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1 **Advancements in detection and removal of antibiotic resistance genes in sludge digestion: a**  
2 **state-of-art review**

3  
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28 **Abstract:**

29 Sludge from wastewater treatment plants can act as a repository and crucial environmental provider  
30 of antibiotic resistance genes (ARGs). Over the past few years, people’s knowledge regarding the  
31 occurrence and removal of ARGs in sludge has broadened remarkably with advancements in  
32 molecular biological techniques. Anaerobic and aerobic digestion were found to effectively achieve  
33 sludge reduction and ARGs removal. This review summarized advanced detection and removal  
34 techniques of ARGs, in the last decade, in the sludge digestion field. The fate of ARGs due to different  
35 sludge digestion strategies (i.e., anaerobic and aerobic digestion under mesophilic or thermophilic  
36 conditions, and in combination with relevant pretreatment technologies (e.g., thermal hydrolysis  
37 pretreatment, microwave pretreatment and alkaline pretreatment) and additives (e.g., ferric chloride  
38 and zero-valent iron) were systematically summarized and compared in this review. To date, this is  
39 the first review that provides a comprehensive assessment of the state-of-the-art technologies and  
40 future recommendations.

41

42 *Keywords:* Antibiotic resistance genes; Anaerobic sludge digestion; Aerobic sludge digestion;  
43 Wastewater treatment plants.

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## 55 1. Introduction

56 Antibiotics have been produced and applied in medical care to promote human health and animal  
57 farming and aquaculture for agricultural production for more than 70 years (Xue et al., 2019). There  
58 is no doubt that the invention and application of antibiotics have not only saved countless lives  
59 clinically but also helped the economic prosperity. However, the widespread antibiotic resistance is  
60 posing new risks to public health, which might turn this into a Pyrrhic victory. It is estimated that the  
61 death of more than 35,000 people in America each year is due to antibiotic resistance (Centers for  
62 Disease Control and Prevention, 2019). Antibiotic resistance will become one of the biggest threats  
63 to public health and the economy worldwide by 2030 if no action is taken (WHO, 2021). Most  
64 antibiotics (30%-90%) used as human and veterinary medicine are excreted in non-metabolized forms  
65 via urines and feces and eventually enter the sewer (Wang et al., 2020; García et al., 2020; Nguyen  
66 et al., 2021). Even at low concentrations, these non-metabolized antibiotics still posed a constant  
67 selection pressure on the microbial community, leading to the occurrence and dissemination of  
68 antibiotic resistant genes (ARGs) in the various microbial community in wastewater treatment plants  
69 (WWTPs) (Aminov, 2011; Chow et al., 2015; Karkman et al., 2018). More importantly, more than  
70 99% of ARGs eventually accumulate in sludge from WWTPs, which becomes a major environmental  
71 source of ARGs (Xue et al., 2019; Nguyen et al., 2021).

72  
73 Conventionally, for the detection of ARGs in sludge, isolating pure cultures have played the most  
74 crucial role among the microbial communities (Pazda et al., 2019; Karkman et al., 2018). However,  
75 sludge contains a wide variety of environmental microorganisms and most of them cannot be isolated  
76 and purely cultured in the laboratory (Pazda et al., 2019; Karkman et al., 2018). This greatly hindered  
77 the detection and understanding of ARGs in sludge from WWTPs. In past decades, the advancement  
78 of molecular biological techniques greatly improved the detection accuracy and efficiency of ARGs  
79 in sludge, which paved the way for the understanding and management of ARGs in sludge. Large  
80 amounts of diverse ARGs that represent the resistance to nearly all major classes of antibiotics have  
81 been detected in sludge using advanced detection techniques (Karkman et al., 2018; Martinez et al.,

82 2015). To date, various studies have reviewed the occurrence of ARGs in wastewater or during  
83 wastewater treatment in WWTPs in terms of their toxicity and detection approach (Hiller et al., 2019;  
84 Pazda et al., 2019; Wang et al., 2020). Although some detection techniques (such as RT-qPCR) were  
85 shared between wastewater and sludge, due to the different characteristics of wastewater and sludge,  
86 the performance and application of detection techniques varied between wastewater and sludge for  
87 ARGs detections (Tamminen et al., 2015; Bouki et al., 2013; Karkman et al., 2018).

88  
89 Sludge from WWTPs can not only act as a repository but also a major environmental source of ARGs  
90 due to its reuse and disposal (Heuer et al., 2011; Zhu et al., 2013). Due to the presence of valuable  
91 nutrients and organic matter, sludge is commonly used as a fertiliser and for soil remediation purposes  
92 (Zhang et al., 2021a; Kube et al., 2019). For instance, more than 67% of sludge is reused in agriculture  
93 in Australia (Australian and New Zealand Biosolids Partnership, 2019). This may lead to the spread  
94 of ARGs from sludge to the local environment, elevating the health risk of sludge reuse. To minimize  
95 the health risk, the efficient removal of ARGs during sludge treatment before the land application  
96 became critical. In recent years, the fate of ARGs during sludge treatment with various sludge  
97 reduction technologies has become a research hotspot. Sludge treatment techniques, including  
98 anaerobic and aerobic digestion under thermophilic and mesophilic conditions, exhibited different  
99 removal efficiency of ARGs (Guo et al., 2017; Miller et al., 2016; Diehl & LaPara. 2010). Previous  
100 reviews stated that anaerobic and aerobic digestion could reduce the abundance of ARGs in sludge  
101 (Xue et al., 2019). However, the recent developments of pretreatment methods (i.e. thermal hydrolysis,  
102 microwave-based treatment, free ammonia treatment, etc.) and additives (i.e. zero-valent iron, FeCl<sub>3</sub>,  
103 Fe<sub>3</sub>O<sub>4</sub>) applied commonly altered the fate of ARGs during the digestion (Pei et al., 2016; Tong et al.,  
104 2018; Zhang et al., 2020c; Zhang et al., 2021b; Zhou et al., 2021a; Jang et al., 2017). The summary  
105 of and the discussion of impacts of sludge digestion strategies with different pretreatment techniques  
106 and additives are still lacking.

107  
108 This review summarized and discussed up-to-date literature of ARGs in the sludge from WWTPs

109 with a broader perspective for the first time on the following aspects: 1) the occurrence and detection  
110 techniques of ARGs in sludge from WWTPs; 2) the fate of ARGs during the sludge treatment with  
111 various digestion techniques, pretreatment methods and additives.

112

## 113 **2. Occurrence of ARGs in sludge from WWTPs**

114 Antibiotics have been discovered and used for disease treatment for more than 90 years (Dougherty  
115 & Pucci, 2011). Due to incomplete metabolism or waste of unused antibiotics, a large amount of  
116 wastewater from hospitals, households, pharmaceutical plants, livestock, *etc.* containing antibiotics  
117 is discharged into WWTPs through sewage pipes (Bouki et al., 2013; Rodriguez-Mozaz et al., 2015;  
118 Wang et al., 2015; Yuan et al., 2018; Syafiuddin & Boopathy, 2021) (Figure 1). Inevitably, these  
119 antibiotics become the culprits behind the occurrence of ARGs in WWTPs. For instance, tetracyclines,  
120 a type of spectrum antibiotics that are commonly used in humans, livestock and aquaculture, have  
121 caused the occurrence of significant amounts of tetracycline resistance genes in WWTPs sludge  
122 (Auerbach et al., 2007; Martinez, 2009). It was reported that the abundance of *tetA* and *tetQ* (two  
123 types of tetracycline resistance genes) in sludge could reach  $10^8$ - $10^9$  and  $10^4$ - $10^7$  copies/g-TS (TS:  
124 total solids TS), respectively (Auerbach et al., 2007).

125

126 (Position for Figure 1)

127

128 WWTPs are also hot spots for the proliferation of ARGs (Figure 1). ARGs widely disseminate in  
129 bacterial communities through both vertical gene transfer (VGT) and horizontal gene transfer (HGT)  
130 (Shao et al., 2018; Xue et al., 2019; Zhang et al., 2018; Cheng et al., 2020). By VGT, antibiotic  
131 resistance bacteria (ARB) pass on ARGs through cell reproduction (Shao et al., 2018). The HGT is  
132 mediated by mobile genetic elements (MGEs), which consist of plasmids, transposons, phages,  
133 insertion sequences, and integrons (Guo et al., 2017; Nguyen et al., 2021). Through HGT, non-ARB  
134 obtain one or multiple ARGs from ARB or environments (Shao et al., 2018; Xue et al., 2019; Zhang  
135 et al., 2018). Moreover, different kinds of pollution compounds such as pharmaceuticals and personal

136 care products (PPCPs), bactericide, heavy metals, and even artificial sweeteners, also produce  
137 selection stress on bacteria, thus promoting the HGT of ARGs (Qiu et al., 2021). With a high bacterial  
138 density and a large number of biofilms, WWTPs undoubtedly increased opportunities for VGT and  
139 HGT of ARGs (Karkman et al., 2018; Li et al., 2020).

140  
141 Currently, almost all known ARGs could be found in sludge from WWTPs (Ju et al., 2019). An et al.,  
142 (2018) applied high-throughput real-time quantitative reverse transcription (HT-qPCR) to test 285  
143 ARGs of sludge samples from 11 sewage WWTPs in China. The results of this study indicate that  
144 each sample contains  $95 \pm 46$  subtypes of ARGs, the absolute abundance of ARGs is approximately  
145  $2.0 \times 10^{13}$  copies/L, and the relative abundance is approximately 0.4 copies/16S rRNA. Similarly, Yang  
146 et al., (2014) investigated ARGs of sludge in Shatin WWTP from Hong Kong using a metagenomics-  
147 based approach and found 102 different subtypes of ARGs with a relative abundance of 47.41%.

148  
149 Eventually, the ARGs in WWTPs enter the natural environment by effluent discharge and sludge  
150 application (Figure 1). Burch et al., (2013) reported that the abundance of ARGs in sludge was much  
151 greater than that of effluent ( $> 100$  times). The application of sludge will inevitably lead to the spread  
152 of ARG from the sludge to the soil, and further spread in nature, and ultimately harm human health  
153 (Riber et al., 2014; Xie et al., 2016; Chen et al., 2016). Therefore, the detection and reduction of the  
154 ARGs in the sludge become an urgent research need.

155  
156 **3. Techniques for ARGs detection in sludge**

157 Hitherto, isolation of pure cultures for the detection of antibiotic resistance has been the most  
158 important method clinically (Karkman et al., 2018). This method was also applicable to the detection  
159 of antibiotic resistance in wastewater or sludge and has played an important role in identifying  
160 antibiotic resistance in WWTPs (Karkman et al., 2018). However, cultures and drug sensitivity tests  
161 have limitations for environmental bacteria, since only a small proportion of environmental bacteria  
162 (such as *Pseudomonas* and *Enterococci*) can be grown under laboratory conditions (Riber et al., 2014;

163 Tong et al., 2017). Therefore, culture-independent molecular biology techniques are widely applied  
164 in the detection of antibiotic resistance in sludge. The presence and identification of ARGs in  
165 microorganisms commonly rely on the genetic information in DNA extracted from samples (Guo et  
166 al., 2017; Nguyen et al., 2021). However, recent studies revealed the presence of ARGs and MGEs  
167 are also in the form of RNA in several microorganisms such as *M. tuberculosis*, *M. aviumcomplex*  
168 (Cockerill III et al., 1999), etc. Thus, for ARGs detection using culture-independent molecular  
169 biology techniques, DNA and/or RNA from sludge samples were extracted using corresponding  
170 extraction kits, i.e. Fast DNA™ Spin Kit for Soil (MP Biomedicals, USA) for DNA and RNeasy Mini  
171 Kit (QIAGEN®, Germany) for RNA (Guo et al., 2017; Xu et al., 2020a; Wang et al., 2019c). It is  
172 worth noting that due to the instability of RNA, the extracted RNA is generally synthesized to cDNA  
173 and then used for the ARGs detection through DNA microarray, metagenomics or RT-qPCR (Aydin  
174 et al., 2015; Guo et al., 2017; Cockerill III et al., 1999.) The currently available molecular biology  
175 techniques applied for ARGs detection in sludge are summarized in Table 1.

176

177 (Position for Table 1.)

178

### 179 **3.1 Real-time quantitative reverse transcription (RT-qPCR)**

180 RT-qPCR is the most widely used culture-independent approach in the determination of targeted  
181 genes for various ARGs (Monpoeho et a., 2000; Karkman et al., 2018). This technique could  
182 determine the absolute abundance and relative abundance of the ARGs by monitoring the  
183 amplification reaction using fluorescence, the resistance genes to common types of antibiotics such  
184 as tetracycline, beta-lactam and sulfonamide have been detected in sludge from WWTPs (Auerbach  
185 et al., 2007; Ju et al., 2019). The major benefits of this technique is: 1) high specificity; 2) rapid  
186 examination (short handling time, i.e. within 24 h); 3) providing absolute abundance; 4) low limit of  
187 detection (LOD) and limit of quantitation (LOQ) which is about 3 copies/μL (Table 1) (Rizzo et al.,  
188 2013; Bouki et al., 2013; Karkman et al., 2018).

189



190 RT-qPCR detection relies on primers, which need to be uniquely designed and will act only for each  
191 target gene in the sample (Takenaka et al., 2018). Thus this technique has high specificity. With the  
192 availability of primers for the target gene, RT-qPCR results can be obtained within 24 hours (contact  
193 with technicians). In RT-qPCR, absolute abundance is determined by the standard curve method. The  
194 standard curves are built based on the quantification of a known quantity of target genes in the sample.  
195 The units commonly used in the relative research are gene copies/g-DW (DW: dry weight), gene  
196 copies/g-TS, or gene copies/ml. Another unit that is commonly used for ARGs quantification is  
197 relative abundance. Relative abundance represents the percentage of target gene abundance in the  
198 total DNA reads of a given sample. For the convenience of comparison, the relative abundance of  
199 ARGs is normalized to the abundance of 16S rRNA as gene copies/16s rRNA. 16S rRNA is normally  
200 expressed as biomass in related articles (Tong et al., 2017). Absolute abundance can be used to  
201 quantify the risk of each sludge sample, and relative abundance can be used to quantify the risk of  
202 each microbial community. The microbial biomass in different sludge samples may vary greatly, so  
203 absolute abundance is considered more representative of the potential hazard of sludge. Furthermore,  
204 the LOD of RT-qPCR (3 copies/reaction) normally is much lower than the sequencing approach  
205 (Table 1), which allows the detection of ARGs under sensitive and low abundance environments  
206 (Forootan et al., 2017).

207  
208 The major drawback of RT-qPCR is that knowledge is required prior to the detection, to design the  
209 primers for the target ARGs. This limits the detection of unknown or unexpected ARGs (Karkman et  
210 al., 2018; Zhu et al., 2013). In addition, some errors in the amplification process may cause the  
211 number of target genes to be amplified (Ruijter et al., 2013). This may be due to editing errors that  
212 occur during enzyme replication catalyzed by DNA polymerase or errors caused by thermal damage  
213 to DNA (Pienaar et al., 2006). Furthermore, flux is another major disadvantage of this approach. For  
214 RT-qPCR, only one target ARG can be detected at a time, which greatly limits its throughput  
215 (Stedtfeld et al., 2008). To quantify multiple ARGs in the same sample, the test steps need to be  
216 repeated for each target gene. In addition, there is a growing demand for information about the host

217 of ARGs as a key to finding the potential way to reduce the abundance of ARGs. However, RT-qPCR  
218 lacks the capability to directly locate the hosts of the ARGs because the goal of a primer is to find  
219 and bind the target gene without knowing where the target gene is (Li et al., 2015) (Table 1).

220

### 221 **3.2 HT-qPCR**

222 Recently, HT-qPCR becomes more popular for the determination of the abundance of ARGs in sludge  
223 (Table 1). HT-qPCR is a platform for miniaturizing traditional RT-qPCR and processing large  
224 numbers of samples (Lamas et al., 2016). With quality control, it has achieved the same accuracy as  
225 conventional RT-qPCR (Wang et al., 2014). HT-qPCR has all the advantages of RT-qPCR (Table  
226 1). Compared with traditional RT-qPCR, HT-qPCR undoubtedly breaks the limitation of detection  
227 flux (Waseem et al., 2019). Depending on the experimental equipment, HT-qPCR could measure  
228 hundreds of ARGs simultaneously in sludge, thus covering more antibiotic classes at one test (An et  
229 al., 2018). For example, Chen et al., (2016) used HT-qPCR (WaferGen SmartChip, USA) to measure  
230 the abundance of 108 ARGs in sludge with one run which greatly reduces the measurement time  
231 compared to traditional RT-qPCR. However, as this method is still based on RT-qPCR, it has similar  
232 disadvantages as RT-qPCR, such as the need to design primers prior to the detection and the inability  
233 to provide the host information (Table 1).

234

### 235 **3.3 Shotgun high-throughput sequencing (next-generation sequencing)**

236 Unlike RT-qPCR and HT-qPCR, which measure the abundance of targeted ARGs only, metagenomics  
237 collects the genetic information of an entire microbial community. Currently, the shotgun high-  
238 throughput sequencing platform is the most widely used sequencing platform and has been widely  
239 applied in detecting ARGs in the diverse environment including sludge (Hu et al., 2013; Li et al.,  
240 2015) (Table 1). The major advantages of this method include: 1) obtaining the information of all  
241 ARGs in the sample, 2) providing the host information of ARGs by network analysis (Wang et al.,  
242 2013; Yang et al., 2014) (Table 1). Since metagenomics collects all the genetic information in the  
243 sample, in theory, it could provide the relative abundance of all the species and ARGs in a sample

244 (Hugenholtz & Tyson, 2008). With the assist of network analysis, potential hosts for ARGs in the  
245 sample can be further identified (Guo et al., 2017). However, in practice, the annotation of ARGs  
246 heavily relies on ARG databases (Karkman et al., 2018), such as the Comprehensive Antibiotic  
247 Resistance Database (CARD) and Antibiotic Resistance Genes Database (ARDB). Thus, only the  
248 known resistance genes can be annotated. It is worth mentioning that ARDB has stopped updating in  
249 2009 and CARD is a monthly updating database. Furthermore, the shotgun high-throughput  
250 sequencing platform generates short reads which require to be assembled into longer and overlapping  
251 DNA segments (i.e. contigs) to provide more information about ARGs. However, this method  
252 strongly relies on powerful computational resources. In addition, the detection limit of target genes is  
253 closely related to the sequencing depth, which greatly increases the size of data. For instance, Liu et  
254 al. (2019) obtained more than 77GB of data per sludge sample to detect the low abundant ARGs, in  
255 which the lowest relative abundance of ARGs detected was  $1.20 \times 10^{-4}$  (nitroimidazole resistance  
256 genes). Although this improves the accuracy, it also increases the handling time, cost, and  
257 consumption of computational resources. More importantly, metagenomics sequencing normally  
258 provides the relative abundance of target ARGs. In recent studies, the relative abundance obtained by  
259 metagenomic analysis was also transformed into absolute abundance by adding the Internal Standard  
260 (Crossette et al., 2021). However, the Internal Standard need to be measured and calibrated using RT-  
261 qPCR (Crossette et al., 2021), which adds additional effort for the methodological optimization and  
262 may introduce biases.

263

### 264 **3.4 Emerging methods**

265 Traditional molecular biology techniques provide an accurate approach for the identification and  
266 quantification of ARGs in sludge. However, the drawback of these techniques is that they cannot  
267 directly show the real host of the ARGs in sludge due to the read length limitation. There is an urgent  
268 need to understand the real host of ARGs, which may help uncover the diversity of the hosts of ARGs  
269 and implemented interventions to reduce the spread of ARGs in sludge. Thus, some emerging  
270 methods have been developed recently, including Emulsion paired isolation and concatenation

271 (Epic)PCR, the third-generation sequencing platforms, and single-cell genome sequencing.

272

273 EpicPCR connects functional genes and phylogenetic markers in uncultured single cells, which can  
274 be obtained by measuring hundreds of thousands of cells (Tamminen et al., 2015). EpicPCR combines  
275 the advantages of RT-qPCR and 16S rRNA sequencing. It first linked the target gene to the 16S rRNA  
276 gene, then amplified the target gene and sequenced the 16S rRNA gene to determine the host of ARGs  
277 and the abundance of ARGs (Spencer et al., 2016) (Table 1). Hultman et al., (2018) used EpicPCR to  
278 detect three ARGs in influent and effluent samples and identified their hosts in different samples.  
279 However, this method also does not overcome the problems of primer design and detection flux which  
280 is the same as the RT-qPCR approach (Table 1).

281

282 Recently, the third-generation sequencing platforms (e.g., Oxford Nanopore and Pacific Biosciences  
283 Single Molecule Real-Time (SMRT)) have been considered as promising technologies to identify  
284 ARGs in sludge (Che et al., 2019). The platforms also aim to collect all the genes of the entire  
285 microbial community. Compared to the shotgun high-throughput sequencing platform, the third-  
286 generation sequencing platform could produce long reads (>500 bp) to find the authentic hosts of  
287 ARGs in sludge, which undoubtedly provides more accurate host information and countermeasures  
288 to reduce the transmission of ARGs (Che et al., 2019; Ashton et al., 2015; Peterson et al., 2019) (Table  
289 1). The major drawback of third-generation sequencing platforms is low accuracy, which is normally  
290 higher than second generation sequencing platforms (Wee et al., 2018). Currently, the error rate is 10-  
291 15% in the SMRT and 5-20% in the Oxford Nanopore (the error rate of Shotgun high-throughput  
292 sequencing is 0.1-1%) (Petrackova et al., 2019; Xiao & Zhou, 2020). Although there have been many  
293 methods to correct raw data of third-generation sequencing by software to reduce the error rate, due  
294 to the lack of authoritative certification, this method is not ideal to detect ARGs in sludge at present  
295 (Amarasinghe et al., 2020) (Table 1).

296

297 DNA Microarray technique is another method that has been used in clinical antibiotic resistance

298 detection. The main advantages of this method are short detection time (several hours), high detection  
299 flux and high accuracy (Zhu et al., 2007, Zhang et al., 2009) (Table 1). Take Zhu et al. (2007)'s study  
300 as an example, a multiplex asymmetric PCR (MAPCR)-based DNA microarray was applied to detect  
301 six types of ARGs in different bacteria species clinically. However, the disadvantages of this method  
302 are obvious (Table 1). This method has a high LOD of only  $10^3$  copies/ $\mu$ L and can only detect the  
303 presence or absence of ARGs, but not their abundance (Zhang et al., 2009; Ma et al., 2020).

304  
305 Droplet Digital PCR (ddPCR) is a novel PCR technique. Compared with RT-qPCR, the absolute  
306 abundance of target ARGs can be obtained without running the standard curve. Cave et al., (2016)  
307 measured two ARGs (*qnrB* and *sulI*) in soil and organic residues by RT-qPCR and ddPCR and found  
308 that the LOD of ddPCR could achieve 0.5 copies/ $\mu$ L, which is more sensitive than RT-qPCR (Cave  
309 et al., 2016; Campomenosi et al., 2016; Villamil et al., 2020; Kojabad et al., 2021) (Table 1). The  
310 technique of ddPCR also has similar drawbacks to RT-qPCR, such as limited detection flux and the  
311 need for primer design (Table 1). Currently, ddPCR has been used in several studies to quantify the  
312 abundance of ARGs in water, soil and organic residues (Cao et al., 2015; Cave et al., 2016). To date  
313 it has not been applied to sludge, however, due to the much lower detection limit, it could potentially  
314 help to quantify ARGs at low abundance in sludge in the future.

315  
316 Single-cell genome sequencing requires efficient separation of cells from the environment into single  
317 cells, extraction of DNA, and sequencing of DNA (Gawad et al., 2016). To date, only one study has  
318 applied this new technology to identify the ARGs as well as their hosts by measuring more than  
319 50,000 cells from a sample of ocean beaches in the USA (Lan et al., 2017). Similarly, compared to  
320 shotgun high-throughput sequencing, hosts of ARGs could be directly obtained by this technique  
321 (Table 1), which shows great potential for future application in ARGs detection in sludge.

322

#### 323 **4. Fate of ARGs in anaerobic and aerobic sludge digestions**

324 It is well known that sludge is a nutrient-rich resource that can be used, especially in agriculture

325 (Singh and Agrawal, 2008). However, the presence of ARGs in sludge leads to the potential release  
326 of ARGs from sludge to the natural environment during sludge reuse. Recent studies have observed  
327 the increase of ARGs in the adjacent local environment of sludge reuse (Rahube et al., 2014; Chen et  
328 al., 2016). Taking Chen et al., (2016)'s study as an example, long-term use of sludge as a soil  
329 conditioner resulted in a significant enrichment of 108 ARGs and MGEs, including a 3,845-fold  
330 increase in *mexF*, over a 10-year follow-up. This undoubtedly shows that the application of sludge  
331 brings huge potential risks to the environment and human health. Therefore, removal or reduction of  
332 ARGs abundance in sludge becomes critical to minimize the risks during sludge reuse.

333  
334 Anaerobic and aerobic digestion are mature sludge treatment methods that are widely used globally.  
335 Anaerobic digestion has been extensively studied for its benefits of sludge reduction, stabilization  
336 and bioenergy recovery (Batstone et al., 2002; Pei et al., 2016; Wang et al., 2017; Xie et al., 2018).  
337 Both mesophilic and thermophilic anaerobic digestion showed promising results in ARGs removal  
338 (Pei et al., 2016; Xu et al., 2020b), although some studies indicated that thermophilic anaerobic  
339 digestion is superior to mesophilic anaerobic digestion in terms of ARGs reduction (Xu et al., 2020b).  
340 In addition, additives and sludge pretreatment technologies could also affect the fate of ARGs during  
341 anaerobic digestion, which is to be discussed in section 4.1.

342  
343 Aside from anaerobic digestion, aerobic digestion also plays an important role in sludge reduction  
344 especially in small WWTPs (Yu et al., 2008; Semblante et al., 2017; Wang et al., 2018). Recent studies  
345 indicated that both mesophilic aerobic digestion and thermophilic anaerobic digestion are effective in  
346 the removal of ARGs in sludge (Burch et al., 2013; Jang et al., 2018). In addition, post thermophilic  
347 aerobic digestion for anaerobically digested sludge was also observed to further reduce ARGs (Jang  
348 et al., 2019). These findings will be discussed in section 4.2.

349

## 350 **4.1 The fate of ARGs in anaerobic digestion of sludge**

### 351 **4.1.1 Fate of ARGs in mesophilic and thermophilic anaerobic sludge digestion**

352 Mesophilic (35-40 °C) anaerobic digestion plays a significant role in waste minimization, pathogen  
353 removal and energy recovery (Appels et al., 2008). Recently, a few studies proved that anaerobic  
354 digestion could reduce the abundance of ARGs in the sludge (Table 2). According to Yang et al. (2014),  
355 mesophilic anaerobic digestion reduced the abundance of ARGs by above 20% in relative abundance  
356 using metagenomics. Xu et al., (2020) also observed a reduction of 29.7-32.3% using mesophilic  
357 anaerobic digestion. However, recent studies revealed that certain types of ARGs were not eliminated  
358 but increased in abundance during mesophilic anaerobic digestion. For example, Jang et al., (2017)  
359 found that the absolute abundance of *tetD* enriched by 1.43 times during mesophilic anaerobic  
360 digestion compared with the initial. Mesophilic anaerobic digestion may even result in the occurrence  
361 of new ARGs in digested sludge. As an example, Guo et al., (2017) found 42 ARGs subtypes  
362 belonging to 10 ARGs types in the secondary sludge but identified 51 ARGs subtypes belonging to 9  
363 ARGs types in the anaerobically digested sludge.

364

365 (Position for Table 2.)

366

367 Compared with mesophilic (35-40 °C) anaerobic digestion, thermophilic (55-60 °C) anaerobic  
368 digestion could produce higher biomethane yields and reducing pathogens (Buhr and Andrews, 1977).  
369 However, due to the energy consumption, fewer thermophilic anaerobic sludge digesters were  
370 operated in the full-scale WWTPs. Recent studies suggested that thermophilic sludge anaerobic  
371 digestion outperformed mesophilic sludge anaerobic digestion with a higher ARGs removal efficiency.  
372 Tian et al., (2016) found mesophilic and thermophilic anaerobic digestion reduced the relative  
373 abundance of target ARGs by 38.8% to 65.0%, respectively (Table 3). However, another study using  
374 the metagenomic approach found that both mesophilic anaerobic digestion and thermophilic  
375 anaerobic digestion did not reduce some types of ARGs and even led to the proliferation of certain  
376 ARGs such as *aadA*, *macB* and *sull* (Zhang et al., 2015). This may be due to the fact that the hosts

377 of these ARGs reproduce under thermophilic conditions.

378

#### 379 ***4.1.2 Effect of sludge pretreatment technologies on the fate of ARGs during anaerobic digestion***

380 To improve sludge minimization and methane production of sludge, some sludge pretreatment  
381 technologies have been studied and even successfully commercialized. Recent studies have shown  
382 that these pretreatment technologies may also facilitate the removal of ARGs during anaerobic  
383 digestion.

384

385 (Position for Table 3.)

386

387 Thermal hydrolysis (TH) pretreatment is a commercialized pretreatment method during anaerobic  
388 digestion (Abelleira-Pereira et al., 2015). Typically, the TH pretreatment heats sludge at 120-170°C  
389 for 30-60 min to improve the substrate degradation rate and extent (Wang et al., 2017). In terms of  
390 the ARGs removal, TH pretreatment significantly removed most ARGs after pretreatment and further  
391 enhanced ARGs reduction in anaerobic digestion (Table 3). Compared with the control group, TH  
392 pretreatment could promote tested ARGs reduction by 0.5 to 3 log<sub>10</sub> copies/g-TS after anaerobic  
393 digestion (Pei et al., 2016, Tong et al., 2019; Wang et al., 2019a; Sun et al., 2019). The enhanced  
394 removal of ARGs due to the TH pretreatment is likely related to the high temperature during the  
395 pretreatment process, which directly kills most of the ARB in the sludge. This is considered as the  
396 main way of TH pretreatment to reduce the abundance of ARGs in the anaerobic sludge digestion  
397 (Pei et al., 2016).

398

399 Microwave-based pretreatment is another effective pretreatment method in anaerobic digestion,  
400 which includes microwave pretreatment, microwave-heat pretreatment, and microwave-H<sub>2</sub>O<sub>2</sub>  
401 pretreatment. Microwave pretreatment uses 600 W microwave irradiation to heat the sludge from 20 °C  
402 to 100 °C for 5 min and showed promising results in increasing methane production by up to 84%  
403 (Tong et al., 2016; Tong et al., 2018; Zhang et al., 2016). More importantly, microwave pretreatment



404 reduced the abundance of most tested ARGs abundance during anaerobic digestion by 0.05 to 0.70  
405 log<sub>10</sub> copies/g-TS compared with the control group (Table 3). It is evident that microwaves could  
406 directly kill ARB in the sludge (Qiao et al., 2020) and destroy extracellular genes include ARGs (Yang  
407 & Hang, 2013). In addition, microwave pretreatment can change the microbial community and reduce  
408 the frequency of HGT during anaerobic sludge digestion, which may also be the other two reasons  
409 for reducing the abundance of ARGs (Tong et al., 2018).

410  
411 For microwave-heat, the pH of sludge is adjusted to 2.5 and heated by microwave irradiation at 600w  
412 from 20°C to 100°C (Tong et al., 2016; Zhang et al., 2017). Microwave-heat pretreatment  
413 significantly decreased the absolute abundances of *tetC*, *tetM*, *tetO*, *tetX*, *blaSHV*, *blaCTX-M* and  
414 *ampC* during anaerobic digestion by 0.1 to 0.7 log<sub>10</sub> copies/g-TS. The gene of *tetA* is the only  
415 exception which increased by 0.2 log<sub>10</sub> copies/g-TS (Table 3). In terms of microwave-H<sub>2</sub>O<sub>2</sub>  
416 pretreatment, the pH of sludge was adjusted to 10 and heated by microwave irradiation at 600 w from  
417 20°C to 100°C. Then 30% (w/w) of H<sub>2</sub>O<sub>2</sub> was added into the sludge for several mins. The batch-scale  
418 results indicated that compared with the control group, microwave-H<sub>2</sub>O<sub>2</sub> pretreatment slightly  
419 enriched *ampC*, *blaCTX-*, *blaSHV*, *ermB*, *mefA*, *tetM*, *tetX* and *pcoA* abundance during anaerobic  
420 digestion by less than 0.5 log<sub>10</sub> copies/g-TS. On the contrary, compared with the control group, the  
421 abundances of *blaOXA-1*, *blaTEM*, *ereA*, *ermF*, *sull*, *sullI* and *tetG* decreased by 0.1 to 0.8 log<sub>10</sub>  
422 copies/g-TS during anaerobic digestion (Table 3). Similar to microwave pretreatment, death of ARB  
423 and destruction of ARGs during the pretreatment stage, as well as changes in the microbial  
424 community during anaerobic digestion, are major reasons for the decrease of ARGs (Tong et al., 2016;  
425 Zhang et al., 2017). Instead, changes in the microbial community may have facilitated the  
426 proliferation of hosts of some ARGs, leading to the enrichment of these ARGs (Tong et al., 2016;  
427 Zhang et al., 2017).

428  
429 Ozone pretreatment is another widely used method in anaerobic digestion (Wang et al., 2017). Ozone  
430 pretreatment is typically operated at 0.1 g-O<sub>3</sub>/g-TS with a gas flow rate controlled at 2 L/min for one

431 day (Pei et al., 2016; Tong et al., 2017). Compared with the control group, ozone pretreatment  
432 promoted ARG removal rates for all detected ARGs (Tong et al., 2017) (Table 3). As a strong oxidant,  
433 ozone can effectively kill ARB during the pretreatment stage (Zheng et al., 2017; Wu et al., 2021).  
434 Ozone pretreatment changes the microbial community and reduces the frequency of HGT during  
435 anaerobic digestion, which might be another reason for the enhanced ARGs removal (Tong et al.,  
436 2018). However, it is worth noting that ozone pretreatment has limited performance in ARGs  
437 reduction during the pretreatment stage and could decrease all tested ARGs after anaerobic digestion  
438 by 0.2 to 0.5 log<sub>10</sub> copies/g-TS (Pei et al., 2016; Tong et al., 2017).

439  
440 Ultrasonic pretreatment, another common sludge pretreatment method, is usually operated at 20 kHz  
441 for several hours (frequently less than 1h) (Wang et al., 2017). Ultrasonic pretreatment does not affect  
442 the abundance and diversity of ARGs in the pretreatment stage but significantly enhanced ARGs  
443 reduction by 0.05 to 1.02 log<sub>10</sub> copies/g-TS during anaerobic digestion compared with the control  
444 group (Table 3). The gene of *tetB* is the only exception which increased by 0.2 log<sub>10</sub> copies/g-TS in  
445 anaerobic digestion after ultrasonic pretreatment (Table 3). It is evident that ultrasound could kill  
446 bacteria including ARB but has not been shown to have a direct effect on ARGs (Muqbil et al., 2005;  
447 Chen et al., 2021). In addition, ultrasonic pretreatment also changed the microbial community and  
448 reduce the frequency of HGT during anaerobic digestion, affecting the fate of ARGs during anaerobic  
449 digestion (Wang et al., 2019a).

450  
451 Alkaline pretreatment is a kind of sludge pretreatment technology that is still in the laboratory  
452 research stage. Generally, alkaline pretreatment was performed at pH 10 under 110°C-130°C for 0.5  
453 to 1 hr or under pH 10 at room temperature for 1 or 2 days (Wang et al., 2019a). A recent study  
454 revealed that alkaline pretreatment does not affect the abundance and diversity of ARGs in the  
455 pretreatment stage but significantly enhances ARGs reduction by 0.03 to 0.53 log<sub>10</sub> copies/g-TS  
456 during anaerobic digestion compared with the control group (Table 3) (Wang et al., 2019a). According  
457 to Xiao et al., (2015)'s study, alkaline pretreatment could effectively kill bacteria including ARB but

458 had no effect on extracellular DNA. Therefore, the change of microbial community during anaerobic  
459 digestion by alkali pretreatment may be the main reason affecting the fate of ARGs during anaerobic  
460 digestion (Wang et al., 2019a).

461  
462 The use of free ammonia pretreatment to increase biomethane production during anaerobic sludge  
463 digestion is a novel method (Wei et al., 2017a,b; Wang et al., 2019b; Liu et al., 2021). Free ammonia  
464 is the by-product of WWTPs and can be extracted directly, making this technique quite attractive  
465 (Wang, 2017; Liu et al., 2021). Recently, Zhang et al.,(2021a) reported that free ammonia  
466 pretreatment at 420 mg NH<sub>3</sub>-N/L for 24h increased the removal efficiency of the total tested ARGs  
467 by approximately 15% compared to the anaerobic digestion without pretreatment. The gene of *tetG*  
468 is the only exception which rebounded during anaerobic digestion with free ammonia pretreatment  
469 (Table 3). Zhang et al., (2021a) attributed this result to the removal of ARB and ARGs during the  
470 pretreatment stage and the change of microbial community during anaerobic digestion.

471  
472 Two-phase anaerobic sludge digestion (TPAD) is considered to be an effective optimization of  
473 anaerobic sludge digestion. TPAD includes two phases, where the first phase is for hydrolytic  
474 acidification, i.e. pretreatment stage, and the second phase is majorly operated for the methanogenesis  
475 (Zhang et al., 2020a). TPAD not only improves the methane production of sludge in anaerobic  
476 digestion but also effectively reduces the abundance of pathogens in sludge (Wu et al., 2016; Zhang  
477 et al., 2020a). However, conflicting observations have been reported regarding the fate of ARGs  
478 during TPAD. In a lab-scale continuous TPAD, negligible changes in the abundance of all targeted  
479 ARGs were observed due to the TPAD (Wu et al., 2016) using RT-qPCR analysis. In contrast, a recent  
480 lab-scale study found that TPAD increased the total abundance of ARGs in sludge from 229.1 ppm  
481 (ppm, 1 read in a million reads) to 355.7 ppm through metagenomics (Wu et al., 2018). These  
482 conflicting observations might be related to the detection approaches and operational conditions of  
483 the TPAD. The RT-qPCR analysis provided the absolute abundance of targeted ARGs (i.e. 14 ARGs  
484 in total) per gram DW of sludge (Wu et al., 2016) but metagenomics analysis revealed the relative

485 abundance of a broad-spectrum of ARGs (i.e. around 30) in the total reads of DNA sequence (Wu et  
486 al., 2018). Furthermore, the operational conditions and sludge properties in these two studies were  
487 not identical, where their impacts on the fate of ARGs during TPAD remain unclear to date. Thus,  
488 comprehensive evaluations of ARGs abundance (both absolute and relative abundance) during TPAD  
489 with various operational conditions are highly recommended.

490

#### 491 **4.1.3 Effect of additives on the fate of ARGs in anaerobic sludge digestion**

492 In addition to pretreatment technologies, some additives have also been added to the anaerobic sludge  
493 digestion to enhance biogas production, including zero-valent iron, ferric chloride, and magnetite.  
494 The impacts of these additives on the removal of ARGs during anaerobic sludge digestion are  
495 summarized in Table 4.

496

497 (Position for Table 4.)

498

499 Zero-valent iron (ZVI,  $\text{Fe}^0$ ) is a low-cost reductive metallic material that has the ability to increase  
500 methane production during anaerobic digestion (Feng et al., 2014; Wei et al., 2018). The impact of  
501 ZVI on the removal of ARGs during anaerobic digestion was found to be closely linked to its dosage  
502 (Table 4). Compared with the control, ZVI reduced the absolute abundance of the tested ARGs by  
503 28.27–100.00% during anaerobic digestion (Zhang et al., 2020c; Zhou et al., 2021). Both Zhang et  
504 al., (2020) and Zhou et al., (2021) attributed this to changes in the microbial community during  
505 anaerobic digestion with the addition of ZVI. In addition, the physical and chemical properties of ZVI  
506 itself also influenced the fate of ARGs. For example, Fang et al., (2011) found that ZVI could  
507 effectively remove some antibiotics, such as metronidazole, which also helped to reduce the selection  
508 pressure for the occurrence of ARGs.

509

510  $\text{FeCl}_3$ , a common coagulant, has been used to enhance sludge dewatering properties and methane  
511 production in anaerobic digestion recently (Jang et al., 2017; Ju et al., 2016). A dosage of  $\text{FeCl}_3$  in

512 anaerobic digestion at 1.53 to 100 mg/L increased methane production by 20% (Jang et al., 2017).  
513 However, FeCl<sub>3</sub> addition increased the abundance of almost all tested ARGs from 1.3×10<sup>7</sup> copies/g-  
514 VS in the control digester to 3.3×10<sup>10</sup> copies/g-VS in the digester with FeCl<sub>3</sub> addition, except *tetH*,  
515 *aac(6′)-Lb-Cr* and *blaTEM* during anaerobic sludge digestion (Table 4). The increased abundance of  
516 ARGs is likely caused by the increase of hosts of ARGs in the microbial community due to FeCl<sub>3</sub>  
517 (Jang et al., 2017). Furthermore, FeCl<sub>3</sub> also significantly increased the abundance of *intI1* by  
518 1.19×10<sup>12</sup> copies/g VS, indicating a higher frequency of HGT and proliferation of ARGs. As a result,  
519 the addition of FeCl<sub>3</sub> may not be ideal for ARG reduction in anaerobic sludge digestion.

520  
521 Magnetite is an iron-based conductive material that has been applied in anaerobic digestion to  
522 promote methane production recently (Liu et al., 2015; Wang et al., 2019a; Zhang et al., 2019; Xie et  
523 al., 2020). Although promising results have been achieved with the methane production, the addition  
524 of magnetite from 0.5 g/L to 4 g/L did not affect the abundance of all tested ARGs during anaerobic  
525 sludge digestion compared with the control group (Table 4) (Wang et al., 2019a).

526

#### 527 **4.2 Fate of ARGs in aerobic sludge digestion**

528 Aerobic sludge digestion is a sludge treatment technique that achieves sludge reduction and  
529 stabilization through aeration and is usually used in small WWTPs (Yu et al., 2008; Semblante et al.,  
530 2017; Wang et al., 2018). Recent studies revealed that aerobic digestion also effectively reduced the  
531 abundance of ARGs in sludge (Table 2). Diehl & LaPara (2010) firstly found that aerobic digestion  
532 which operated at 22 °C with a mean SRT of 15 days in a semi-continuous mode, significantly reduced  
533 the abundance of *tetA*, *tetL*, *tetO*, *tetW*, *tetX* by up to three orders in sludge. Similar results were  
534 confirmed in Zhang et al., (2021b)’s study, where the abundance of nine detected ARGs was reduced  
535 by approximately 15%. The removal of ARGs achieved by aerobic digestion was attributed to  
536 endogenous digestion, which kills ARB and reduced the abundance of potential hosts of ARGs in the  
537 microbial community (Zhang et al., 2021c). Interestingly, Burch et al. (2017) found that ARGs of *tetA*,  
538 *tetX* and *sull* increased in the full-scale sludge aerobic digester. This may be related to changes in the

539 host of ARGs, which require further investigations.

540

541 Thermophilic aerobic digestion is a sludge treatment method that uses aeration and high temperature  
542 to achieve sludge reduction, stabilization and pathogen removal (Roš and Zupančič, 2002; Jang et al.,  
543 2014). Compared with aerobic digestion, thermophilic aerobic digestion shortened SRT even though  
544 it requires higher energy consumption (Layden et al., 2007). Jang et al., (2018) found that  
545 thermophilic aerobic digestion could effectively decrease the abundance of ARGs from sludge. In this  
546 study, the abundance of 20 different types of ARGs decreased by 20.39% to 99.00% and *tetB*, *tetE*,  
547 *tetBP* and *blaCTX* were completely removed in sludge after the treatment.

548

549 In addition, thermophilic aerobic digestion has also been used as a post-treatment for anaerobically  
550 digested sludge to achieve further sludge reduction and stabilization (Jang et al., 2019). During this  
551 process, *tetB*, *tetD*, *tetH*, *tetM*, *tetX*, *tetBP*, *blaCTX* and *floR* were completely removed in sludge and  
552 the abundance of the remaining 11 types of ARGs dropped between 16% and 99%. This post-  
553 treatment showed the potential to further reduce the abundance of ARGs in sludge.

554

#### 555 ***4.3 The mechanism behind the fate of ARGs due to sludge digestion and in combination with*** 556 ***pretreatments or additives***

557 The mechanism behind the fate of ARGs during sludge treatment is related to 1) the changes of  
558 microbial communities; 2) the frequency of HGT; 3) selection pressure posed by the environment.  
559 The changes of microbial communities were closely related to changes of the ARGs during anaerobic  
560 digestion (Guo et al., 2017). The proliferation of ARGs is highly reliant on VGT. During anaerobic  
561 and aerobic digestion, the microbial community has undergone tremendous changes. In general,  
562 anaerobic digestion is not conducive to the reproduction of most ARGs host bacteria (Yang et al.,  
563 2014; Zhang et al., 2020b). The decay of the host bacteria thereby reduced the ARGs during the  
564 anaerobic digestion. Similarly, changes in the microbial community also occur during aerobic  
565 digestion, which is considered to be one of the main reasons for the decrease of ARGs in aerobic

566 digestion (Burch et al., 2013). However, both aerobic and anaerobic digestion does not always hinder  
567 the reproduction of the hosts of ARGs (Burch et al., 2013; Guo et al., 2017). Thus, certain types of  
568 ARGs were enriched during the digestion process, leading to an increase of certain types of ARGs  
569 during the digestion process (Table 3).

570  
571 Moreover, both aerobic and anaerobic digestors provide a relatively stable environment such as stable  
572 temperature and pH for microorganisms activities, thus reducing the frequency of HGT (Xue et al.,  
573 2019; Sardar et al., 2021). A reduced HGT could facilitate the ARGs removal in sludge digestion. For  
574 instance, thermophilic anaerobic digestion showed a higher removal ratio of ARGs because the higher  
575 temperature limited microbial diversity in an anaerobic digester, reducing the horizontal gene transfer  
576 (Miller et al., 2016; Shin et al., 2020; Zhang et al., 2021a). Recent research development also revealed  
577 the importance of extracellular ARGs (eARGs) and intracellular ARGs (iARGs) in the HGT of ARGs  
578 (Sui et al., 2019). Both eARGs and iARGs are mutually transmissible and directly involved in HGT  
579 (Zhou et al., 2019). Natural transformation (a form of HGT) is thought to be the only mechanism for  
580 eARGs to enter living cells, by which eARGs become iARGs and non-ARB become ARB (Jin et al.,  
581 2020). However, compared to iARGs, eARGs are relatively easy to be removed in the sludge  
582 digestion process. Both aerobic and anaerobic sludge digestion has been shown to physically destroy  
583 eARGs (Ma et al., 2011; Zou et al., 2020; Zhang et al., 2020c). Hence, the eARG released by dead  
584 ARB might have less opportunity to be absorbed by other cells during sludge digestion, reducing the  
585 natural transformation. In addition, thermophilic anaerobic digestion, TH pretreatment and FA  
586 pretreatment have proved to be effective methods to destroy eARGs (Miller et al., 2016; Pei et al.,  
587 2016; Zhang et al., 2021b). The removal of iARGs is mainly achieved by the shift of the microbial  
588 community, reduction of the hosts of ARGs during sludge digestion or death of ARB. Notably, iARGs  
589 in ARB are released into the nearby environment after the ARB dies and becomes eARGs. Most of  
590 iARGs were located on MGEs and could achieve HGT through transduction and conjugation, turning  
591 non-ARBs into ARBs (Zarei-Baygi and Smith, 2021). To date, the understanding of eARGs and  
592 iARGs on the fate of ARGs during sludge digestion remains unclear. For future research, it is highly

593 recommended to extract iARGs and eARGs separately to investigate their fate and mechanism in the  
594 process of sludge digestion. Besides, environmental elements also promote the proliferation of ARG,  
595 such as antibiotics, PPCPs (e.g., triclosan and triclocarban) and heavy metals (Qiu et al., 2021). These  
596 compounds are degraded and passivated during anaerobic digestion and aerobic digestion (Guerra et  
597 al., 2015), which also facilitates the reduction of ARGs.

598

## 599 **5. Future perspectives**

600 With the advancement of detection techniques, the knowledge of the occurrence, and removal of  
601 ARGs in the sludge from WWTPs were improved substantially in the last decades. Although recent  
602 progress in metagenomics and other emerging molecular-based methods has enabled a  
603 comprehensive assessment of ARGs in the sludge, due to the cost, accuracy, and handling time, RT-  
604 qPCR is still the most commonly used method for ARGs detection. Most studies applied RT-qPCR to  
605 quantify the abundance of 5-25 ARGs. These ARGs are chosen based on the availability of primer  
606 and probes, the variety of antibiotic classes, and resistance mechanisms (e.g., efflux pump and  
607 ribosomal protection protein). However, these target ARGs can not represent all of the ARGs in the  
608 sample, the results of which may not completely reveal the total abundance and distribution of ARGs  
609 in the sludge sample. Thus, further developments in the detection approach to accurately, and  
610 efficiently quantify a wide spectrum of ARGs in sludge are still crucial.

611

612 In addition, recent advancements in the detection techniques allow the identification of the hosts for  
613 ARGs directly (i.e. third-generation sequencing) or through network analysis (i.e. shotgun high-  
614 throughput sequencing). This provides the potential of eliminating the ARGs in the community by  
615 removing or reducing the hosts accordingly. In recycled water systems, removing the natural hosts  
616 (i.e. *Amoebae*, *Protozoa* and *Legionella spp.*) through membrane ultrafiltration significantly reduced  
617 the ARGs abundance (Drigo et al., 2021). However, to date, in the wastewater and sludge system, the  
618 understanding and application of eliminating ARGs by controlling the host are still largely lacking.  
619 This is likely related to 1) lacking specific treatment in removing a certain or a series of microbial



620 hosts for ARGs; 2) the contributions of HGT in ARGs spreading. Growing evidence suggests that  
621 many ARGs are harbored and expressed by a broad range of microorganisms due to the frequent HGT  
622 and VGT (Salyers & Amabile-Cuevas et al., 1997; Guo et al., 2017). This requires an effective  
623 treatment to remove or reduce a series of microbial hosts for ARGs otherwise the residual hosts might  
624 still spread ARGs through frequent HGT and VGT. More importantly, some ARGs were harbored in  
625 the functional microorganisms of WWTPs. For instance, network analysis based on shotgun high-  
626 throughput sequencing revealed that 5 ARG subtypes (*penA*, *oqxBgb*, *vanHAc2*, *vanR-F* and *dfrK*)  
627 and 4 ARGs (*peb\_EC*, *SFO-1*, *vanR-B* and *vanR-C*) were potentially harbored by *Nitrosomonas* (a  
628 typical ammonia-oxidizing bacteria), and *Candidatus 'Accumulibacter'* (a typical organism for  
629 phosphorus removal) (Guo et al., 2017). The removal of these functional microorganisms might  
630 eliminate the ARGs at the cost of undermined treatment efficiency. Furthermore, the effective  
631 removal of ARGs hosts may not indicate the elimination of antibiotic resistance in the microbial  
632 community (Pruden et al., 2006). As discussed in section 4.3, iARGs in ARB are released into the  
633 nearby environment after the death of ARB and become eARGs, which are transmissible and directly  
634 involved in HGT (Zarei-Baygi & Smith, 2021). This might lead to the occurrence of new hosts of  
635 ARGs. Thus, so far, there is a lack of comprehensive understanding and effective methods in ARGs  
636 elimination by hosts control, which requires future investigations.

637  
638 Anaerobic digestion and aerobic digestion are considered to be effective methods to remove ARGs  
639 from sludge. Various sludge digestion strategies (i.e., anaerobic and aerobic digestion under  
640 mesophilic or thermophilic conditions, and in combination with relevant pretreatment technologies  
641 (e.g., thermal hydrolysis pretreatment, microwave pretreatment and alkaline pretreatment) and  
642 additives (e.g., ferric chloride and zero-valent iron) have been applied for sludge treatment.  
643 Thermophilic anaerobic digestion, mesophilic anaerobic digestion combined with different  
644 pretreatments and post aerobic digestion for anaerobically digested sludge showed superior  
645 performance in the reduction of ARGs in the sludge compared with mesophilic anaerobic digestion.  
646 The conducive effect of sludge treatment showed promising results in reducing the spread of ARGs.

647 However, in current studies, there is a lack of uniform units for the measurement and comparison of  
648 the absolute abundance of ARGs in the sludge. Due to the lack of standards or commonly adopted  
649 methods and protocols, various units have been applied in different studies. Generally, gene copies/g-  
650 TS and gene copies/g-DW are two common absolute abundance units, but some studies have also  
651 used gene copies/ $\mu$ l-DNA, gene copies/ $\mu$ g-DNA, gene copies/L, gene copies/g-VS and gene copies/g-  
652 dry solid. Although the choice of units does not affect the trends of ARGs during sludge digestion in  
653 each study, it creates a barrier for the comparison between different studies. This largely limited the  
654 evaluation of different sludge treatment methods and the management of risks due to sludge reuse.  
655 Therefore, it is recommended to apply uniform units such as gene copies/g-TS, of absolute abundance  
656 of ARGs in sludge for future research development.

657  
658 Furthermore, the scale of sludge digestion in currently available studies is generally limited. Unlike  
659 those commercialized techniques (i.e. mesophilic anaerobic digestion, thermophilic anaerobic  
660 digestion, and aerobic sludge digestion), many of the methods that summarized are still operated in  
661 the bench-scale or batch scale (Table 3, 4 & 5). Although these bench-scale or batch scale methods  
662 have shown satisfactory results in the ARGs removal, there is still a lack of evidence for equally  
663 satisfactory results in commercialization. Future research is recommended to scale up the experiment,  
664 such as pilot-scale or semi-continuous scale, to provide a more comprehensive evaluation of different  
665 sludge digestion techniques in ARGs removal.

666  
667 In addition, the effectiveness of sludge digestion technologies in removing ARG is currently  
668 evaluated based on the change in the abundance of ARGs before and after sludge digestion. The risks  
669 of sludge reuse for the transfer of ARGs in the natural environment are thus based on the abundance  
670 of ARGs in digested sludge. It is clear that ARGs can be transferred from sludge to the natural  
671 environment due to sludge reuse, leading to the proliferation of ARGs (Rahube et al., 2014; Chen et  
672 al., 2016). However, along with the changes of ARGs, different sludge digestion methods also altered  
673 the microbial community in the sludge. The impact of the microbial community in sludge and the

674 subsequent ARGs transfer into the natural environment is virtually unknown. Future research  
675 focusing on the change in soil ARGs caused by the application of these treated sludge is thus  
676 recommended.

677

## 678 **6. Conclusion**

679 This study summarized the state-of-the-art technologies on ARGs detection and removal in sludge  
680 from WWTPs, and proposed future perspectives. It leads to the following conclusions:

- 681 • Despite the inherent bias of RT-qPCR, it is still the most commonly used method for ARGs  
682 detection. Further development on improving the throughput of RT-qPCR and or the accuracy  
683 and cost of other emerging techniques are recommended.
- 684 • Various sludge digestion strategies in combination with relevant pretreatment technologies  
685 and additives showed promising results in ARGs removal. However, the scale of testing and  
686 the understanding of risks of ARGs due to sludge reuse are limited.

687

## 688 **CRedit authorship contribution statement:**

689 **Zehao Zhang:** Conceptualization, Visualization, Data curation, Writing-original draft, Writing -  
690 review & editing. **Xuan Li:** Supervision, Conceptualization, Writing - review & editing, **Huan Liu:**  
691 Visualization, Writing - review & editing, Resources, **Arash Zamyadi:** Writing - review & editing.  
692 **Wenshan Guo:** Writing - review & editing. **Haiting Wen:** Data curation, Writing - review & editing.  
693 **Li Gao:** Writing - review & editing. **Long D. Nghiem:** Writing - review & editing. **Qilin Wang:**  
694 Conceptualization, Supervision, Writing - review & editing, Funding acquisition.

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699

700

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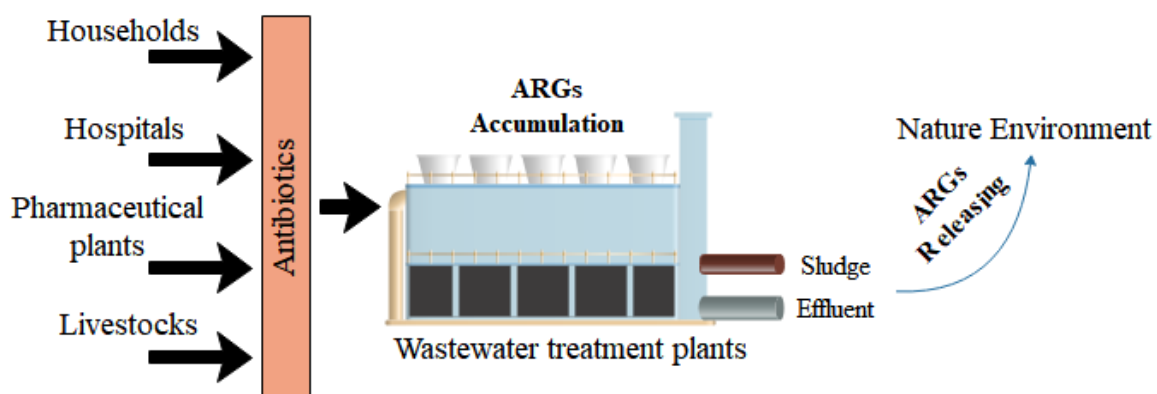
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**Figure 1.** Occurrence of ARGs in WWTPs.

**Table 1.** Detection technology and emerging technology of ARG in sludge

Detection Techniques	Absolute abundance	Amplification	Throughput	LOD or LOQ	Accuracy	Primer design	Database require	Host information	Other	Reference
<i>Isolation of pure cultures</i>	No	-	-	High	High	-	-	Directly	-	(Riber et al., 2014; Tong et al., 2017; Karkman et al., 2018)
<i>RT-qPCR</i>	Yes	Yes	One	High, 3 copies/ $\mu$ L	High, >99%	Yes	No	Yes, by calculation	-	(Rizzo et al., 2013; Bouki et al., 2013; Karkman et al., 2018; Zhu et al., 2013; Ruijter et al., 2013; Li et al., 2015)
<i>HT-qPCR</i>	Yes	Yes	Hundreds	High, 3 copies/ $\mu$ L	High, >99%	Yes	No	Yes, by calculation	-	(Lamas et al., 2014; Wang et al., 2016; Chen et al., 2016; Waseem et al., 2019; An et al., 2018)
<i>Shotgun high-throughput sequencing</i>	No	Usually not	All ARGs	Depends on data size	High, >99%	No	Yes	Yes, by calculation	Computing resources requires	(Hugenholtz & Tyson, 2008; Guo et al., 2017; Liu et al., 2019; Yang et al., 2014)
<b>Emerging Techniques</b>										
<i>EpicPCR</i>	Yes	Yes	One	High, 3 copies/ $\mu$ L	High, >99%	Yes	No	Yes, by calculation	-	(Tamminen et al., 2015; Spencer et al., 2016)
<i>The third-generation sequencing</i>	No	No	All ARGs	Depends on data size	80-95%	No	Yes	Directly	Computing resources requires; High error rate	(Che et al., 2019; Petrackova et al., 2019; Ashton et al., 2015; Wee et al., 2018; Xiao & Zhou, 2020)
<i>DNA Microarray</i>	No	Usually not	Dozens	Low, $10^3$ copies/ $\mu$ L	High	No	No	Directly	-	(Zhang et al., 2009; Gilbride et al. 2006)
<i>ddPCR</i>	Yes	Yes	One	High, 10 times lower than RT-qPCR	High	Yes	No	Yes, by calculation	-	(Cave et al., 2016; Campomenosi et al., 2016; Kojabad et al., 2021)
<i>Single-cell genome sequencing</i>	No	No	All ARGs	-	-	No	Yes	Directly	Computing resources requires	(Gawad et al., 2016)

**Table 2.** Main findings of the ARGs in different sludge digestion methods.

Digestion methods	Digestion conditions	ARGs detection methods	Target ARGs	Findings		Reference
				Increase/No effect	Decrease	
<i>Mesophilic anaerobic digestion</i>	Bench-scale, full-scale; Mesophilic; SRT=15-30 days	Metagenomics	All known ARGs	Nine new subtypes of ARGs subtypes were found after sludge treatment	Decrease the relative abundance of ARGs by above 20%	(Guo et al. 2017; Yang et al., 2014)
<i>Thermophilic anaerobic digestion</i>	Bench-scale, full-scale; Thermophilic; SRT=15 days	RT-qPCR; Metagenomics	24; All known ARGs	<i>AadE, aadA, aacA4, mef(A), bl2d_oxa10</i> and <i>catb3</i> increased by 2.86–130.92%	Decrease the total absolute abundance of ARGs by 65.0%	(Miller et al., 2016; Zhang et al., 2015; Tian et al., 2016)
<i>Aerobic digestion</i>	Bench-scale, semi-continuous scale; 20-25 °C; SRT=15-25 days	RT-qPCR	13	<i>aac(6)-Ib-cr, ermB, ermF, dfrA1, sul1</i> and <i>sul2</i> increased up to 93.8%	<i>tetA, tetL, tetO, tetW, tetX</i> decreased by up to three orders;	(Diehl & LaPara 2010; Burch et al., 2013; Burch et al., 2017; Zhang et al., 2021c)
<i>Thermophilic aerobic digestion</i>	Bench-scale, Thermophilic, SRT=15 days	RT-qPCR	23	-	All ARGs by 20.39% to 99.00%; <i>tetB, tetE, tetBP</i> and <i>blaCTX</i> are fully removed	(Jang et al., 2018)
<i>Thermophilic aerobic digestion of anaerobically digested sludge</i>	Batch, mesophilic, SRT=12 days	RT-qPCR	19	-	All ARGs by 16% to 99%; <i>tetB, tetD, tetH, tetM, tetX, tetBP, blaCTX</i> and <i>floRare</i> fully removed	(Jang et al., 2019)

**Table 3.** Main findings of pretreatment on the fate of ARGs in anaerobic sludge digestion

Pretreatment methods	Pretreatment conditions	Digestion conditions	Target ARGs	Findings		Reference
				Increase/No effect	Decrease	

<i>Thermal hydrolysis</i>	120–170 °C; 30–60 mins	Batch-scale, mesophilic; SRT=30 days	16	-	Decrease all tested ARGs after anaerobic digestion by 0.5 to 3 logs during anaerobic digestion compared with the control group.	(Tong et al., 2019; Wang et al., 2019a; Pei et al., 2016 ; Sun et al., 2019)
<i>Microwave</i>	heated by microwave irradiation at 600w from 20°C to 100°C	Batch-scale and semi-continuous scale; mesophilic; SRT=15-30 days	20	-	Decrease rest of ARGs by 0.05 to 0.70 log <sub>10</sub> copies/g-TS during anaerobic digestion compared with the control group.	(Tong et al., 2017; Tong et al., 2018; Zhang et al., 2019; Tong et al., 2016)
<i>Microwave-heat</i>	Sludge adjusted to pH = 2.5 and heated by microwave irradiation at 600w from 20°C to 100°C.	Batch-scale; mesophilic; SRT=30 days	8	Enrich <i>tetA</i> by 0.2 log <sub>10</sub> copies/g-TS during anaerobic digestion compared with the control group.	Significantly decrease <i>tetC</i> , <i>tetM</i> , <i>tetO</i> , <i>tetX</i> , <i>blaSHV</i> , <i>blaCTX-M</i> and <i>ampC</i> by 0.1 to 0.7 log <sub>10</sub> copies/g-TS during anaerobic digestion compared with the control group.	(Tong et al., 2016)
<i>Microwave-H<sub>2</sub>O<sub>2</sub></i>	Sludge adjusted to pH = 10, heated by microwave irradiation at 600w from 20°C to 80°C, dosed with H <sub>2</sub> O <sub>2</sub> (30%, w/w)	Batch-scale; mesophilic; SRT=20-30 days	13	Enrich <i>mefA/E</i> , <i>ermB</i> , <i>ermF</i> , <i>tetG</i> and <i>tetM</i> less than 0.5 log <sub>10</sub> copies/g-TS during anaerobic digestion compared with the control group.	Decrease <i>blaOXA-1</i> , <i>blaTEM</i> , <i>ereA</i> , <i>ermF</i> , <i>sull</i> , <i>sulll</i> and <i>tetG</i> by 0.1 to 0.8 log <sub>10</sub> copies/g-TS during anaerobic digestion compared with the control group.	(Zhang et al., 2017; Zhang et al., 2019)
<i>Ozone</i>	0.1 g O <sub>3</sub> /g TS	Batch-scale; mesophilic; SRT=30 days	5	-	Decrease all tested ARGs after anaerobic digestion by 0.2 to 0.5 log <sub>10</sub> copies/g-TS during anaerobic digestion compared with the control group.	(Pei et al., 2016; Tong et al., 2018)
<i>Alkaline</i>	0.04g NaOH/g-TS; 1 day	Batch, mesophilic, 30 days	9	-	Decrease all tested ARGs after anaerobic digestion by 0.03 to 0.53 log <sub>10</sub> copies/g-TS during anaerobic digestion compared with the control group.	(Wang et al., 2019a)
<i>Ultrasonic</i>	20kHz; 30 mins	Batch-scale; mesophilic; SRT=30 days	9	Enrich <i>tetB</i> by 0.2 log <sub>10</sub> copies/g-TS during anaerobic digestion compared with the control group.	Decrease rest of ARGs by 0.05 logs to 1.02 log <sub>10</sub> copies/g-TS during anaerobic digestion compared with the control group.	(Wang et al., 2019a)

<i>Free ammonia</i>	20 mg NH <sub>3</sub> -N/L; 1 day	Batch-scale; mesophilic; SRT=45 days	9	Slightly increased <i>tetG</i> by 14%; Has no effect on the fate of <i>sul1</i> and <i>tetM</i> during anaerobic digestion compared with the control group.	Decreased <i>aac(6')-Ib-cr</i> , <i>sul1</i> , <i>sul2</i> , <i>tetA</i> , <i>tetB</i> , <i>tetG</i> and <i>tetX</i> by 22~89% during anaerobic digestion compared with the control group	(Zhang et al., 2021b)
<i>Two-phase anaerobic digestion</i>	acidogenic phase; pH=6; 3 days	Lab-scale; continuous; mesophilic; SRT=13 days	All known ARGs	Increased the total ARGs from 229.8 ppm to 355.7 ppm during the process compared to the beginning.6	-	(Wu et al., 2016; Wu et al., 2018)

**Table 4.** Main findings of additives on the fate of ARGs in anaerobic sludge digestion

Additives	Dosage	Digestion conditions	Target ARGs	Findings		Reference
				Increase/No effect	Decrease	
<i>Zero-valent iron (ZVI)</i>	0.5 g/L to 4 g/L	Batch-scale and semi-continuous scale, mesophilic; SRT= 10-20 days	17	-	Decrease all tested ARGs after anaerobic digestion by 28.27–100.00% during anaerobic digestion compared with the control group.	(Zhang et al., 2020b; Zhou et al., 2021)
<i>Ferric chloride (FeCl<sub>3</sub>)</i>	1.53 to 100 mg/L	Bench-scale; mesophilic; SRT=35days	19	Increased the abundance of almost all tested ARGs by $1.3 \times 10^7$ to $3.3 \times 10^{10}$ copies/g-VS, Has limited impact on <i>AAC(6')-LB-Cr</i> and <i>blaTEM</i> during anaerobic digestion compared with the control group	<i>tetH</i> was completely removed during anaerobic digestion compared with the control group	(Jang et al., 2016)
<i>Magnetite (Fe<sub>3</sub>O<sub>4</sub>)</i>	0.5 g/L to 4 g/L	semi-continuous scale; mesophilic; SRT=20 days	11	No effect on ARG removal during anaerobic digestion compared with the control group.	-	(Zhang et al., 2020c)