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1 Life-cycle cost analysis of a hybrid algae-based biological desalination – low pressure 2 reverse osmosis system

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16 Abstract

17 To fully understand the economic viability and implementation strategy of the emerging algae-based
18 desalination technology, this study investigates the economic aspects of algae-based desalination
19 system by comparing the life-cycle costs of three different scenarios: (1) a multi-stage microalgae
20 based desalination system; (2) a hybrid desalination system based on the combination of microalgae
21 and low pressure reverse osmosis (LPRO) system; and (3) a seawater reverse osmosis (SWRO)
22 desalination system. It is identified that the capital expenditure (CAPEX) and operational expenditure
23 (OPEX) of scenario 1 are significantly higher than those of scenarios 2 and 3, when algal biomass
24 reuse is not taken into consideration. If the revenues obtained from the algal biomass reuse are
25 taken into account, the OPEX of scenario 1 will decrease significantly, and scenarios 2 and 3 will have
26 the highest and lowest OPEX, respectively. However, due to the high CAPEX of scenario 1, the total
27 expenditure (TOTEX) of scenario 1 is still 27% and 33% higher than those of scenarios 2 and 3,
28 respectively. A sensitivity study is undertaken to understand the effects of six key parameters on
29 water total cost for different scenarios. It is suggested that the electricity unit price plays the most
30 important role in determining the water total cost for different scenarios. An uncertainty analysis is

31 also conducted to investigate the effects and limitations of the key assumptions made in this study.
32 It is suggested that the assumption of total dissolved solids (TDS) removal efficiency of microalgae
33 results in a high uncertainty of life-cycle cost analysis (LCCA). Additionally, it is estimated that 1.58
34 megaton and 0.30 megaton CO₂ can be captured by the algae-based desalination process for
35 scenarios 1 and 2, respectively, over 20 years service period, which could result in approximately AU
36 \$18 million and AU \$3 million indirect financial benefits for scenarios 1 and 2, respectively. When
37 algal biomass reuse, CO₂ bio-fixation and land availability are all taken into account, scenario 2 with
38 hybrid desalination system is considered as the most economical and environmentally friendly
39 option.

40 **Keywords:** microalgae, biological desalination, life cycle cost, TOTEX, resource recovery.

41 1. Introduction

42 Desalination plays an increasingly important role in meeting the high purity water demand in the
43 coastal areas (Humplik et al., 2011). The total volume of produced desalinated water increased from
44 approximately 25 million m³/d in 2000 to around 95 million m³/d in 2019, and this trend is expected
45 to continue in the future due to the rapid population growth, the higher water demand and effects
46 of climate change (Ahmed et al., 2019; Jones et al., 2019; Shahzad et al., 2019). Although various
47 technologies (Multistage Flash (MSF) (Borsani and Rebagliati, 2005; Fiorini and Sciubba, 2005), Multi-
48 effect Distillation (MED) (Ophir and Lokiec, 2005; Sharaf et al., 2011), electrodialysis (Al-Amshawee
49 et al., 2020; Lee et al., 2002), and membrane distillation (Gao et al., 2019a; b; Warsinger et al.,
50 2015)) have been used for desalination purpose, Reverse Osmosis (RO) currently dominates the
51 desalination market, supplying 69% of the total produced desalinated water with approximately 65.5
52 million m³/d (Jones et al., 2019).

53 RO is considered as the state-of-art technique for desalination, but it is an energy intensive process
54 with 3-5 kWh/m³ energy consumption. Although the renewable energy sources have been
55 investigated to drive the RO systems (e.g., solar-driven, wind-driven), they have not been utilized to
56 drive the large desalination plants (Mito et al., 2019). Consequently, the large scale desalination
57 plants are still powered by the conventional energy sources, and the high energy consumption will
58 result in a high greenhouse gas emission (Berenguel-Felices et al., 2020; Jia et al., 2019; Qasim et al.,
59 2019). Additionally, a large amount of brine is produced as the noxious by-product from the RO
60 desalination plant, which could lead to significant environmental and ecological issues (Morillo et al.,
61 2014). Thus, a more environmentally friendly and sustainable desalination technology is highly
62 desired. The utilization of microalgae for desalination started to attract attentions. The salt removal
63 by microalgae is based on biosorption (adsorption) and bioaccumulation (absorption), which is a
64 natural and energy-passive process (Wei et al., 2020). The microalgae also capture CO₂ during the
65 photosynthetic process for growth, resulting in a lower greenhouse gas emission. Furthermore, the

66 harvested algal biomass can be used as the raw materials for various high-value products, including
67 biodiesel generation, food additives manufacturing, and bio-gas production (Acién Fernández et al.,
68 2018; Passos et al., 2016; Salama et al., 2017).

69 As an energy-efficient process, algae-based salt removal shows high potential in desalination
70 application, however, this emerging technology has limitations. Microalgae are vulnerable to the
71 high saline condition, only limited microalgae species can survive in high salinity environments with
72 reduced growth (Shetty et al., 2019). Algae-based desalination could be used for brackish water
73 treatment rather than seawater desalination. Brackish water with lower salinity could benefit the
74 growth of algae. Meanwhile, more algae species could be selected for the brackish water
75 desalination. Furthermore, seawater is only available in the coastal areas, but brackish water is more
76 widely available, leading to more opportunities for algae-based desalination system. Previous
77 studies have also demonstrated that the intracellular sodium concentration of the salt-stressed
78 microalgae is always lower than the sodium concentration in the microalgae culture medium, this is
79 due to the active sodium export mechanism as a part of the physiological and metabolic responses
80 of microalgae to reduce the toxic effect of high sodium concentration (Hagemann, 2011). Wei et al.
81 (2020) have used the microalgae *Scenedesmus obliquus* to investigate the desalination mechanisms.
82 They found both adsorption and absorption contributed to the salt removal, however, the
83 adsorption process played a more important role and required less reaction time compared to
84 absorption. The desalination efficiency increased when the culture medium salinity increased from
85 2.8 g/L to 8.8 g/L, and the maximum desalination efficiency achieved by that study was 20%. Sahle-
86 Demessie et al. (2019) have examined desalination potential of *Scenedesmus* sp. and *Chlorella*
87 *vulgaris*. They found that the salt removal increased steadily along the reaction time until day 40
88 reaching 32% removal efficiency, and the maximum removal efficiency of 36% was achieved at day
89 85. Other studies (Gan et al., 2016; Moayedi et al., 2019; Yao et al., 2013) have identified the similar
90 phenomenon that the maximum desalination efficiency achieved by algae was in the range of 16%-
91 33%. To overcome this barrier of limited salt removal capacity of microalgae, multi-stage process is

92 suggested (Sahle-Demessie et al., 2019). When the maximum salt removal is achieved after reacting
93 with the microalgae at the first stage, the effluent flows into the next stage and reacts with the fresh
94 'un-saturated' microalgae again. With multi-stage desalination process, a higher salt removal
95 efficiency can be achieved. Nagy et al. (2017) used a pilot installation to investigate the desalination
96 performance of *Scenedesmus*. The pilot plant consisted of three parallel treatment trains and each
97 train had three consecutive algae basins (3 stages). The saline water flowed through each basin to
98 remove the salts. The retention time in each basin varied between 7 - 9 days. The total dissolved
99 solids (TDS) removal efficiencies were 52%, 78% and 93% after first, second and third stages,
100 respectively. El Sergany et al. (2019) used the similar pilot installation to investigate the optimum
101 algae dose for algae-based desalination system. They found that with 300 mL/path algae dosage,
102 38%, 60% and 66% of TDS removal could be achieved after first, second and third stages,
103 respectively. The retention time of each stage was 7 days.

104 It is obvious that a complete salt removal cannot be achieved even with the multi-stage algae-based
105 desalination system, and its desalination efficiency is lower compared to RO process. However, the
106 'fit-for-purpose' desalinated water could be produced directly from the algae-based desalination
107 system. Certain amount of the salts can be removed from each stage of the algae-based desalination
108 system. The salty water after 3 – 4 stages of treatment may still have high salt concentration, which
109 could not be used for drinking purpose, but it could be potentially utilized for other applications with
110 higher salt tolerance, such as car washing, landscaping, and gardening.

111 Another alternative approach is to utilize algae-based desalination as the pre-treatment for RO
112 process. The seawater can be firstly treated by the microalgae to reduce its salinity level, afterwards,
113 it can be further treated by RO. Generally, the low pressure RO (LPRO) system has a lower operating
114 pressure and energy consumption but a higher recovery rate compared to the seawater RO system
115 (SWRO), leading to a lower capital expenditure (CAPEX) and operational expenditure (OPEX) (Al-
116 Karaghoulis and Kazmerski, 2012).

117 Various previous studies (Arashiro et al., 2018; Garfi et al., 2017; Linares et al., 2016; Pazouki et al.,
118 2020) have investigated the life-cycle costs for algae-based wastewater treatment systems and
119 SWRO systems, however, to the best of the authors' knowledge, no life-cycle cost analysis (LCCA)
120 has been undertaken for algae-based desalination system. A better understanding of the life-cycle
121 cost of algae-based desalination system can help us to determine the system's economic viability
122 and implementation strategy.

123 This study investigates the economic aspects of algae-based desalination system by comparing three
124 different scenarios: (1) a multi-stage microalgae based desalination system; (2) a hybrid desalination
125 system based on the combination of microalgae and RO system; and (3) a RO desalination system.
126 This LCCA is undertaken based on a total expenditure (TOTEX) approach, which takes a holistic view
127 to manage the life-cycle cost of the water infrastructure. Our analysis also takes resource recovery
128 (algal biomass reuse) and possible integration with wastewater treatment into consideration. The
129 sensitivity analysis and uncertainty analysis are also carried out. In addition to the economic aspects,
130 the environmental impacts of different scenarios are discussed.

131 Although this LCCA will guide researchers and technology early adopters to explore the new
132 research direction and undertake option analysis, it is worthwhile mentioning that RO and algae-
133 based desalination systems have different Technology Readiness Levels (TRLs). RO based
134 desalination technology is fully commercialized with standard operating and maintenance
135 procedures. Its supply chain is mature at industrial scale, from the membrane manufacture to pre-
136 /post-treatment installation. On the contrary, algae-based desalination is at proof of concept phase.
137 The majority of the investigations are based on laboratory experimental study with artificial
138 operating conditions (nutrients, carbon and light), further technology assessment is still required
139 before the full scale implementation.

140 **2. Methodology**

141 **2.1. Scenarios**

142 Three different scenarios are assessed in this study, which include a multi-stage algae-based
143 desalination system, a hybrid desalination system based on the combination of algae-based
144 desalination and LPRO system and a SWRO desalination system. Based on this comparison, a better
145 insight of the financial viability and implementation strategies for algae-based desalination system
146 can be obtained.

147 Scenario 1: a multi-stage microalgae based desalination system. A medium size plant is assumed for
148 this study with the total production capacity of 5,000 m³/d. The feed water is considered to be
149 seawater with the typical TDS level at approximately 40,000 mg/L (Abdel-Aal et al., 2015; Nadi et al.,
150 2014). The most widely used high rate algae pond (HRAP) configuration is selected here due to its
151 lower CAPEX and OPEX. The halophilic algae *Dunaliella* sp. is considered as the suitable algae
152 species. It has been widely used in algae-based desalination process (Moayedi et al., 2019; Shirazi et
153 al., 2018), furthermore, *Dunaliella* sp. has a great potential in biomass reuse. Cho et al. (2015) have
154 suggested that *Dunaliella* sp. can survive and accumulate high lipids and triacylglycerides under high
155 salinity condition, which make it particularly suitable to generate biomass for biofuel production.
156 Ahmed et al. (2017) have investigated the bioenergy application of *Dunaliella* sp. cultured with
157 different salt concentrations. They have suggested that all the physicochemical parameters of
158 *Dunaliella* sp. increased with increasing salinity, and the total lipids of 22.28% could be achieved.
159 Based on the results from previous studies, it is assumed that the TDS removal efficiency is 40% for
160 each stage. Totally 8 stages (8 different algae ponds) are required to reduce the TDS (40,000 mg/L)
161 to the level acceptable for drinking purpose (600 mg/L) (WHO, 1996), and each stage has 7 days
162 reaction time (hydraulic retention time (HRT)). The initial algae concentration (dosage) is 2 g/L (dry
163 weight) for each stage (Wei et al., 2020). The algae growth rate (dry weight based) is conservatively
164 assumed at 15%/d. The harvested algae are then used for biodiesel production and anaerobic
165 digestion (electricity generation).

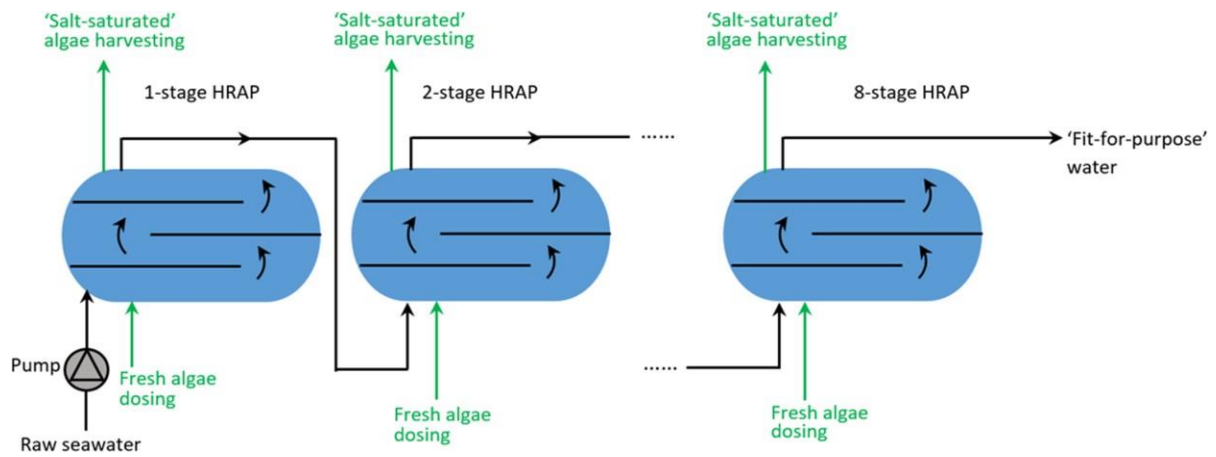
166 Scenario 2: a hybrid desalination system based on the combination of microalgae and LPRO system.
167 The seawater (production capacity of 5,000 m³/d and TDS: 40,000 mg/L) is firstly pre-treated by a 1
168 stage microalgae-based desalination system (HRAP). With the 40% TDS removal efficiency, the
169 effluent from the HRAP has a TDS level of 24,000 mg/L. The pre-treated seawater is further treated
170 by LPRO system. As per scenario 1, the HRT of HRAP is 7 days, the initial algae concentration
171 (dosage) is 2 g/L, and algae growth rate is 15%/d. The harvested algae are also used for biodiesel
172 production and anaerobic digestion. For the LPRO system, it has a recovery rate of 55%, the osmotic
173 pressure is 16.5 bars, and the TDS of the RO permeate is 200 mg/L (Kim and Hong, 2018; Valladares
174 Linares et al., 2014).

175 Scenario 3: a SWRO desalination system. The seawater (production capacity: 5,000 m³/d and TDS:
176 40,000 mg/L) is treated by high pressure RO system. The TDS of the RO permeate is 200 mg/L. The
177 osmotic pressure and recover rate are considered to be 27.6 bars and 45%, respectively (Kim and
178 Hong, 2018; Valladares Linares et al., 2014).

179 It is worthwhile mentioning that the TDS of the RO permeate (200 mg/L, scenarios 2 and 3) is lower
180 compared to that of produced water from eighth stage of algae-based desalination system (600
181 mg/L, scenario 1). However, as per World Health Organisation (WHO) Guidelines for Drinking-water
182 Quality, the TDS of the produced water from all scenarios are acceptable for drinking purpose. The
183 different TDS values clearly demonstrate the unique characteristics of different desalination
184 processes. Membrane based desalination system can produce a better water quality with a lower
185 TDS. However, 'fit-for-purpose' water could be produced from different stages of algae-based
186 desalination system (scenario 1). Furthermore, algae-based desalination process could be used as
187 the pre-treatment for membrane based desalination system (scenario 2).

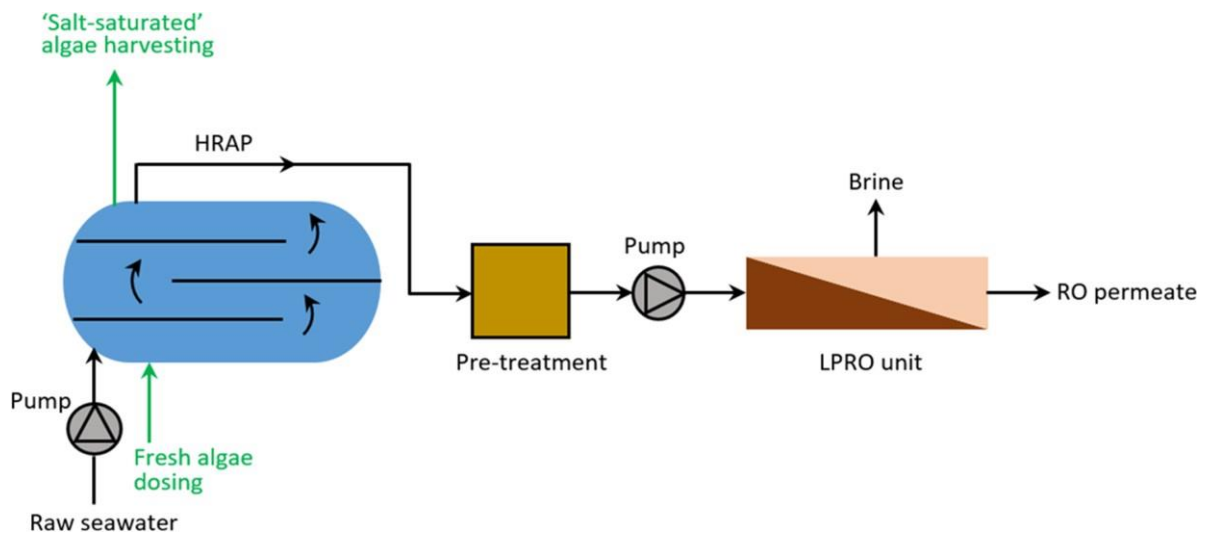
188 The schematic diagrams of different scenarios can be found in Fig. 1.

189 a) Scenario 1: multi-stage microalgae based desalination system



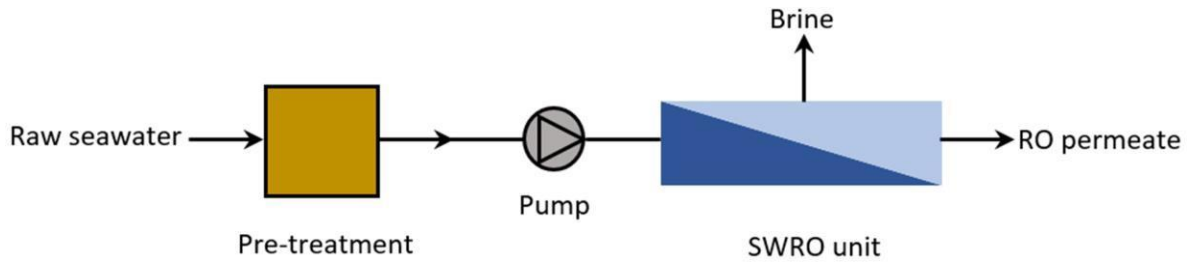
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191 b) Scenario 2: hybrid desalination system based on the combination of microalgae and LPRO system



192

193 c) Scenario 3: SWRO desalination system



194

195 **Fig. 1.** Schematic diagrams of different scenarios

196 **2.2. LCCA**

197 In this study, the LCCA is undertaken for 3 different scenarios based on a TOTEX approach, which
 198 combines both OPEX and CAPEX presented in net present value (NPV). The service life of the
 199 desalination plant is considered to be 20 years (Pazouki et al., 2020).

200 The OPEX includes 7 main categories for algae-based desalination system, including energy, labour,
 201 chemicals, carbon, nutrients, algal biomass reuse, and maintenance and others. For membrane
 202 system, the OPEX includes 5 main categories, including energy, labour, chemicals, membrane &
 203 cartridge filter replacement, and maintenance and others.

204 To calculate the NPV for year n, the following equation is used (Pazouki et al., 2020):

$$205 \quad NPV_n = \frac{C_n}{(1+i)^n} \quad (1)$$

206 Here, NPV_n is the NPV for year n; C_n is the projected net cash flow at year n (TOTEX at year n); i is the
 207 discount rate, which is generally within the range of 6 -12%. Based on the similar LCCA study on
 208 desalination processes (Pazouki et al., 2020), the discount rate of 7% is selected for this study; and n
 209 is the year of service for the desalination plant (from year 1 to year 20).

210 C_n can be calculated by the following equation:

$$211 \quad C_n = OPEX_n + CAPEX_n \quad (2)$$

212 Here, $OPEX_n$ and $CAPEX_n$ are the operational expenditure and capital expenditure at year n ,
213 respectively.

214 Because of the projected 20 years service life, inflation has to be taken into consideration and the
215 $OPEX_n$ can be calculated as follows (Pazouki et al., 2020):

$$216 \quad OPEX_n = OPEX_1 \times (1 + f_a)^n \quad (3)$$

217 Here, $OPEX_1$ are operational expenditure at year 1; and f_a is the annual inflation factor, 2% is used
218 here as the inflation factor based on the consumer price index data from Australian Bureau of
219 Statistics (2010 – 2019).

220 To calculate the annual $CAPEX_n$, the total capital investment is amortised over the service life of the
221 desalination plant (20 years), and the following equation is used, taking equipment's depreciation
222 into consideration:

$$223 \quad CAPEX_n = CAPEX_0 \times \frac{i \times (1 + i)^T}{(1 + i)^T - 1} \quad (4)$$

224 Here, $CAPEX_0$ is the capital investment made at year 0; T is the service life of the desalination plant
225 (20 years).

226 Based on the above calculation, the cost for producing 1 m³ desalinated water (water total cost) can
227 be obtained based on the daily production rate of 5,000 m³/d and 20 years asset service life.

228 **2.3. System assumptions**

229 For the multi-stage microalgae based desalination system, the following assumptions have been
230 made.

231 Table 1 Key assumptions for multi-stage microalgae based desalination system

Algae species	<i>Dunaliella</i> sp.	
Lipid content	21% (% dry algae weight)	Gan et al. (2016).
Water loss due to evaporation	1080 mm/year	Based on Melbourne annual evaporation rate 1200 mm/year and 10% evaporation reduction due to the coverage of algae.
Water loss due to algae harvesting	1% of total influent	Based on algae moisture content 80% after de-watering and the extracted water from de-watering process returns to algae pond.
The influent flowrate	8622 m ³ /d	Based on 41% water loss due to the evaporation and 1% loss due to the algae harvesting.
Algae pond depth	0.4 m	
Land unit price	AU \$18,000/hectare (ha)	Land unit price based on rural land price in 2020 at Wonthaggi where Victorian Desalination Plant is located.
Land area	98.30 ha	
Land cost	AU \$1,769,400	
Algae dosing rate	2 g/L (dry algae)	
Fresh algae dosing amount	112.35 ton/d	
Algae productivity	117.97 ton/d	Based on the growth rate of 15%/d.
Average relative CAPEX (land cost exclusive)	AU \$322,417/ha	Value estimated based on previous studies (Batten et al., 2013; Davis et al., 2016; Griffin et al., 2013; Lundquist et al., 2010).
Average relative OPEX	AU \$37,768/ha.y	Value estimated based on previously studies (Batten et al., 2013; Davis et al., 2016; Doshi et al., 2017; Griffin et al., 2013; Lundquist et al., 2010; Richardson et al., 2014).
Electricity unit price	AU \$0.292/kWh	Based on Australian average electricity unit price (industry) in the first quarter of 2020.
CO ₂ unit price	AU \$11.5/ton	Parry et al. (2015).
Flocculant unit price	AU \$77/ton harvested algal biomass	Hoffman et al. (2017).
Volatile solids (VS) percentage	90% (% algae dry weight)	Yuan et al. (2015).
Theoretical CH ₄ yield	0.66 L CH ₄ /g VS	Yuan et al. (2015).
Digestability (VS degradation)	52%	Yuan et al. (2015).
Actual CH ₄ yield	0.34 L CH ₄ /g VS	Yuan et al. (2015).

Biodiesel unit price	AU \$1.192/L	Based on Australian market diesel price in September 2020, although biodiesel price is usually higher than petro-diesel.
Conversion efficiency of algae oil to biodiesel	90%	Preiss and Kowalski (2010).
Algal oil percentage	16.33% (% dry weight of harvested algal biomass)	Yuan et al. (2015).
Solid digestates percentage	32% (% dry weight of harvested algal biomass)	Yuan et al. (2015).
Solid digestates unit price	AU \$60.28/ton (calculated based on USD)	Yuan et al. (2015).

232 For the hybrid desalination system based on the combination of microalgae and LPRO system, the following assumptions have been made.

233 Table 2 Key assumptions for the hybrid desalination system based on the combination of microalgae and LPRO system

Algae system		
Algae species	<i>Dunaliella</i> sp.	
Lipid content	21% (% dry algae weight)	Gan et al. (2016).
Water loss due to evaporation	1080 mm/year	Based on Melbourne annual evaporation rate 1200 mm/year and 10% evaporation reduction due to the coverage of algae.
Water loss due to algae harvesting	1% of total influent	Based on algae moisture content 80% after de-watering and the extracted water from de-watering process returns to algae pond.
The influent flowrate	9684 m ³ /d	Based on 5.18% water loss due to the evaporation and 1% loss due to the algae harvesting.
Algae pond depth	0.4 m	
Land unit price	AU \$18,000/ha	Land unit price based on rural land price in 2020 at Wonthaggi where Victorian Desalination Plant is located.
Land area	16.95 ha	
Land cost	AU \$305,055	
Algae dosing rate	2 g/L (dry algae)	
Fresh algae dosing amount	19.37 ton/d	

Algae productivity	20.34 ton/d	Based on the growth rate of 15%/d.
Average relative CAPEX (land cost exclusive)	AU \$322,417/ha	Value estimated based on previous studies (Batten et al., 2013; Davis et al., 2016; Griffin et al., 2013; Lundquist et al., 2010).
Average relative OPEX	AU \$37,768/ha.y	Value estimated based on previously studies (Batten et al., 2013; Davis et al., 2016; Doshi et al., 2017; Griffin et al., 2013; Lundquist et al., 2010; Richardson et al., 2014).
Electricity unit price	AU \$0.292/kWh	Based on Australian average electricity unit price (industry) in the first quarter of 2020.
CO ₂ unit price	AU \$11.5/ton	Parry et al. (2015).
Flocculant unit price	AU \$77/ton harvested algal biomass	Hoffman et al. (2017).
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Conversion efficiency of algae oil to biodiesel	90%	Preiss and Kowalski (2010).
Algal oil percentage	16.33% (% dry weight of harvested algal biomass)	Yuan et al. (2015)
Solid digestates percentage	32% (% dry weight of harvested algal biomass)	Yuan et al. (2015)
Solid digestates unit price	AU \$60.28/ton (calculated based on USD)	Yuan et al. (2015)
LPRO system		
Water recovery	55%	
The influent flowrate	9,091 m ³ /d	Based on 55% water recovery.
Land unit price	AU \$18,000/ha	Land unit price based on rural land price in 2020 at Wonthaggi where Victorian Desalination Plant is located.
Land area	0.72 ha	EU (2013)
Land cost	AU \$12,960	

Average relative CAPEX (land cost exclusive)	AU \$1373/m ³ .d (calculated based on USD)	Linares et al. (2016)
Average relative OPEX	AU \$1.24/m ³	Value estimated based on previously studies (Bhojwani et al., 2019; Linares et al., 2016; Pazouki et al., 2020; Sarai Atab et al., 2016).
Electricity unit price	AU \$0.292/kWh	Based on Australian average electricity unit price (industry) in the first quarter of 2020.

234 For the SWRO desalination system, the following assumptions have been made.

235 Table 3 Key assumptions for SWRO desalination system

Water recovery	45%	
The influent flowrate	11,110 m ³ /d	Based on 45% water recovery.
Land unit price	AU \$18,000/ha	Land unit price based on rural land price in 2020 at Wonthaggi where Victorian Desalination Plant is located.
Land area	0.83 ha	EU (2013)
Land cost	AU \$ 14,940	
Average relative CAPEX (land cost exclusive)	AU \$1657/m ³ .d (calculated based on USD)	Linares et al. (2016).
Average relative OPEX	AU \$1.36/m ³	Value estimated based on previously studies (Bhojwani et al., 2019; Linares et al., 2016; Pazouki et al., 2020; Sarai Atab et al., 2016).
Electricity unit price	AU \$0.292/kWh	Based on Australian average electricity unit price (industry) in the first quarter of 2020.

236

237 **2.4. Data collection**

238 The reliable data plays an important role in undertaking LCCA study. Two main groups of data are
239 used in this study: RO and HRAP processes. For the RO process, the operational data and cost
240 information have been widely published. In order to check the validity of the conservative
241 assumptions based on literatures, Winflows (Membrane System Design Software version 3.3.3,
242 SUEZ) is used to simulate the design and operation of RO systems in scenarios 2 and 3. The obtained
243 OPEX and CAPEX information is used to verify our estimated values and the differences are within
244 approximately 20%.

245 Previous algae-based desalination studies are mainly laboratory-based, there is no full-scale HRAP
246 system for desalination purpose, which creates difficulties in obtaining reliable data for algae-based
247 desalination system cost estimation. To resolve the data limitation issue, different approaches are
248 applied. Firstly, HRAP system has been widely studied for wastewater treatment, its operational data
249 and cost information have been extensively reported (Arashiro et al., 2018; Kohlheb et al., 2020;
250 Richardson et al., 2012; Rogers et al., 2014). The CAPEX and OPEX of HRAP based wastewater
251 treatment system should be similar to those of HRAP based desalination system, although additional
252 nutrients and carbon are required for algae-based desalination system. Furthermore, although a
253 very limited studies have investigated the performance of algae-based desalination, the effects of
254 salinity on algae have been widely examined (Abubakar, 2016; Mohy El-Din, 2015; Shetty et al.,
255 2019), the algae growth and nutrient/carbon requirements under high saline condition have been
256 well understood. This information helps to calculate the chemical usage and algal biomass
257 productivity.

258 The OPEX and CAPEX information obtained from previous studies is firstly reviewed. Because
259 different studies have different operating conditions, such as process configuration, plant capacity,
260 influent water quality, and time of the study. Only the studies with similar operating conditions are
261 used to calculate the OPEX and CAPEX. Extrapolation and interpolation are also applied to identify

262 more accurate data. Based on the above approach, the reliable cost range can be built. To further
263 ensure the accurate cost estimation, the highest and lowest values from the cost range are excluded
264 when the average OPEX and CAPEX are calculated. It is worthwhile mentioning that the selected
265 studies not only provide OPEX information but also include the detailed breakdown of OPEX. This
266 information facilitates the calculation of different items of OPEX (e.g., algal biomass reuse cost,
267 energy cost, chemical cost, etc.).

268 **3. Results and discussion**

269 **3.1. CAPEX, OPEX and TOTEX comparison**

270 Fig. 2 shows the CAPEX, OPEX and TOTEX analyzed for 3 different scenarios. The OPEX and CAPEX of
271 different system components (algae system and membrane system) for different scenarios are
272 summarized in Tables 4 and 5. Further detailed calculation can be found in Tables S1 – S5 in
273 Appendix A. It is worthwhile mentioning that the revenues obtained from algal biomass reuse for
274 scenarios 1 and 2 are not taken into account for the calculated values shown in Fig.2. The effect of
275 algal biomass reuse will be discussed in Section 3.2.

276 Table 4 Summary of CAPEX for Scenarios 1, 2 and 3

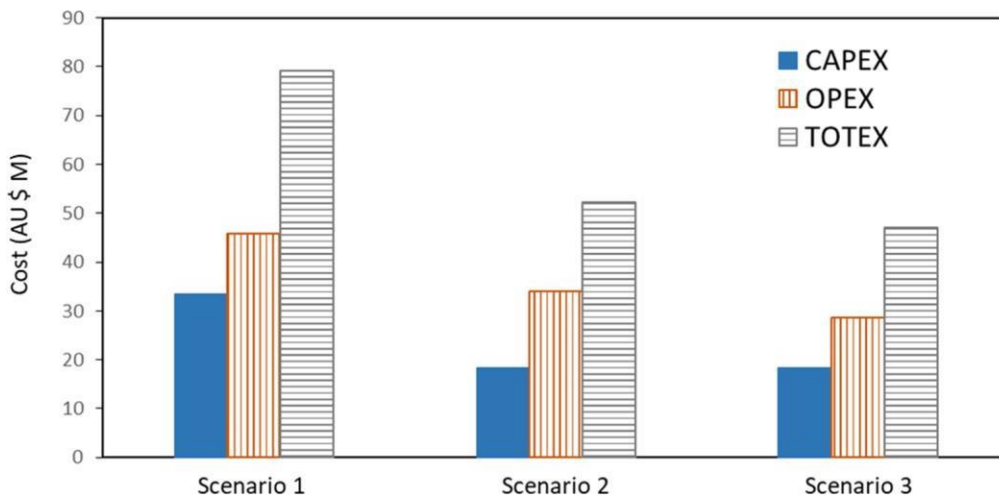
		Scenario 1 Multi-stage algae system	Scenario 2 Hybrid desalination system	Scenario 3 SWRO system
Algae system CAPEX (land cost exclusive)	AU \$	31,693,591	5,464,164	-
Algae system land cost	AU \$	1,769,400	305,055	-
Membrane system CAPEX (land cost exclusive)	AU \$	-	12,481,943	18,409,270
Membrane system land cost	AU \$	-	12,960	14,940
Sub-CAPEX (algae system CAPEX + membrane system CAPEX)	AU \$	31,693,591	17,946,107	18,409,270
Sub-land cost (algae system land cost + membrane system land cost)	AU \$	1,769,400	318,015	14,940
Total CAPEX (Sub-CAPEX + Sub-land cost)	AU \$	33,462,991	18,264,122	18,424,210

277 Table 5 Summary of OPEX for scenarios 1, 2 and 3

		Scenario 1 Multi-stage algae system	Scenario 2 Hybrid desalination system	Scenario 3 SWRO system
Algae system OPEX	AU \$/y	3,712,594	640,168	-
Membrane system OPEX	AU \$/y	-	2,115,905	2,320,670
Algae system OPEX over 20 years*	AU \$	45,739,378	7,886,908	-
Membrane system OPEX over 20 years*	AU \$	-	26,068,075	28,590,792
Total OPEX over 20 years (algae system + membrane system)	AU \$	45,739,378	33,954,983	28,590,792

278 *The calculation of OPEX over 20 years service period is based on NPV, taking discount rate (7%) and inflation factor (2%) into consideration. The revenue
 279 obtained from algal biomass reuse is not included here.

280 Scenario 1 and scenario 3 have only algae component and membrane component, respectively, but
 281 scenario 2 has both algae and membrane components, since it utilizes algae-based desalination as
 282 the pre-treatment for RO process. Fig.2 clearly shows that both CAPEX and OPEX of scenario 1 are
 283 the highest among 3 scenarios. The CAPEX of scenario 1 is 83.22% and 81.63% higher than those of
 284 scenario 2 and scenario 3, respectively. The SWRO system of scenario 3 is replaced by LPRO system
 285 in scenario 2, therefore, the CAPEX of membrane system for scenario 2 is significantly lower than
 286 that of membrane system for scenario 3 (Table 4). However, due to the additional CAPEX for algae-
 287 based desalination pre-treatment, the CAPEX of scenario 2 is very similar to that of scenario 3
 288 (difference is less than 1%).



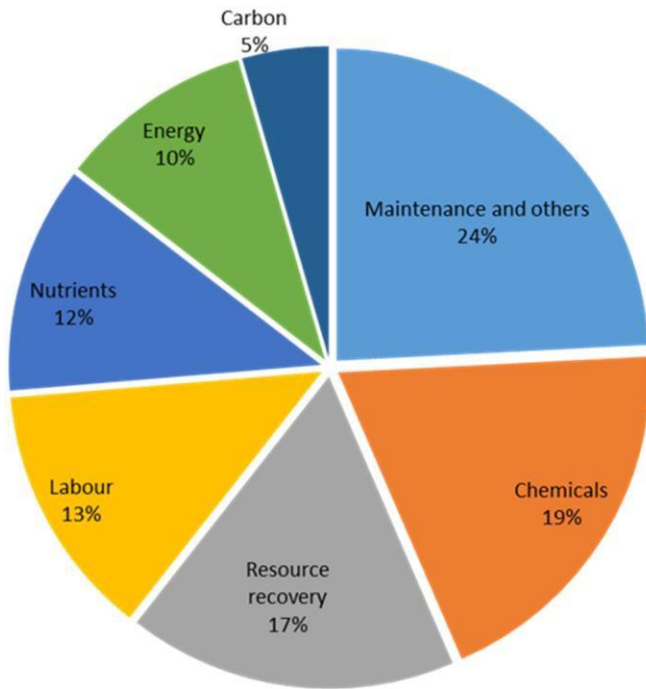
289

290 **Fig. 2.** CAPEX, OPEX and TOTEX analyzed for scenarios 1, 2 and 3

291 For the OPEX, scenario 1 is 34.71% and 59.98% higher than scenarios 2 and 3, respectively (Table 5).
 292 A further breakdown of OPEX for scenarios 1 and 3 is shown in Fig. 3. It is worthwhile mentioning
 293 that a breakdown of OPEX for scenario 2 is not shown here, since the OPEX breakdown of algae
 294 component for scenario 2 is the same as scenario 1, and the OPEX breakdown of LPRO component is
 295 similar to that of scenario 3 (Tables S3 – S4). The amortization cost of CAPEX is also not shown in Fig.
 296 3, because the percentage of CAPEX NPV varies over time.

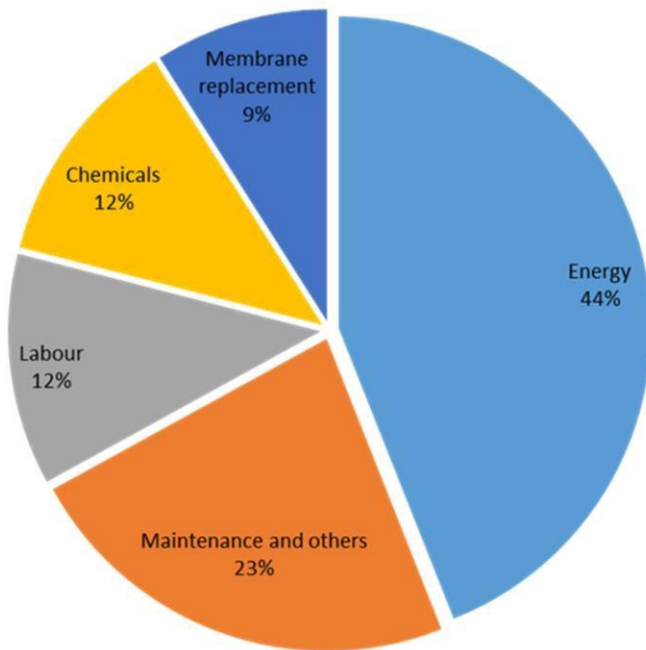
297 Fig. 3 shows that the maintenance and chemicals are the two major items of OPEX for scenario 1
298 (algae-based desalination system), the energy cost only represents 10% of the OPEX. On the
299 contrary, the energy cost for scenario 3 (membrane-based desalination) represents nearly half of the
300 OPEX (44%), which is significantly higher than that of algae-based desalination system. This
301 demonstrates that algae-based desalination system is an energy efficient process, but membrane-
302 based desalination system is very energy intensive.

303 a) breakdown of OPEX for scenario 1 (algae-based desalination system)



304

305 b) breakdown of OPEX for scenario 3 (membrane-based desalination system)



306

307 **Fig.3.** Breakdown of OPEX for scenarios 1 and 3

308 3.2. Algal biomass resource recovery

309 One of the key benefits for algae-based desalination process is that the algal biomass can be reused
310 for producing high value products, leading to the lower TOTEX and water total cost. It is assumed
311 that the halophilic algae *Dunaliella* sp. is used for the algae-based desalination process. With the
312 optimal cultivation conditions (temperature, nutrients, sunlight, carbon, pH, etc.), a conservative
313 value of 15%/d for algae productivity is used in this study. With this productivity, the algal biomass
314 produced from HRAP is enough for the daily algae consumption for algae-based desalination
315 process, additional algal biomass can also be produced for manufacturing other high value products.

316 High salinity cultivation is one of the strategies to induce lipid production, which results in a higher
317 lipid accumulation in the algal biomass (Aratboni et al., 2019). Therefore, it is assumed that the algal
318 biomass harvested from the algae-based desalination process is firstly used for biodiesel production,
319 glycerine is also produced as the co-product from biodiesel production process. The lipid-extracted
320 algal biomass residual is then used in the anaerobic digestion process to produce biogas (electricity).
321 The final solid digestates could be further utilized as the raw materials for bio-fertiliser and other
322 chemical products due to the high nutrient (e.g., nitrogen, phosphorus, and potassium) and salt
323 contents. In this study, the algae-based desalination plant includes biodiesel production and
324 anaerobic digestion facilities, but it does not include the treatment facility for the digestates. It is
325 assumed that the final digestates will be sold to others, who can recover the nutrient and salt
326 contents efficiently. The mass balance of the algal biomass resource recovery process is based on
327 the values obtained from Yuan et al.'s study (Yuan et al., 2015).

328 It should be mentioned here that the salts removed from the seawater will be finally concentrated
329 into the digestates for algae-based desalination system. If the nutrient and salt contents are not
330 recovered and the final digestate is considered as the pure waste, different waste
331 disposal/treatment methods have to be applied, such as landfill or incineration. This will result in the

332 negative impacts on the environment. As a result, further reuse of digestates is strongly encouraged
 333 to eliminate the negative environmental impacts of algae-based desalination system.

334 Table 6 shows the summary of the revenues obtained from algal biomass reuse for scenarios 1 and
 335 2. It can be seen clearly that the revenues obtained from scenario 1 is significantly higher than that
 336 of scenario 2, since there is only 1 HRAP and a lower amount of harvested algal biomass for scenario
 337 2. Further details of the revenue calculation can be found from Tables S6 – S7.

338 Table 6 Revenues obtained from algal biomass reuse for scenarios 1 and 2

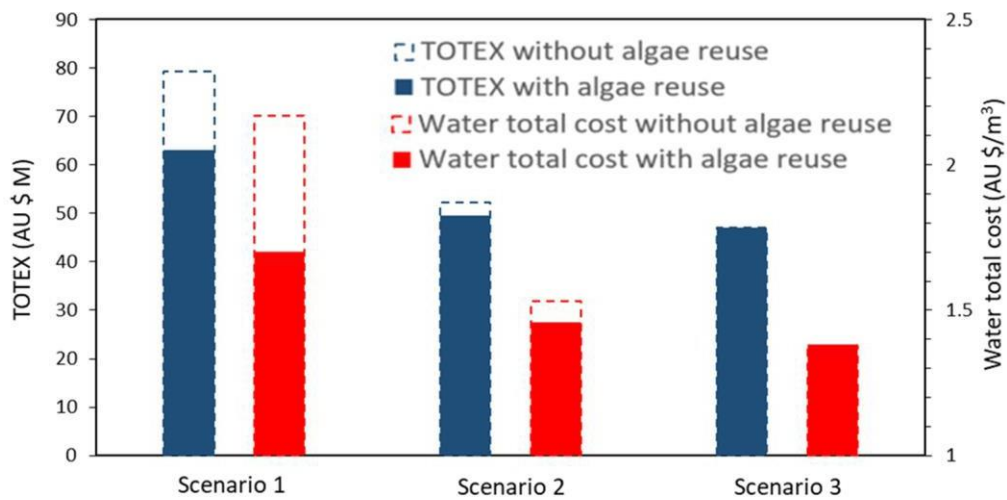
		Scenario 1	Scenario 2
		Multi-stage algae system	Hybrid desalination system
Revenue from biodiesel production	AU \$/y	467,926	80,668
Revenue from anaerobic digestion	AU \$/y	850,174	146,566
Revenue from solid digestates	AU \$/y	39,551	6,818
Revenue from biodiesel production over 20 years*	AU \$	5,764,882	993,836
Revenue from anaerobic digestion over 20 years*	AU \$	10,474,188	1,805,696
Revenue from solid digestates over 20 years*	AU \$	487,273	84,003
Total revenue over 20 years	AU \$	16,726,343	2,883,535

339 *The calculation of revenue over 20 years service period is based on NPV, taking discount rate (7%)
 340 and inflation factor (2%) into consideration.

341 The effects of algal biomass reuse on TOTEX and water total cost can be found in Fig. 4. It can be
 342 seen that the TOTEX reduces from AU \$79.20 million to AU \$62.48 million (26.77% reduction) and
 343 the water total cost reduces from AU \$2.17/m³ to AU \$1.71/m³ (26.77% reduction) for scenario 1.
 344 For scenario 2, TOTEX reduces from AU \$52.22 million to AU \$49.34 million (5.84% reduction) and
 345 the water total cost reduces from AU \$1.53/m³ to AU \$1.45/m³ (5.84% reduction). Algal biomass
 346 reuse has no effect on scenario 3 as it is purely based on membrane desalination process.

347 With the revenues obtained from algal biomass reuse, the water total cost of scenario 1 is 18.31%
 348 higher than that of scenario 2, and the water total cost of scenario 2 is only 4.94% higher than that
 349 of scenario 3. Because a conservative algae productivity value (15%/d) is used in this study, the
 350 conservative revenues are calculated for scenarios 1 and 2. The TOTEX and water total cost for

351 scenario 2 could be at the same level or even lower compared to scenario 3, which indicates that
 352 scenario 2 could be the cheapest scenario, when algal biomass reuse is taken into consideration.



353

354 **Fig.4.** Effects of algal biomass reuse on TOTEX and water total cost

355 **3.3. Sensitivity analysis**

356 To understand the effects of six key parameters (evaporation rate, flocculant unit price, biodiesel
 357 unit price, land unit price, electricity unit price and membrane unit price) on water total cost, a
 358 sensitivity study is undertaken.

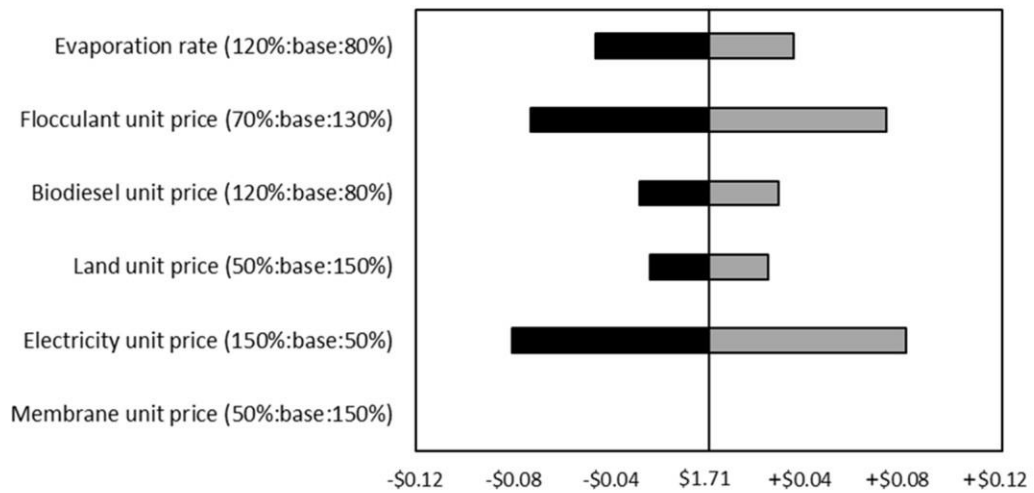
359 Fig. 5a shows the effects of different parameters on water total cost for scenario 1. It can be seen
 360 that the change of membrane unit price does not have any impact on the water total cost, because
 361 scenario 1 is the algae-based desalination process without any membrane component. Change of
 362 electricity unit price has the most significant impact on the water total cost. However, when
 363 electricity unit price is higher, the water total cost will be reduced. The total electricity cost will
 364 increase as a function of electricity unit price, however, the harvested algal biomass is used for
 365 anaerobic digestion, leading to the electricity generation. The produced electricity is not only
 366 enough to supply for the algae-based desalination process but also generates additional revenues.
 367 Because algae-based desalination system is an energy efficient process and consumes relatively less
 368 electricity. Consequently, the higher electricity unit price actually leads to a higher revenue, resulting

369 in a reduced water total cost. As the algae-based desalination process, scenario 1 requires a very
370 large land area (98.30 ha). However, due to the relatively cheap land unit price, the change of land
371 unit price has a relatively less impact on the water total cost. Evaporation rate has two major
372 impacts on the algae-based desalination process. Firstly, a higher evaporation rate results in a larger
373 pond area, leading to a higher land cost. Secondly, a higher evaporation rate indicates a higher
374 volume of influent. With the same algae dosing rate (2g/L), more algal biomass can be harvested to
375 generate revenue. Because of the relatively cheap land unit price, the revenue generated by the
376 algal biomass reuse is more significant, which results in a net benefit. As a result, a higher
377 evaporation rate actually leads to a reduced water total cost.

378 Scenario 2 is a hybrid desalination system based on the combination of microalgae and LPRO system.
379 The effects of different parameters on the water total cost is shown in Fig. 5b. It can be seen that the
380 changes of the evaporation rate, flocculant unit price, biodiesel unit price and land unit price have
381 less impacts on the water total cost. Because these four parameters are related to algae-based
382 desalination process, which is a relatively smaller component compared to LPRO process. The
383 electricity unit price and membrane unit price have the major impacts on the water total cost.
384 However, for scenario 2, a higher electricity unit price will lead to a higher water total cost. Because
385 membrane process is very energy-intensive, 41% of the OPEX for LPRO system is used for energy. At
386 the same time, the energy generated from the harvested algal biomass is not enough to compensate
387 the energy used by the LPRO process.

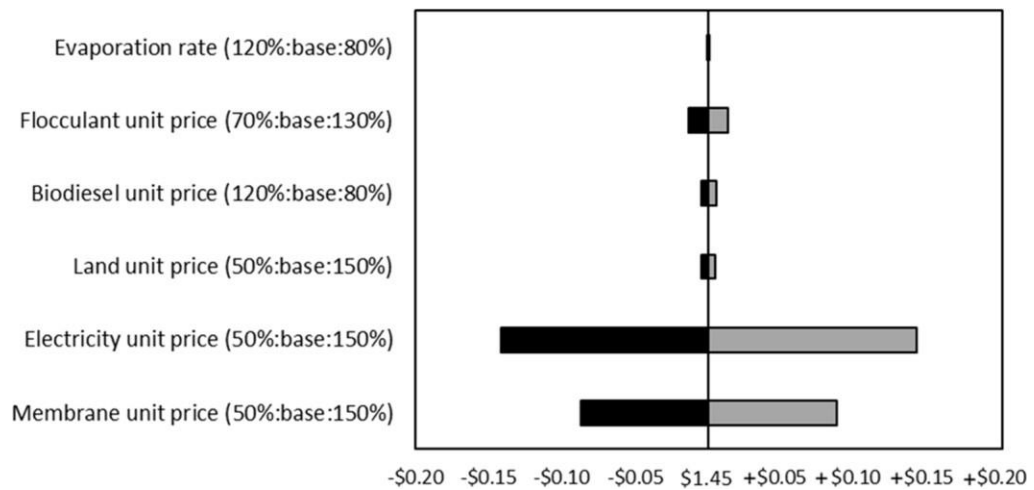
388 Similar to scenario 2, electricity unit price has the most significant impact on the water total cost for
389 scenario 3 (Fig. 5c), and a higher electricity unit price leads a higher water total cost.

390 a) Effects of different parameters on water total cost for scenario 1



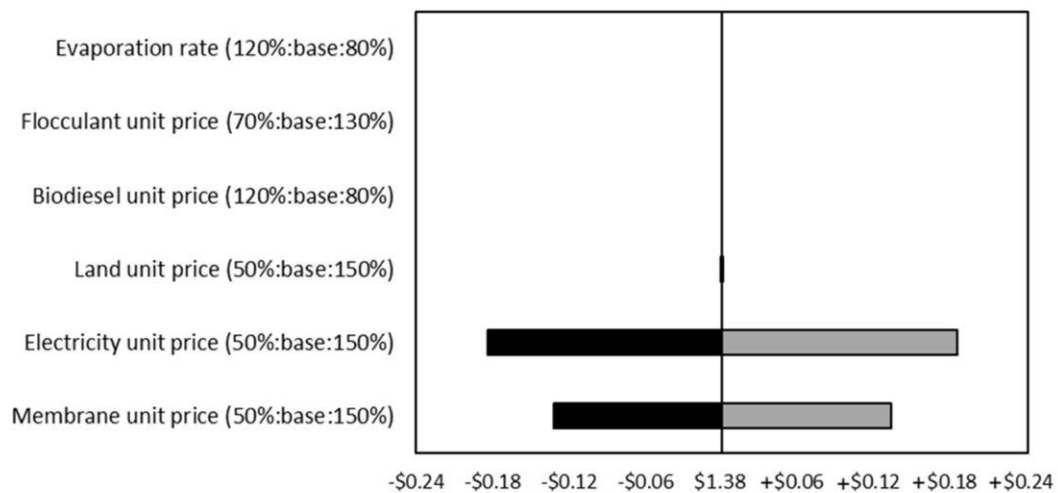
391

392 b) Effects of different parameters on water total cost for scenario 2



393

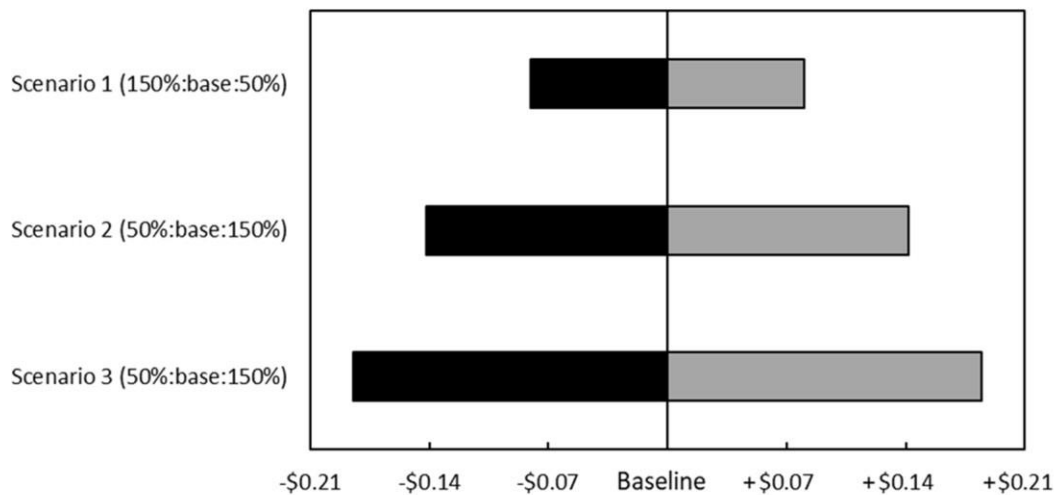
394 c) Effects of different parameters on water total cost for scenario 3



395

396 **Fig. 5.** Effects of different parameters on water total cost for different scenarios

397 Based on the above discussion, it can be suggested that the electricity unit price plays the most
 398 important role in determining the water total cost for all scenarios. Fig. 6 shows the relative effect of
 399 electricity unit price on water total cost for different scenarios. It can be seen clearly that a higher
 400 electricity unit price leads to a reduced water total cost for scenario 1; on the contrary, a higher
 401 electricity unit price results in a higher water total cost for scenarios 2 and 3. The effect of electricity
 402 unit price on scenario 3 is more significant compared to scenarios 1 and 2, because SWRO is a more
 403 energy intensive process compared to algae-based desalination process (scenario 1) and hybrid
 404 desalination system (scenario 2).



405

406 **Fig. 6.** Effect of electricity unit price on water total cost for different scenarios

407 **3.4. Uncertainty analysis**

408 It is generally accepted that the LCCA is highly dependent on the local conditions (e.g., land price,
 409 energy price, and chemical price), the conservative and representative values and standard LCCA
 410 method are applied in this study to calculate the OPEX and CAPEX, which make it easier to re-
 411 evaluate the cost based on the conditions from other areas. Furthermore, the scenarios of this LCCA
 412 are based on different implementation strategies of algae-based desalination system (e.g.,
 413 replacement of RO (scenario 1), pre-treatment for RO (scenario 2)). The general understanding in the
 414 economic viability and implementation strategies could guide future research in this area, resulting
 415 in a wider application of algae-based desalination system.

416 To further understand the effects and limitations of the key assumptions made in this study, an
 417 uncertainty analysis is conducted. Compared to the matured RO desalination technology, there is
 418 only limited laboratory-based experimental data for algae-based desalination system, and the
 419 assumptions made could have high uncertainties. Therefore, three key parameters from algae-based
 420 desalination system (TDS removal efficiency, lipid content of microalgae, and unit price of solid
 421 digestates) are selected for the uncertainty analysis.

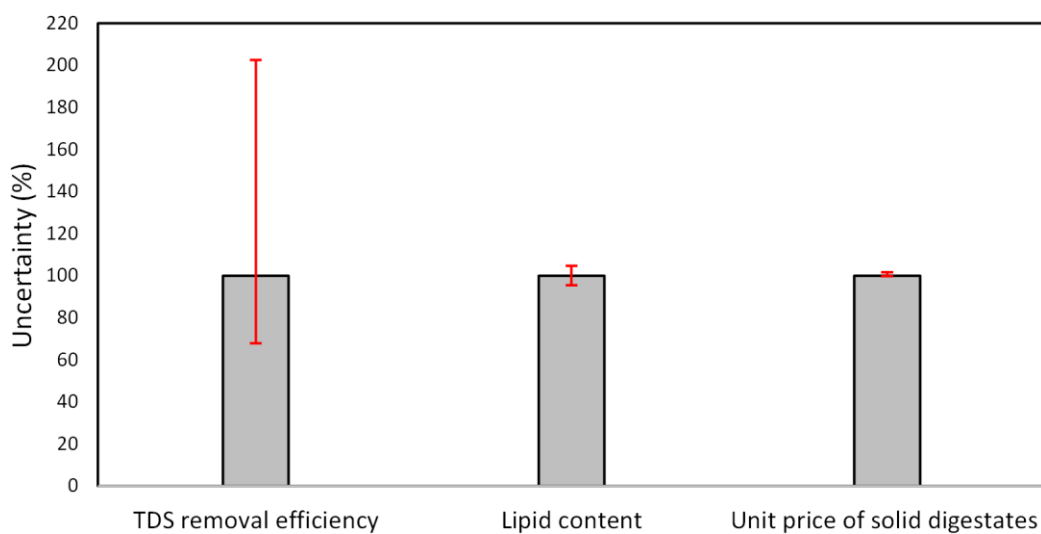
422 Table 7 shows the assumptions and uncertainties for these three parameters. For the TDS removal
 423 efficiency by microalgae, it is assumed 40% as the mean TDS removal efficiency with 25%
 424 uncertainty. For the lipid content of microalgae, it is assumed 21% as the mean lipid content with
 425 50% uncertainty. For the solid digestates, it is assumed that it could be sold at AU \$60.28/ton, with
 426 the maximum unit price at AU \$72.34/ton (20% higher). However, if the solid digestates cannot be
 427 sold due to the high salt content, it will result in a waste disposal fee. Based on the current
 428 Australian landfill cost (Serpo and Read, 2019), it is assumed that the landfill cost is AU \$ 64.20/ton.

429 Table 7 Assumptions and their uncertainties for three parameters

Parameter	Assumptions and uncertainties		
	Low	Assumption	High
TDS removal efficiency	30%	40%	50%
Lipid content	11%	21%	32%
Unit price of solid digestates	AU \$ -64.20/ton (landfill cost)	AU \$60.28/ton	AU \$72.34/ton

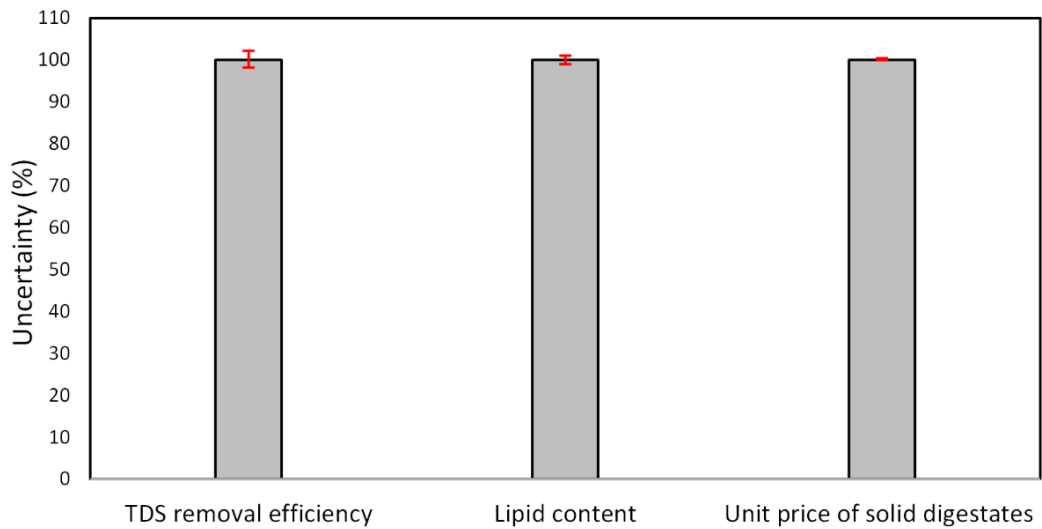
430 The effects of uncertainties on water total cost for scenarios 1 and 2 can be found in Fig. 7.

431 a) Effects of uncertainties of design parameters on water total cost for scenario 1



432

433 b) Effects of uncertainties of design parameters on water total cost for scenario 2



434

435 **Fig. 7.** Effects of uncertainties on water total cost for scenarios 1 and 2

436 Fig. 7 shows that the effects of uncertainties on scenario 1 are more significant compared to
437 scenario 2. This is due to the fact that the scale of algae-based desalination system of scenario 1 is
438 much bigger than that of scenario 2, and there is only 1 stage of algae-based desalination process as
439 the pre-treatment for RO system for scenario 2. Consequently, it can be suggested that the LCCA for
440 scenario 2 is relatively accurate.

441 It can also be seen clearly that the uncertainty of TDS removal efficiency has a great effect on the
442 water total cost. When the TDS removal efficiency of microalgae is higher (50%), only 6 stages are
443 required for algae-based desalination system. On the contrary, when TDS removal efficiency is 30%,
444 12 stages are required, which results in a higher water total cost. The uncertainties of lipid content
445 and unit price of solid digestates both have low effects on water total cost (less than 5%). This is
446 mainly due to their low effects on the revenues obtained from algal biomass reuse.

447 Based on the above results, it can be suggested that the assumption of TDS removal efficiency of
448 microalgae results in a high uncertainty of LCCA. Further study should focus on the salt removal

449 mechanisms and efficiency of microalgae, which could lead to a more reliable result of TDS removal
450 efficiency of microalgae.

451 **3.5. Approaches to improve economic viability of algae-based desalination system**

452 **3.5.1. Integration of algae-based desalination and wastewater treatment plant**

453 Algae require carbon and nutrients to grow. Marine algae usually take up carbon and nutrients at a
454 Redfield ratio (C:N:P = 106:16:1) (Tett et al., 1985). The naturally oligotrophic seawater may not
455 contain enough carbon and nutrients to support the optimal growth of algae, leading to an inferior
456 desalination performance. Previous algae-based desalination studies (Gan et al., 2016; Sahle-
457 Demessie et al., 2019; Wei et al., 2020) also show that nutrients have been artificially added to
458 support the algae growth/survive and desalination.

459 For scenarios 1 and 2, the nutrients cost and carbon cost represent 11.79% and 4.48% (see Table S3)
460 of the total OPEX, respectively. As a result, the total cost of nutrients and carbon for scenario 1 over
461 20 years service period is AU \$7.4 million, the total cost of nutrients and carbon for scenario 2 over
462 20 years service period is AU \$1.3 million.

463 Wastewater contains abundant carbon and nutrients, which can be used to offset the costs of
464 carbon and nutrients for algae-based desalination process. However, domestic wastewater generally
465 contains carbon and nutrients at the ratio of 100:5:1 (C:N:P) (Permatasari et al., 2018), which
466 indicates the difficulty in direct use of raw wastewater as the carbon and nutrients sources.

467 Furthermore, wastewater may contain various contaminants which could have inhibitory effects on
468 algae growth. For example, the toxic heavy metal and nanoparticles could hinder the algae growth
469 (Hwang et al., 2016). The light intensity can also be reduced considerably due to the high turbidity of
470 the raw wastewater, this will further inhibit the growth of photosynthetic algae. Based on the above
471 consideration, it is suggested that raw wastewater should be pre-treated to improve its suitability as
472 the carbon and nutrients source for algae-based desalination system. In addition to the wastewater

473 quality, other factors should be taken into account during the design, such as volume and distance of
474 the wastewater source.

475 **3.5.2. Utilization of dead algae instead of living algal biomass**

476 Previous algae-based desalination studies have demonstrated that a significantly long reaction time
477 is required (7 – 85 days) to complete the salt removal process (Gan et al., 2016; Sahle-Demessie et
478 al., 2019; Sergany et al., 2014; Yao et al., 2013). Wei et al. (2020) have suggested that 2/3 of the salt
479 removal was completed by the first 30 mins, and it was mainly due to the non-metabolic biosorption
480 process. It required more than 2 weeks to complete another 1/3 of the salt removal, and this
481 phenomenon was attributed to the slow metabolic-dependent bioaccumulation process.

482 Because of the long salt removal process, the footprints for scenarios 1 and 2 are very large (98.30
483 ha and 16.95 ha, respectively), which result in both high CAPEX and OPEX. The dead algae could be
484 used instead of the living algal biomass, the reaction time could be significantly decreased, and
485 subsequently, the CAPEX and OPEX could potentially be reduced. In addition, various researchers
486 have suggested that the dead algae cells may display a better metal binding capacity, because they
487 are not subject to the metal toxicity limitations (González et al., 2011; Mehta and Gaur, 2005). Dead
488 algal biomass also does not require carbon, light and nutrients to grow, which could further reduce
489 the OPEX.

490 It is obvious that dead algal biomass has some limitations. First of all, the metabolic-dependent
491 bioaccumulation capability is completely lost. The dead algae cells usually have smaller cell size and
492 lower mechanical strength compared to living algal biomass, resulting in difficulties in biomass
493 harvesting and recovery. Furthermore, the beneficial reuse of algal biomass will be restricted with
494 the dead algal biomass. Based on the above considerations, it is suggested that further technical
495 assessment should be undertaken to compare the long term desalination performance and the
496 relevant cost implications between dead and living algal biomass.

497 **3.5.3. Engineering approaches to develop optimal algae strains**

498 Biosorption and bioaccumulation capacities of algal biomass could be enhanced by various
499 engineering approaches. One of the approaches is 'starvation' strategy, which has been widely
500 utilized in algae-based wastewater treatment processes (Solovchenko et al., 2016; Zhang et al.,
501 2008). The amount of nutrient addition should be regulated, so it is just sufficient for algae's optimal
502 growth with enough energy to against the salt stress. When the algae cells are depleted of energy,
503 they cannot actively export Na⁺ from algal cells, and more salts will be accumulated within the algae
504 cells accordingly (Minas et al., 2014).

505 Genetic engineering has been widely used to enhance the salt tolerance of algae cells (Amezaga et
506 al., 2014; Shetty et al., 2019), but the ability to grow in high salinity environments does not
507 necessarily result in a better salt removal performance. It is suggested that different genetic
508 approaches should be investigated in the future to enhance the salt bioaccumulation ability of algae
509 cells, which could potentially improve the economic viability of algae-based desalination system.

510 **3.6. Environmental considerations**

511 The LPRO and SWRO processes have low recovery rates of 55% and 45%, respectively, which indicate
512 that large volumes of brine will be produced from the membrane-based desalination process. The
513 brine could cause acute and chronic toxicity, and alterations to the ecosystem of the receiving
514 environment (Roberts et al., 2010), which restrict the implementation of RO process in
515 environmentally sensitive areas. On the contrary, the optimal algae growth and desalination
516 performance are highly dependent on the local environmental conditions. It is expected that the
517 algae strains can grow optimally in the temperature range between 20 – 40 °C, which allows the
518 utilization of the selected strains under ambient conditions in a large geographical area (Minas et al.,
519 2014).

520 As the photosynthetic organisms, algae have the ability to fixate the atmospheric CO₂, which
 521 contributes to reduce the global warming impact. This is considered as one of the great
 522 environmental benefits for algae-based desalination process. However, the CO₂ in the atmosphere
 523 usually cannot provide enough carbon for algae growth, because the diffusion of CO₂ from the
 524 atmosphere into water is slower than the carbon utilization by algae. Additional carbon has to be
 525 added. It is assumed that CO₂ from other sources could be utilized to support the optimal algae
 526 growth. The CO₂ could be sourced from the by-product or waste product from various industries
 527 (e.g., natural gas industry, power plant) or even from internal algal biomass reuse process (e.g.,
 528 digestion process) (Anguselvi et al., 2019; Fallowfield et al., 2016). The relevant costs for CO₂
 529 utilization have been taken into consideration during the CAPEX and OPEX calculations (see Tables
 530 S1 and S3). Because algae can utilize CO₂ as their main carbon source for metabolic process, algae-
 531 based desalination process will have a lower carbon footprint compared to the energy intensive
 532 membrane-based desalination process.

533 The carbon fixation rate by algae can be calculated by the following equation (Adamczyk et al.,
 534 2016):

$$535 \quad R_c = C_c \times P_{algae} \times \frac{M_{CO_2}}{M_{carbon}} \quad (5)$$

536 Here, R_c is the annual CO₂ fixation rate (ton/y); C_c is the average carbon content (% dry weight
 537 of algal biomass), which is approximately 50% for *Dunaliella* sp. (Mortezaeikia et al., 2016); P_{algae}
 538 is the annual productivity of algae (ton/y); and M_{CO_2} and M_{carbon} are the molecular weights for
 539 CO₂ and carbon, respectively.

540 Based on Eq. (5), it can be estimated that 1.58 megaton and 0.30 megaton CO₂ can be captured by
 541 the algae-based desalination process for scenarios 1 and 2, respectively, over 20 years service

542 period. CO₂ price varies in different countries and if a conservative value of AU \$11.5/ton CO₂ is used
543 (Parry et al., 2015), approximately AU \$18 million and AU \$3 million indirect financial benefits can be
544 obtained for scenarios 1 and 2, respectively. It is worthwhile mentioning that this indirect financial
545 benefits are calculated based on the assumption that carbon credits can be generated from algae-
546 based desalination system. The generated carbon credits could be subsequently traded in the global
547 carbon market. If these indirect financial benefits are taken into consideration during the TOTEX
548 calculation, scenario 1 and scenario 3 will have the lowest and highest TOTEX, respectively, although
549 the difference between scenarios 1 and 3 is only 5%.

550 Because of the potential issue of land availability, scenario 2 with hybrid desalination system based
551 on the combination of microalgae and LPRO is considered as the most economical and
552 environmentally friendly option, when algal biomass reuse and CO₂ bio-fixation are taken into
553 account. Current design of scenario 2 only includes 1 stage of HRAP, which limits the benefits of algal
554 biomass reuse and CO₂ bio-fixation, the scale of algae-based desalination pre-treatment could be
555 expanded to further reduce the TOTEX and water total cost.

556 It should also be mentioned that this study focuses on life-cycle costs for different scenarios. A full
557 Life Cycle Assessment (LCA) should also be undertaken to further evaluate the environmental
558 impacts associated with different scenarios, which could identify the key environmental benefits and
559 bottlenecks for algae-based desalination system.

560 **4. Conclusions**

561 This study analyzes the economic aspects of algae-based desalination system by comparing the life-
562 cycle costs of three different scenarios: (1) a multi-stage microalgae based desalination system; (2) a
563 hybrid desalination system based on the combination of microalgae and LPRO system; and (3) a
564 SWRO desalination system. It is identified that the CAPEX and OPEX of scenario 1 are significantly
565 higher than those of scenarios 2 and 3, when algal biomass reuse is not taken into consideration. The

566 CAPEX of scenario 2 is similar to that of scenario 3, however, its OPEX is 16% higher than that of
567 scenario 3.

568 If algal biomass reuse is taken into consideration, the OPEX of scenario 1 will decrease significantly
569 due to the revenue obtained from harvested algal biomass reuse. Scenarios 2 and 3 will have the
570 highest and lowest OPEX, respectively. However, due to the high CAPEX of scenario 1, the TOTEX of
571 scenario 1 is still 27% and 33% higher than those of scenarios 2 and 3, respectively.

572 A sensitivity study is undertaken to understand the effects of six key parameters on water total cost
573 for different scenarios. It is identified that the electricity unit price plays the most important role in
574 determining the water total cost for all scenarios. For scenario 1, a higher electricity unit price leads
575 to a reduced water total cost. Because scenario 1, as algae-based desalination process, has the
576 lowest energy demand, at the same time, a large amount of algal biomass can be harvested to
577 generate electricity, which is not only enough to supply for the algae-based desalination process but
578 also generates additional revenues. On the contrary, for scenarios 2 and 3, a higher electricity unit
579 price results in a higher water total cost. To further understand the effects and limitations of the key
580 assumptions made in this study, an uncertainty analysis is also conducted. It is suggested that the
581 assumption of TDS removal efficiency of microalgae results in a high uncertainty of LCCA. Further
582 study should focus on the salt removal mechanisms and efficiency of microalgae, which could lead to
583 a more reliable result of TDS removal efficiency of microalgae.

584 As the membrane-based desalination process, scenarios 2 and 3 produce large amounts of brine,
585 which could have negative environmental impacts on the receiving environment. In addition, algae
586 have the ability to fixate the atmospheric CO₂, which contributes to reduce the global warming
587 impact. It is estimated that 1.58 megaton and 0.30 megaton CO₂ can be captured by the algae-based
588 desalination process for scenarios 1 and 2, respectively, over 20 years service period, which could
589 result in approximately AU \$18 million and AU \$3million indirect financial benefits for scenarios 1
590 and 2, respectively.

591 Based on the above considerations, it is suggested that the scenario 2 with hybrid desalination
592 system based on the combination of microalgae and LPRO is considered as the most economical and
593 environmentally friendly approach, when algal biomass reuse, CO₂ bio-fixation and land availability
594 are all taken into account. This will help us to design the future algae-based desalination system.

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