drive variability in stem insulation across species (Lawes *et al.* 2011b; Wesolowski, Adams & Pfautsch 2014; Nolan *et al.* 2020b). Heat transfer from the outer bark to the cambium is the key mechanism influencing cambium necrosis (Wesolowski *et al.* 2014) and thus fire scar formation. Fire scars may impair hydraulic function by reducing sapwood area and limiting the volume of water that can be transported to foliage and growth buds in the crown, thereby increasing the risk of resprouting failure and stem collapse (Whitford & Williams 2001).

 Aside from the characteristics of trees, their vegetation community and associated topographic position in the landscape influence resilience to fire. Mature wet sclerophyll forest trees in gullies displayed a high degree of canopy resistance to fire, with most trees having low levels of branch mortality. Gullies typically retain higher fuel moisture than adjacent ridgetops due to accumulation of soil water and lower insolation produced by topographic relief and high foliage cover (Nyman *et al.* 2015). In addition, trees in gullies typically have higher relative crown height compared with trees in ridges (Supporting Information **2**), reducing the likelihood of canopy scorch or consumption during fire (Bradstock *et al.* 2010; Zylstra 2018). Therefore, ecosystem resilience to drought and fire is likely higher in WSF than DSF due to the moderation of topoclimatic conditions and severity of disturbance.

4.1.2 Consequences for resprouting

 While canopy cover in most resprouting eucalypt forests recovers relatively quickly from high- severity fire (generally 10–20 years, Vivian *et al.* 2008, Haslem *et al.* 2016; Collins *et al.* 2021b), it has been proposed that multiple high severity fires in quick succession could lead to longer-term structural or demographic changes, through mortality and a shift from epicormic to basal resprouting (Fairman, Bennett & Nitschke 2019). In our study, smaller dry sclerophyll trees on ridgetops were most likely to be *stem* resprouters (up to 56.2%, Fig. **5**). This indicates that the 'escape size' (Fairman *et al.* 2019), which refers to the stem size above which trees are at least 50% likely to resprout epicormically, for trees in Sydney sandstone forests is <10 cm DBH. This threshold is considerably lower than in other eucalypt forests (e.g., 20 cm DBH; Fairman *et al.* 2019), suggesting that forests of the study region are comparatively more resilient than eucalypt forests studied elsewhere. However, there was considerable variation in understorey composition in our study (see Supporting Information **1**), and it is not clear how pre-fire shrub density/fuel structure may have influenced fire intensity and thus our results. Nonetheless, smaller trees on ridgetops had 10–25% greater mortality under high fire frequency compared to low fire frequency, and therefore remain vulnerable in our study region. For larger trees, the influence of drought and fire on mortality and resprouting position were generally reduced compared to smaller trees.

 Populations dominated by species that have: (1) previous fire damage; (2) small stem diameters (e.g., due to poor-soils or other growth-limiting factors); (3) thin, non-compact bark; and (4) experience high fire frequency could be less resilient to lowering in resprouting position—and thus community state-changes—given severe drought and fire conditions. Also, species vary in their response to conditions leading up to fire, in turn influencing their fire response. For example, *Eucalyptus piperita* is particularly susceptible to branch death during severe drought (Li *et al.* 2018), demonstrating potential for further variation in post- drought/post-fire topkill rates among species. Thus, while ecosystem conversion appears unlikely, these intra-specific differences in stem resistance may lead to compositional changes with important ecological implications. Future studies that focus on these 'at-risk' eucalypt populations may be able to detect changes that are otherwise masked by high species diversity and site variability.

4.1.3 Potential future changes to forests

 It is predicted that ecosystem-conversion, e.g., forest to non-forest, may be driven by climate- change and extreme disturbance regimes (Bowman *et al.* 2013; Fairman, Nitschke & Bennett 2016). Our findings indicate that severe drought and frequent fire at levels experienced at the time of our study are unlikely to result in major structural changes—such as the loss of mature trees—in the eucalypt forests of the Sydney region. Mortality was not elevated for mature trees under severe drought and high fire frequency compared to less severe conditions, which is broadly consistent with predictions (Bowman *et al.* 2013) and findings elsewhere (Catry *et al.* 2013). However, the smaller trees on ridgetops had an increased chance of mortality under high fire frequency and were also more likely to revert to *stem* or *base* resprouting. It is important to interpret our findings in the context of recent trends of increased fire frequency and drought intensity over the last 20 years. This work was conducted prior to the unprecedented 2019/20 bushfires (Boer et al. 2020; Collins et al 2021), which swept through our study area and are generally accepted to have been exacerbated by chronic drought on top of record-breaking temperatures (Nolan *et al.* 2020a; Abram *et al.* 2021). During this event, interactions between extreme drought and heat led to complete canopy defoliation of some resprouting eucalypt forests, which was followed by severe fires of unprecedented scale (Nolan *et al.* 2021). The consequences of such conditions on tree mortality and forest structure may be known for a number of years (Nolan *et al.* 2021).

 Understorey fires and canopy fires have contrasting effects on the response of epicormic resprouters to fire, whereby eucalypt forests display high resistance to low severity fire (Watson, French & Collins 2020), but must resprout in response to high severity fire (Collins 2020). Generally, rates of tree topkill increase with fire severity (Denham *et al.* 2016; Trouvé, Osborne & Baker 2021). Our study did not consider the severity of fires when quantifying fire frequency; thus, it is unclear whether trees were subjected to multiple high severity fire events that stimulated a resprouting response. Contrasting both low and high fire severity across fire frequency classes could shed also further light on the role of fire severity in mortality and resprouting processes for forests in our study region. Additionally, assessing the impacts of consecutive droughts and fires on specific species of interest, e.g. those found in marginal areas, as previously outlined, may give deeper insight into the future trajectories of plant communities.

 We suggest that Sydney sandstone forests may be at the upper end of overstorey resilience and may provide a baseline for other comparative studies. However, it is important to interpret our findings in the context of recent global trends of increasing drought and associated mega-fires (Kirchmeier‐Young *et al.* 2019; Boer, Resco de Dios & Bradstock 2020; Collins *et al.* 2021a). Our study was conducted prior to the unprecedented 2019/20 fires, which burnt more than one-fifth of the temperate eucalypt forest biome (Boer *et al.* 2020) and much of our study area. Continued intensification of disturbance regimes may alter the way these forests respond in future. To better understand the environmental conditions and vegetation characteristics that promote mortality and changes to resprouting position in forests, an integrated approach inclusive of landscape variables such as drought, fire and topography, population level phenomena, including demographic bottlenecks and distribution of bark types,

and species attributes, such as the structure of fuels at the base of the tree, is needed.

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Author's contributions

Eli Bendall, Ross Bradstock, Luke Collins and Andrea Leigh conceived the ideas; Eli

Bendall, Ross Bradstock, Luke Collins and Andrea Leigh designed the methodology; Eli

Bendall collected the data; Eli Bendall and Michael Bedward analysed the data; Eli Bendall

led the writing of the manuscript; Ross Bradstock, Luke Collins, Michael Bedward, Andrea

Leigh, Matthias Boer and Hamish Clarke contributed to the writing of the manuscript; Eli

Bendall prepared the Supporting Information. All authors contributed critically to the drafts

and gave final approval for publication.

Statement on inclusion

Conflict of interest

- The authors declare that they have no conflict of interest, financial or otherwise, that could have influenced this paper.
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Data availability statement

- All data and R scripts used to manage data, analyse data and generate figures are provided online in a data repository (https://github.com/erb418/EB.C- h2.scripts).
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