

Spatial earthquake vulnerability assessment by using multi-criteria decision making and probabilistic neural network techniques in Odisha, India

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Abstract

In this study, the multi-criteria decision-making method was used to estimate the weights of several input factors such as slope, curvature, elevation, proximity to road, road density, proximity to land use, land use density, proximity to water bodies, river density, rail density, distance from rail, groundwater variation, lithology with amplification factors, peak ground acceleration (PGA) variation, and population density. An integrated analytic hierarchy process (AHP) and a probabilistic neural network (PNN) were applied for the Earthquake vulnerability assessment (EVA). The PNN model successfully explored the relationship between variables and weights obtained from the AHP approach. Validation results indicate that 92.5% accuracy was attained by the PNN model. According to the results, 24.26%, 15.26%, and 20.58% of the area fall under very-high, high, and moderate vulnerability category, respectively. The EVA map illustrates that high to very-high impact could be observed in coastal Odisha and few districts in the Mahanadi Graven.

Keywords: Earthquake vulnerability; MCDM; Bayesian classifier; probabilistic neural network; GIS

33 1. Introduction

34 Earthquake vulnerability assessment (EVA) has been a challenging subject (Peng 2015; Jena
35 *et al.* 2020a). The evaluation of vulnerabilities of the physical, structural, geo-technical, and
36 social components exposed to earthquake is ridden with problems. The main challenges in
37 earthquake vulnerability estimation are (1) difficulties in identifying the suitable factors of
38 vulnerability (Birkmann and Wisner 2006), (2) a lack of detailed and accurate data that can be
39 implemented in feature selection for factor development (Thieken *et al.* 2008), and (3) the
40 availability of data can only be found at highly aggregated levels (Notaro *et al.* 2014).
41 Moreover, grouping of factors is challenging when establishing distinct categories. Some
42 studies perceive geotechnical factors as part of structural vulnerability and vice versa (Yariyan
43 *et al.* 2021). Challenges are also involved in incorporating temporal scales in vulnerability
44 assessments of earthquakes (Baruah *et al.* 2020; Mohebbi *et al.* 2020).

45
46 Globally, several earthquake vulnerability studies have been conducted (Clark *et al.* 1998;
47 Panahi 2014; Bankoff *et al.* 2013). Peng (2015) estimated earthquake vulnerability by using
48 several multi-criteria decision-making (MCDM) methods, such as ViseKriterijumska
49 Optimizacija I Kompromisno Resenje, Elimination et Choice Translating Reality, preference
50 ranking organization method for enrichment evaluation, Weighted Sum Method, and Grey
51 Relational Analysis. The studies were conducted in key Chinese locations using 11 criteria
52 derived from built-up area, population, residential buildings, and industrial infrastructure. The
53 author found that TOPSIS is the most selected method because of its efficiency. Rezaie and
54 Panahi (2015) studied earthquake vulnerability by using Analytic Hierarchy Process (AHP)
55 and Geographical Information System (GIS). Chen *et al.* (2013) described in their research that
56 social vulnerability affects people's ability to handle pre- and post-disaster situations. Clark *et al.*
57 (1998) described social vulnerability with respect to the range of destruction to specific
58 communities, groups, or countries. Bankoff *et al.* (2013) emphasized that vulnerability is the
59 key to estimate risk associated with the corresponding environment and societies. Vulnerability
60 also deals with people, knowledge, and their perceptions (Bankoff *et al.* 2013). Therefore,
61 vulnerability is a complex relationship embedded with processes within an environment. Wood
62 *et al.* (2010) noted that social vulnerability is associated with individual, natural, and social
63 changes that can expose lives to risk.

64 Recently, Flanagan *et al.* (2011) proposed a method to estimate composite vulnerability by
65 aggregating vulnerability factors. They understood that social vulnerability factors for storm

66 surges are associated with natural hazards like hurricanes. Collins *et al.* (2009) worked on
67 environmental vulnerability in El Paso, Texas (USA) and Ciudad Juarez (Mexico). They
68 adopted the method proposed by Cutter *et al.* (2003) to estimate the vulnerability index.
69 Bjarnadottir *et al.* (2011) generated a social vulnerability index on the basis of coastal
70 community for hurricane-prone areas in Florida. Wood *et al.* (2010) converted community
71 relations to social vulnerability associated with Cascadia tsunamis in the United States, and
72 estimated block-level social vulnerability. Zhang *et al.* (2017) developed a model for social
73 vulnerability estimation to evaluate earthquake vulnerability in Sichuan Province, China.
74 Elimination of unimportant factors and optimization of the proposed model was performed by
75 using an attribute reduction method. Thiri (2017) conducted an analysis on vulnerability
76 estimation in 30 municipalities that were affected by the Great East Japan Earthquake that
77 occurred in 2011. Disaster impact on environmental migration was evaluated by conducting
78 interrupted time series analysis.

79 Several studies on earthquake vulnerability were carried out in India. One key research was
80 conducted by the Indian Institute of Technology (Technical Document, IIT 2013). Sinha and
81 Adarsh (1999) conducted a postulated vulnerability study for Mumbai City. Sinha and Goyal
82 (2004) established a national policy for the earthquake vulnerability study for buildings in
83 2003. Likewise, Nath (2016) conducted a vulnerability study for Kolkata City. Some studies
84 were also carried out for the north-eastern region of India, such as Guwahati City (Pathak *et al.*
85 2015) and Shillong City (Biswas *et al.* 2013).

86 However, only a few studies on earthquake vulnerability have been conducted in the state of
87 Odisha (Jena *et al.* 2020d). Most of the focus has been given to local site-effect estimation and
88 hazard mapping (Gupta *et al.* 2014; Mohanty *et al.* 2009). However, no recorded studies have
89 been conducted for earthquake vulnerability assessment using a combined approach of MCDM
90 and machine learning (ML) techniques. No comprehensive, large-scale earthquake
91 vulnerability study has been conducted in Odisha by using ML and GIS. The major research
92 questions that were addressed in this study are; (1) is good accuracy in vulnerability mapping
93 possible? If so, how methodical are the obtained results?; (2) what are the main factors in the
94 current model that help achieve good accuracy?; and (3) is the proposed PNN model good
95 enough for future regional scale studies on earthquakes? Existing seismic studies concentrate
96 on earthquake hazard assessment and local-scale vulnerability assessment. By contrast, many
97 assumptions have been made for existing studies without considering the vulnerability index
98 estimation. However, in this research, we have not gone through any assumption but followed

99 the major factors that contribute to the vulnerability index. Previous works in Odisha were fully
100 focused on probability and hazard assessment. However, in the current research, geological,
101 geomorphological, structural, and social characteristics were integrated into GIS to generate an
102 earthquake vulnerability map where implementation of the AHP and PNN technique provides
103 a useful way to estimate vulnerability index. This work has three main objectives: (1) to
104 estimate earthquake vulnerability by aggregating vulnerability factors with the addition of new
105 factors by using MCDM; (2) to develop a PNN model to implement vulnerability prediction
106 with good accuracy; and (3) to predict the site of spatial variation of vulnerable zones.

107 **2. Study area**

108 Odisha shares a coastline of 450 km with the Bay of Bengal (Figure 1a). It is located in Eastern
109 India, which is famous for its history, culture, hot springs, and unique geography (Sarkar and
110 Saha 1983). The state is located between the latitude and longitude of 20.9517° N and 85.0985°
111 E, respectively. Bhubaneswar is Odisha's economic capital and the "temple city" of India. The
112 state extends over 155,707 km² and has a population of 46 million. The GDP of Odisha in
113 2019–2020) was US\$75 billion (Sarkar and Saha 1983; Dhar *et al.* 2017).

114 As stated in the seismic zonation map of India, Odisha falls under zones II and III (Narula *et*
115 *al.* 2000). Although a considerable part of Odisha falls under zone III, much of the state is
116 under zone III. Major cities that are encompassed by the Mahanadi Graben are Bhubaneswar,
117 Cuttack, Talchir, Angul, Dhenkanal, Sambalpur, and Balasore (Figure 1c). Several moderate
118 magnitude events have occurred in the Bonaigarh–Talchir area (Mw 5 and 4.8). In 1958 and
119 1962, two earthquake events of 5.2 Mw occurred in Rengali Province (Figure 1a). Several
120 moderate events of Mw 4.4, 4.1, and 4.3 were also recorded in January 1986, because of the
121 north Odisha boundary fault (NOBF) movement (Mahalik, 1994). Four major stations were
122 established by the Geological Survey of India (GSI) for the measurement of micro-earthquake
123 close to the NOBF. Nevertheless, many hypocenters, which indicate neo-tectonics, were also
124 observed in the active NOBF.

125 This section presents the geological and tectonic settings in Odisha (Figure 1b).
126 Approximately, 75% of the state is covered by Precambrian rocks. The rocks date back to 3,700
127 million year (M.Y.) of geological history (Gupta 2012). Consequently, 25% of the total rock
128 deposits, including unconsolidated rocks, are from the Post-Cambrian age.

129

130

Figure 1. *Around here.*

131 Basement rocks are characterized by granites, gneisses, ultrabasic-basic rock types,
132 khondalites, and charnockites (Gupta 2012). Studies have been conducted along the litho-
133 contact between the Eastern Ghats Mobile Belt (EGMB) and North Odisha Craton (NOC),
134 which is divided by the Mahanadi Shear Zone (MSZ) in east-west direction (Mahalik 1994).
135 Gondwana graben is the basin known for coal deposits that have risen due to the fault zone
136 generated between the cratons. Owing to the typical characteristics of fault slices, interpretation
137 of sedimentation, intrusion, and litho-contact is difficult.

138

139 EGMB consists of granulite facies characterized by charnockites, khondalites, quartzites,
140 gneiss, and garnet–biotite schists (Gupta 2012). The North Orissa craton is characterized by
141 banded iron ore and supracrustal rocks of low-grade origin within granitic intrusion (Gupta
142 2012). Geologists believe that EGMB rocks are older than BIF-bearing granites. Amphibolite
143 facies are located in the southern part of the Singhbhum craton. Migmatites are metamorphic
144 rocks that are found in EGMB (Mahalik, 1994). The E–W oriented Mahanadi graben is
145 sandwiched between NOC and EGMB, thus forming a basin (Mahalik 1994). Further, it can be
146 considered as a half-graben composed of several normal faults. Thus, EGMB is trending in the
147 WNW–ESE direction parallel to NOBF that is present in between NOC and Mahanadi basin.
148 Moreover, the reactivation of NOBF and MSZ is directed towards tectonic basin development
149 and seismicity.

150

151 **3. Data**

152 Data for this study were collected from several sources. The open access catalog of earthquakes
153 is obtained from national and international disaster management agencies. The sources are the
154 United States Geological Survey (USGS), National Earthquake Information Center, and
155 National Center for Seismology. Shape files, building information, and population data were
156 collected to develop a geodatabase and to generate several layers for vulnerability assessment.
157 The geological map was obtained from GSI and was used to prepare thematic layers.
158 Geological data, including lithology, are the raw data used to derive vulnerability factors. For
159 PGA estimation, this study chose the specific magnitudes ranging from 5.0 up to 7.5–8.5 which
160 were experienced in and around Odisha using the ground motion equation developed by
161 Campbell & Bozorgnia (2010). As most of the major earthquakes in Odisha falls within a
162 distance ranging from 0–200 km, Campbell & Bozorgnia attenuation model best suits for the
163 PGA estimation (Figure 3). The seismotectonic atlas and published papers were used as

164 genuine referrals for locating earthquake sources and high-intensity locations for vulnerability
165 identification purposes. In the current study, a digital elevation model with a spatial resolution
166 of 30 m was used due to the unavailability of high-resolution data to generate major factors
167 such as slope, elevation, curvature, and hill shade (USGS 2018). To prepare the thematic layers,
168 a world geodetic system (WGS 1984) was used. The other thematic maps were derived from
169 transportation data, groundwater and river data, and land use and land cover. Most of the
170 researches explored only one side of the factors that is the proximity, however ignoring the
171 other appealing side impacts on the model output (Yariyan *et al.* 2021, Alizadeh *et al.*,
172 2018a,b). In “road density”, dense locations were always prioritised and determined with all
173 road contributing equally to a particular area. This is important for the main road junctions that
174 is more significant than a single road (Jena *et al.* 2020b). However, individual roads are
175 prioritized in “proximity to road factor”. This signifies the importance of all other factors
176 derived from single data for vulnerability assessment (Table 1). The thematic layers were
177 presented by using natural break classification technique (Figure 2). Table 1 lists down the raw
178 data and the derived parameters implemented in the study.

179

Table 1. *Around here*

181

Figure 2. *Around here*

183

Figure 3. *Around here*

185

186 **4. Methodology**

187 An integrated AHP–PNN model was developed where 17 selected factors were chosen to
188 estimate vulnerability. First, MCDM technique was implemented to understand the priority of
189 thematic layers and considered as an input for the PNN classification of vulnerability. Second,
190 a PNN model was developed for the prediction classification purposes. To generate the PNN-
191 based earthquake vulnerability assessment, pre-processing, processing, and post-processing of
192 data were conducted. Prediction of classification is the main task to generate vulnerable
193 locations into points, and then post-processing was performed to generate maps. Finally, the
194 GIS environment conversion of point-to-raster was conducted, thus generating the earthquake
195 vulnerability map. Figure 4 presents the methodological flowchart.

196

197 **Figure 4. Around here**

198

199

200

201 **4.1. AHP approach implementation**

202 For the vulnerability assessment, 15 layers were selected on the basis of the literature (Jena *et*
203 *al.* 2020a), and the AHP approach was implemented. The importance of thematic layers was
204 presented with description in the data section (Table 1). Table 2 presents the pair-wise
205 comparison and the relative importance of factors. Subsequently, normalization can be applied
206 and the priority of all the layers can be estimated.

$$207 \quad AW = \lambda_{max}W, \quad (1)$$

208

209 where pair-wise comparison matrix can be considered A and the eigenvector is W. λ_{max} is the
210 largest eigenvector as described in Eq (1). X is the eigenvector of matrix A, which could be
211 presented through the expression in Eq. (2). For vulnerability assessment, the weighted sum
212 tool was implemented to generate the map.

213

$$214 \quad (A - \lambda_{max}W) * X = 0 \quad (2)$$

215

216 The consistency index (CI) can be presented by Eq. (3):

217

$$218 \quad CI = \frac{(\lambda_{max} - n)}{n - 1} \quad (3)$$

219

220 Here, λ_{max} is the validation parameter. To check the consistency in the pairwise comparison,
221 CI was used. If the consistency ratio (CR) is < 0.1 , then it can be considered for the priority
222 estimation and mathematically it can be written as:

$$223 \quad CR = CI/RI. \quad (4)$$

224

225 To the end, vulnerability map was developed in GIS using the factor's priority values derived
226 by using AHP approach (Table 2).

227

228

Table 2. *Around here.*

229

230

Table 3. *Around here*

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232

233 4.2. Probabilistic neural network architecture and implementation

234 Specht (1990) first introduced the PNN model, which is established on the basis of Bayesian
 235 classifier technique that is most commonly implemented in solving pattern-recognition or
 236 classification problems (Figure 5).

237 Pattern vector \mathbf{x} is considered with m dimensions, which belongs to K_1 or K_2 categories. Let us
 238 consider the $F_1(x)$ and $F_2(x)$ as the probability density functions (pdf) in the classification
 239 purposes of K_1 and K_2 , respectively. Based on the decision rule of Bayes, \mathbf{x} comes under K_1 if;

$$240 \frac{F_1(x)}{F_2(x)} > \frac{L_1 P_2}{L_2 P_1}. \quad (5)$$

241

242 Conversely, \mathbf{x} comes under K_2 if;

$$243 \frac{F_1(x)}{F_2(x)} < \frac{L_1 P_2}{L_2 P_1}. \quad (6)$$

244

245 Here, loss function is L_1 linked with the vector misclassification that belongs to K_1 category.
 246 When L_2 becomes the loss function, then it belongs to category K_2 . Similarly, P_1 will be the
 247 prior probability when it belongs to category K_1 , and for category K_2 , P_2 will be the prior
 248 probability of occurrence. In several circumstances, the prior probabilities and the loss
 249 functions can be regarded as equal. Parzen window is a nonparametric estimation technique
 250 used in PNN to design class-dependent pdfs for each category on the basis of Bayes' theorem
 251 (Parzen 1962). Parzen window and Bayes' theorem have been implemented in a wide field of
 252 engineering applications, and they are featured in a number of statistical textbooks (Parzen
 253 1962).

254

255 If x_j is the j th pattern in K_1 category, then the Parzen estimate will be:

256

$$257 F_1(x) = \frac{1}{(2\pi)^{m/2} \sigma^m} \sum_{j=1}^n \exp \left[-\frac{(x-x_j)^T (x-x_j)}{2\sigma^2} \right]. \quad (7)$$

258

259 Here, n is the number of training patterns, m is the number of input space dimension, pattern
260 number as j , and σ is the “smoothing parameter.” Smoothing parameter σ can be determined
261 experimentally. However, the choice of σ is not sensitive to its value variation (Specht 1990).

262

263 **Figure 5.** *Around here*

264 **4.3. Model execution**

265

266 The PNN architecture consists of four layers to implement the Bayesian network, as presented
267 in Figure 5. The structure of PNN consists of four layers, namely, input, a pattern, a summation,
268 and an output layer. A simple PNN is made of two categories, three independent variables, and
269 five training cases (Meisel 1972). The first input layer primarily portrays m input
270 variables(x_1, x_2, \dots, x_m). The input neurons simply spread all the variables of x to the neurons
271 of the next layer known as the pattern layer. The fully connected pattern layer to the input layer
272 allows one neuron for each pattern during the training purposes. In this layer, the neurons’
273 weight values are set equal to the divergent training patterns. A dot product was performed by
274 j as the neuron of pattern layer on the input pattern vector \mathbf{x} , where the weight vector is \mathbf{w}_j ,
275 which can be presented as $Z_j = \mathbf{x}\mathbf{w}_j$. A nonlinear function performance $\exp [(Z_j - 1)/\sigma^2]$ is
276 then conducted before outputting the summation neuron. Here, the value of \mathbf{x} and \mathbf{w}_j are
277 normalized; therefore, performing dot product is equivalent to this operation:

278

279
$$\exp \left[-\frac{(\mathbf{w}_j - \mathbf{x})^T \mathbf{w}_j - \mathbf{x}}{2\sigma^2} \right]. \quad (8)$$

280 This is because,

281
$$\exp \left[-\frac{(\mathbf{x} - \mathbf{w}_j)^T \mathbf{x} - \mathbf{w}_j}{2\sigma^2} \right]. \quad (9)$$

282

283 Then, it can be rewritten as:

284

285
$$\exp \left[-\frac{2\mathbf{x}^T \mathbf{w}_j - \mathbf{x}^T \mathbf{x} - \mathbf{w}_j^T \mathbf{w}_j}{2\sigma^2} \right]. \quad (10)$$

286

287 Hence, nonlinear operation $\exp [(Z_j - 1)/\sigma^2]$ is in the similar form as per the exponent
 288 function in Eq. (10). The exponential term in Eq. (10) can be computed for neurons in the
 289 pattern layer.

290

291 Each category has one summation-layer neuron. The neurons of the summation layer execute
 292 the exponential term in Eq. (10). The weights are fixed to the summation layer; therefore, the
 293 summation layer can easily add on the outputs that originated from the pattern layer. The
 294 outputs generated from the pattern layer come to the summation layer, which then can be
 295 classified by looking at the categories based on the selected training pattern. Binary output
 296 values can be resulted by the PNN model in the output-layer neurons. This model indicates a
 297 best classification option for each pattern in the data.

298

299 This regards to generate the best smoothing parameter for a set of vectors \mathbf{x} through the training
 300 of the PNN as σ , which makes the best use of the classification accuracy of an independent set
 301 of test vectors. The PNN model in this study was implemented to train and test the network
 302 reliability to classify the occurrence or non-occurrence of earthquake vulnerability accurately.
 303 This study treats the earthquake vulnerability problem as a classification problem whereby two
 304 categories of K_1 or K_2 need to be defined by a multivariate vector pattern $\mathbf{x} = (x_1, x_2, \dots, x_m)$.
 305 Here, the components of vector \mathbf{x} denote major thematic layers as factors to estimate
 306 vulnerability. Consequently, category K_1 shows a case where vulnerability occurred and K_2 as
 307 a case of non-vulnerable locations. The training and testing set were considered that could
 308 predict the two categories and validate the performance of PNN. Figure 4 presents the overall
 309 flow chart of the study.

310

311 **ROC curve**

312 The receiver operating characteristic curve (ROC) is a graphical representation of the model
 313 performance, which has been plotted for binary classification. The false positive rate is shown
 314 in the x-axis, whereas the y-axis denotes the true positive rate.

$$315 \quad \text{True Positive Rate} = \frac{\text{True Positives}}{(\text{True Positives} + \text{False Negatives})} \quad (11)$$

$$316 \quad \text{False Positive Rate} = \frac{\text{False Positives}}{(\text{False Positives} + \text{True Negatives})} \quad (12) \quad (12)$$

317

318 **5. Results**

319 A map of earthquake vulnerability was derived using several data of exposure and vulnerability
320 factors based on AHP approach (Figure 6a). The consistency ratio achieved by using the AHP
321 approach is 0.08, where 136 comparisons were performed. During the AHP priority scoring of
322 factors, the principal eigenvalue of 19.06 was originated, whereas the eigenvector solution is
323 seven iterations. However, the delta value achieved in this study is 1.0E-8 by using AHP
324 approach. The CR displayed that the criteria scoring was assessed accurately. Several major
325 factors including population density, peak ground acceleration, land use density and lithology
326 with amplification factors ranked 1 to 4 with their approximate weights of 21.9%, 16.0%,
327 12.2% and 11.3%, respectively (Table 3). Other criteria were ranked medium to low. We
328 evaluated 17 major factors for the purpose of vulnerability estimation, thus leading to an
329 acceptable CR. Many criteria were considered as input thematic layers to assess the earthquake
330 vulnerability of land use/cover and population density/km² of Odisha (Figure 2). At the end of
331 the AHP analysis, a vulnerability map was developed and classified into five classes by using
332 the natural break classification technique (Jena *et al.* 2020) (Figure 6b). The generated map
333 denotes that 60.1% of the total area has very-high to moderate vulnerability, whereas 39.9% of
334 the state has low to very-low areas vulnerability.

335

336

Figure 6. Around here

337 Very-high and high vulnerable zones based on AHP are covered by approximately 20.79%
338 (32,287 km²) and 19.99% (31,030 km²) of the state, respectively. However, 23.79% (36,930
339 km²), 14.72% (22,850 km²), and 20.69% (32,130 km²) are considered moderate, very-low, and
340 low vulnerable areas, respectively, as shown in the Figure 6b. This map was taken as a target
341 for the PNN prediction.

342 A prediction of vulnerable locations was organized by using the PNN model that predicts
343 vulnerable areas (1) and non-vulnerable areas (0). This model predicted 494 data points
344 successfully out of 534 events due to illogical values (negative or overestimated values)
345 acquired from some pixels in the obtained layers of factors. In total, 11 positive cases and 29
346 negative cases were missed during the PNN prediction. The PNN model predicted a total area
347 of 48,900 km² as the vulnerable location in Odisha with an accuracy of 92.5%. According to
348 the PNN classification result, 24.26% (37,665 km²), 15.26% (23,696 km²), 20.58% (31,950
349 km²), 22.52% (34,967 km²) and 17.36% (26,949 km²) are considered very-high, high,
350 moderate, low, and very-low vulnerable locations. Training (70%) and testing (30%) were

351 performed for 534 points, out of which 270 were vulnerable and 264 were non-vulnerable
352 locations. The PNN model achieved 95.9% sensitivity and 89% specificity. The fitted ROC
353 area (0.98) and empiric ROC area (0.96) were achieved in the PNN prediction (Table 4). Figure
354 7 shows the predicted vulnerability map. ROC was plotted to show the accuracy (Figure 8). All
355 unpredicted vulnerable and non-vulnerable points displayed no discernible pattern.

356 **Table 4.** *Around here*

357 Earthquake vulnerability is very-high in the eastern coastal parts, northwestern parts, and
358 central locations of Mahanadi graben, as presented in Figure 7. Moreover, the several moderate
359 magnitude earthquakes of 5.3 Mw have occurred in the northwestern part of Odisha. The
360 earthquakes are most probably due to the active zone of NOBF fault. Most sections of the very-
361 high vulnerable areas are covered in districts centered within the Mahanadi graben, which
362 experienced high ground shaking. According to previously published articles, NOBF has the
363 capacity to strike large events that makes the location more vulnerable. NOBF is associated
364 with high vulnerable areas in Southern and Northwestern Odisha. Some parts in central and
365 northern Odisha were characterized by medium vulnerability, whereas the western part and
366 some scattered areas in central Odisha were characterized by low vulnerability. Several districts
367 have very-high (0.85–1) to high (0.65–0.85) vulnerability. These districts are mostly located in
368 Western and coastal Odisha, including Sundargarh, Jharsuguda, Sambalpur, Bargarh,
369 Subarnapur, Balangir, Nuapada, Kalahandi, Nabarangpur, Cuttack, Kendrapada, Ganjam,
370 Jagatsinghpur, Khordha and Puri. Many other districts fall under the moderate to very-low
371 categories. Furthermore, the map shows that approximately 61,361 km² of Odisha falls in the
372 very high-to-high category (Table 5).

373

374 **Table 5.** *Around here*

375 **Figure 7.** *Around here.*

376 **Figure 8.** *Around here*

377 **6. Discussion**

378 The study examines the implementation of PNN and MCDM for vulnerability estimation on a
379 regional scale. In this study, natural break was implemented to derive the scale of vulnerability,
380 which is important to properly translate the significance of vulnerability (Jena *et al.* 2020). The

381 AHP approach and PNN models were used as assessment techniques, which produced an
382 acceptable vulnerability result. The FPF and TPF values were achieved with a CI of 95% (Table
383 6).

384 **Table 6.** *Around here.*

385 The NOBF trends east-west with a 250 km of strike length, whereas a 2–5 km of width range
386 can be found. The NOBF is irregular and characterized by inter-linkage of rocks that make
387 Northern Odisha non-uniform with complex geo-structures. Hahn *et al.* (2009) stated that the
388 evaluation of vulnerability index could boost communities' engagement in vulnerable
389 locations. Brooks (2003) described that community labelling is not suitable because
390 vulnerability varies naturally with location and communities. The current study applied
391 MCDM and PNN models, and the performance of the approach produced a good quality map
392 that represents earthquake vulnerability in five classes. According to the outcome of this
393 research, the state government should make the plan by considering key techniques throughout
394 the period of disasters to minimize losses.

395 Major locations near and inside the Mahanadi River Valley are close to earthquake sources and
396 fall under poorly consolidated sediment deposits. High to severe impact could be experienced
397 in several districts such as Nayagarh, Khordha, Puri, Jagatsingpur, Kendrapada, Cuttack,
398 Bhadrakh, Dhenkanal, Anugul, Sundargarh, Jharsuguda and Sambalpur because of both inland
399 and offshore seismicity. The authors assume that a few locations are characterized by old
400 buildings and constructed by using traditional methods, whereas some modern constructions
401 that do not meet standards make buildings vulnerable to earthquakes (Figure 1c). This
402 vulnerability assessment is necessary as the state was hit by many moderate magnitude events
403 in the last decade.

404 The importance of major factors and data limitations could help achieve the developed
405 vulnerability map that has an important role in future risk mapping. The context of
406 demographic analysis is vital in pre- and post-earthquake studies (Jena *et al.* 2020a). Therefore,
407 social and structural characteristics are directly interlinked with damage, death, and relief
408 facilities. Nonetheless, only a few works have been performed on the earthquake hazard
409 assessment in Odisha. This study is a preliminary research for vulnerability assessment in
410 Odisha. However, geotechnical and social attributes such as lithology with amplification factor,
411 PGA variation and population density have more influence on the vulnerability assessment
412 throughout a period. In Odisha, strong ground motion is particularly controlled by geotechnical

413 specifications, which is the complex combination of frequency, duration, magnitude, distance
414 from hypocenter, lithology, slope, distance from fault, and curvature. Thus, assessment of PGA
415 is vital in infrastructure development, and it could minimize the vulnerability of damages
416 (Panahi *et al.* 2014). If the foundation of structures fall over unstable steep slope, this condition
417 could cause earthquakes, landslides, and liquefaction in loose lithotypes (Sarvar *et al.* 2011).
418 Fan *et al.*, (2019) conducted research on earthquake induced landslides that modify the
419 landscapes. Their study suggest pathways towards an integrated research on the seismology
420 with secondary effects on the Earth's surface. They have demonstrated the necessity for the
421 joint consideration of earthquake-induced landslides into the co-seismic hazard and risk
422 assessment. Karpouza *et al.*, (2021) presented a study regarding an approach that is useful for
423 the simultaneous hazard zonation mapping based on the earthquake-induced secondary effects.
424 Their methodology applied an initial separate modeling process for the hazard estimation due
425 to seismically induced soil liquefaction and landslides. Then, a subsequent stacking of the
426 results into a single hazard map was conducted using an integrated assessment technique for
427 exposed areas to earthquake-induced and seismic shaking phenomena. The detail integrated
428 analysis could help in improving the earthquake vulnerability assessment.

429 A disabled male is less vulnerable than a disabled female, because the principal vulnerability
430 lies in the weaknesses of people concentrating on their capabilities. Therefore, this assumption
431 or ignorance could affect the results of vulnerability seriously (Jena *et al.* 2020b). Figure
432 7 presents the predicted vulnerable locations in the study area. Nevertheless, the analysis
433 indicates that vulnerability increases with the increase in land use. In Figure 7, the predicted
434 vulnerability shows that the model of PNN has a high capacity to predict locations precisely.
435 As of now, very few casualties experienced due to earthquakes in Odisha still more fatalities
436 and injuries could be experienced in future if the magnitude of more than Mw 5.5 experienced
437 in the districts falling under Mahanadi graben (Jena *et al.* 2020d). Therefore, the principal
438 center of attention must be on high to very-high vulnerable zones that could lead to high
439 fatalities in coming future. The intensity is high with more poor building structure and in a
440 greater number, which are located in the central and coastal parts of Odisha specifically in
441 Cuttack, Khordha and Jagatsinghpur districts. Furthermore, the central region, coastal and
442 north-western parts are highly vulnerable and have the capacity to mitigate and recover. This
443 study was performed at a regional scale, but microzonation is necessary for each property. To
444 the end, understanding the situations of earthquake vulnerabilities would help in mitigating
445 future disasters. Vulnerability is complex to assess; therefore, a detailed indicator including

446 building characteristics, geological factors, education level, and disability associated with
447 persons are required. The proposed approach is useful for decision makers during the future
448 risk assessment and has good variances in mapping the earthquake vulnerability. Table 7
449 presents the prediction values of 0 and 1.

450 **Table 7.** *Around here.*

451 **7. Conclusions**

452 We developed a PNN model for vulnerability prediction by using the MCDM results and
453 ultimately produced an earthquake vulnerability map. This is a new approach to predict
454 vulnerability as there is no earthquake vulnerability study have been conducted in Odisha. The
455 conclusions that can be drawn from this research could be helpful for local residents and
456 disaster management agencies. First, by using the AHP approach, vulnerability assessment was
457 conducted using several input factors that include land use and population density. According
458 to the AHP assessment in Odisha, 19.99% of the area fall under high, where 20.79% of the area
459 comes under very-high vulnerability. Based on the PNN outcome, 15.26% and 24.26% of the
460 area fall under high and very-high vulnerability category, respectively. Moreover, moderate
461 vulnerable locations cover approximately 20.58% of area. Old buildings and poor ground
462 conditions are seen along the northwest, central, and eastern coastal regions of the state. The
463 EVA map illustrates earthquake vulnerability that could impact high to very-high for the
464 districts such as Ganjam, Nayagarh, Khordha, Puri, Jagatsingpur, Kendrapada, Cuttack,
465 Bhadrakh, Baleswar, Dhenkanal, Anugul, Sundargarh, Jharsuguda and Sambalpur. The EVA
466 map validation was conducted successfully and PNN was implemented to predict the
467 vulnerable locations keeping the AHP based map as the target. The study is limited to pre-
468 earthquake vulnerability assessment. The criteria, which have not been considered in the
469 current research, include soil liquefaction, building categories and seismic resonance. These
470 aforementioned data were not included due to lack of data.

471

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477

478 **Data Availability**

479 Data sharing is not applicable to this article as no new data were created or analyzed in this
480 study.

481 **Conflict of Interest**

482 The authors declare no conflict of interest.

483

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