## Assessing the Reach, Scope and Outcomes of Government Action on Women's Health and Human Rights: A Protocol for the Development of an International Women's Rights Dataset

International Journal of Qualitative Methods Volume 21: 1–10 © The Author(s) 2022 DOI: 10.1177/16094069221114741 journals.sagepub.com/home/ijq SAGE

# Janani Shanthosh<sup>1,3</sup>, Keerthi Muvva<sup>1</sup>, Mark Woodward<sup>2</sup>, Ramona Vijeyarasa<sup>4</sup>, and Anna Palagyi<sup>1</sup>

#### Abstract

**Background:** The UN Convention on the Elimination of all Forms of Discrimination Against Women (CEDAW) represents an international commitment to equality in the enjoyment of human rights. International human rights scholars posit that, in facilitating constructive dialogues between states and human rights experts, the near-universally ratified Convention is a powerful tool for achieving global health goals, such as the Sustainable Development Goals (SDGs). Yet, the performance of such rights-based approaches in achieving gender equality, and empowering all women, has not been systematically measured and evaluated on a global scale. This study seeks to address the urgent need to support data-driven analyses to hold governments to account through the development of a global dataset measuring state action on women's health and human rights.

**Methods:** Standard systematic review methods will be used to review CEDAW periodic review reports produced by United Nations (UN) Member States, civil society organisations and the CEDAW Committee. Global participation with the review mechanism, the scope of health inequities covered by Committee recommendations, the nature of reported government action and the extent of implementation of each program will be extracted from each report. Only data from the two most recent reporting cycles will be analysed. Descriptive statistics will be used to analyse quantitative data, and all qualitative data will be analysed using policy mapping techniques.

**Discussion:** Using these data, the study will navigate the nature and the extent of state action to address these issues including by increasing women's leadership and participation, data collection, strengthening health systems, governance and coordination and establishing new human rights infrastructure. It will use the diversity of health and human rights issues affecting women to reframe traditional conceptualisations of global women's health which have largely focussed on sexual and reproductive health, to the exclusion of other aspects of women's lives through the life course. In addition, the study will aid the development of authoritative guidance on how each of these areas of state action and inaction contribute to health inequities, and a framework for designing interventions to address discrimination against women as it relates to health.

<sup>4</sup>University of Technology Sydney Law School, Sydney, NSW, Australia

Corresponding Author: Janani Shanthosh, The Australian Human Rights Institute, The George Institute for Global Health, University of New South Wales, I King Street Newtown, Sydney, NSW 2042, Australia.





Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (https://creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the SAGE and tes (https://us sage.uk.com/on-us/nam/onen-access-at-sage)

Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage).

<sup>&</sup>lt;sup>1</sup>The George Institute for Global Health, University of New South Wales, Sydney, NSW, Australia

<sup>&</sup>lt;sup>2</sup>The George Institute for Global Health, School of Public Health, Imperial College London, London, UK

<sup>&</sup>lt;sup>3</sup>The Australian Human Rights Institute, University of New South Wales, Sydney, NSW, Australia

#### **Keywords**

gender equality, human rights, women's health, global health

## Background

Human rights scholars have long held that rights-based approaches are a powerful tool for achieving global health goals, such as the Sustainable Development Goals (SDGs). Until now, the performance of rights-based approaches in achieving gender equality, and empowering all women, has not been systematically measured and evaluated on a global scale.

Gender inequality damages the physical and mental health of millions of girls and women across the globe. Taking action to improve gender equity in health, and to address women's rights to health, is one of the most potent ways to reduce health inequities.(Chapman, 2010) The interconnectedness of gender and health are well-established.(Manandhar et al., 2018; Shannon et al., 2019) Women's access to health services is influenced by the extent to which they enjoy social independence and experience gender differences in income earned. In addition, it is often associated with poorer health outcomes.(WHO, 2019) When it comes to nutrition, gendered norms and practices about food distribution often disadvantage girls and women.(UN Women, 2018) In relation to communicable diseases, there can be gendered patterns in exposure which make women more vulnerable. Gender norms can affect the uptake of services by women, and health systems may not take account of how unequal gender norms, roles and relations affect health.(UN Women, 2019; Theobald et al., 2017) Further, discrimination in health-care settings can lead to gaps in coverage.(WHO, 2017)

Strengthening, and consistently implementing, human rights mechanisms presents an opportunity for mobilising government action to address health inequities and their complex determinants. Such mechanisms, convened by intergovernmental organisations such as the United Nations (UN), work by bringing together Member States to confront common challenges. The UN, human rights specialists and civil society organisations agitate for governments to enact and strengthen effective, acceptable law, policies and programmes. UN Member States have important obligations to use the law to protect the health of vulnerable populations due to their voluntary and long-standing ratification of human rights instruments. Treaty bodies are custodians of the legal norms established by these instruments, and their work faces many challenges: shortages in human and financial resources, a high rate of non-compliance with reporting obligations resulting in persistent delays, and a large volume of documentation. The efficiency and effectiveness of these mechanisms are also complicated by States' capacity and willingness to comply with recommendations, tensions and controversies surrounding what it means for UN Member States to dedicate 'maximum available resources' and what is considered to be progressive realisation.(Skogly, 2012)

The UN Convention on the Elimination of all Forms of Discrimination Against Women (CEDAW), adopted in 1979 by the UN General Assembly, is a near-universally ratified human rights instrument. It brings to light all areas in which women are denied equality with men, including health. The thirty Articles of the Convention offer protections against discrimination, understood as "any distinction, exclusion or restriction made on the basis of sex in the political, economic, social, cultural, civil or any other field."(UN OHCHR, 2020) CEDAW is particularly powerful because it obliges states to enact or modify domestic legislation and constitutions to ensure the full development and advancement of women.

The Committee on the Elimination of all Forms of Discrimination against Women (CEDAW Committee) is the body of independent experts charged with monitoring the implementation of CEDAW. The Committee meets three times every year, for three weeks at a time, to review the human rights situation in each UN Member State. States report to the Committee every four years. The CEDAW Committee provides states with recommendations for concrete actions to improve women's health. However, despite more than 40 years of CEDAW reviews, there has been limited research undertaken into the effectiveness of the review system in motivating state action to implement health-related human rights recommendations. This is in part due to the challenges involved in developing a sound method to assess effectiveness of CEDAW in advancing women's health. To accelerate progress towards achieving the SDGs, there is an urgent need to address these methodological challenges, in order to understand the extent to which countries are acting to realise women's health rights, and the role treaty bodies play in influencing such action. This reflects calls by leading human rights experts that have highlighted that while human rights research and advocacy has traditionally relied on testimonial evidence, a methodology that arises from practices of both law and journalism, data-driven approaches are needed to move the field forward. (New York University, 2015)

Currently however, the literature exploring the impact of the CEDAW mechanism remains largely non-empirical. For example, existing socio-legal scholarship offers rich theoretical perspectives on how, and why, the ratification of CEDAW should be expected to improve women's health, and how it has led to global norm creation and enforcement. (Bond, 2014; Farhoumand-Sims, 2009; Moss, 2002; Raday, 2012; Zwingel, 2005) The limited empirical work evaluating and measuring CEDAW's impact has investigated the effect of ratifying CEDAW on health outcomes, on the broader human rights situation for women, and on female political empowerment. (Englehart & Miller, 2014; Simmons & Creamer, 2018; Tait et al., 2019) These studies see ratification as the

intervention and catalyst for change, rather than the ongoing engagement with CEDAW reviews, and interventions implemented in response to Committee recommendations over time. Two country-specific studies in Japan and New Zealand take a more granular approach, utilising CEDAW State Reports, government actions and the Committee's Concluding Observations to assess the impact that engaging with the CEDAW process has on workplace rights, litigation and law reform.(Krommendijk, 2013; Shinohara, 2008) However, as the authors highlight the resulting insights are highly contextspecific, and subsequently lack generalisability to other countries. One study provides an overview of recommendations provided during Universal Periodic Reviews (UPR), a mechanism scrutinizing the human rights record of UN Member States and where recommendations are State-led. (de Mesquita, 2018) The study does not extend to CEDAW reviews.

This study aims to address the gap in knowledge of CE-DAW's impact by measuring the effectiveness of the CEDAW review mechanism in mobilising government action to address gender inequality in health. The research team seeks to achieve this by developing a global dataset of government actions derived from the CEDAW reporting process to facilitate the monitoring and measurement of state action on women's health and human rights across all regions of the world.

#### **Explanation and Justification of Method**

#### Study Aim

This study seeks to assess the extent to which UN Member States are acting to realise human rights affecting the health of women. It does this by assessing the global reach of the CEDAW mechanism, examining the scope of issues covered by the CEDAW Committee's recommendations, investigating the categories of government action recommended by the CEDAW Committee, and determining the extent to which the recommendations are being implemented and how.

### **Study Design**

The objectives above will be achieved by assessing four broad measures of the CEDAW review system designed by the developers of this project: (1) reach; (2) scope; (3) output; and (4) outcomes (Table 1).

(1) *Reach* refers to the level of global participation with CEDAW Committee reviews by subregion, income level, and humanitarian crises. We define participation as having reported to the CEDAW Committee at least once. Objective 1 will measure reach by assessing levels of participation by Member

Table I. Summary of Study Objectives.

	CEDAW Mechanism	Purpose	Study Objective
Reach	Four-yearly periodic reviews	Establishes and maintains universal reach to influence global collective action on gender inequality (UN Human Rights Office of the High Commissioner UN OHCHR, 2006).	<b>Objective I:</b> Assess the global reach of the CEDAW mechanism by assessing levels of participation by Member States.
Scope	Health-related articles and general recommendations	Offers women protections against discrimination as "any distinction, exclusion or restriction made on the basis of sex which has the effect or purpose of impairing or nullifying the recognition, enjoyment or exercise by women, irrespective of their marital status, of human rights and fundamental freedoms in the political, economic, social, cultural, civil or any other field." https://www.ohchr.org/en/ instruments-mechanisms/instruments/ convention-elimination-all-forms- discrimination-against-women	<b>Objective 2:</b> Examine the scope of issues, relating to the discrimination against women, that CEDAW is addressing through the review process.
Output	Concluding observations	Provides observations and recommendations to facilitate government action that are concrete, focused and implementable (UN Human Rights Office of the High Commissioner UN OHCHR, 2006).	<b>Objective 3:</b> Investigate the categories of government action recommended by the CEDAW Committee.
Outcomes	Implementation of recommendations by UN Member States	States that parties should take action to condemn and address discrimination against women in all its forms. https://www.ohchr. org/en/instruments-mechanisms/ instruments/convention-elimination-all- forms-discrimination-against-women	<b>Objective 4:</b> Determine the extent to which the recommendations are being implemented, and how.

States. This analysis will enable us to broadly identify the characteristics of UN Member States in which CEDAW is influencing global action on women's health inequities, and those in which the CEDAW Committee's reach is limited.

(2) Scope refers to the five domains of health inequities in which the CEDAW Committee directs government action using the CEDAW framework: (i) On the ground experience of health care, including access to adequate health care facilities, health information, counselling and social support services; (ii) Legal protection of women's equal rights to health, which requires that no laws, policies or practices discriminate in access to health services; (iii) Equal rights to seek, receive and impart information; (iv) Equal rights to education; and (v) Equality in marriage and in family. These domains are modelled on the World Health Organization's CEDAW Provisions Related to Health.(WHO, 2015) Objective 2 will examine the scope of issues that CEDAW is addressing through the review process. Our analysis will enable us to pinpoint the areas in which the system is directing government action and enable us to identify areas that may be neglected.

(3) *Output* refers to the types of government action the CEDAW Committee is requesting, contained in the Concluding Observations issued to countries. Concluding Observations are the recommendations issued by the CEDAW Committee after consideration of a Member State's four-yearly progress report (State Report). Concluding Observations should be concrete, focused and implementable and provide a new 'baseline' against which future progress by states can be measured. Objective 3 will investigate the categories of government action recommended by the CEDAW Committee. Through this analysis we will be able to better understand how the CEDAW Committee conceptualises, designs and communicates their recommendations to states in order to address health inequities faced by women.

(4) *Outcomes* refer to the extent to which states have implemented the Committee's recommendations and *how* they have been implemented (e.g. the introduction of new legislation). Given that strengthening legal frameworks supporting women's health is a core focus of CEDAW, we will specifically investigate the core objectives of legislation, who it affects, how it works to support women's health, as well as any potential weaknesses or deficiencies. Objective 4 will determine the extent to which the recommendations are being implemented, and how. These analyses will provide a measure of CEDAW's effectiveness in motivating government action, and a comprehensive understanding of the areas in which governments are willing to act to address health inequities faced by women.

## Sampling

#### Data Sources

The primary data sources will be those reports submitted or produced by Member States, civil society organisations or the CEDAW Committee as part of the periodic CEDAW review process, i.e. State (country) Reports, Civil Society Organisation Reports and Concluding Observations. These reports will be accessed from a publicly available central repository for UN reports called the UN Treaty Body Database which is hosted by the UN Human Rights Office of the High Commissioner UN OHCHR, 2006 at https://tbinternet.ohchr. org. Reports are accessed by selecting the mechanism (CE-DAW) and UN Member State.

Only full periodic State Reports will be reviewed (i.e. the progress reports produced by member states at least once every four years). Lists of issues, responses to lists of issues and followup State Reports will be excluded. A list of issues document includes themes or topics that guide and focus the dialogue between a UN Member State's delegation and the CEDAW Committee during the consideration of a State Report.

To ensure the data collected are current, only data from the two most recent reporting cycles will be reviewed: Cycle 2 is the year in which a country submits their most recent periodic State Report; and Cycle 1 is the year in which the previous Concluding Observations were published by the CEDAW Committee.

## Characteristics of Participating Countries and Setting

UN Member States will be included in the study if they:

- 1. Are a UN Member State within the World Bank geographic regions of East Asia and the Pacific, South Asia, Sub-Saharan Africa, the Middle East and North Africa, Europe and North America and Latin America and the Caribbean; and
- 2. Have completed at least 1 periodic review cycle (deemed Cycle 2) and received Concluding Observations from the CEDAW Committee during Cycle 1.

UN Member States will be excluded from the study if they meet any of the following criteria:

- Has not ratified CEDAW (at the time of writing the US and Palau had signed, but not ratified, CEDAW, while Somalia, Sudan, Tonga, Iran and the Holy See had not signed or ratified CEDAW Commissioner) (UN OHCHR, 2020); or
- 2. Has ratified CEDAW but has not:
  - (a) Received at least 1 Concluding Observations report from the CEDAW Committee; and
  - (b) Submitted a State Report after receiving a Concluding Observations report from the CEDAW Committee (i.e. the member state must have submitted at least 2 State Reports: 1 before receiving Concluding Observations and 1 after).

Where countries are excluded, the reason for exclusion will be documented in an 'Exclusion Table' for reporting purposes.

### Data Extraction

All data will be extracted separately into a purpose-built data extraction form by members of the research team, and independently reviewed by JS.

## Research Team

Data extraction will be undertaken by a team of qualitative researchers, law students and legal trainees based at three institutions: The George Institute for Global Health, the Australian Human Rights Institute and Ashurst International respectively. The extraction team will be trained using a methodology handbook based on this protocol. To ensure consistency in the extraction, all data will be independently reviewed by JS. Data analysts will include a multidisciplinary team of researchers with legal, human rights, health systems and statistical expertise (JS, KM, AP, RV and MW). We anticipate that our multiple perspectives, and the diverse disciplinary background of the analysts, will allow comprehensive understanding of, and interrelationships within, the data and increase the scope and reliability of results.

## Objective 1: Assessing the Global Reach of the CEDAW Mechanism

The UN Treaty Body Database will be searched for all CEDAW-related documents for each country of each World Bank geographic region. Where available, the most recent State Report and all associated Civil Society Organisation reports (Cycle 2), and the Concluding Observation report received in the review cycle immediately prior (Cycle 1) will be identified. Based on the number of CEDAW review cycles completed, each country will be allocated to one of three categories:

- 1. "No review cycles" (no State Reports have ever been submitted by the Member State)
- "1 review cycle" (a Member State has received Concluding Observations but has not submitted a State Report addressing the CEDAW Committee's recommendations); or
- "At least 2 review cycles" (a Member State has received Concluding Observations and has submitted a State Report addressing the CEDAW Committee's recommendations).

Member States will be categorised into their World Bank regions, World Bank economic group (based on the World Bank 2020 fiscal year, published in July 2019), and whether the country was experiencing a humanitarian crisis as of August 2019 (as defined by INFORM for Risk Management).(ECJR, 2020)

## Objective 2: Examine the Scope of Issues Covered by the CEDAW Committee's Recommendations

Reviewers will analyse Cycle 1 Concluding Observations and map each recommendation to the relevant CEDAW Article or General Recommendation. They will also be assigned a particular CEDAW health-related article (Table 2). Recommendations set out in Concluding Observations are generally structured in the order of the CEDAW Articles. Where reports are structured differently, the research team will reach a consensus decision on which Article of CEDAW or General Recommendation best aligns with individual recommendations. Where long recommendations are structured in a single block of text or paragraph and consist of multiple 'sub-recommendations', each 'sub-recommendation' will be treated as a single and separate recommendation.

## Objective 3: Investigating the Categories of Government Action Recommended by the CEDAW Committee

Concluding Observations outline recommendations for specific government actions. These recommended state actions from Cycle 1 will be extracted and categorised into one of 14 categories, pre-developed based on a scoping review of 31 State Reports in the Asia Pacific region (Table 3). During this process, members of the research team identified all state actions resulting from the last cycle of CEDAW reviews and developed a comprehensive list of categories with which state actions could be coded. The definitions corresponding to each category were informed by a content analysis of the state actions.

## Objective 4: Determine the Extent to Which the Recommendations are Being Implemented and How

State Reports submitted to the CEDAW Committee in Cycle 2 will be used to evaluate states' implementation of the recommendations provided by CEDAW in their Concluding Observations in Cycle 1.

The review of Cycle 2 State Reports will involve:

- (a) Identifying state actions and the Cycle 1 CEDAW Committee recommendations they relate to;
- (b) Assessing the extent to which the state has implemented each of the CEDAW Committee's recommended State actions (implementation status).

The implementation status of CEDAW recommendations will be classified as follows:

 (a) Fully implemented (i.e. state responses that adequately address every aspect of the CEDAW Committee's recommendation)

Article/General Recommendations	Summary	
Article I: Definition of discrimination	Discrimination against girls and women is: "any distinction, exclusion or restriction made on the basis of sex which has the effect or purpose of impairing or nullifying the recognition, enjoyment or exercise by women, irrespective of their marital status, on a basis of equality of men and women, of human rights and fundamental freedoms in the political, economic, social, cultural, civil and any other field". https://www.ohchr.org/en/instruments-mechanisms/ instruments/convention-elimination-all-forms-discrimination-against-women	
Article 2: Policy measures	Governments are to condemn discrimination and abolish all discriminatory laws, customs and regulations.	
Article 3: Guaranteeing equality	Governments are to take all appropriate actions to advance women and protect their rights on a basis of equality with men.	
Article 4: Temporary special measures	Governments are to use affirmative action programs to advance women's rights and that these measures will not be considered as discriminatory.	
Article 5(a): Sex roles and stereotyping	Governments are to strive to eliminate cultural and traditional practices that perpetuate discrimination and gender stereotyping of women.	
Article 10(h): Education	The content of the education curriculum should not perpetuate negative stereotypes and governments should ensure that equal access to education, including sexual health education is available, accurate and non-discriminatory.	
Article II(I) (f): Employment	Women should have the right to work in safe working conditions that do not endanger their reproductive rights.	
Article 12: Health	Women have equal rights to accessing health care with a particular focus on sexual health, family planning services and pre- and post-natal care.	
Article 14(2) (b) & (d): Rural women	Rural women should have access to health care facilities including information, counselling and services related to family planning. Governments should ensure that rural women obtain training and education to increase their technical proficiency.	
Article 16(1) (e): Marriage	Governments should ensure that men and women have the same rights and responsibilities when deciding on the number and spacing of children. They should be informed and educated, enabling them to exercise these rights.	
General recommendation 12: Violence against women	Governments should report on legislation to protect women against violence, the existence of support services and statistical data.	
General recommendation 14	Governments should take appropriate and effective measures to eradicate the practice of female circumcision.	
General recommendation 15	Governments should intensify efforts to disseminate information to increase awareness of the risk of HIV, as well to ensure programs give special attention to the rights and needs of women and children.	
General recommendation 19: Violence against women	Governments should take all appropriate measures to end violence against women, irrespective of where it occurs or who the perpetrators may be.	
General recommendation 24: Article 12 of the convention (women and health)	Governments will recognise gender-based violence against women as a health issue and recognise the role of medical institutions and adequate health services in tacking this issue.	
General recommendation 35: Gender-based violence against women (updating GR No. 19)	As an update to GR 19, governments should take specific measures required to address gender-based violence against women, including repealing laws that perpetuate existing inequalities.	

Table 2. Health-related Articles and General Recommendations from CEDAW.<sup>1</sup>

<sup>1</sup>Adapted from World Health Organization (WHO) Department of Gender, Women and Health. 2007. Women's health and human rights: Monitoring the implementation of CEDAW. https://www.who.int/gender-equity-rights/knowledge/9789241595100/en/(referenced 1 July 2020).

- (b) Partially implemented (i.e. where state actions address only part of a multi-faceted recommendation)
- (c) Inadequate response (i.e. where states have taken action on an issue but not the action prescribed by the CEDAW Committee's recommendation)
- (d) Unacknowledged (i.e. recommendations that are not addressed in the State Report at all)

Civil Society Organisation Reports submitted to the CEDAW Committee may also contain information on how states implemented the CEDAW Committee's Recommendations in Cycle 1, from a civil society perspective (generally service providers, advocacy organisations or peak bodies). These reports will be reviewed to identify any content relevant to the health-related CEDAW articles and Table 3. Categories of recommended state action.

Category of Recommended State Action	Definition An organised, systematic effort through various communications media to alert the general population of a given area to anything of significant interest or concern or induce a desired positive behavioural change.	
Awareness campaigns		
Data collection	A process of gathering and measuring information on variables of interest, in an established systematic fashion that enables one to answer questions, test hypotheses and evaluate outcomes.	
Grass roots initiatives/projects	Projects employing collective action at a local level to create change locally, regionally or nationally. Such programs focus on empowering individuals and community members of a given area.	
Health system strengthening	Efforts to improve the health system of a country focussing on one or more of the six WHO health system building blocks: service delivery; health workforce; information; medical products, vaccines, and technologies; financing; and leadership and governance.	
Legislation/policy change	The design, reform, implementation and/or enforcement of a legislative instrument, regulation or government policy.	
Multilateral assistance	Support from an international organisation whose membership is made up of UN member states, such as the World Bank, World Health Organization and UNDP.	
Multisectoral collaboration	Collaboration among various stakeholder groups (e.g. government, civil society, and private sector) and sectors (e.g. health, environment, and economy) to jointly achieve an outcome.	
Reservation removal	A reservation to a treaty is completely withdrawn, meaning that the state is no longer exempt from the legal effects of that provision.	
Governance and coordination	The establishment or strengthening of the rules, relationships, systems and processes employed to ensure transparency and accountability in the implementation of CEDAW.	
Governance and coordination - establishing human rights infrastructure	The establishment and strengthening of national human rights institutions tasked with monitoring and reporting on the human rights situation for women.	
Women's leadership and participation	Efforts to ensure women's adequate representation (including the consideration of affirmative action programs), leadership and control over decisions affecting their health and wellbeing.	
Access to justice (response and remedies for injustice)	The development or strengthening of programmatic responses and legal remedies for rights violations.	
Access to justice (legal protection and legal aid)	The establishment or strengthening of legal protections, or the provision of legal aid, for the purposes of increasing women's access to justice for civil and criminal rights violations.	
Access to justice (monitoring and evaluation)	The collection of data for the purposes of monitoring and evaluating the effectiveness of measures taken to increase women's access to justice.	
Policy and strategy development	The process of developing a documented course or principle of action, adopted or proposed by a government.	
Resource investment and allocation	Relating to the mobilising of financial and other resources for specific programs, including both the generation of new resources and re-allocation of existing resources.	
Capacity building	Training and education programs intended to develop the knowledge and skills in community, government, or business stakeholders.	
Non-specific	Recommendations that do not contain a specific action.	

General Recommendations set out in Table 3. Relevant information will be extracted.

## Linking Government Action to the Sustainable Development Goals

Reviewers will identify whether CEDAW recommendations in Cycle 1 relate to the 2030 Agenda Sustainable Development Goals. This will be done using the Danish Human Rights Institute's SDG Human Rights Data Explorer (DIHR, 2020) by:

- 1. Selecting the country and "CEDAW" under the 'Treaty body' heading in the Advanced options.
- Identifying whether the health-related CEDAW recommendations in Cycle 1 identified in Step 1 are listed. If so, the SDG goals they relate to will be populated in

the extraction form in the "SDG Classification" column of the Summary Table.

## Assessing the Use of Legal Interventions

One reviewer with legal training will identify, and exhaustively extract, any legal interventions that states have implemented (as contained in the State Reports) in response to the CEDAW recommendations. For each of the extracted legal interventions, the reviewer will:

- Ascertain whether the legal interventions (e.g. India's Food Security Bill) have been implemented and are in force (yes/no);
- 2. Conduct research into these measures and summarise:
  - (a) The core objective/s of the legislation
  - (b) Which population groups the legal intervention affects
  - (c) How the legal intervention is operationalised, monitored or enforced
  - (d) Potential weaknesses or deficiencies

Where a state has mentioned that a legal intervention is 'in progress' (as opposed to fully implemented), this will be noted.

## **Data Analysis**

The six main study outcomes include: (1) the participation of UN Member States in CEDAW reviews (Objective 1), (2) the nature, scope and distribution of recommendations across CEDAW Articles and General Recommendations (Objective 2), (3) the nature, scope and distribution of government actions in response to recommendations, across the categories provided in Table 3 (Objective 3), (4) the extent of implementation and non-implementation of recommendations, from the perspectives of UN Member States and Civil Society Organisations (Objective 4), (5) the nature, scope and distribution of Committee recommendations and government actions across each of the Sustainable Development Goals (Objective 4) and (6) the nature and scope of legal interventions implemented by UN Member States (Objective 4). Objective 1 aims to encourage the CEDAW Committee and Member States to work together to identify and address the barriers faced by countries (if any) not participating in the CEDAW review process after ratifying CEDAW. Similarly, Objective 2 and 3 aim to inform the CEDAW Committee and civil society organisations of the extent to which recommendations comprehensively cover all aspects of healthrelated rights and adequately facilitate government accountability and action during subsequent reviews. Objective 4 will enable the Committee, UN Member States and civil society organisations to, through effective processes of follow-up, address recommendations that remain unacknowledged or not implemented. This research will assist the Committee and civil society organisations involved in the CEDAW process in

ensuring that CEDAW reviews remain legitimate and valuable in ensuring government accountability. By systematically assessing implementation, it also seeks to investigate the extent to which governments observe the international human rights system in an essentially perfunctory way by routinising inaction and non-cooperation.

Descriptive statistics will be used to summarise states' participation in CEDAW reviews, the nature of CEDAW Committee recommendations, their implementation status, and to determine any variation in study outcomes by country characteristics (global region/sub-region, economic group, humanitarian crisis status). Descriptive statistics in the form of summary statistics (measures of central tendency and variation) and graphical presentations (such as piecharts, dotplots, box-and-whisker plots and barcharts), will be generated from the qualitative data, with primary analyses being comparisons between regions, economic groups and by humanitarian crisis status. Chi-square or t-tests may be used, if considered meaningful. Associations between variables will be explored using cross-tabulations and scatter plots. Where appropriate, correlation and regression analyses will be used to quantify relationships, including accounting for confounding and mediation effects where possible.

Qualitative data (i.e. extracted Committee recommendations and State actions) will be analysed using policy mapping techniques.(Boyatzis, 1998) These thematic analyses will be used to determine the nature and scope of recommendations and actions, understand phenomena within the State context, and uncovering links among concepts and State's behaviours. Qualitative outcomes will be applied by the research team to generate and refine theory (modifiable propositions) to help explain, predict, and interpret state actions and the phenomena of interest, that is, the complex interaction of the UN with Member States, powered by often diverging interests, ideas and institutions.(Dubin, 1969; Patton, 2002)

### Discussion

We anticipate that this study will have a number of potential benefits for a variety of stakeholders including the CEDAW Committee, governments, human rights advocates and global health researchers. These data will provide stakeholders with a bank of laws, policies and programs that offer good practice examples and act as a guide for the enactment or reform of legislation in other jurisdictions. States commonly suffer from weak institutional memory due to some or most of the drafters of their reports no longer being available when reviews are held (often years after the submission of reports). Our analyses will address this by providing states with an up-to-date analysis of the strengths, weaknesses, and implementation gaps. Ideally, the dataset will be updated longitudinally which will allow for trend analyses and an assessment of global progress over time. These data also complement existing human rights tools. For example, the Gender Legislative Index (GLI) developed and led by RV, is a tool to rank and score

legislation against global standards for women's rights. This study will provide a bank of legislation implemented globally that can be measured for gender responsiveness using the GLI.(Vijeyarasa, 2019)

In addition, these data will help to facilitate a constructive dialogue outside of CEDAW's four-yearly reviews. This will support the voluntary contributions of CEDAW Committee members, and the under-resourced OHCHR in their mission to strengthen the United Nations machinery to improve its efficiency and effectiveness. The study will also encourage multidisciplinary global health researchers to engage in this constructive dialogue and develop human rights solutions capable of addressing health inequities faced by women.

This novel, data-driven human rights research protocol seeks to keep human rights practitioners, global health researchers and policy makers up-to-date by presenting authoritative guidance on how areas of action and inaction related to the CEDAW review mechanism contribute to health inequities and influence progress towards achieving the SDGs. The study will contribute to the development of a framework for designing interventions to promote equality, inclusion and equal access to health. By giving exposure to our research activities, we aim to facilitate collaboration in the research and advocacy communities working to enhance gender equality and the empowerment of women.

#### Acknowledgments

The authors would like to thank Emma Feeny, Director of Global Advocacy and Policy Engagement and Dr Kelly Thompson, Global Program Manager of the Women's Health Program at The George Institute for their guidance in positioning this work to maximise impact globally, and to complement ongoing women's health and rights movements. We would also like to thank Professor Louise Chappell and Glen Wolter at the Australian Human Rights Institute, Professors Andrea Durbach and Andrew Byrnes at the University of New South Wales Law School, and Professor David Peiris, Stephen Jan and Associate Professors Kris Rogers and Rohina Joshi at the George Institute for Global Health for contributing to early discussions on the direction of this project.

#### **Authors' Contributions**

JS led the writing of this manuscript. JS, AP and KM conceptualised the study. RV and MW contributed to the refinement of the methods. All authors contributed to the writing of the manuscript over several iterations and have read and approved the final manuscript.

#### **Declaration of Conflicting Interests**

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

#### Funding

The author(s) received no financial support for the research, authorship, and/or publication of this article.

#### **Ethical Approval**

As this manuscript does not report on a study involving human participants, human data or human tissue, and involves the analysis of secondary data, ethics approval and consent to participate is not deemed necessary by the authors or their affiliate universities.

#### **ORCID** iD

Janani Shanthosh D https://orcid.org/0000-0002-1297-2400

#### References

- Bond, J. (2014). CEDAW in sub-Saharan Africa: Lessons in implementation. *Michigan State Law Review*, 2014(2), 241.
- Boyatzis, R. E. (1998). *Transforming qualitative information: Thematic analysis and code development*. Sage Publications.
- Chapman, A. (2010). The social determinants of health, health equity, and human rights. *Journal of Health and Human Rights*, 12(2), 17–30.
- de Mesquita, J.B, Thomas, R., Gauter, C., Havkwist, A., Hoddy, R., Larasati, A., Gjerdset, I.L., Perrone, G., Sadiq, T., & Smith, R.A. (2018). Monitoring the sustainable development goals through human rights accountability reviews. *Bulletin of the World Health Organization*, 96(9), 627–633. https://doi.org/10.2471/ blt.17.204412
- Dubin, R. (1969). Theory building. Free Press.
- Englehart, N. A., & Miller, M. K. (2014). The CEDAW effect: International law's impact on women's rights. *Journal of Human Rights*, 13(1), 22–47. https://doi.org/10.1080/14754835.2013. 824274
- Farhoumand-Sims, C. (2009). CEDAW and Afghanistan. Journal of International Women's Studies, 11(1), 136–156.
- Krommendijk, J. (2013). Just 'a little UN Committee'or important policy driver?-The impact and effectiveness of the CEDAW Committee in New Zealand. *Journal of Gender Studies*, 16(1), 8–23. https://doi.org/10.5117/tygend2013.1.krom
- Manandhar, M., Hawkes, S., Buse, K., Nosrati, E., & Magar, V. (2018). Gender, health and the 2030 agenda for sustainable development. *Bulletin of the World Health Organization*, 96(9), 644–653. https://doi.org/10.2471/blt.18.211607
- Moss, N. E (2002). Gender equity and socioeconomic inequality: A framework for the patterning of women's health. *Social Science* & *Medicine*, 54(5), 649–661. https://doi.org/10.1016/s0277-9536(01)00115-0
- New York University (NYU) (2015). Affective Evidence: Margaret Satterthwaite on the use of empirical data in human rights advocacy. NYU. https://www.law.nyu.edu/news/ideas/ Satterthwaite-MacArthur-empirical-human-rights
- Patton, M. Q. (2002). *Qualitative research and evaluation methods*. Sage Publishing.
- Raday, F. (2012). Gender and democratic citizenship: The impact of CEDAW. International Journal of Constitutional Law, 10(2), 512-530. https://doi.org/10.1093/icon/mor068
- Shannon, G., Jansen, M. K., Caceres, C., Motta, A., Odhiambo, A., Eleveld, A., & Mannell, J. (2019). Gender equality in science, medicine, and global health: Where are we at and why does it

matter? *The Lancet*, *393*(10171), 560–569. https://doi.org/10. 1016/s0140-6736(18)33135-0

- Shinohara, C. (2008). Global pressure, local results: The impact of CEDAW on working women in Japan. *Journal of Workplace Rights*, 13(4), 449–471. https://doi.org/10.2190/wr.13.4.f
- Simmons, B., & Creamer, D. (2018). The dynamic impact of periodic review on women's rights. *Journal of Law and Contemporary Problems*, 81, 31.
- Skogly, S. (2012). The requirement of using the 'maximum of available resources' for human rights realisation: A question of quality as well as quantity? *Human Rights Law Review*, 12(3), 393–420. https://doi.org/10.1093/hrlr/ngs022
- Tait, C. A., Abdillahi, I., Wong, W., Smith-Cannoy, H., & Siddiqi, A. (2019). Can the health effects of widely-held societal norms be evaluated? An analysis of the United Nations convention on the elimination of all forms of discrimination against women (UN-CEDAW). *BMC Public Health*, 19(1), 1–10. https://doi.org/10. 1186/s12889-019-6607-6
- The Danish Institute for Human Rights (DIHR) (2020). *The SDG-human rights data explorer*. DIHR. https://www.humanrights.dk/sdg-human-rights-data-explorer
- The European Commission Joint Research Center (ECJR) (2020). INFORM Index for Risk management. ECJR. https://drmkc.jrc. ec.europa.eu/inform-index
- Theobald, S., MacPherson, E. E., Dean, L., Jacobson, J., Ducker, C., Gyapong, M., Hawkins, K., Elphick-Pooley, T., Mackenzie, C., Kelly-Hope, L. A., Fleming, F. M., & Mbabazi, P. S. (2017). 20 years of gender mainstreaming in health: Lessons and reflections for the neglected tropical diseases community. *BMJ Global Health*, 2(4), Article e000512. https://doi.org/10.1136/bmjgh-2017-000512
- UN Human Rights Office of the High Commissioner (UN OHCHR). (2006). Human Rights Treaty Bodies - Glossary of technical

terms related to the treaty bodies. UN OHCHR. https://www.ohchr.org/EN/HRBodies/Pages/TBGlossary.aspx#loireporting.

- UN Human Rights Office of the High Commissioner (UN OHCHR) (2020). Ratification status by country or by treaty. UN OHCHR. https://tbinternet.ohchr.org/\_layouts/15/TreatyBodyExternal/ Treaty.aspx?CountryID=176&Lang=EN
- UN Women (2018). Turning promises into action: Gender equality in the 2030 Agenda for sustainable development. UN women. https://www.unwomen.org/en/digital-library/publications/ 2018/2/gender-equality-in-the-2030-agenda-for-sustainabledevelopment-2018
- Vijeyarasa, R. (2019). Making the law work for women: Standardsetting through a new gender legislative Index. *Alternative Law Journal*, 44(4), 275–280. https://doi.org/10.1177/ 1037969x19861751
- World Health Organization (WHO) (2015). Women's health and human rights: Monitoring the implementation of CEDAW. WHO. Department of Gender, Women and Health https:// www.who.int/gender-equity-rights/knowledge/ 9789241595100/en/
- World Health Organization (WHO) (2019). Breaking barriers towards more gender-Responsive and equitable health systems.
  WHO. https://www.who.int/publications/m/item/breakingbarriers-towards-more-gender-responsive-and-equitablehealth-systems
- World Health Organization (WHO) (2017). Joint United Nations statement on ending discrimination in health care settings.
  WHO. https://www.unaids.org/en/resources/documents/2017/ ending-discrimination-in-health-care-settings
- Zwingel, S. (2005). From intergovernmental negotiations to (sub) national change: A transnational perspective on the impact of CEDAW. *International Feminist Journal of Politics*, 7(3), 400–424. https://doi.org/10.1080/1461674050016118