Faculty of Engineering and Information Technology University of Technology Sydney

Rail Infrastructure Defect Detection Through Video Analytics

A thesis submitted in partial fulfillment of the requirements for the degree of **Doctor of Philosophy**

 $\mathbf{b}\mathbf{y}$

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CERTIFICATE OF ORIGINAL AUTHORSHIP

I, Huaxi Huang declare that this thesis, is submitted in fulfilment of the requirements for the award of Doctor of Philosophy, in the School of Electrical and Data Engineering, Faculty of Engineering and Information Technology at the University of Technology Sydney.

This thesis is wholly my own work unless otherwise referenced or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

This document has not been submitted for qualifications at any other academic institution.

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Production Note: Signature: Signature removed prior to publication. Date: **07/02/2022**

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Contents

Certificate of Original Authorship
Acknowledgment
List of Figures
List of Tables
List of Publications
Abstract
Chapter 1 Introduction
1.1 Background 1
1.2 Research Challenges
1.2.1 Fine-grained Defect Recognition
1.2.2 Few-shot Learning for Defect Recognition 6
1.2.3 Summary
1.3 Research Contributions
1.4 Thesis Structure
Chapter 2 Literature Review
2.1 Automatic Industrial Defect Recognition
2.2 Fine-grained Image Classification
2.3 Generic Few-shot Learning
2.4 Fine-grained Few-shot Learning
2.5 Semi-Supervised Few-shot Learning
Chapter 3 RPSI Defect Recognition Using Fine-grained Deep
Convolutional Neural Networks

CONTENTS

3.1	Introd	luction $\ldots \ldots 23$
3.2	RPSI	Defect Dataset
3.3	Metho	$ dology \ldots 26 $
	3.3.1	STABLR Model
	3.3.2	CNN Feature Acquisition
3.4	Exper	iments
	3.4.1	Experiment Setup
	3.4.2	Experiments Results Analysis
3.5	Summ	nary
Chapt		Fine-grained Few-shot RPSI Defect Recognition
		using Aligned Pairwise Bilinear Framework 38
4.1		luction
4.2		em Definition
4.3		ise Alignment Bilinear Network
	4.3.1	Pairwise Bilinear Pooling
	4.3.2	0
4.4	Low-F	Rank Pairwise Alignment Bilinear
	Netwo	ork
	4.4.1	Low-Rank Pairwise Bilinear Pooling
	4.4.2	Feature Alignment Layer
	4.4.3	Comparator
	4.4.4	Model Training
	4.4.5	Network Architecture
4.5	Target	t-Oriented Alignment Network
	4.5.1	TOMM (Target-Oriented Matching Mechanism) 60
	4.5.2	GPBP (Group pairwise Bilinear Pooling) 61
	4.5.3	Comparator
	4.5.4	Network Architecture
4.6	Exper	$\operatorname{iment} \ldots 65$
	4.6.1	Datasets
	4.6.2	Experimental Setup

	4.6.3	Experimental Results for PABN and LRPABN on Generic
		Fine-grained Datasets
	4.6.4	Experimental Results for PABN and LRPABN on the
		RPSI Defect Dataset
	4.6.5	Experimental Results for TOAN on RPSI Defects and
		Fine-grained Datasets
	4.6.6	Ablation Studies
4.7	Summ	nary
Chapte	er 5 I	Poisson Transfer Network for Semi-supervised Few-
	s	hot RPSI Defect Recognition
5.1	Introd	luction $\ldots \ldots $
5.2	Metho	dology
	5.2.1	Problem Definition
	5.2.2	Representation Leaning
	5.2.3	Poisson Label Inference
	5.2.4	Proposed Algorithm
5.3	Exper	iments
	5.3.1	Datasets
	5.3.2	Implementation Details
	5.3.3	Experimental Results
	5.3.4	Ablation Study
	5.3.5	Inference Time
5.4	Summ	nary
Chapte	er 6 (Conclusions and Future Work
6.1	Concl	usions \ldots \ldots \ldots \ldots \ldots \ldots \ldots 116
6.2	Future	e Work
Bibliog	graphy	

List of Figures

1.1 An example of Railway Power Supply Infrastructure. We labeled two pieces of Dropper equipment with red and green boxes. The green one presents a standard Dropper equipment where the red one contains a defect Dropper equipment that is disconnected at the end of line 5 (L5). L1 (L2/3/4/5) indicates the power supply cable.

2

5

- 1.2 An example of Splice defect. (a) and (b) show V-wear defects in connection of wire and splice labeled by red boxes, (c) and (d) show standard Splices. (e) is the magnification of the first four images details. The first row of (e) shows the defect part of (a) which is a V-wear, the second row shows the defect part of (b) and the remaining rows are samples of standard parts of Splice (c)' bottom part and (d) (both top and bottom parts) which we draw with green lines.
- 3.1 An example of railway infrastructure defects categories. (a) and (b) represent Knuckle, (c) and (d) represent Kline, (e) and (f) represent Dropper1, and (g) as well as (h) represent Dropper2. The detailed parts to distinguish defects are labeled with red boxes.
 26

3.2	The complete architecture of the STABLR model. STABLR can be divided into two parts: STN and Low-Rank BCNN. STN can learn the invariant representation of the dataset and Low-Rank BCNN can capture the fine-grained features of the
	input images
3.3	P-R curves for all classes in STABLR models
4.1	The high inter-class visual similarity and significant intra-class variations in FGFS tasks are more rigorous than general FG tasks. Some Herring gull and western gull images have similar visual appearances, which indicates the subtle inter-class vari- ance. However, in each class, gulls present different postures with different backgrounds, which brings significant intra-class
4.2	variance
4.3	raw images. Alignment Layer matches the pairs of support and query. Pairwise Bilinear Pooling acts as a fine-grained extractor that captures the subtle features. The Comparator generates the final results

- 4.4 Detailed network architectures used in LRPABN. (a) The Embedding network with Alignment Layer. (b) Low-Rank Pairwise Bilinear Pooling Layer. (c) The Comparator. I_i represents the query image, while I_j is the support image, x_i, x_j are the embedded image features and $b_{i,j}$ represents the comparator. 55
- The overview of proposed TOAN in the N-way-one-shot FGFS 4.5task, other support samples are omitted (replaced by N). The model consists of three parts: the feature embedding f_{θ} learns the convolved features, the fine-grained relation extractor g_{ω} gnerates bilinear features, and the comparator C_{ϕ} maps the query to its ground-truth class. g_{ω} contains target-orientated matching mechanism (TOMM) and group pairwise bilinear pooling (GPBP), TOMM aims at reformulating the features of support image to match the query image feature in the embedding space through the cross-correction attention mechanism, while GPBP is designed to extract discriminative second-order features by incorporating the channel grouping. With TOMM and GPBP, g_{ω} learns to generate robust bilinear features from support-query pairs. PBP stands for the pairwise Bilinear Pooling, and we use different colors to indicate the feature maps in GPBP. 57
- 4.6 The architecture of fine-grained relation extractor, the left figure denotes TOMM, and the right one represents the GPBP operation. A and B indicate the embedded support sample and query sample respectively, Z is the fine-grained relation. 64

82

- 4.10 TOMM Visualization, the first image in each row (except for the first row) represents the support image, and the remaining images in the row are the aligned results of the support image, which are matched to each query image (in each column from the first row).87

88

92

4.11	Ablation studies about the proposed GPBP, including seman-
	tic channel grouping validation 4.11(a) and feature dimension
	selection 4.11(b). For each group of validation experiments,
	we show the 1-shot and 5-shot results

- 4.12 t-SNE visualization of the features learned by TOAN, five classes are randomly selected, and in each class, 30 query images are randomly chosen. Different colored numbers are used to denote different classes, *i.e.*, red zero represents the white-necked raven, blue one denotes the blacked capped vireo, green two represents the Laysan albatross, purple three denotes the nighthawk, and yellow four denotes the spotted catbird. Moreover, each sample is represented by its corresponding number.
 4.9(a) and 4.9(b) show the t-SNE results of TOAN and RelationNet, respectively.

List of Tables

3.1	Railway Power Supply Infrastructure (RPSI) Defects Dataset.	25
3.2	CNN features extractors for Railway Infrastructure Defects	30
3.3	Detection Results for Railway Supply Power Infrastructure	
	(RPSI) Defects Dataset	34
4.1	The category partion for the four fine-grained datasets, which	
	is the same as PCM $\hfill \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots$	66
4.2	The class split of five datasets which is the same as \ldots .	66
4.3	Few-shot classification accuracy $(\%)$ comparisons on four fine-	
	grained data sets. The second-highest-accuracy methods are	
	highlighted in blue color. The highest-accuracy methods are	
	labeled with the red color. '-' denotes not reported. All results	
	are with 95% confidence intervals where reported	71
4.4	Few-shot classification accuracy $(\%)$ comparisons on four fine-	
	grained and RPSI Defect data sets. The highest-accuracy and	
	second-highest-accuracy methods are highlighted in red and	
	blue, respectively. All results are with 95% confidence intervals	
	where reported.	73
4.5	Fine-grained Few-shot classification accuracy $(\%)$ comparisons	
	on RPSI Defect and four FG benchmarks. All results are with	
	95% confidence intervals where reported. We highlight the	
	best and second-best methods	78

4.6	Ablation study of LRPABN with different components. The	
	results are reported with 95% confidence intervals. Model size	
	indicates the number of parameters for each model, the Test	
	Time is the testing time for each input query image, and the	
	Bilinear Dim represents the bilinear feature dimension of the	
	each model	30
4.7	Impact of input image size on FSFG	31
4.8	The ablation study on TOMM and GPBP. The upper and	
	lower parts of the table show the ablation study on TOMM	
	and GPBP, separately. We incorporate each framework with	
	TOMM and GPBP, we observe definite improvements $(\%)$.	
	We also show the results of the whole model TOAN 8	35
4.9	Ablation study of TOAN for other choices. Few-shot classifi-	
	cation results (%) on four FG datasets. The lower parts of the	
	table is the different backbone choices of TOAN	6
4.10	Ablation study of TOAN for the output channel size of d_{α}, d_{β} .	
	The table shows five-way few-shot recognition results (%) on	
	the CUB dataset	87
4.11	Investigation of model complexity. Model size indicates the	
	number of parameters for each model, and the Test Time is	
	the testing time for each input query image	39
4.12	Investigation of model scalability. Model size indicates the	
	number of parameters for each model	0
5.1	The five-way-one-shot and five-way-five-shot image classifica-	
0.1	tion accuracy (%) on the RPSI Defect dataset with 95% con-	
	fidence interval.	18
5.2	The five-way, one-shot and five-shot recognition accuracy (%)	Ŭ
0.2	on the two datasets with 95% confidence interval. We mark	
	the best performance in bold. The upper and lower parts of the	
	table show the results on miniImageNet and tieredImageNet,	
	respectively	9
		0

5.3	The five-way-one-shot and five-way-five-shot recognition accu-
	racy $(\%)$ using various number of extra unlabeled samples on
	the miniImageNet dataset. PTN^* denotes that we adopt PTN
	as the transductive model without fine-tune embedding. We
	mark the best results in bold. $\ldots \ldots 110$
5.4	Accuracy with various extra unlabeled samples for different
	semi-supervised few-shot methods on the $mini$ ImageNet dataset.
	All results are averaged over 600 episodes. We mark the best
	results in bold. \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots 111
5.5	Distraction comparison on the $mini {\rm ImageNet}$ dataset 112
5.6	Distraction comparison on the $tiered {\rm ImageNet}$ dataset 113
5.7	Ablation studies about the proposed PTN, all methods are
	based on a pretrained embedding with 200 extra unlabeled
	samples each class on miniImageNet for five-way-one-shot and
	five-way-five-shot classification (%). Best results are in bold 114
5.8	Mean inference time for the five-shot tasks on $mini$ ImageneNet
	dataset

List of Publications

Papers Published

- Huaxi Huang, Junjie Zhang, Jian Zhang, Qiang Wu, Chang Xu, *PTN: A Poisson Transfer Network for Semi-supervised Few-shot Learning*, in Proceeding of the 35th AAAI Conference on Artificial Intelligence (AAAI-21), pp: 1602-1609, 2021.
- Huaxi Huang, Junjie Zhang, Jian Zhang, Qiang Wu, Chang Xu, *TOAN: Target-Oriented Alignment Network for Fine-Grained Image Categorization with Few Labeled Samples*, IEEE Transactions on Circuits and System for Video Technology (TCSVT), vol: 32, pp: 853-866, 2022.
- Huaxi Huang, Junjie Zhang, Jian Zhang, Jingsong Xu, Qiang Wu. Low-Rank Pairwise Alignment Bilinear Network For Few-Shot Fine-Grained Image Classification. IEEE Transactions on Multimedia (TMM), vol: 23, pp: 1666-1680, 2021.
- Huaxi Huang, Junjie Zhang, Jian Zhang, Qiang Wu and Jingsong Xu, Compare More Nuanced: Pairwise Alignment Bilinear Network for Few-Shot Fine-Grained Learning, in IEEE International Conference on Multimedia and Expo (ICME-19), pp. 91-96, 2019.
- Huaxi Huang, Jingsong Xu, Jian Zhang, Qiang Wu, et al. *Railway* Infrastructure Defects Recognition using Fine-grained Deep Convolutional Neural Network. in IEEE International Conference on Digital Image Computing: Techniques and Application, pp: 1-8, 2018.

Abstract

Compared with the traditional railway infrastructure maintenance process, which relies on manual inspection by professional maintenance engineers, inspection through automatic video analytics will significantly improve the working efficiency and eliminate the potential safety concern by reducing physical contact between maintenance engineers and infrastructure facilities. However, the defect does not always have a stable appearance and involves many uncertainties exposed in the clutter environments. On the other hand, various brands of the same devices are used widely on the railway, which shows diverse physical models. Therefore, it creates many challenges to the existing computer vision algorithms for defect detection. In this thesis, two key challenges are abstracted with regard to video/image analytics using computer vision techniques for railway infrastructure defect detection, resulting from the fine-grained defect recognition and the limited labeled learning (fewshot learning). This thesis summarizes the works that have been conducted on utilizing different methods to solve the two challenges.

The first challenge is fine-grained defect recognition. For railway infrastructure defect inspection, damaged or worn equipment defects are usually found in some small parts compared to the whole object. That is, the differences between the defective ones and standard ones are fine-grained. How to find these subtle defects is a fine-grained recognition problem. This thesis proposes a bilinear CNNs model to tackle the defect detection problem, which effectively captures the invariant representation of the dataset and learns high-order discriminative features for fine-grained defect recognition. Another challenge is the limited labeled data (few-shot learning). In many scenarios, obtaining abundant labeled samples is laborious. For example, in industrial defect detection, most defects exist only in a few common categories, while most other categories only contain a small portion of defects. Moreover, annotating a large-scale dataset of railway infrastructure defects is labor-intensive, which requires high expertise in railway maintenance. Thus, how to obtain an effective model with sparse labeled samples remains an open problem. To address this issue, this thesis proposes a framework to simultaneously reduce the intra-class variance and enlarge the inter-class discrimination for both fine-grained defect recognition and general fine-grained recognition under the few-shot setting. Three models are designed according to this framework, and comprehensive experimental analyses are provided to validate the effectiveness of the models. This thesis further studies the few-shot learning problem by mining the unlabeled information to boost the few-shot learner for defect/general object recognition and proposes a Poisson Transfer Model to maximize the value of the extra unlabeled data through robust classifier construction and self-supervised representation learning.