DOI: 10.1049/els2.12010



## Model predictive control for on-off charging of electrical vehicles in smart grids

Ye Shi <sup>1</sup>   Hoang D. Tuan <sup>2</sup>	Andrey V. Savkin <sup>3</sup>	H. Vincent Poor <sup>4</sup>
--	-------------------------------	------------------------------

<sup>1</sup>School of Information Science and Technology, Shanghaitech University, Shanghai, China

<sup>2</sup>School of Electrical and Data Engineering, University of Technology Sydney, Broadway, New South Wales, Australia

<sup>3</sup>School of Electrical Engineering and Telecommunications, The University of New South Wales, Sydney, New South Wales, Australia

<sup>4</sup>Department of Electrical Engineering, Princeton University, Princeton, New Jersey, USA

#### Correspondence

Ye Shi, School of Information Science and Technology, Shanghaitech University, Shanghai, 201210, China. Email: shiye@shanghaitech.edu.au

#### Funding information

Institute for Computational Science and Technology; Australian Research Council's Discovery Projects, Grant/Award Number: DP190102501; U.S. National Science Foundation, Grant/Award Numbers: DMS-1736417, ECCS-1824710

## 1 | INTRODUCTION

Significant breakthrough and innovation in battery and vehicle technology have driven an electric-vehicle (EV) boom over the past decade [1] to the extent that EVs are expected to account for 15–30% of new vehicles by 2030 [2]. There is no doubt that serving plug-in EVs (PEVs) is and will continue to be a critical function of tomorrow's smart grids to better leverage renewable energy, reduce power grid operation costs, and lower air pollution emissions [3, 4]. However, the growing market penetration of PEVs presents a potential threat to existing power grid systems [5–8], in that unregulated PEV charging may result in higher peak loads and voltage violations. PEV charging coordination has already proven to be helpful in reducing the cost of power generation and shifting peak loads in the grid [9–12]. However, only a few of the existing solutions are robust enough to cater to a massive number of PEVs.

#### Abstract

Over the next decade, a massive number of plug-in electric vehicles (PEVs) will need to be integrated into current power grids. This is likely to give rise to unmanageable fluctuations in power demand and unacceptable deviations in voltage. These negative impacts are difficult to mitigate because PEVs connect and disconnect from the grid randomly and each type of PEVs has different charging profiles. This paper presents a solution to these problems that involves coordination of power grid control and PEV charging. The proposed strategy minimises the overall costs of charging and power generation in meeting future increases in PEV charging demand and the operational constraints of the power grid. The solution is based on an on-off PEV charging strategy that is easy and convenient to implement online. The joint coordination problem is formulated by a mixed integer non-linear programming (MINP) with binary charging and continuous voltage variables and is solved by a highly novel computational algorithm. Its online implementation is based on a new model predictive control method that is free from prior assumptions about PEVs' arrival and charging information. Comprehensive simulations are provided to demonstrate the efficiency and practicality of the proposed methods.

> Moreover, the anticipated increases in PEV charging are enormous and must be balanced with the power grid's operational requirements.

> Recently, PEV charging/discharging coordination has attracted more attention from researchers [13–17]. For example, the authors in Ref. [13] investigated a unidirectional vehicle-to-grid (V2G) network with the aim of maximising aggregator profit given different charging conditions. Reference [14] explored bidirectional power flows with a voltage control on distribution grids for coordinating PEV charging and discharging. Another approach was to flatten the total demand curve with bidirectional energy flow by a decentralised algorithm [15]. Reference [16] also proposed a decentralised charging algorithm. This approach is based on a mean-field game framework and is designed to suit a large number of PEVs, but it does not consider the operational requirements of the power grid, such as the power equation balance, voltage

This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

© 2021 The Authors. IET Electrical Systems in Transportation published by John Wiley & Sons Ltd on behalf of The Institution of Engineering and Technology.

bounds, or line capacity. A new convex optimisation strategy considering battery voltage rise in PEV charging coordination was proposed in Ref. [17].

An on-off charging strategy for PEVs has renewed recent attention due to its simple control structure and efficient online implementation. Under this strategy, PEVs either charge at a fixed power in on-charging mode or do not charge at all in offcharging mode at each time slot [18-20]. Generally, the charging time slot varies from half an hour to one hour. Therefore, when PEVs are in the off-charging mode, they can be available for engaging other services. The authors in Ref. [18] studied the PEV on-off charging problem to minimise the overall costs of charging and power generation. By linearising the non-convex constraints of power flow equation, a mixed integer linear programing (MILP) was formulated for this problem. However, the compensation of modelling errors caused by the linearisation method was not analysed. Reference [19] developed a mixed integer non-linear programing (MINP) to address the PEVs' coordination by on-off charging strategy. The first-order Taylor expansion was used to linearise the MINP to a MILP. Such approximation may lead to infeasible results of the original MINP. A similar MILP considering V2G charging strategy was proposed in Ref. [13], where the negative impacts of PEVs integrated to power grid could be potentially suppressed by the bidirectional power source. All coordination methods in Refs. [13-15, 18-20] are off-line mode, where the information of PEVs' arriving time, departing time and initial state of charging (SoC) should be known as a prior. It is not practical to use off-line charging algorithm in these applications. Actually, PEVs are randomly connected to the grid as such that information is hardly known beforehand.

Model predictive control (MPC) is regarded as an effective tool for PEV charging in smart grid. Reference [21] proposed an MPC-based PEV charging model, where the requirements of grid operation were ignored. In addition, PEVs were assumed to be fully charged within a single time slot. However, such an assumption is not possible due to the limit of current battery technology. A MILP for energy storage optimisation over a rolling time horizon was investigated in Ref. [22], which ignored the grid operation constraints as well.

Our previous work in Ref. [23] developed a novel MPC to handle the PEV coordination problem, where the overall costs of charging and power generation was minimised in meeting the requirements of PEV charging and power grid operation. Its distinct practicability is that there are no assumptions on PEVs' charging profiles including arrival/departure time, charging demand and battery SoC. The charging strategy in Ref. [23] is analogue in the sense that at each time slot, PEVs can be charged by any value of power within their battery capacity range. As such, it needs a mechanism to control this charge value, which is not always practical. The present paper adopts the aforementioned on-off charging for PEVs to exploit its easy and efficient online implementation, which also facilitates easy coordination and planning for other activities. However, in contrast to the analogue charging strategy in Ref. [23], which requires online computation of a large-scale non-convex problem on the continuous voltage

and PEV charging variables, the on-off strategy requires online computation of a large-scale MINP on the continuous voltage variables and the binary PEV on-off charging decision variables. Thus, the bottleneck for implementing the onoff strategy is the online computation for the large-scale MINP, which is much more computationally difficult than the large-scale NP in Ref. [23]. To the authors' best knowledge, there are even no efficient off-line computations for largescale MINPs, which are the reason that all the previous works (see e.g. [18-20, 22, 24] and references therein) in different contexts must either linearise MINPs at the computation stage or utilise MILPs from the modelling stage to end up with MILPs with the aforementioned compromises. To accomplish the mission of PEV charging coordination with on-off charging strategy, novel techniques are developed to express computationally intractable binary constraints by computationally tractable continuous constraints and to measure the degree of satisfaction of the binary constraints. They are the principal ingredients in developing efficient algorithms for computational solution of this large-scale MINP. The main contributions of this paper are as follows:

- A joint coordination solution to cater for massive PEV charging demand and comprehensive power grid control to stabilise fluctuating power demands that reduces total overall system costs.
- An on-off strategy for PEV charging that is simple and convenient to implement online, which is crucial from a practical viewpoint. The strategy is based on a new MPC method and modelled with a novel large-scale MINP. Notably, this method does not require any prior information about the PEVs' arrival and charging information.
- A novel MINP solver to compute the joint coordination problem. The MINP model is large-scale, highly challenging computationally, and has no known solution. Hence, the solver is a significant advancement in computation.
- Comprehensive simulations and analyses involving realistic charging scenarios with a range of PEV types that demonstrate the computational efficiency and practicality of the proposed methods.

Herein, the formulation of joint coordination of on-off PEV charging and power grid operation based on MINP-MPC is presented in Section 2, where its computational challenges are also analysed. The main technical contribution of the paper is in Section 3, where an efficient MINP solver is proposed. Section 4 considers the computation of a lower bound of this MINP. Numerical results and discussion are provided in Section 5, verifying the ability of the developed solver for seeking an optimal solution. The conclusion is presented in Section 6.

Notation. The imaginary unit is denoted by j, the Hermitian transpose of a vector/matrix A is denoted by  $A^{H}$ .  $A \ge 0$  denote that A is positive semi-definite. The rank and trace of a matrix A is given by rank(A) and Tr(A), respectively.  $\Re(\cdot)$  and  $\Im(\cdot)$ , respectively represent the real and imaginary parts of a complex value.  $|\mathcal{N}|$  denotes the cardinality of set  $\mathcal{N}$ .

## 2 | JOINT COORDINATION OF ON-OFF PEV CHARGING AND POWER GRID OPERATION

A PEV coordination problem is studied, where the overall costs of charging and power generation was minimised in meeting the requirements of PEV charging and power grid operation. This section proposes an MPC for on–off PEV charging in a smart grid system and also analyses its principal computational challenges. This model is designed from the persecutive of the social organiser and distribution network operator. A general structure of smart grid is shown in Figure 1.

Consider a power network with N nodes with  $\mathcal{N} \triangleq \{1, 2, ..., N\}$  denoted as the set of nodes, which are connected by flow lines  $\mathcal{L} \subseteq \mathcal{N} \times \mathcal{N}$ . Let  $\mathcal{N}(k)$  denote the set of incident nodes of node k. Suppose node  $k \in \mathcal{G}$  as a generator node and node  $m \notin \mathcal{G} \subseteq \mathcal{N}$  as a load node. If a node is to serve PEVs, then it is referred to a charging station (CS). The set of CSs is denoted by  $\mathcal{C}$ .

A total of T time slots are assigned for the serving period that is  $\mathcal{T} \triangleq \{1, ..., T\}$ . Each time slot is half an hour. Price-inelastic load varies according to the profile of residential power demand during the serving period.

In the power network,  $y_{km} \in \mathbb{C}$  is denoted as the admittance of line (k, m),  $V_k(t')$  represents the voltage of node k at time slot t' while

$$W(t') \triangleq W_{k,m}(t')]_{(k,m) \in \mathcal{N} \times \mathcal{N}}$$
$$= V_k(t')V_m^*(t')]_{(k,m) \in \mathcal{N} \times \mathcal{N}} \in \mathbb{C}^{N \times N}$$

is a Hermitian symmetric matrix variable, whose entries are to replace the voltage operation  $V_k(t')V_m^*(t')$ . Denote the active and reactive base demands as  $P_{l_k}(t')$  and  $Q_{l_k}(t')$ , respectively; and  $P_{g_k}(t')$  and  $Q_{g_k}(t')$  respectively as the real and reactive power generation by node  $k \in \mathcal{G}$ . For  $t' \in \mathcal{T}$ , the following constraints about power generation, voltage and phase balance, and line capacity are standard:

$$\underline{P}_{g_{t}} \leq P_{g_{t}}(t') \leq \overline{P}_{g_{t}}, \ k \in \mathcal{G}, \tag{1}$$

$$\underline{Q}_{g_k} \le Q_{g_k}(t') \le \bar{Q}_{g_k}, \ k \in \mathcal{G},$$
(2)

$$\underline{V}_{k}^{2} \leq W_{kk}(t') \leq \bar{V}_{k}^{2}, \ k \in \mathcal{N},$$
(3)

 $\Im(W_{km}(t')) \le \Re(W_{km}(t')) \tan(\theta_{km}^{max}), (k, m) \in \mathcal{L},$ 

$$|(W_{kk}(t') - W_{km}(t'))y_{km}^*| \le S_{km}, (k,m) \in \mathcal{L},$$
(5)



FIGURE 1 General structure of smart grid

where  $\underline{P}_{g_k}$ ,  $\underline{Q}_{g_k}$  and  $\overline{P}_{g_k}$ ,  $\overline{Q}_{g_k}$  are the limits of the real and reactive power generation, respectively;  $\underline{V}_k$  and  $\overline{V}_k$  are the limits of the voltage magnitude, and  $\theta_{k,m}^{\max}$  is given for voltage phase balance, while  $S_{km}$  is the upper bound of capacity for line (k, m).

Denote by  $\mathcal{H}_k$  the set of PEVs arriving at charging station k. Let  $t_{a,k_n}$  and  $t_{k_n,d}$  be the arriving and departing time of a PEV  $k_n$ , it should be fully charged before departing. Suppose  $C_{k_n}$  be the battery capacity and  $s_{k_n}^0$  be the initial state of the battery. The charging power during each time slot of each battery is denoted by  $\overline{P}_{k_n}$ . Unlike Ref. [23], which allows each PEV to charge a power

$$0 \le P_{k_n}(t') \le P_{k_n},$$

the on-off charging strategy is proposed as follows. At each charging slot t', PEV  $k_n$  charges either with the fixed power  $(P_{k_n}(t') = \overline{P}_{k_n})$  or zero power  $(P_{k_n}(t') = 0)$ . Figure 2 plots the on-off control for PEV charging in a serving period  $\mathcal{T} \triangleq \{1, 2, ..., 8\}$ . Obviously, a PEV can be available for engaging other services when it is in off-charging-mode.

The following binary variables

$$\tau_{k_n}(t') \in \{0, 1\} \tag{6}$$

are introduced for the on-off charging mode. At each time slot t', the charging power of PEV  $k_n$  is  $P_{k_n}(t') = \tau_{k_n}(t')\overline{P}_{k_n}$ . To make PEV  $k_n$  fully charged at its departure, the following constraint on binary variables  $\tau_{k_n}(t')$  must be satisfied:

$$\sum_{t'=t_{k_{n,d}}}^{t_{k_{n,d}}} u_b \bar{P}_{k_n} \tau_{k_n}(t') \ge C_{k_n}(1-s_{k_n}^0).$$
(7)



FIGURE 2 On-off control for PEV charging in a serving period

Here  $u_b$  denotes the efficiency of the charging. Given

$$\bar{\tau}_{k_n} = \left\lceil C_{k_n} (1 - s_{k_n}^0) \middle/ u_b \bar{P}_{k_n} \right\rceil,$$

(7) is equivalent to the linear equality constraint on the binary variables  $\tau_{k_n}(t')$ :

$$\sum_{t'=t_{k_{n,d}}}^{t_{k_{n,d}}} \tau_{k_n}(t') = \bar{\tau}_{k_n}.$$
(8)

For any  $t' \notin t_{k_n,a}, t_{k_n,b}$ ,  $\tau_{k_n}(t') = 0$ , and for any  $k \notin \mathcal{G}$ ,  $P_{g_k}(t') \equiv 0$  and  $Q_{g_k}(t') \equiv 0$ . The supply energy at node k is defined as

$$E_k(W(t')) \triangleq \sum_{m \in \mathcal{N}(k)} y_{km}^*(W_{kk}(t') - W_{km}(t')),$$

which is obviously a linear function of  $W_{k,m}(t') = V_k(t')V_m^*(t')$  though it is seen as a non-linear function of the complex voltage variables  $V_k$ . For  $\tau_k(t') = \{\tau_{k_n}(t')\}_{n \in \mathcal{H}_k}$ , the demand energy at  $k \in \mathcal{C}$  is defined as,

$$\begin{split} D_{k}(\tau_{k}(t')) &= \left[ P_{g_{k}}(t') - P_{l_{k}}(t') - \sum_{t \in \mathcal{H}_{k}} \bar{P}_{k_{n}} \tau_{k_{n}}(t') \right] + j \left[ Q_{g_{k}}(t') - Q_{l_{k}}(t') \right], \end{split}$$

leading to the following constraint

r

$$E_k(W(t')) = D_k(\tau_k(t'), \ k \in \mathcal{C}, \tag{9}$$

which is a mixed integer non-linear constraint on the binary variables  $\tau_{k_n}(t')$  and continuous complex voltage variables

 $V_k(t')$ . On the other hand, the demand energy at  $k \notin C$  is obviously defined as

$$D_{k}(t) \triangleq P_{g_{k}}(t') - P_{l_{k}}(t')] + jQ_{g_{k}}(t') - Q_{l_{k}}(t')],$$

leading to the following constraint

$$E_k(W(t')) = D_k(t), k \notin \mathcal{C}, \tag{10}$$

which is a non-linear constraint on the continuous complex voltage variables  $V_k(t')$ .

By defining the continuous quantities

$$\mathcal{W} \triangleq \{W(t')\},\$$

$$P_{g}(t') = \{P_{g_{k}}(t')\}_{k \in \mathcal{G}}, \ Q_{g}(t') = \{Q_{g_{k}}(t')\}_{k \in \mathcal{G}},\$$

$$R = \{P_{g}(t'), Q_{g}(t')\}_{t' \in \mathcal{T}},\$$

and the binary quantities

$$\tau(t') = \{\tau_k(t')\}_{k \in \mathcal{C}}, \ \tau = \{\tau(t')\}_{t' \in \mathcal{T}},$$

the multi-objective function is defined as

$$\mathcal{F}(\mathcal{R},\tau) = \sum_{(t',k)\in\mathcal{T}\times\mathcal{G}} f(P_{g_k}(t')) + \sum_{n\in\mathcal{H}_k} \beta_{t'}\tau_{k_n}(t')\bar{P}_{k_n}] + \gamma \sum_{t\in\mathcal{T}} (\sum_{k\in\mathcal{N}} P_{l_k}(t') + \sum_{k\in\mathcal{C}n\in\mathcal{H}_k} \bar{P}_{k_n}\tau_{k_n}(t') - \bar{P}_{avg})^2, \quad (11)$$

where  $f(P_{g_k}(t'))$  represents power generation cost,  $\beta_{t'}$  is the given PEVs' charging price, and  $\bar{P}_{avg}$  is the averaged power demand over the serving period, which is estimated based on historical data. The first term of (11) is the summation of power generation cost and PEVs' charging cost, while the second term is used to flatten out the total power demand curve in stabilising the grid operations.  $\gamma > 0$  is the weighting factor to trade-off the two conflicting objectives. The PEVs' charging price  $\beta_{t'}$  used herein is the electricity price as PEVs are charged in residential areas. The PEVs' charging cost is customers' cost while the power generation cost is power stations' cost. The power generation cost  $f(P_{g_k}(t'))$  is usually defined as a linear or quadratic function on the generated reactive power  $P_{g_k}(t')$ . The parameters of the linear or quadratic do not rely on the electricity price.

Over the time horizon [1, T], the joint coordination of PEV charging problem to optimise the total costs of power generation and PEV charging while considering power demand stabilising can be formulated as follows:

$$\min_{W,\mathcal{R},\tau^{PEV}} \mathcal{F}(\mathcal{R},\tau^{PEV})$$
(12*a*)

s.t. 
$$(1) - (5), (6), (8) - (10),$$
 (12b)

$$W(t') \ge 0, \quad \operatorname{rank}(W(t')) = 1, \tag{12c}$$

where the constraint (12c) is needed for legalising the nonlinear variable changes  $W_{km}(t') = V_k(t')V_m(t')$ . Note that the conventional MPC [25, 26] is not applicable as all equations in (12) are not given beforehand. Following Ref. [27], optimisation problem (12) is addressed by proposing the online predictive model at each time slot t' as follows.

Let C(t) denote the set of arrived PEVs, which are required to be charged by t. Suppose  $d_{k_n}(t)$  is the remaining charging demand of PEV  $k_n \in C(t)$  by its departure time  $t_{k_n,d}$ . Hence, the following constraints must be imposed:

$$\sum_{t'=t}^{t_{k_n,d}} u_b \bar{P}_{k_n} \tau_{k_n}(t') \ge d_{k_n}(t), k_n \in C(t),$$
(13)

where

$$\tau_{k_n}(t') \in \{0, 1\}, t' \in t, t_{k_n, d}], k_n \in C(t).$$
(14)

Given

$$\bar{\tau}_{k_n}(t) \triangleq \left[ d_{k_n}(t) / u_b \bar{P}_{k_n} \right],$$

constraint (13) can be equivalent to

$$\sum_{t'=t}^{t_{k_n,b}} \tau_{k_n}(t') = \bar{\tau}_{k_n}(t), \ k_n \in C(t).$$
(15)

Define  $\Psi(t) = \max_{k_n \in C(t)} t_{k_n,d}$ , the prediction variable

$$\mathcal{W}_P(t) \triangleq \{W(t')\}_{t' \in t, \Psi(t)]},$$
$$\mathcal{R}_P(t) \triangleq \{P_g(t'), Q_g(t')\}_{t' \in t, \Psi(t)]},$$
$$\tau_P(t) = \{\tau_{k_n}(t')\}_{k_n \in C(t), t' \in t, t_{k_n,d}]},$$

and the prediction objective

$$F_{P}(\mathcal{R}_{P}(t),\tau_{P}(t)) \triangleq$$

$$\sum_{t'=t}^{\Psi(t)} \left( \sum_{k \in \mathcal{G}} f(P_{g_{k}}(t')) + \sum_{k_{n} \in C(t)} \beta_{t}\tau_{k_{n}}(t')\bar{P}_{k_{n}} \right)$$

$$+\gamma \sum_{t'=t}^{\Psi(t)} \left( \sum_{k \in N} P_{l_{k}}(t') \sum_{k \in \mathcal{C}} \sum_{n \in \mathcal{H}_{k}} \bar{P}_{k_{n}}\tau_{k_{n}}(t') - \bar{P}_{avg} \right)^{2}.$$

The following MPC is solved over  $[t, \Psi(t)]$  at each time *t*. Only R(t), V(t) and  $\tau(t)$  are employed to update the solution of (12):

$$\min_{\mathcal{W}_P(t),\mathcal{R}_P(t),\tau_P(t)} F_P(\mathcal{R}_P(t),\tau_P(t))$$
s.t. (14), (15), (16a)

$$(1) - (5), (9) - (10)$$
 for  $t' \in t, \Psi(t)$ ], (16b)

$$\operatorname{rank}(W(t')) = 1, \quad \text{for } t' \in t, \Psi(t)]. \tag{16d}$$

The difficulty of (16) is focused on the rank-one constraints (16d) and binary constraints (14). To cope with these difficulties, an efficient computational procedure exploiting only the solution of (16) at time slot t for updating online solution of (12) is proposed in the next section.

## 3 | TWO-STAGE OPTIMISATION-BASED SOLVER FOR MINP

During the computational procedure, only the solution of MINP (16) at time slot t is required for online updating. Thus, it is not necessary to handle the multiple non-convex matrix rank-one constraints (16d) for all  $t' \in [t, \Psi(t)]$ . In the following, we propose a two-stage optimisation scheme to tackle the computation of (16).

At the first stage, the rank-one constraints in (16d) is dropped and the optimisation (16) is relaxed to,

$$\min_{\mathcal{W}_P(t), \mathcal{R}_P(t), \tau_P(t)} F_P(\mathcal{R}_P(t), \tau_P(t)) \quad \text{s.t.} \ (16a) - (16c). \tag{17}$$

If  $\forall t' \in [t, \Psi(t)]$ , rank $(\widehat{W}(t')) \equiv 1$ , then  $\widehat{V}(t')$ ,  $\widehat{R}(t')$  and  $\widehat{\tau}_{k_n}(t')$  are the solution of optimisation (16). Otherwise, we go to the second stage and consider the following optimisation by substituting  $\widehat{\tau}_{k_n}(t)$  into (16b):

$$\min_{W'(t),\mathcal{R}(t)} F(P_g(t)) \triangleq \sum_{t'=t}^{\Psi(t)} \sum_{k \in \mathcal{G}} f(P_{g_k}(t'))$$
(18a)

s.t. 
$$(1) - (5), (9) - (10)$$
 for  $t' = t & \tau_{k_n}(t) = \hat{\tau}_{k_n}(t),$  (18b)

$$W(t) \ge 0, \operatorname{rank}(W(t)) = 1.$$
(18c)

This non-linear optimisation problem, which involves only one rank-one constraint at t' = t in (18c), can be efficiently computed by our previously developed non-smooth optimisation algorithm [27–29]. To make the paper self-contained, this optimisation algorithm will be recalled in Section 3.2. The next subsection is devoted to the computation for MINP (17).

## 3.1 | Stage I: computational solution for MINP problem (17)

The key issue for solving MINP problem (17) is to cope with the binary constraint (14) in the optimisation problem (17). Our previous works [30-32] have shown that the exactly penalised optimisation, which simultaneously minimises the objective function and maximises the degree of satisfaction of the binary constraints, is appropriate for addressing the MINP (17). The computational efficiency and tractability of the exactly penalised optimisation are critically dependent on the function used to measure the degree of satisfaction of the binary constraints. Therefore, we develop a novel function to measure the degree of satisfaction of the binary constraints. Based on this function, a new path-following computational procedure which iteratively improves solution for the corresponding exactly penalised optimisation problem, is proposed as follows.

First, the equivalence of the binary constraint (14) is established with continuous constraints by the following lemma:

Lemma 1 The binary constraint (14) can be fulfiled with the following continuous constraints with linear constraint (15),

$$0 \le \tau_{k_n}(t') \le 1, t' \in t, t_{k_n,d}], k_n \in C(t),$$
(19)

$$g(\tau_P(t)) \geq \bar{\tau}(t)$$

$$\triangleq \sum_{k_n \in C(t)} \bar{\tau}_{k_n}(t), \qquad (20)$$

for L > 1 and  $g(\tau_P(t)) \triangleq \sum_{k_n \in C(t)} \sum_{t'=t}^{t_{k_n,d}} \tau_k^L(t')$ . Proof. Note that

()

$$\tau_{k_n}^L(t') \le \tau_{k_n}(t'), \ \forall \ \tau_{k_n}(t') \in [0,1],$$

so

$$g(\tau_P(t)) \le \sum_{k_n \in C(t)} \sum_{t'=t}^{t_{k_n,d}} \tau_{k_n}(t') = \bar{\tau}(t)$$

Hence,  $g(\tau_P(t)) = \overline{\tau}(t)$  is fulfiled by constraint (20) given  $\tau_{k}^{L}(t') = \tau_{k_{n}}(t')$ , that is  $\tau_{k_{n}}(t') \in \{0, 1\}$ , implying (14).

Constraint (20) is called reverse convex as  $g(\tau_P(t))$  is convex in  $\tau_P(t)$  [33]. With the decrease of L,  $g(\tau_P(t))$  approaches the linear function

$$\sum_{k_n \in C(t)} \sum_{t'=t}^{t_{k_n,b}} \tau_{k_n}(t'),$$

and the constraint (20) approaches the linear constraint

$$\sum_{k_n \in C(t)} \sum_{t'=t}^{t_{k_n,b}} \tau_{k_n}(t') \ge \bar{\tau}(t).$$

Nevertheless, choosing L close to 1 may not work as  $g(\tau_P(t)) - \bar{\tau}(t)$  will approach zero very fast with such setting. To the authors' best knowledge, Lemma 1 is new. A particular result for L = 2 was obtained in our previous works [30, 31].

Thanks to Lemma 1, L = 1.5 is set for Algorithm 1 to accelerate its convergence speed.

With Lemma 1 one can easily have the following direct consequence:

**Proposition** 1 Under the linear constraint (15), the function

$$g_1(\tau_P(t)) \triangleq \frac{1}{g(\tau_P(t))} - \frac{1}{\overline{\tau}(t)}$$
(21)

is a measure to evaluate the satisfaction of binary constraint (14) with  $g_1(\tau_P(t)) \ge 0 \forall \tau_{k_n}(t') \in 0, 1$  and  $g_1(\tau_P(t)) = 0$  if and only if  $\tau_{k_n}(t')$  are binary (i.e. satisfying (14)).

With the incorporation of the degree of satisfaction function  $g_1$  into the objective of (17), the following penalised optimisation problem is obtained:

$$\min_{\mathcal{W}_{P}(t),\mathcal{R}_{P}(t),\tau_{P}(t)} \Phi(\mathcal{R}_{P}(t),\tau_{P}(t)) \triangleq F_{P}(\mathcal{R}_{P}(t),\tau_{P}(t)) \\
+\mu g_{1}(\tau_{P}(t)) \\
\text{s.t.} (15), (16b), (16c), (19),$$
(22)

where  $\mu > 0$  is a penalty parameter. With a sufficiently large  $\mu$ , this penalised optimisation problem is exact for (17). The solution of (22) is also an solution for (17) and thus satisfies  $g_1(\tau_P(t)) = 0$  [34]. To our best knowledge, using the function  $g_1$ defined by (21) as a measurement to evaluate the satisfaction of bilinear constraint (14) instead of the conventional class  $\bar{\tau}(t) - g(\tau_P(t))$  is quite new as well.

A path-following algorithm is developed to efficiently solve optimisation (22). First, a lower bound approximation for g  $(\tau_P(t))$  is derived. Since  $g(\tau_P(t))$  is a convex function, it is clear that at  $\tau_P^{(\kappa)}(t)$  [33],

$$g(\tau_{P}(t)) \geq g^{(\kappa)}(\tau_{P}(t)) \\ \triangleq g(\tau_{P}^{(\kappa)}(t)) + \langle \nabla g(\tau_{P}^{(\kappa)}(t)), \tau_{P}(t) - \tau_{P}^{(\kappa)}(t) \rangle \\ = -(L-1) \sum_{k_{n} \in C(t)} \sum_{t'=t}^{t_{k_{n},d}} (\tau_{k_{n}}^{(\kappa)}(t'))^{L} \\ + L \sum_{k_{n} \in C(t)} \sum_{t'=t}^{t_{k_{n},d}} (\tau_{k_{n}}^{(\kappa)}(t'))^{L-1} \tau_{k_{n}}(t').$$
(23)

Hence, an approximation of the upper bounding for  $g_1(\tau_P(t))$  at the variable  $\tau_P^{(\kappa)}(t)$  can be easily obtained as

$$g_{1}(\tau_{P}(t)) \leq g_{1}^{(\kappa)}(\tau_{P}(t))$$

$$\triangleq \frac{1}{g^{(\kappa)}(\tau_{P}(t))} - \frac{1}{\overline{\tau}(t)}$$
(24)

over the trust region

$$g^{(\kappa)}(\tau_P(t)) > 0. \tag{25}$$

Then, the following convex problem is solved at the  $\kappa$ -th iteration to obtain the next iterative point  $(\mathcal{W}_p^{(\kappa+1)}(t), \mathcal{R}_p^{(\kappa+1)}(t))$ :

$$\min_{\mathcal{W}_{P}(t),\mathcal{R}_{P}(t),\tau_{P}(t)} \Phi^{(\kappa)}(\mathcal{R}_{P}(t),\tau_{P}(t)) \triangleq F_{P}(\mathcal{R}_{P}(t),\tau_{P}(t)) + \mu g_{1}^{(\kappa)}(\tau_{P}(t))$$
(26)  
s.t. (1) - (5) for  $t' \in t, \Psi(t)$ ],  
(15), (16b), (16c), (19), (25).

Note that

$$\Phi(\mathcal{R}_P(t), \tau_P(t)) \le \Phi^{(\kappa)}(\mathcal{R}_P(t), \tau_P(t))$$

and

$$\Phi(\mathcal{R}_P^{(\kappa)}(t),\tau_P^{(\kappa)}(t)) = \Phi^{(\kappa)}(\mathcal{R}_P^{(\kappa)}(t),\tau_P^{(\kappa)}(t)).$$

Moreover,

$$\Phi(\mathcal{R}_{P}^{(\kappa+1)}(t),\tau_{P}^{(\kappa+1)}(t)) < \Phi^{(\kappa)}(\mathcal{R}_{P}^{(\kappa)}(t),\tau_{P}^{(\kappa)}(t))$$

whenever  $\tau_p^{(\kappa+1)}(t) \neq \tau_p^{(\kappa)}(t)$  because  $\tau_p^{(\kappa+1)}(t)$  and  $\tau_p^{(\kappa)}(t)$  are the optimal solution and a feasible point for (26), respectively. Thus,

#### Algorithm 1 MINP Solver

k

Set  $\kappa = 0$ , choose a feasible  $\tau_P^{(0)}(t)$  for the following optimization problem:

$$\min_{\mathcal{W}_{P}(t), \mathcal{R}_{P}(t), \tau_{P}(t)} F_{P}(\mathcal{R}_{P}(t), \tau_{P}(t))$$
s.t. (15), (16b), (16c), (19). (27)

 $\kappa$ -th iteration. Solve the optimization problem (26), **if** 

$$\sum_{n \in C(t)} \sum_{t'=t}^{t_{k_n,d}} \left( \tau_{k_n}^{(\kappa+1)}(t') - \left( \tau_{k_n}^{(\kappa+1)}(t') \right)^L \right) \approx 0,$$

then accept  $\tau_P^{(\kappa+1)}(t)$  as the found solution. else  $\kappa = \kappa + 1$ , go to the next iteration. end if

$$\begin{split} \Phi(\mathcal{R}_{p}^{(\kappa+1)}(t),\tau_{p}^{(\kappa+1)}(t)) &\leq & \Phi^{(\kappa)}(\mathcal{R}_{p}^{(\kappa+1)}(t),\tau_{p}^{(\kappa+1)}(t)) \\ &< & \Phi^{(\kappa)}(\mathcal{R}_{p}^{(\kappa)}(t),\tau_{p}^{(\kappa)}) \\ &= & F(\mathcal{R}_{p}^{(\kappa)}(t),\tau_{p}^{(\kappa)}(t)). \end{split}$$

This iterative procedure is summarised by a pseudo-code in Algorithm 1.

# 3.2 | Stage II: computational procedure for (18)

The main difficulty of optimisation problem (18) concentrates on the non-convex matrix rank-one constraint rank(W(t)) = 1, which can be handled by a non-smooth optimisation method proposed in our previous work [27–29]. To make the paper self-contained, this method is recalled here.

Under the semi-definite condition  $W(t) \ge 0$ .

$$\operatorname{Tr}(W(t)) - \lambda_{\max}(W(t)) \ge 0,$$

can be obtained directly, where  $\lambda_{\max}(W(t))$  is the maximal eigenvalue of the matrix W(t). Thus,  $\operatorname{Tr}(W(t)) - \lambda_{\max}(W(t))$  can be used to measure the satisfaction of the matrix rank-one constraint

$$\operatorname{rank}(W(t)) = 1.$$

We incorporate this term into the objective function and obtain the following exactly penalised optimisation for  $\nu > 0$ .

$$\min_{W(t),R(t)} F(P_g(t)) + \nu(\operatorname{Tr}(W(t)) - \lambda_{\max}(W(t))), \quad (28a)$$

s.t. 
$$(18b), W(t) \ge 0.$$
 (28b)

It is clear that.

$$\mathcal{A}_{\max}(W^{(\kappa+1)}(t)) \ge w_{\max}^{(\kappa+1)}(t))^H W(t) w_{\max}^{(\kappa+1)}(t),$$

where  $w_{\max}^{(\kappa+1)}(t)$  is the normalized eigenvector of the largest eigenvalue  $\lambda_{\max}(W^{(\kappa+1)}(t))$  at time slot *t*. The optimisation (28) can be solved iteratively by the following convex problem:

Algorithm 2 Nonsmooth optimization algorithm for (18)	
---	--

Set  $\kappa = 0$ , choose a moderate  $\nu$  and a feasible point  $W^{(0)}(t)$  for (28).

 $\kappa$ -th iteration. Solve

$$\min_{W(t),R(t)} F(P_g(t)) + \nu(\operatorname{Trace}(W(t))) \\
- (w_{\max}^{(\kappa)}(t))^H W(t) w_{\max}^{(\kappa)}(t)) \quad \text{s.t.} \quad (28b), \quad (30)$$

where  $\lambda_{\max}(W^{(\kappa)}(t))$  is the maximal eigenvalue of  $W^{(\kappa)}(t)$ ,  $w_{\max}^{(\kappa)}(t)$  is the normalized eigenvector  $\lambda_{\max}(W^{(\kappa)}(t))$ . if

$$\operatorname{Trace}(W^{(\kappa+1)}(t)) - (w_{\max}^{(\kappa+1)}(t))^H W^{(\kappa+1)}(t) w_{\max}^{(\kappa+1)}(t) \le \epsilon,$$

then accept  $W^{(\kappa+1)}(t)$  as a found solution. else  $\kappa = \kappa + 1$ , go to the next iteration. end if

$$\min_{\substack{W(t),R(t)}} F(P_g(t)) + \lambda(\operatorname{Tr}(W(t))) 
- (w_{\max}^{(\kappa+1)}(t))^H W(t) w_{\max}^{(\kappa+1)}(t) \quad \text{s.t.} \quad (28b),$$
(30)

Note that the maximal eigenvalue  $\lambda_{\max}(W^{(\kappa+1)}(t))$  is lower bounded by.

 $w_{\max}^{(\kappa+1)}(t)$ <sup>H</sup> $W(t)w_{\max}^{(\kappa+1)}(t)$ . Therefore,  $W^{(\kappa+1)}(t)$  is a better feasible point of (18) than  $W^{(\kappa)}(t)$ . Algorithm 2 provides the pseudo-code for solving the problem (18).

## 4 | LOWER BOUNDING BY OFF-LINE COMPUTATION

To investigate the optimal performance of the MPC-based online computation in the previous section, we examine its off-line counterpart in this section, which requires the information of all PEVs including the arriving and departing time, initial SoC of the battery and future charging demand to be known beforehand. Of course, such off-line computation cannot be implemented in practice but it gives a lower bound for the practically implemented online computation.

Similarly, the off-line computation for (12) is of two following optimisation stages.

Stage I. The rank-one constraints in (12c) are dropped as thus (12) is relaxed to the following problem:

$$\min_{\mathcal{W},\mathcal{R},\tau} \mathcal{F}(\mathcal{R},\tau) \quad \text{s.t.} \ (1) - (5), (6), (8) - (10),$$
$$W(t') \ge 0, \quad \text{for} \quad t' \in \mathcal{T}.$$
(31)

To solve the problem (31), the problem (26) in Algorithm 1 is replaced by the following problem:

$$\min_{\mathcal{W},\mathcal{R},\tau} F(\mathcal{R},\tau) + \mu(\frac{1}{g^{(\kappa)}(\tau)} - \frac{1}{\bar{\tau}})$$
(32*a*)

s.t. 
$$(1) - (5)$$
,  $(32b)$ 

$$(8) - (10), W(t') \ge 0, \ \tau_{k_n}(t') \in 0, 1], \quad \text{for } t' \in \mathcal{T}, \quad (32c)$$

$$L\tau_{k_n}(t') \ge (L-1)\tau_{k_n}^{(\kappa)}(t'), \quad \text{for } k \in \mathcal{C}, \tag{32d}$$

where

$$g^{(\kappa)}(\tau) \triangleq \sum_{k \in \mathcal{G}k_n \in \mathcal{H}_k} \sum_{t'=t_{k_n,a}}^{t_{k_n,d}} \left( L\tau_{k_n}^{(\kappa)}(t') \right)^{L-1} \tau_{k_n}(t') \\ -(L-1)\tau_{k_n}^{(\kappa)}(t') \Big),$$

and

$$\bar{\tau} \triangleq \sum_{k \in \mathcal{G}k_n \in \mathcal{H}_k} \bar{\tau}_{k_n}.$$

Stage II. Let  $\widehat{W}$ ,  $\widehat{\mathcal{R}}$  and  $\widehat{\tau}$  denote the obtained solution of MINP (31). If rank $(\widehat{W}(t)) \equiv 1$  is fulfiled,  $t \in \mathcal{T}$  then  $\widehat{\mathcal{R}}$  and  $\widehat{\mathcal{V}}$  is accepted as the solution of (12). Otherwise, for those  $t \in \mathcal{T}$  with rank $(\widehat{W}(t)) > 1$  one can substitute  $\widehat{\tau}(t)$  to have (18) and use Algorithm 2 for its computation.

## 5 | SIMULATION RESULTS

### 5.1 | Setup

Sedumi [35] solver in the framework of CVX [36] is applied to solve the convex optimisation problems (26), (30) and (32) with a Core i7-7600U CPU. The tested grid is a balanced network modified from IEEE 123 test feeder with the nominal voltage of 4.16 kV. Its details and data of system structure, physical limits and cost functions can be found in Ref. [37]. There are three distributed generators, which are respectively connected with node 16, 36, 56. Ten charging stations have been randomly placed on node 4, 7, 11, 17, 21, 28, 33, 40, 44 and 51. The charging period is set from 6 PM to 6 AM, divided into 24 30-min time slots. A truncated normal distribution  $(8, 1.5^2)$  is adopted to input the PEVs' arrival times independently. There are three types of PEVs: normal PEVs, which are required to be fully charged by 6 AM, median PEVs, which should be fully charged within six hours after their arrivals, and urgent PEVs, which must be charged immediately when connected to the grid. The number of normal PEVs, median PEVs and urgent PEVs for each CS are randomly generated using the following uniform distributions: U [10, 15], U [3, 6] and U [1, 3], respectively. The randomly generated numbers of PEVs served at each CS are given in Table 1. The total number of three types of PEVs are 137, 39 and 23, respectively. According to the charging urgency, the energy price for normal PEVs, median PEVs and urgent PEVs are, respectively, defined as  $\beta_t$ ,  $1.5\beta_t$  and  $2\beta_t$ , where the energy price  $\beta_t$  of on 17–20 May 2017 from 6 PM to 6 AM are given in Figure 3.

The power capacity of the PEV battery is  $C_{k_n} = 50$  kWh. The initial SoC is set as 20%. The fixed charging power  $u_b \bar{P}_{k_n}$  is set as 5 kWh to make that each PEV required eight time slots to be fully charged. Since there are more than 12!/8!4! = 990 feasible on–off charging selection for every PEV, the MINP (12) is not possible to be solved by any exhaustive search strategies.

The price-inelastic demand  $P_{l_k}(t)$  is defined according to Ref. [38] as

$$P_{l_k}(t) = \frac{l(t)}{\sum_{l=1}^{24} l(t)} \times \bar{P}_{l_k} T, \quad t \in \mathcal{T},$$

where  $\bar{P}_{l_k}T$  with the load demand  $\bar{P}_{l_k}$  indicates the total price-inelastic demand during the serving time period, while  $\frac{l(t)}{\sum_{l=1}^{24} l(t)}$  with the residential load demand l(t) at t indicates the proportion of price-inelastic demand at each time t. In our

 TABLE 1
 PEV number in each charging station during the charging period

CS #	4	7	11	17	21	28	33	40	44	51
Normal	14	12	14	14	15	12	13	15	13	15
Median	3	4	3	3	3	6	4	6	4	3
Urgent	3	2	2	3	3	2	3	1	1	3



**FIGURE 3** Energy price for four profiles



FIGURE 4 Residential load demand for four profiles

simulation, the data for  $\bar{P}_{l_k}$  is taken from [37], while the data for l(t) is taken from Ref. [39]. The residential load demand l (t) on 17–20 May 2017 from 6 PM to 6 AM are plotted in Figure 4.

The weighting factor  $\gamma = 10^3$  is set.  $\epsilon = 10^{-3}$  is used as the criteria to stop the proposed algorithm.

## 5.2 | Performance of the algorithms

The numerical results are summarised in Table 2. The effectiveness of online computation based on (18) is confirmed by observing that the cost of the power generation and PEV charging is almost the same to its counterpart computed by the off-line computation based on (12). The average CPU time of Algorithm 1 is provided in the sixth column of Table 2. Furthermore, Figure 5 indicates that Algorithm 1 for all profiles converge rapidly within several iterations. The average CPU time of Algorithm 2 for the four profiles is all within 1 min. It is worth noting that Algorithm 1 is developed to handle the challenging MINP problem (17) with large-scale binary charging variables and continuous voltage variables. To the best of our knowledge, no benchmarking methods can be used to efficiently handle this problem.

The total power demand, the real price-inelastic demand, and the charging demand under Profile one are plotted in Figure 6, while the three types of PEV charging demand under Profile 1 are shown in Figure 7. It can be seen the price-inelastic demand reaches a peak value at 6 PM but then decreases continuously till midnight and remains low values from 0 to 6 AM. The total power demand  $P_{tot}(t)$  in real-time, which constitutes of the real price-inelastic demand and total charging demand in real time, is stable during the serving time period. The fluctuation rate of total power demand defined by

$$\max\{\frac{\max_{t\in\mathcal{T}}P_{tot}(t)-\bar{P}_{avg}}{\bar{P}_{avg}},\frac{\bar{P}_{avg}-\min_{t\in\mathcal{T}}P_{tot}(t)}{\bar{P}_{avg}}\}$$

is within 7%. One can see that, the magnitude of the total demand are all around 2100 kW, while the charging demand are within 0-700 kW. This means the PEV charging optimality has a substantial impact to the overall optimality. For other profiles, the results are similar.

The voltage magnitude during the serving time period under Profile 1 is shown in Figure 8. The voltage magnitude starts to drop after 9 PM since most PEVs charge after that time but their values are always within the range of (1.165, 1.2] pu. Therefore, the negative impact of PEVs' integration to the grid has been successfully suppressed.

Figure 9 plots the SoC of two normal PEVs and two median PEVs that arrives at different times randomly selected in Profile 1. The SoC of PEV keeps unchanged for several time slots as they don't charge at those time slots.

The computational performance of the off-line algorithm, which provides a lower bound for the online algorithm. The comparison of the solution between online charging and offline charging process are presented in the last three columns of Table 2. The gap between online charging and off-line charging cost is very light. Figure 10 plots SoC of a normal PEV and a median PEV randomly selected in Profile 1. The charging behaviour is obviously different between online and off-line charging even though their optimal costs are similar.

The performance of charging demand with various number of PEVs during the serving time period is also investigated.

Profile	# Of binary Var.	μ	ν	Online cost	Avg. time (s)	Offline cost	Diff.	Time (s)
1	3330	1	1	5712.06	104.7	5660.24	0.9%	172.5
2	3330	1	1	5717.29	108.2	5671.55	0.8%	162.3
3	3330	1	1	5591.97	97.6	5558.42	0.6%	151.1
4	3330	1	1	5651.39	110.5	5600.53	0.9%	160.4

**TABLE 2**Online and Offlinecomputational results



**FIGURE 5** Iteration number of each time slot under four residential profiles



**FIGURE 6** Total power demand, charging power demand and real price-inelastic demand under Profile one during the serving time period

Figure 11 shows the curves of charging demand corresponding to four different sets of PEVs under Profile 1. The number of PEVs connected to each CS is generated using the following uniform distributions: U [13, 28], U [3, 12] and U [2, 6] for the three types of PEVs, respectively. The proposed algorithms still work well and converge rapidly. Notably, the charging



 $F\,I\,G\,U\,R\,E\,\,7$   $\,$  Three types of PEV charging demand under Profile 1 during the serving time period



FIGURE 8 The voltage behaviour under Profile 1 during the serving time period

demand climbs rapidly from 6 PM to 0 AM since PEVs are injected to the grid continuously during this period and then it maintains high values during 0 to 6 AM due to low residential demand and energy price during this period. It can also be seen that the total charging demand increases with the integration of more PEVs.



**FIGURE 9** The SoC of PEVs under Profile 1 during the serving time period



**FIGURE 10** SoC of PEVs by online and offline charging under Profile 1 during the serving time period

## 6 | CONCLUSIONS

The joint coordination solution for on-off PEV charging and controlling grid operations presented here is designed to meet the full charging demands of the massive influx of PEVs expected to enter the market between now and 2030 while maintaining grid operations within safety thresholds. This problem addressed is highly challenging because PEVs connect and disconnect from the grid at random and the binary on-off charging decisions are computationally intractable decision. The benefits of the proposed on-off PEV charging strategy include its efficient online implementation, the lack of a need for a mechanism to ensure analogue PEV charging values remain within battery capacity, and a solution that minimises the total overall costs of PEV charging and power generation.



**FIGURE 11** The charging demand with various numbers of PEVs under Profile 1 during the serving time period

This paper proposes a new MPC to address this task. At each time slot, the proposed MPC invokes computational solution of a large-scale MINP, which is solved by a novel and easily implemented online algorithm. Three types of PEVs: normal PEVs, median PEVs, and urgent PEVs have been included to stimulate the real charging scenarios. The efficiency and practicality of the system has been verified through comprehensive simulations, which show that the charging behaviour of PEVs and voltage behaviour of the power grid can be controlled very well even with a massive number of PEVs. Further, fluctuations in power demand are stabilised, and the overall cost of generating power and meeting charging demand is reduced. Although the proposed model is designed from the perspective of the social organiser and distribution network operator, it can also be utilised by an EV aggregator by modifying the objective function and keeping the constraints of the current model. Another extension of the proposed method to the problem of optimising demand response in smart grids is under study.

### ACKNOWLEDGEMENT

This work was supported in part by the Institute for Computational Science and Technology, Ho Chi Minh City, Vietnam, in part by the Australian Research Council's Discovery Projects under Grant DP190102501, and in part by the U.S. National Science Foundation under Grant DMS-1736417 and Grant ECCS-1824710.

### NOMENCLATURE

CS	charging station
MILP	mixed integer linear programming
MINP	mixed integer nonlinear programming
MPC	model predictive control
NP	non-linear programming
PEV	plug-in electric vehicle
SoC	State of charge
V2G	vehicle-to-grid

- $\beta_{t'}$  PEV charging price at time t'.
- $C_{k_n}$  Battery capacity of PEV *n* at charging station  $k \in C$ .
- $P_{l_k}(t')$  Active price-inelastic demands of node k at time slot t'.
- $Q_{l_k}(t')$  Reactive price-inelastic demands of node k at time slot t'.
- $S_{km}$  Power capacity of line (k, m).
- $u_b$  Charging efficiency of PEV battery.
- $y_{km}$  Admittance of line (k, m).
- $P_{g_k}$  Upper bound of active power generated by node  $k \in \mathcal{G}$ .
- $\bar{Q}_{g_k}$  Upper bound of reactive power generated by node  $k \in \mathcal{G}$ .
- $\overline{V}_k$  Upper bound of the amplitude of voltage injection at node  $k \in \mathcal{N}$ .
- $\frac{P}{g_k} \qquad \text{Lower bound of active power generated by node} \\ k \in \mathcal{G}.$
- $\underline{Q}_{g_k} \qquad \text{Lower bound of reactive power generated by node} \\ k \in \mathcal{G}.$
- $\frac{\underline{V}_k}{k} \qquad \text{Lower bound of the amplitude of voltage injection} \\ \text{at node } k \in \mathcal{N}.$
- $\theta_{k,m}^{\max}$  Voltage phase balance limitation of power line (k, m).

## SETS

- $\mathcal{C}$  Set of charging stations.
- $\mathcal{G}$  Set of generator nodes.
- $\mathcal{L}$  Set of power flow lines.
- $\mathcal{N}$  Set of nodes.
- $\mathcal{T}$  Set of charging periods.
- $\mathcal{H}_k$  Set of PEVs arriving at charging station  $k \in \mathcal{C}$ .

#### VARIABLES

- $\tau_{k_n}$  Binary variable used to represent the on-off charging state of PEV *n* at charging station  $k \in C$ .
- $P_{g_k}(t')$  Active power generation by generator node  $k \in \mathcal{G}$ at time t'.
- $Q_{g_k}(t')$  Reactive power generation by generator node  $k \in \mathcal{G}$  at time t'.
- $V_k(t')$  Complex voltage variable of node  $k \in \mathcal{N}$  at time t'.
- *W*(*t*') Semidefinite matrix variable introduced to transfer the nonconvex power flow constraints with voltage product.

#### REFERENCES

- 1. Edison Electric Institute: Transportation electrification: Utility fleets leading the charge (white paper). EEI (2014)
- International Energy Agency: Global EV outlook 2016: Beyond one million electric cars, OECD/IEA, 2016

- Rotering, N., Ilic, M.: Optimal charge control of plug-in hybrid electric vehicles in deregulated electricity markets. IEEE Trans. Power Syst. 26(3), 1021–1029 (2011)
- Jin, C., Tang, J., Ghosh, P.: Optimizing electric vehicle charging: a customer's perspective. IEEE Trans. Veh. Tech. 62(7), 2919–2927 (2013)
- Allègre, A.L., Bouscayrol, A., Trigui, R.: Flexible real-time control of a hybrid energy storage system for electric vehicles. IET Electr. Syst. Transp. 3(3), 79–85 (2013)
- Yang, L., Zhang, J., Poor, H.V.: Risk-aware day-ahead scheduling and real-time dispatch for electric vehicle charging. IEEE Trans. Smart Grid. 5(2), 693–702 (2014)
- Ye, M., Hu, G.: Distributed extremum seeking for constrained networked optimization and its application to energy consumption control in smart grid. IEEE Trans. Control Syst. Technol. 24(6), 2048–2058 (2016)
- Arias, A., Granada, M., Castro, C.A.: Optimal probabilistic charging of electric vehicles in distribution systems. IET Electr. Syst. Transp. 7(3), 246–251 (2017)
- Sortomme, E., et al.: Coordinated charging of plug-in hybrid electric vehicles to minimise distribution system losses. IEEE Trans. Smart Grid. 2(1), 198–205 (2011)
- Huang, S., et al.: The effects of electric vehicles on residential households in the city of indianapolis. Energy Pol. 49, 442–455 (2012)
- Berthold, F., et al.: Design and development of a smart control strategy for plug-in hybrid vehicles including vehicle-to-home functionality. IEEE Trans. Transp. Electrif. 1(2), 168–177 (2015)
- Nguyen, H.N., Zhang, C., Mahmud, M.A.: Optimal coordination of G2V and V2G to support power grids with high penetration of renewable energy. IEEE Trans. Transp. Electrif. 1(2), 188–195 (2015)
- Sortomme, E., El.Sharkawi, M.A.: Optimal charging strategies for unidirectional vehicle-to-grid. IEEE Trans. Smart Grid. 2(1), 131–138 (2011)
- Clement, K., Haesen, E., Driesen, J.: The impact of vehicle-to-grid on the distribution grid. Elec. Power Syst. Res. 81(1), 185–192 (2011)
- Xing, H., et al.: Decentralised optimal scheduling for charging and discharging of plug-in electric vehicles in smart grids. IEEE Trans. Power Syst. 31(5), 4118–4127 (2016)
- Tajeddini, M.A., Kebriaei, H.: A mean-field game method for decentralised charging coordination of a large population of plug-in electric vehicles. IEEE Syst. J.99, 1–10 (2018)
- Morstyn, T., Crozier, C., Deakin, M., McCulloch, M.D.: Conic optimisation for electric vehicle station smart charging with battery voltage constraints. IEEE Trans. Transp. Electrif. (2020)
- Hua, L., Wang, J., Zhou, C.: Adaptive electric vehicle charging coordination on distribution network. IEEE Trans. Smart Grid. 5(6), 2666– 2675 (2014)
- Franco, J.F., Rider, M.J., Romero, R.: A mixed-integer linear programing model for the electric vehicle charging coordination problem in unbalanced electrical distribution systems. IEEE Trans. Smart Grid. 6(5), 2200–2210 (2015)
- Antúnez, C.S., et al.: A new methodology for the optimal charging coordination of electric vehicles considering vehicle-to-grid technology. IEEE Trans. Sustainable Energy. 7(2), 596–607 (2016)
- Tang, W., Zhang, Y.J.A.: A model predictive control approach for lowcomplexity electric vehicle charging scheduling: optimality and scalability. IEEE Trans. Power Syst. 32(2), 1050–1063 (2017)
- Malysz, P., Sirouspour, S., Emadi, A.: An optimal energy storage control strategy for grid-connected microgrids. IEEE Trans. Smart Grid. 5(4), 1785–1796 (2014)
- Shi, Y., et al.: Model predictive control for smart grids with multiple electric-vehicle charging stations. IEEE Trans. Smart Grid. 10(2), 2127–2136 (2019)
- Ravichandran, A., et al.: A chance-constraints-based control strategy for microgrids with energy storage and integrated electric vehicles. IEEE Trans. Smart Grid. 9, 346–359 (2018)
- Camacho, E.F., Bordons, C.: Model predictive control. Springer: Springer-Verlag (2004)
- Mesbah, A.: Stochastic model predictive control: an overview and perspectives for future research. IEEE Control Syst. Mag. 36(6), 30–44 (2016)

- Shi, Y., et al.: Global optimization for optimal power flow over transmission networks. J Global Optim. 69(3), 745–760 (2017)
- Phan, A.H., et al.: Nonsmooth optimization for efficient beamforming in cognitive radio multicast transmission. IEEE Trans. Signal Process 60(6), 2941–2951 (2012)
- Shi, Y., Tuan, H.D., Savkin, A.V.: Global optimal power flow over largescale power transmission networks. Syst. Contr. Lett. 118(1), 16–21 (2018)
- Che, E., Tuan, H.D., Nguyen, H.H.: Joint optimization of cooperative beamforming and relay assignment in multi-user wireless relay networks. IEEE Trans. Wireless Commun. 13(10), 5481–5495 (2014)
- Tam, H.H.M., et al.: Joint load balancing and interference management for small-cell heterogeneous networks with limited backhaul capacity. IEEE Trans. Wireless Commun. 16(2), 872–884 (2017)
- 32. Shi, Y., et al.: PMU placement optimization for efficient state estimation in smart grid. IEEE J. Select. Areas Commun. (Early Access)
- 33. Tuy, H.: Convex Analysis and Global optimization, 2nd ed. Springer International Publishing AG (2017)
- Bonnans, J.F., et al.: Numerical optimization Theoretical and practical Aspects, 2nd ed. Springer (2006)
- Sturm, J.F.: Using SeDuMi 1.02, a MATLAB toolbox for optimization over symmetric cones. Optim. Methods Software. 11–12, 625–653 (1999)

- Grant, M., Boyd, S.: CVX: Matlab software for disciplined convex programing, version 2.1 (2014). http://cvxr.com/cvx
- Bolognani, S.: Approximate linear solution of power flow equations in power distribution networks (2014). http://github.com/saveriob/ approx-pf
- Chen, N., Tan, C.W., Quek, T.Q.: Electric vehicle charging in smart grid: optimality and valley-filling algorithms. IEEE J. Select. Top. Signal Process. 8(6), 1073–1083 (2014)
- 39. UK, D.: The residential demand of the UK (2017). http://www.gridwatch.templar.co.uk/download.php

How to cite this article: Shi Y, Tuan HD, Savkin AV, Poor HV. Model predictive control for on–off charging of electrical vehicles in smart grids. *IET Electr. Syst. Transp.* 2021;11:121–133. <u>https://doi.org/10.1049/</u> els2.12010