



# Article A Novel Algorithm for Capacitated Vehicle Routing Problem for Smart Cities

Mohammad Sajid <sup>1</sup>, Jagendra Singh <sup>2</sup>, Raza Abbas Haidri <sup>3</sup>, Mukesh Prasad <sup>4</sup>, Vijayakumar Varadarajan <sup>5,\*</sup>, Ketan Kotecha <sup>6,\*</sup> and Deepak Garg <sup>2</sup>

- Department of Computer Science, Aligarh Muslim University, Aligarh 202002, India; msajid.cs@amu.ac.in
   School of Engineering and Applied Science, Bennett University, Greater Noida 203206, India;
- <sup>2</sup> School of Engineering and Applied Science, Bennett University, Greater Noida 203206, India; jagendra.singh@bennett.edu.in (J.S.); deepak.garg@bennett.edu.in (D.G.)
- <sup>3</sup> Department of Computer Science and Information Technology, Khwaja Moinuddin Chishti Language University, Luckhnow 226013, India; razahaidri@kmclu.ac.in
- <sup>4</sup> School of Computer Science, Faculty of Engineering, University of Technology Sydney, Sydney, NSW 2007, Australia; mukesh.prasad@uts.edu.au
- <sup>5</sup> School of Computer Science and Engineering, The University of New South Wales, Sydney, NSW 2052, Australia
- <sup>6</sup> Symbiosis Centre for Applied Artificial Intelligence, Faculty of Engineering, Symbiosis International University, Pune 412115, India
- \* Correspondence: v.varadarajan@unsw.edu.au (V.V.); director@sitpune.edu.in (K.K.)

Abstract: Smart logistics is an indispensable building block in smart cities development that requires solving the challenge of efficiently serving the demands of geographically distributed customers by a fleet of vehicles. It consists of a very well-known NP-hard complex optimization problem, which is known as the capacitated vehicle routing problem (CVRP). The CVRP has widespread real-life applications such as delivery in smart logistics, the pharmaceutical distribution of vacancies, disaster relief efforts, and others. In this work, a novel giant tour best cost crossover (GTBCX) operator is proposed which works stochastically to search for the optimal solutions of the CVRP. An NSGA-II-based routing algorithm employing GTBCX is also proposed to solve the CVRP to minimize the total distance traveled as well as to minimize the longest route length. The simulated study is performed on 88 benchmark CVRP instances to validate the success of our proposed GTBCX operator against the nearest neighbor crossover (NNX) and edge assembly crossover (EAX) operators. The rigorous simulation study shows that the GTBCX is a powerful operator and helps to find results that are superior in terms of the overall distance traveled, length of the longest route, quality, and number of Pareto solutions. This work employs a multi-objective optimization algorithm to solve the capacitated vehicle routing problem (CVRP), where the CVRP is represented in the form of a two-dimensional graph. To compute the values' objective functions, the distance between two nodes in the graph is considered symmetric. This indicates that the genetic algorithm complex optimization algorithm is employed to solve CVRP, which is a symmetry distance-based graph.

**Keywords:** smart logistics; capacitated vehicle routing problem; Pareto optimality; non-dominated sorting

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# 1. Introduction

Smart logistics is an indispensable building block in developing smart cities, and the efficient delivery of the demands of geographically distributed customers plays a major role [1–3]. As depicted in Figure 1, the CVRP problem is concerned with discovering the optimal paths for a given fleet of motor vehicles to fulfill the demands of physically distributed customers [3]. The CVRP and its variants are widely used in many real-life applications, such as smart logistics [1,2], critical data collection in IoT platforms [4], renting-sharing problems for urban bicycles [5], the routing and scheduling of chains of retail stores [6], distributing medical supplies for emergencies [7], crop harvesting and



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transportation [8], and the dynamic vehicle routing problem with traffic congestion to name a few. The CVRP is a widely-discussed NP-hard [3] problem, and therefore, optimization methods including exact methods (dynamic programming, branch-and-bound); heuristics (the Fisher-Jaikumar algorithm and the Clarke-Wright saving algorithm); swarm and evolutionary algorithms (GA, ACO, and firefly algorithms); local search operators (swap, inversion, scramble) and hybrid approaches have widely been employed to crack the CVRP problem [3,8–14]. In the CVRP, multiple vehicles are required to serve the customers in different routes, and only minimizing the overall distances traveled by all vehicles may severely affect the distance traveled by individual vehicles. The minimization of the total traveled distance with all motor vehicles may lead to route imbalance, i.e., one vehicle is required to travel a higher distance to serve the assigned customers on the longest route. On the longest route, the vehicle spends more time delivering the items, and customers also experience deliveries with longer waiting times than customers on other, shorter routes. The optimization of total traveled distance and the longest route provides balanced routes and shorter distances travelled by all vehicles, leading to fair optimization behavior with respect to each of the three stakeholders-the depot's owners, the vehicle's owner/driver, and customers. The optimization of the total traveled distance and the length of the longest route comes into conflict with each other, because minimization of one negatively affects the other. The optimization of two objectives invites the application of multi-criteria optimization methods, such as NSGA-II, NSGA-III, HypE, SMS-EMOA, EMOA/D, etc. [15]. Elitist and fast multi-criteria evolutionary algorithms, such as the non-dominated sorting genetic algorithm-II (NSGA-II), have proven their strength in the optimization field and have successfully resolved various complex optimization problems, such as scheduling, routing problems, wireless routing problems, and others [15-18]. However, the performance of the swarm and evolutionary algorithms heavily depends on genetic operators (i.e., recombination and mutation operators) according to the problem solved [19]. Therefore, it is required to design appropriate operators to search for the optimal solutions of CVRP using evolutionary algorithms.

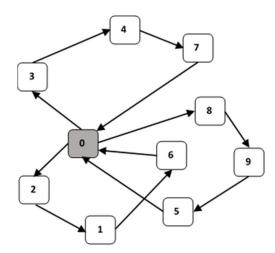


Figure 1. Graphical representation of the CVRP.

This work considers the bi-objective version of the CVRP for minimizing the overall distance traveled by the fleet of vehicles and the length of the route with the longest distance. For solving the bi-objective CVRP, a novel giant tour best cost crossover (GTBCX) operator is proposed. The GTBCX operator is employed in NSGA-II to solve the CVRP to reduce the total distance traveled and to minimize the longest route's length. The GTBCX operator was designed intelligently to avoid the generation of infeasible solutions which may be generated during the crossover process. It also avoids premature convergence and advances to the optimum problem solution with respect to all objectives. The unique contributions of our research work are as follows:

- A problem-specific crossover operator is proposed, i.e., giant tour best cost crossover (GTBCX) operator for the CVRP.
- The routing algorithm, which combines NSGA-II and GTBCX, is proposed to resolve the bi-objective CVRP.
- The performance of GTBCX is evaluated against the nearest neighbor crossover (NNX) and edge assembly crossover (EAX) crossover operators [3,20,21].
- An extensive simulation is performed on 88 CVRP benchmark instances to check the efficacy of the proposed GTBCX operator and routing algorithm by the number and quality of Pareto solutions offered.

This research work focuses on the development of an optimization algorithm-based genetic algorithm which is employed to solve the CVRP. The CVRP is modeled as a twodimensional graph in which the distance between two nodes is considered symmetric. As the problem is complex, the genetic algorithm-based search algorithm employs nondominated sorting, crowding comparison operators, and genetic operators to perform the global search. It also includes local search operators, i.e., swap, relocate, and 2-opt\* operators to search the neighborhood solutions of the given solution. This work explores a complex algorithm to solve the capacitated vehicle routing problem, which is an application of graph theory and symmetric in nature.

The remainder of this paper is divided into six sections. Section 2 discusses the related literature focusing on different dimensions of the capacitated vehicle routing problem (CVRP) as well as NSGA-II. In Section 3, we present problem formulation corresponding to the bi-objective CVRP. Section 4 presents the proposed work, including an overview of the NSGA-II-based routing algorithm, GTBCX, and mutation operators. Section 5 presents an extensive simulation study for benchmark CVRP instances. Finally, Section 6 puts forward the conclusion and future research directions.

#### 2. Related Work

As we know, the CVRP falls in the category of NP-hard problems [3]; in the literature, many meta-heuristic and heuristic approaches have been presented for different applications and the routing environment. The literature can be divided into three major categories.

- CVRP with the longest route length as the objective;
- CVRP with overall total traveled distance or other cost functions as the objectives;
- NSGA-II employed in the CVRP domain with different objectives

The first category consists of meta-heuristic and heuristic approaches, which have been utilized for solving CVRP by minimizing the longest route length. The vehicle routing issue with longest route minimization was first proposed in the form of a newspaper routing problem by J. K. Lenstra and E. Aarts in a mathematics challenge named the Whizzkids'96 (1996) [22]. The goal of the newspaper routing problem was to make certain that all clients could provide newspapers as early as possible. Applegate et al. proposed an algorithmic framework based on the branch-and-cut algorithm for the newspaper vehicles route problem [23]. The MinMax CVRP problem was solved using a genetic algorithm [24], fast Taboo search [25], and hybrid algorithms [26] to optimize the length of the longest route for all vehicles. The work used natural number coding, the insertion method, the individual amount control choice strategy, improved route crossover, the two-exchangebased mutation operator and hill-climbing heuristic to generate feasible solutions and maintain diversity among the solutions. Bertazzi et al. [27] performed a worst-case analysis from a structural viewpoint to compare the optimal results of the CVRP (Min-Sum VRP) and the Min-Max CVRP. Bertazzi et al. motivated the development of heuristic and metaheuristic approaches for the Min-Max CVRP, and concluded that the Min-Max algorithm must be implemented in merely well-justified cases. Yakici et al. [28] proposed a variant of MinMax CVRP in which mixed demands, heterogeneous vehicles, and split delivery are allowed. Yakici et al. designed an algorithm consisting of the min-max set covering problem solution, column (route) generation, and demand splitting phases. Yakici [29]

also applied an ACO algorithm to resolve a variant of the MinMax CVRP consisting of mixed service demands and a mixed fleet. Son et al. [30] solved the Min-max CVRP using a local search approach with different neighborhood structures to minimize the longest route length, and a quality function was also employed to control the local search.

The second category includes meta-heuristics and heuristics, which have been employed to resolve the CVRP to minimize the total traveled distance or other objectives. In this category, a plethora of algorithms and their hybrid variants have also been used to solve CVRP and their variants. Lin et al. proposed a sweep algorithm-based population starting strategy, the order aware genetic algorithm (OHGA) [13], and the best cost route crossover (BCRC) operator to solve capacitated vehicle routing problems. The OHGA was calculated using 86 CVRP cases, and it indicates reasonable performance against various meta-heuristics. Altabeeb et al. designed CVRP-FA to resolve the CVRP using the firefly algorithm (FA). Two different types of genetic and local neighborhood search operators are combined with FA to search for quality solutions, as well as to speed up the convergence. CVRP-FA significantly outperforms the fundamental edition of the firefly algorithm, as reported in the experimental study [14]. Bahri et al. [31] studied multi-objective vehicle routing problems considering objective functions as fuzzy values. A robust approach to deal with fuzziness for vehicle routing problems is proposed for minimizing two goals, i.e., the overall distance traveled and the total distance tardiness time. A Monte-Carlo simulation study was performed to evaluate the results. Many more algorithms have been employed to handle the CVRP, and some of them include large neighborhood search [32], multi-start and multi-insertion algorithms [33,34], hybrid genetic algorithms [35], ant colony optimization [36], particle swarm optimization [37], and others.

The third category entails NSGA-II-based routing algorithms that were used to solve CVRP with different objectives. NSGA-II has also been utilized to resolve multi-objectives CVRP and its variants. Li et al. [38] unraveled the multi-objective VRP using NSGA-II to distribute the military logistics during wartime. A greedy algorithm has also been used to improve the population initialization that leads to more effective solutions. Mandal et al. [39] designed a memetic approach consisting of NSGA-II, the dominance-based local search procedure (DBLSP), the clone management principle (CMP), and three crossover operators to resolve multi-criteria mixed capacitated general VRP. The three-neighborhood exploration heuristics  $\lambda$ -interchange, two-opt, and re-insertion have also been employed to improve convergence capability and boosting diversity among different solutions. Psychas et al. [40] designed a parallel version of NSGA-II, i.e., PMS-NSGA-II to unravel multicriteria VRPs to optimize three objectives, i.e., time, distance, and fuel consumption. The PMS-NSGA-II employed a hybrid variable neighborhood search algorithm, multiple populations, and multi-start methods to initialize the populations. Zhao et al. [41] studied a time-dependent as well as two-objective VRP with time windows (TD-BO-VRPTW) and suggested an NSGA-II-based solution to optimize the total transportation costs and time. The time-dependency respects the FIFO principle, and the model assumes that the departure time of the vehicle and the distance between two customers affect the vehicle's travel speed. An instance of Solomon's data set (RC108) had been employed to reckon the competitive performance of NSGA-II.

Xu et al. [42] studied time-dependent vehicle speed and flexible time windows-based green VRP (GVRP), and a routing algorithm based on improved NSGA-II with greedy and adaptive methods was proposed. The customer satisfaction as well as fuel consumption are optimized by considering the vehicle's load capacity, soft time windows, traffic congestion, and time-dependent and non-linear vehicle speed. The computational study reported that the NSGA-II-based routing model offered competitive results. Jemai et al. [43] proposed an NSGA-II-based solution for the two-objective GVRP to optimize the carbon emissions and the overall distance traveled. The GVRP benchmarks were evaluated and statistically analyzed to validate the results. Wang et al. [44] solved two-echelon collaborative many centers VRP, i.e., 2E-CMCVRP, employing the clustering algorithm (k-means) and improved NSGA-II to minimize operating costs and reduce carbon dioxide emissions. The sweep algorithm

was used for population initialization, and the performance of the improved NSGA-II was compared with NSGA-II and MOGA. The practicability and adaptability of solutions were confirmed by an experimental study based in Chongqing, China. The NSGA-II algorithm has also been used for other variants of the routing problem such as stochastic multi-period location-routing problem [45], instant distribution vehicle routing optimization for total cost and customer satisfaction [46–48], VRP for health care services [49], two-echelon VRP (2E-VRP), and many other variants [3].

#### 3. Bi-Objective Capacitated Vehicle Routing Problem

The bi-objective CVRP can be formally described as a tuple of nodes and a fleet of vehicles. Assume G = (C, A) represents the Euclidian graph consisting of two elements. The set  $C = \{c_i : 0 \le i \le n\}$  consists of total of n + 1 nodes. Node  $c_0 \in C$  represents the central depot, while the remaining nodes represent n customers from  $c_1, c_2, \ldots, c_i, \ldots, c_n \in C$ . For each node  $c_i \in C$ , the geographic coordinates  $(x_i, y_i)$  and the demand  $\delta_i$  are given. All customers have non-zero positive demands, i.e.,  $\delta_i > 0$ ,  $\forall i > 0$  whereas the central depot  $c_0$  has zero demand. The set  $A = \{(i, j) : c_i, c_j \in C, c_i \ne c_j\}$  represents the set of edges between nodes and the distance between node  $c_i$  and  $c_j$  is represented by Euclidean distance  $d_{ij}$ . The fleet of identical vehicles  $V = \{v_k : 1 \le k \le m\}$  is also given, and all vehicles are assumed to be homogeneous with the same capacity limit Q.

In the bi-objective CVRP, it is required to employ the fleet of m identical vehicles and deliver the demands of all n customers with objectives to minimize the overall distance traveled by the fleet of vehicles as well as the length of the route with the longest distance. It is also mandatory that the capacity limit of each vehicle must be observed, and the demand of every customer is fulfilled by one vehicle without splitting the demand. The vehicle always begins and ends the journey at the central depot [3,20].

The model has a decision variable  $X_{ij}^k$  for each vehicle  $v_k \in V$  in order to move from node  $c_i \in C$  to a different node  $c_j \in C$ . The value of the decision variable  $X_{ij}^k$  may be either 0 or 1. Whenever the vehicle  $v_k \in V$  travels from node  $c_i \in C$  to the next unvisited node  $c_j \in C$ , the value of  $X_{ij}^k$  will be 1, and otherwise it will be 0.

To deliver the demands of the physical distributed customers allocated to a vehicle  $v_k \in V$ , the distance traveled  $f_k$  by the vehicle  $v_k$  can be computed as

$$f_k = \sum_{c_i \in C} \sum_{c_j \in C} d_{ij} * X_{ij}^k \tag{1}$$

The longest route among all the routes is given by

$$f_1 = max\{f_k : \forall v_k \in V\} = max\left\{\sum_{c_i \in C} \sum_{c_j \in C} d_{ij} * X_{ij}^k : \forall v_k \in V\right\}$$
(2)

To fulfill the demands of all physically distributed customers, the overall traveled distance by the given fleet of vehicles is given by

$$f_2 = \sum_{v_k \in V} f_k = \sum_{v_k \in V} \sum_{c_i \in C} \sum_{c_j \in C} d_{ij} * X_{ij}^k$$
(3)

The two objectives are to optimize the overall distance traveled by the fleet of vehicles and the length of the longest route. The objective function can be mathematically formulated as:

$$Min \begin{cases} f_1 = max \left\{ \sum_{c_i \in C} \sum_{c_j \in C} d_{ij} * X_{ij}^k : \forall v_k \in V \right\} \\ f_2 = \sum_{v_k \in V} \sum_{c_i \in C} \sum_{c_j \in C} d_{ij} * X_{ij}^k \end{cases}$$
(4)

With the following constraints

$$\sum_{v_k \in V} \sum_{c_i \in C} X_{ij}^k = 1, \quad \forall \ c_i \in C$$
(5)

$$\sum_{v_k \in V} \sum_{c_i \in C} X_{ij}^k = 1, \quad \forall \ c_j \in C$$
(6)

$$\sum_{i \in C - \{c_0\}} \delta_i \sum_{c_j \in C} X_{ij}^k \le Q, \quad \forall \ v_k \in V$$
(7)

$$\sum_{c_j \in C} X_{0j}^k = 1, \quad \forall \ v_k \in V$$
(8)

$$\sum_{c_i \in C} X_{ij}^k - \sum_{c_j \in C} X_{ij}^k = 0, \quad \forall \ c_h \in C - \{c_0\}, \ \forall \ v_k \in V$$

$$\tag{9}$$

$$\sum_{v_i \in C} X_{i0}^k = 1, \quad \forall \ v_k \in V \tag{10}$$

$$X_{ii}^k \in \{0, 1\}, \forall c_i, c_j \in C, \forall v_k \in V$$

$$(11)$$

Equation (4) states that the two objectives of bi-objective CVRP must be minimized. Equations (5) and (6) ensure that every customer must be visited once and just by one vehicle, and Equation (7) ensures that each vehicle must not be allocated a quantity greater than Q. Equations (8)–(10) ensure flow constraints, such that each vehicle starts the journey from the central depot  $c_0$ , leaves the customer after delivering the demand  $\delta_i$ , and finally comes back to the central depot  $c_0$ . Constraints (11) ensure the integrity of the decision variable  $X_{ii}^k$ .

## 4. The Proposed Work

This section discusses the proposed GTBCX operator and the NSGA-II-based routing algorithm (i.e., NSGA-II\_GTBCX) in the context of solving bi-objective CVRP. The population initialization strategy, selection operator and the mutation operator are also discussed.

#### 4.1. NSGA-II-Based Routing Algorithm

The proposed routing algorithm employs NSGA-II and the GTBCX operator to search the optimal solution consisting of effective routes corresponding to the bi-objective CVRP. The multi-criteria NSGA-II combines the non-dominated sorting and genetic algorithm for optimization of different objectives. It consists of three special features, i.e., non-dominated sorting, the fast-crowding distance estimation procedure, and the simple crowding comparison operator [18].

As shown in Figure 2, the proposed routing algorithm initially sets the maximum iteration count, and the population  $P_t$  of  $\eta$  solutions (chromosomes) is generated randomly. After initialization, the fitness values of all chromosomes are computed, i.e., the values of the overall distance traveled and longest route are computed using a cluster-first route-second approach [3,20]. Next, the population  $P_t$  is sorted and decomposed into Pareto fronts using a non-dominated sorting approach. Pareto fronts are groups of chromosomes based on the objective values. The first front  $\rho_0$  consists of the best chromosomes of the population, i.e., it consists of all non-dominated solutions whose fitness values are better than or equal to the remaining solutions. The next front  $\rho_1$  consists of all chromosomes which are dominated by the chromosomes of first from  $\rho_0$  but non-dominated with respect to all remaining chromosomes. The last front  $\rho_{1-2}$ . After non-dominated sorting, another parameter, i.e., crowding distance, is assigned to all chromosomes in every front. In each front, the chromosome with a higher crowding distance is better than the chromosome with a lower crowding distance. The process of generating the offspring population  $Q_t$ 

begins with the selection of two chromosomes from the current population  $P_t$  using their front numbers and crowding distances. Next, the GTBCX operator discussed in Section 4.3 is used on selected chromosomes to generate the two offspring chromosomes. As discussed in Section 4.4, the mutation operator is used to mutate the newly generated offspring chromosomes. To ensure elitism, the currently generated population  $Q_t$  and the population  $P_t$  are combined to form a combined new population  $R_t$ . Next, the cluster-first, routesecond method is employed to compute the fitness of all chromosomes in the combined population  $R_t$ . The population  $R_t$  is decomposed into Pareto fronts using non-dominated sorting, and the crowding distance is assigned to all chromosomes. For a new population  $P_{t+1}$ , the Pareto fronts from  $\rho_0$  to  $\rho_k$  are added one by one until the total number of chromosomes are arranged in decreasing order of crowding distance, and the best chromosomes are selected to fill all population slots. The process of generating offspring population is repeated for the known number of generations (iterations).

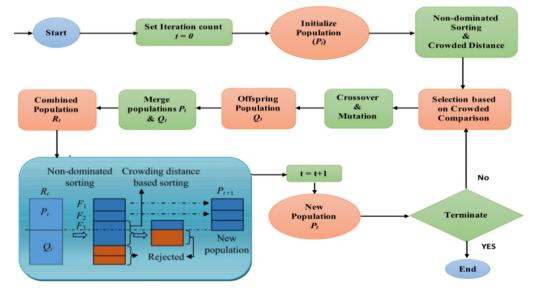


Figure 2. Flowchart of NSGA-II.

## 4.2. Chromosome Initialization and Evaluation

The population is initialized randomly, consisting of permutations of given customers. Each chromosome  $\pi$  is a random permutation of customers without trip delimiters which can be viewed as a giant tour (trip) intended for an unrestricted capacity vehicle. The total number of customers to be served is n; each chromosome  $\pi$  consists of a total of n genes corresponding to all given customers, and each customer appears just once in each chromosome. A typical chromosome  $\pi$  with nine customers representing a giant tour is shown in Figure 3a. The cluster-first, route-second method is utilized to split the giant tour into routes as per the capacity limit of vehicles, and it is followed by appending the central node 0 ( $c_0 = 0$ ), as depicted in Figure 3b. The corresponding graphical CVRP is given in Figure 3c.

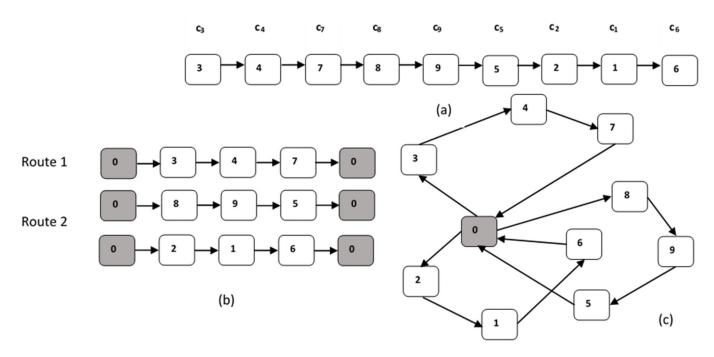
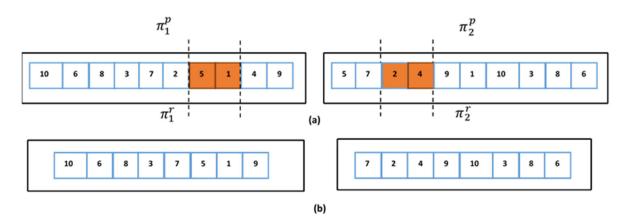


Figure 3. (a) A random chromosome  $\pi$ . (b) Converting chromosome into routes. (c) Graphical CVRP.

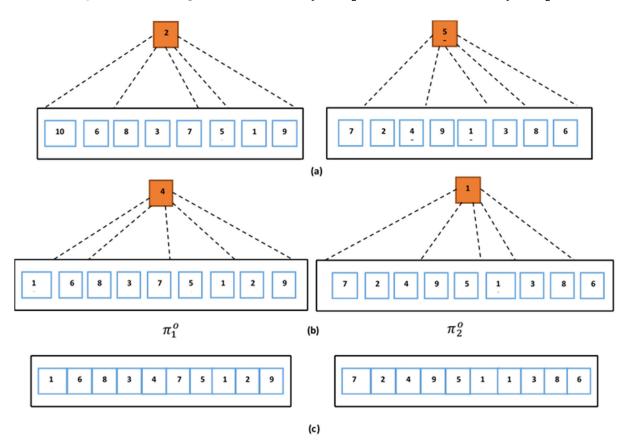
#### 4.3. Giant Tour Best Cost Crossover (GTBCX) Operator

The basic idea behind the proposed giant tour best cost crossover (GTBCX) operator is that every customer must reach its global optimal position that results in the optimized total traveled distance as well as the longest route. This idea is inspired by the best cost route crossover (BCRC) [13]. The GTBCX and BCRC are different from each other, such that the former operator uses the crossover strategy without splitting the chromosome into routes, while the latter employs the crossover strategy after splitting the chromosome into routes. Therefore, GTBCX is less costly in comparison to the BCRC operator. The GTBCX operator carries forward the information from the parent chromosomes to generate the best possible offspring chromosomes, and this operator also ensures producing only feasible chromosomes. To generate two offspring chromosomes  $\pi_1^o$  and  $\pi_2^o$ , two-parent chromosomes,  $\pi_1^p$  and  $\pi_2^p$ , are selected. Next, two consecutive customers are chosen from both parent chromosomes,  $\pi_1^p$  and  $\pi_2^p$ . The consecutive customers selected from  $\pi_1^p$  are removed from the other parent chromosome  $\pi_2^p$ , which leads to a partial giant tour  $\pi_2^r$ . Similarly, the consecutive customers selected from  $\pi_2^p$  are removed from the other parent chromosome  $\pi_1^p$ , which leads to the generation of a partial giant tour  $\pi_1^r$ . In both partial giant tours  $\pi_1^r$  and  $\pi_2^r$ , the removed customers can be inserted at n-1 positions, requiring high computational effort and ultimately a very high time complexity. In view of the time complexity, the removed customers are inserted stochastically at a constant number of positions out of all possible positions. To insert the customer, a stochastic integer k between 1 and n/2 is generated. Next, the customer is inserted at k random position in the partial chromosome, and the chromosome with the best value of total traveled distance as well as the longest route is chosen as offspring  $\pi_1^0$ . Similarly, the offspring  $\pi_2^0$  is also generated.

Figures 4 and 5 show the process of generating two chromosomes (parent  $\pi_1^p$  and  $\pi_2^p$ ) using the GTBCX operator. As shown in Figure 4a, two chromosomes are selected using the eight-way tournament selection method, which is followed by the removal of two customers from both the parents as shown in Figure 4b. Next, the stochastic process is started to search the global optimal position for each removed customer. This process ensures that the GTBCX operator generates no infeasible solution. Moreover, it also searches the global position stochastically for the removed customers in constant time.



**Figure 4.** (a) Selected parent chromosomes  $\pi_1^p$  and  $\pi_2^p$ . (b) Partial chromosomes  $\pi_1^r$  and  $\pi_2^r$ .



**Figure 5.** (a) Insertion of first customer. (b) Insertion of second customer. (c) Offspring  $\pi_1^0$  and  $\pi_2^0$ .

# 4.4. Mutation Operator

To preserve the genetic diversity and to avoid the precipitate convergence or local optima, the mutation operator is used, which may be based on different operators depending on the problem. The proposed NSGA-II-based routing algorithm employs swap, exchange, and two-opt\* operators stochastically to perform the mutation operation [3,20]. One of the three local operators is selected to perform the mutation according to the mutation probability. For given chromosome  $\pi$ , the swap, relocate, and two-opt\* operators can be applied to compute the new chromosome  $\pi_i$  as per Equations (12)–(14), respectively.

$$\pi_1 = \pi', \qquad \pi'[k] = \pi[l] and \pi'[l] = \pi[k] \forall k, \ l \in [n]$$
(12)

$$\pi_2 = \pi' \pi'[k] = \pi[l], \ \pi'[k+1] = \pi[k], \ \pi'[k+2] = \pi[k+1], \ \dots \pi'[l] \\ = \pi[l-1], \ \forall \ k, l \in [n]$$
(13)

$$\pi_{3} = \pi' \pi'[k] = \pi[l], \ \pi'[k+1] = \pi[l+1] \dots \pi'[k+p] = \pi[l+p]; \ \pi'[l] = \pi[k], \ \pi'[l+1] = \pi[k+1] \dots \pi'[l+q] = \pi[k+q] \text{ fork, } l, p, q \in [n]$$
(14)

where  $\pi[i]$  represents the customer at ith index.

#### 5. Simulation Study

The simulation study is devoted to assessing the competence of the proposed NSGA-IIbased routing algorithm with GTBCX operator. The proposed routing algorithm optimizes two objectives, i.e., the overall travelled distance by all vehicles and the length of the longest route. The solutions offered by the routing algorithm are based on the trade-off between the two objectives and are represented by non-dominated and dominated solutions in the form of Pareto solutions. A solution  $\pi_1$  dominates  $\pi_2$  if and only if the solution  $\pi_1$  is no inferior in comparison to  $\pi_2$  in all objective values and solution  $\pi_1$  is strictly better than  $\pi_2$  in no less than one objective value. To evaluate the performance, three versions of NSGA-II based on three different crossover operators, i.e., NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX, were employed. The NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX, were employed. The NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX utilize nearest-neighbour crossover (NNX), giant tour best cost route crossover (GTBCX), and edge assembly crossover (EAX) operators, respectively. The EAX operator was proposed by Y. Nagata [21], while the NNX operator is a greedy crossover operator that works based on nearest neighbour heuristics [3,20]. The three versions of NSGA-II were developed using Python 3.9.6 on DESKTOP-L9O54PJ, Intel(R) Core<sup>TM</sup> i7-8700, Windows-10.

The 88 benchmark CVRP instances from five sets, i.e., sets A, B, P, F, and E, were utilized for the simulation study. Augerat et al. proposed the sets A, B, and P, while set E and set F were proposed by Christofides and Eilon and Fisher, respectively [50]. Table 1 presents the basic information of all sets. Sets A, B, P, E, and F consist of 27, 23, 24, 11, and 3 CVRP instances, respectively. In each set, the CVRP instances are different according to the locations of customers and their demands. The minimum and maximum demands, as well as the distances of the nearest customer and the farthest customer from the central depot, are also given in Table 1. Table 2 shows the system parameters which were used for the simulation study. For every instance, the population of 200 random chromosomes was created using the Numerical Python (NumPy) library. Every chromosome was a random permutation of customers given in the CVRP instance.

Set	Total Instances	Minimum Demand	Maximum Demand	Distance of Nearest Customer from Central Depot	Distance of Farthest Customer from Central Depot
А	27	1	72	2.0	125.88
В	23	1	69	6.33	116.85
Р	24	1	2500	2.24	49.92
Е	11	1	4100	2.24	118.87
F	3	1	21,611	0.0	146.77

All three versions of NSGA-II were fed with the same initial population, and they evolved over 200 generations to search near-optimal solutions with optimum values of the total travelled distance and the length of the longest route. For every CVRP instance, 10 experiments were conducted using the same population, and the best values for both objectives are reported in this paper. The values of both the objectives are also shown for 200 generations offered by NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX. All figures of vehicle routes given in this paper correspond to the best solutions among 10 experiments for all three versions of NSGA-II. For each set, the graphical results for

one CVRP instance are presented, and the results offered corresponding to the remaining CVRP instances are presented in the tabular form.

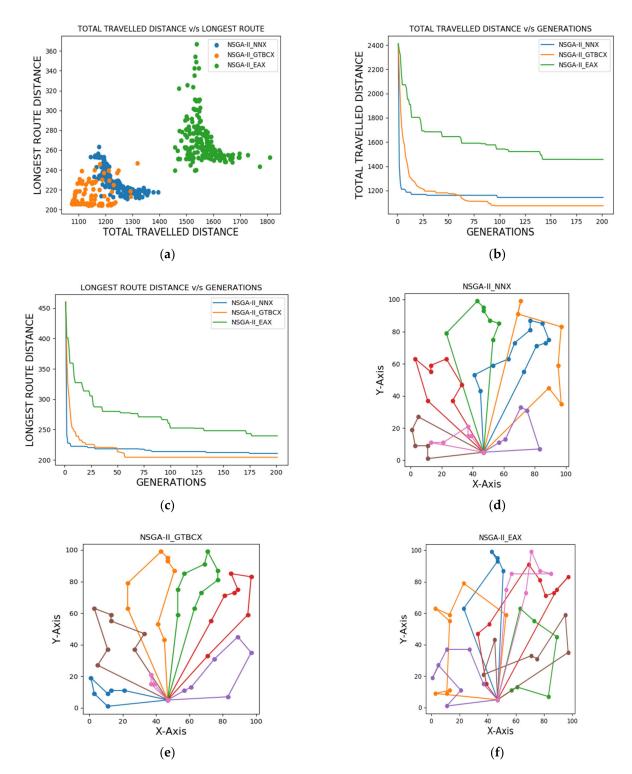
 Table 2. System parameters.

Parameter	Values
CVRP Instances	88
Size of population	200
Generations	200
Probability range for crossover	0.4–0.9
Probability for mutation	0.1–0.3
Experiments for each CVRP instance	10
Objective Values Chosen	Best
Vehicle Routes Chosen	Best

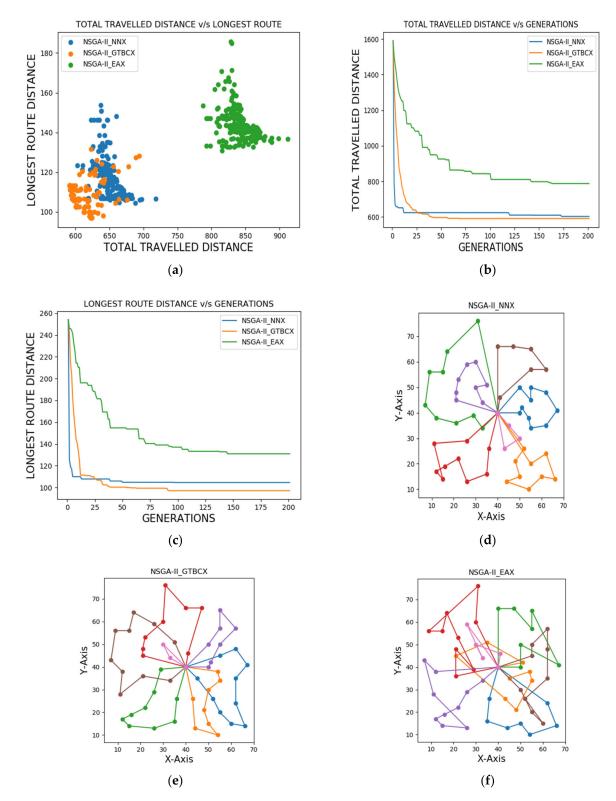
For CVRP instance A-n48-k7, Figure 6a shows the Pareto solutions offered by NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX. All Pareto solutions offered by NSGA-II\_GTBCX dominate all Pareto solutions offered by NSGA-II\_EAX. However, there are very few solutions offered by NSGA-II\_NNX that dominate the worst Pareto solutions offered by the proposed NSGA-II\_GTBCX. However, there are some solutions offered by the proposed NSGA-II\_GTBCX that completely dominate all solutions of NSGA-II\_NNX. Figure 6b,c show the total travelled distance and the length of the longest route for 200 generations, and it can be observed that NSGA-II\_GTBCX offers better values of both objectives. The best routes offered by NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX are depicted in Figure 6d–f, respectively.

As can be observed, the overlapping of routes is minimal in the best solution provided by NSGA-II\_GTBCX, while overlapping is maximal in the best solution given by NSGA-II\_EAX. For CVRP instance A-n48-k7, the total travelled distances offered by NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX are 1431, 1074, and 1144, respectively. The lengths of the longest routes offered by the three versions are 210, 204, and 243, respectively. The NSGA-II\_GTBCX offers the best values among the three versions of NSGA-II. However, the distance travelled for the best-known solution is 1073, but this solution is optimized in the context of the single objective optimization method, which does not consider the other objectives. Figure 7 shows the solutions offered by NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX for CVRP instance P-n55-k7. As depicted by Figure 7a, all Pareto solutions offered by NSGA-II\_GTBCX completely dominate all solutions offered by NSGA-II\_EAX. On the other hand, some Pareto solutions of NSGA-II\_GTBCX completely dominate all the solutions of NSGA-II\_NNX while there are very few solutions of NSGA-II\_NNX which dominate the worst Pareto solutions offered by NSGA-II\_GTBCX. Figure 7b,c show the total travelled distance and the route with the largest distance after 200 generations, and it can be observed that NSGA-II GTBCX offers better values for both objectives. Figure 7d-f depict the best routes offered by NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX, respectively.

As can be observed, the overlapping of routes is minimal in the best solution given by NSGA-II\_GTBCX, while it is maximal in the best solution provided by NSGA-II\_EAX. For the CVRP instance P-n55-k7, NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX offered total travelled distances of 606, 579, and 796, respectively.



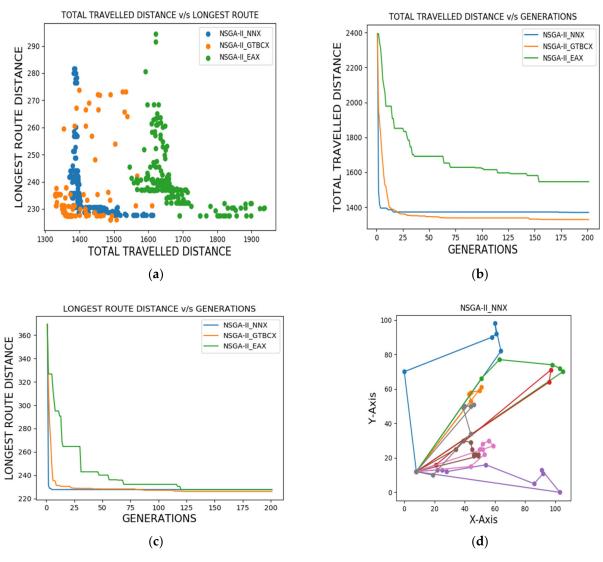
**Figure 6.** Optimized Outcome for instance A-n48-k7 after 200 iterations. (**a**) Pareto solutions; (**b**,**c**) total travelled distance and length of the longest route; (**d**) NSGA-II\_NNX route; (**e**) NGSA-II\_GTBCX route; (**f**) NSGA-II\_EAX route.



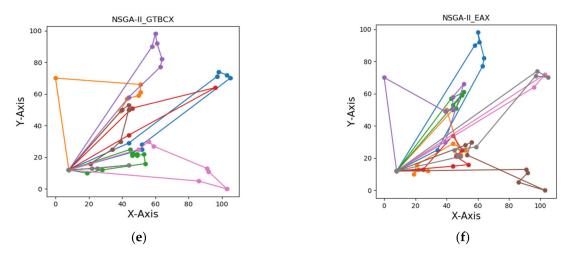
**Figure 7.** Optimized outcome for instance P-n55-k7 after 200 iterations. (**a**) Pareto solutions; (**b**,**c**) total travelled distance and length of the longest route; (**d**) NSGA-II\_NNX route; (**e**) NGSA-II\_GTBCX route; (**f**) NSGA-II\_EAX route.

However, the lengths of the longest routes offered by the three versions are 105, 99, and 134, respectively. For CVRP instances B-n50-k8, E-n51-k5, and F-n45-k4, the solutions offered by NSGA-II\_NNX, NSGA-II\_GTBCX, and NSGA-II\_EAX are provided in Figures 8–10, respectively, and it can be seen that the behaviour of these three versions of NSGA-II for the three CVRP instances is almost similar as for the CVRP instances A-n48-k7

and P-n55-k7. As observed from Figure 8a, Figure 9a, Figure 10a, the Pareto solutions offered by NSGA-II\_NNX and NSGA-II\_GTBCX dominate the maximum Pareto solutions offered by NSGA-II\_EAX. However, some of the worst Pareto solutions offered by NSGA-II\_GTBCX are dominated by the best solutions offered by NSGA-II\_NNX. For the total travelled distance and the length of the longest route, NSGA-II\_GTBCX consistently offers better values of both objectives in comparison to NSGA-II\_NNX and NSGA-II\_EAX. The route overlapping is minimal in the best solution provided by NSGA-II\_GTBCX, while it is maximal in the best solution given by NSGA-II\_EAX.







**Figure 8.** Optimized outcome for instance B-n50-k8 after 200 iterations. (a) Pareto solutions; (b,c) total travelled distance and length of the longest route; (d) NSGA-II\_NNX route; (e) NGSA-II\_GTBCX route; (f) NSGA-II\_EAX route.

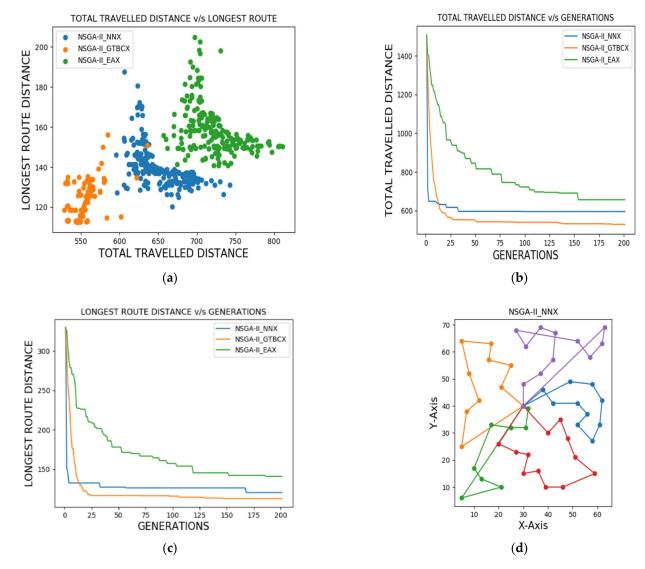
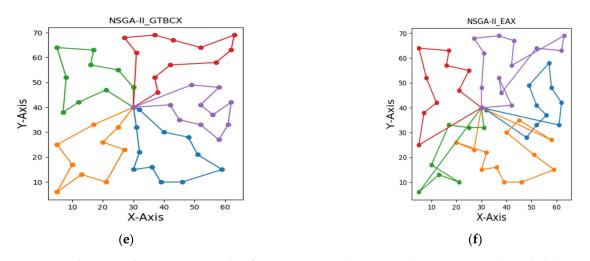


Figure 9. Cont.



**Figure 9.** Optimized outcome for instance E-n51-k5 after 200 iterations. (**a**) Pareto solutions; (**b**,**c**) total traveled distance and length of the longest route; (**d**) NSGA-II\_NNX route; (**e**) NGSA-II\_GTBCX route; (**f**) NSGA-II\_eax Route.

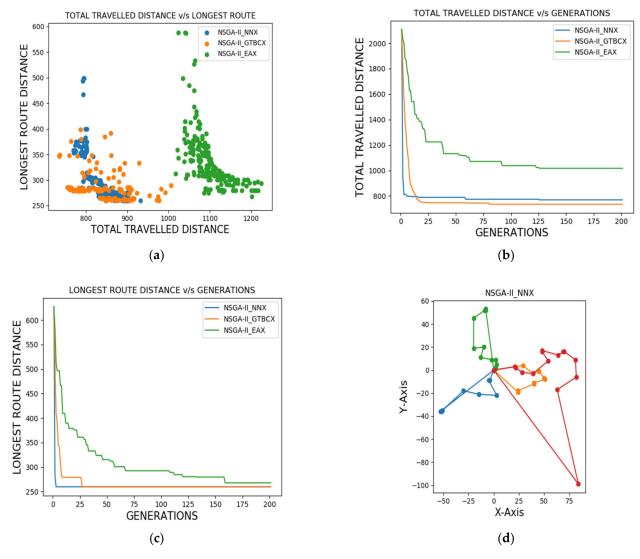
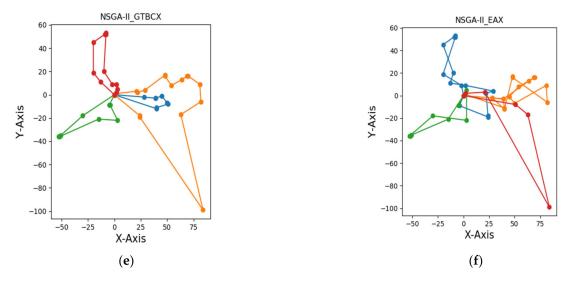


Figure 10. Cont.



**Figure 10.** Optimized outcome for instance F-n45-k4 after 200 iterations. (**a**) Pareto solutions; (**b**,**c**) total traveled distance and length of the longest route; (**d**) NSGA-II\_NNX route; (**e**) NGSA-II\_GTBCX route; (**f**) NSGA-II\_EAX route.

The results for all remaining CVRP instances are given in Tables 3–7. In the tables, the best results are marked with a burgundy colour. As regards the results provided in Table 3, the performance order for all CVRP instances of set A is given as NSGA-II\_GTBCX, NSGA-II\_NNX, and NSGA-II\_EAX. The NSGA-II\_GTBCX offers optimized values of total travelled distance and longest distance. However, NSGA-II\_NNX offers better values of the longest route for five CVRP instances, such as A-n45-k6, A-n55-k9, A-n63-k9, A-n63k10, and A-n65-k9. However, NSGA-II\_GTBCX offers better values of the total travelled distances for all CVRP instances in comparison to NSGA-II-NNX and NSGA-II\_EAX. The value of the total travelled distance of the best-known solution is slightly better than the values of Pareto solutions offered by NSGA-II\_BCX. The reason for this is that NSGA-II\_GTBCX searches for a solution that optimizes two objectives, i.e., total travelled distance and the length of the longest route. As regards the results provided in Table 4 for set B, NSGA-II\_GTBCX performs better among the three versions of NSGA-II. The performance order for set B is given as NSGA-II GTBCX, NSGA-II NNX and NSGA-II EAX. However, NSGA-II\_NNX offers better values of the longest distance for five CVRP instances, i.e., B-n41-k6, B-n43-k6, B-n51-k7, B-n52-k7, and B-n67-k10. As regards the results provided in Table 5 for set P, NSGA-II\_GTBCX is the best performer among the three versions of NSGA-II. The performance order for set P also remains as NSGA-II\_GTBCX, NSGA-II\_NNX, and NSGA-II\_EAX. The NSGA-II\_NNX offers a better value of the total travelled distance for CVRP instance P-n55-10 and the longest distance for CVRP instance P-n20-k2. The results for sets E and F are given in Tables 6 and 7, respectively. The NSGA-II\_GTBCX performs best among the three versions of NSGA-II. The performance order for sets E and F for all instances remains NSGA-II\_GTBCX, NSGA-II\_NNX, and NSGA-II\_EAX. However, the NSGA-II\_NNX offers a better value of the longest route length than NSGA-II\_GTBCX and NSGA-II\_EAX.

CLUBB	<b>BKS by Single</b>	NSGA-	II_EAX	NSGA-II_GTBCX		NSGA-II_NNX	
CVRP INSTANCES	Optimization Method (Total Distance)	Total Distance	Longest Route	Total Distance	Longest Route	Total Distance	Longest Route
A-n32-k5	784	881	234	787	212	827	226
A-n33-k5	661	719	173	669	157	674	167
A-n33-k6	742	825	174	743	152	771	158
A-n34-k5	778	891	194	782	173	802	178
A-n36-k5	799	951	230	818	214	853	220
A-n37-k5	669	833	194	676	180	737	185
A-n37-k6	949	1105	228	963	208	975	211
A-n38-k5	730	846	183	737	162	756	163
A-n39-k5	822	1051	225	839	192	877	201
A-n39-k6	831	956	200	846	183	870	195
A-n44-k6	937	1169	222	949	201	986	203
A-n45-k6	944	1212	214	952	195	992	194
A-n45-k7	1146	1456	231	1158	205	1194	211
A-n46-k7	914	1178	203	943	184	1004	184
A-n48-k7	1073	1431	243	1074	204	1144	210
A-n53-k7	1010	1484	235	1022	197	1078	199
A-n54-k7	1167	1705	265	1291	199	1253	214
A-n55-k9	1073	1455	188	1082	170	1120	168
A-n60-k9	1354	1787	238	1393	222	1458	222
A-n61-k9	1034	1489	185	1043	149	1119	153
A-n62-k8	1288	1887	269	1305	216	1381	223
A-n63-k9	1616	2242	269	1630	246	1722	243
A-n63-k10	1314	1782	225	1330	189	1420	187
A-n64-k9	1401	1978	260	1429	221	1509	223
A-n65-k9	1174	1813	221	1199	177	1237	170
A-n69-k9	1159	1845	227	1185	153	1251	163
A-n80-k10	1763	2541	309	1799	245	1833	260

Table 3. The outcome for set A.

 Table 4. The outcome for set B.

CVRP INSTANCES	PKC has Circula On the size tion	NSGA-	II_EAX	NSGA-II_GTBCX		NSGA-II_NNX	
	BKS by Single Optimization – Method (Total Distance)	Total Distance	Longest Route	Total Distance	Longest Route	Total Distance	Longest Route
B-n31-k5	672	717	190	676	190	683	190
B-n34-k5	788	843	184	790	157	798	165
B-n35-k5	955	1020	251	962	234	989	234
B-n38-k6	805	884	178	812	177	828	179
B-n39-k5	549	650	197	557	191	565	192
B-n41-k6	829	950	180	838	169	846	168
B-n43-k6	742	860	178	747	166	768	158
B-n44-k7	909	1060	177	923	168	934	172
B-n45-k5	751	916	219	754	174	788	174
B-n45-k6	678	810	157	688	135	729	135
B-n50-k7	741	945	182	745	140	790	147
B-n50-k8	1312	1508	230	1321	224	1364	226
B-n51-k7	1032 (1016)	1243	202	1034	165	1039	152
B-n52-k7	747	1016	186	751	160	777	158
B-n56-k7	707	958	190	718	183	743	183
B-n57-k7	1153 (1140)	1529	222	1152	196	1194	198
B-n57-k9	1598	1864	237	1612	224	1666	224
B-n63-k10	1496	2059	250	1507	217	1592	221
B-n64-k9	861	1203	173	871	152	936	152
B-n66-k9	1316	1799	239	1352	208	1368	209
B-n67-k10	1032	1417	200	1049	196	1102	184
B-n68-k9	1272	1714	226	1288	189	1324	189
B-n78-k10	1221	1884	234	1243	190	1304	190

CVRP	BKS by Single	NSGA-	II_EAX	NSGA-II	_GTBCX	NSGA-	II_NNX
INSTANCES	Optimization Method (Total Distance)	Total Distance	Longest Route	Total Distance	Longest Route	Total Distance	Longest Route
P-n16-k8	450	451	65	451	65	451	65
P-n19-k2	212	212	106	212	106	212	106
P-n20-k2	216	220	99	217	109	217	99
P-n21-k2	211	216	113	212	113	216	113
P-n22-k2	216	219	118	217	103	221	118
P-n22-k8	603 (590)	598	99	589	99	592	99
P-n23-k8	529	531	88	531	88	541	88
P-n40-k5	458	534	121	463	99	491	105
P-n45-k5	510	677	149	520	111	564	122
P-n50-k7	554	708	121	580	91	600	101
P-n50-k8	631	839	116	639	85	669	91
P-n50-k10	696	892	103	706	81	738	87
P-n51-k10	741	952	106	762	89	795	92
P-n55-k7	568	796	134	579	99	606	105
P-n55-k8	576	790	120	590	98	623	103
P-n55-k10	694	921	102	724	86	723	89
P-n55-k15	856	1157	86	956	77	971	77
P-n60-k10	744	1080	117	755	89	812	100
P-n60-k15	968	1195	98	992	85	1035	85
P-n65-k10	792	1223	135	821	94	874	105
P-n70-k10	827	1202	135	870	100	930	107
P-n76-k4	593	1025	273	623	160	656	176
P-n76-k5	627	1147	240	653	137	694	168
P-n101-k4	681	1317	349	770	199	803	213

 Table 5. The outcome for set P.

**Table 6.** The outcome for set E.

CVRP INSTANCES	<b>BKS by Single</b>	NSGA-II_EAX		NSGA-II_GTBCX		NSGA-II_NNX	
	Optimization Method (Total Distance)	Total Distance	Longest Route	Total Distance	Longest Route	Total Distance	Longest Route
E-n22-k4	375	377	105	375	102	377	105
E-n23-k3	569	575	253	569	247	580	242
E-n30-k3	534 (503)	558	178	511	166	547	175
E-n33-k4	835	974	259	844	246	860	247
E-n51-k5	521	754	157	524	113	584	124
E-n76-k7	682	1149	186	740	120	781	134
E-n76-k8	735	1212	166	765	110	824	121
E-n76-k10	830	1323	143	876	96	939	106
E-n76-k14	1021	1530	131	1056	93	1132	96
E-n101-k8	815	1591	230	943	145	960	146
E-n101-k14	1071	1870	163	1204	110	1261	113

Table 7.	The	outcome	for	set	F.
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CVRP	BKS by Single	NSGA-II_EAX		NSGA-II_GTBCX		NSGA-II_NNX	
INSTANCES	Optimization Method (Total Distance)	Total Distance	Longest Route	Total Distance	Longest Route	Total Distance	Longest Route
F-n45-k4	724	976	261	728	259	774	259
F-n72-k4	237	429	100	245	66	275	71
F-n135-k7	1165	1308	299	1182	306	2712	413

The overall observations and future research directions are as follows:

- For all sets, A, B, P, E, and F, the overall performance order from best to worst is given as NSGA-II\_GTBCX, NSGA-II\_NNX, and NSGA-II\_EAX. Pareto solutions offered by NSGA-II\_GTBCX dominates all Pareto solutions offered by NSGA-II\_EAX. However, there are very few solutions offered by NSGA-II\_NNX that dominate the worst Pareto solutions offered by NSGA-II\_GTBCX. However, there are some solutions offered by NSGA-II\_GTBCX that completely dominate all solutions of NSGA-II\_NNX. For most CVRP instances, NSGA-II\_GTBCX offered the total traveled distance close to the best-known solution. However, the value of the best-known solution is offered by the single objective optimization method, which does not consider the other objectives. This shows that the proposed GTBCX operator is superior to the NNX and EAX operators in the context of CVRP.
- Since the minimal overlapping of routes leads to a better quality of solutions and helps provide better values of total traveled distance and the longest route. The overlapping of routes is minimal in the best Pareto solution offered by NSGA-II\_GTBCX, while it is maximal in the best solution offered by NSGA-II\_EAX. This shows that NSGA-II\_GTBCX is a better performer in comparison to NSGA-II\_NNX and NSGA-II\_EAX.
- As the proposed NSGA-II-based routing algorithm offers solutions with a trade-off between the overall distance traveled and the route with the longest length, the user can employ different solutions to meet their requirements.
- It is also observed that the quality of the final solutions is affected by the quality of initial solutions. Intelligent heuristics can be developed to generate good initial solutions.
- The duplicate solutions are also observed during the evolution process, and therefore, intelligent heuristics can be developed to deal with duplicate solutions.
- It is also observed from the simulation study that the order of time complexity from best to worst is given as NSGA-II\_NNX, NSGA-II\_EAX, and NSGA-II\_GTBCX. To reduce the time overheads of NSGA-II\_GTBCX, the quantum-inspired algorithm can also be applied.
- The proposed multi-objective algorithms can also be extended for different CVRP variants, i.e., CVRP with time windows, drones, multiple depots, multiple/many echelons, split deliveries, and others.
- Cloud computing and vehicles linked through the Internet of Things can also be used for the execution of the proposed NSGA-II-based routing algorithm [51].
- The crossover and mutation probabilities can also be learned using reinforcement learning algorithms (SARSA, Q-Learning) and another machine learning algorithms [52,53].
- The concept of blockchain can also be incorporated in the proposed algorithm for making decisions based on trustworthy data across the transportation and logistics ecosystem.

# 6. Conclusions

This work proposes a giant tour best cost crossover (GTBCX) operator for capacitated vehicle routing problems (CVRP). An NSGA-II-based routing algorithm employing GTBCX is also proposed for solving the CVRP to optimize two objective values, i.e., the overall distance travelled by the given fleet of vehicles and the length of the longest route. The GTBCX employs the crossover strategy without splitting the chromosome, in comparison to other existing crossover operators that employ the crossover strategy after splitting the chromosome into routes. The GTBCX operator also avoids the generation of infeasible solutions and offers better objective values, and speed-up the convergence. The mutation operator works based on three local search operators, i.e., swap, two-opt\*, and relocation, and selects one of the three stochastically to mutate the chromosome. The three versions of NSGA-II employing different crossover operators, i.e., NSGA-II\_GTBCX with GTBCX, NSGA-II\_NNX with nearest neighbor crossover (NNX), and NSGA-II\_EAX with edge assembly crossover (EAX) operators, were compared using 88 benchmark CVRP instances. The extensive simulation study confirms that the overall performance order from the best

to worst is NSGA-II\_GTBCX, NSGA-II\_NNX, and NSGA-II\_EAX. The NSGA-II\_GTBCX algorithm offers better results for the maximum number of CVRP instances. The simulation study shows that the GTBCX is a powerful operator and helps to search for better solutions in terms of the overall distance traveled, length of the longest route, and number, as well as the quality of Pareto optimal solutions.

The proposed routing algorithm can also be adopted for different CVRP variants, such as CVRPs with drones, time windows, multiple depots, many echelons, split deliveries, etc. A parallel version can also be designed for cloud computing in the context of the Internet of Vehicles (IoT). The proposed algorithm can also be extended with a resource consumption view, i.e., resource-aware vehicle routing algorithm that consume minimum resources in order to generate routes for large VRPs. The crossover and mutation probabilities can also be learned using reinforcement learning algorithms to improve the effectiveness.

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