

Advanced Approaches for Bone CT Analysis Based on Deep Learning

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CERTIFICATE OF ORIGINAL AUTHORSHIP

I, Xiaoxu Li declare that this thesis, is submitted in fulfilment of the requirements for the award of Doctor of Philosophy, in the School of Electrical and Data Engineering, Faculty of Engineering and Information Technology at the University of Technology Sydney.

This thesis is wholly my own work unless otherwise referenced or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

This document has not been submitted for qualifications at any other academic institution.

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Abstract

Computed Tomography (CT), as a 3D imaging technique, has greatly facilitated bone analysis over the past few decades. This thesis aimed to design novel deep learning approaches to analyse human bone CT. Four works have been conducted, i.e., anatomical segmentation of foot weight-bearing cone beam CT (CBCT), instance segmentation of wrist CT, semi-supervised segmentation of bone CT, and bone health analysis via bone fracture prediction.

In the first work, we developed a multi-stage method, FootSeg, for the anatomical segmentation of foot CT. FootSeg consisted of three parts, foot preprocessing, foot region segmentation, and foot bone classification. The multi-stage framework greatly simplified the implementation of the FootSeg method and achieved both qualitatively and quantitatively remarkable results. The mean Intersection-Over-Union on the bone parts was 90.3% on the testing set. To the best of our knowledge, this was the first research of fully automatic foot anatomical segmentation from weight-bearing CBCT via deep learning methods.

The second work focused on the instance segmentation of wrist CT. A novel semiautomatic method was designed to annotate 5K wrist CT slices. The annotation workload and time have been greatly reduced. An end-to-end edge reinforced U-net segmentation model was developed and demonstrated satisfying results. To the best of our knowledge, this was the first work on wrist CT instance segmentation using deep learning methods.

The third work aimed to solve the bone segmentation problem with fewer annotation data via semi-supervised learning. A patch-shuffled data transformation method was developed, and a patch-shuffle-based semi-supervised segmentation method was proposed for bone CT segmentation. Two supervised losses and a consistent unsupervised loss were employed to utilize both the labeled and unlabeled data. The proposed method was evaluated on various bone CT datasets, and the results demonstrated superior performance.

The last work was about bone health analysis via bone fracture prediction. We collected data from three population-based cohorts and processed the unstructured raw data as a structured database for model training and evaluation. We developed a deep learning-based fracture prediction model to predict the bone fragility fracture in the next five years. Compared with the clinical index of BMD T-score and FRAX, the proposed model could identify the bones with fragility fracture within five years with higher AUC values. This was the first research using the deep learning models to identify individuals with upcoming fragility fractures using wrist CT.

Publications

Journal Papers:

- Tianrong Rao, Xiaoxu Li, Haimin Zhang, Min Xu, "Multi-level region-based convolutional neural network for image emotion classification," *Neurocomputing*, vol. 333, pp. 429-439, Mar, 2019.
- Tianronog Rao, Xiaoxu Li, Min Xu, "Learning multi-level deep representations for image emotion classification," *Neural Processing Letters*, vol. 51, no. 3, pp. 2043-2061, June 2020.
- Xiaoxu Li, Yu Peng, Min Xu, "Patch-Shuffle-Based Semi-Supervised Segmentation of Bone Computed Tomography via Consistent Learning," submitted to *Biomedical Signal Processing and Control*, 2022.
- 4. Xiaoxu Li, Yu Peng, Min Xu, "Deep Learning in Bone CT: a Systematic Review," submitted to *Artificial Intelligence in Medicine*, 2022.
- 5. Xiaoxu Li, Roland Chapurlat, Serge Ferrari, Min Bui, Ali Ghazem-Zadeh, Ego Seeman, Min Xu, Yu Peng, "Deep Learning Using Only High-Resolution Forearm Images Predicts Fracture," submitted to New England Journal of Medicine, 2022.

Conference Papers:

1. Xiaoxu Li, Yu Peng, Min Xu, "Edge-enhanced Instance Segmentation of Wrist CT via a Semi-Automatic Annotation Database Construction Method," in *Proc. 2021* DICTA: Digital Image Computing: Techniques and Applications, pp. 590-597, Nov 2021.

 Xiaoxu Li, Yu Peng, Min Xu, "FootSeg: Automatic Anatomical Segmentation of Foot Bones from Weight-Bearing Cone Beam CT Scans," submitted to *DICTA: Digital Image Computing: Techniques and Applications*, 2022.

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