# Activation of Wnt/β-catenin pathway mitigates blood-brain

## barrier dysfunction in Alzheimer's disease

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9 **Running title**: Wnt/β-catenin in Alzheimer's disease

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- Abbreviations: AD = Alzheimer's disease; APP/PS1 = APP<sub>swe</sub>/PS1<sub>dE9</sub>; BBB = blood–brain barrier;
- BEC = brain endothelial cells; DKK1 = dickkopf-1; GSK3 $\beta$  = glycogen synthase kinase 3 $\beta$ ; LRP6
- = LDL Receptor Related Protein 6; PCP = planar cell polarity; PFA = paraformaldehyde; Sox17 =
- sex determining region Y-box 17; Vangl2 = Vang-like 2 protein; WT = wild-type; Zo-1 = Zonula
- 17 occludens-1

### 1 Abstract

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Alzheimer's disease (AD) is a neurodegenerative disorder that causes age-dependent neurological and cognitive declines. The treatments for AD pose a significant challenge, because the mechanisms of disease are not being fully understood. Malfunction of the blood-brain barrier (BBB) is increasingly recognized as a major contributor to the pathophysiology of AD, especially at the early stages of the disease. However, the underlying mechanisms remain poorly characterized, while few molecules can directly target and improve BBB function in the context of AD. Here, we showed dysfunctional BBB in AD patients reflected by perivascular accumulation of blood-derived fibrinogen in the hippocampus and cortex, accompanied by decreased tight junction proteins Claudin-5 and glucose transporter Glut-1 in the brain endothelial cells (BECs). In the APP<sub>swe</sub>/PS1<sub>dE9</sub> (APP/PS1) mouse model of AD, BBB dysfunction started at 4 months of age and became severe at 9 months of age. In the cerebral microvessels of APP/PS1 mice and Aβ-treated BECs, we found suppressed Wnt/β-catenin signaling triggered by an increase of GSK3β activation, but not an inhibition of the AKT pathway or switching to the Wnt/planar cell polarity pathway. Furthermore, using our newly developed optogenetic tool for controlled regulation of LRP6 (upstream regulator of the Wnt signaling) to activate Wnt/β-catenin pathway, BBB malfunction was restored by preventing Aβ-induced BEC impairments and promoting the barrier repair. In conclusion, targeting LRP6 in the Wnt/β-catenin pathway in the brain endothelium can alleviate BBB malfunction induced by Aβ, which may be a potential treatment strategy for AD.

## 1 Introduction

- 2 Alzheimer's disease (AD) is a neurodegenerative disorder that causes age-dependent neurological
- and cognitive declines. Despite ongoing efforts, the current understanding of AD pathophysiology <sup>1-</sup>
- 4 <sup>5</sup> failed to guide to the development of drugs capable of arresting or reversing the disease
- 5 progression <sup>6,7</sup>. Recent studies have highlighted the dysfunction of the blood-brain barrier (BBB) as
- 6 an early event of AD <sup>8-12</sup>, whereas manipulations with BBB may provide a new target for
- 7 intervention.
- 8 Tight and adherens junction proteins connect adjacent brain endothelial cells (BECs) to seal the
- 9 BBB, which is composed of endothelial and parenchymal basement membranes, pericytes, and
- astrocytic endfeet <sup>13,14</sup>. Selective permeability of the BBB restricts the passage of pathogens, and
- regulates the transport of essential nutrients and metabolites <sup>15</sup>. The impairments of BBB structural
- components and BECs in particular, compromise the integrity and function of BBB <sup>16</sup>. Pathological
- changes in BECs are often reflect dysregulation of tight junctions <sup>17</sup>, including changes in
- expression of tight junction protein Claudin-5 <sup>18</sup> and tight junction-actin cytoskeleton connecting
- protein Zonula occludens-1 (Zo-1) <sup>19</sup>. In a mouse model of AD, reduced expression of glucose
- transporter Glut-1 in the BECs impaired tight junction integrity and BBB function, leading to
- 17 cerebral microvascular degeneration <sup>20</sup>. However, the contribution of BBB dysfunction to AD
- pathogenesis has not been widely acknowledged. A recent study using dynamic contrast-enhanced
- magnetic resonance imaging (MRI) also found increased BBB permeability in AD brains 8. This
- 20 increase in permeability may result in increased levels of albumin, hemoglobin-derived peptides,
- 21 and prothrombin found in the perivascular brain tissues of AD patients <sup>21-23</sup>, although some studies
- 22 found no significant increase in the extravascular levels of fibrinogen, prealbumin, or
- 23 immunoglobulins <sup>24,25</sup>.
- Wnt signaling is critical for the development of BECs <sup>26</sup>. This signaling cascade involves canonical
- 25 Wnt/β-catenin pathway, non-canonical Wnt/Ca<sup>2+</sup> pathway, and Wnt/planar cell polarity (PCP)
- 26 pathway <sup>27</sup>. Tight junction proteins and Glut-1 are the transcriptional targets of Wnt/β-catenin
- 27 pathway in BECs <sup>26,28,29</sup>, while the integrity of endothelial tight junctions is regulated by the
- 28 Wnt/PCP pathway <sup>30</sup>. The Wnt signaling is significantly reduced in various cell types in the AD
- brains <sup>31,32</sup>. Which Wnt pathway is specifically involved in the BECs dysfunction in AD pathology
- 30 remains, however, unknown.
- Extracellular amyloid  $\beta$ -protein (A $\beta$ ) and its isoforms were shown to regulate Wnt signaling <sup>32-35</sup>.
- For example, fibrillar A $\beta^{34}$  or A $\beta$  oligomer <sup>35</sup> can suppress Wnt/ $\beta$ -catenin pathway in the neurons
- 33 by binding to the Frizzled receptor of Wnt ligands. In other cell types, Aβ oligomers reduce AKT

- activation by increasing the inhibitory regulation of glycogen synthase kinase 3β (GSK3β), with subsequent suppression of the Wnt/β-catenin pathway <sup>36,37</sup>. At the synaptic level, Aβ-induced dickkopf-1 (Dkk1) expression switches from the Wnt/β-catenin pathway to the Wnt/PCP pathway by degrading the Wnt/β-catenin pathway co-receptor LDL Receptor Related Protein 6 (LRP6) <sup>32,38,39</sup>. The Aβ precursor protein (APP) interacts with Vang-like 2 protein (Vangl2) to specifically
- activate the Wnt/PCP pathway, which drives synapse retraction <sup>32</sup>. Therefore, we aimed to investigate how the Wnt pathway in the BECs is affected at the early stages of AD, and whether this
- investigate now the wife pathway in the BLCs is affected at the early stages of AD, and whether t
- 8 pathway is a plausible target for intervention.
- 9 In this study, we firstly demonstrated BBB dysfunction and BEC disruption in postmortem human
- 10 AD brains. Then in APP<sub>swe</sub>/PS1<sub>dE9</sub> (APP/PS1) mouse model of AD, we showed that BBB
- dysfunction and BEC impairments started at 4 months of age and progressed with aging. In BECs in
- vitro, we found that the A $\beta$  oligomer suppressed the Wnt/ $\beta$ -catenin signaling through the activation
- of GSK3β, but not through the inhibition of AKT pathway or switching to the Wnt/PCP pathway.
- 14 Lastly, we provided the evidence that activating Wnt/β-catenin pathway in BECs by targeting its
- upstream regulator LRP6 can restore Aβ oligomer-induced pathologies, making LRP6 a promising
- 16 new target for AD drug development.

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## Materials and methods

### Immunohistochemistry for human brain samples

Human post-mortem brain specimens were obtained from the Chinese brain bank center under the 19 ethical approval by the Ethics Committee of the brain bank. The brain specimens were identified as 20 AD by The Brain Bank using the ABC method of AD neuropathologic change, which were 21 categorized into four levels "Not, Low, Intermediate, High" as shown in Supplementary Table 1. 22 After deparaffinization, the paraffin-embedded sections were treated with 3% H<sub>2</sub>O<sub>2</sub> and processed 23 for antigen retrieval. The sections were blocked with blocking solution and incubated with targeted 24 primary antibody for 2 h at 37 °C and overnight at 4 °C. After reaction with biotin-specific 25 secondary antibody and signal enhanced by SABC or SABC-AP (Boster) on the following day, the 26 sections were processed with DAB Substrate Kit (Abcam) and BCIP/NBT kit (Boster) for 27 immunoreactive signals detection. The stained sections were dehydrated, mounted in neutral 28 balsam, then examined with a bright field microscope and analyzed by Fuji software. Primary 29 30 antibodies included: AB (1:500, mouse, 800701, BioLegend), CD31 (1:50, rabbit, ab28346, Abcam), Fibrinogen (1:300, mouse, ab58207, Abcam), Cldn5 (1:20, rabbit, 35-2500, Invitrogen), 31 Glut1 (1:100, rabbit, MA5-31960, Invitrogen), Sox17 (1:100, goat, AF1924, R&D). For the 32

quantification, we randomly selected six non-overlapping areas of 1 mm<sup>2</sup> in the cortex and

- 1 hippocampus, respectively. Fiji software was used to quantify the fluorescent intensity in each
- 2 image; then these intensities were averaged for each human brain. Finally, the fluorescent
- 3 intensities were normalized to the healthy controls. The detailed information of healthy controls and
- 4 AD patients was listed in Supplementary Table 1.

### 5 Animals

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- 6 C57BL/6J (WT) mice and transgenic APP/PS1 mice were obtained from Nanjing Junke
- 7 Bioengineering Co., Ltd, China. Male and female Sprague-Dawley rats of 10 days old were
- 8 obtained from the animal center of Army Medical University, China. All animals used in the study
- 9 were maintained in a specific-pathogen-free animal facility. All procedures were performed under
- the ethical approval of the Sun Yat-Sen University Institutional Animal Care and Use Committee.

### Immunofluorescence staining

- Under deep anesthesia, WT and APP/PS1 mice were perfused intracardially with cold PBS and 4%
- paraformaldehyde (PFA). The brains were removed, dehydrated, embedded in OCT media and
- snap-frozen. Cryostat sections (20 µm) were preincubated with blocking buffer (PBS containing 2%
- 15 BSA and 0.5% Triton-X100) for 30 min. For immunofluorescence staining, BECs on coverslips
- were fixed with 4% PFA for 15 min and were then permeabilized and blocked with blocking buffer.
- 17 Sections or coverslips were processed for immunostaining by overnight incubation at 4 °C with
- primary antibodies, followed by Alexa Fluor conjugated secondary IgG antibodies for 1 h at room
- 19 temperature. Then, the sections or coverslips were washed, mounted and examined with a confocal
- 20 microscope. For the quantification of sections, five brain slices from each mouse brain were
- 21 analyzed. Fiji software was used to quantify the fluorescent intensity, which was averaged for each
- 22 mouse and then normalized to WT group as relative change. For the quantification of coverslips,
- 23 three independent experiments with triplicates in each were performed. Five non-overlapping
- 24 images from each coverslip were analyzed. The values were calculated by fluorescence intensity
- standardized by DAPI positive cell number in each image by Fiji software, and then normalized to
- 26 the control group to present the data as relative change and presented as a ratio. Primary antibody
- 27 for Immunofluorescence included: Aβ (1:200, rabbit, 36-6900, Invitrogen), CD31 (1:200, rat,
- 28 553370, BD), Fibrinogen (1:500, mouse, ab58207, Abcam), Cldn5 (1:100, rabbit, 35-2500,
- 29 Invitrogen), Glut1 (1:200, rabbit, HPA031345, Sigma), CD13 (1:200, rabbit, ab108310, Abcam),
- 30 IBA1 (1:1000, goat, ab5076, Abcam), GFAP (1:400, rabbit, ab7260, Abcam), Sox17 (1:200, goat,
- 31 AF1924, R&D), Zo-1 (1:200, mouse, 339100, Invitrogen), Ki67 (1:1000, rabbit, 9129, CST), and
- 32 Cleaved Caspase-3 (1:400, rabbit, 9661, CST).

#### 1 Isolation of brain microvessels and primary BECs

- 2 The brain microvessels were isolated as previously described <sup>29</sup>. Briefly, the brains were collected
- 3 and rinsed in ice-cold PBS, and the leptomeninges, cerebellum, brainstem and white matter were
- 4 removed on ice. The remaining cortices were homogenized in 10 mL of DMEM containing 1 mL 1
- 5 mg/mL collagenase (Sigma) and 0.1 mg/mL DNase I (Sigma), and shaken at 250 rpm for 1 h at
- 6 37 °C. Then the homogenates were centrifuged at 1000 g for 8 min at room temperature. The pellet
- 7 was suspended in 25 mL DMEM containing 20% BSA and centrifuged at 1000 g for 20 min at
- 8 room temperature. The pellet contained the brain microvessels for protein and RNA extraction. For
- 9 primary BEC culture, the microvessels pellet was further homogenized in 5 mL DMEM containing
- 10 0.5 mL 1 mg/mL collagenase-dispase (Roche) and 0.1 mg/mL DNase I, and shaken at 250 rpm for
- 30 min at 37 °C. The cell pellet was then suspended in BEC culture medium and seeded on
- 12 collagen-coated plates. Puromycin was supplied in the medium for the first 24 h to achieve high
- 13 BEC purity.

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### Protein extraction and Western Blotting

- To prepare protein lysates, tissues or cells were lysed in sodium dodecyl sulfate (SDS) lysis buffer
- supplemented with protease inhibitor cocktail and 2 mM phenylmethylsulfonyl fluoride (PMSF).
- 17 Protein was quantified by Enhanced BCA Protein Assay Kit (Beyotime), and the normalized protein
- 18 samples were denatured at 95 °C for 10 min and separated by SDS-polyacrylamide gel
- 19 electrophoresis. Membranes with transferred proteins were incubated with the primary antibodies
- 20 overnight at 4 °C followed by secondary antibodies, and detected with the Amersham ECL Prime
- 21 Western Blotting Detection Reagent (GE Health) by ChampChemi 610 Chemiluminescence
- 22 Imaging System (Beijing Sage Creation, China). For the quantifications, band densities were
- 23 measured by Fiji software. The quantification of phosphorylated proteins was normalized to their
- total proteins. GAPDH was used as the housekeeping protein, and the data were presented as fold
- change to the control group. Primary antibodies for western blotting included: Cldn5 (1:1000,
- 26 rabbit, AF5216, Affinity), Glut1 (1:1000, rabbit, ab115730, Abcam), Active β-catenin (1:1000,
- 27 rabbit, 8814, CST), total β-catenin (1:1000, rabbit, 8480, CST), p-GSK3β (1:1000, mouse, 14630,
- 28 CST), total GSK3β (1:1000, rabbit, 9315, CST), p-AKT (1:1000, rabbit, 13038, CST), total AKT
- 29 (1:1000, rabbit, 4691, CST), p-VANGL2 (1:1000, rabbit, MA5-38241, Invitrogen), total VANGL2
- 30 (1:1000, rabbit, PA5-23207, Invitrogen), Cleaved Caspase-3 (1:1000, rabbit, 9661, CST), Caspase-
- 31 3 (1:1000, rabbit, 9662, CST), and GAPDH (1:1000, mouse, AF0006, Beyotime).

#### RNA-extraction and RT-qPCR

- 33 Tissues or cells were washed with ice-cold PBS and then lysed directly by Trizol (Thermo). Total
- 34 RNA was isolated by RNeasy Plus Mini Kit (Qiagen), and reverse transcription was carried out

- 1 using the Reverse Transcriptase kit (Takara), all according to the manufacturer's protocol. qPCR
- 2 was carried out using FastStart Universal SYBR Green Master Mix (Roche) with Real-time PCR
- 3 Detection System (Roche). The relative expression of target genes was calculated using the  $2 \Delta \Delta$
- 4 Ct method by normalized to the housekeeping gene GAPDH. The results are presented as a relative
- 5 change compared to the controls.

### 6 Plasmid construction, lentivirus preparation and BEC infection

- 7 The plasmid vector was constructed as described previously <sup>40</sup>. The lentivirus was produced using
- 8 3rd generation lentiviral generation systems, the virus envelope plasmid, packaging plasmids, and
- 9 OptoLRP6, ΔCRY2 plasmids were transfected into 293T cell lines. Eight hours after transfection,
- the media were refreshed. The lentivirus-containing media was collected after 48 h culture and
- 11 filtered with a 0.22 µm filter. For BEC transfection, lentivirus was added into the culture medium at
- 12 MOI 20.

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### Aβ oligomer treatment of the primary BEC

- Synthetic A $\beta_{25-35}$  peptide (Sigma) was reconstituted in DMSO (Sigma) and lyophilized overnight.
- 15 The lyophilized peptide was either processed for oligomer formation or stored at -80 °C for long-
- term storage. For oligomer formation, the lyophilized stock was resuspended in cell culture medium
- to the desired concentration and incubated at 37 °C for 24 h to form the oligomers prior to the
- experiments. The validity of this method has been described previously <sup>41</sup>. Prior to or after light
- stimulation, BEC was treated with  $A\beta_{25-35}$  at 20  $\mu$ M. All assays were carried out 24 h after exposure
- to  $A\beta_{25-35}$  exposure. At least three independent experiments were performed.

#### 21 TUNEL assay

- 22 Cell apoptosis was detected by TUNEL assay with In Situ Cell Death Detection Kit (Roche)
- following the manufacturer's protocol. Cells were co-stained with DAPI then subjected to imaging.

### 24 Light stimulation

- 25 The instrument used for blue-light illumination was described previously <sup>42</sup>. Briefly, the LED box
- was constructed by plugging blue LED lights into a breadboard containing a 12" x 7" x 3"
- 27 Aluminum box covered with a translucent plastic board. The LED lights were aligned with the
- center of each well of the 12-well plate and were wired with resistors. The OptoLRP6-transfected or
- 29 ΔCRY-transfected cells were illuminated on a 20 min on/40 min off-cycle with blue light. The light
- intensity was adjusted to 25 LUX at the level of the cells by adjusting the voltage.

### 31 In vitro trans-well permeability assay

32 Primary BECs were seeded on 24-well with a 0.4 μm pore polycarbonate membrane insert trans-

- well plate. After receiving desired treatment as described in each group, the cells were subjected to
- 2 permeability assay. The upper chamber was filled with 150 μL of 1 mg/mL FITC-dextran (40 kDa),
- 3 while the bottom plate well was replaced with 600 μL fresh BEC culture media. Samples were
- 4 collected from the bottom well at 30 min. Fluorescence intensities were measured with a microplate
- 5 reader at 485 nm excitation and 535 nm emission wavelength. The data were compared and
- 6 normalized to the control group.

### 7 Statistical analysis

- 8 The differences between two groups were analyzed by a two-tailed Student's t test. The differences
- 9 between multiple groups were analyzed by One-way ANOVA followed by Tukey post hoc tests
- 10 (GraphPad Prism software). P < 0.05 was considered significant, indicated as \* p < 0.05, \*\* p < 0.05
- 11 0.01. Unless otherwise specified, data were presented as mean  $\pm$  SEM.

### 12 Data availability

- 13 The raw data supporting the findings of this study will be made available by the corresponding
- authors, upon reasonable request.

## Results

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### 16 BBB dysfunction and BEC disruption in AD patients

- 17 Dysfunction of the BBB is not universally acknowledged in AD, since there are inconsistencies
- among studies owing to the sensitivity of the methods 8,21-25,43-45. In this study, using optimized
- immunohistochemical double staining method, we investigated five postmortem human AD brains
- and age- and sex-matched healthy control brains (Supplementary Table 1). Aβ plaques were visible
- 21 in the hippocampus and cortex of the AD brains (Fig. 1A, B). Fibringen, a major blood protein,
- 22 was detected in the AD brain tissues being accumulated around microvessels (Fig. 1A, B),
- 23 indicating a vascular leakage. The expression of tight junction protein Claudin-5 was significantly
- decreased by  $40 \pm 13.6\%$  in the hippocampus and by  $40.5 \pm 14.9\%$  in the cortex of AD brains,
- respectively (both p < 0.05, versus control, Fig. 1C). The level of blood vessel co-localized Glut-1
- was reduced by 31.8  $\pm$  10.9% in both hippocampus and cortex of AD brains (both p < 0.05, versus
- 27 control, Fig. 1D), suggesting the BBB disruption and BEC dysfunction <sup>46</sup>. These findings are
- consistent with the pathological changes in BECs in previous studies <sup>10,11,47,48</sup>.

### 29 BBB dysfunction and BEC disruption occur in the early stage of AD in APP/PS1 mouse model

30 To assay the timing of BBB dysfunction and BEC malfunction in AD, we used the APP/PS1 mouse

- 1 model focusing on the hippocampus. We first examined BBB function in 2, 4 and 9-month-old
- 2 APP/PS1 mice, representing Aβ amyloidosis at different stages (Supplementary Fig. 1). Aβ deposits
- 3 started at 4 months of age and were significantly increased at 9 months (Fig. 2A).
- 4 Fibringen was used as a marker of BBB leakage. In wild-type (WT) controls, fibringen can only
- 5 be detected within the vascular lumen, suggesting intact BBB (Fig. 2B, E). In 4-month-old
- 6 APP/PS1 mice, a significant extravascular accumulation of fibrinogen was identified in the brain
- 7 parenchyma (Fig. 2B, E), supporting BBB malfunction in the early stage of AD pathology.
- 8 To determine whether BBB malfunction is associated with impaired BECs, we evaluated expression
- 9 of tight junction protein Claudin-5 and glucose transporter Glut-1 both being essential for
- maintaining BEC integrity and function <sup>47</sup>. We found that expression of Claudin-5 and Glut-1 were
- significantly decreased in APP/PS1 mice at 4 and 9 months compared to age-matched WT mice
- 12 (both markers p < 0.05 and p < 0.01, respectively, Fig. 2C, D, F, G), consistent with fibringen
- 13 leakage.

- 14 Collectively, our results obtained in AD patients and APP/PS1 mouse model indicate that BBB
- malfunction in AD is linked to BEC impairment. In particular the data obtained in APP/PS1 mice
- 16 confirm this event occurs at the early stages of AD pathology.

### Decreased Wnt/β-catenin signaling in cerebral microvessels of APP/PS1 mice

- 18 To identify which Wnt pathway is dysregulated in the BECs in AD, we isolated cerebral
- 19 microvessels from mouse brains at different ages (Fig. 3A), as evidence suggests that cerebral
- 20 microvessels can better capture the BBB features thus also enabling mechanistic studies by
- measuring mRNA and protein changes in tight junction proteins  $^{49}$ . We found that 99.3  $\pm$  0.15% of
- 22 the cells in the isolated cerebral microvessels were identified as CD31-positive BECs (Fig. 3A).
- 23 Claudin-5 and Glut-1 protein, as well as their mRNA expression, in cerebral microvessels, were
- significantly decreased at 4 months of age (all p < 0.05 versus control) and further reduced at 9
- 25 months of age (all p < 0.01 versus control) in APP/PS1 mice (Fig. 3B). Similarly, mRNA
- expression of Zo-1 was also significantly reduced at 4 months of age (p < 0.05 versus control), and
- further reduced at 9 months of age (p < 0.01 versus control, Fig. 3C). Occludin mRNA expression
- was only significantly reduced at 9 months (p < 0.01 versus control, Fig. 3C).
- 29 The phosphorylation status of the Wnt/β-catenin pathway-related markers, β-catenin and GSK3β,
- were significantly reduced in cerebral microvessels from APP/PS1 mice at 4 months ( $\beta$ -catenin  $p < \beta$
- 31 0.05, GSK3 $\beta$  p < 0.01 versus control) and 9 months (both  $\beta$ -catenin and GSK3 $\beta$  p < 0.01 versus
- 32 control, Fig. 3D). However, phosphorylated AKT and Vangl2, essential for the PCP pathway
- activity [45], were not changed in the cerebral microvessels from APP/PS1 mice (Fig. 3E).

- 1 Furthermore, reduced Wnt/β-catenin signaling was also confirmed by the down-regulation of its
- downstream targets, Axin2, Notum and DKK1 mRNA expression in the cerebral microvessels from
- 3 APP/PS1 mice at 4 and 9 months of age (Fig. 3F).
- 4 Taken together, our results demonstrate that the AKT-independent Wnt/β-catenin pathway (as
- 5 opposed to the Wnt/PCP pathway) was suppressed in cerebral microvessels from APP/PS1 mice.

### 6 Aβ oligomer induces impairment of BECs and suppresses the Wnt/β-catenin pathway

- 7 We observed BBB and BEC malfunction in 4-month old APP/PS1 mice when soluble Aβ oligomer
- 8 begins to accumulate to form fibrillar A $\beta$  plaques <sup>50</sup>. As a result, we used primary rat BECs and
- 9 A $\beta_{25-35}$  fragments (toxic domain of the A $\beta$ ) to study A $\beta$  oligomer-related toxicity in BECs <sup>51</sup>. A $\beta_{25-}$
- 10 35 is the most cytotoxic component of senile plaques and is commonly used to establish the *in vitro*
- model of BBB malfunction  $^{32-35,52}$ . Although  $A\beta_{1-40}$  was also used to study BBB and
- 12 cerebrovascular impairments in the literature, the  $A\beta_{1-40}$  has low-toxicity and, even showed
- 13 neuroprotective effects <sup>53-55</sup>; hence we decided not to use it.
- We used 20  $\mu$ M A $\beta$  oligomer (A $\beta_{25-35}$ ) in vitro, because this concentration reduced Claudin-5
- without affecting cell proliferation and viability (Supplementary Fig. 2). Exposing BECs to  $A\beta_{25-35}$
- for 24 hours resulted in a significant decrease in immunoreactivity for Claudin-5 (p < 0.01), Zo-1 (p
- 17 < 0.05) and Glut-1 (p < 0.05, Fig. 4A). Changes in Claudin-5 (p < 0.01) and Glut-1 (p < 0.05) were
- 18 further confirmed by western blotting (Fig. 4B). Reduction in Claudin-5 was also observed at the
- 19 mRNA level (p < 0.05, Fig. 4C). A $\beta_{25-35}$  induced reduction in barrier integrity of BECs was
- accessed by the permeability assay (Fig. 4D).
- Furthermore,  $38.8 \pm 5.5\%$  reduction in active β-catenin (p < 0.01),  $41.6 \pm 13.3\%$  reduction in
- phosphorylated Ser9-GSK3 $\beta$  (p < 0.05, Fig. 4E), and 29.8  $\pm$  2.5% reduction in Axin2 mRNA
- expression (p < 0.01, Fig. 4F), were observed in A $\beta_{25-35}$ -treated BECs in vitro. Consistent with the
- 24 in vivo results, AKT and Vangl2 activities in BECs were not affected by  $A\beta_{25-35}$  treatment (Fig.
- 25 4G), suggesting a specific suppression of the Wnt/β-catenin pathway only in BECs. However, Dkk1
- 26 mRNA level was reduced after A $\beta_{25-35}$  treatment (p < 0.01, Fig.4H), which may be an adaptive
- 27 change to the suppressed Wnt/β-catenin signaling.
- 28 To further confirm the suppressed Wnt/β-catenin pathway signaling in BECs in AD, the
- 29 downstream target of Wnt/β-catenin pathway, sex determining region Y-box 17 (Sox17), was
- quantified. Immunostaining of Sox17 was reduced in the cerebral vessels from both APP/PS1 mice
- 31 (p < 0.01, Fig. 4I) and AD patients (p < 0.05, Fig. 4J).
- Taken together, our results indicate that  $A\beta_{25-35}$  suppressed Wnt/ $\beta$ -catenin activity by reducing BEC
- 33 markers critical for BBB integrity; the Wnt/β-catenin therefore may be a potential new treatment

### 1 target.

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#### Optogenetic activation of Wnt/β-catenin pathway signaling

- 3 To achieve a precise regulation of Wnt/β-catenin pathway, we employed an opsin-free optogenetics
- 4 tool. Opsin-free optogenetics allows light-mediated modulation of the intracellular signaling
- 5 pathways in live cells with high temporal and spatial accuracy 42,56-59. Here, we used an in-house
- 6 developed optogenetic tool (OptoLRP6) 40. As shown in Fig. 5A, under blue light stimulation, the
- 7 CRY2-CIBN association allows the interaction between LRP6 and transmembrane protein
- 8 198 (TMEM198) to specifically activate LRP6-Wnt signaling <sup>60</sup>. This results in a reversible
- 9 activation of the Wnt/β-catenin pathway. Based on our preliminary experiments, the light cycling
- was set to a 20-min-on and 40-min-off mode for maximum light-exposure efficacy and preservation
- of cell viability.
- After light exposure for 8 hours, Axin2 mRNA expression (p<0.01, Fig. 5B), active β-catenin
- 13 (p<0.05, Fig. 5C), and phosphorylated Ser9-GSK3 $\beta$  (p<0.01, Fig. 5C) were all increased in light-
- stimulated OptoLRP6-infected BECs compared with control ΔCRY plasmid-transfected BECs.
- 15 However, AKT and Vangl2 activities, as well as Dkk1 mRNA level, remained unchanged,
- 16 confirming that targeting OptoLRP6 exclusively activates the Wnt/β-catenin pathway, but not the
- 17 Wnt/PCP pathway.

### 18 Light-induced OptoLRP6 activation rescued Aβ<sub>25–35</sub>-induced BEC impairment

- We then treated OptoLRP6 or control  $\Delta$ CRY plasmid-transfected BECs with A $\beta_{25-35}$  for 24 hours,
- followed by light exposure for 8 hours (Fig. 6A). After light stimulation, Axin2 level in the A $\beta_{25-35}$
- treated OptoLRP6-transfected group was the highest (p < 0.01 versus all other 3 groups, Fig. 6B).
- 22 The suppressed immunoreactivities of Claudin-5, Zo-1, and Glut-1 were significantly recovered by
- light stimulation in the OptoLRP6 group after the exposure to  $A\beta_{25-35}$  (Fig. 6C), consistent with the
- 24 results of Wnt ligand Wnt3a treatment (Supplementary Fig. 3). Changes in Claudin-5 were
- confirmed by both western blotting (Fig. 6D) and qPCR (Fig. 6E), while changes in Glut-1 were
- confirmed by western blotting (Fig. 6D). The dye-tracers experiment confirmed the improvement of
- 27 BBB permeability in BECs transfected with OptoLRP6 and illuminated (Fig. 6F). The results
- 28 suggest that the activation of the Wnt/β-catenin pathway by targeting LRP6 has the potential
- 29 therapeutic value to rescue Aβ-induced BBB malfunction in AD.

### 30 Pre-activation of the Wnt/β-catenin pathway by OptoLRP6 prevents Aβ<sub>25-35</sub>-induced BEC

- 31 disruption
- 32 BECs impairment at an early stage of AD pathology in APP/PS1 mice suggests that BBB
- malfunction may contribute to AD pathogenesis. Therefore, we investigated whether pre-activation

- 1 of the Wnt/β-catenin pathway can prevent Aβ-induced BEC impairments. Primary BECs
- 2 transfected with OptoLRP6 or control ΔCRY plasmid were stimulated by blue light for 8 hours,
- 3 followed by exposure to Aβ<sub>25-35</sub> for 24 hours (Fig. 7A).
- 4 Axin2 levels were significantly increased in BECs transfected with OptoLRP6 after light and A $\beta_{25-}$
- 5 35 exposure as compared to all the other  $\triangle$ CRY groups (all p < 0.01, Fig. 7B). The protein levels of
- 6 tight junction proteins Claudin-5, Zo-1, and glucose transporter Glut-1 in BECs transfected with
- 7 OptoLRP6 were nearly the same as controls (Fig. 7C-E), in line with the results of Wnt ligand
- 8 Wnt3a treatment (Supplementary Fig. 3). The dye-tracers experiment also confirmed the
- 9 improvement of BBB permeability in vitro (Fig. 7F). These data suggest the preventive effects of
- activated Wnt/ $\beta$ -catenin signaling against A $\beta_{25-35}$ -induced BEC dysfunction.

### Discussion

- 12 This study confirmed that BBB malfunction caused by impaired BECs plays a key role in the early
- stage of AD pathophysiology <sup>8-12,61,62</sup>. By using both human AD specimens and APP/PS1 mice, we
- 14 found that BBB damage stemmed from reduced levels of tight junction proteins and glucose
- transporter Glut-1. Dysregulation of Wnt/β-catenin pathway signaling in cerebral microvessels by
- 16 Aβ oligomer may be the underlying mechanism of BEC impairment in AD. Using a novel
- optogenetic tool, we discovered a promising new target for treatment strategies, the Wnt co-receptor
- 18 LRP6, which can effectively activate Wnt/ $\beta$ -catenin pathway to protect BECs against A $\beta_{25-35}$ -
- induced toxicity and restore BEC abnormalities in AD (Fig. 8).
- 20 It is widely acknowledged that BBB malfunction contributes to many neuropathologies <sup>47,63,64</sup>,
- 21 although there are still inconsistencies in showing the extravasation of plasma-derived proteins 8,21-
- 22 25,43-45. Nonetheless, leakage of blood protein fibringen into perivascular tissue following the
- breakdown of BBB is increasingly recognized as a contributor to neuropathology <sup>65</sup>. Deploying
- 24 neuroprotectant to rescue behavioral deficits in AD model simultaneously improved BBB and
- 25 cerebrovascular integrity, along with reduced Aβ formation <sup>66</sup>. However, it is difficult to determine
- 26 whether the vascular protection was primary or secondary to reduced Aβ accumulation. In the
- 27 present study, we showed that BBB integrity is impaired in AD patients and APP/PS1 mice, as
- 28 indicated by increased levels of plasma-derived fibrinogen in extravascular tissues and decreased
- 29 levels of BEC tight junction proteins and Glut-1. In particular, our data in APP/PS1 mice confirm
- that this sign occurs at the early stages of AD. This suggests that fibringen may be used as a new
- 31 imaging biomarker of dysfunctional BECs and BBB in humans, and/or early diagnosis of AD.
- However, as the information on familial or sporadic AD was not registered at the Brain Bank, it
- remains unclear which specific AD type was investigated.

1 BEC-related pathologies occur in the early stages of AD. Thus, it is natural to ask the question of 2 whether targeting repair of BECs can protect BBB function and thereafter prevent/reverse the decline of the AD brain. To better understand the mechanisms that impair BECs in AD, Wnt 3 pathway was studied because of its involvement in BEC pathologies <sup>26,28,29</sup> and association with risk 4 factors of AD, such as LRP6, GSK3β, Dkk1 and Vangl2 <sup>67,68</sup>. In the brains of both AD patients and 5 APP/PS1 mice, we discovered a significant reduction in Wnt/β-catenin pathway activity, mostly 6 7 driven by the suppressed upstream regulator LRP6. Other regulators, such as GSK3\beta and AKT, as well as Wnt/PCP pathway, did not seem to be involved. Moreover, we demonstrate that Aβ<sub>25-35</sub> 8 induced BBB dysfunction and BEC disruption through suppressing the canonical Wnt/β-catenin 9 pathway signaling  $^{26}$ . This effect may be mediated by A $\beta_{25-35}$  binding to Frizzled receptors, which 10 are required to activate the Wnt/β-catenin pathway by LRP6 35, while we can exclude AKT 11 signaling and the non-canonical Wnt/PCP pathway. In addition, we found a significant decrease in 12 Dkk1 in BECs in APP/PS1 mice, contrary to previously reported increases in Dkk1 in neurons <sup>32,69</sup>, 13 with the underlying mechanism still unknown. Nevertheless, our findings provide a plausible 14 mechanism of the pathogenesis of BBB and BEC dysfunction in AD brains, which may exacerbate 15 AD neuropathology <sup>70,71</sup>. Protecting or reversing vascular function may slow down the decline or 16 preserve neurological function. 17 In order to determine whether Wnt/β-catenin pathway, particularly its upstream regulator LRP6, is a 18 valid target to protect cerebrovascular function, we adopted a novel optogenetic technique to 19 accurately regulate LRP6 on the cell surface to activate Wnt/β-catenin pathway signaling, since the 20 Wnt ligand is unable to target the canonical Wnt/β-catenin pathway exclusively <sup>72</sup>. LRP6 has been 21 linked to synaptic and cognitive abnormalities in aged mice and AD patients <sup>31,73</sup>. Curcumin, a 22 natural compound, has been demonstrated to increase LRP6 levels to exert potential neuroprotective 23 effects in several AD animal models <sup>74-76</sup>; however, it is not suitable for AD treatment due to its low 24 bioavailability. In this study, using our optogenetic tool, we showed that increasing the Wnt/β-25 catenin signaling by activating LRP6 partially restored expression of tight junction proteins 26 Claudin-5, Zo-1 and glucose transporter Glut-1 after  $A\beta_{25-35}$  exposure, suggesting such approach 27 may be used as a potential therapeutic strategy to alleviate or even reverse Aβ<sub>25-35</sub>-induced BBB 28 malfunction in AD patients. Furthermore, activation of Wnt/β-catenin pathway signaling can also 29 prevent pathological changes in BECs induced by  $A\beta_{25-35}$  exposure, suggesting the potential for 30 LRP6 as a preventive treatment to protect BBB function in AD. However, whether targeting LRP6 31 can also reduce/clear Aß accumulation and preserve neurological function needs to be examined in 32 future in APP/PS1 mice at different ages. 33 34 In conclusion, BBB dysfunction due to BECs disruption is present in human AD brains, and occurs

- 1 at the early stages of AD pathogenesis in APP/PS1 mice. In BECs, targeting the Wnt/β-catenin
- 2 pathway upstream co-receptor LRP6 can restore the Aβ oligomer-induced pathologies, suggesting
- 3 LRP6 as a new potential target for AD treatment.

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# 10 Competing interests

11 The authors declare no competing interests.

# 12 Supplementary material

13 Supplementary material is available at *Brain* online.

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# 1 Figure legends

- 2 Figure 1 BBB dysfunction and BEC disruption in postmortem AD brains. (A)
- 3 Fibrinogen/CD31, Cldn5 and Aβ/Glut1 staining in the cortex and (B) hippocampus of postmortem
- 4 health control and patients with AD. (C) Quantification of Cldn5 and (D) Glut1 intensity and (E)
- 5 Aβ plaques in the cortex and hippocampus of healthy controls and AD patients. Black frames in the
- 6 low-magnification images indicate the location of the high-magnification images at the right.
- 7 Arrows indicate Aβ plaques, and arrowheads indicate the fibrinogen leakage and decreased Cldn5
- and Glut1 in AD patients. Scale bar: 200  $\mu$ m in (A) and (B). Data are presented as mean  $\pm$  SEM, n
- 9 = 5, \*p < 0.05, \*\*p < 0.01.

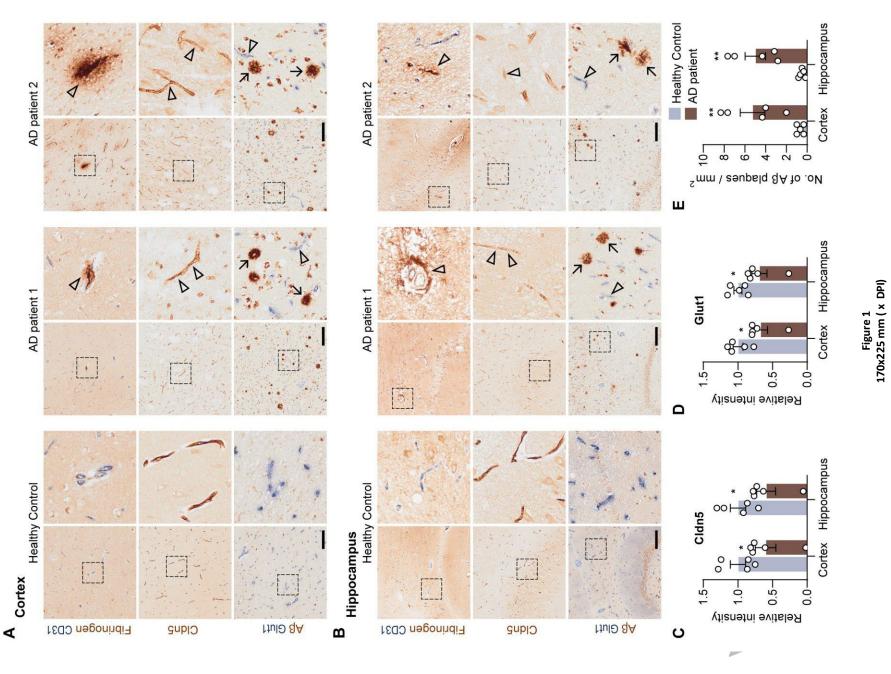
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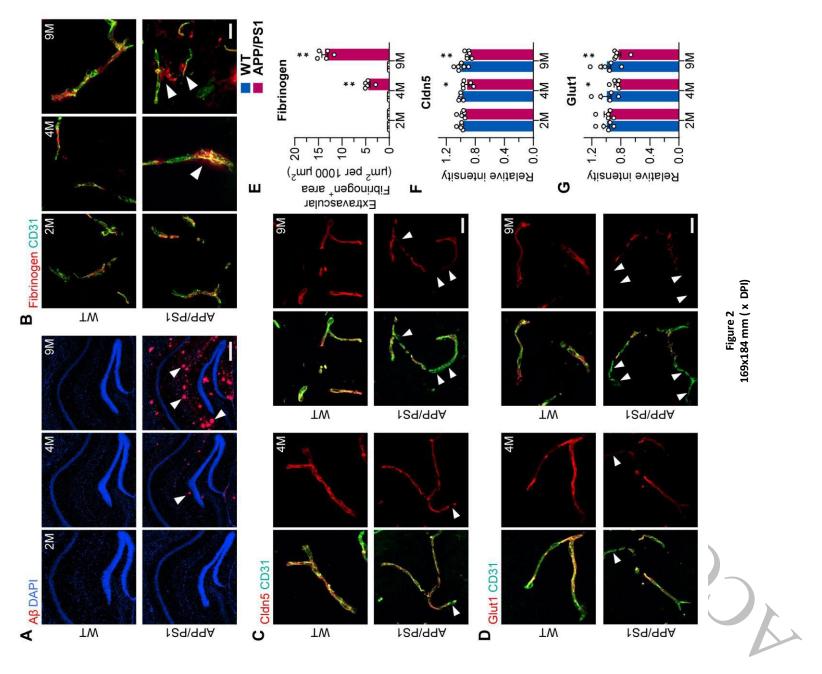
- Figure 2 BBB dysfunction and BEC disruption presented at an early stage of AD pathogenesis
- in APP/PS1 mice. (A) Immunostaining of  $A\beta$  in the hippocampus of 2, 4 and 9-month old wide-
- 13 type (WT) and APP<sub>swe</sub>/PS1<sub>dE9</sub> (APP/PS1) mice (Scale bar: 500 μm). (B) Immunostaining of
- Fibrinogen and CD31 in the hippocampus of 2, 4 and 9-month old WT and APP/PS1 mice (Scale
- bar: 20 μm). (C) Immunostaining of Cldn5 in the hippocampus of 4-month and 9-month WT and
- APP/PS1 mice (Scale bar: 20 μm). (**D**) Immunostaining of Glut1 in the hippocampus of 4-month
- and 9-month old WT and APP/PS1 mice (Scale bar: 20 µm). Arrowheads indicate the markers of
- 18 interest in APP/PS1 mice. (E-G) Quantification of Fibrinogen, Cldn5 and Glut1 in the
- hippocampus of 2, 4 and 9-month old WT and APP/PS1 mice. Data are presented as mean  $\pm$  SEM,
- 20 n = 5, \*p < 0.05, \*\*p < 0.01.

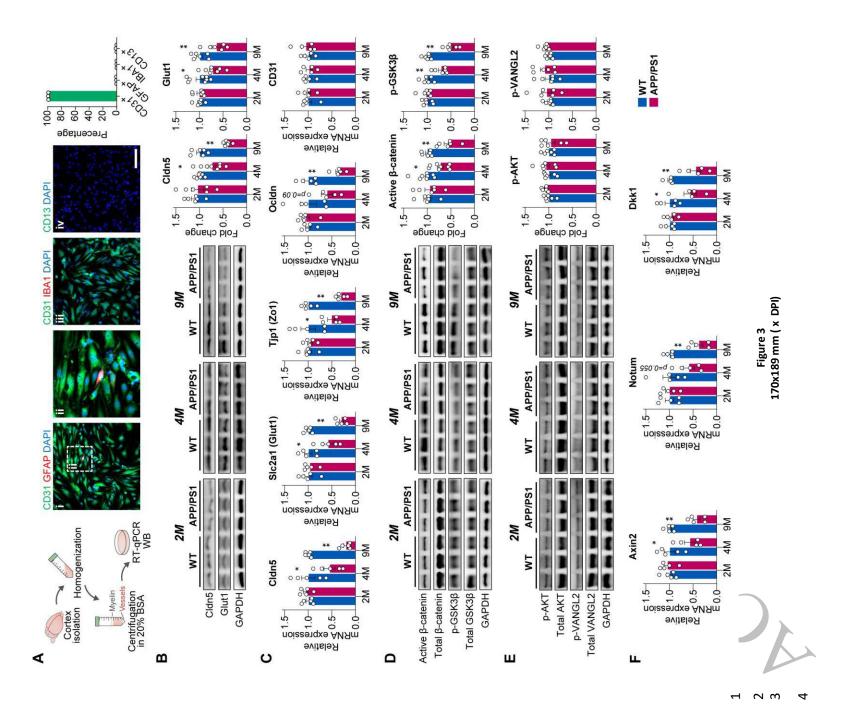
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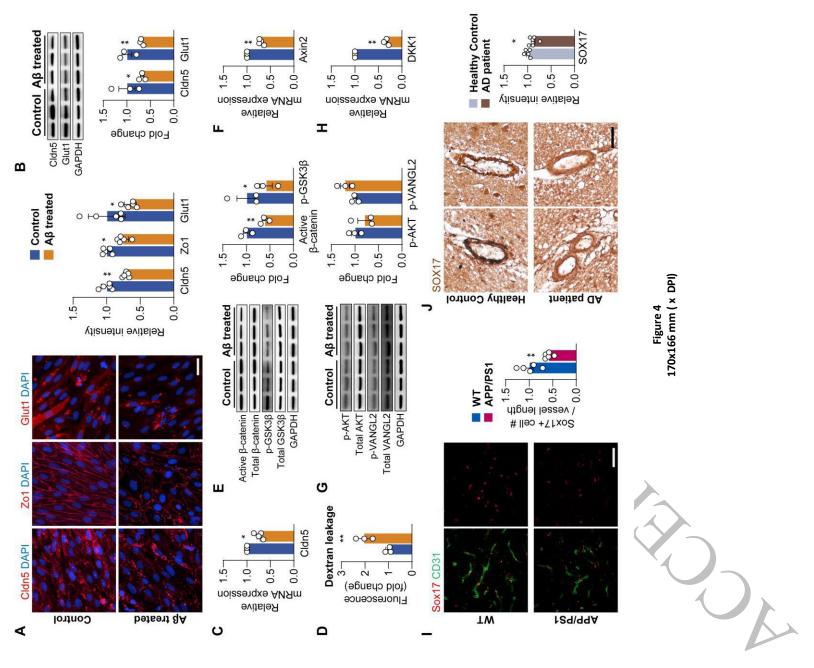
- 22 Figure 3 Decreased Wnt/β-catenin pathway in cerebral microvessels of APP/PS1 mice. (A)
- 23 Schematic diagram of cerebral microvessels isolation. Representative immunostaining images of
- 24 markers for (i and ii) endothelium (CD31), astrocyte (GFAP), (iii) microglia (IBA1), and (iv)
- pericyte (CD13) (Scale bar: 100 μm). (B) Protein levels of Cldn5 and Glut1 in isolated cerebral
- 26 microvessels of 2, 4 and 9-month old WT and APP/PS1 mice. (C) mRNA expression of Cldn5,
- 27 Zo1, Glut1, Ocldn and CD31 in microvessels from 2, 4 and 9-month old WT and APP/PS1 mice.
- 28 (D) Protein levels of active β-catenin and phosphorylated Ser9-GSK3β in microvessels form 2, 4
- and 9-month old WT and APP/PS1 mice. (E) Protein levels of AKT and Vangl2 in microvessels of
- 30 2, 4 and 9-month old WT and APP/PS1 mice. (F) mRNA expression of canonical Wnt pathway
- 31 targets Axin2, Notum and DKK1 in microvessels of 2, 4 and 9-month old WT and APP/PS1 mice.
- Data are presented mean  $\pm$  SEM, n = 6 in 2 and 9-month group, n = 8 in 4-month group for western
- blot experiment; n = 5 for RT-qPCR experiment; \* p < 0.05, \*\* p < 0.01.

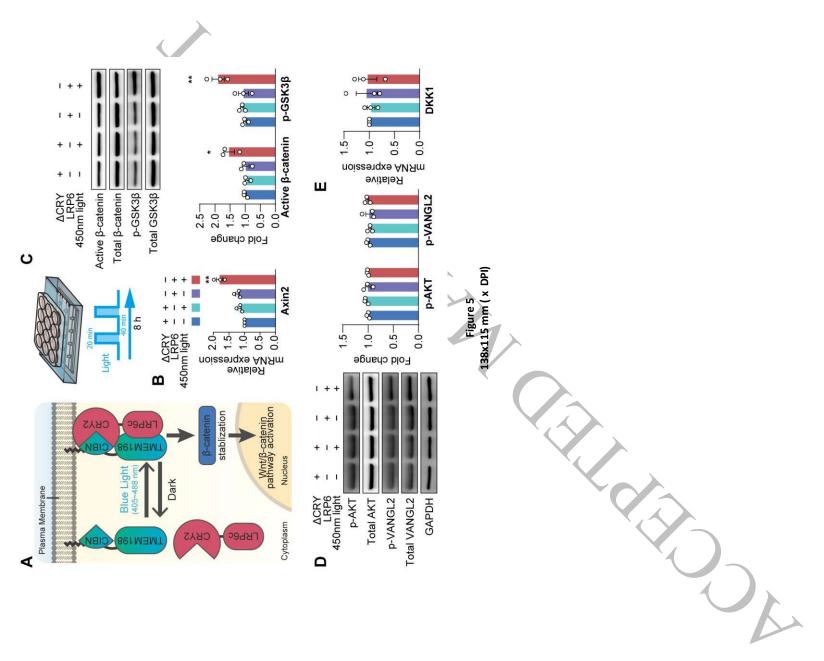
- 1 Figure 4 Aβ oligomer treatment induces BEC disruption and downregulates Wnt/β-catenin
- 2 signaling elements. (A) Immunostaining and quantification of Cldn5, Zo1 and Glut1 in control and
- 3 Aβ treated BECs (Scale bar: 20 μm). (B) Protein levels of Cldn5 and Glut1, (C) mRNA expression
- 4 of Cldn5, (D) In vitro trans-well permeability assay, (E) protein levels of active β-catenin and
- 5 phosphorylated Ser9-GSK3β, (F) mRNA expression of Axin2, (G) protein levels of AKT and
- 6 Vangl2 and (H) mRNA expression of DKK1 in control and Aβ treated BECs. (I) Immunostaining
- 7 and quantification of Sox17 in 4-month old WT and APP/PS1 mice (Scale bar: 50 μm). (J) Sox17
- 8 levels in the cortex of health control and AD patients (Scale bar: 50 µm). Data are presented as
- 9 mean  $\pm$  SEM, n = 3 in (B-H), n = 5 in (A, I, J), \* p < 0.05, \*\* p < 0.01.
- 10
- 11 Figure 5 Optogenetic activation of Wnt/β-catenin pathway. (A) Schematic diagram of the
- 12 optogenetic method. (B) Axin2 mRNA expression, (C) protein levels of active β-catenin and
- phosphorylated Ser9-GSK3β, (**D**) protein level of AKT and Vangl2, (**E**) DKK1 mRNA expression
- in the BECs. Data are presented as mean  $\pm$  SEM, n = 3, \*p < 0.05, \*\*p < 0.01.
- 15
- 16 Figure 6 Light stimulated OptoLRP6 activation rescues BEC disruption after Aβ oligomer
- 17 treatment. (A) Schematic diagram of experiment design. (B) Axin2 mRNA expression, (C)
- immunostaining and quantification of Cldn5, Zo1 and Glut1 (Scale bar: 20 μm), (**D**) protein levels
- of Cldn5 and Glut1, (E) Cldn5 mRNA expression, (F) In vitro trans-well permeability assay in
- BECs. Data are presented as mean  $\pm$  SEM, n = 3, \*p < 0.05, \*\*p < 0.01.
- 21
- 22 Figure 7 Activation of the Wnt/β-catenin pathway by OptoLRP6 prevents Aβ oligomer-
- 23 induced BEC disruption. (A) Schematic diagram of experiment design. (B) Axin2 mRNA
- 24 expression, (C) immunostaining and quantification of Cldn5, Zo1 and Glut1 (Scale bar: 20 μm), (D)
- 25 protein levels of Cldn5 and Glut1, (E) Cldn5 mRNA expression, (F) In vitro trans-well
- permeability assay in BECs. Data are presented as mean  $\pm$  SEM, n = 3, \* p < 0.05, \*\* p < 0.01.
- 27
- 28 Figure 8 Targeting LRP6 in the Wnt/β-catenin pathway in brain endothelial cells alleviates
- 29 **blood-brain barrier dysfunction.** In the Alzheimer's disease (AD) brain, Aβ oligomers suppress
- 30 Wnt/β-catenin signaling in the brain endothelial cells (BECs), leading to reduced expression of
- endothelial functional proteins and blood brain barrier (BBB) malfunction. Our recently developed
- 32 optogenetic tool allows precise regulation of LRP6, the upstream regulator of Wnt/β-catenin
- signaling. The activation of Wnt/ $\beta$ -catenin signaling restored A $\beta$ -inhibited BEC function by
- 34 reversing and preventing Aβ-induced BEC pathological changes.

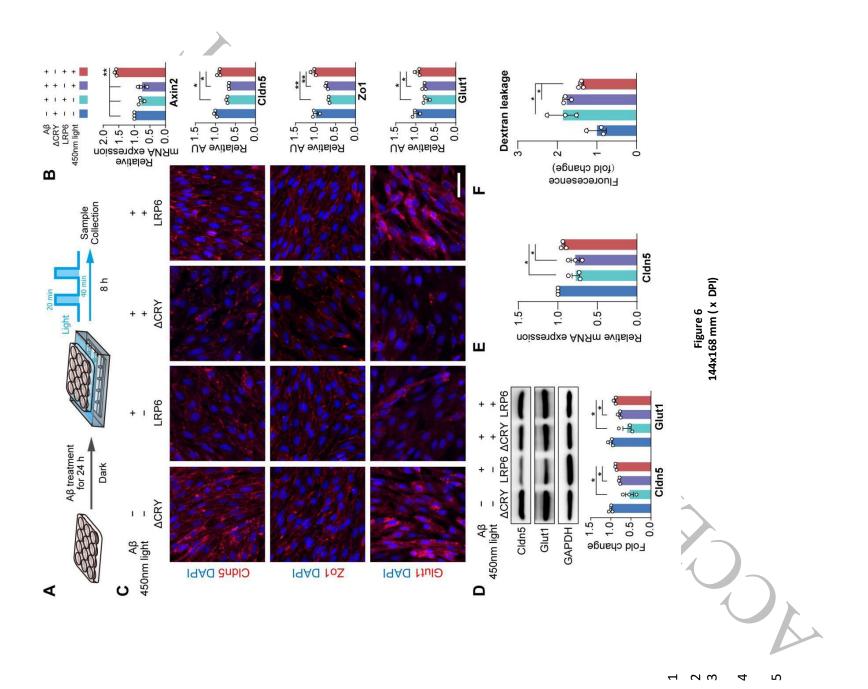


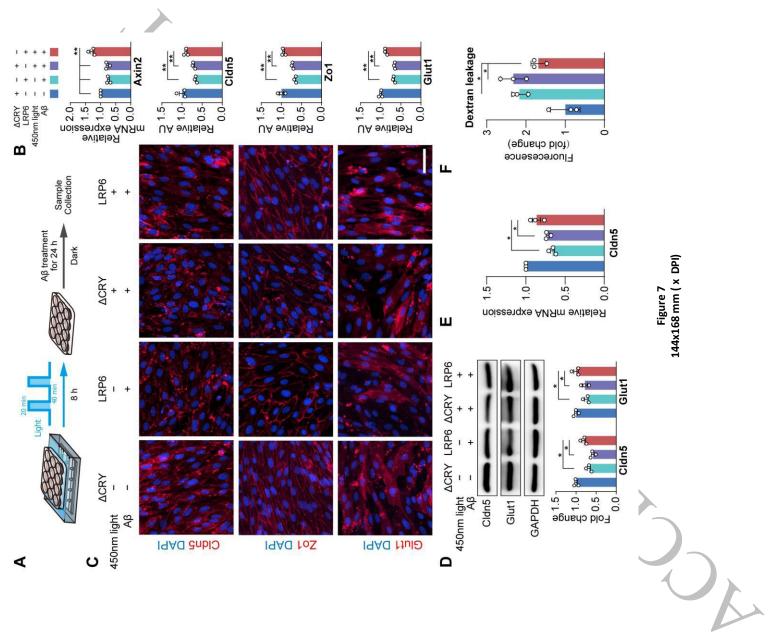












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